

# Relational Database Systems I

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# (2) 2. Data Modeling

- Introduction
- Data Models
- Phases of DB Design
- Basic ER Modeling
  - Chen notation
  - Alternative notations
- Example





# (2.1 Introduction

- Last week,
  - we already used the term data model in an intuitive way
- Today,
  - we will define the term more precisely
  - see different kinds of data models
  - learn how to create instances of such models

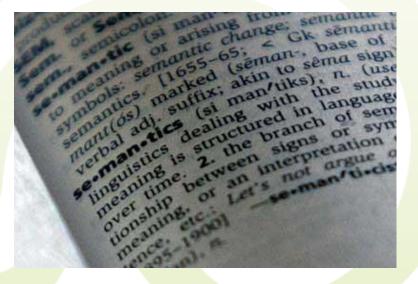




 How would you define the term data model in your own words?



- In databases, the data's specific **semantics** are very important
  - What is described?
  - What values are reasonable/correct?
  - What data belongs together?
  - What data is often/rarely accessed?





- Example: Describe the "age" of a person
  - Semantic definition:
     The number of years elapsed since a person's birthday
  - Integer data type
  - Always:  $0 \le age \le 120$
  - Connected to the person's name,
     passport id, etc.
  - May often be retrieved,
     but should be protected







- A data model is an abstract model that describes how data is represented and accessed
  - Examples: network model, relational model, object-oriented model, ...
  - Warning: The term "data model" is ambiguous
    - A data model theory is a formal description of how data may be structured and accessed
    - A data model **instance** or **schema** applies a data model theory to create an instance for some particular application



- A data model needs three parts:
  - Structural part
    - Data structures which are used to create databases representing the objects modeled
  - Integrity part
    - Rules expressing the constraints placed on these data structures to ensure structural integrity
  - Manipulation part
    - Operators that can be applied to the data structures,
       to update and query the data contained in the database





- Different categories of data models exist:
  - High-level or conceptual models
    - provide concepts that are close to the way many users perceive data
  - Low-level or physical data models
    - provide concepts that describe the details of how data is stored in the computer
  - Representational or logical data models
    - provide concepts that may be understood by end users but that are not too far removed from the way data is organized within the computer



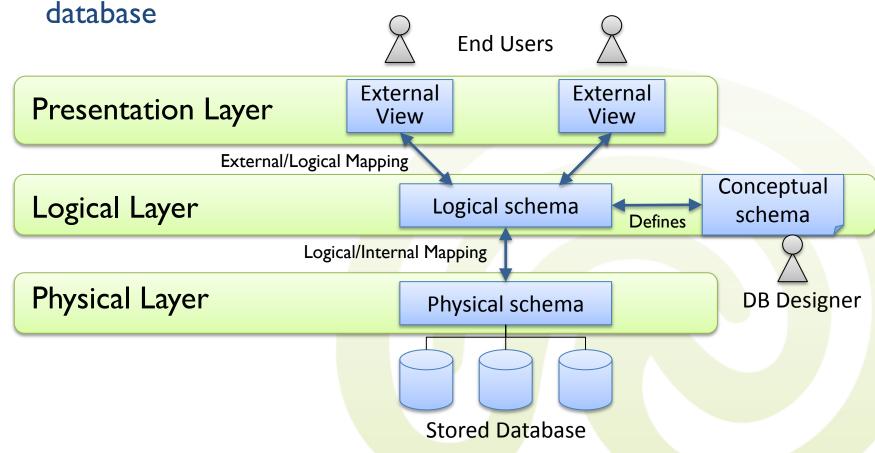
- Data models are instanced by schemas
  - A conceptual schema describes the semantics of a domain
    - What facts or propositions hold in this domain?
  - A logical schema describes the semantics,
     as represented by a particular data manipulation technology
    - Tables and columns, object-oriented classes, XML elements, ...
  - A physical schema describes the physical means by which the data is stored
    - Partitions, tablespaces, indexes, ...



# 2.2 Three-layer architecture

… also called ANSI-SPARC Architecture …

separates the user applications and views from the physical





# (2) 2.2 Three-layer architecture

#### Caution:

- The logical layer in the ANSI-SPARC Architecture is often called conceptual layer
  - It is described using a logical or representational data model, but often based on a conceptual schema design in a high-level data model

#### The external views

• Are also typically implemented using a logical data model and are possibly based on a conceptual schema design in a high-level data model



- Why do we need three kinds of instances then?
- To maintain independence!
  - Physical independence means that the storage design can be altered without affecting logical or conceptual schemas
    - Logically, it does not matter where exactly the data about a person's age is stored, it is still the same data
  - Logical independence means that the logical design can be altered without affecting the data semantics
    - It does not matter whether a person's age is directly stored or computed from the person's birth date



- Shortcomings of specific data models (schemas)
  - Depending on the application, modeling will often produce different data models
     for the same domain
    - Merging or mapping the models of different companies is difficult
    - Data exchange and integration between organizations is severely hampered





- Often, differences originate in different levels of abstraction used in different models
  - Different in the kinds of facts that can be instantiated
  - The semantic expressiveness of the models is different
- Extensions are often necessary, but are difficult
  - For example, when the focus changes or new information about the domain becomes available
  - The model limits what can be expressed about a domain
  - Changes sometimes need complete re-modeling of the schema



- Generic data models are generalizations of conventional data models
  - Definition of standardized general relation types,
     together with the kinds of things that may be related
     by such a relation type
  - Similar to the definition of a natural language



- Example: A generic data model may define relation types such as
  - "classification relation" as a binary relation
     between an individual thing and a kind of thing (a class)
  - "part-whole relation" as a binary relation between two things: one with the role of part, the other with the role of whole
    - Regardless of the kind of things that are related



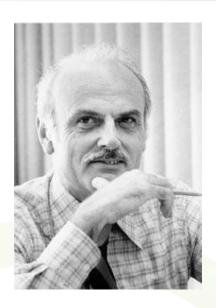
Current state of the art:

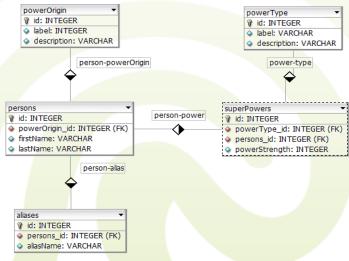
Most data is structured best using (relational) tables!

