

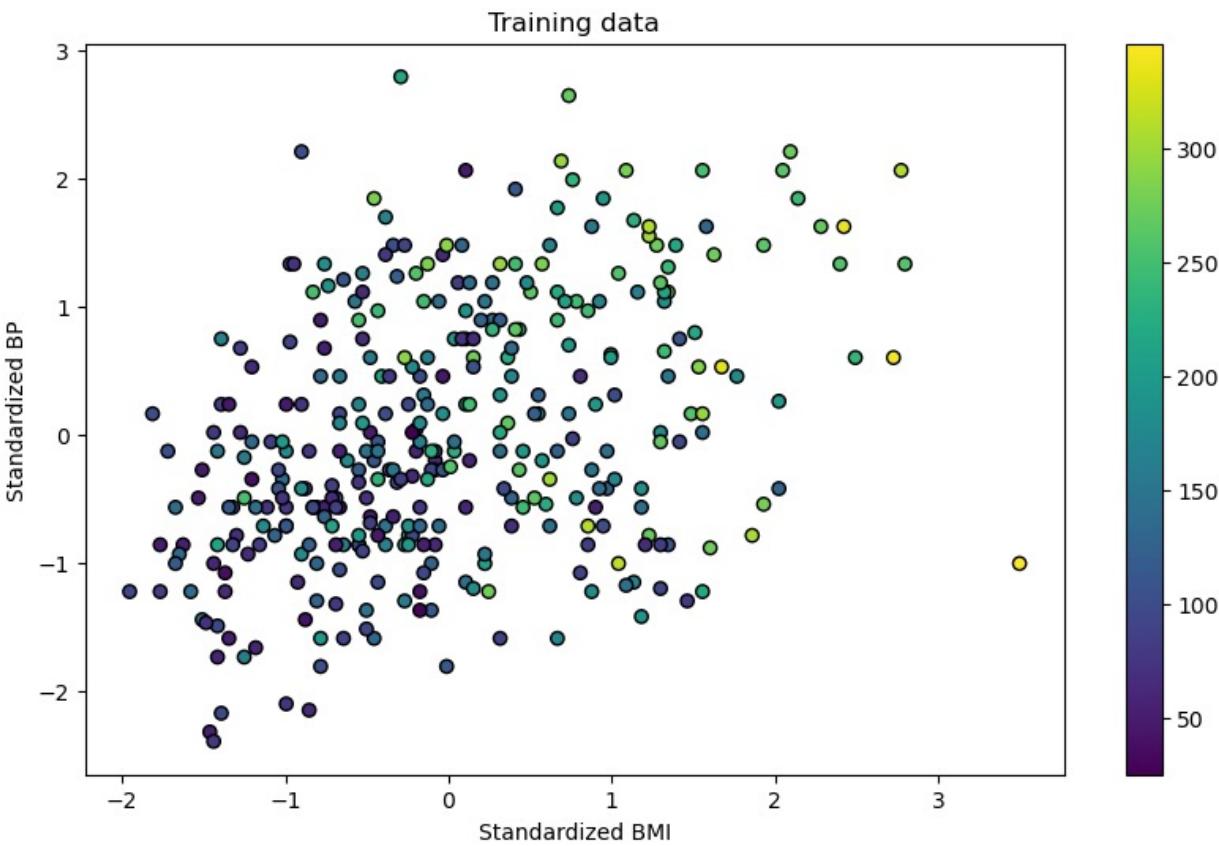


# Cross-Validation und Deep Learning

**Carlos Cotrini, Marcel Lüthi**  
Datenanalyse in der Physik 2024



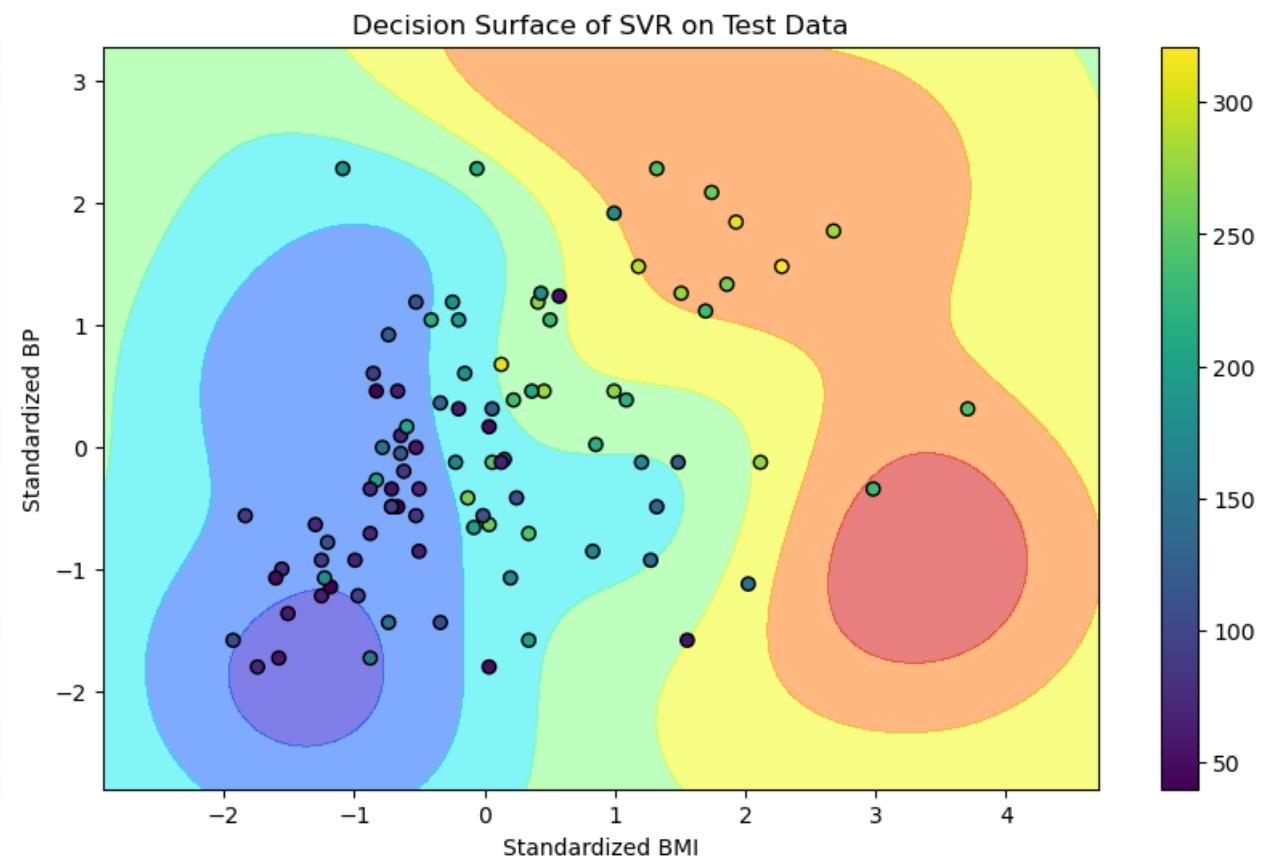
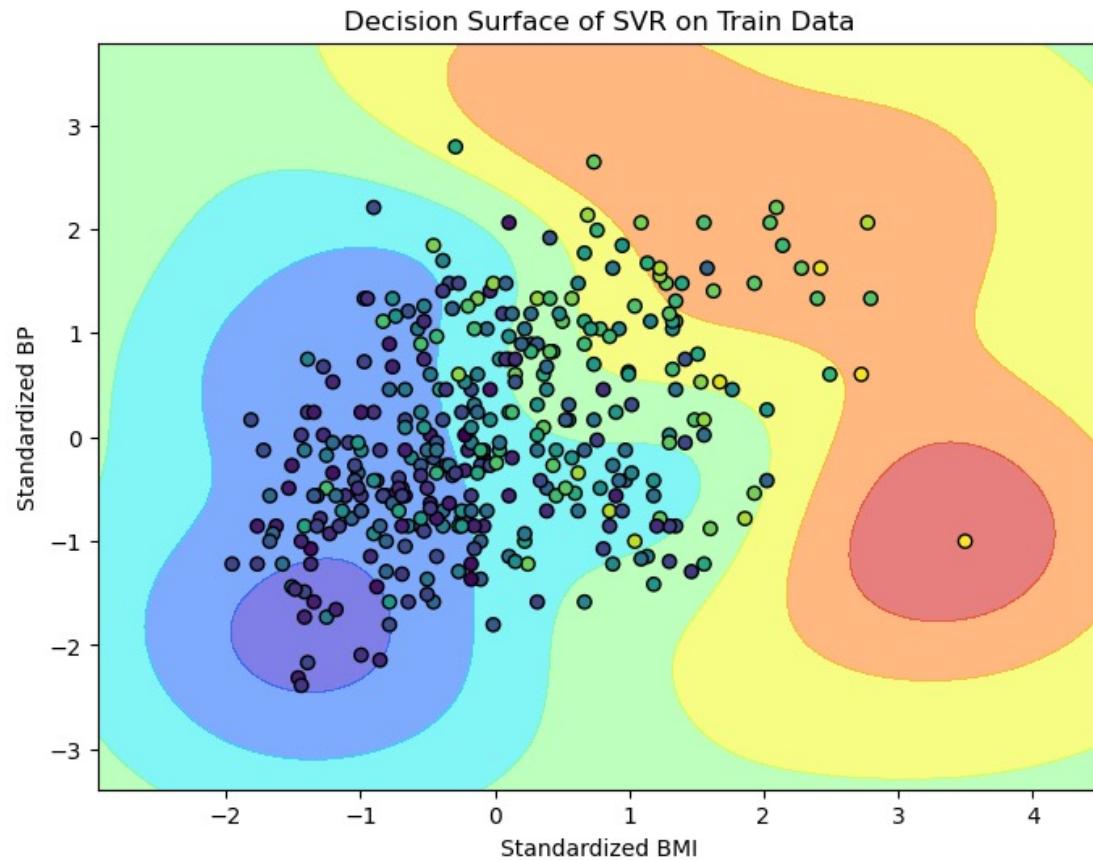
# Prognose von Diabetes mit ML



- In der Übung haben wir mit einem Datensatz von Patienten mit Diabetes gearbeitet.

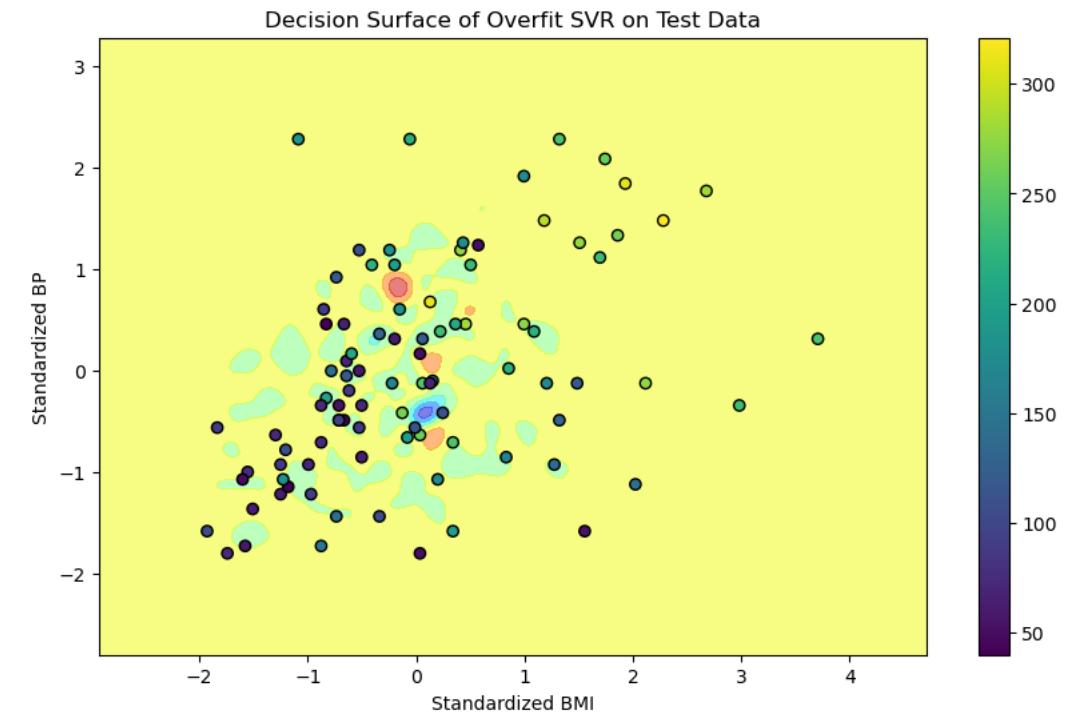
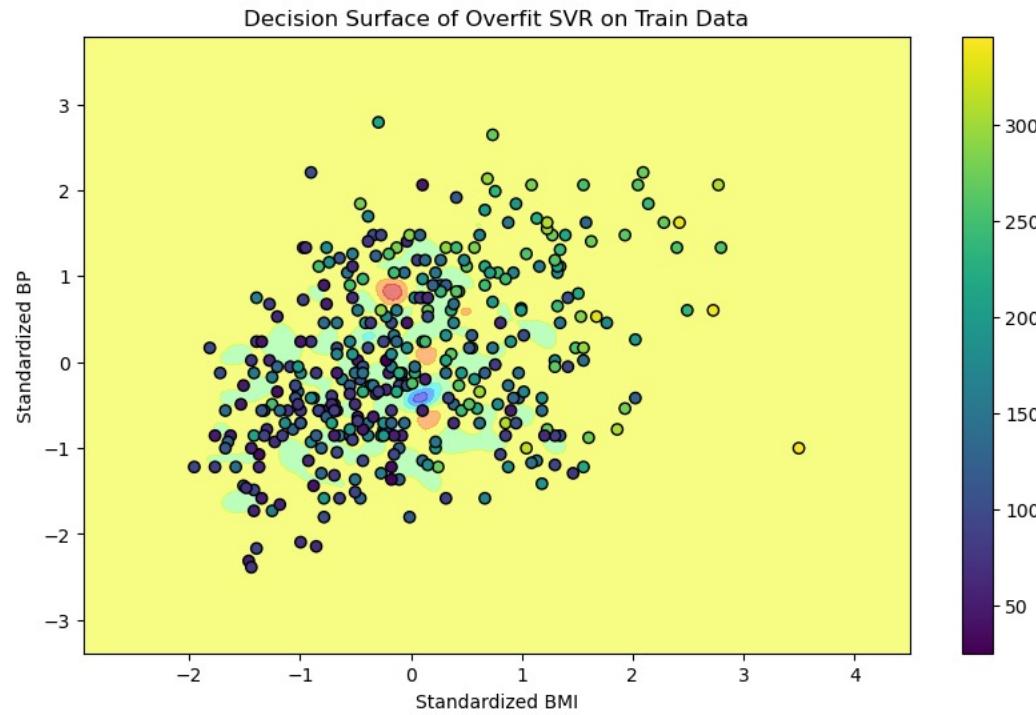
# Prognose von Diabetes mit ML

- Mit einfachen Modelle trainiert man Funktionen die gut über andere Daten verallgemeinern.



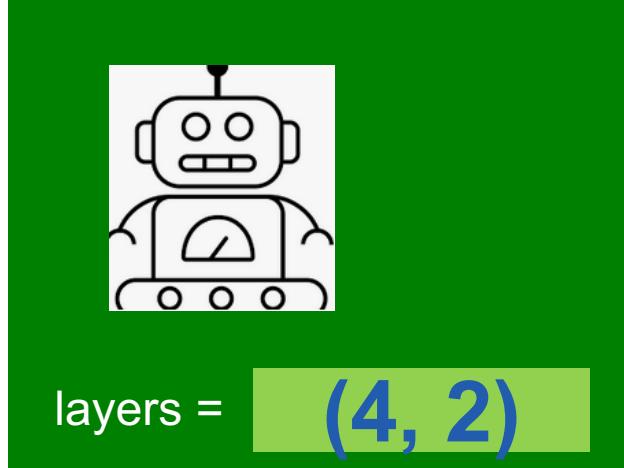
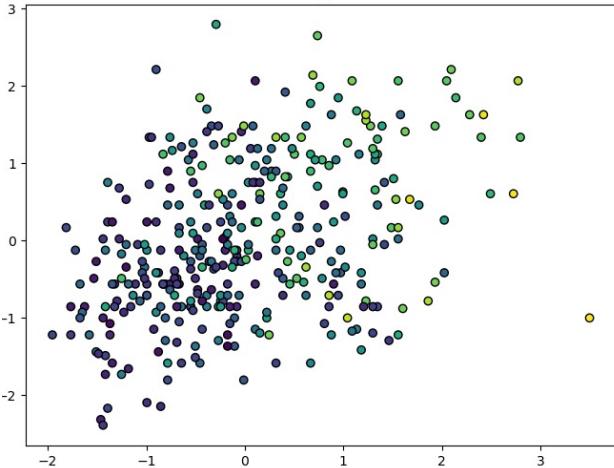
# Prognose von Diabetes mit ML

- Mit komplizierten Modellen trainiert man Funktionen die nicht so gut über andere Daten verallgemeinern.

