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CLIP-driven Coarse-to-fine Semantic Guidance for Fine-grained Open-set Semi-supervised Learning

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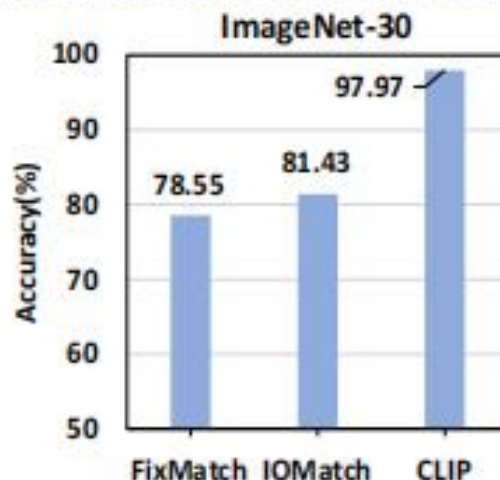
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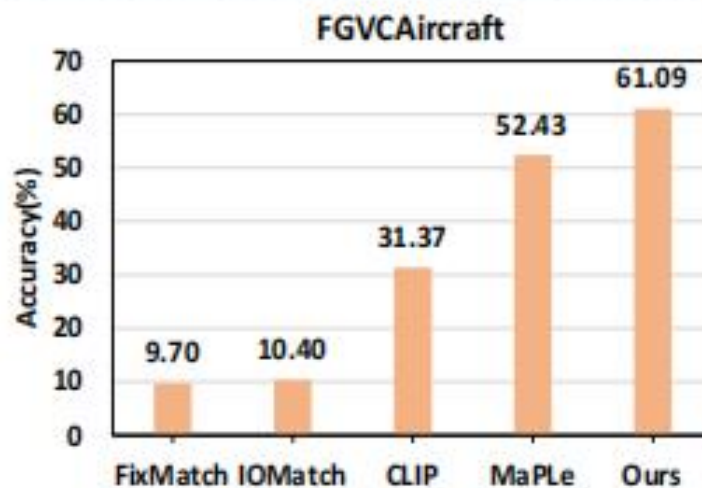
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Background

(a) Coarse-grained OSSL



(b) Fine-grained OSSL



Existing OSSL methods:
have not sufficiently explored more
practical fine-grained OSSL tasks.

Visual language models(CLIP):
Focus on capturing global, general
attributes.

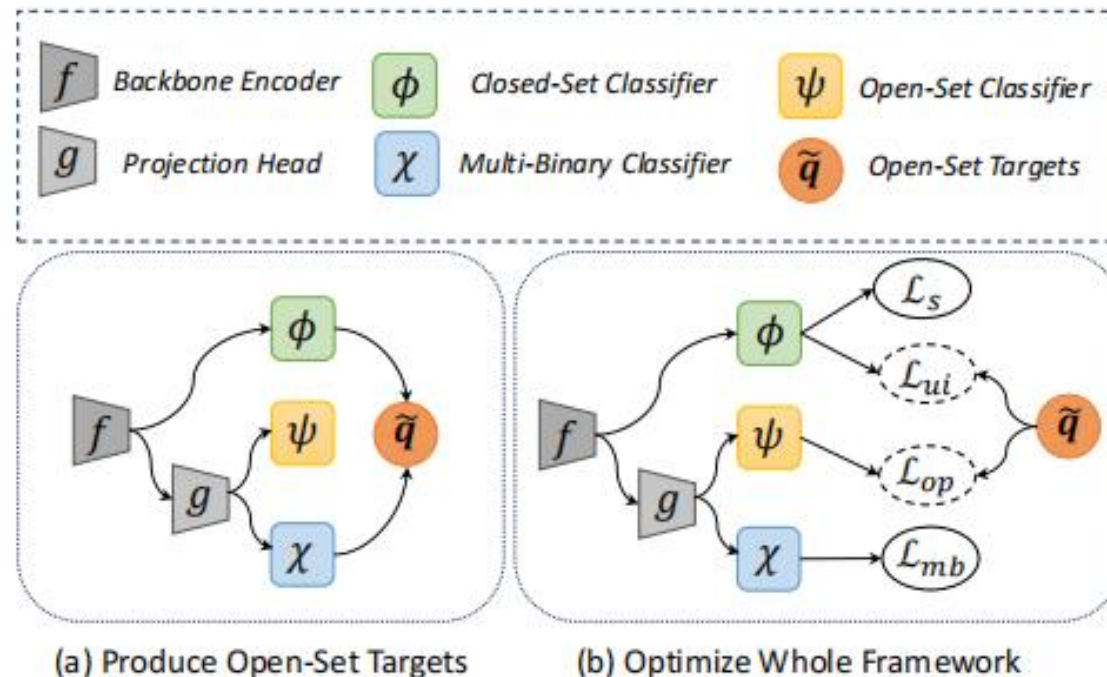
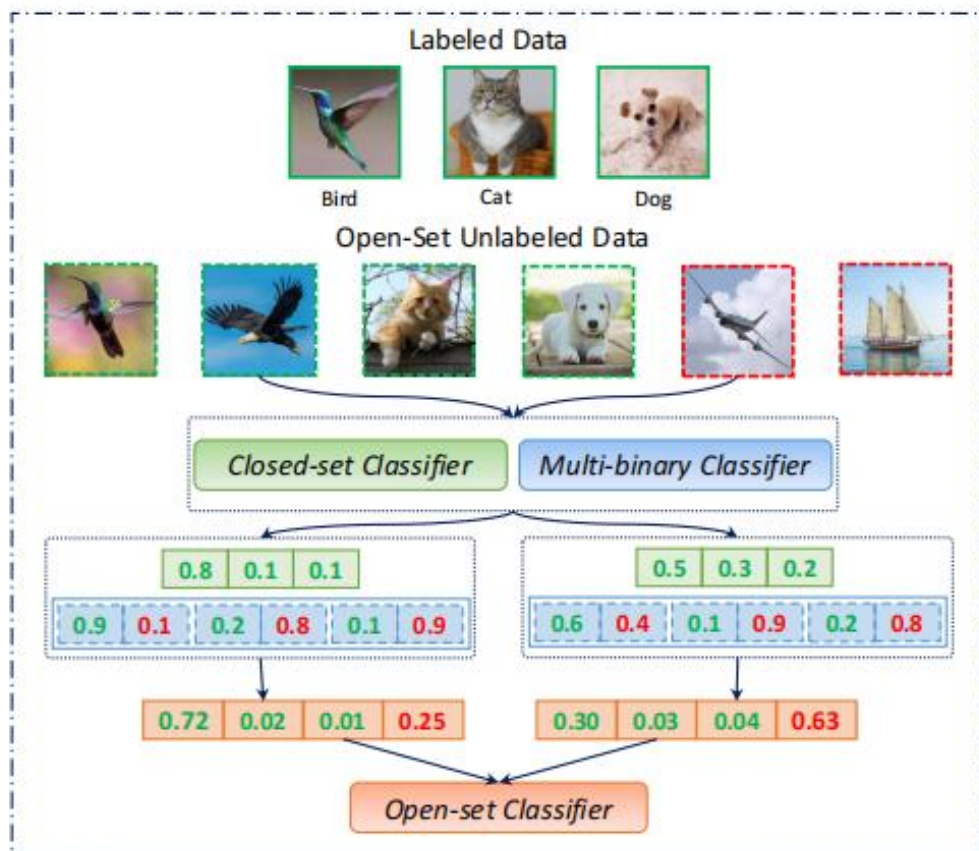
Difficult to concentrate on fine-
grained features.

