1004455 recurrent network V2

April 4, 2022

1 Recurrent Neural Network Excercise

In this exercise, you will learn how to build RNN and LSTM models to classify images of hand-written digits from MNIST dataset.

The MNIST dataset contains 60,000 examples for training and 10,000 examples for testing. The digits have been size-normalized and centered in a fixed-size image (28x28 pixels) with values from 0 to 1. More information on the MNIST dataset can be found at: http://yann.lecun.com/exdb/mnist/

To classify images using a recurrent neural network, each image (shape 28*28 pixels) is divided into 28 rows and sent into the network row by row, i.e., when t=1, row 1 is sent into the network, and so on, when t=28, the last row is sent into the network, as shown in the figure below.

This exercise includes 3 parts.

2 Excercise Part 1: Basic RNN

In Excercise Part 1, perform the following steps.

- 1.1: Run the provided setup code.
- 1.2: Complete the code in 1.2 used to build basic RNN models and run. **The code in 1.2 will be graded**.
- 1.3: Run the provided code to configure the 1st basic RNN model. The size of its hidden states is set to 128.
- 1.4: Run the provided code to train the 1st basic RNN model.
- 1.5: Run the provided code to test the trained 1st basic RNN model.
- 1.6: Complete and run the code to configure the 2nd basic RNN model. You need to set the size of its hidden states to 64. **The code in 1.6 will be graded**.
- 1.7: Run the provided code to train the 2nd basic RNN model.
- 1.8: Run the provided code to test the trained 2nd basic RNN model.

1.9: Answer questions in 1.9.1, 1.9.2, and 1.9.3. The answers in 1.9.1, 1.9.2, and 1.9.3 will be graded.

STEP 1.1: SETUP CODE FOR EXCERCISE PART 1

```
[]: import tensorflow as tf
     from tensorflow import keras
     import tensorflow_datasets as tfds
     import os
     import numpy as np
     import random
     from matplotlib import pyplot as plt
     # Define training parameters
     learning_rate = 0.001
     training_steps = 10000
     batch_size = 128
     # Define network parameters
     num input = 28 # MNIST data input (img shape: 28*28)
     timesteps = 28 # timesteps
     num_classes = 10 # MNIST total classes (0-9 digits)
     # Import MNIST dataset from tensorflow_dataset (tfds).
     # More information about tfds can be found on https://www.tensorflow.org/
      \hookrightarrow datasets/api\_docs/python/tfds
     (ds_train, ds_test), ds_info = tfds.load(
         'mnist',
         split=['train', 'test'],
         shuffle_files=False, # True
         as_supervised=True,
         with_info=True,
     )
     # Function to transform train and test datasets
     def transform(image, label):
       """Transform images: remove last dimension of size 1"""
       """Transform images: uint8 -> float32"""
       """Transform labels: indices -> one-hots"""
       return tf.cast(tf.squeeze(image, -1), tf.float32) / 255., tf.one_hot(label,_
      →10)
     # Pre-process train dataset
     ds_train = ds_train.map(
         transform, num_parallel_calls=tf.data.AUTOTUNE)
     ds_train = ds_train.cache() #
     ds_train = ds_train.shuffle(ds_info.splits['train'].num_examples)
```

```
ds_train = ds_train.batch(128, drop_remainder=True)
ds_train = ds_train.prefetch(tf.data.AUTOTUNE)
# Pre-process test dataset
ds_test = ds_test.map(
    transform, num_parallel_calls=tf.data.AUTOTUNE)
ds test = ds test.cache()
ds_test = ds_test.batch(128)
ds test = ds test.prefetch(tf.data.AUTOTUNE)
Dl Completed...: 0 url [00:00, ? url/s]
Dl Completed...:
                 0%|
                               | 0/1 [00:00<?, ? url/s]
                          | 1/1 [00:00<00:00, 148.42 url/s]
Dl Completed...: 100%|
Dl Completed...: 100%|
                         | 1/1 [00:00<00:00, 105.63 url/s]
Dl Completed...: 100%|
                         | 1/1 [00:00<00:00, 93.69 url/s]
Dl Completed...: 50%|
                            | 1/2 [00:00<00:00, 65.52 url/s]
                        | 2/2 [00:00<00:00, 114.23 url/s]
Dl Completed...: 100%|
Dl Completed...: 100%|
                         | 2/2 [00:00<00:00, 97.08 url/s]
Dl Completed...: 100%|
                         | 2/2 [00:00<00:00, 80.68 url/s]
Dl Completed...: 67%|
                          | 2/3 [00:00<00:00, 69.66 url/s]
Dl Completed...: 100%|
                      | 3/3 [00:00<00:00, 100.98 url/s]
Dl Completed...: 100%|
                        | 3/3 [00:00<00:00, 94.75 url/s]
                        | 3/3 [00:00<00:00, 87.64 url/s]
Dl Completed...: 100%|
                         | 3/4 [00:00<00:00, 77.34 url/s]
Dl Completed...: 75%
                     | 4/4 [00:00<00:00, 99.42 url/s]
Dl Completed...: 100%|
Dl Completed...: 100%|
                         | 4/4 [00:00<00:00, 94.18 url/s]
Dl Completed...: 100%|
                         | 4/4 [00:00<00:00, 88.64 url/s]
Extraction completed...: 0 file [00:00, ? file/s]
Dl Size...: 100%|
                    | 11594722/11594722 [00:00<00:00, 229411462.43 MiB/s]
                          | 4/4 [00:00<00:00, 77.25 url/s]
Dl Completed...: 100%|
Generating splits...:
                      0%1
                                    | 0/2 [00:00<?, ? splits/s]
Downloading and preparing dataset Unknown size (download: Unknown size,
generated: Unknown size, total: Unknown size) to
~\tensorflow_datasets\mnist\3.0.1...
```

Dataset mnist downloaded and prepared to ~\tensorflow_datasets\mnist\3.0.1.

