Assessing the impact of COVID-19 on oncology clinical trials – application of the estimand framework

Kaspar Rufibach Methods, Collaboration & Outreach Group, Department of Biostatistics, Roche Basel PSI Conference Webinar: Impact of COVID-19 to estimands 11th June 2020



 Summarizes Degtyarev et al. (2020): Assessing the impact of covid-19 on the objective and analysis of oncology clinical trials – application of the estimand framework. https://arxiv.org/abs/2006.04480

- Summarizes Degtyarev et al. (2020): Assessing the impact of covid-19 on the objective and analysis of oncology clinical trials – application of the estimand framework. https://arxiv.org/abs/2006.04480
- Consensus opinion of industry working group "estimands in oncology": www.oncoestimand.org.

- Summarizes Degtyarev et al. (2020): Assessing the impact of covid-19 on the objective and analysis of oncology clinical trials – application of the estimand framework. https://arxiv.org/abs/2006.04480
- Consensus opinion of industry working group "estimands in oncology": www.oncoestimand.org.
- ullet COVID-19 pandemic: new and evolving \Rightarrow opinion may need refining over time.

- Summarizes Degtyarev et al. (2020): Assessing the impact of covid-19 on the objective and analysis of oncology clinical trials – application of the estimand framework. https://arxiv.org/abs/2006.04480
- Consensus opinion of industry working group "estimands in oncology": www.oncoestimand.org.
- ullet COVID-19 pandemic: new and evolving \Rightarrow opinion may need refining over time.

Endpoint: overall survival in superiority trial.

How does COVID-19 change

pre-pandemic clinical trial objective?

How does COVID-19 change pre-pandemic clinical trial objective?

It does not!

World without ongoing COVID-19 pandemic:

World without ongoing COVID-19 pandemic:

- Disease contained:
 - Patients do not experience severe complications due to virus.
 - Spread of virus limited.
 - Therapy available.

World without ongoing COVID-19 pandemic:

- Disease contained:
 - Patients do not experience severe complications due to virus.
 - Spread of virus limited.
 - Therapy available.
- No disruption of healthcare systems:
 - Patients access to routine standard of care.
 - Proper disease follow-up.

World without ongoing COVID-19 pandemic:

- Disease contained:
 - Patients do not experience severe complications due to virus.
 - Spread of virus limited.
 - Therapy available.
- No disruption of healthcare systems:
 - Patients access to routine standard of care.
 - Proper disease follow-up.

Key assumptions:

World without ongoing COVID-19 pandemic:

- Disease contained:
 - Patients do not experience severe complications due to virus.
 - Spread of virus limited.
 - Therapy available.
- No disruption of healthcare systems:
 - Patients access to routine standard of care.
 - Proper disease follow-up.

Key assumptions:

Trials started before pandemic: designed to inform clinical practice in a world without pandemic.

World without ongoing COVID-19 pandemic:

- Disease contained:
 - Patients do not experience severe complications due to virus.
 - Spread of virus limited.
 - Therapy available.
- No disruption of healthcare systems:
 - Patients access to routine standard of care.
 - Proper disease follow-up.

Key assumptions:

- Trials started before pandemic: designed to inform clinical practice in a world without pandemic.
- Pandemic will eventually end.

World without ongoing COVID-19 pandemic:

- Disease contained:
 - Patients do not experience severe complications due to virus.
 - Spread of virus limited.
 - Therapy available.
- No disruption of healthcare systems:
 - Patients access to routine standard of care.
 - Proper disease follow-up.

Key assumptions:

- Trials started before pandemic: designed to inform clinical practice in a world without pandemic.
- Pandemic will eventually end.

Clinical trial objective pre-pandemic = post-pandemic.

Data collected and trial results useful for informing clinical practice in a world

without COVID-19 pandemic?

Data collected and trial results useful for informing clinical practice in a world without COVID-19 pandemic?

Estimate from initially planned analysis still provide answer to clinical trial objective?



• Clarify primary estimand.

- Clarify primary estimand.
- Modify estimator.

- Clarify primary estimand.
- Modify estimator.
- Add sensitivity analyses.

- Clarify primary estimand.
- Modify estimator.
- Add sensitivity analyses.
- Introduce supplementary estimands.

- Clarify primary estimand.
- Modify estimator.
- Add sensitivity analyses.
- Introduce supplementary estimands.

If you update estimand:

- Clarify primary estimand.
- Modify estimator.
- Add sensitivity analyses.
- Introduce supplementary estimands.

If you update estimand:

• Effect size?