- Modeling data in tables is very natural and efficient
- Often, there is no alternative to it ...
- Think: Index card!
  - All data about an object on each single card
  - Ordered/Sorted by a single attribute
  - **—** ....



- Sounds pretty obvious, huh?
  - We owe this belief toEdgar F. Codd (around 1970)
  - Before that, people had a very different perspective on what data actually is...







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  - Alternative notations
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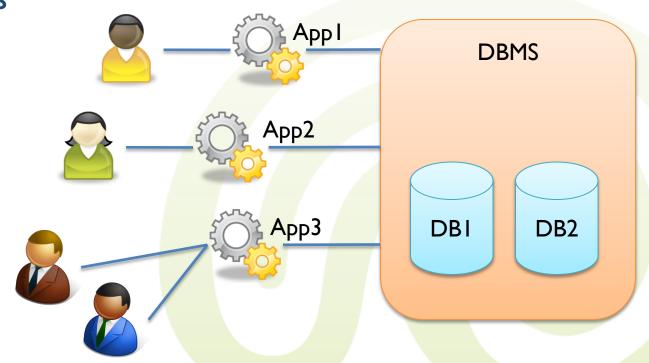




### 2.3 Database Applications

- Database applications consist of
  - Database instances with their respective DBMS

 associated application programs interfacing with the users





# 2.3 Database Applications

- Planning and developing application programs traditionally is a software engineering problem
  - Requirements Engineering
  - Conceptional Design
  - Application Design

**—** ...

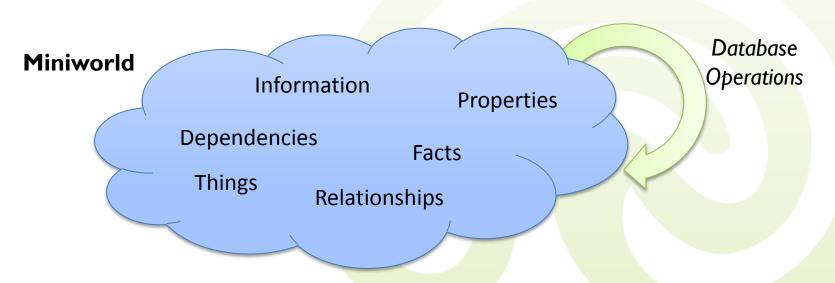


- Software engineers and data engineers cooperate tightly in planning the need, use and flow of data
  - Data modeling
  - Database design



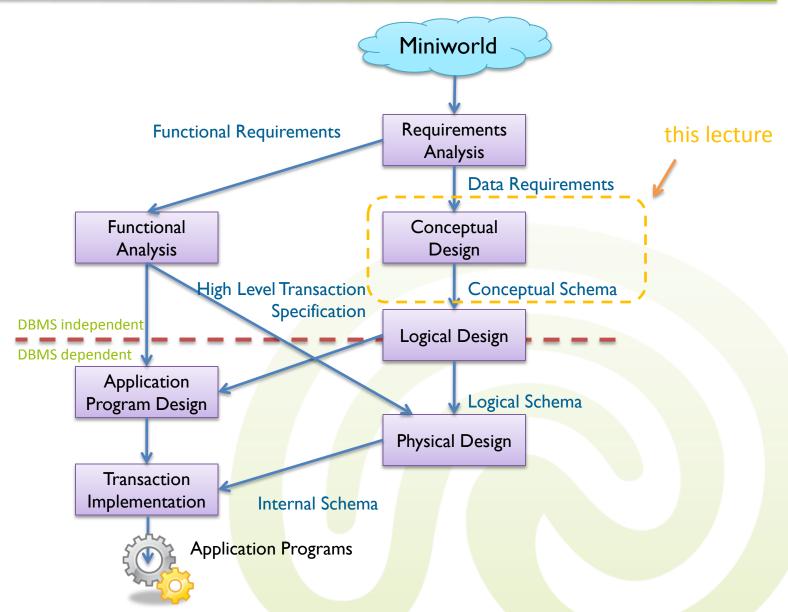
### (2.3 Universe of Discourse

- DB Design models a miniworld into a formal representation
  - Restricted view on the real world with respect to the problems that the current application should solve





# (2.3 Phases of DB Design





# 2.3 Phases of DB Design

#### Requirements Analysis

- Database designers interview prospective users and stakeholders
- Data requirements describe what kind of data is needed
- Functional requirements describe the operations performed on the data

#### Functional Analysis

- Concentrates on describing high-level user operations and transactions
  - Does also not contain implementation details
  - Should be matched versus conceptual model



# 2.3 Phases of DB Design

#### Conceptual Design

- Transforms data requirements to conceptual model
- Conceptual model describes data entities, relationships, constraints, etc. on high-level
  - Does not contain any implementation details
  - Independent of used software and hardware

#### Logical Design

- Maps the conceptual data model to the logical data model used by the DBMS
  - e.g. relational model, hierarchical model, ...
  - Technology independent conceptual model is adapted to the used DBMS software

