Fault Localization & Relevance Analysis

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Definition 1 (Execution). Let π be an error trace of length n. An execution of π is a sequence of states $s_0, s_1...s_n$ such that $s_i, s_{i+1} \models T$, where T is the transition formula of $\pi[i]$.

Let ϵ represent the set of all possible executions of the error trace.

Definition 2 (Blocking Execution). An execution of a trace π of size n is called a blocking execution if there exists a sequence of states $s_0, s_1...s_j$ where $i < j \le n$ such that $s_i, s_{i+1} \models T[i]$, where T[i] is the transition formula of $\pi[i]$ and there exists an assume statement in the trace π at position j such that $s_i \not\Rightarrow guard(\pi[j])$.

Definition 3 (Relevancy of an assignment statement). Let β represent the set of all blocking executions of a trace π . Let there be an assignment statement of the form x := t at position i. Let π' represent the trace that we get after replacing $\pi[i]$ with a havoc statement of the form havoc(x) and let β' represent the set of all blocking executions for π' .

We say that the assignment statement $\pi[i]$ is relevant if the trace after the replacement has strictly more blocked executions than the trace before the replacement, i.e if $\beta \subseteq \beta'$.

Lemma 1. For a program statement st and predicates P and Q, where P is condition that is true before the execution of the statement and Q is a post condition, the following two implications are equivilant (also known as the duality of WP and SP):

$$SP(P, st) \Rightarrow Q$$

 $P \Rightarrow WP(Q, st)$

Lemma 2. For a predicate Q and a statement st which is an assignment statement the following implication holds:

$$WP(\neg Q, st) = \neg WP(Q, st)$$

Lemma 3. If a set of states have some states from which if we being the execution of a trace and that are blocking then

$$SP(P; trace) \not\Rightarrow guard(\pi[j])$$

Think about it more!

Lemma 4 (nonempty post). If $P:=WP(Q,x:=t)\nsubseteq WP(Q,havoc(x))$ for some Q then $Q\subsetneq SP(P,havoc(x))$.

Proof. We will show that $Q \equiv SP(P,x:=t) \subseteq SP(P,havoc(x)) \not\subseteq Q$ from which it follows that the first inclusion is strict. The first inclusion is immediate from Lemma 3 (main document)¹. By assumption $P \not\subseteq WP(Q,havoc(x))$, which by Lemma 1 (main document) is equivalent to the second part.

 $^{^{1}\}mathrm{We}$ have to add it!

Theorem 1 (Relevancy). Let π be an error trace of length n and $\pi[i]$ be an assignment statement at position i having the form x := t, where x is a variable and t is an expression. Let P and Q be two predicates where $P = \neg WP(False; \pi[i, n])$ and $Q = \neg WP(False; \pi[i+1, n])$. The statement $\pi[i]$ is relevant iff:

$$P \not\Rightarrow WP(Q, havoc(x))$$

Proof. We will divide the proof in 3 cases.

Case 1: $len(\pi) = 2$:

Suppose we have an error trace of length n=2, where $\pi[0]$ is an assignment statement of the form x:=t and $\pi[1]$ is an assume statement where $guard(\pi[1])$ is the guard of the assume statement. If we consider the assignment statement $\pi[0]$, P will be $\neg WP(\neg guard; x:=t)$ and Q will be $guard(\pi[1])$.

If the assignment statement $\pi[0]$ is relevant, then:

$$P \not\Rightarrow WP(Q, havoc(x))$$

The relevancy of $\pi[0]$ implies that replacing $\pi[0]$ with havoc(x) will result in more blocking executions then before. i.e

$$Q \subseteq SP(P; havoc(x))$$

Intuvitely the above statement means that if we replace x := t with havoc(x) and it creates more blocking executions, then SP(P; havoc(x)) will have more states then in Q, while Q being $guard(\pi[1])$ here.

$$SP(P; havoc(x)) \not\Rightarrow guard(\pi[1])$$

By lemma 1

$$P \not\Rightarrow WP(guard(\pi[1]); havoc(x))$$

 $P \not\Rightarrow WP(Q; havoc(x))$

"⇐" If

$$P \not\Rightarrow WP(Q, havoc(x))$$

then the assignment statement $\pi[0]$ is relevant. Substituting the values for P and Q

$$\neg WP(\neg guard(\pi[1]), x := t) \not\Rightarrow WP(guard(\pi[1]), havoc(x))$$

From lemma 3

$$WP(quard(\pi[1]), x := t) \not\Rightarrow WP(quard(\pi[1]), havoc(x))$$

From the "duality of WP and SP" (lemma 1), if in the above expression, we consider the right hand side of the implication as P, $guard(\pi[1])$ as Q and havoc(x)

as st, then we can write the above implication as:

$$SP(WP(quard(\pi[1]), x := t), havoc(x)) \not\Rightarrow quard(\pi[1])$$

That means that from our supposed trace which contains one assignment statment and one assume statement with error precondition $WP(guard(\pi[1]), x := t)$, if we get a new trace where the assignment x := t is replaced by havoc(x), the strongest postcondition of the error precondition and havoc(x) does not imply the guard of the assume statement. Which intuvitively means that replacing the assignment with havoc introduces new states which does not satisfy the guard of the assume statement. These new states also means that the number of blocking executions for the trace with havoc is more then the number of blocking executions in the trace with assignment. Which according to our definition would mean that the assignment is relevant.