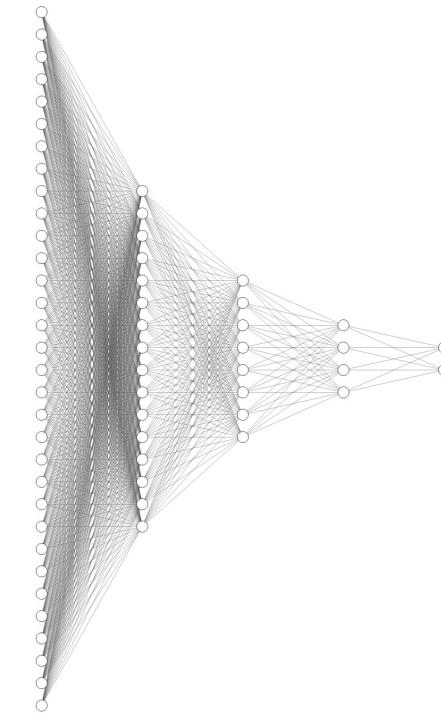
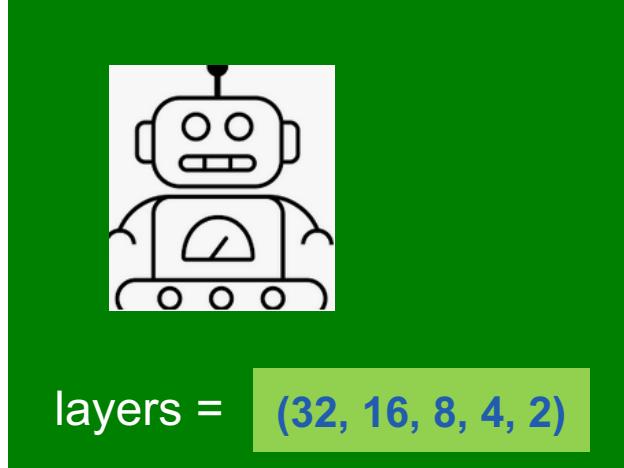
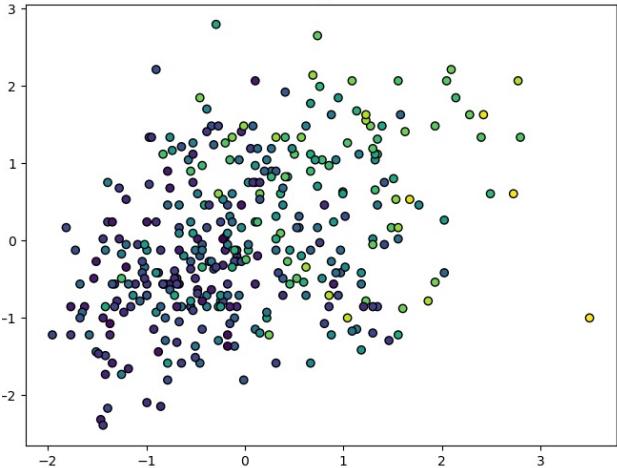


# Overfitting und underfitting

# Das Problem der Auswahl eines Modells im maschinellen Lernen

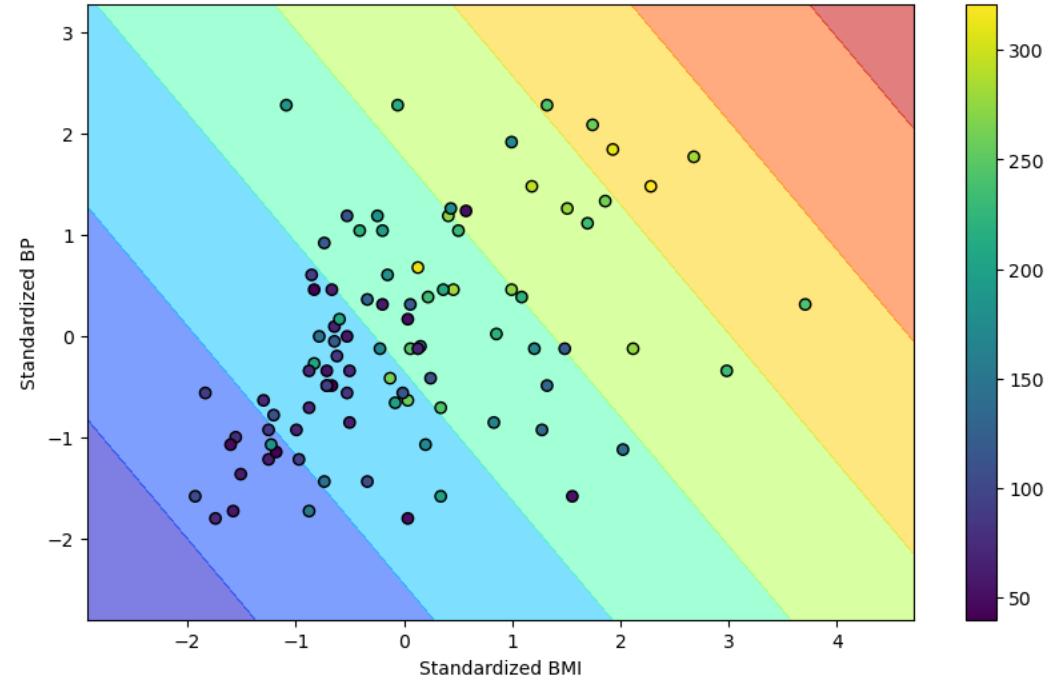
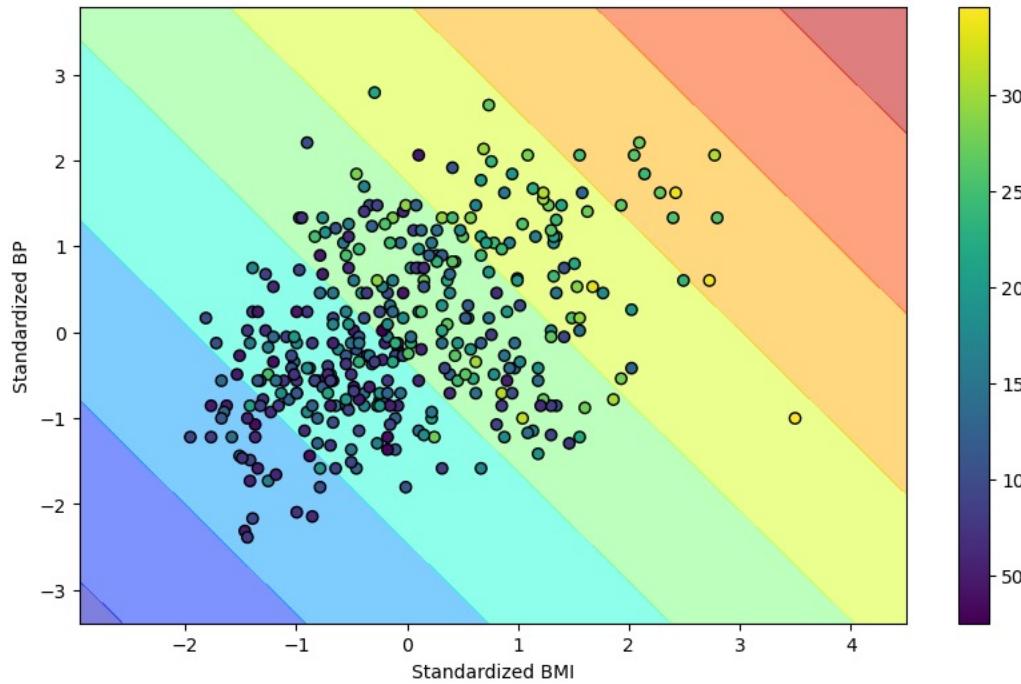


# Das Problem der Auswahl eines Modells im maschinellen Lernen



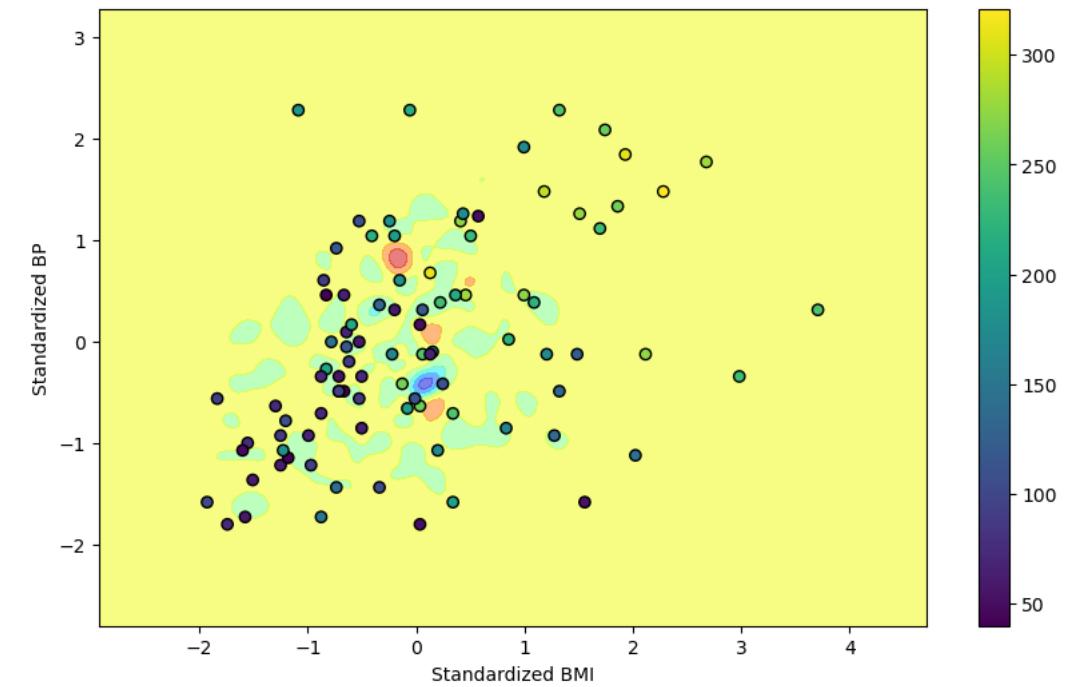
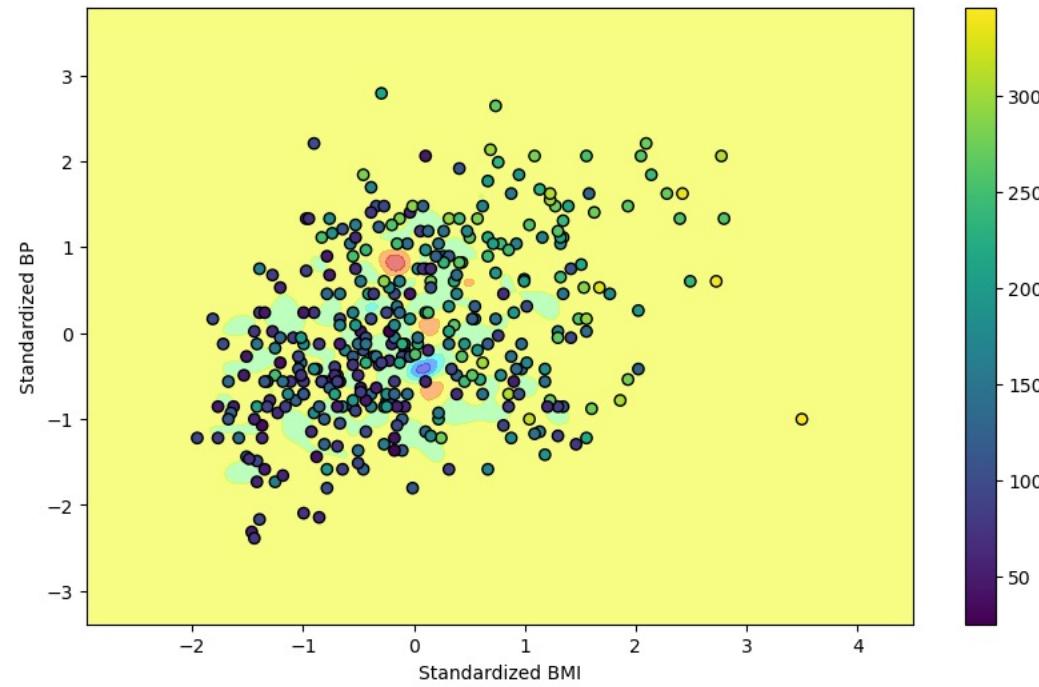
# Underfitting

- Underfitting tritt auf, wenn ein Modell zu einfach ist und nicht genug von den Datenmuster erfasst, was zu schlechter Leistung führt.

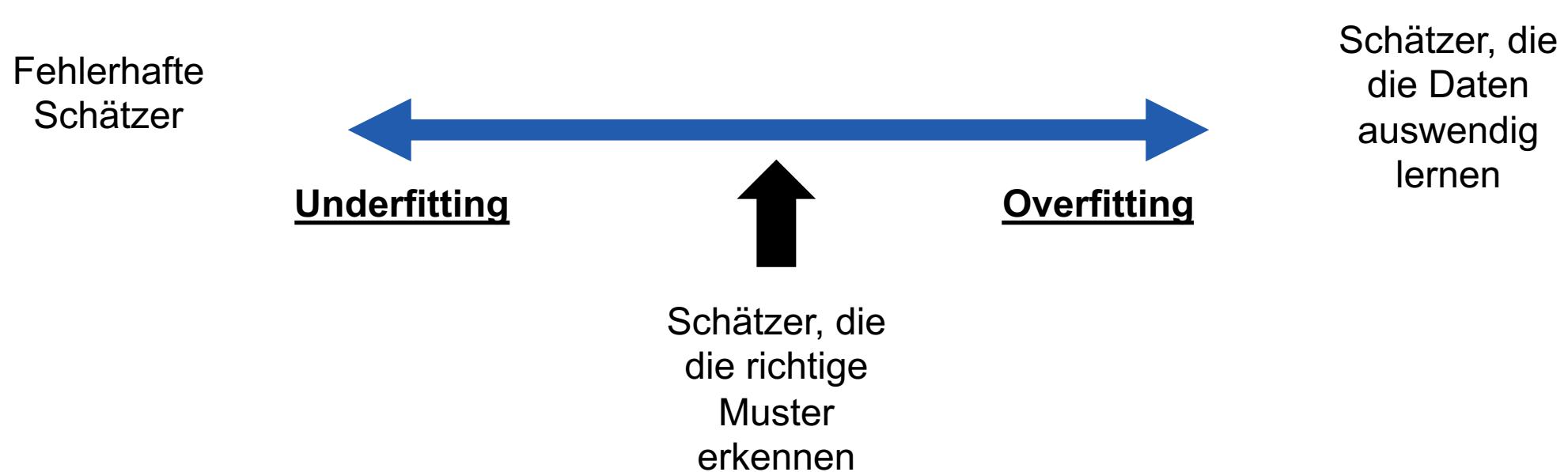


# Overfitting

- Overfitting geschieht, wenn ein Modell zu komplex ist und zu sehr auf die Trainingsdaten zugeschnitten ist, wodurch es neue Daten schlecht generalisiert.