- Clarify primary estimand.
- Modify estimator.
- Add sensitivity analyses.
- Introduce supplementary estimands.

If you update estimand:

- Effect size?
- Sample size?

- Clarify primary estimand.
- Modify estimator.
- Add sensitivity analyses.
- Introduce supplementary estimands.

If you update estimand:

- Effect size?
- Sample size?
- Missing data handling?

ESTIMAND COVID-19 IMPACT ASSESSMENT

VARIABLE

The variable (or endpoint) to be obtained for each patient. *Q: Does the current endpoint reflect the treatment effect in the original scientific objective?*

POPULATION

The population of patients targeted by the clinical question. *Q: Are the enrolled patients representative of the target population?*

INTERCURRENT EVENTS (ICEs)

Other ICEs not already addressed by treatment, population and variable, and how they are handled.

Q: Can the original clinical trial objective be addressed without defining new strategies for ICEs related to COVID-197 (e.g. apply prespecified rules for discontinuations to discontinuations due to COVID-199.

TREATMENT

The treatment condition of interest. Q: Are the treatment conditions (e.g. non-compliance, drug discontinuation, subsequent therapy) representative of what would have been administered pre-COVID-19?

SUMMARY

A population-level summary for the variable which provides a basis for treatment comparison. Q: Is the summary measure still interpretable?

Direct:

Direct:

• Treatment interruption or discontinuation due to infection.

Direct:

- Treatment interruption or discontinuation due to infection.
- Additional therapies to treat COVID-19.

Direct:

- Treatment interruption or discontinuation due to infection.
- Additional therapies to treat COVID-19.
- Death due to COVID-19.

Direct:

- Treatment interruption or discontinuation due to infection.
- Additional therapies to treat COVID-19.
- Death due to COVID-19.

Indirect

Direct:

- Treatment interruption or discontinuation due to infection.
- Additional therapies to treat COVID-19.
- Death due to COVID-19.

Indirect

• Overwhelmed healthcare system.

Direct:

- Treatment interruption or discontinuation due to infection.
- Additional therapies to treat COVID-19.
- Death due to COVID-19.

Indirect

- Overwhelmed healthcare system.
- Lock-down.

Direct:

- Treatment interruption or discontinuation due to infection.
- Additional therapies to treat COVID-19.
- Death due to COVID-19.

Indirect:

- Overwhelmed healthcare system.
- Lock-down.
- Treatment interruption or discontinuation due to logistic reasons, patient or physician decision.

Direct impact

• Composite: count as death.

- Composite: count as death.
- Hypothetical: do not expect COVID-19 related deaths in a post-pandemic world.

Discontinuation from treatment not

related to COVID-19 infection

Discontinuation from treatment not related to COVID-19 infection

Indirect impact

Oncology trials: any discontinuation \Rightarrow treatment policy, because difficult to exclude relatedness to disease and/or treatment.

Oncology trials: any discontinuation \Rightarrow treatment policy, because difficult to exclude relatedness to disease and/or treatment.

Unrelated discontinuation:

Oncology trials: any discontinuation \Rightarrow treatment policy, because difficult to exclude relatedness to disease and/or treatment.

Unrelated discontinuation:

• Patients' or physicians' decision.

Oncology trials: any discontinuation \Rightarrow treatment policy, because difficult to exclude relatedness to disease and/or treatment.

Unrelated discontinuation:

- Patients' or physicians' decision.
- Unable to travel.

Oncology trials: any discontinuation \Rightarrow treatment policy, because difficult to exclude relatedness to disease and/or treatment.

Unrelated discontinuation:

- Patients' or physicians' decision.
- Unable to travel.
- Avoid hospital.

Oncology trials: any discontinuation \Rightarrow treatment policy, because difficult to exclude relatedness to disease and/or treatment.

Unrelated discontinuation:

- Patients' or physicians' decision.
- Unable to travel.
- Avoid hospital.

Data after discontinuation:

Oncology trials: any discontinuation \Rightarrow treatment policy, because difficult to exclude relatedness to disease and/or treatment.

Unrelated discontinuation:

- Patients' or physicians' decision.
- Unable to travel.
- Avoid hospital.

Data after discontinuation:

• Start of new anticancer therapy: event in many settings.

Oncology trials: any discontinuation \Rightarrow treatment policy, because difficult to exclude relatedness to disease and/or treatment.

Unrelated discontinuation:

- Patients' or physicians' decision.
- Unable to travel.
- Avoid hospital.

Data after discontinuation:

- Start of new anticancer therapy: event in many settings.
- Unlikely to reflect patient's journey in world without COVID-19 pandemic.