Subsequent calls will reuse this data.

WARNING:tensorflow:AutoGraph could not transform <function transform at 0x00000242A9DE4798> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs' To silence this warning, decorate the function with

@tf.autograph.experimental.do_not_convert WARNING:tensorflow:AutoGraph could not transform <function transform at 0x00000242A9DE4798 and will run it as-is. Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output. Cause: 'arguments' object has no attribute 'posonlyargs' To silence this warning, decorate the function with @tf.autograph.experimental.do_not_convert WARNING: AutoGraph could not transform <function transform at 0x00000242A9DE4798 and will run it as-is. Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output. Cause: 'arguments' object has no attribute 'posonlyargs' To silence this warning, decorate the function with

STEP 1.2: COMPLETE CODE USED TO BUILD BASIC RNN MODELS

Fig. 1: Formulas for a basic RNN model

@tf.autograph.experimental.do_not_convert

```
[]: class RNN(keras.layers.Layer):
      def __init__(self, input_dim, hidden_dim, output_dim, batch_sz):
        super(RNN, self).__init__()
       init = tf.random_normal_initializer()
       self.b = tf.Variable(
           initial value=init(shape=(1,hidden dim,1), dtype="float32"),
           trainable=True)
       self.W = tf.Variable(
           initial_value=init(shape=(1,hidden_dim,hidden_dim), dtype="float32"),
           trainable=True)
        self.d = tf.Variable(
           initial_value=init(shape=(1,output_dim,1), dtype="float32"),
           trainable=True)
        self.V = tf.Variable(
           initial_value=init(shape=(1,output_dim,hidden_dim), dtype="float32"),
           trainable=True)
```

```
# TODO:
  \# b, W, U, d, and V are model parameters.
 # The code to initialize b, W, d, and V is provided above.
  # Please fill in the space indicated by **** to initialize U as well.
 # Hint: You can infer the shape of U from the 1st equation in Fig. 1.
  #
self.U = tf.Variable(
    initial_value=init(shape=(1,hidden_dim, input_dim), dtype="float32"),
    trainable=True)
#
                        END OF YOUR CODE
→ #
def call(self, x, h_init, num_timestep):
  # Inputs:
 # x: [batch_sz,num_row,num_column]
  # h init: [1, num hidden, 1]
  # num_timestep: int
  # Output:
 # y: [batch_sz,num_class], softmax normalized class probabilities
 h = h_{init}
 for ts in range(num_timestep):
   # curr_x: input sequence at the current timestep
   curr_x = tf.expand_dims(x[:,ts,:], -1) # [b,input_dim,1]
   a = self.b + tf.linalg.matmul(self.W, h) + tf.linalg.matmul(self.U,__
⇔curr_x)
# TODO:
    #
```

```
# Fill in the space indicated by ***** to implement the 2nd equation in
\hookrightarrow Fiq. 1 #
   # to compute hidden state h from activation a.
   # You should use tf.math.tanh. More information on tf.math.tanh can be !!
\hookrightarrow found
   # at https://www.tensorflow.org/api_docs/python/tf/math/tanh
h = tf.math.tanh(a)
#
                        END OF YOUR CODE
    #
y = self.d + tf.linalg.matmul(self.V, h)
 y = tf.squeeze(y)
 y = tf.nn.softmax(y, axis=-1)
 return y
```

STEP 1.3: CONFIGURE THE 1ST BASIC RNN MODEL

Use the provided code to configure the 1st basic RNN model whose hidden states have the size of 128.

```
[]: # Configure the 1st basic RNN model
     basic_RNN1 = RNN(input_dim=num_input,
         hidden_dim=128,
         output_dim=num_classes,
         batch_sz=batch_size)
     # Instantiate a tf.keras.Model for the 1st basic RNN model
     # More information can be found at https://www.tensorflow.org/api_docs/python/
     ⇔tf/keras/Model
     input_data = tf.keras.Input(shape=(timesteps, num_input), batch_size=batch_size,
         dtype=tf.float32)
     h_{init} = 0 * tf.ones([1,128,1], tf.float32)
     prediction_basic_RNN1 = basic_RNN1(input_data, h_init=h_init,__
      →num timestep=timesteps)
    model_basic_RNN1 = tf.keras.Model(input_data, prediction_basic_RNN1)
     model_basic_RNN1.compile(optimizer='adam',
       loss=tf.keras.losses.CategoricalCrossentropy(),
```

metrics=['accuracy'])

WARNING:tensorflow:AutoGraph could not transform <bound method RNN.call of <_main__.RNN object at 0x00000242A9799BC8>> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: module 'gast' has no attribute 'Constant'
To silence this warning, decorate the function with @tf.autograph.experimental.do_not_convert

WARNING:tensorflow:AutoGraph could not transform

bound method RNN.call of <__main__.RNN object at 0x00000242A9799BC8>> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: module 'gast' has no attribute 'Constant'
To silence this warning, decorate the function with @tf.autograph.experimental.do_not_convert

WARNING: AutoGraph could not transform <bound method RNN.call of <__main__.RNN object at 0x00000242A9799BC8>> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: module 'gast' has no attribute 'Constant'
To silence this warning, decorate the function with @tf.autograph.experimental.do_not_convert

STEP 1.4: TRAIN THE 1ST BASIC RNN MODEL

You should expect to see training accuracy > or near 90%.

