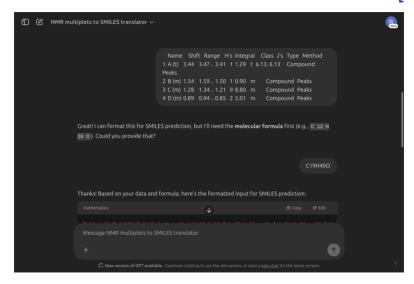
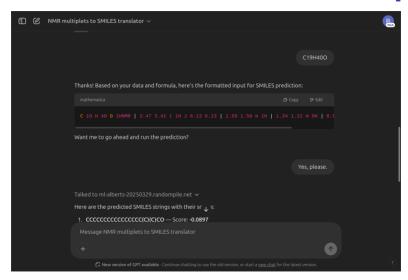
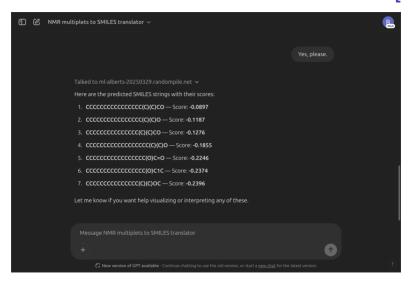
## Molecular structure inference from molecular spectra









## Training dataset and transformers model

- Dataset: USPTO reaction dataset<sup>1</sup>
  - 1,435,481 reactions
  - Realistic molecules & common chemicals
- Unique molecules:
  - Initially: 1,675,439
  - Filter: 5 < # heavy atoms < 35
  - · Allowed elements: C, H, O, N, S, P, Si, B, halogens
  - After filtering: 1,416,499
  - Simulation w/ MestReNova (all spectra): 794,403 molecules
- Spectra simulated: <sup>1</sup>H-NMR, IR, <sup>13</sup>C-NMR, HSQC-NMR, MS/MS
- "... deuterated chloroform as solvent. Default settings were used"
- Representation: SMILES

<sup>&</sup>lt;sup>1</sup>Lowe, 2017 [2]

# Training dataset 001\_big

#### SRC-TRAIN.TXT

- C 15 H 24 N 2 1HNMR | 6.86 6.83 d 1H J 0.68 | 5.98 5.96 s 1H | 4.22 4.19 s 2H | 3.83 3.74 p 1H J 6.74 | 3.55 3.50 m 2H | 2.24 2.21 s 3H | 2.05 1.99 m 2H | 1.35 1.32 s 5H | 1.26 1.22 d 6H J 6.67
- C 30 H 28 F N O 3 1HNMR | 7.39 7.30 m 4H | 7.30 7.05 m 11H | ... | 2.60 2.46 m 2H

...

#### TGT-TRAIN.TXT

- C c 1 c c 2 c ( c c 1 N ) N ( C ( C ) C ) ... ( C ) C
- O = C ( C C c 1 c c c c c 1 ) N C ( C c 1 c c c ( O c 2 c c c c 2 ) c c 1 ) C ( O ) c 1 c c c ( F ) c c 1

...

# Training dataset 002\_big\_explicit-h

#### SRC-TRAIN.TXT

- C 15 H 24 N 2 1HNMR | 6.86 6.83 d 1H J 0.68 | 5.98 5.96 s 1H | 4.22 4.19 s 2H | 3.83 3.74 p 1H J 6.74 | 3.55 3.50 m 2H | 2.24 2.21 s 3H | 2.05 1.99 m 2H | 1.35 1.32 s 5H | 1.26 1.22 d 6H J 6.67
- C 30 H 28 F N O 3 1HNMR | 7.39 7.30 m 4H | 7.30 7.05 m 11H | ... | 2.60 2.46 m 2H

...

#### TGT-TRAIN.TXT with explicit hydrogens (new)

• [CH3] [c] 1 [cH] [c] 2 [c] ( [cH] [c] 1 [NH2] ) [N] ( [CH] ( [CH3] ) [CH2] [CH2] [C] 2 ( [CH3] ) [ CH3] ]

...

# Training dataset 003\_big\_ex-h\_mono

### SRC-TRAIN.TXT only fully-specified stereo (new)

- C 15 H 24 N 2 1HNMR | 6.86 6.83 d 1H J 0.68 | 5.98 5.96 s 1H | 4.22 4.19 s 2H | 3.83 3.74 p 1H J 6.74 | 3.55 3.50 m 2H | 2.24 2.21 s 3H | 2.05 1.99 m 2H | 1.35 1.32 s 5H | 1.26 1.22 d 6H J 6.67
- C 30 H 28 F N O 3 1HNMR | 7.39 7.30 m 4H | 7.30 7.05 m 11H | ... | 2.60 2.46 m 2H

...