Case 2: $len(\pi) = n; \ \pi[i]$ is an assignment statement, where i = 0: Consider the case where the length of the trace is n and the first statement $\pi[0]$ of the trace π is an assignment statement. Let $\pi_s := \pi[1, n-1]$. If we consider the assignment statement $\pi[0]$, then $P := \neg WP(false; \pi), \ Q := \neg WP(false, \pi_s)$. Let π' be the trace where $\pi[0]$ is replaced by a havoc statement. Let $P' := \neg WP(false; \pi') := WP(Q; havoc(x))$ and Q' := SP(P; havoc(x)). Observe here that P can also be stated as WP(Q; x := t).

If the assignment statement $\pi[0]$ is relevant, then:

$$P \not\Rightarrow WP(Q; havoc(x))$$

i.e the trace after the replacement of $\pi[0]$ to havoc has strictly more blocking executions than the trace before the replacement. That means that there exists an assume statement in the trace π at position j, which is blocking more executions then before. Or we can say that there are more states s_j now for which that assume statement $\pi[j]$ is blocking. OR

$$SP(P; \pi[0, j-1]) \subseteq SP(P; \pi'[0, j-1])$$

 $SP(P;\pi'[0,j-1])$ contains more states for which $guard(\pi'[j])$ does not hold. Hence,

$$SP(P; \pi'[0, j-1]) \not\Rightarrow quard(\pi'[j])$$

From lemma 1:

$$P \not\Rightarrow WP(guard(\pi'[j]; \pi'[0, j-1]))$$

OR

$$P \not\Rightarrow WP(guard(\pi'[j]); \pi'[0], \pi'[1, j-1])$$

By the recursive definition of WP()

$$P \neq WP(WP(quard(\pi'[j]); \pi'[1, j-1]); \pi'[0])$$
 (1)

We also know that: (NO WE DON'T KNOW THAT ANYMORE) According to our new definition, the trace might already contain blocking execution. In that case the following implication cannot hold anymore.

$$SP(Q; \pi'[1, j-1]) \Rightarrow guard(\pi'[j])$$

and consequently from lemma (1):

$$Q \Rightarrow WP(guard(\pi'[j]); \pi'[1, j-1]) \tag{2}$$

Let $WP(guard(\pi'[j]); \pi'[1, j-1]) := R$ Then (5) and (6) can be written as:

$$P \not\Rightarrow WP(R; \pi'[0])$$

$$Q \Rightarrow R$$

From Lemma (4)

$$P \not\Rightarrow WP(Q; havoc(x)) \tag{3}$$

THIS IS NOT CORRECT. CHANGE THIS !! " \Leftarrow "

If

$$P \not\Rightarrow WP(Q; havoc(x))$$

then the assignment statement $\pi[0]$ is relevant. By the definition of P:

$$WP(Q; x := t) \not\Rightarrow WP(Q; havoc(x))$$

By lemma 4:

$$Q \subseteq SP(Q; havoc(x))$$

or

$$Q \subsetneq Q'$$

Let $R = Q' \setminus Q$ or $Q' = R \biguplus Q$ (disjoint union of R and Q). Now we want to show a contradiction for the states in Q' but from

$$SP(X \cup Y; \pi) = SP(X; \pi) \cup SP(Y; \pi)$$
 (4)

we can conclude that it suffices to show a contradiction for the states in R. ——I THINK HERE WE HAVE TO ASSUME THAT THE NEW TRACE HAVE THE SAME AMOUNT OF BLOCKING EXECUTIONS——-

Let us assume that for all $1 \le j \le n$, statement $\pi'[j]$ is not restrictive. i.e

$$SP(Q'; \pi'[1, j-1]) \Rightarrow guard(\pi'[j]) \qquad \forall 1 \leq j \leq n$$

By equation (8) we can write:

$$SP(R; \pi'[1, j-1]) \Rightarrow guard(\pi'[j]) \qquad \forall 1 \le j \le n$$
 (5)

We know that $R \Rightarrow \neg Q$ and hence

$$R \Rightarrow WP(false; \pi_s)$$
 (6)

Considering (9) and (10) and lemma (6) we get a contradiction. That means that one of the assume statement is getting restrictive and hence the statement x := t is relevant.

Definition 4 (Relevancy of a havoc statement). Let π be a feasible error trace and $\pi[i]$ be a Havoc statement at position i having the form havoc(x), where x is a variable. Let x := t be an assignment statement where x is the same variable as in havoc(x) and t is an expression. The havoc statement $\pi[i]$ is relevant if there exists an assignment x := t such that if we replace the havoc in π with x := t to get a new trace π' , then all the executions of π' are blocking (the trace is infeasible).

$$SP(true; \pi') \Rightarrow false$$

Theorem 2 (Security Error Relevancy). Let π be an error trace of length n and $\pi[i]$ be a non-deterministic assignment statement at position i having the form havoc(x), where x is a variable. Let P and Q be two predicates where $P = \neg WP(False, \pi[i, n])$ and $Q = \neg WP(False, \pi[i+1, n])$. The statement $\pi[i]$ is relevant iff:

$$P \not\Rightarrow WP(Q, havoc(x))$$

Proof. The same as before.