[]: model_basic_RNN1.fit(ds_train, epochs=4)

Epoch 1/4

WARNING:tensorflow:AutoGraph could not transform <function Model.make_train_function.<locals>.train_function at 0x0000002429FF54438> and

will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert

WARNING:tensorflow:AutoGraph could not transform <function Model.make_train_function.<locals>.train_function at 0x000002429FF54438> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the

```
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do not convert
WARNING: AutoGraph could not transform <function
Model.make_train_function.<locals>.train_function at 0x0000002429FF54438> and
will run it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
accuracy: 0.7086
Epoch 2/4
468/468 [============= ] - 13s 28ms/step - loss: 0.4517 -
accuracy: 0.8632
Epoch 3/4
468/468 [============== ] - 14s 30ms/step - loss: 0.3212 -
accuracy: 0.9062
Epoch 4/4
468/468 [============= ] - 13s 28ms/step - loss: 0.2463 -
accuracy: 0.9286
```

[]: <keras.callbacks.History at 0x242a93aaf88>

STEP 1.5: TEST THE TRAINED 1ST BASIC RNN MODEL

You should expect to see testing accuracy > or near 90%.

Testing accuracy of the 1st basic RNN model: 0.924300

STEP 1.6: CONFIGURE THE 2ND BASIC RNN MODEL

Complete the code to configure the 2nd basic RNN model whose hidden states have the size of 64.

```
[]: # Configure the 2nd basic RNN model
   # TODO:
   # Fill in the space indicated by ***** to configure a basic RNN model where
   # the size of hidden states is 64...
   basic_RNN2 = RNN(input_dim=num_input,
        hidden_dim=64,
        output_dim=num_classes,
        batch_sz=batch_size)
   END OF YOUR CODE
   # Instantiate a tf.keras.Model for the 2nd basic RNN model
   # More information can be found at https://www.tensorflow.org/api docs/python/
    ⇔tf/keras/Model
   input_data = tf.keras.Input(shape=(timesteps, num_input), batch_size=batch_size,
      dtype=tf.float32)
   h_{init} = 0 * tf.ones([1,64,1], tf.float32)
   prediction_basic_RNN2 = basic_RNN2(input_data, h_init=h_init,__
    →num_timestep=timesteps)
   model basic RNN2 = tf.keras.Model(input data, prediction basic RNN2)
   model_basic_RNN2.compile(optimizer='adam',
     loss=tf.keras.losses.CategoricalCrossentropy(),
     metrics=['accuracy'])
```

STEP 1.7: TRAIN THE 2ND BASIC RNN MODEL

```
[]: model_basic_RNN2.fit(ds_train, epochs=4)
```

```
Epoch 1/4
WARNING:tensorflow:AutoGraph could not transform <function
Model.make_train_function.<locals>.train_function at 0x000002429FF54A68> and
will run it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
```

```
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
WARNING:tensorflow:AutoGraph could not transform <function
Model.make_train_function.<locals>.train_function at 0x000002429FF54A68> and
will run it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
WARNING: AutoGraph could not transform <function
Model.make_train_function.<locals>.train_function at 0x000002429FF54A68> and
will run it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
accuracy: 0.4103
Epoch 2/4
468/468 [============= ] - 5s 10ms/step - loss: 1.2038 -
accuracy: 0.5458
Epoch 3/4
accuracy: 0.6571
Epoch 4/4
```

[]: <keras.callbacks.History at 0x242accd4a08>

accuracy: 0.7437

STEP 1.8: TEST THE TRAINED 2ND BASIC RNN MODEL

```
# one-hot to index
predicted_digits.append(np.squeeze(tf.argmax(predicted_digit, axis=-1).
numpy()))
gt_digits.append(np.squeeze(tf.argmax(gt_digit, axis=-1).numpy()))

predicted_digits = np.squeeze(np.concatenate(predicted_digits))
gt_digits = np.squeeze(np.concatenate(gt_digits))

test_accuracy = np.sum(predicted_digits == gt_digits) / np.
shape(predicted_digits)[0]

print('Testing accuracy of the 2nd basic RNN model: %f' % test_accuracy)
```

Testing accuracy of the 2nd basic RNN model: 0.777200

STEP 1.9: ANSWER THE QUESTIONS BELOW

1.9.1: During training, we set initial hidden state (h_init) to all zeros for both models. During testing, we also set initial hidden state (h_init) to all zeros for both models. If we set h_init to all 1000s during testing, can we get similar accuracies as before? Complete and run the code below to find out.

Report testing accuracies with h_init set to all zeros and all 1000s and give a possible explanation for the accuracy difference.