Core Challenges:

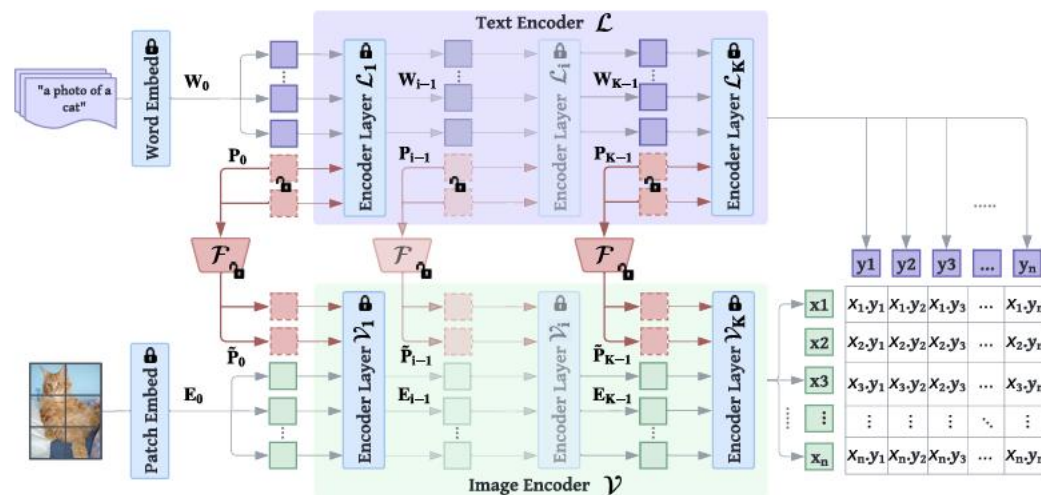
Visual differences between ID and
OOD samples are not significant.
Existing models struggle to capture
fine-grained distinguishing features.

OSSL methods: 对OOD样本进行丢弃/加权/视为负样本

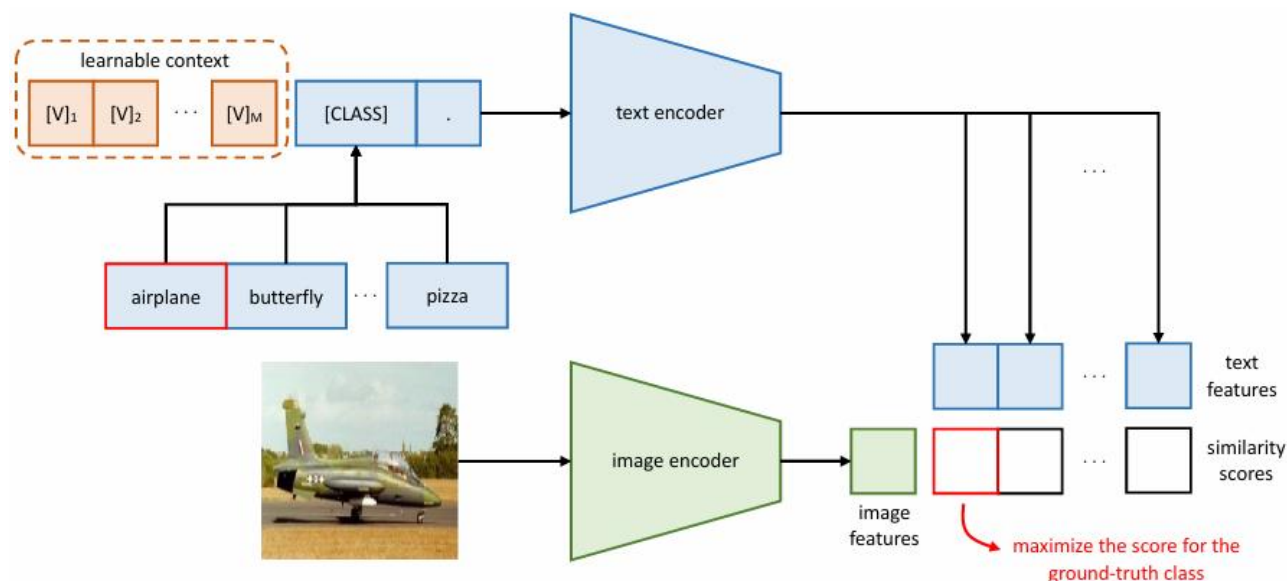
IOMatch/SCOMatch: 将 OOD 样本归类为新类别,优化一个类别为K+1的开集分类器



不足: 仅能处理粗粒度OOD样本带来的影响, 忽略了ID/OOD样本之间的细粒度差异。面对紧密特征分布边界时性能下降。



MaPLE



CoOp

CLIP based method:

CLIP-Adapter/CoOp/PLOT/MaPLE

通常通过计算每种模态全局特征之间的相似性来进行跨模态交互,忽略了CLIP中局部特征包含大量与类别语义无关的信息干扰,无法有效筛选出细粒度任务所需局部关键特征

LoCoOP

面向少样本分类、OOD 检测等任务设计,在细粒度数据集上无法匹配同类别方差较大,跨类别方差较小的精细区分需求

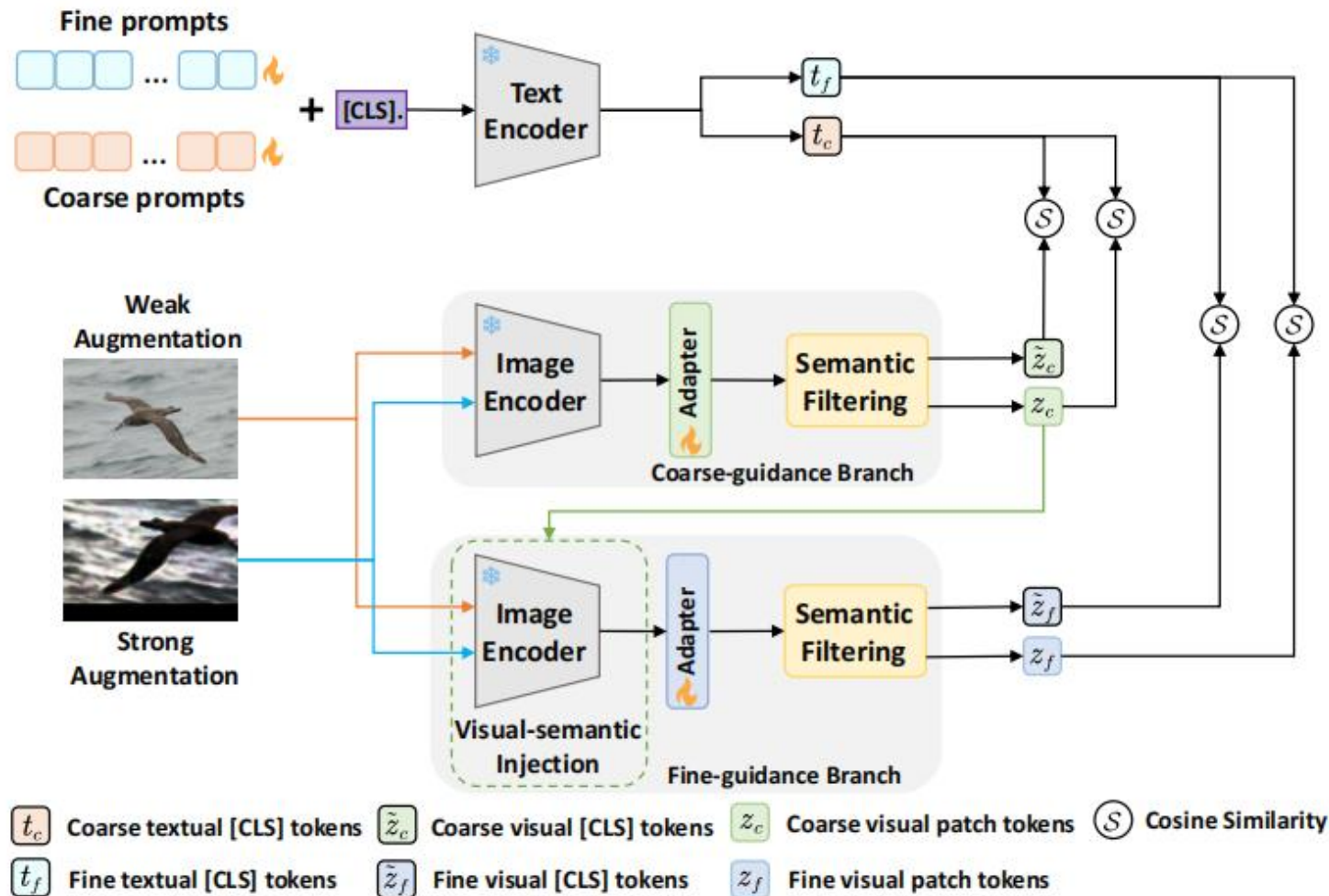


Figure 2. Overview of the proposed CFSG-CLIP framework. CFSG-CLIP is composed of a coarse-guidance branch and a fine-guidance branch based on the pre-trained CLIP model. In the coarse-guidance branch, we design a semantic filtering module to initially capture global and local visual features. In the fine-guidance branch, we design a visual-semantic injection strategy to embed category-related visual cues into the visual encoder for further refining the local visual features. For brevity, we omit the SSL training process.

Problem Setting

$$\mathcal{D}^l = \{(x_1^l, y_1^l), (x_2^l, y_2^l), \dots, (x_N^l, y_N^l)\}$$

$$\mathcal{D}^u = \{(x_1^u), (x_2^u), \dots, (x_U^u)\} \quad (\text{ID/OOD samples})$$

$$y^l \in \{1, \dots, M\}$$

test phase: ID samples

Semantic Filtering Module

$$\mathcal{Z} = \{\tilde{z}_c, z_{c_1}, z_{c_2}, \dots, z_{c_P}\} \in \mathbb{R}^{(P+1) \times d}$$

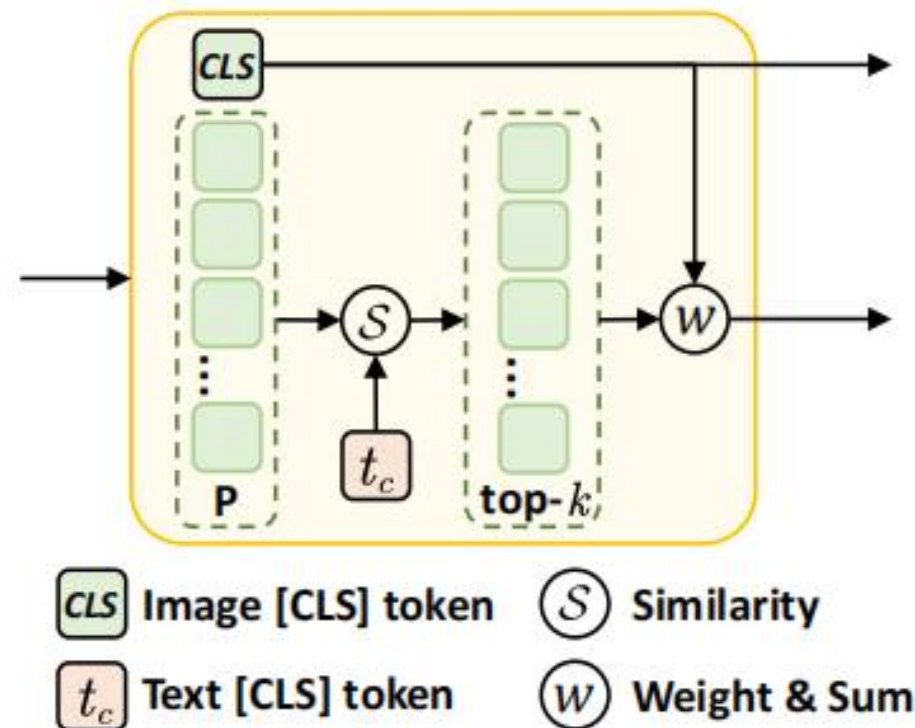
$$\text{coarse prompt } p_c^m = \{v_1, v_2, \dots, v_n, \mathcal{C}^m\}$$

$$s_{c_i} = \text{sim}(z_{c_i}, t_c^m),$$

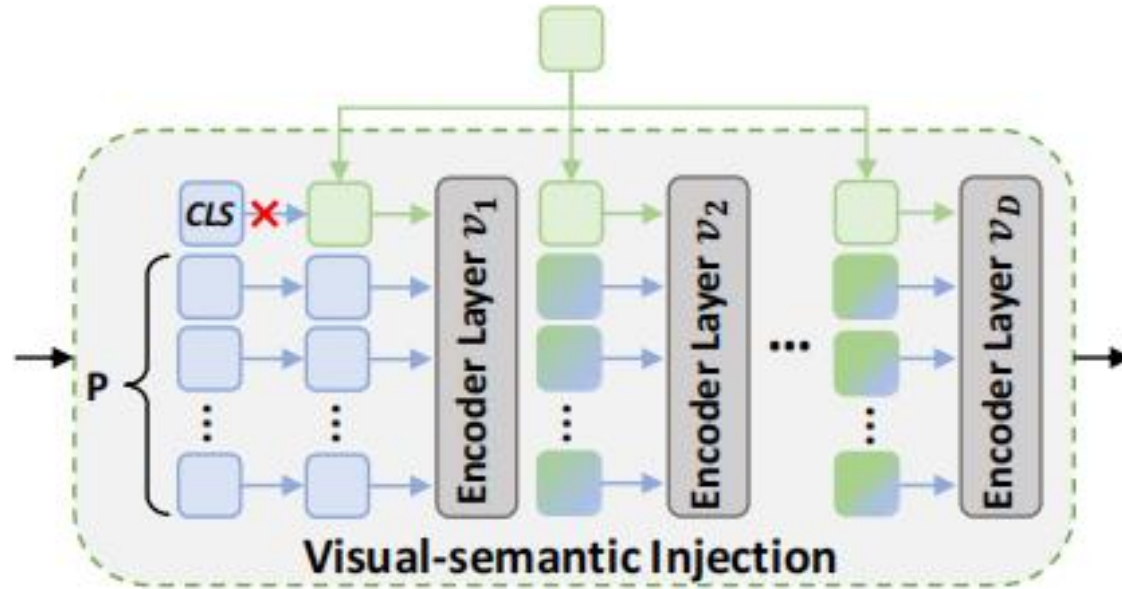
$$\mathcal{K} = \{i \in P : \text{rank}(s_{c_i}) \leq k\},$$

$$w_{c_i} = \frac{\exp(\text{sim}(z_{c_i}, \tilde{z}_c))}{\sum \exp(\text{sim}(z_{c_i}, \tilde{z}_c))}, i \in \mathcal{K}.$$

$$z_c = \sum_{i \in \mathcal{K}} w_{c_i} z_{c_i}.$$



Visual-semantic Injection



$$[_, E_j] = \mathcal{V}_j([\text{proj}(z_c), E_{j-1}]) \quad j = 1, 2, \dots, D,$$

$$[\tilde{z}_{f_j}, E_j] = \mathcal{V}_j([\text{proj}(z_c), E_{j-1}]) \quad j = D + 1, \dots, T,$$