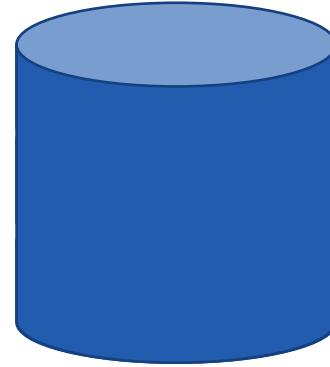
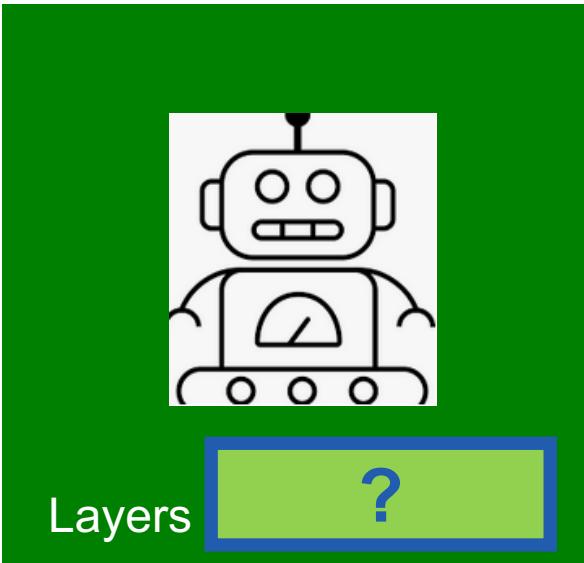


# Auswahl des richtigen Modells

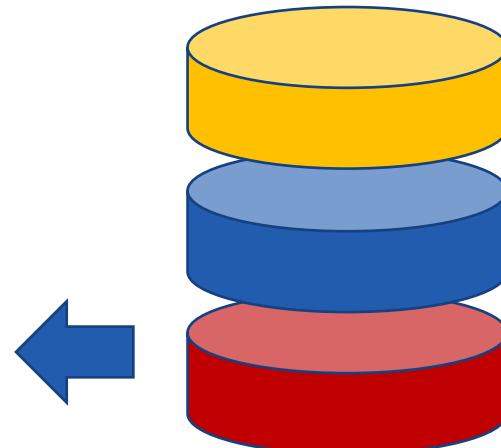
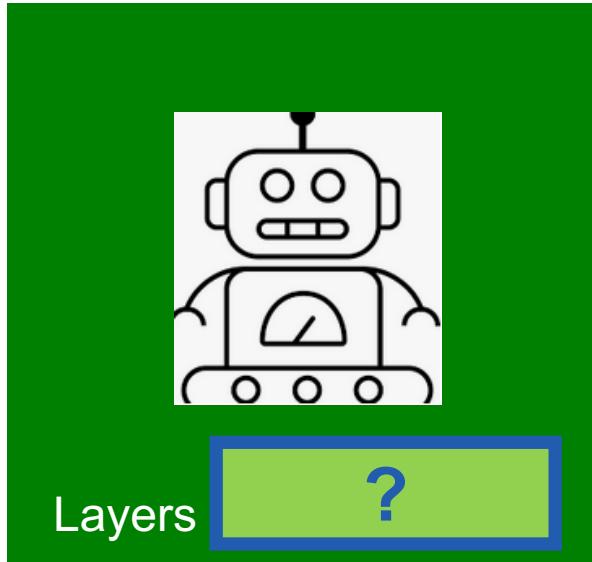


# Modellauswahl mit Cross-Validation

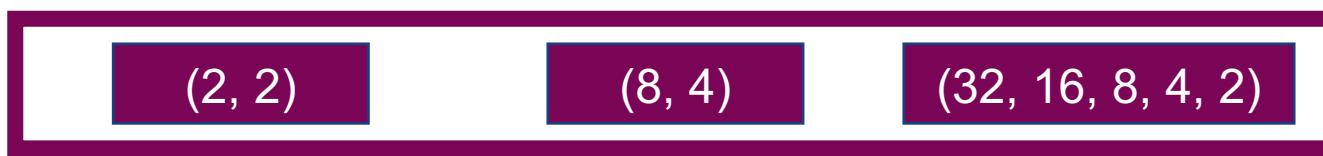
# Grid search via K-fold cross-validation



# Grid search via K-fold cross-validation



Training set

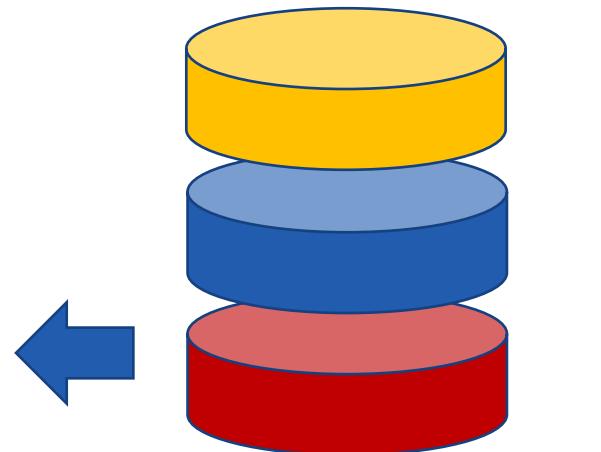
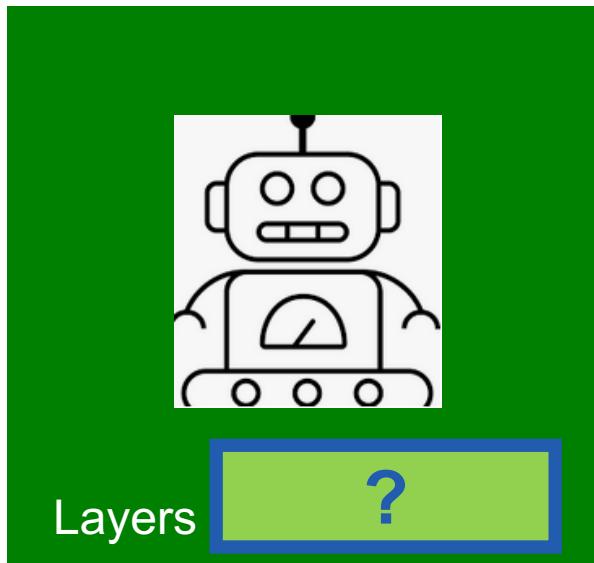


Validation set

% mistakes in



# Grid search via K-fold cross-validation



Training set

(2, 2)	(8, 4)	(32, 16, 8, 4, 2)
--------	--------	-------------------

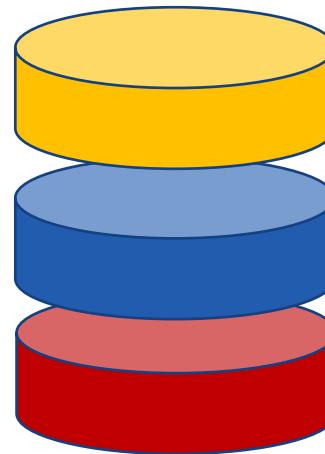
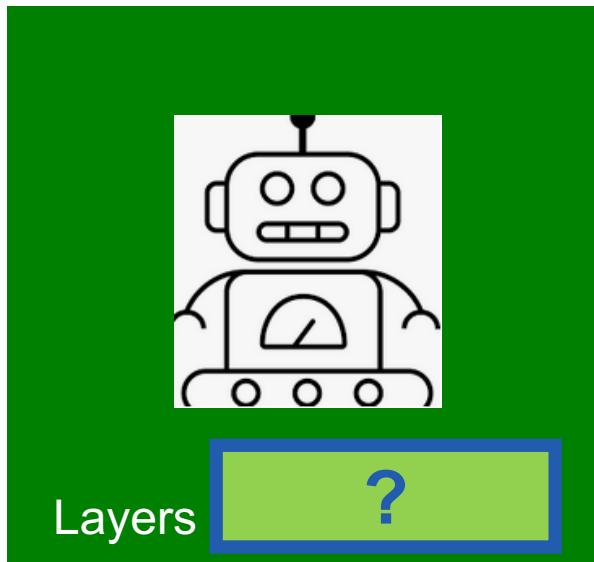
Validation set

% mistakes in  
yellow cylinder

% mistakes in  
blue cylinder

20	5	50
----	---	----

# Grid search via K-fold cross-validation



Training set

	(2, 2)	(8, 4)	(32, 16, 8, 4, 2)
--	--------	--------	-------------------

Validation set

% mistakes in  
yellow cylinder

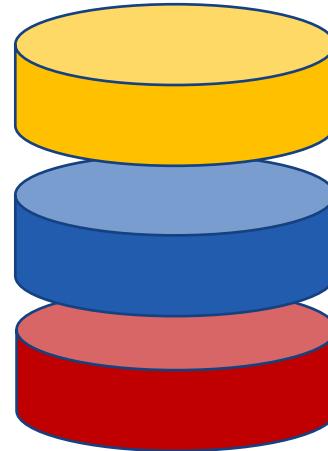
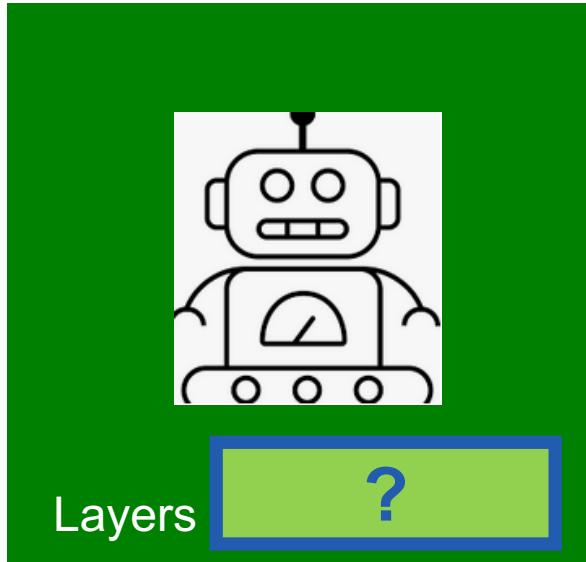
20	5	50
----	---	----

% mistakes in  
blue cylinder

15	3	80
----	---	----

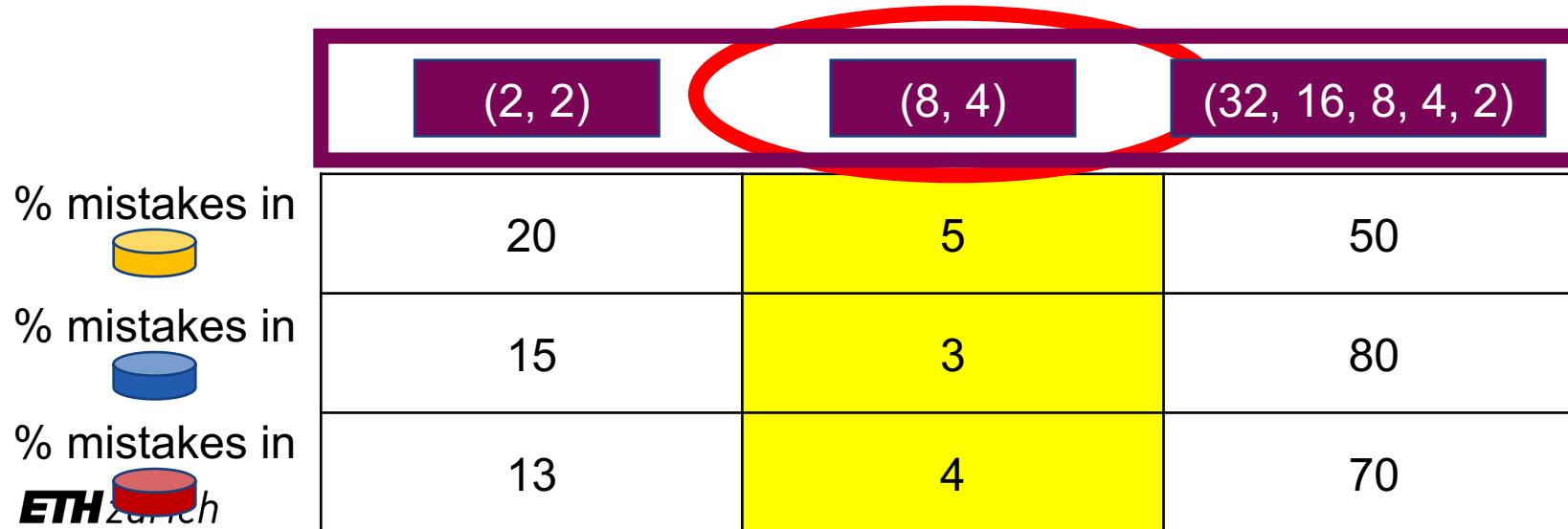
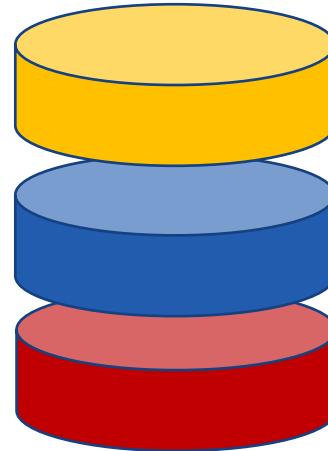
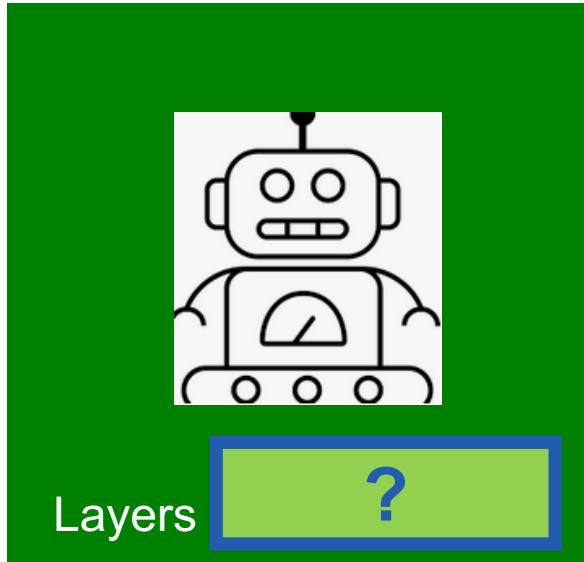
% mistakes in  
ETHZ Zurich

# Grid search via K-fold cross-validation



	(2, 2)	(8, 4)	(32, 16, 8, 4, 2)
% mistakes in	20	5	50
% mistakes in	15	3	80
% mistakes in	13	4	70
<b>ETH Zurich</b>			

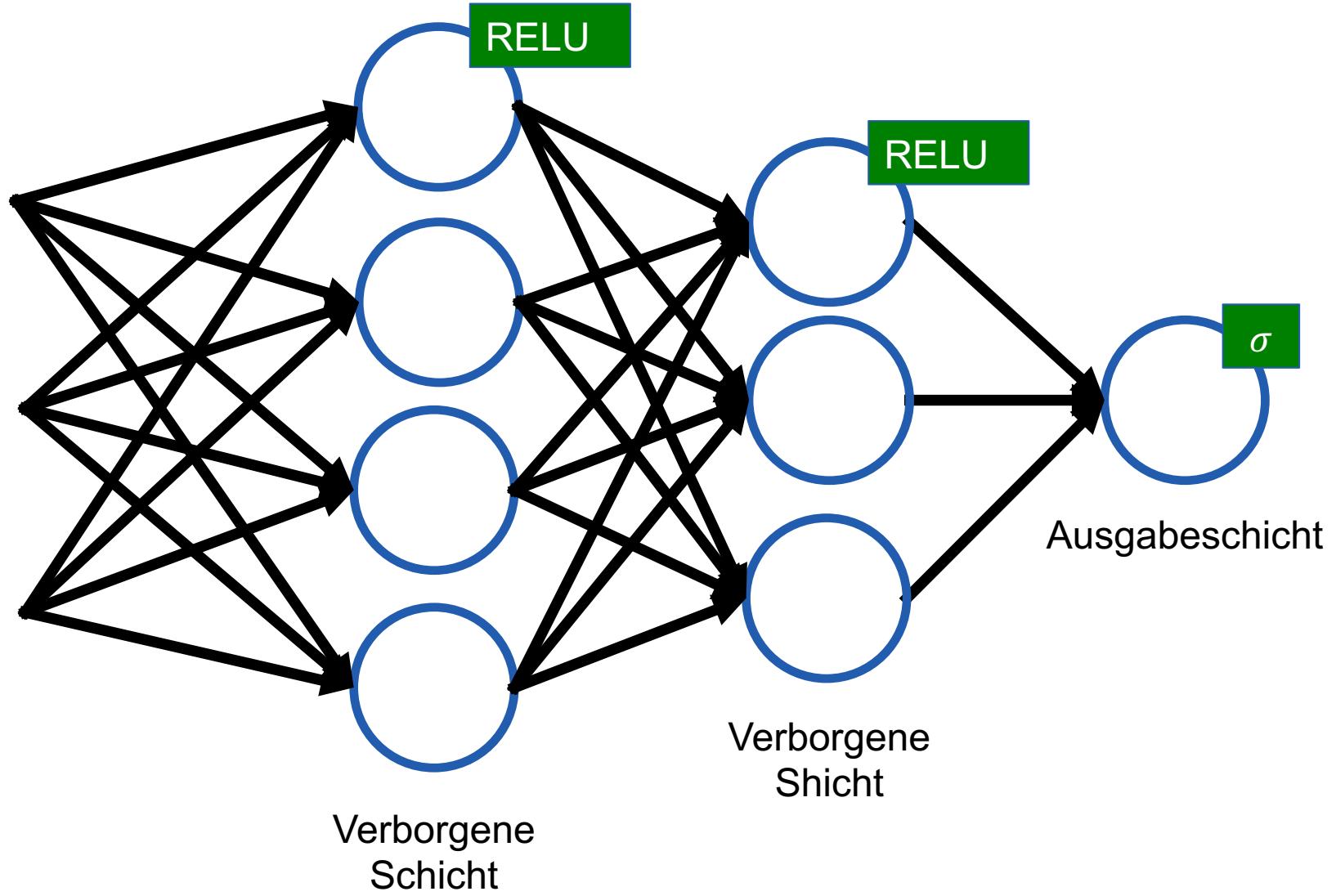
# Grid search via K-fold cross-validation