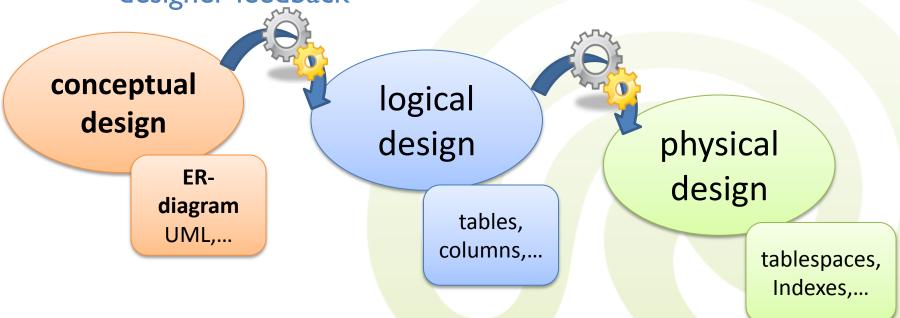
#### Physical Design

- Creates internal structures needed to efficiently store/manage data
  - Table spaces, indexes, access paths, ...
  - Depends on used hardware and DBMS software



# (2.3 Phases of DB Design

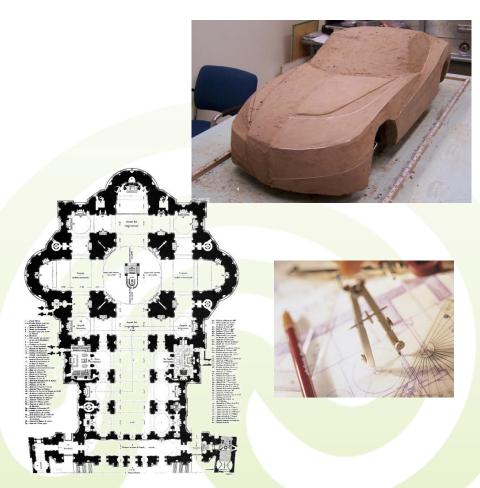
- While modeling the data, 3 design phases have to be completed
  - The result of one phases serves as input for the next phase
  - Often, automatic transition is possible with some additional designer feedback



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# 2.4 ER Modeling

- Traditional approach to Conceptual Modeling
  - Entity-Relationship Models (ER-Models)
    - Also known as Entity-Relationship Diagrams (ERD)
    - Introduced in 1976 by Peter Chen
    - Graphical representation
- Top-Down-Approach for modeling
  - Entities and Attributes
  - Relationships
  - Constraints
- Some derivates became popular
  - ER Crow's Foot Notation (Bachman Notation)
  - ER Baker Notation
  - Later: Unified Modeling Language (UML)





### 2.4 ER - Entities

#### Entities

- An entity represents a "thing" in the real world with an independent existence
  - An entity has an own identity and represents just one thing

Example: a car, a savings account, my neighbor's house, the cat "Snowflake", a product, ...









### 2.4 ER - Attributes

#### Attributes

- A property of an entity, entity type or a relationship type.
- Example: name of an employee, color of a car, balance of an account, location of a house,...
- Attributes can be classified as being:
  - simple or composite
  - single-valued or multi-valued
  - stored or derived
  - Example: name of a cat is simple, single-valued, and stored



# 2.4 ER – Entity Types

- Entity Types are sets of entities sharing the same characteristics or attributes
  - Each entity within the set has its own values
  - Each entity type is described by its name and attributes
    - Each entity is an **instance** of an entity type
  - Describes the so called schema or intension of similar entities



# (2.4 ER – Entity Sets

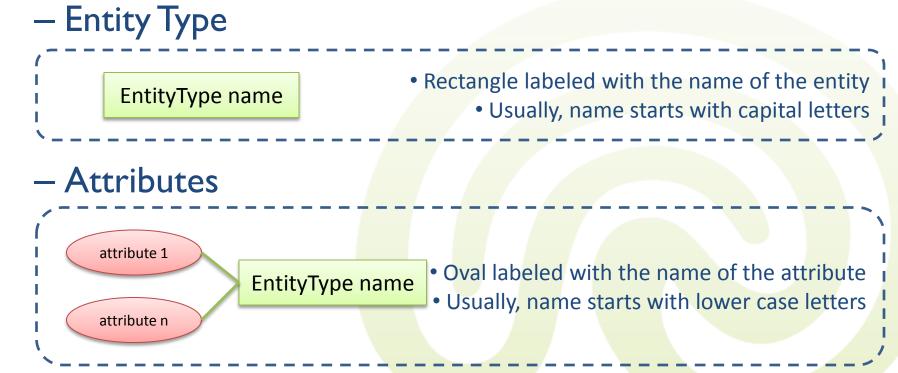
- An Entity Set is the collection of all entities of a given entity type
  - Entity sets often have the same name as the entity type
    - Cat may refer to the entity type as well as to the set of all Cat entities (sometimes also plural for the set: Cats)

 Also called the extension of an entity type (or **instance**)



# 2.4 ER Diagrams

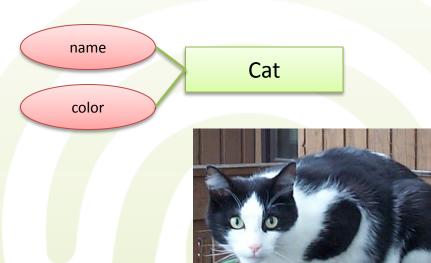
- ER diagrams represent entity types and relationships among them, not single entities
- Graphical Representation





# 2.4 ER Diagrams

- Textual Representation
  - Entity Types
    - Written as: EntityName (attribute1, attribute2, ...)
  - Entity
    - Written as: (value of attribute 1, value of attribute 2, ...)
- Example
  - Entity Type Cat
    - Cat (name, color)
  - Entity Set Cats
    - (Fluffy, black-white)
    - (Snowflake, white)
    - (Captain Hook, red)
    - •





#### 2.4 ER – Composite Attributes

#### Simple Attribute:

- Attribute composed of a single component with an independent existence
- Example: name of a cat, salary of an employee,...
  - Cat (name), Employee(salary),...