#### TGT-TRAIN.TXT with explicit hydrogens and stereo-free (new)

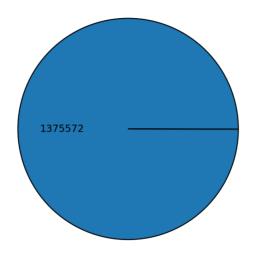
• [CH3] [c] 1 [cH] [c] 2 [c] ( [cH] [c] 1 [NH2] ) [N] ( [CH] ( [CH3] ) [CH2] [CH2] [C] 2 ( [CH3] ) [ CH3] ]

...

# Technical note (new)

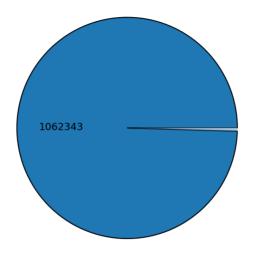
- Molecules from the same patent are more similar
- Thus: samples are not independent
- The train/test dataset split should preserve groups (patents)
- But: the bipartite graph smiles—patents is (almost) fully connected
- Heuristic: disconnect top nodes until largest component is small

Top-100 connected components (#nodes)



#nodes: 1376543, #edges: 4940383, #connected components: 156

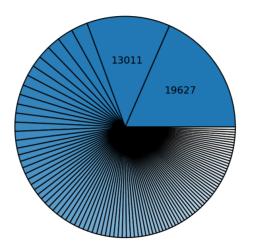
Top-100 connected components (#nodes)



#nodes: 1376543, #edges: 1937663, #connected components: 228708

. . .

#### Top-100 connected components (#nodes)



#nodes: 1376543, #edges: 987491, #connected components: 661984

617'347 molecules from Alberts et al. [1] found in USPTO [2] Not found: 177'039 (even canonicalized and ignoring stereo info)

Removed samples with incomplete stereo info: MestReNova behavior not clearly documented [3, Ch. 13]

> Training set: 582'903 Validation set: 30'680 Test set: 82'655

constructed preserving the groups

# Training and model predictions

- Model like Alberts et al. [1], 32 heads, ~ 31M parameters
- 582'903 training samples, batch size 4k
- Trained on NVIDIA 1×A10 GPU<sup>2</sup> up to 100k batches
- The model generates several hypotheses ranked by "score", i.e., log-likelihood of the prediction according to the model
- Top-N accuracy evaluated on 10'000 unseen samples
- "Beam search" is a heuristic to find the most likely hypotheses; use beams size 20, keep top 10

<sup>&</sup>lt;sup>2</sup>Thanks to Lambda Cloud for compute credits

## **Evaluation**

What is a *correct* prediction by the trained model?

- ✓ Canonicalize before comparison
- ✓ Remove stereo information

In an achiral medium/solvent, NMR is blind to mirror symmetry. Not well-captured in the model architecture or the dataset.

- How to generate all structures compatible with a given spectrum?
  - Molecules with indistinguishable spectra
  - Mixture of molecules from mixed spectra
- The spectrum  $\approx$  a mixture of molecular fragments
- The model should have a built-in sense for additivity

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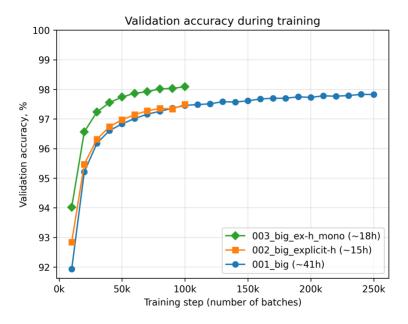
- How to generate all structures compatible with a given spectrum?
  - Molecules with indistinguishable spectra
  - Mixture of molecules from mixed spectra
- The spectrum ≈ a mixture of molecular fragments
- The model should have a built-in sense for additivity

## **Evaluation**

Validation accuracy during training –

next-token prediction accuracy over the unused validation dataset with "teacher forcing"

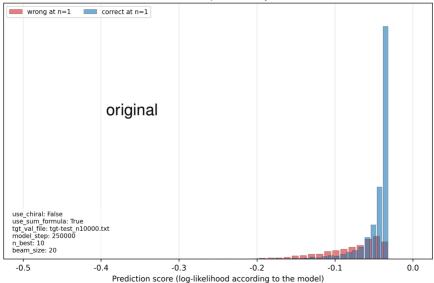
indicates training progress.



## **Evaluation**

top-n accuracy of three models

#### Inferred SMILES (top-1 accuracy: 71.02%)



# Inferred SMILES (top-1 accuracy: 67.54%) correct at n=1 + explicit H tgt\_val\_file: tgt-test\_n10000.txt model\_step: 100000

-0.2

-0.1

wrong at n=1

use chiral: False use\_sum\_formula: True

-0.4

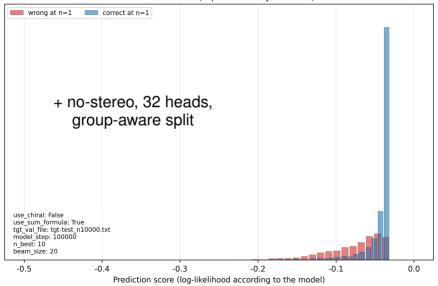
-0.3

Prediction score (log-likelihood according to the model)