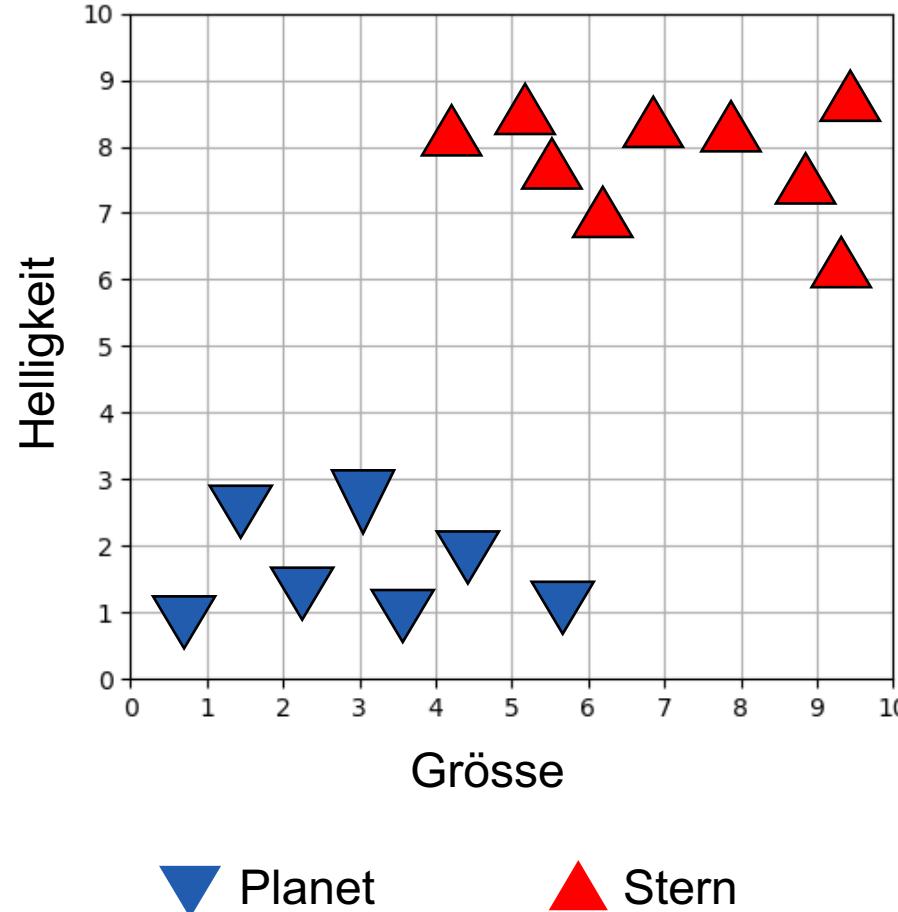
# Code Beispiel

1. <https://colab.research.google.com/drive/1gjlUMEHSw-ZSypZutha0fV8FM5R563Gx?usp=sharing>

# Neuronale Netze



# Beispiel: Planeten und Sterne



# Neuronale Netze

- Ein Neuron ist das Ergebnis einer Anwendung einer Aktivierungsfunktion zu einer Lineare Funktion.

# Neuronale Netze

- Ein Neuron ist das Ergebnis einer Anwendung einer Aktivierungsfunktion zu einer Lineare Funktion.
- Beispiel: ReLU (Rectified linear unit)

$$\text{ReLU}(x) \begin{cases} x & \text{falls } x > 0 \\ 0 & \text{andernfalls} \end{cases}$$

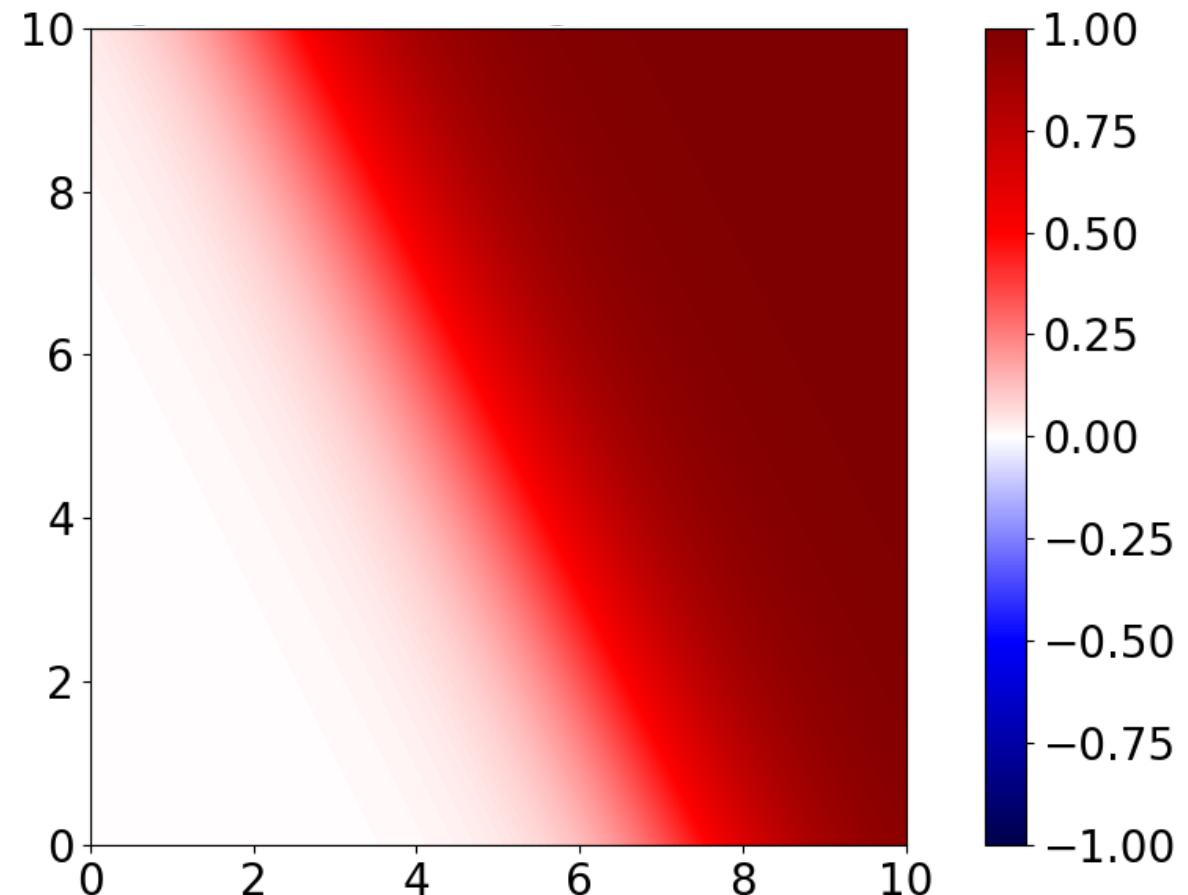
$$f(\text{Grösse}, \text{Hell}) = \text{ReLU}(-7 + \text{Grösse} + \text{Hell})$$

- $f(3,2) = ?$
- $f(5,4) = ?$

# Neuronen

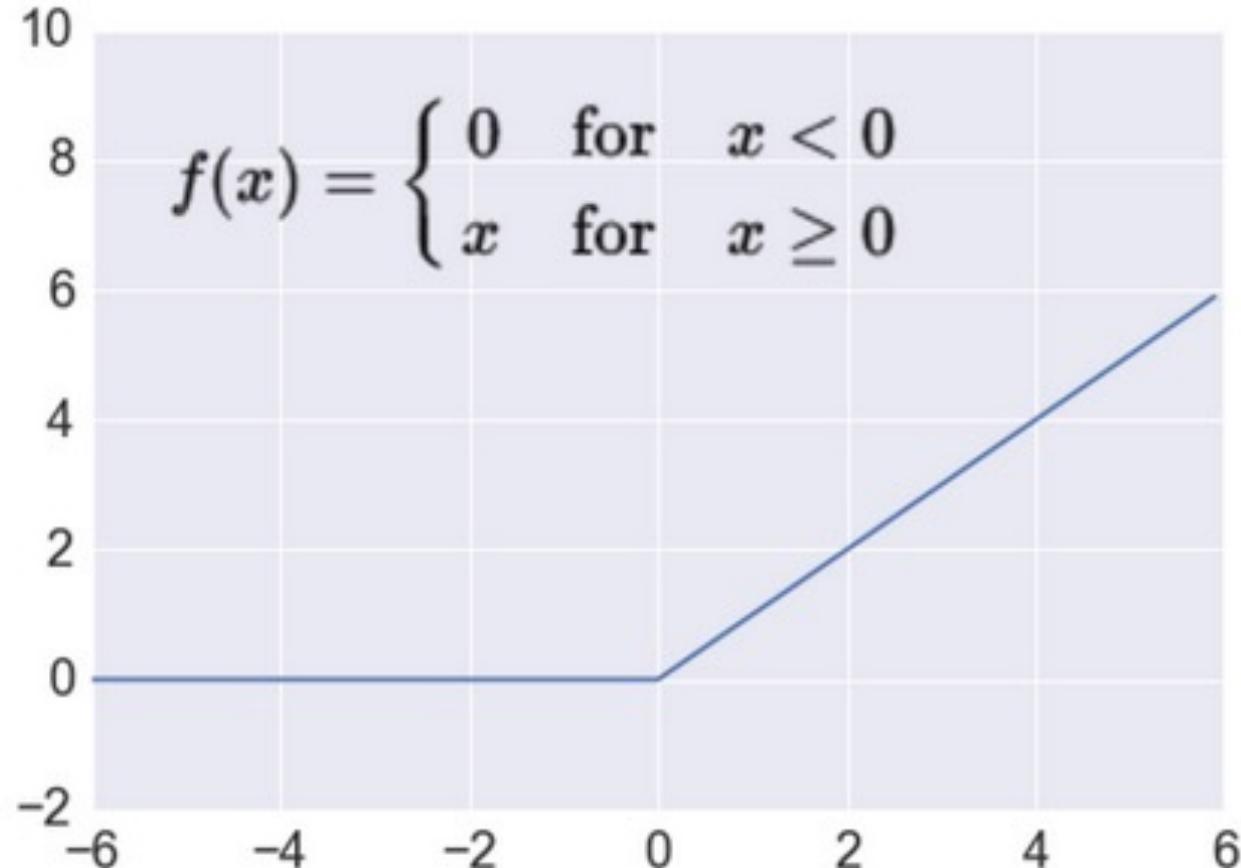
- Ein anderes Beispiel einer Aktivierungsfunktion: Sigmoid
- Example:

$$\begin{aligned}f(\text{Grösse}, \text{Hell}) \\= \sigma(-10 + 2 * \text{Grösse} + 1 * \text{Hell})\end{aligned}$$