In addition, can you think of two different ways to set initial hidden state during training and testing?

```
[]:  # Test the trained basic RNN models with h_init set to all 1000s
   # TODO:
   # Fill in the space indicated by ***** to set h init RNN1 and h init RNN2 to
                                                       #
   # all 1000s.
   h_init_RNN1 = 1000 * tf.ones([1,128,1], tf.float32)
   h_{init_RNN2} = 1000 * tf.ones([1,64,1], tf.float32)
   END OF YOUR CODE
   predicted digits RNN1 = []
   predicted_digits_RNN2 = []
   gt digits = []
   for input_sequences, gt_digit in ds_test:
    predicted_digit_RNN1 = basic_RNN1(input_sequences, h_init=h_init_RNN1,_
    →num_timestep=timesteps)
    predicted_digit_RNN2 = basic_RNN2(input_sequences, h_init=h_init_RNN2,_
    →num_timestep=timesteps)
```

```
# one-hot to index
 predicted_digits_RNN1.append(np.squeeze(tf.argmax(predicted_digit_RNN1,_
 \Rightarrowaxis=-1).numpy()))
 predicted_digits_RNN2.append(np.squeeze(tf.argmax(predicted_digit_RNN2,_
 ⇔axis=-1).numpy()))
 gt_digits.append(np.squeeze(tf.argmax(gt_digit, axis=-1).numpy()))
predicted_digits_RNN1 = np.squeeze(np.concatenate(predicted_digits_RNN1))
predicted_digits_RNN2 = np.squeeze(np.concatenate(predicted_digits_RNN2))
gt digits = np.squeeze(np.concatenate(gt digits))
test_accuracy_RNN1 = np.sum(predicted_digits_RNN1 == gt_digits) / np.
 ⇒shape(predicted_digits_RNN1)[0]
test_accuracy_RNN2 = np.sum(predicted_digits_RNN2 == gt_digits) / np.
 ⇒shape(predicted_digits_RNN2)[0]
print('Testing accuracy of the 1st basic RNN model with h_init set to all 1000s:
 → %f' % test accuracy RNN1)
print('Testing accuracy of the 2nd basic RNN model with h_init set to all 1000s:
```

Testing accuracy of the 1st basic RNN model with h_{init} set to all 1000s: 0.662700

Testing accuracy of the 2nd basic RNN model with h_init set to all 1000s: 0.387700

Your Answer:

Output with h init set to 0s:

Testing accuracy of the 1st basic RNN model with h_{init} set to all 0s: 0.924300 Testing accuracy of the 2nd basic RNN model with h_{init} set to all 0s: 0.777200

Output with h_init set to 1000s:

Testing accuracy of the 1st basic RNN model with h_init set to all 1000s: 0.662700 Testing accuracy of the 2nd basic RNN model with h init set to all 1000s: 0.387700

The testing accuracy decreases for both models when h_init is set to all 1000s. h is the activation layer, where tanh is applied on the input layer. h_init is the initialized weight matrix of h.

1.9.2: Run the code below to print summaries of the 2 basic RNN models. Based on printed summaries, how many trainable parameters are there? Which model has more trainable parameters and why?

More details can be found at https://www.tensorflow.org/api docs/python/tf/keras/Model

```
[]: model_basic_RNN1.summary()
```

Model: "model"

Layer (type)	Output Shape	Param #
input_1 (InputLayer)	[(128, 28, 28)]	0
rnn (RNN)	(128, 10)	21386

Total params: 21,386 Trainable params: 21,386 Non-trainable params: 0

[]: model_basic_RNN2.summary()

Model: "model_1"

Layer (type)	Output Shape	Param #
input_2 (InputLayer)	[(128, 28, 28)]	0
rnn_1 (RNN)	(128, 10)	6602

Total params: 6,602 Trainable params: 6,602 Non-trainable params: 0

Your Answer: RNN1 has 21, 386 trainable parameters. RNN2 has 6, 602 trainable parameters. RNN1 has twice the number of hidden states (128) as compared to RNN2 (64), thus RNN1 would have more parameters.

1.9.3: We have trained 2 basic RNN models whose hidden states have the size of 128 and 64 respectively. Which model has a higher testing accuracy? What is the possible cause for the performance difference?

Your Answer: RNN1 is more accurate with a testing accuracy of 0.663, as compared to RNN2 with a testing accuracy of 0.388. The difference in performance could be due to the difference in hidden state sizes, where less hidden states and less trainable parameters means that RNN2 has a lower ability to finetune itself to the data as compared to RNN1.

3 Excercise Part 2: LSTM

In Excercise Part 2, perform the following steps.

- 2.1: Reset runtime to clear past variables and functions.
- 2.2: Run the provided setup code.

- 2.3: Complete and run the code used to build LSTM models. The code in 2.3 will be graded.
- 2.4: Run the provided code to configure the LSTM model.
- 2.5: Run the provided code to train the LSTM model.
- 2.6: Run the provided code to test the trained LSTM model.
- 2.7: Use the provided code to visualize prediction of a randomly sampled test image.
- 2.8: Answer questions in 2.8.1 and 2.8.2. The answers in 2.8.1 and 2.8.2 will be graded.

STEP 2.1: RESET RUNTIME

Variables and functions of Excercise Part 1 are still saved in virtual machines.

It is a good practice to clear no longer needed past variables and functions in Colab notebook by resetting runtime such that virtual machines can return to original state.

To do so, select "Factory reset runtime" from "Runtime" drop down menu, as shown below.