Dual-branch Training

$$\tilde{p}_c^l = \frac{\exp(\text{sim}(\tilde{z}_c^l, t_c^m)/\tau)}{\sum_{m'} \exp(\text{sim}(\tilde{z}_c^l, t_c^{m'})/\tau)},$$

$$p_c^l = \frac{\exp(\text{sim}(z_c^l, t_c^m)/\tau)}{\sum_{m'} \exp(\text{sim}(z_c^l, t_c^{m'})/\tau)},$$

$$L_c = H(y^l, \tilde{p}_c^l) + H(y^l, p_c^l) + \lambda_c (\mathcal{F}(x^u) H(\tilde{p}_c^{u_w}, \tilde{p}_c^{u_s}) + \mathcal{F}(x^u) H(p_c^{u_w}, p_c^{u_s})),$$

$$L_f = H(y^l, \tilde{p}_f^l) + H(y^l, p_f^l) + \lambda_f (\mathcal{F}(\tilde{u}_f) H(\tilde{p}_f^{u_w}, \tilde{p}_f^{u_s}) + \mathcal{F}(u_f) H(p_f^{u_w}, p_f^{u_s})),$$

Experiments



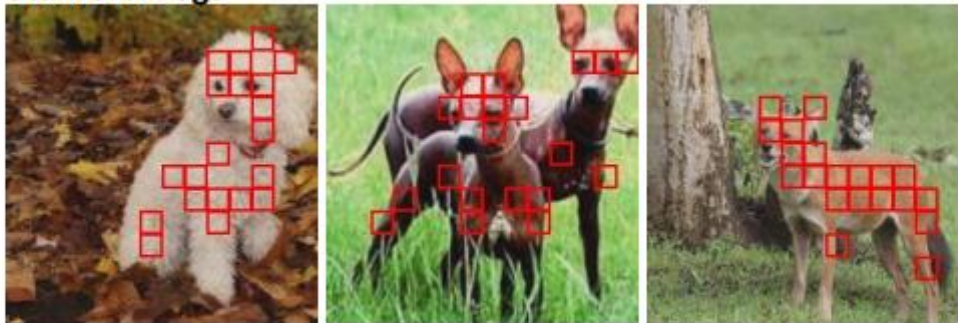
Method	Stanford Dogs		Stanford Cars		CUB-200-2011		FGVCAircraft	
	5	20	5	20	5	20	5	20
CLIP [27]	79.25±0.00	79.25±0.00	75.97±0.00	75.97±0.00	66.00±0.00	66.00±0.00	31.37±0.00	31.37±0.00
CLIP-LORA [41]	83.81±0.37	84.31±0.27	82.71±0.56	82.45±1.30	70.95±0.85	73.40±0.75	40.89±1.73	42.37±0.71
CLIP-Adapter [7]	82.91±0.25	86.02±0.27	84.31±0.02	87.13±0.28	80.03±0.29	84.77±1.02	47.77±0.90	55.79±0.73
CoOp [45]	83.01±0.26	85.68±0.37	85.45±0.31	87.64±0.46	80.10±0.29	85.40±0.37	45.39±0.96	55.43±0.30
LoCoOp [24]	83.08±0.25	86.26±0.11	84.10±0.72	87.83±0.66	79.27±0.45	85.63±0.54	45.53±1.36	54.67±1.59
PLOT [3]	84.46±0.07	87.11±0.09	86.28±0.30	88.59±0.45	81.43±0.66	87.20±0.14	49.59±0.37	58.25±0.93
MaPLe [14]	85.64±0.15	87.64±0.20	88.16±0.25	90.34±0.25	83.30±0.33	88.77±0.21	52.43±0.47	64.33±1.21
Ours	85.48±0.21	89.42±0.16	90.38±0.09	93.08±0.08	84.73±0.17	91.75±0.24	61.09±0.27	73.56±0.58

Method	Stanford Dogs		Stanford Cars		CUB-200-2011		FGVCAircraft	
	5	20	5	20	5	20	5	20
CLIP [27]	77.17±0.00	77.17±0.00	75.70±0.00	75.70±0.00	64.10±0.00	64.10±0.00	31.08±0.00	31.08±0.00
CLIP-LORA [41]	82.34±0.57	82.67±0.36	82.10±0.56	81.03±1.24	70.52±1.34	72.20±0.45	40.10±1.69	41.57±0.69
CLIP-Adapter [7]	81.63±0.14	84.36±0.25	83.65±0.04	86.50±0.25	81.36±0.60	85.20±0.95	46.87±0.88	53.52±1.35
CoOp [45]	81.65±0.20	84.25±0.41	84.63±0.36	86.84±0.42	81.04±0.06	84.92±0.51	44.55±0.94	54.35±0.30
LoCoOp [24]	81.78±0.23	84.68±0.20	83.25±0.76	87.17±0.64	80.45±0.86	85.46±0.32	44.67±1.35	53.60±1.57
PLOT [3]	82.95±0.07	85.54±0.11	85.58±0.32	87.83±0.34	83.32±0.31	87.16±0.17	48.68±0.37	57.13±0.92
MaPLe [14]	84.09±0.19	86.02±0.25	87.43±0.27	89.48±0.25	84.72±0.53	88.66±0.60	51.79±0.43	63.12±1.19
Ours	84.02±0.15	87.77±0.19	89.65±0.05	92.34±0.10	86.46±0.25	90.92±0.24	59.92±0.26	72.13±0.57

Open-set classification balanced accuracy

$$BA = \frac{1}{K+1} \sum_{k=1}^{K+1} Recall_k$$

Stanford Dogs



Stanford Cars



CUB-200-2011



FGVCAircraft



Figure 5. Visualization of patch-tokens extracted by semantic filtering module. We find that the semantic filtering module can correctly extract local visual regions on different fine-grained datasets.



OSLOPROMPT: Bridging Low-Supervision Challenges and Open-Set Domain Generalization in CLIP

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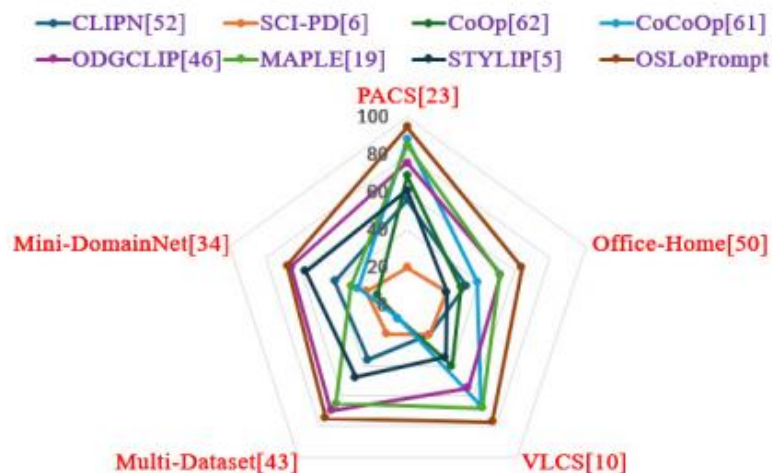


Figure 1. **Harmonic score (H-score) (between known and novel class performances) comparisons** of various CLIP-based DG/ODG/open-set recognition techniques versus our approach in LSOSDG setting with one-training example per known class, demonstrating the improved performances of OSLoPROMPT.

- 传统DG/ODG方法依赖充足训练数据，无法应对稀缺训练样本+动态未知类的情况
- CLIP-based方法缺乏细粒度开放样本区分能力，无法应对细粒度开集检测
- Prompt learning的方法对于域无关提示缺乏结构化知识，低数据下易受误导
- 现有的ODG方法(ODG-CLIP)生成的伪开放样本语义相关性差，影响清晰的闭集/开集边界构建。



Figure 6. **Pseudo-open images** generated by ODG-CLIP [46] are highly **coarse-grained** in relation to the known classes. While CuMix [28] provides improved fine-grained details compared to ODG-CLIP, it still lacks proper semantic coherence. Our pseudo-open image generation achieves a **fine-grained** level of detail, maintaining both semantic relevance and class-specific granularity (for PACS).