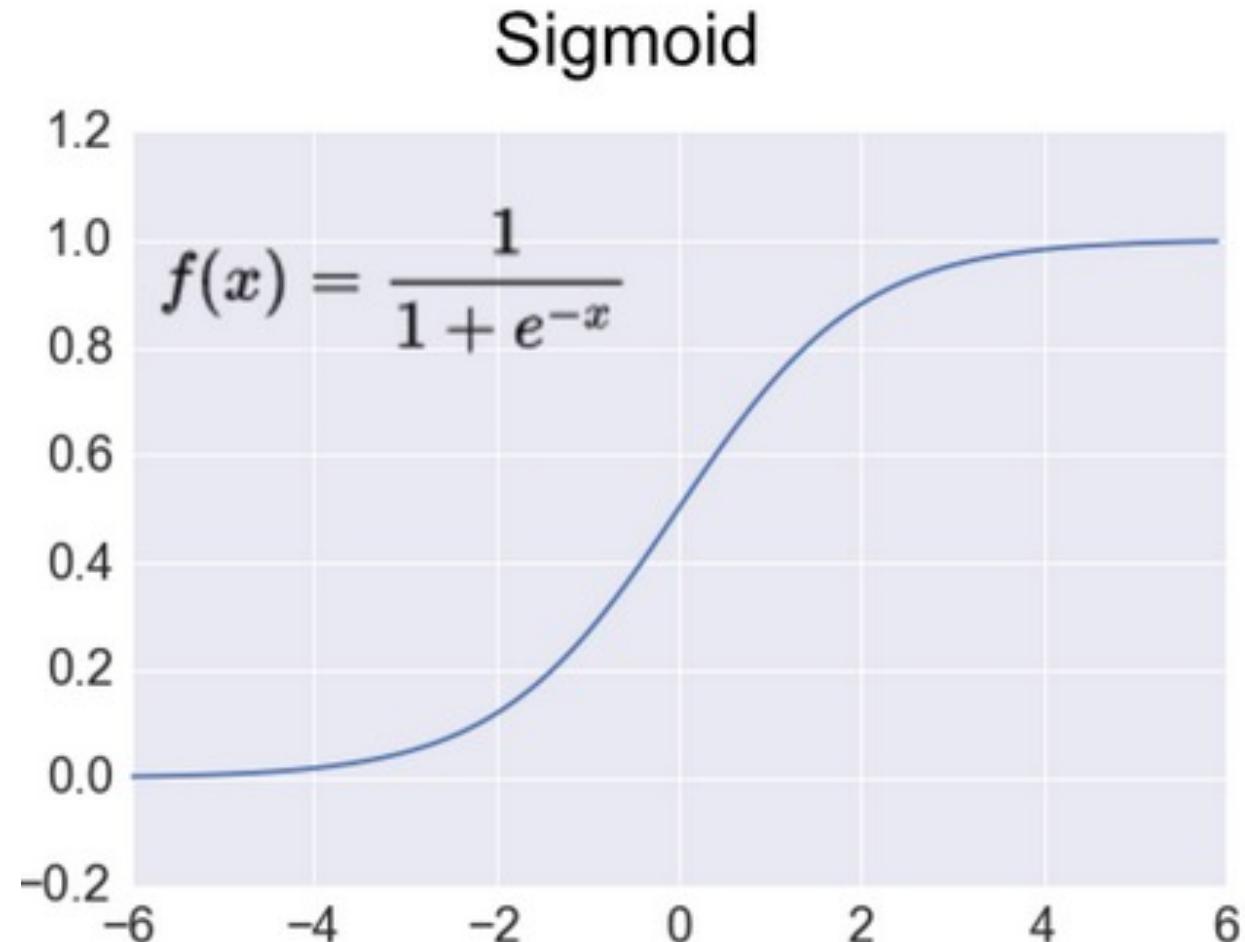


# Häufig gebrauchte Aktivierungsfunktionen

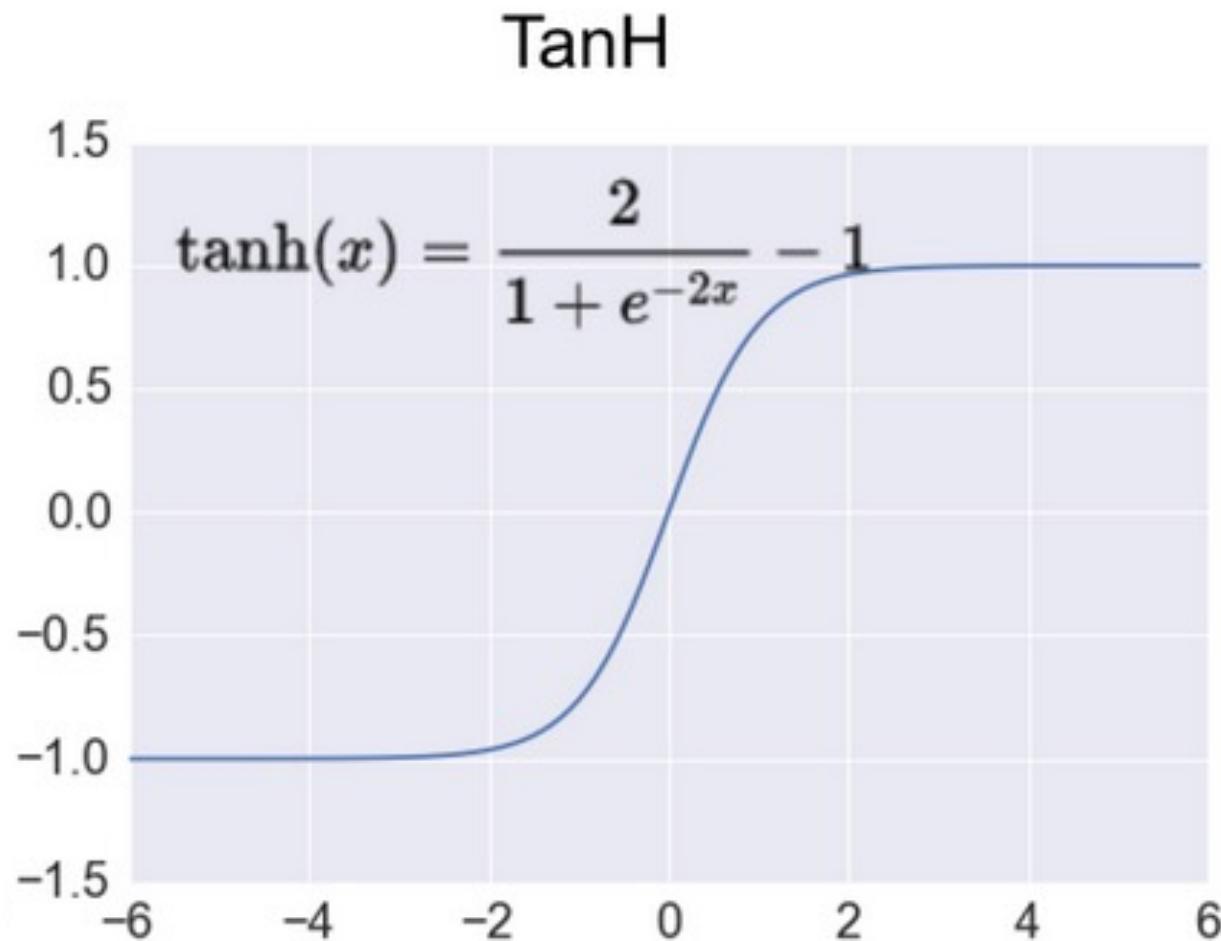
ReLU



# Häufig gebrauchte Aktivierungsfunktionen



# Häufig gebrauchte Aktivierungsfunktionen

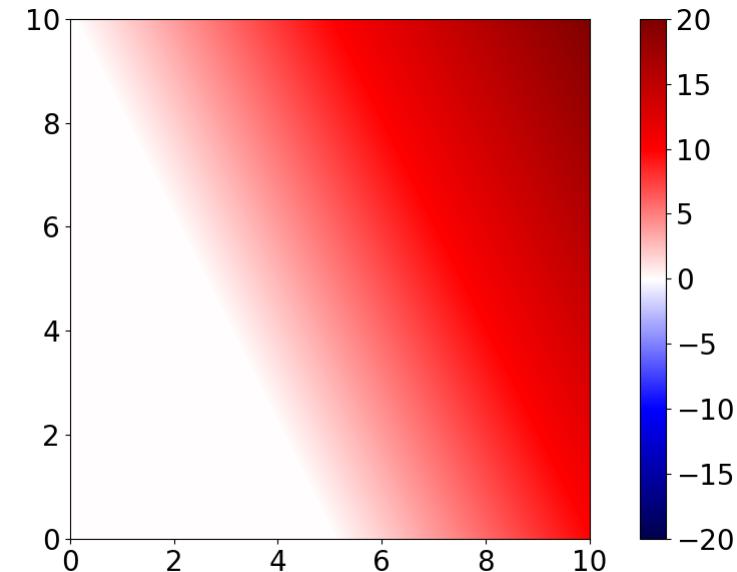


# Darstellung von Neuronen

- Das Neuron

$$f(\text{Grösse}, \text{Hell}) = \text{RELU}(-10 + 2 * \text{Grösse} + 1 * \text{Hell})$$

kann man wie folgt darstellen:

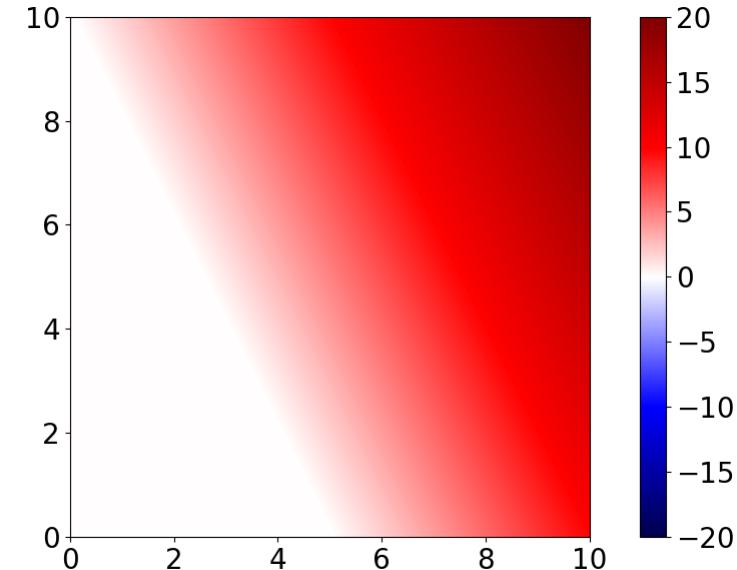
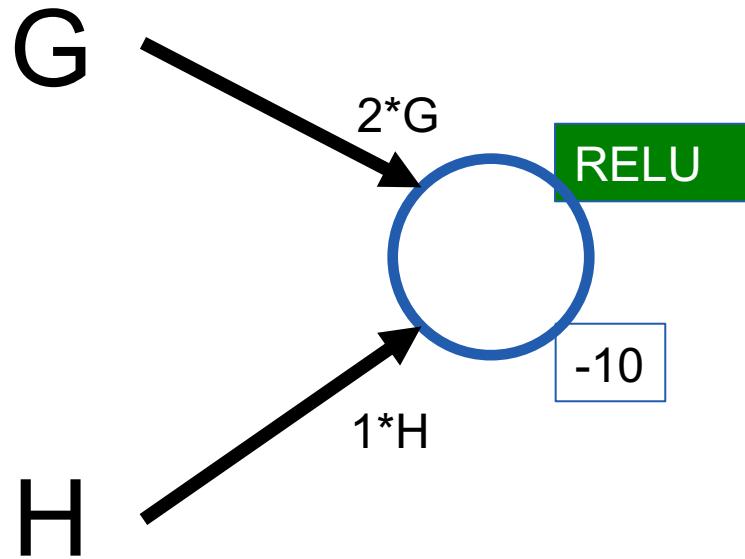


# Darstellung von Neuronen

- Das Neuron

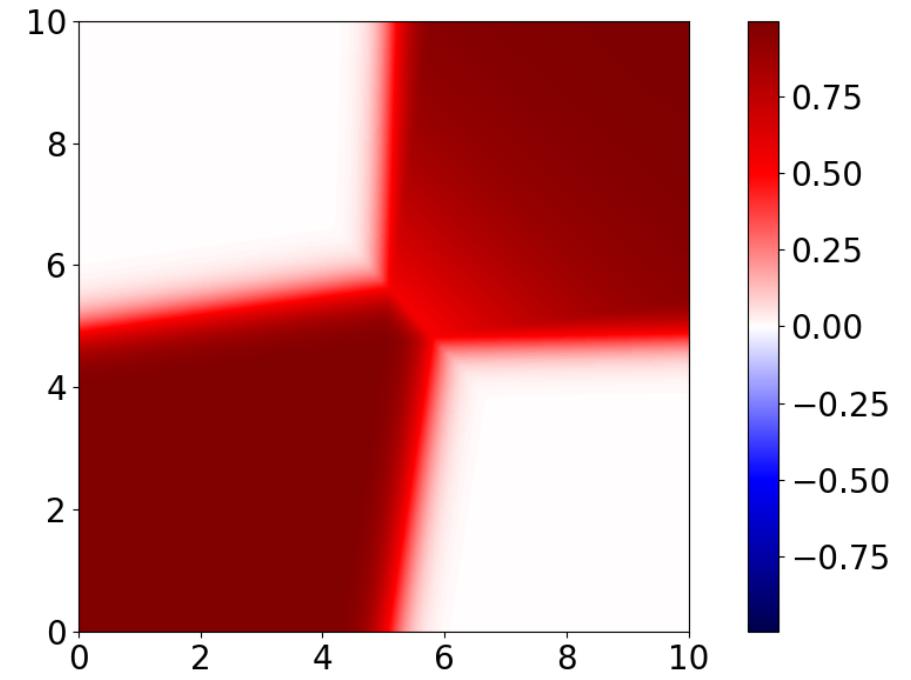
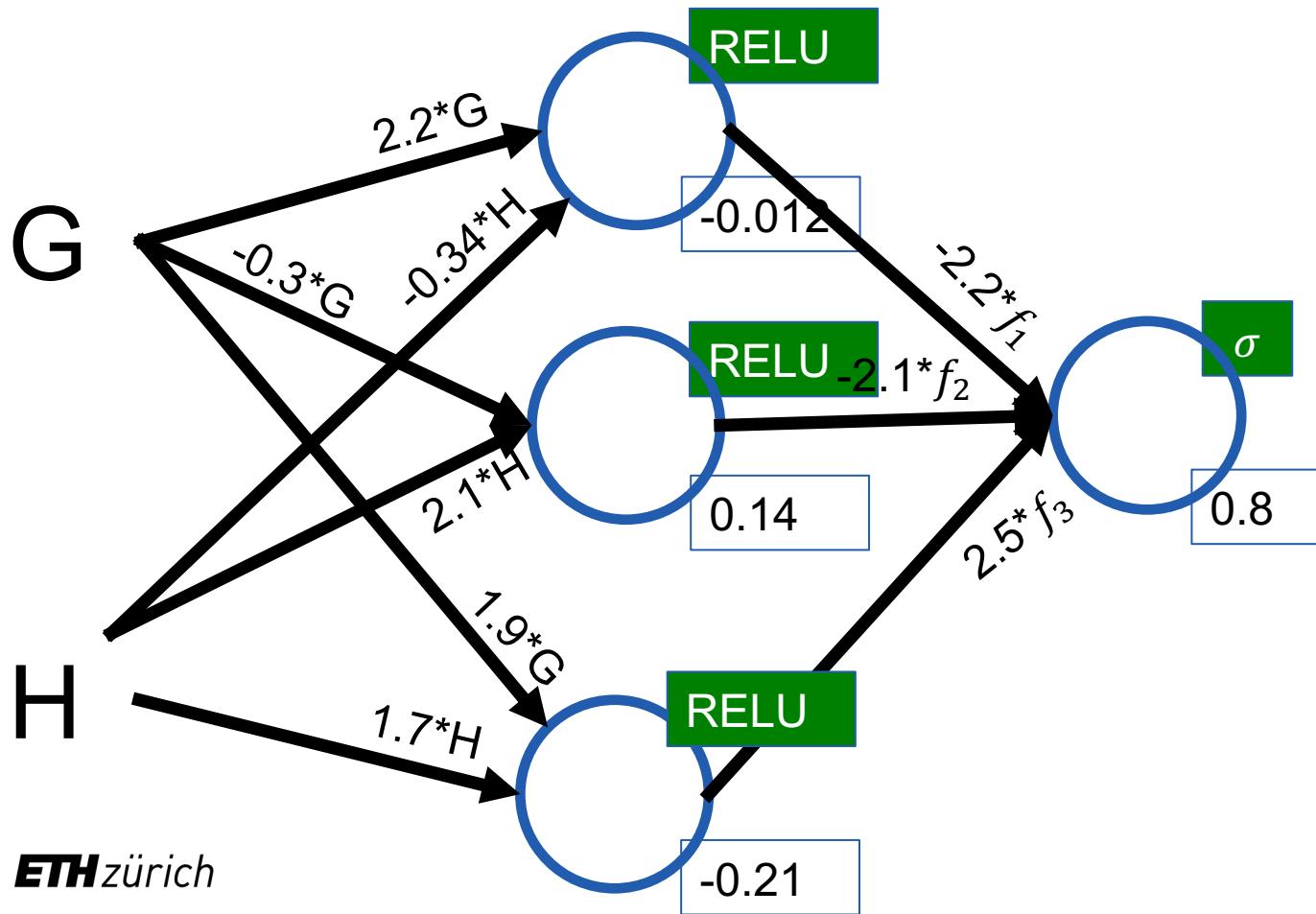
$$f(\text{Grösse}, \text{Hell}) = \text{RELU}(-10 + 2 * \text{Grösse} + 1 * \text{Hell})$$

kann man wie folgt darstellen:

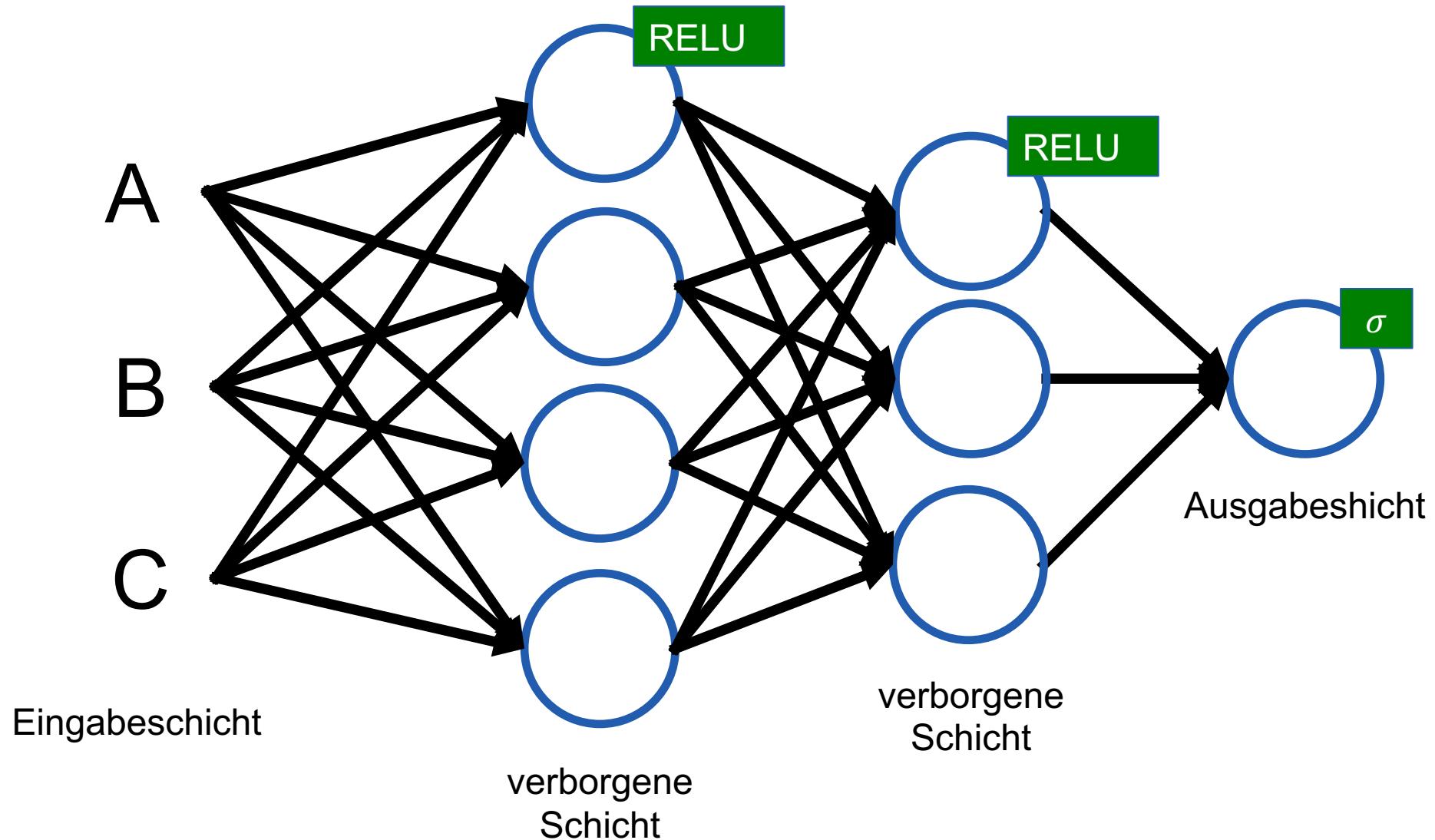


# Unser erstes neuronale Netz

# Unser erstes neuronale Netz



# Die allgemeine Architektur eines neuronalen Netzes



# Bemerkungen

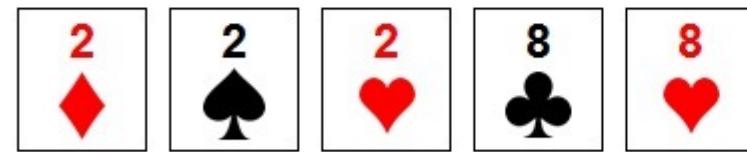
- Beim Klassifizierung von Objekten in zwei Klassen, ist die Aktivierungsfunktion des Neurons in Ausgabeschicht die Sigmoid. Das ist weil die Ausgabe zwischen 0 und 1 sein sollte.
- Bei Regression (vorhersage reeller Zahlen), gibt es keine Aktivierungsfunktion in Ausgabeschicht.
- Die Aktivierungsfunktion für die Neuronen in verborgenen Schichten ist normalerweise die ReLU.