STEP 2.2: SETUP CODE FOR EXCERCISE PART 2

```
[]: import tensorflow as tf
     from tensorflow import keras
     import tensorflow datasets as tfds
     import os
     import numpy as np
     import random
     from matplotlib import pyplot as plt
     # Define training parameters
     learning_rate = 0.001
     training_steps = 10000
     batch_size = 128
     # Define network parameters
     num input = 28 # MNIST data input (img shape: 28*28)
     timesteps = 28 # timesteps
     num classes = 10 # MNIST total classes (0-9 digits)
     # Import MNIST dataset from tensorflow_dataset (tfds). More information about_
      •tfds can be found on https://www.tensorflow.org/datasets/api_docs/python/tfds
     (ds_train, ds_test), ds_info = tfds.load(
         'mnist',
         split=['train', 'test'],
         shuffle_files=False, # True
         as_supervised=True,
         with_info=True,
```

```
# Function to transform train and test datasets
def transform(image, label):
  """Transform images: remove last dimension of size 1"""
  """Transform images: uint8 -> float32"""
  """Transform labels: indices -> one-hots"""
  return tf.cast(tf.squeeze(image, -1), tf.float32) / 255., tf.one_hot(label,_
  →10)
# Pre-process train dataset
ds_train = ds_train.map(
    transform, num_parallel_calls=tf.data.AUTOTUNE)
ds_train = ds_train.cache() #
ds_train = ds_train.shuffle(ds_info.splits['train'].num_examples)
ds_train = ds_train.batch(128, drop_remainder=True)
ds_train = ds_train.prefetch(tf.data.AUTOTUNE)
# Pre-process test dataset
ds_test = ds_test.map(
    transform, num_parallel_calls=tf.data.AUTOTUNE)
ds test = ds test.cache()
ds_test = ds_test.batch(128, drop_remainder=True)
ds_test = ds_test.prefetch(tf.data.AUTOTUNE)
WARNING:tensorflow:AutoGraph could not transform <function transform at
0x0000024285DE1168 and will run it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
WARNING:tensorflow:AutoGraph could not transform <function transform at
0x0000024285DE1168 and will run it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
WARNING: AutoGraph could not transform <function transform at
0x0000024285DE1168 and will run it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
```

```
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
STEP 2.3: COMPLETE CODE USED TO BUILD LSTM MODELS
```

Fig. 2: Formulas for a LSTM model

```
[]: class Long_short_term_memory(keras.layers.Layer):
       def __init__(self, input_dim, hidden_dim, output_dim, batch_sz):
         super(Long_short_term_memory, self).__init__()
         init = tf.random_normal_initializer()
         self.M_input = tf.Variable(
             initial_value=init(shape=(1,hidden_dim,hidden_dim+input_dim),__
      ⇔dtype="float32"),
             trainable=True)
         self.M_forget = tf.Variable(
             initial_value=init(shape=(1,hidden_dim,hidden_dim+input_dim),__

dtype="float32"),
             trainable=True)
         self.M_output = tf.Variable(
             initial_value=init(shape=(1,hidden_dim,hidden_dim+input_dim),__

dtype="float32"),
             trainable=True)
         self.M_modulated_input = tf.Variable(
             initial_value=init(shape=(1,hidden_dim,hidden_dim+input_dim),__

dtype="float32"),
             trainable=True)
         self.d = tf.Variable(
             initial_value=init(shape=(1,output_dim,1), dtype="float32"),
             trainable=True)
         self.V = tf.Variable(
             initial_value=init(shape=(1,output_dim,hidden_dim), dtype="float32"),
             trainable=True)
       def call(self, x, h_init, c_init, num_timestep):
         # Inputs:
         # x: [batch_sz,num_row,num_column]
                                                                                     ш
         # h init: [1, num hidden, 1]
                                                                                     Ш
```

```
# c_init: [1,num_hidden,1]
\hookrightarrow
  # num_timestep: int
  # Output:
  # y: [batch_sz,num_class], softmax normalized class probabilities
  h = h_{init} # [b,h,1]
  c = c_init # [b,h,1]
  for ts in range(num_timestep):
   # curr_x: input sequence at the current timestep
   curr_x = tf.expand_dims(x[:,ts,:], -1) # [b,28,1]
   curr_hx = tf.concat((h,curr_x), 1) # [b,h+28,1]
   i = tf.linalg.matmul(self.M_input, curr_hx)
   f = tf.linalg.matmul(self.M forget, curr hx)
   o = tf.linalg.matmul(self.M_output, curr_hx)
# TODO:
    #
   # The code to compute input_gate i, forget_gate f, and output_date o is
   # provided. Complete the space indicated by ***** to compute modulated
⇒input u #
   # before sigmoid activation, as shown in the 4th equation in Fig. 2.
   # You should use tf.linalq.matmul. More information on tf.linalq.matmul_
⇔can be #
   # found at https://www.tensorflow.org/api_docs/python/tf/linalg/matmul
    #
u = tf.linalg.matmul(self.M_modulated_input, curr_hx)
#
                           END OF YOUR CODE
                                                            ш
    #
i = tf.math.sigmoid(i)
   f = tf.math.sigmoid(f)
   o = tf.math.sigmoid(o)
   u = tf.math.tanh(u)
```

```
c = i * u + f * c
h = o * tf.math.tanh(c)

y = self.d + tf.linalg.matmul(self.V, h)
y = tf.squeeze(y)
y = tf.nn.softmax(y, axis=-1) # Convert to probabilities

return y
```