Problem Setting

$$\mathcal{D}_s = \{(x_i^s, y_i^s)\}_{i=1}^{n_s} \quad \mathcal{D} = \{\mathcal{D}_s\}_{s=1}^{\mathcal{N}}$$

$$(\mathcal{P}(\mathcal{D}_s) \neq \mathcal{P}(\mathcal{D}_{s'}) \text{ for } s \neq s')$$

$$\mathcal{C} = \bigcup_{s=1}^{\mathcal{N}} \mathcal{Y}_s$$

each class typically having limited samples
(1-shot / 5-shot)

test phase:

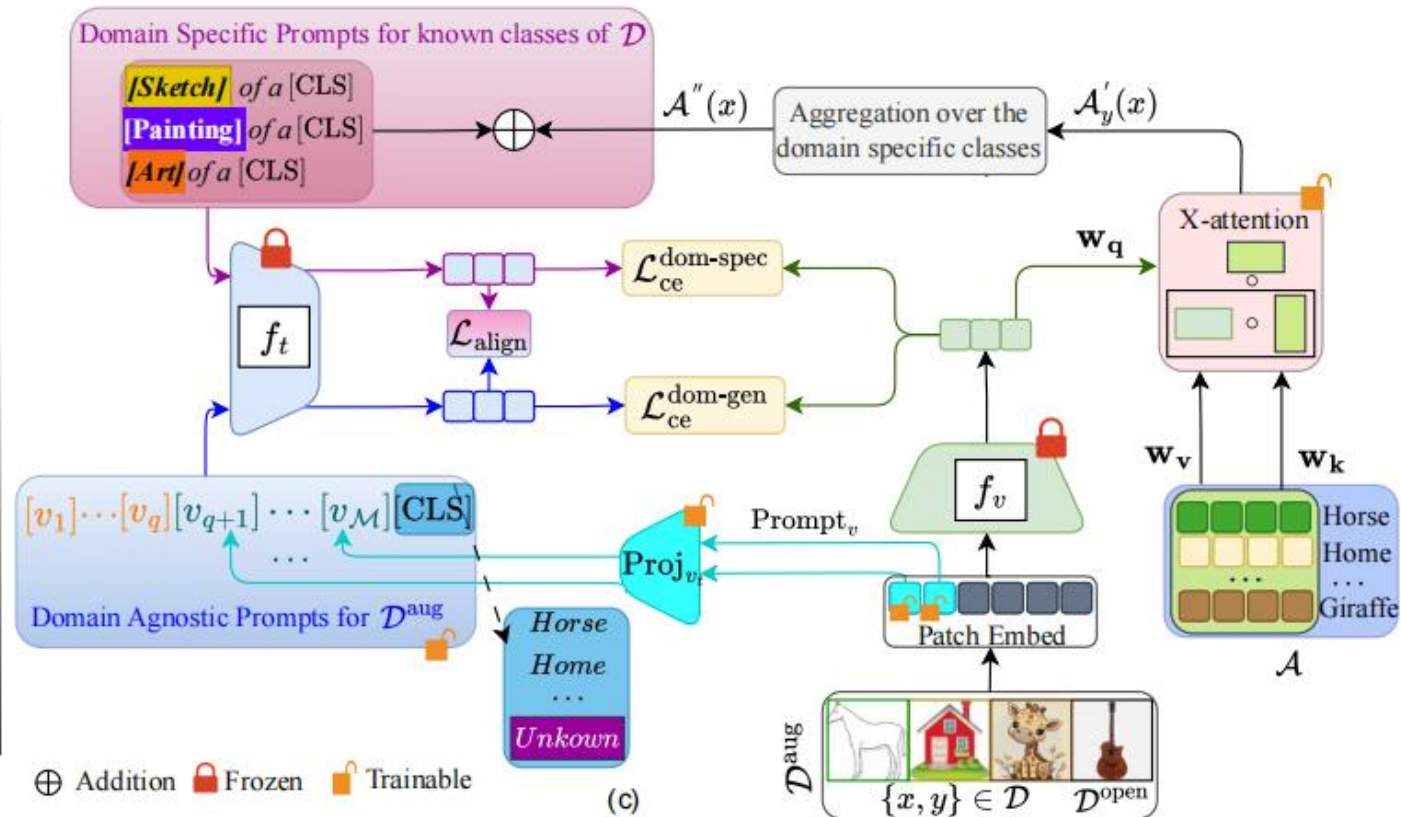
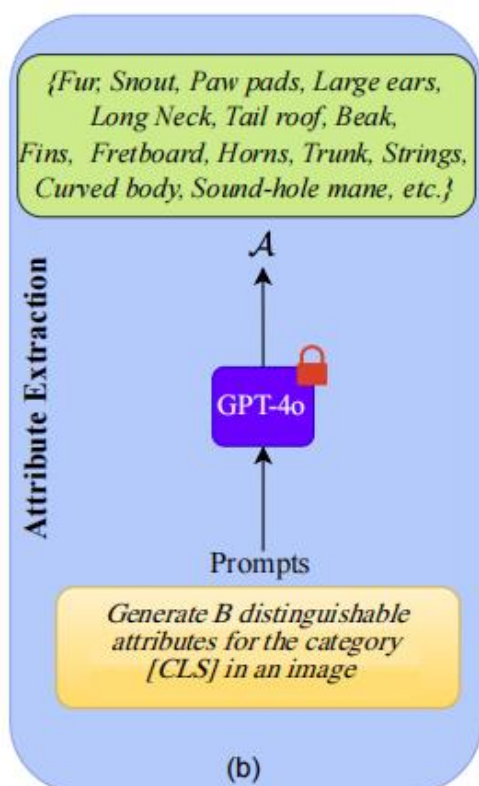
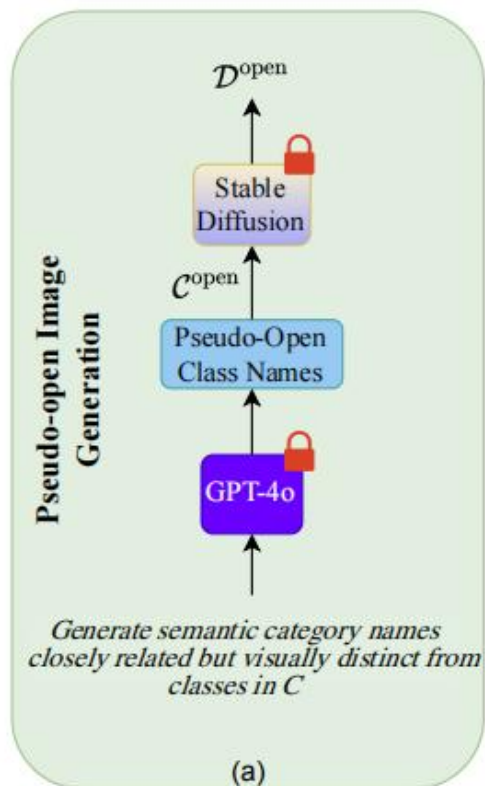
$$\mathcal{D}_t = \{x_j^t\}_{j=1}^{n_t}$$