Warum sind neuronale  
Netze im Allgemein  
besser?

# Warum sind neuronale Netze so beliebt?



# Viele ML Algorithmen können keine neuen Erkenntnisse gewinnen



Full  
house

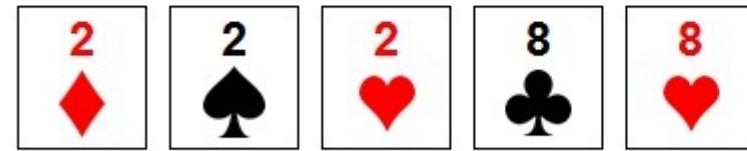


Royal  
flush

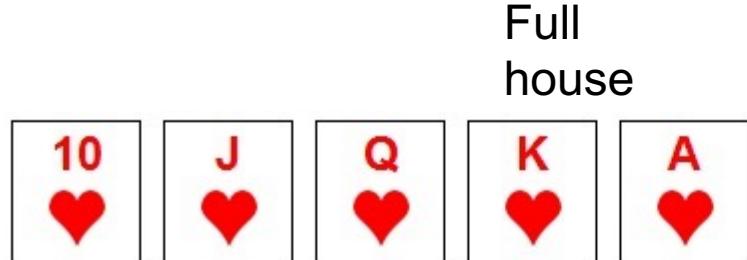
- Logistische Regression

49%

# Viele ML Algorithmen können keine neuen Erkenntnisse gewinnen



- Logistische Regression



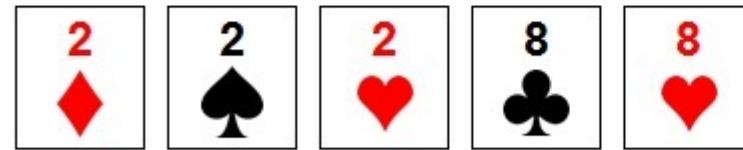
Full  
house

49%

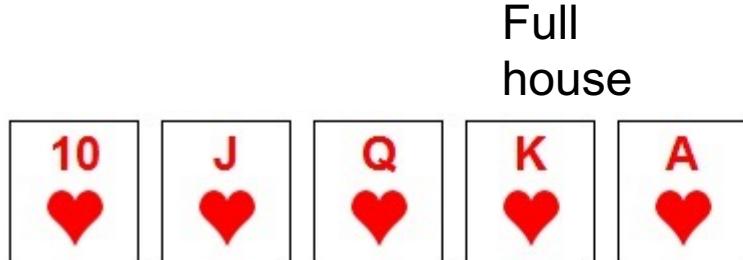
Royal  
flush

Rank	Suit 1	...	Rank	Suit 5	Type
1			5		
2	♦	...	8	♥	FH
10	♥	...	A	♥	RF

# Viele ML Algorithmen können keine neuen Erkenntnisse gewinnen



- Logistische Regression



99.5%

Full  
house

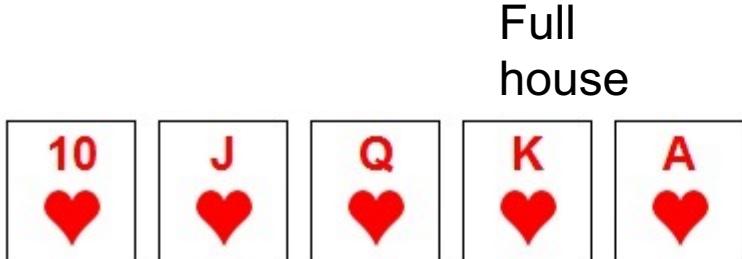
Royal  
flush

Rank	Suit 1	...	Rank	Suit 5	# A	#2	...	#♠	#♥	Type
1			5							
2	♦	...	8	♥	0	3		1	2	FH
10	♥	...	A	♥	1	0		0	5	RF

# Neuronale Netze können neue Erkenntnisse gewinnen!



- Neuronale Netze



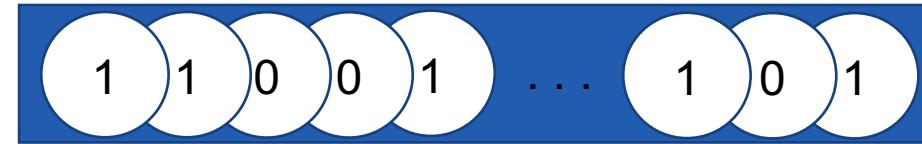
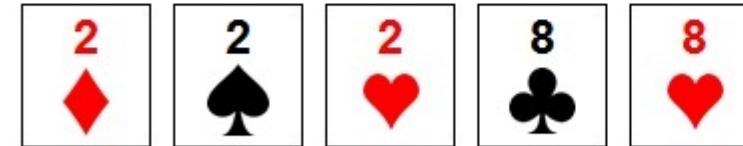
Full  
house

99%

Royal  
flush

Rank	Suit 1	...	Rank	Suit 5	Type
1			5		
2	♦	...	8	♥	FH
10	♥	...	A	♥	RF

# Handerkennung

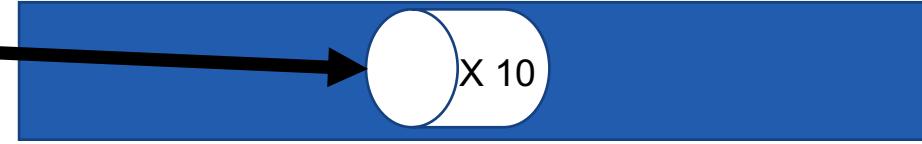
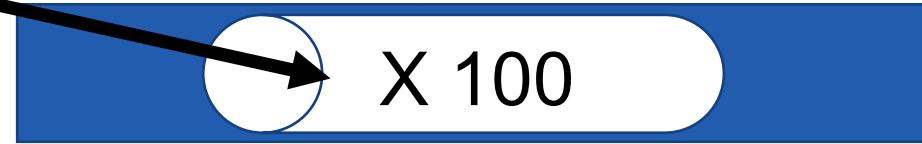


Gibts mehr als  
einen König?

Sind alle Karte  
der selben  
Farbe?

Bilden die  
Karten eine  
Reihe?

Jedes Neuron in der  
Ausgabeschicht erkennt eine  
Kombination

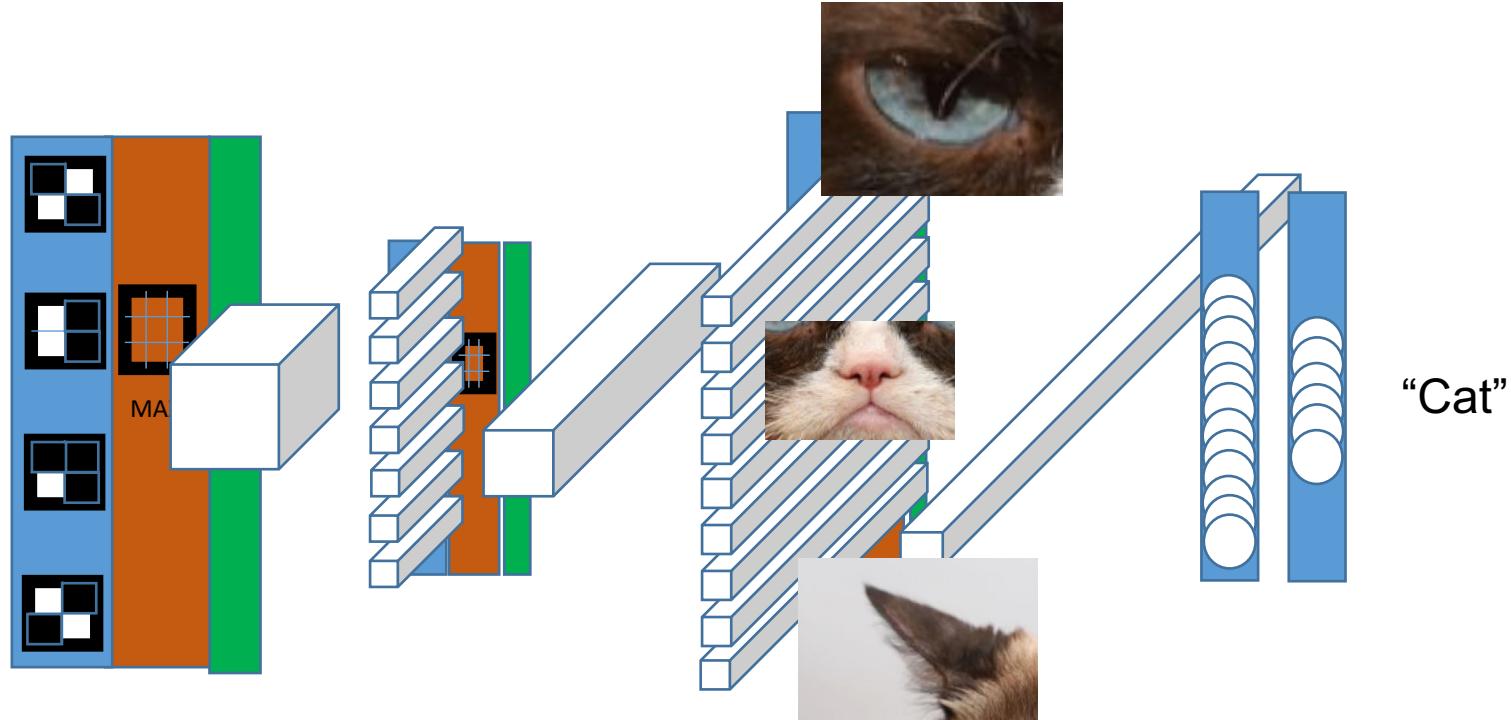
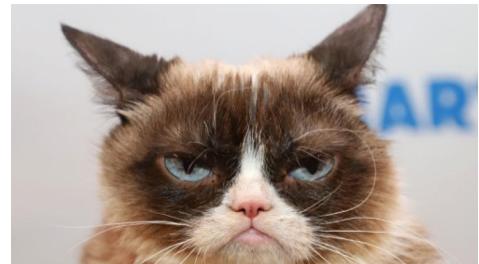


# Interaktive Webseite

- <http://playground.tensorflow.org/>

# Convolutional neural networks

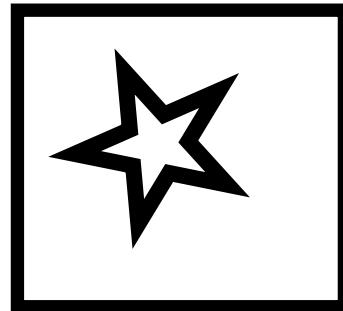
# Bilderkennung mit CNNs



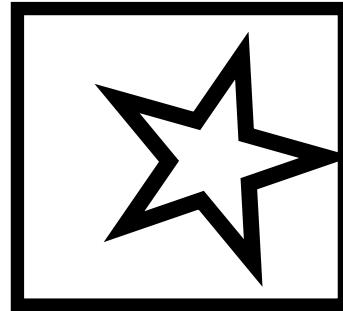
# Convolutional neural networks

- Bilderkennung mit allgemeinen Neuronalen Netzen würde eine Unmenge von Neuronen benötigen.
- Für Bildverarbeitung es ist besser CNNs zu benutzen.

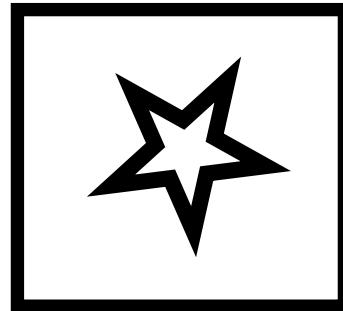
# Bilderkennung



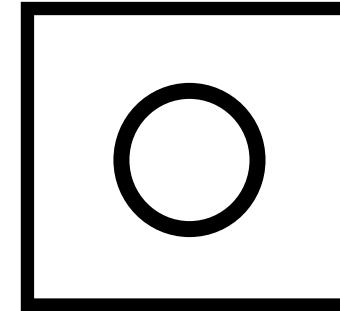
Stern



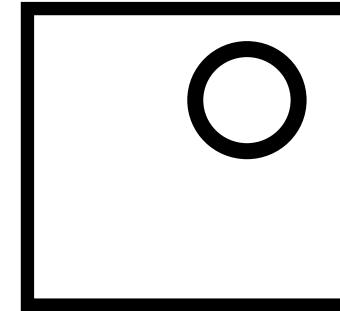
Stern



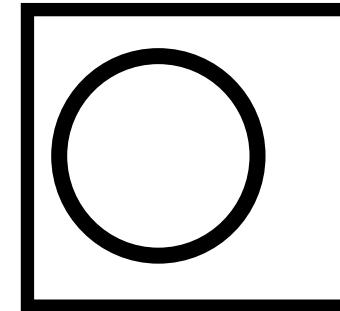
Stern



Planet

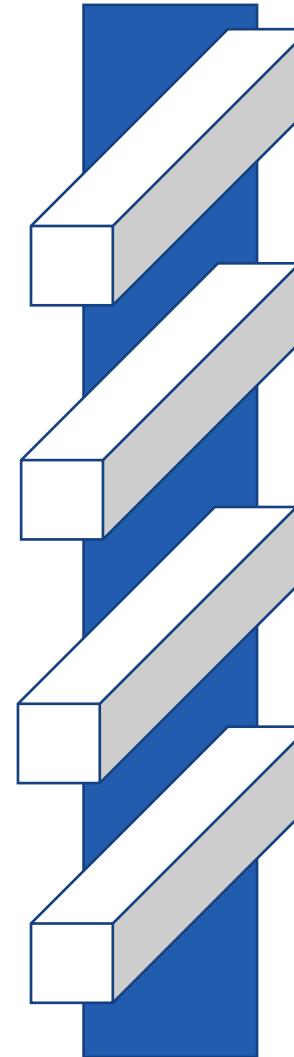
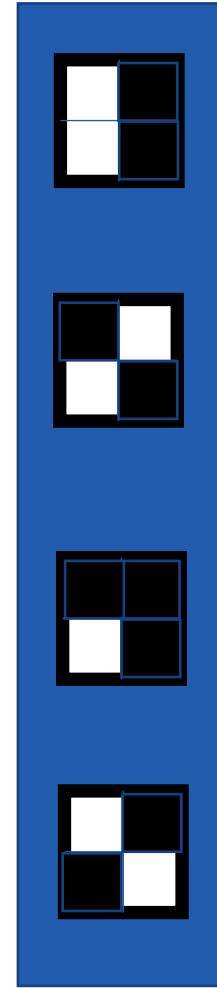
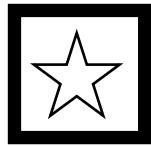


Planet

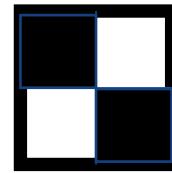
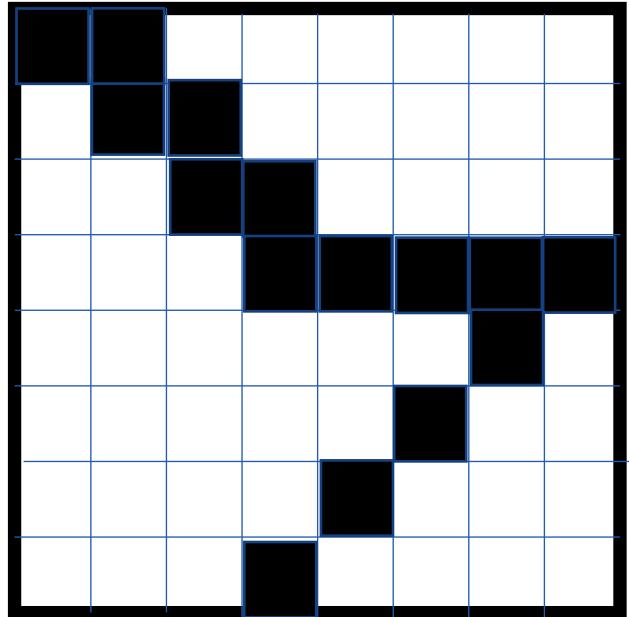