STEP 2.4: CONFIGURE THE LSTM MODEL

Use the provided code to configure the LSTM model whose hidden states have the size of 128.

```
[]: # Configure the LSTM model
     LSTM = Long_short_term_memory(input_dim=num_input,
         hidden dim=128,
         output dim=num classes,
         batch_sz=batch_size)
     # Instantiate a tf.keras.Model for the LSTM model
     # More information can be found at https://www.tensorflow.org/api_docs/python/
      ⇔tf/keras/Model
     input_data = tf.keras.Input(shape=(timesteps, num_input), batch_size=batch_size,
         dtype=tf.float32)
     h_init = tf.zeros([batch_size,128,1], tf.float32)
     c_init = tf.zeros([batch_size,128,1], tf.float32)
     prediction_LSTM = LSTM(input_data, h_init=h_init, c_init=c_init,__
      →num_timestep=timesteps)
     model_LSTM = tf.keras.Model(input_data, prediction_LSTM)
     model_LSTM.compile(optimizer='adam',
       loss=tf.keras.losses.CategoricalCrossentropy(),
       metrics=['accuracy'])
```

WARNING:tensorflow:AutoGraph could not transform <bound method
Long_short_term_memory.call of <__main__.Long_short_term_memory object at
0x00000242AD98BBC8>> and will run it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
Cause: module 'gast' has no attribute 'Constant'
To silence this warning, decorate the function with
Off.autograph.experimental.do_not_convert

WARNING:tensorflow:AutoGraph could not transform <bound method
Long_short_term_memory.call of <__main__.Long_short_term_memory object at
0x000000242AD98BBC8>> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: module 'gast' has no attribute 'Constant'

To silence this warning, decorate the function with

@tf.autograph.experimental.do_not_convert

WARNING: AutoGraph could not transform <boxdoond method Long_short_term_memory.call of <__main__.Long_short_term_memory object at 0x00000242AD98BBC8>> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: module 'gast' has no attribute 'Constant'
To silence this warning, decorate the function with @tf.autograph.experimental.do_not_convert

STEP 2.5: TRAIN THE LSTM MODEL

You should expect to see training accuracy > 90%.

[]: model_LSTM.fit(ds_train, epochs=4)

Epoch 1/4

WARNING:tensorflow:AutoGraph could not transform <function
Model.make_train_function.<locals>.train_function at 0x00000242AD22B828> and
will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert

WARNING:tensorflow:AutoGraph could not transform <function Model.make_train_function.<locals>.train_function at 0x00000242AD22B828> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert

WARNING: AutoGraph could not transform <function
Model.make_train_function.<locals>.train_function at 0x00000242AD22B828> and
will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

[]: <keras.callbacks.History at 0x242ad9bf888>

STEP 2.6: TEST THE TRAINED LSTM MODEL

You should expect to see testing accuracy > 90%.

```
[]: # Test the trained LSTM model
     h_init = tf.zeros([batch_size,128,1], tf.float32)
     c_init = tf.zeros([batch_size,128,1], tf.float32)
     predicted_digits = []
     gt_digits = []
     for input_sequences, gt_digit in ds_test:
      predicted_digit = LSTM(input_sequences, h_init=h_init, c_init=c_init,__
      →num_timestep=timesteps)
       # one-hot to index
      predicted_digits.append(np.squeeze(tf.argmax(predicted_digit, axis=-1).
      →numpy()))
       gt_digits.append(np.squeeze(tf.argmax(gt_digit, axis=-1).numpy()))
     predicted_digits = np.squeeze(np.concatenate(predicted_digits))
     gt_digits = np.squeeze(np.concatenate(gt_digits))
     test_accuracy = np.sum(predicted_digits == gt_digits) / np.
      ⇒shape(predicted_digits)[0]
     print('Testing accuracy of the LSTM model: %f' % test_accuracy)
```

Testing accuracy of the LSTM model: 0.967849

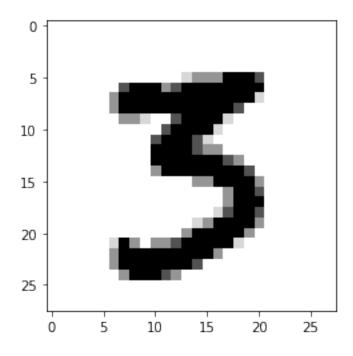
STEP 2.7: VISUALIZE TEST RESULT

Use the provided code to show the LSTM model prediction of a randomly sampled test image. You

can run the code in this step a couple more times to test more images.

```
[]: # Randomly select a test image
     ds_test_list = list(ds_test)
     test_indx = (random.randrange(0, len(ds_test_list)-1), random.randrange(0, __
     ⇔batch_size-1))
     test_batch = ds_test_list[test_indx[0]]
     test_input = test_batch[0][test_indx[1],:] # Test image
     test_gt = test_batch[1][test_indx[1],:] # Ground-truth class probabilities
     # Use the trained LSTM model to obtain probabilities for each digit (0-9)
     LSTM_pred = LSTM(tf.expand_dims(test_input, axis=0),
         h_init=tf.zeros([1,128,1], tf.float32),
         c_init=tf.zeros([1,128,1], tf.float32),
         num_timestep=timesteps) # numpy array
     # Plot LSTM prediction
     gt_digit = np.argmax(np.squeeze(test_gt.numpy()))
     pred_digit_LSTM = np.argmax(np.squeeze(LSTM_pred))
     plt.imshow(test_input.numpy(), cmap=plt.get_cmap('Greys'))
     print('Ground-truth digit %d. Predicted digit %d (LSTM)' % (gt_digit, __
      →pred_digit_LSTM))
    plt.show()
```

Ground-truth digit 3. Predicted digit 3 (LSTM)



STEP 2.8: ANSWER THE QUESTIONS BELOW

2.8.1: As can be seen, basic RNN and LSTM models with the same hidden state size (128) achieve similar test accuracies at classifying MNIST handwritten digits. In what kind of scenario will LSTM significantly outperform basic RNN? Why is this the case?