Oncology trials: any discontinuation \Rightarrow treatment policy, because difficult to exclude relatedness to disease and/or treatment.

Unrelated discontinuation:

- Patients' or physicians' decision.
- Unable to travel.
- Avoid hospital.

Data after discontinuation:

- Start of new anticancer therapy: event in many settings.
- Unlikely to reflect patient's journey in world without COVID-19 pandemic.

Hypothetical strategy.

• COVID-19 death competing risk? Meyer et al. (2020): patients not at risk for that cause from randomization on.

- COVID-19 death competing risk? Meyer et al. (2020): patients not at risk for that cause from randomization on.
- Estimation of hypothetical estimand: often not obvious, but feasible.

- COVID-19 death competing risk? Meyer et al. (2020): patients not at risk for that cause from randomization on.
- Estimation of hypothetical estimand: often not obvious, but feasible.
- Estimating effect in patients infected by COVID-19 vs. patients not infected by COVID-19:

- COVID-19 death competing risk? Meyer et al. (2020): patients not at risk for that cause from randomization on.
- Estimation of hypothetical estimand: often not obvious, but feasible.
- Estimating effect in patients infected by COVID-19 vs. patients not infected by COVID-19:
 - $\bullet \ \ \textbf{Infection} = \textbf{ICE} \Rightarrow \text{simple subsetting breaks randomization} \Rightarrow \text{validity of causal statements unclear}.$

- COVID-19 death competing risk? Meyer et al. (2020): patients not at risk for that cause from randomization on.
- Estimation of hypothetical estimand: often not obvious, but feasible.
- Estimating effect in patients infected by COVID-19 vs. patients not infected by COVID-19:
 - Infection = ICE ⇒ simple subsetting breaks randomization ⇒ validity of causal statements unclear.
 - Estimate via principal stratification.

• Non-proportional hazards.

- Non-proportional hazards.
- Missing data: capture reasons of missingness.

- Non-proportional hazards.
- Missing data: capture reasons of missingness.
- iDMC:

- Non-proportional hazards.
- Missing data: capture reasons of missingness.
- iDMC:
 - Primary purpose: issue recommendations on safety of patients and interim analyses for trials => unchanged by pandemic.

- Non-proportional hazards.
- Missing data: capture reasons of missingness.
- iDMC:
 - Primary purpose: issue recommendations on safety of patients and interim analyses for trials => unchanged by pandemic.
 - Impact assessment of pandemic feasible using **blinded** data \Rightarrow iDMC not needed.

- Non-proportional hazards.
- Missing data: capture reasons of missingness.
- iDMC:
 - Primary purpose: issue recommendations on safety of patients and interim analyses for trials => unchanged by pandemic.
 - Impact assessment of pandemic feasible using blinded data ⇒ iDMC not needed.
- Response, duration of response.

- Non-proportional hazards.
- Missing data: capture reasons of missingness.
- iDMC:
 - Primary purpose: issue recommendations on safety of patients and interim analyses for trials => unchanged by pandemic.
 - Impact assessment of pandemic feasible using blinded data ⇒ iDMC not needed.
- Response, duration of response.
- Non-inferiority: usual considerations apply, nothing specific to pandemic situation.



• Estimand framework ideal to assess impact of pandemic. Allows for structured assessment of impact.

- Estimand framework ideal to assess impact of pandemic. Allows for structured assessment of impact.
- Pragmatism: We document changes to consider. But:

- Estimand framework ideal to assess impact of pandemic. Allows for structured assessment of impact.
- Pragmatism: We document changes to consider. But:
 - Estimate from initially planned analysis may still provide "right" answer.

- Estimand framework ideal to assess impact of pandemic. Allows for structured assessment of impact.
- Pragmatism: We document changes to consider. But:
 - Estimate from initially planned analysis may still provide "right" answer.
 - Nothing relating to estimand and estimation necessarily needs to change!

- Estimand framework ideal to assess impact of pandemic. Allows for structured assessment of impact.
- Pragmatism: We document changes to consider. But:
 - Estimate from initially planned analysis may still provide "right" answer.
 - Nothing relating to estimand and estimation necessarily needs to change!
- Key factors to consider choosing the strategy:

- Estimand framework ideal to assess impact of pandemic. Allows for structured assessment of impact.
- Pragmatism: We document changes to consider. But:
 - Estimate from initially planned analysis may still provide "right" answer.
 - Nothing relating to estimand and estimation necessarily needs to change!
- Key factors to consider choosing the strategy:
 - Relationship of intercurrent event to disease or treatment.