Planet

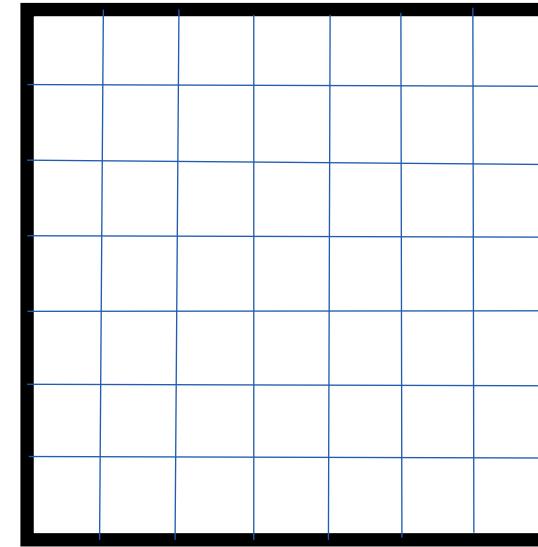
# Convolutional neural networks



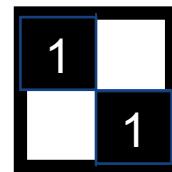
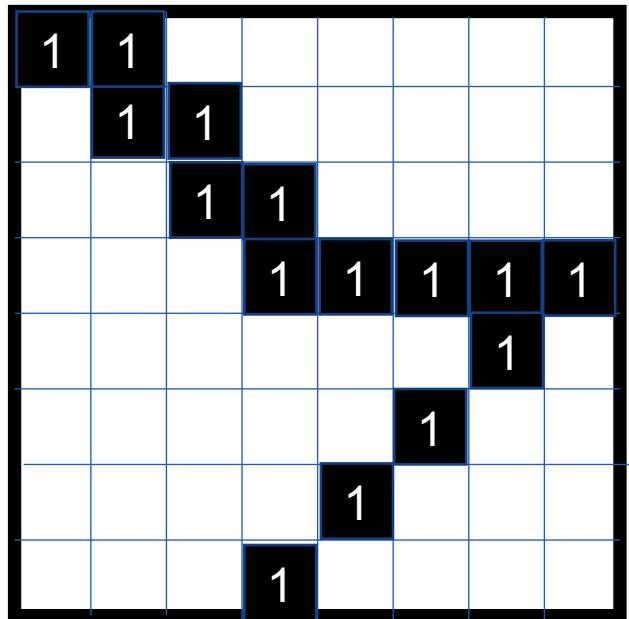
# Convolutional neural networks



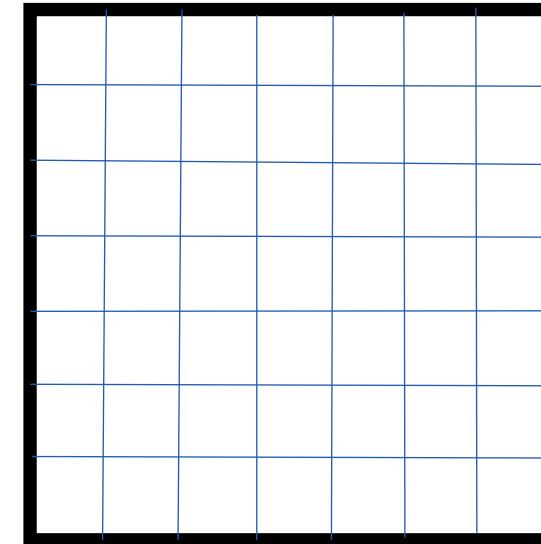
Faltungsmatrix



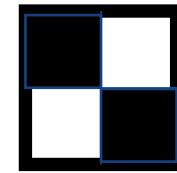
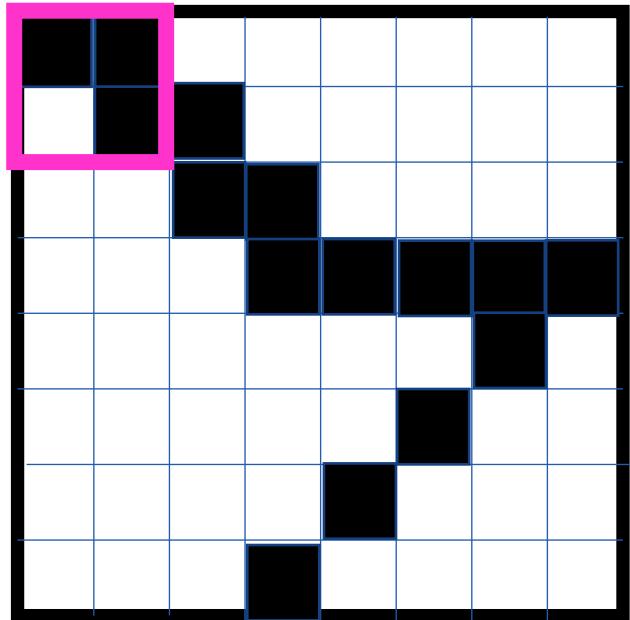
# Convolutional neural networks



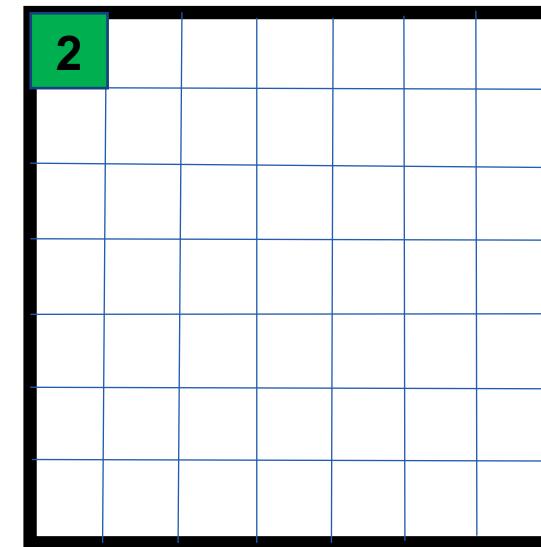
Faltungsmatrix



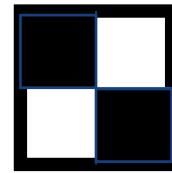
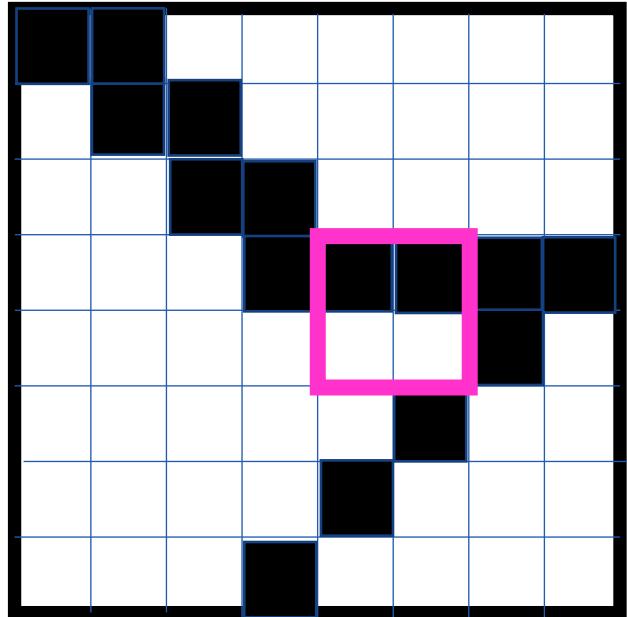
# Convolutional neural networks



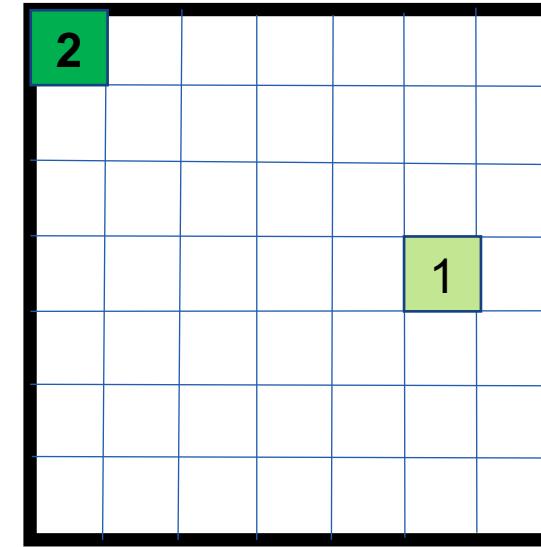
Faltungsmatrix



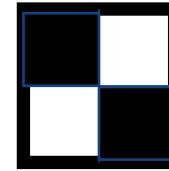
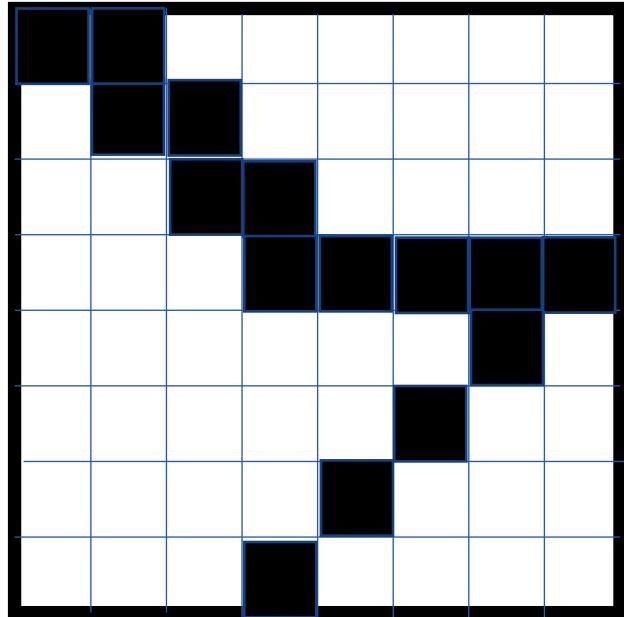
# Convolutional neural networks



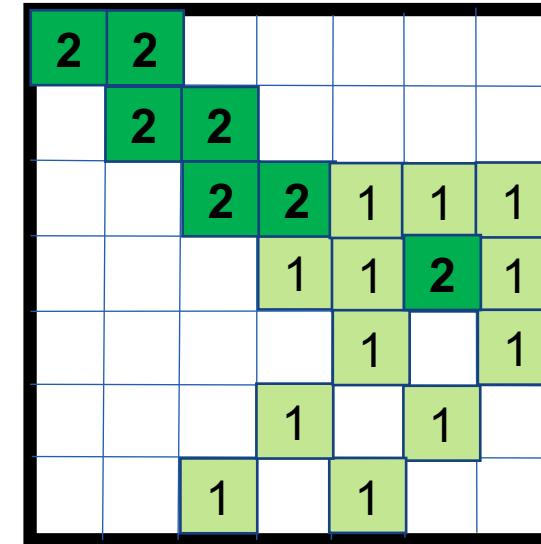
Faltungsmatrix



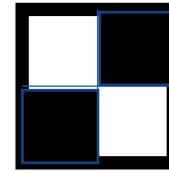
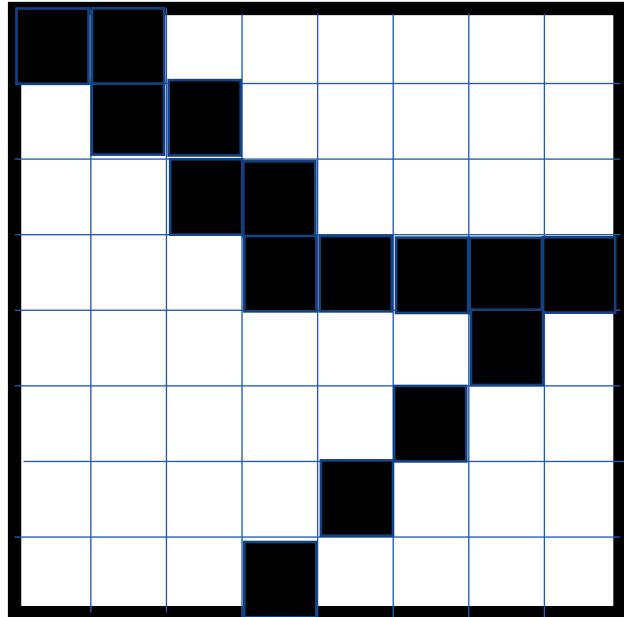
# Convolutional neural networks



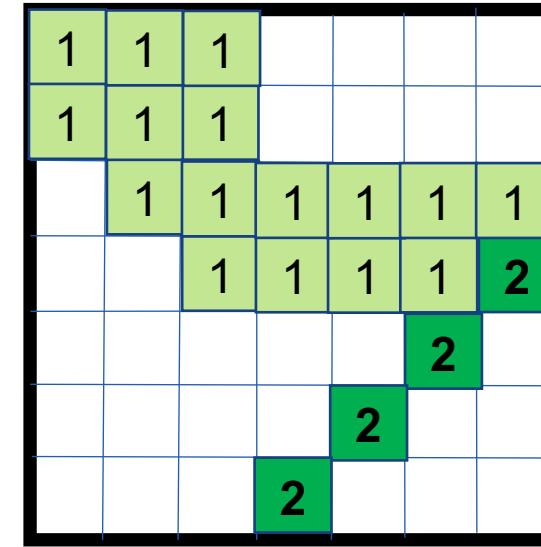
Faltungsmatrix



# Convolutional neural networks



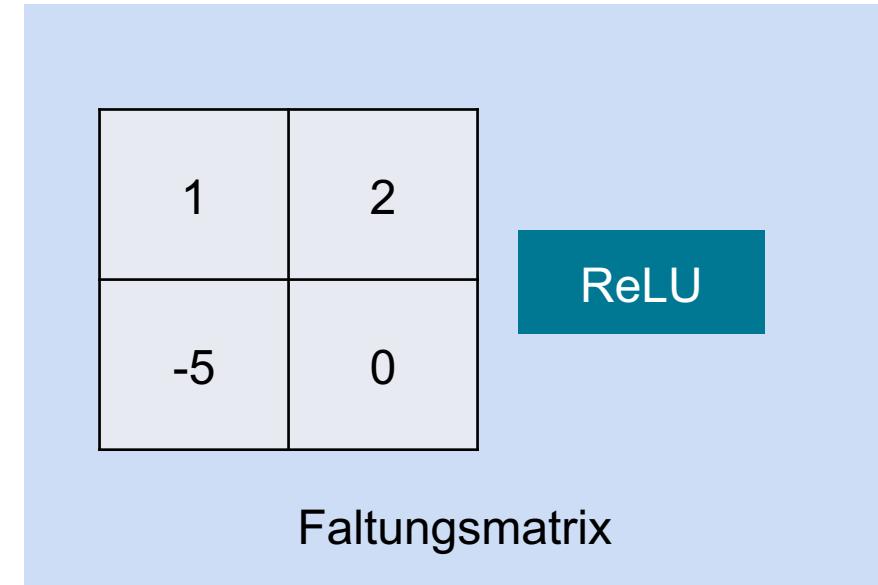
Faltungsmatrix



# Filters

1	3	5
1	2	4
6	3	2

Eingabe

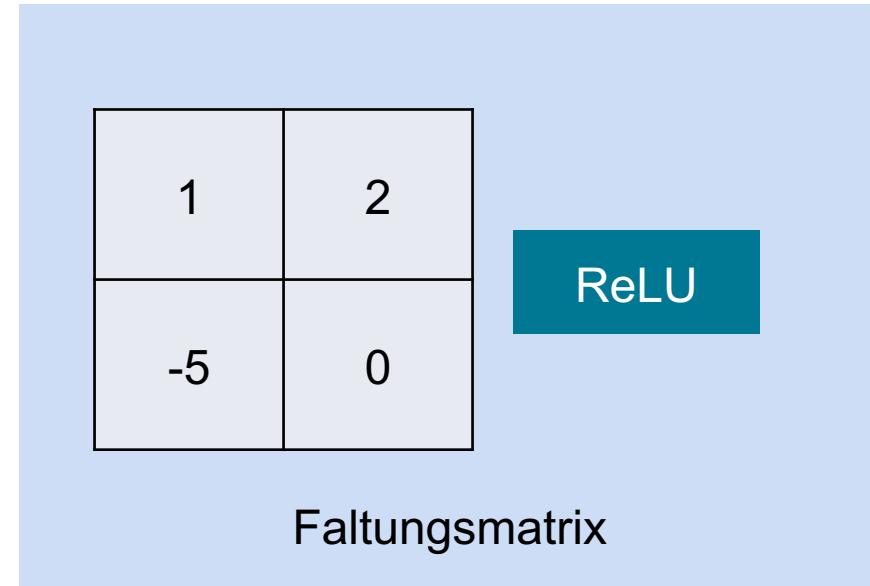


Ausgabe

# Filters

1	3	5
1	2	4
6	3	2

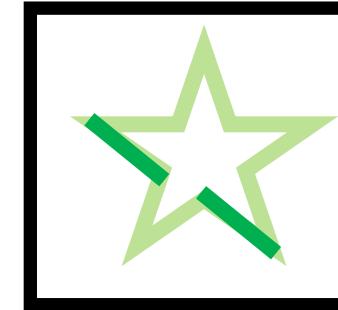
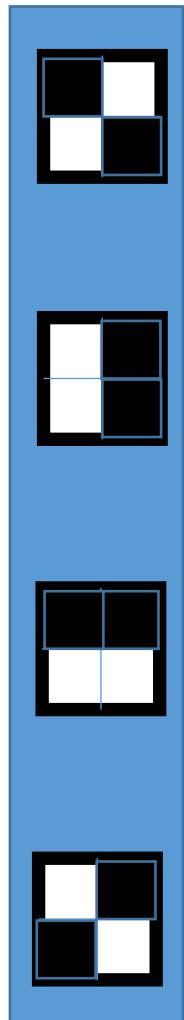
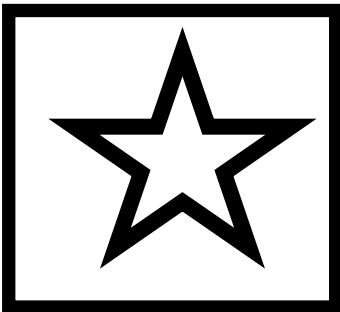
Eingabe