#### Composite Attribute:

- Attribute composed of multiple components, each with an independent existence
  - Graphically represented by connecting sub-attributes to main attribute
  - Textually represented by grouping sub-attributes in ()
- Example: address attribute of a company (is composed of street, house number, ZIP, city, and country)
- house number, ZIP, city, and country)

   Company (address(street, houseNo, ZIP, city))

  name

  Cat

  Company

  Composite

  City



## 2.4 ER Multi-Valued Attributes

#### Single-Valued Attribute

- Attribute holding a single value for each occurrence of an entity type
- Example: name of a cat, registrationNo. of a student

#### Multi-Valued Attributes (lists)

- Attribute holding (possibly) multiple values for each occurrence of an entity type.
  - Graphically indicated by a double-bordered oval
  - Textually represented by enclosing in {}
- Example: telephoneNo. of a student
  - Student ({phoneNo})





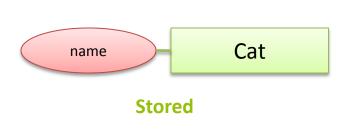
## 2.4 ER – Derived Attributes

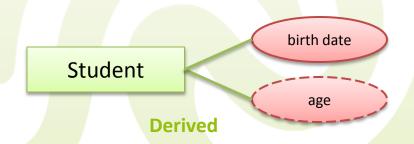
#### Stored Attribute

- The attribute is directly stored in the database

#### Derived Attribute

- The attribute is (usually) not stored in the DB but derived from an other, stored attribute
  - In special cases, it might also be stored for read performance reasons
- Indicated by dashed oval
- Example: Age can be derived from birth date, average grade can be derived by aggregating all stored grades





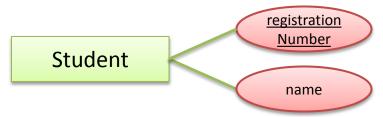


- Entities are only described by attribute values
  - Two entities with identical values cannot be distinguished (no OIDs, row IDs, etc.)
- Entities (usually) must be distinguishable
- Identification of entities with key attributes
  - Value combination of key attributes is unique within all possible extensions of the entity types
  - Key attributes are indicated by underlining the attribute name

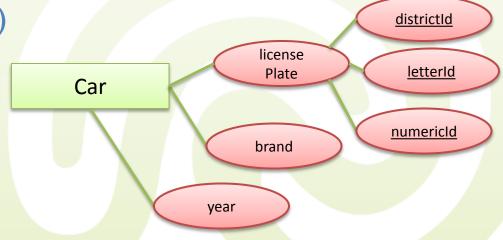


# **2.4 ER - Keys**

- Key attribute examples
  - Single key attribute



- Student (<u>registrationNumber</u>, name)
- (432451, Hans Müller)
- Composite key (multiple key attributes)
  - Car (licensePlate(districtId, letterId, numericId), brand, year)
  - ((BS,CL,797),VW,1998)
  - Please note that each key attribute itself is not unique!

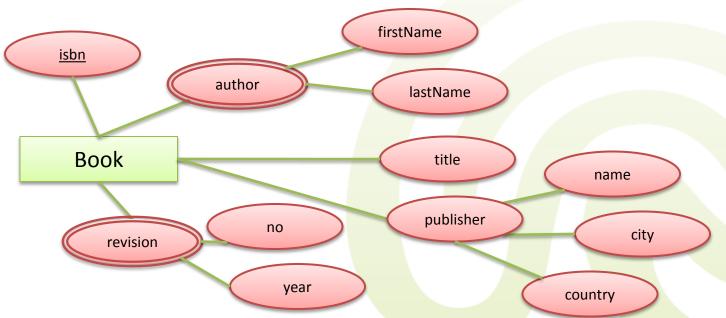




# 2.4 ER Modeling

### Example Entity Type

- Book (isbn, {author(firstName, lastName)}, title, subtitle, publisher(name,city, country), {revision(no, year)})
- (0321204484, {(Ramez, Elmasri), (Shamkant, Navathe)},Fundamentals of Database Systems, (Pearson, Boston, US),{(4,2004),(2, 1994)})





## 2.4 ER - Domains

- Attributes cannot have arbitrary values: they are restricted by the attribute **value sets** (**domains**)
  - Zip Codes may be restricted to integer values between 0 and 99999
  - Names may be restricted to character strings with maximum length of 120
  - Domains are not displayed in ER diagrams
  - Usually, popular data types are used to describe domains in data modeling
    - e.g. integer, float, string, ....



### Commonly used data types

Name	Syntax	description
integer	integer	32-Bit signed integer values between -2 <sup>31</sup> and 2 <sup>31</sup>
double	double	64-Bit floating point values of approximate precision
numeric	numeric(p, s)	A number with $p$ digit before the decimal and $s$ digitals after the decimal
character	char(x)	A textual string of the exact length x
varying character	varchar(x)	A textual string of the maximum length x
date	date	Stores year, month, and day
time	time	Stores hour, minute, and second values



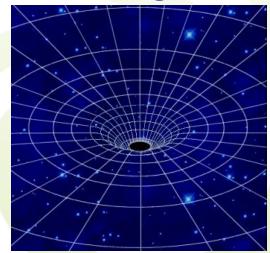
## 2.4 ER - Domains

- Using data types for modeling domains is actually a crutch
  - The original intention of domains was modeling all valid values for an attribute
    - Colors: {Red, Blue, Green, Yellow}
  - Using data types is very coarse and more a convenient solution
    - Colors: varchar(30) ???
  - To compensate for the lacking precision,
     often restrictions are used
    - Colors: varchar(30) restricted to {Red, Blue, Green, Yellow}



## 2.4 ER – NULL Values

- Sometimes, an attribute value is not known or an attribute does not apply for an entity
  - This is denoted by the special value NULL
    - So called NULL-value
  - Example: Attribute "universityDegree" of entity Heinz
     Müller may be NULL, if he does not have a degree
  - NULL is usually always allowed for any domain or data type unless explicitly excluded





# 2.4 ER – NULL Values

- What does it mean when you encounter a NULLvalue?
  - Attribute is not applicable
    - e.g. attribute "maiden name" when you don't have one
  - Value is not known
  - Value will be filled in later
  - Value is not important for the current entity
  - Value was just forgotten to set
- Actually there are more than 30 possible interpretations...