Your Answer: LSTM outperforms RNN when handling data with arbitrarily longer sequential data due to the difference in cell architecture, which allows LSTM to remember information from a distant time step. The LSTM cell has a forget gate, which allows it to choose what past information to discard and retain.

2.8.2: How many trainable parameters are there in the LSTM model?

Hint: You may want to utilize the summary method, as in step 1.9.2.

Compared with the 1st basic RNN model with hidden states of the same size (128), there should be more trainable parameters in the LSTM model.

Model: "model_2"

Layer (type)	 Output Shape	Param #
input_4 (InputLayer)	 [(128, 28, 28)]	0
input_4 (inputLayer)	[(120, 20, 20)]	O
<pre>long_short_term_memory_1 (L ong_short_term_memory)</pre>	(128, 10)	81162
Total params: 81,162		
Trainable params: 81 162		

Total params: 81,162
Trainable params: 81,162
Non-trainable params: 0

Your Answer: There are 81, 162 trainable parameters.

4 Excercise Part 3: Column Basic RNN

Just like in Excercise Part 1, you will also use a basic RNN model to classify MNIST handwritten digits. However, instead of using rows of image as input at respective time steps, you will use columns of image, as shown in the figure (b) below. This means, at the first time step, we input the first column of the image to the network, and at the second step, we input the second column... We call this model column basic RNN model. Can it achieve a similar testing accuracy? Follow the steps below to find out.

- 3.1: Reset runtime.
- 3.2: Run the provided setup code.
- 3.3: Copy the completed code from step 1.2. This step will not work if code in step 1.2 has not been completed correctly. Modify the lines as instructed below and run.
- 3.4: Run the provided code to configure the column basic RNN model. The size of hidden states is set to 128.
- 3.5: Run the provided code to train the column basic RNN model.
- 3.6: Run the provided code to test the trained column basic RNN model
- 3.7: Answer questions in 3.7.1. The answers in 3.7.1 will be graded.

STEP 3.1: RESET RUNTIME

Variables and functions of Excercise Part 2 are still saved in virtual machines.

It is a good practice to clear no longer needed past variables and functions in Colab notebook by resetting runtime such that virtual machines can return to original state.

To do so, select "Factory reset runtime" from "Runtime" drop down menu, as shown below.

STEP 3.2: SETUP CODE FOR EXCERCISE PART 3

```
[]: import tensorflow as tf
from tensorflow import keras
import tensorflow_datasets as tfds

import os
import numpy as np
import random
from matplotlib import pyplot as plt

# Define training parameters
```

```
learning_rate = 0.001
training_steps = 10000
batch_size = 128
# Define network parameters
num_input = 28 # MNIST data input (img shape: 28*28)
timesteps = 28 # timesteps
num_classes = 10 # MNIST total classes (0-9 digits)
# Import MNIST dataset from tensorflow_dataset (tfds). More information aboutu
 •tfds can be found on https://www.tensorflow.org/datasets/api_docs/python/tfds
(ds_train, ds_test), ds_info = tfds.load(
    'mnist'.
    split=['train', 'test'],
   shuffle_files=False, # True
   as_supervised=True,
   with_info=True,
)
# Function to transform train and test datasets
def transform(image, label):
  """Transform images: remove last dimension of size 1"""
  """Transform images: uint8 -> float32"""
  """Transform labels: indices -> one-hots"""
 return tf.cast(tf.squeeze(image, -1), tf.float32) / 255., tf.one hot(label, __
 →10)
# Pre-process train dataset
ds_train = ds_train.map(
   transform, num_parallel_calls=tf.data.AUTOTUNE)
ds_train = ds_train.cache() #
ds_train = ds_train.shuffle(ds_info.splits['train'].num_examples)
ds_train = ds_train.batch(128, drop_remainder=True)
ds_train = ds_train.prefetch(tf.data.AUTOTUNE)
# Pre-process test dataset
ds_test = ds_test.map(
   transform, num_parallel_calls=tf.data.AUTOTUNE)
ds_test = ds_test.cache()
ds_test = ds_test.batch(128, drop_remainder=True)
ds_test = ds_test.prefetch(tf.data.AUTOTUNE)
```

WARNING:tensorflow:AutoGraph could not transform <function transform at 0x00000242CE7B3EE8> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

```
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
WARNING:tensorflow:AutoGraph could not transform <function transform at
0x00000242CE7B3EE8> and will run it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
WARNING: AutoGraph could not transform <function transform at
0x00000242CE7B3EE8> and will run it as-is.
Please report this to the TensorFlow team. When filing the bug, set the
verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full
output.
Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert
```

STEP 3.3: COPY AND MODIFY TO OBTAIN CODE USED TO BUILD COLUMN BASIC RNN MODELS

Copy the completed code in step 1.2. Below are the lines to modify.