- Estimand framework ideal to assess impact of pandemic. Allows for structured assessment of impact.
- Pragmatism: We document changes to consider. But:
 - Estimate from initially planned analysis may still provide "right" answer.
 - Nothing relating to estimand and estimation necessarily needs to change!
- Key factors to consider choosing the strategy:
 - Relationship of intercurrent event to disease or treatment.
 - Interpretability of data after intercurrent event.

- Estimand framework ideal to assess impact of pandemic. Allows for structured assessment of impact.
- Pragmatism: We document changes to consider. But:
 - Estimate from initially planned analysis may still provide "right" answer.
 - Nothing relating to estimand and estimation necessarily needs to change!
- Key factors to consider choosing the strategy:
 - Relationship of intercurrent event to disease or treatment.
 - Interpretability of data after intercurrent event.
- Hypothetical strategy: reasonable for events caused by healthcare system disruption.

- Estimand framework ideal to assess impact of pandemic. Allows for structured assessment of impact.
- Pragmatism: We document changes to consider. But:
 - Estimate from initially planned analysis may still provide "right" answer.
 - Nothing relating to estimand and estimation necessarily needs to change!
- Key factors to consider choosing the strategy:
 - Relationship of intercurrent event to disease or treatment.
 - Interpretability of data after intercurrent event.
- Hypothetical strategy: reasonable for events caused by healthcare system disruption.
- Principal stratification: potentially valuable to assess treatment effect in patients who would not experience severe complications of COVID-19 infections.

- Estimand framework ideal to assess impact of pandemic. Allows for structured assessment of impact.
- Pragmatism: We document changes to consider. But:
 - Estimate from initially planned analysis may still provide "right" answer.
 - Nothing relating to estimand and estimation necessarily needs to change!
- Key factors to consider choosing the strategy:
 - Relationship of intercurrent event to disease or treatment.
 - Interpretability of data after intercurrent event.
- Hypothetical strategy: reasonable for events caused by healthcare system disruption.
- Principal stratification: potentially valuable to assess treatment effect in patients who would not experience severe complications of COVID-19 infections.

Paper illustrates power of purpose-built networks.

Joint EFSPI / BBS Seminar: Estimands addendum is final: Anything new for oncology?

Basel Biometrics Section webinar Basel, 29th June 2020

Kaspar Rufibach (Roche, member of BBS board)
Welcome and scene setting

Regulator's view (Anja Schiel, Norwegian Medicines Agency)
Experience with the estimand framework in oncology

Renaud Capdeville (Novartis), Tina Nielsen (Roche)

Challenges and open questions in hematology: RATIFY and GALLIUM

Break

Hannes Buchner (Staburo) & Ingolf Griebsch (Boehringer Ingelheim)

Treatment switching: challenges, estimands, and estimators

Comme

Stefan Englert (AbbVie)
Commentary on previous talks taking COVID-19 into account

Break

add?

Panel discussion

(all speakers + Rob Hemmings from Consilium, Michael Wenger from Novartis) Estimands – after first experiences anything new for oncology? If at all, what does it Industry working group on estimands in oncology:

- Founded February 2018.
- European special interest group "Estimands in oncology", sponsored by PSI and EFSPI.
- ASA scientific working group of ASA biopharmaceutical section.
- 38 members representing 22 companies.
- Regularly interacts with 7 health authorities.

www.oncoestimand.org

Thank you for your attention.

kaspar.rufibach@roche.com http://www.kasparrufibach.ch

y numbersman77

Ω numbersman77

References I

- Degtyarev, E., Rufibach, K., Shentu, Y., Yung, G., Casey, M., Liu, F., Liu, Y., Sailer, O., Siegel, J., Sun, S., Tang, R. and Zhou, J. (2020). Assessing the impact of covid-19 on the objective and analysis of oncology clinical trials application of the estimand framework (2020). Tech. rep., Industry working group "estimands in oncology". https://arxiv.org/abs/2006.04480
- Meyer, R. D., Ratitch, B., Wolbers, M., Marchenko, O., Quan, H., Li, D., Fletcher, C., Li, X., Wright, D., Shentu, Y., Englert, S., Shen, W., Dey, J., Liu, T., Zhou, M., Bohidar, N., Zhao, P.-L. and Hale, M. (2020). Statistical issues and recommendations for clinical trials conducted during the covid-19 pandemic.

Doing now what patients need next

R version and packages used to generate these slides:

R version: R version 4.0.0 (2020-04-24)

Base packages: stats / graphics / grDevices / utils / datasets / methods / base

Other packages:

This document was generated on 2020-06-11 at 15:39:34.