target domain's label set

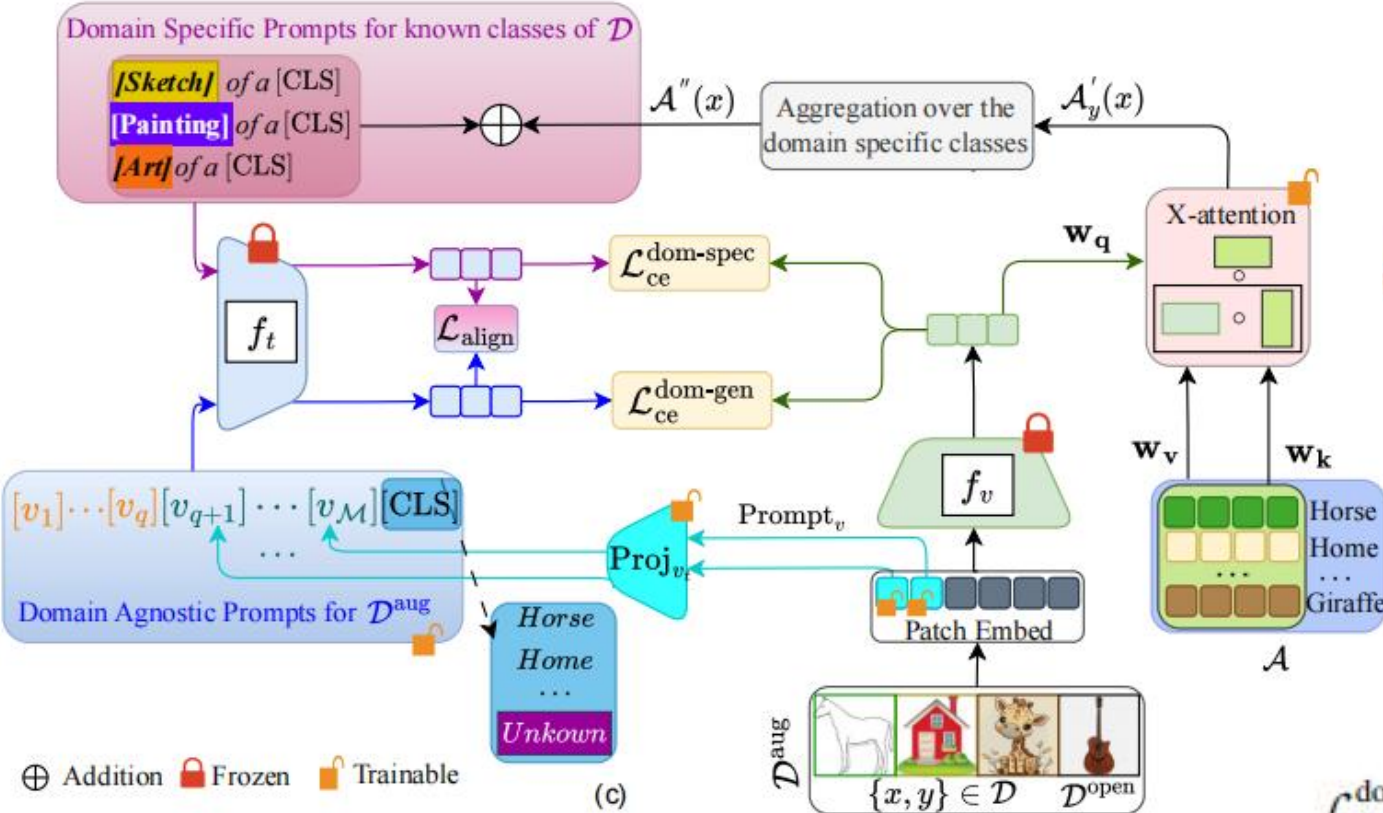
$$\mathcal{Y}_t^{\text{known}} = \mathcal{C}$$

$$\mathcal{Y}_t^{\text{novel}} = \mathcal{Y}_t \setminus \mathcal{Y}_t^{\text{known}}$$

Method



prompt learning strategy



$$\mathcal{A}_{y^s} = [a_{y^s}^1, a_{y^s}^2, \dots, a_{y^s}^{\mathcal{B}}]$$

“Generate \mathcal{B} distinguishable attributes for the category [CLS] in an image.”

Domain-specific prompts

$$\text{Prompt}_{y^s}^{\mathcal{Y}^s} = "[\text{Domain}_s] \text{ of a } [\text{CLS}_{y^s}]"$$

$$\mathcal{A}'_{y^s}(x^s) = \text{Softmax} \left[\frac{\mathcal{F}_v^q(x^s) \mathcal{F}_t^k(\mathcal{A}_{y^s})^T}{\sqrt{d}} \right] \mathcal{F}_t^v(\mathcal{A}_{y^s})$$

$$\mathcal{A}''(x^s) = \frac{1}{|\mathcal{Y}_s|} \sum_{y^{s'} \in \mathcal{Y}_s} \mathcal{A}'_{y^{s'}}(x^s)$$

$$\overline{\text{Prompt}_{y^s}^{\mathcal{Y}^s}}(x^s) = \text{Prompt}_{y^s}^{\mathcal{Y}^s} + \mathcal{A}''(x^s)$$

$$\mathcal{L}_{\text{ce}}^{\text{dom-spec}} = \min_{\substack{\mathbf{w}^q, \mathbf{w}^k \\ \mathbf{w}^v, \text{Prompt}_v}} \sum_{s=1}^{\mathcal{N}} \mathbb{E}_{(x^s, y^s) \sim \mathcal{P}(\mathcal{D}_s)} [-\log p(y^s | x^s)]$$

$$p(y^s | x^s) = \frac{\exp \left(\delta \left(\mathcal{F}_t \left(\overline{\text{Prompt}_{y^s}^{\mathcal{Y}^s}}(x^s) \right), \mathcal{F}_v(x^s) \right) / \tau \right)}{\sum_{y^{s'} \in \mathcal{Y}_s} \exp \left(\delta \left(\mathcal{F}_t \left(\text{Prompt}_{y^{s'}}^{\mathcal{Y}^s}(x^s) \right), \mathcal{F}_v(x^s) \right) / \tau \right)}$$