It is worth noting that the formulas are the same for Excercise Part 1 and 3. But here input at each time step is different (column versus row).

```
initial_value=init(shape=(1,output_dim,1), dtype="float32"),
    trainable=True)
 self.V = tf.Variable(
    initial_value=init(shape=(1,output_dim,hidden_dim), dtype="float32"),
    trainable=True)
# TODO:
→ #
 # b, W, U, d, and V are model parameters.
  # The code to initialize b, W, d, and V is provided above.
 # Please fill in the space indicated by ***** to initialize U as well.
 # Hint: You can infer the shape of U from the 1st equation in Fig. 1.
self.U = tf.Variable(
    initial value=init(shape=(1,hidden dim,input dim), dtype="float32"),
    trainable=True)
END OF YOUR CODE
  #
def call(self, x, h_init, num_timestep):
  # Inputs:
                                                        ш
 # x: [batch_sz, num_row, num_column]
 # h init: [1, num hidden, 1]
  # num_timestep: int
 # Output:
 # y: [batch sz,num class], softmax normalized class probabilities
 h = h init
 for ts in range(num_timestep):
```

```
# curr_x: input sequence at the current timestep
   curr_x = tf.expand_dims(x[:,:,ts], -1) # [b,1,input_dim]
   a = self.b + tf.linalg.matmul(self.W, h) + tf.linalg.matmul(self.U,
⇔curr_x)
# TODO:
    #
   # Fill in the space indicated by ***** to implement the 2nd equation in
   # to compute hidden state h from activation a.
   # You should use tf.math.tanh. More information on tf.math.tanh can be I
\hookrightarrow found
   # at https://www.tensorflow.org/api docs/python/tf/math/tanh
                                             ш
h = tf.math.tanh(a)
END OF YOUR CODE
y = self.d + tf.linalg.matmul(self.V, h)
  y = tf.squeeze(y)
  y = tf.nn.softmax(y, axis=-1)
  return y
END OF YOUR CODE
```

STEP 3.4: CONFIGURE THE COLUMN BASIC RNN MODEL

Use the provided code to configure the column basic RNN model whose hidden states have the size of 128.

```
[]: # Configure the column basic RNN model
basic_RNN_column = RNN_column(input_dim=num_input,
    hidden_dim=128,
    output_dim=num_classes,
    batch_sz=batch_size)

# Instantiate a tf.keras.Model for the column basic RNN model
```

WARNING:tensorflow:AutoGraph could not transform <bound method RNN_column.call of <__main__.RNN_column object at 0x00000242D2355C08>> and will run it as-is. Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: module 'gast' has no attribute 'Constant'
To silence this warning, decorate the function with @tf.autograph.experimental.do_not_convert

WARNING:tensorflow:AutoGraph could not transform <bound method RNN_column.call of <__main__.RNN_column object at 0x00000242D2355C08>> and will run it as-is. Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: module 'gast' has no attribute 'Constant'
To silence this warning, decorate the function with @tf.autograph.experimental.do_not_convert

WARNING: AutoGraph could not transform <bound method RNN_column.call of <__main__.RNN_column object at 0x00000242D2355C08>> and will run it as-is. Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: module 'gast' has no attribute 'Constant'
To silence this warning, decorate the function with @tf.autograph.experimental.do_not_convert

STEP 3.5: TRAIN THE COLUMN BASIC RNN MODEL

You should expect to see training accuracy > 90%.

```
[ ]: model_basic_RNN_column.fit(ds_train, epochs=4)
```

Epoch 1/4

WARNING:tensorflow:AutoGraph could not transform <function Model.make_train_function.<locals>.train_function at 0x000000242CE765558> and

will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs' To silence this warning, decorate the function with @tf.autograph.experimental.do not convert

WARNING:tensorflow:AutoGraph could not transform <function Model.make_train_function.<locals>.train_function at 0x00000242CE765558> and will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with
@tf.autograph.experimental.do_not_convert

WARNING: AutoGraph could not transform <function
Model.make_train_function.<locals>.train_function at 0x00000242CE765558> and
will run it as-is.

Please report this to the TensorFlow team. When filing the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH_VERBOSITY=10`) and attach the full output.

Cause: 'arguments' object has no attribute 'posonlyargs'
To silence this warning, decorate the function with

 ${\tt @tf.autograph.experimental.do_not_convert}$

Epoch 4/4

accuracy: 0.9281

[]: <keras.callbacks.History at 0x242ce7b4f88>

STEP 3.6: TEST THE TRAINED COLUMN BASIC RNN MODEL

You should expect to see testing accuracy > or near 90%.

```
[]: # Test trained column basic RNN model
h_init = tf.zeros([1,128,1], tf.float32)
predicted_digits = []
```

Testing accuracy of the column basic RNN model: 0.940104

STEP 3.7: ANSWER THE QUESTION BELOW

3.7.1: Report testing accuracy of the column basic RNN model. Should it be close to that of the 1st basic RNN model in Excercise Part 1 and why?

Your Answer:

Output: Testing accuracy of the column basic RNN model: 0.940104

The column basic RNN perofrms slightly better than RNN1 in Exercise Part 1, which achieved a testing accuracy of 0.924300. The performance of the column RNN should be similar to RNN1 from exercise part 1, since the input data is essentially the same, with the data in exercise 3 being the transposition of the data used in part 1. Since there are no change in values, and the sequence of values remain the same, the column RNN model should have a similar performance to the RNN in exercise 1.

Reference: https://github.com/aymericdamien/TensorFlow-Examples/