- Entities are not enough to model a miniworld
  - The power to model dependencies and relationships is needed
- In ER, there can be relationships between entities
  - Each relationship instance has a degree
    - i.e. the number of entities it relates to
  - A relationship instance may have attributes



- Similar to entities, ERDs do not model individual relationships, but relationship types
- Relationship type
  - Named set of all similar relationships with the same attributes and relating to the same entity types

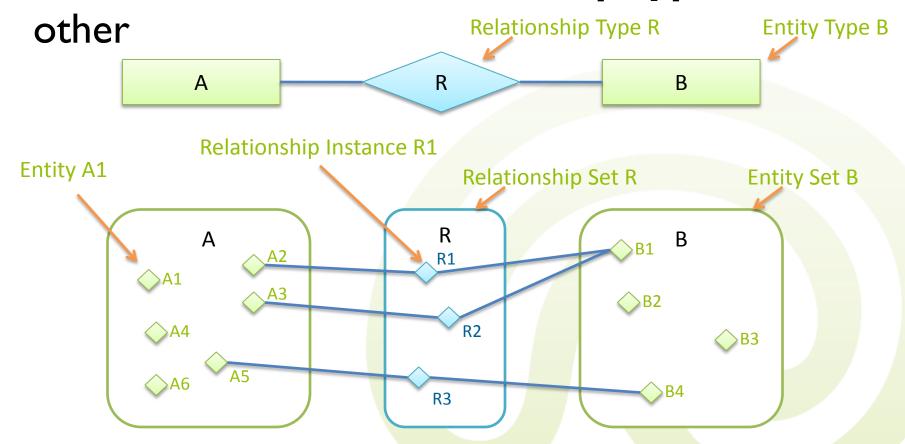


- Diamond labeled with the name of the relationship type
  - Usually, name starts with lower-case letters

- Relationship set
  - Set of all relationship instances of a certain relationship type



 Relationships relate entities within the entity sets involved in the relationship type to each





### Example:

- There is an 'ownership' relation between cats and persons



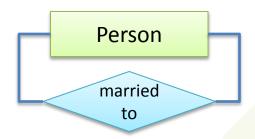


- But more modeling detail is needed
  - Does every person own a cat? Does every cat have an owner?
  - Can a cat have multiple owners or a person own multiple cats?
  - Since when does a person own some cat?
  - Who owns whom?



 Additionally, restrictions on the combinations of entities participating in an entity set are needed

- Example: Relationship type "married to"





- Unless living in Utah, a restriction should be modeled that each person can only be married to a single person at a time
  - i.e. each person entity may only appear once in the "married to" relationship set
- Cardinality annotations are used for this
- Relationship types referring to just one entity type are called recursive



#### Cardinality annotations

- One cardinality annotation per entity type / relationship end
  - Minimum and maximum constrains possible



- Common Cardinality Expressions:
  - (0, 1): Each entity may participate at most once in the relationship (i.e. relationship participation is optional)
  - (I, I): Each entity is bound exactly once
  - (0,\*) : Each entity may participate arbitrary often in the relationship
  - (1,\*): Each entity may participate arbitrary often in the relationship, but at least once
  - No annotation is usually interpreted as (0, \*)
  - If only one symbol / number s is used, this is interpreted as (0, s)
     \* = (0, \*); 4 = (0, 4)
  - Sometimes, N or M are used instead of \*



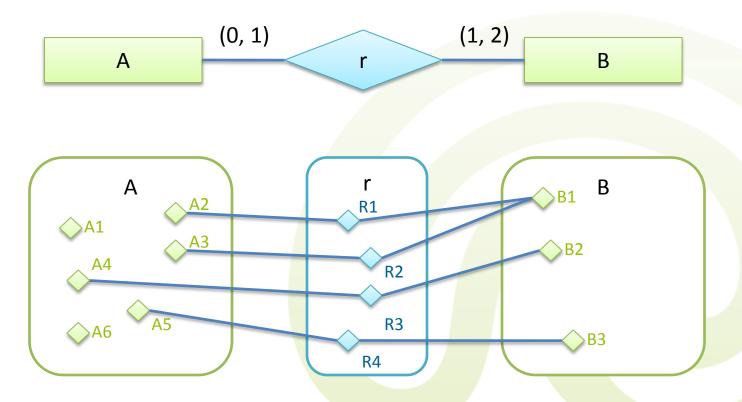
 Cardinalities express how often a specific entity may appear within a relationship set



- A specific entity of type A may appear up to once in the relationship set, an entity of type B appears at least once and at most twice
  - This means: Up to two entities of type A may relate to one entity of type B. Some entities in A are not related to any in B. All entities in B are related to at least one in A.