prompt learning strategy

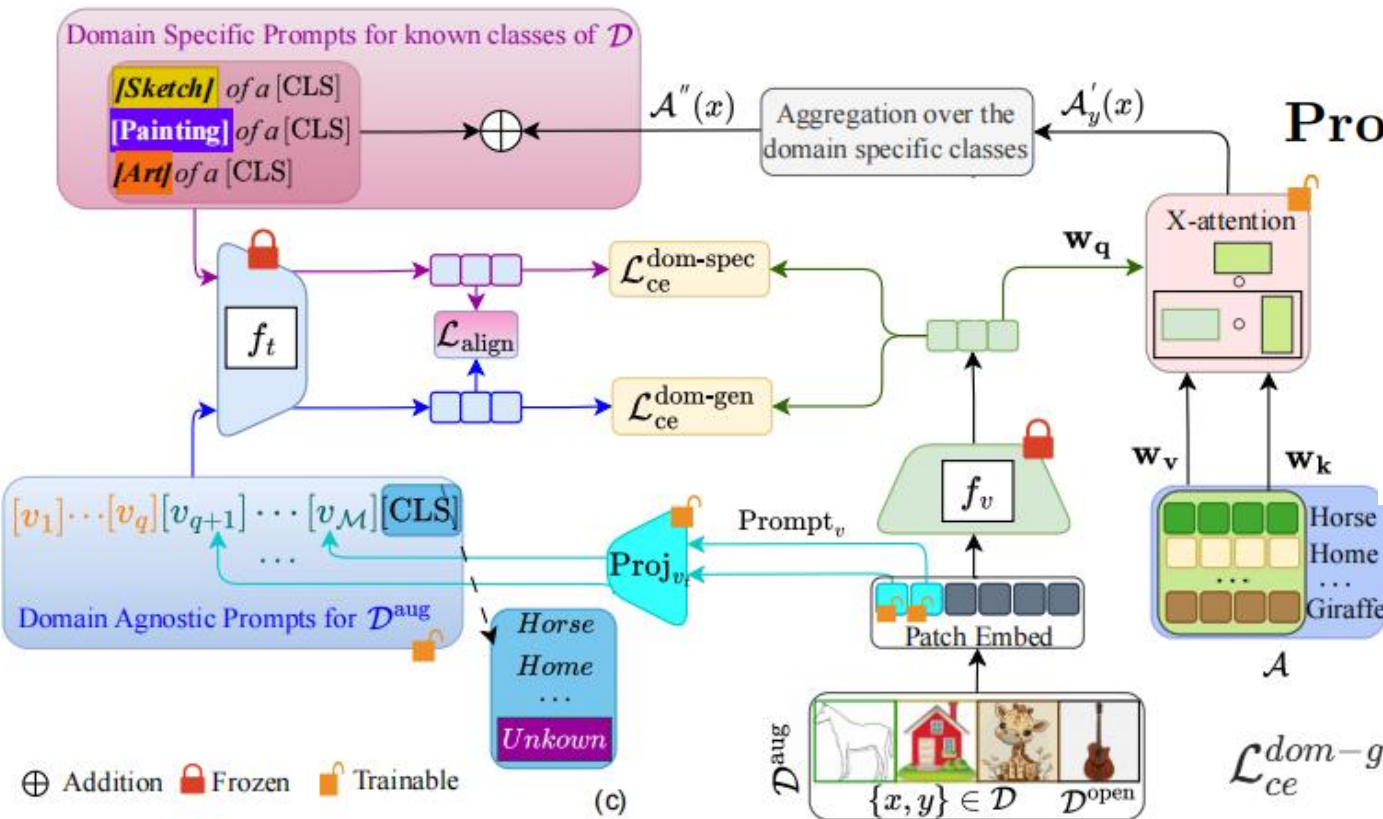


Domain-generic prompts

$$\text{Prompt}_{\text{gen}}^y = [\nu_{1:q}][\text{Proj}_{vt}(\text{Prompt}_v)]_{q+1:\mathcal{M}}[\text{CLS}_y]$$

$$[c_1, -, E_1] = \mathcal{F}_v^1([c_0, \text{Prompt}_v, E_0])$$

$$[c_l, E_l] = \mathcal{F}_v^l([c_{l-1}, E_{l-1}]), \quad l = 2, 3, \dots$$



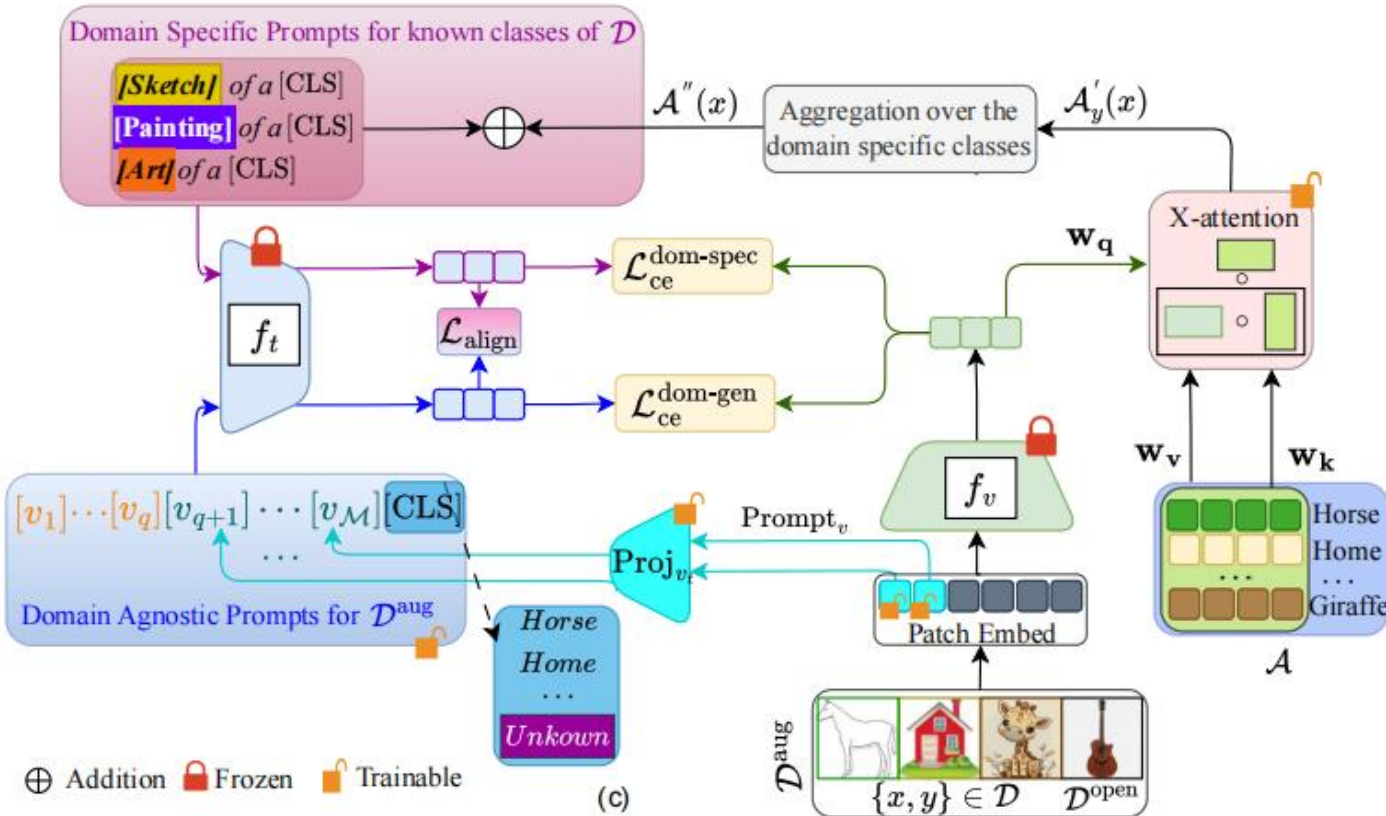
$$\mathcal{A}_{y^s} = [a_{y^s}^1, a_{y^s}^2, \dots, a_{y^s}^B]$$

“Generate B distinguishable attributes for the category $[\text{CLS}]$ in an image.”

$$\mathcal{L}_{ce}^{\text{dom-gen}} = \frac{1}{|D| + |D^{\text{open}}|} \left[\sum_{x^{\text{known}} \in D} \mathcal{L}_{ce}^{\text{single}}(x^{\text{known}}, y^{\text{known}}) + \sum_{x^{\text{open}} \in D^{\text{open}}} \mathcal{L}_{ce}^{\text{single}}(x^{\text{open}}, \text{Unknown}) \right]$$

$$\mathcal{L}_{\text{align}} = \min_{\{\nu_{1:q}\}, \text{Proj}_{vt}, \text{Prompt}_v} \sum_{s=1}^N \mathbb{E}_{(x^s, y^s) \in \mathcal{P}(\mathcal{D}_s)} \left[1 - \cos(\mathcal{F}_t(\text{Prompt}_{\text{gen}}^{y^s}), \mathcal{F}_t(\overline{\text{Prompt}_s^{y^s}}(x^s))) \right]$$

prompt learning strategy



inference:

$$\mathcal{L}_{total} = \mathcal{L}_{ce}^{dom-gen} + \mathcal{L}_{ce}^{dom-spec} + \mathcal{L}_{align}$$

$$\bar{y}^t = \underset{y^t \in \mathcal{C} \cup \text{Unknown}}{\operatorname{argmax}} p(y^t | x^t, \mathcal{F}_v, \mathcal{F}_t, \mathbf{Prompt}_{gen}^{y^t})$$