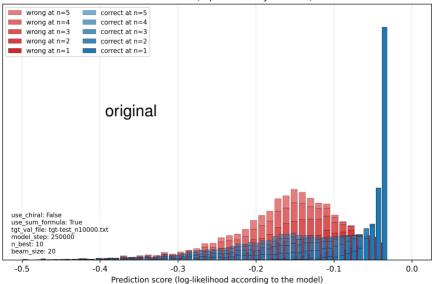
n best: 10 beam\_size: 20 -0.5

0.0

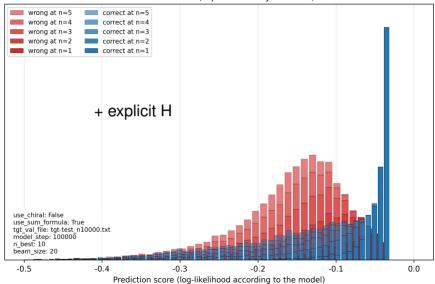
#### Inferred SMILES (top-1 accuracy: 65.01%)



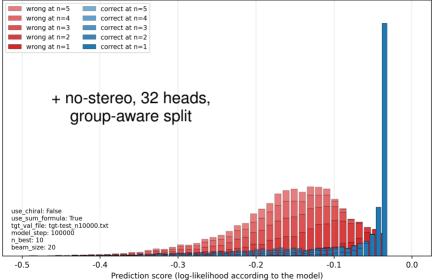
#### Inferred SMILES (top-5 accuracy: 81.56%)



#### Inferred SMILES (top-5 accuracy: 79.56%)



# Inferred SMILES (top-5 accuracy: 78.21%)



# Multitask ML from 1D NMR from Hu et al. [5]

- Model Inputs & Outputs
  - Substructure-to-Structure:
    - Input: Binary vector (957 substructures)
    - Output: SMILES string (tokenized)
  - Multitask Model:
    - Input: 1H NMR (28,000-point interpolated), 13C NMR (80-bin)
    - Output: SMILES string + substructure probabilities

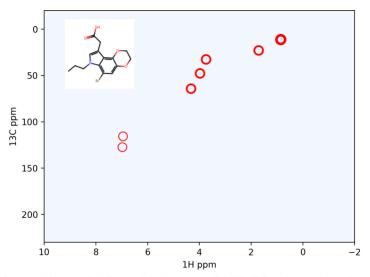
#### Dataset

- ~3.1M molecules total (GDB-17 + SpectraBase)
- ~143k with simulated NMR spectra (SpectraBase)

#### Accuracy

- Simulated NMR (test set): 69.6% (top-15 exact match)
- Experimental NMR (106 spectra): 33.0% (from Huang et al. [4])

## **HSQC**



HSQC peaklist + H/C multiplets → SMILES / functional groups

# HSCQ data in Alberts et al. [1]

```
hsqc peaks = [
 "13C centroid": 11.251181374044274,
 "13C max": 11.98383639612529,
 "13C min": 10.518526351963258,
 "1H centroid": 0.8571500560320375,
 "1H max": 0.9073983348931892,
 "1H min": 0.8069017771708858,
 "nH": 3.0
},
```

# HSCQ data in Alberts et al. [1]

```
c peaks = [
 "delta (ppm)": 173.71330825674195,
 "integral": 0.0009437201155302,
 "intensity": 0.0502866931546309,
 "width (ppm)": 0.0119373346904897
},
```

# HSCQ data in Alberts et al. [1]

```
h peaks = [
 "category": "m",
 "centroid": 6.9619175681911205,
 "delta": 6.965392578832866,
 "i values": null,
 "nH": 2.
 "rangeMax": 6.988256203901225,
 "rangeMin": 6.937767800903287
},
```

## References I

- [1] Marvin Alberts, Oliver Schilter, Federico Zipoli, Nina Hartrampf, and Teodoro Laino. "Unraveling Molecular Structure: A Multimodal Spectroscopic Dataset for Chemistry". In: NeurIPS 2024 Datasets and Benchmarks Track, 2024.
  - 10.48550/arXiv.2407.17492.
- Daniel Lowe. Chemical reactions from US patents (1976–Sep2016). [2] figshare, 2017. 10.6084/m9.figshare.5104873.v1.
- Mestrelab Research. MestReNova 15.1 Manual. Mestrelab Research. [3] 2024

## References II

- [4] Zhaorui Huang, Michael S. Chen, Cristian P. Woroch, Thomas E. Markland, and Matthew W. Kanan. "A framework for automated structure elucidation from routine NMR spectra". In: Chemical Science 12.46 (2021), pp. 15329–15338. 10.1039/d1sc04105c.
- [5] Frank Hu, Michael S. Chen, Grant M. Rotskoff, Matthew W. Kanan, and Thomas E. Markland. "Accurate and Efficient Structure Elucidation from Routine One-Dimensional NMR Spectra Using Multitask Machine Learning". In: ACS Central Science 10.11 (2024), pp. 2162–2170. 10.1021/acscentsci.4c01132.