• "To each entity of type B, one or two entities of type A are related"

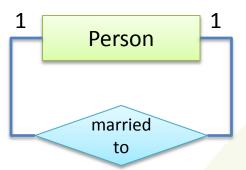




### • Example:

- "Each person can only be married to one other

person"

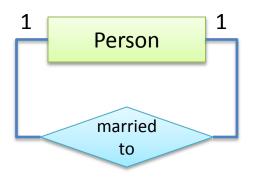


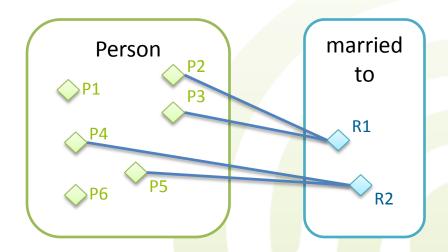


Each entity can only appear in one instance of the "married to" entity set









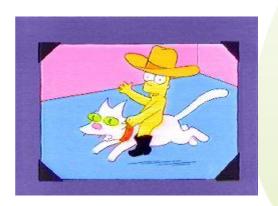


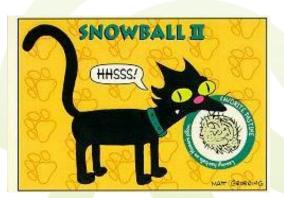
#### • Example:

 "A cat has up to 4 owners, but at least one. A person may own any number of cats."



"Lisa owns Snowball", "Lisa owns Snowball II"

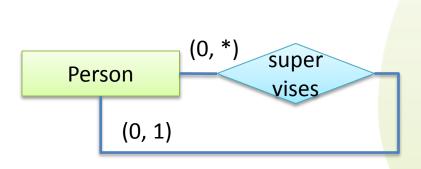


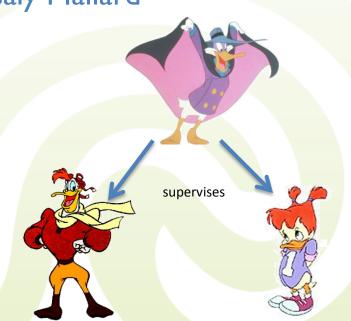




### • Example:

- "A person may supervise any other number of persons"
  - "Drake Mallard supervises Launchpad McQuack"
  - "Drake Mallard supervises Gosaly Mallard"





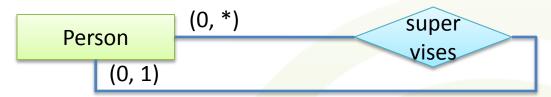


- Cardinalities for binary relationship types can be classified into common, more general cardinality types
  - These cardinality types are also often found in other modeling paradigms
    - I:I (One-To-One) Each entity of the first type can only relate to exactly one entity of the other type
    - I:N (One-To-Many) Each entity of the first type can relate to multiple entities of the other type
    - N:I (Many-To-One) Multiple entities of the first type can relate to exactly one entity of the second type
    - N:M (Many-To-Many) No restrictions. Any number of entities of first type may relate to any number of entities of second type.

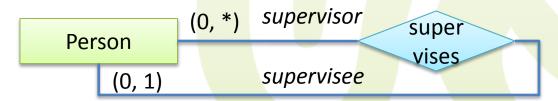


# 2.4 ER – Relationship Roles

- Often, it is beneficial to clarify the **role** of an entity within a relationship
  - Example: Relationship "supervises"



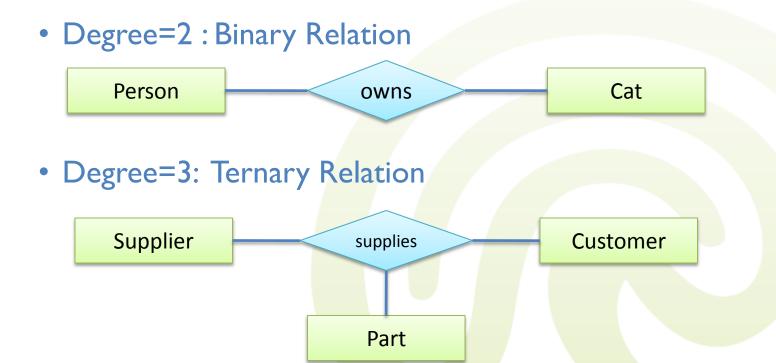
- What is meant? Who is the supervisor? Who is the supervised person?
- Roles can be annotated on the relationship lines





# 2.4 ER – Relationship Degree

- Relationship instances involve multiple entities
  - The number of entities in each relationship instance is called relationship degree





## 2.4 ER – Relationship Attributes

• Similar to entities, relationship types may even have **attributes** 

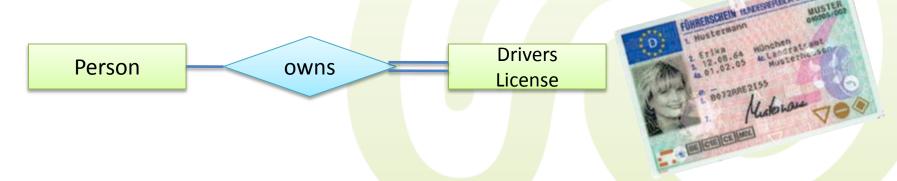


- For I:I relationships, the relationship attribute may be migrated to any of the participating attributes
- For I:N relationships, the attribute may be only migrated to the entity type on the N-side
- For N:M relationships, no migration is possible



# 2.4 ER - Total Participation

- To express that all entities of an entity type appear in a certain relationship set, the concept of total participation can be used
  - The entity type which is totally participating is indicated by a double line
  - Example: "Each driver's license must belong to a person"

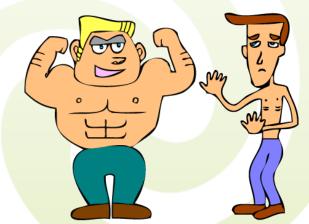




## 2.4 ER – Weak Entities

 Each entity needs to be identifiable by a set of key attributes

- Entities existing independently of the context are called strong entities
  - A person exists whether it is married or not
- In contrast, there may be entities without an unique key called weak entities