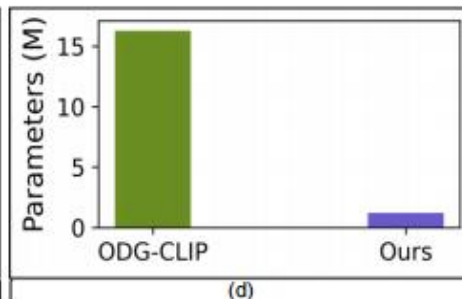
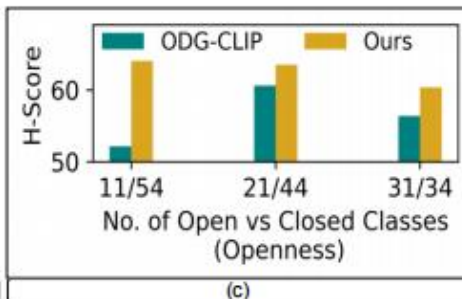
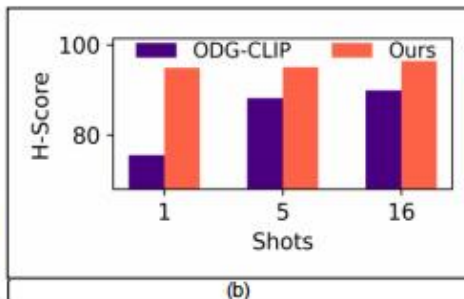
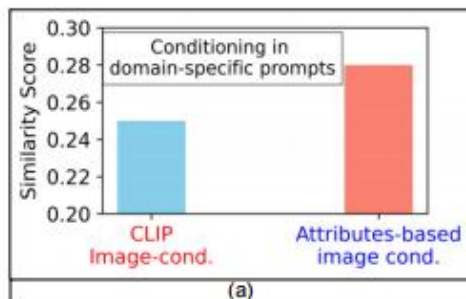
Experiments



Methods	CLIP-based	Venue	PACS		VLCS		OfficeHome		Multi-Dataset		Mini-DomainNet		Average	
			Acc	H-score	Acc	H-score	Acc	H-score	Acc	H-score	Acc	H-score	Acc	H-score
CLIP + OpenMax (OSR) [2]	✓	CVPR'16	20.24	31.97	20.59	31.83	20.00	32.64	11.74	20.87	16.92	28.05	17.90	29.07
CLIPN (OSR) [52]	✓	ICCV'23	64.03	55.79	25.34	19.49	44.18	32.83	39.84	36.28	47.63	40.91	44.20	37.06
MORGAN (FS-OSR) [30]	×	WACV'23	37.40	19.06	31.35	27.22	19.21	18.51	30.00	37.26	22.40	15.70	28.07	23.55
StyLIP (DG + OSR) [5]	✓	WACV'24	74.89	60.99	27.94	34.61	52.34	21.95	51.50	47.64	59.44	57.46	53.22	44.53
PromptSRC (DG + OSR) [20]	✓	ICCV'23	35.72	27.09	24.98	20.04	22.02	14.85	30.16	31.18	25.20	20.44	27.62	22.72
2LM (FSDG + OSR) [36]	×	CVPR'23	35.22	21.42	31.61	28.76	21.30	13.60	29.73	34.80	24.50	17.75	28.47	23.27
ODG-Net (ODG) [4]	×	TMLR'23	34.82	21.67	32.33	29.17	20.47	11.45	29.16	29.40	22.05	19.08	27.77	22.15
MEDIC (ODG) [53]	×	ICCV'23	33.91	21.40	32.94	26.28	21.31	11.75	30.35	33.11	23.73	19.05	28.45	22.32
SCI-PD (ODG) [6]	✓	CVPR'24	23.40	25.84	19.88	19.60	35.27	44.31	16.95	19.18	16.25	23.33	22.35	26.45
ODG-CLIP (ODG) [46]	✓	CVPR'24	68.89	75.56	52.43	54.70	48.69	52.93	63.74	69.53	61.05	65.50	58.96	63.64
OSLoPROMPT (Ours)	✓	-	92.71	94.86	78.89	76.89	69.73	64.04	76.30	74.49	69.00	67.57	77.32	75.57

Methods	CLIP-based	Venue	PACS		VLCS		OfficeHome		Multi-Dataset		Mini-DomainNet		Average	
			Acc	H-score	Acc	H-score	Acc	H-score	Acc	H-score	Acc	H-score	Acc	H-score
CLIP + OpenMax (OSR) [2]	✓	CVPR'16	68.75	80.98	66.25	74.74	35.59	49.28	56.59	68.84	32.46	48.20	51.93	64.41
CLIPN (OSR) [52]	✓	ICCV'23	78.04	71.14	32.92	27.95	47.94	40.33	46.50	39.23	55.78	48.53	52.24	45.44
MORGAN (FS-OSR) [30]	×	WACV'23	46.27	24.06	42.16	38.70	36.20	18.63	35.47	42.80	37.81	27.06	39.58	30.25
StyLIP (DG + OSR)[5]	✓	WACV'24	80.10	70.01	45.78	48.93	61.87	42.46	54.58	49.76	64.03	60.68	61.27	54.37
PromptSRC (DG + OSR) [20]	✓	ICCV'23	46.86	30.23	36.16	32.36	31.10	20.35	35.68	38.12	36.37	31.32	37.24	30.28
2LM (FSDG + OSR) [36]	×	CVPR'23	46.70	24.06	41.67	37.36	29.38	18.95	35.04	35.38	38.43	28.70	38.24	28.89
ODG-Net (ODG) [4]	×	TMLR'23	46.66	25.92	43.05	37.71	34.52	15.96	34.20	36.93	39.95	23.72	39.68	28.05
MEDIC (ODG) [53]	×	ICCV'23	44.88	25.05	40.53	35.56	30.40	18.45	35.42	36.26	36.95	30.60	37.64	29.18
SCI-PD (ODG) [6]	✓	CVPR'24	35.16	34.53	30.11	30.48	32.98	42.50	32.20	28.89	21.25	30.57	30.34	33.39
ODG-CLIP (ODG) [46]	✓	CVPR'24	83.65	88.16	62.93	56.89	55.32	49.31	74.40	76.14	74.38	65.49	70.14	67.20
OSLoPROMPT (Ours)	✓	-	93.72	95.01	79.04	77.34	75.33	62.08	79.75	80.05	74.52	66.58	80.47	76.21

Experiments



Methods	O.H.	M.DNet
Analysis of domain-specific prompts		
✓ Manual prompting: Domain of a CLS	59.33	65.88
✓ Manual prompting with image conditioning	62.96	67.27
✓ Manual prompting expanded with ad-hoc attributes from \mathcal{A} [29]	60.69	63.82
✓ Manual prompting with ad-hoc attributes and image conditioning	62.16	65.11
✓ Visual attributes learning [21]	58.35	60.57
✓ Proposed cross-attention approach	64.04	67.57
Analysis of domain-agnostic prompts		
✓ Full context learning [62]	60.81	53.43
✓ Image-cond. context learning [61]	63.10	59.61
✓ Proposed multi-modal prompting	64.04	67.57
Sensitivity to the number of attributes per class in \mathcal{A}		
✓ 4	64.04	67.57
✓ 8	63.97	66.36
✓ 12	63.79	65.14
Importance of the loss terms		
✓ $\mathcal{L}_{ce}^{dom-gen}$ (no domain-specific guidance)	62.51	63.86
✓ $\mathcal{L}_{ce}^{dom-gen} + \mathcal{L}_{ce}^{dom-spec}$ (partial domain-specific guidance)	62.52	65.56
✓ $\mathcal{L}_{ce}^{dom-gen} + \mathcal{L}_{ce}^{dom-spec} + \mathcal{L}_{align}$	64.04	67.57
Pseudo-open image synthesis		
✓ Generic sample generation of [46]	41.09	49.07
✓ Mixup-based [28] pseudo-open images	57.26	64.85
✓ Our fine-grained sample generation	64.04	67.57

Thanks