## 2.4 ER – Weak Entities

- Weak entities are identified by being related to strong entities
  - The strong entities "own" the weak entity
    - The weak one cannot exist without the strong ones
  - The relationships relating the strong to the weak are called identifying relationships
    - The weak entity is always totally participating in that relationship
  - Weak entities have partial keys which are unique within the identifying relationship sets of their strong entities
    - To be unique, the weak entity instance has to borrow the keys of the respective strong entity instances



## 2.4 ER – Weak Entities

- Weak entity types and identifying relationship types are depicted by double-lined rectangles
- Example:
  - "An online shopping order contains several order items"



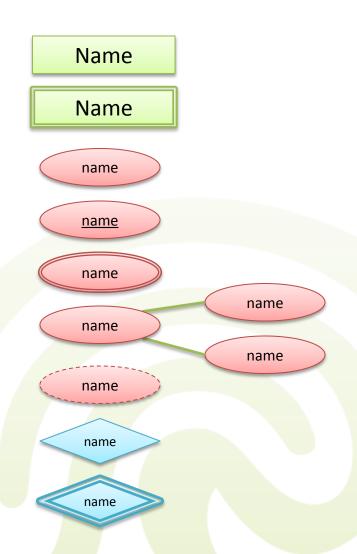
- An order item can only exits within an order
- Each order item can be identified by the orderNo of it's owning order and its itemLine

		Rank		
lame:	Phone:			
Email:				
Address:			Price	
	Quantity	Item Price		
Code	•			
Subtotal				
Discounts				
Total				



## 2.4 ER – Overview

- Entity Type
- Weak Entity Type
- Attribute
- Key Attribute
- Multi-valued Attribute
- Composite Attribute
- Derived Attribute
- Relationship Type
- Identifying Rel. Type





# 2.4 ER – Overview

Total participation of E2 in R



- Cardinality
  - An instance of E1 may relate to multiple instances of E2



- Specific cardinality with min and max
  - An instance of E1 may relate to multiple instances of E2





## 2.4 ER – Mathematical Model

- Mathematically, an attribute A of entity type E with domain V is a function from E to the power set P(V)
  - $-A:E\rightarrow P(V)$ 
    - The power set P(V) of V is the set of all subsets of V
  - The value of an attribute of the entity e is denoted as A(e)
  - This definition covers
    - null values (empty set)
    - single-valued attributes (restricted to singleton sets)
    - multi-valued attributes (no restrictions)
  - For a composite attribute  $A(A_1, A_2, ..., A_n)$ , V is defined as
    - $V = P(V_1) \times P(V_2) \times ... \times P(V_n)$



## 2.4 ER – Mathematical Model

- A relationship type R among n entity types  $E_1, E_2, ..., E_n$  defines a relationship set among instances of these entity types
  - Each relationship instance  $r_i$  within the relationship set R associates n individual entities  $(e_1, e_2, ..., e_n)$ , and each entity  $e_j$  in  $r_i$  is member of the entity type  $E_j$ ,  $1 \le j \le n$
  - Alternatively, the relationship type R can be seen as a subset of the Cartesian product of the entity types
    - $R \subseteq E_1 \times E_2 \times ..., \times E_n$





- We want to model a simple university database
  - In our database, we have students. They have a name, a registration number, and a course of study.
  - The university offers lectures. Each lecture may be part of some course of study in a certain semester. Lectures may have other lectures as prerequisites. They have a title, provide a specific number of credits and have an unique ID
  - Each year, some of these lectures are offered by a professor at a certain day at a fixed time in a specific room. Students may register for that lecture.
  - Professors have a name and are member of a specific department.





- How to start? What to do?
  - Find the basic entity types
  - Find the attributes of entities
    - Decide to which entity an attribute should be assigned
    - Which attributes are key attributes?
    - Some attributes are better modeled as own entities, which ones?
  - Define the relationship types
    - Which role do entities play?
    - Do relationships require additional entity types?
    - Are the relationships total? Identifying? Are weak entities involved?
    - What are the cardinalities of the relationship type?





### Which are our entity types?

- In our database, we have students. They have a name, a registration number and a course of study.
- The university offers lectures. Each lecture may be part of some course of study in a certain semester. Lectures may have other lectures as prerequisites. They have a title, provide a specific number of credits and have unique ID
- Each year, some of these lectures are offered by a professor at a certain day at a fixed time in a specific room. Students may attend that lecture.
- Professors have a name and are member of a specific department.





Student

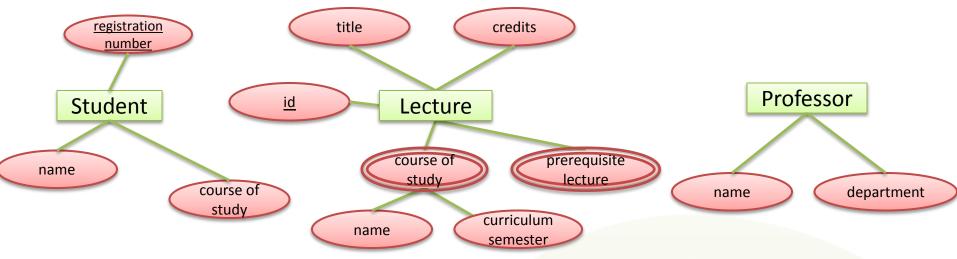
Lecture

**Professor** 

- What attributes are there?
  - In our database, we have students. They have a name, a registration number and a course of study.
  - The university offers lectures. Each lecture may be part of some course of study in a certain semester. Lectures may have other lectures as prerequisites. They have a title, provide a specific number of credits and have unique ID
  - Professors have a name and are member of a specific department.



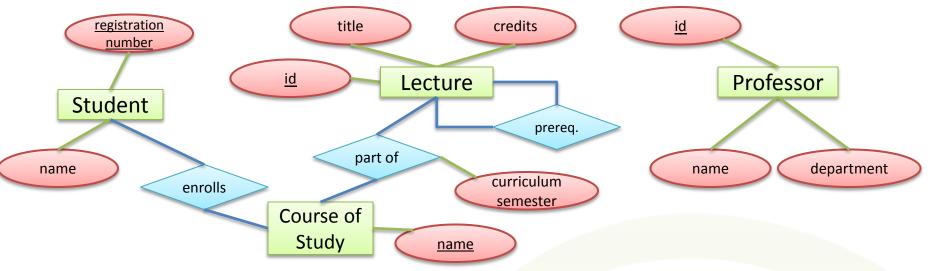




- First try...
  - This model is really crappy!
  - "Course of study" does not seem to be an attribute
    - Used by student and lecture. Even worse, lecture refers to a course of study in a specific curriculum semester.
    - Use additional entity type with relationships!
  - "Prerequisite lecture" also is not a good attribute
    - Prerequisite lectures are also lectures. Use a relationship instead!
  - "Professor" does not have key attributes



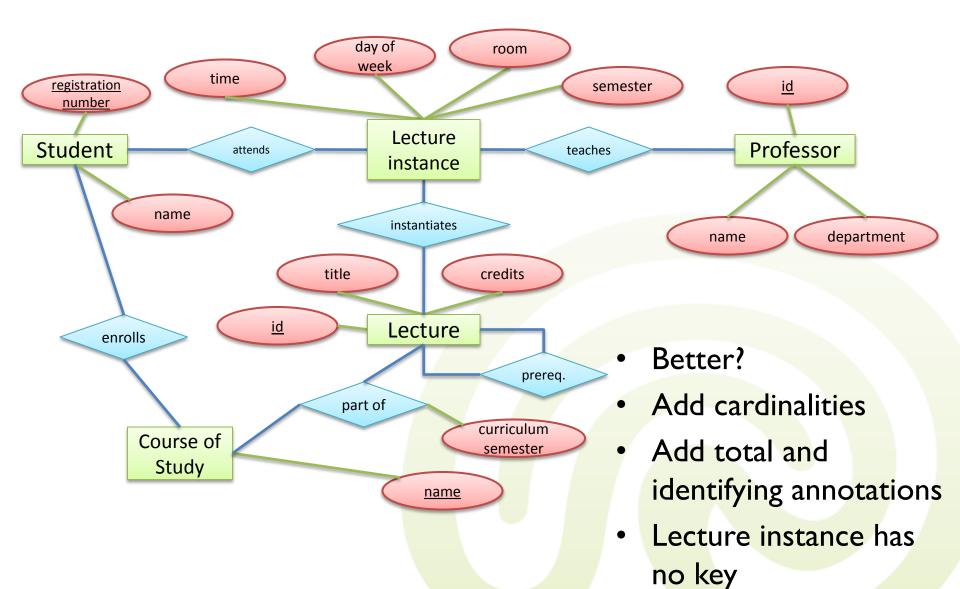




- Second try
  - Professors use a surrogate key now
    - Key is automatically generated and has no meaning beside unique identification
  - Course of study is an entity type now
- Which entity types are additionally related?
  - "Each year, some lectures of the pool of all lectures are offered by a professor at a certain day at a fixed time in a specific room.
     Students may attend that lecture."

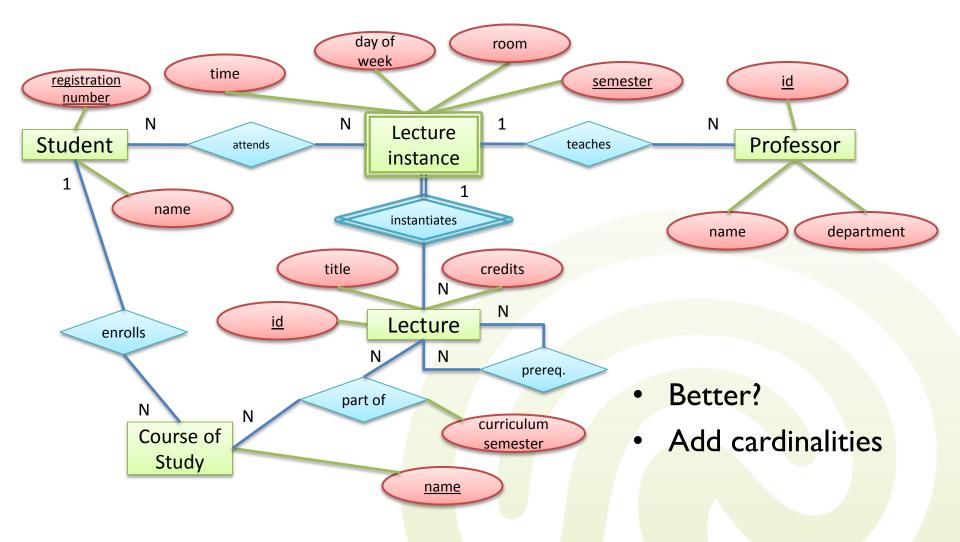
















- Modeling is not that simple
- Many possible (and also correct) ways of modeling the same miniworld
  - Some are more elegant, some are less elegant
- Models alone are not enough, they need to be documented
  - What are the meanings of the attributes? The meanings of the relationships?



- Data models
  - 3 parts (structural, integrity, manipulation)
  - 3 categories (conceptual, logical, physical)
  - Schemas are instances of data models
- Database Applications
  - ANSI-SPARC architecture
    - 3 Layers (presentation, logical, physical)
    - Data independence
- ER Modeling
  - Chen notation