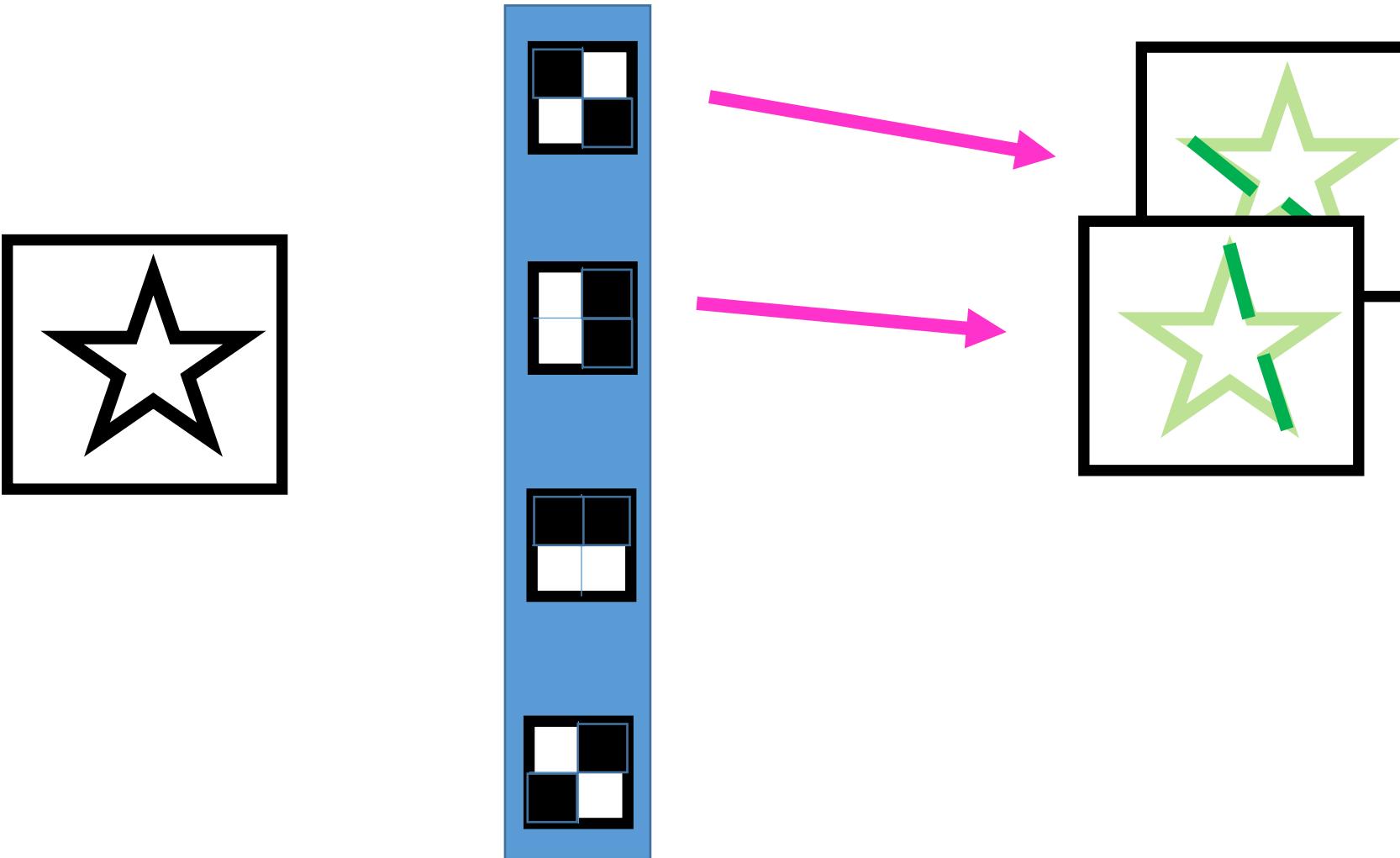
2	3
0	0

Ausgabe

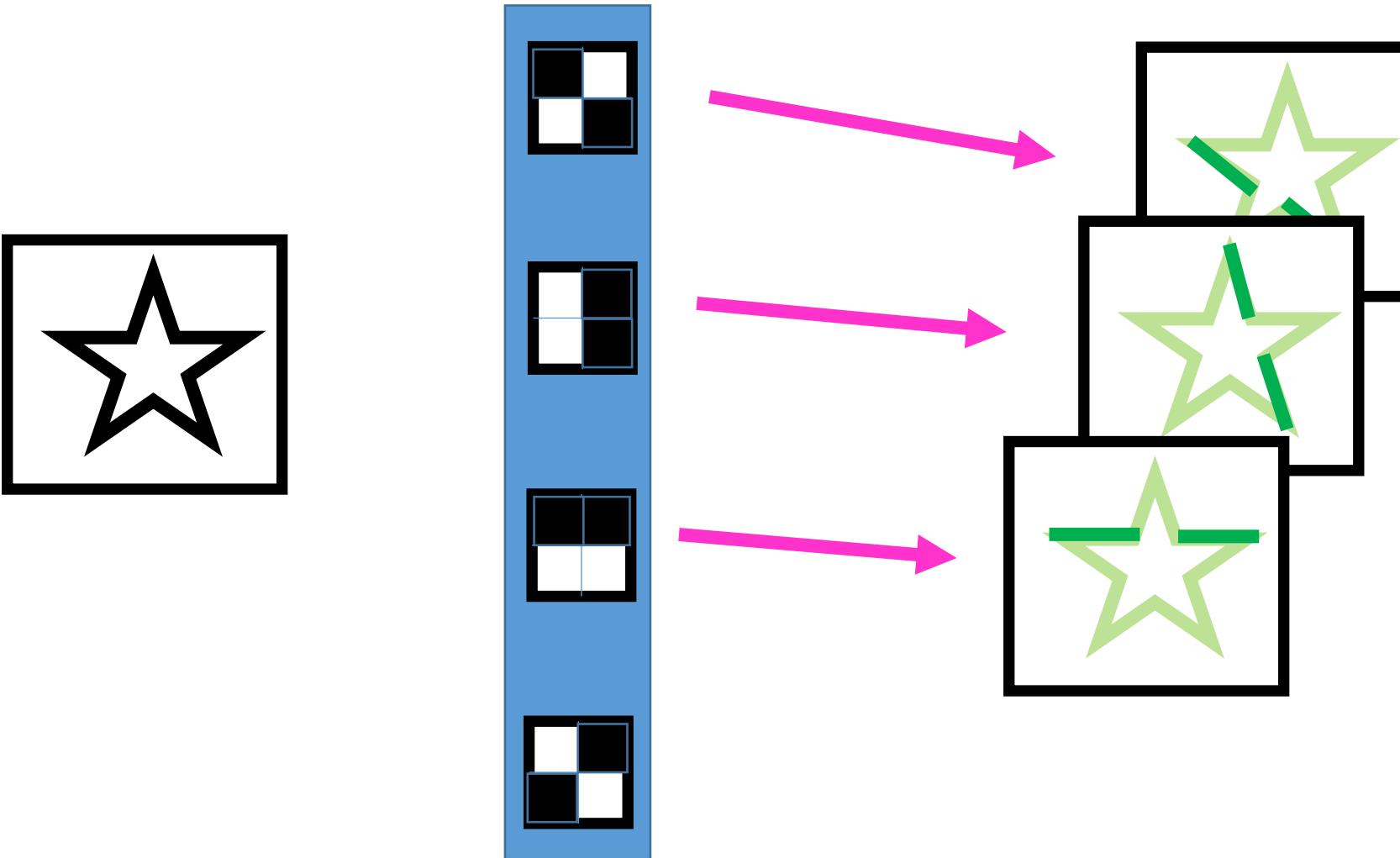
# Convolutional neural networks



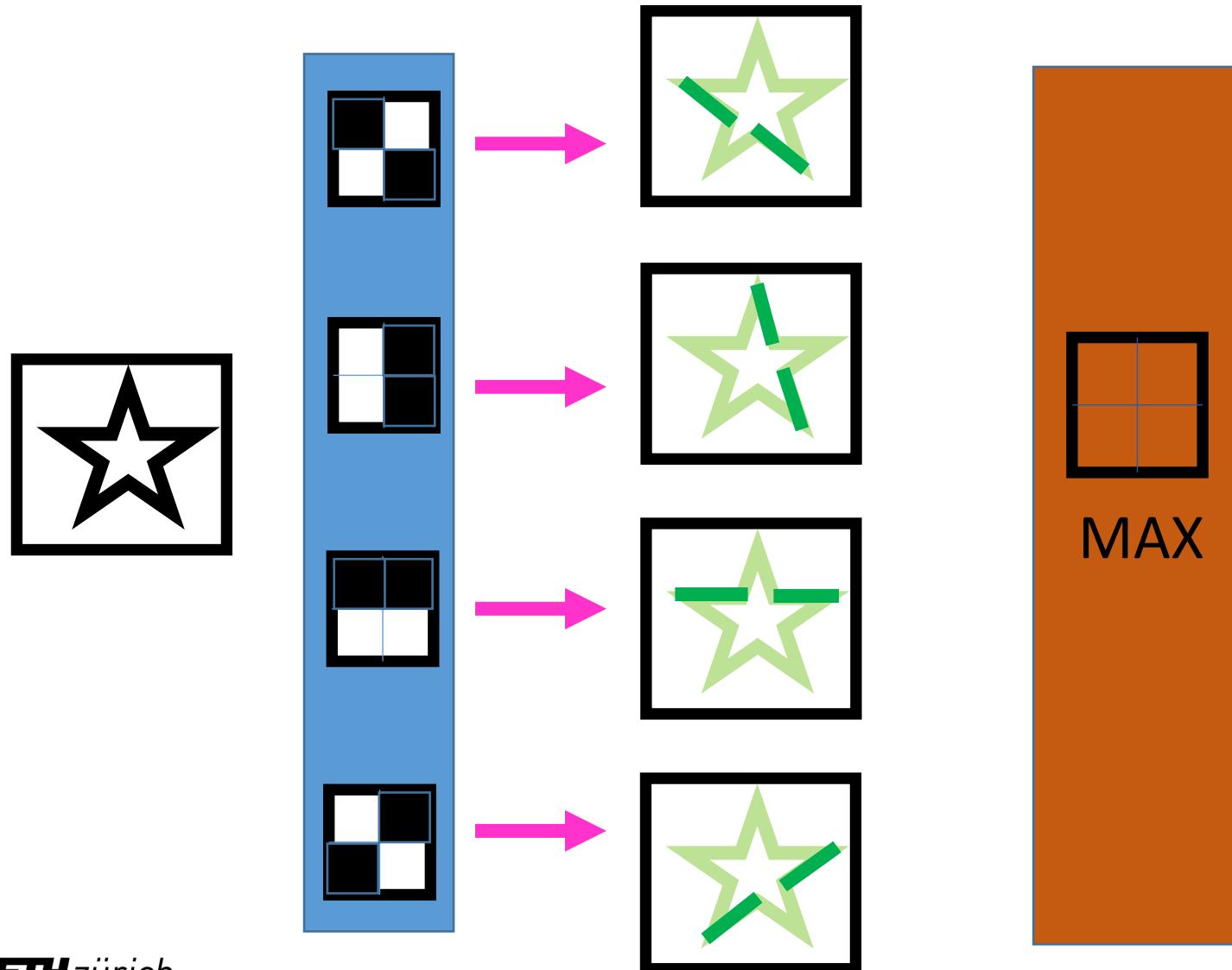
# Convolutional neural networks



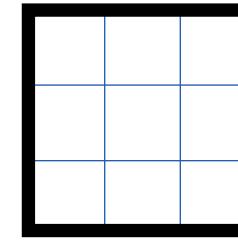
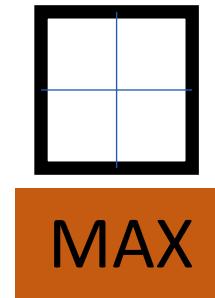
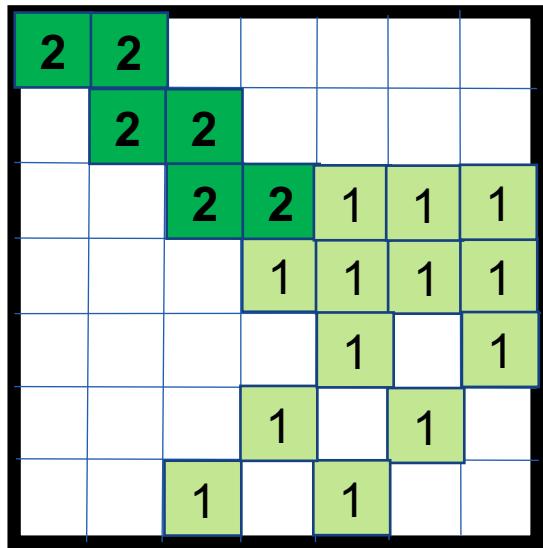
# Convolutional neural networks



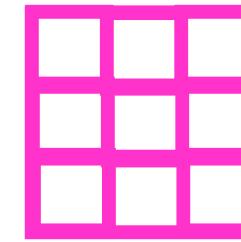
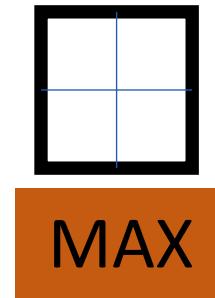
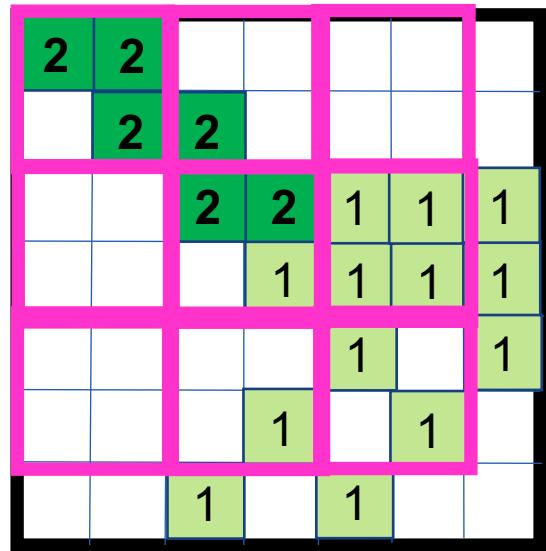
# Convolutional neural networks



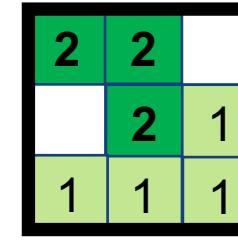
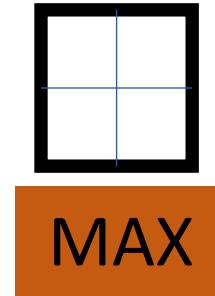
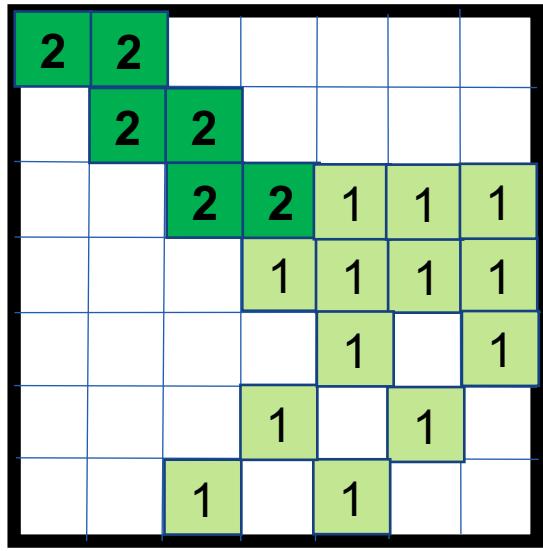
# Convolutional neural networks



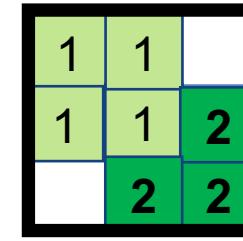
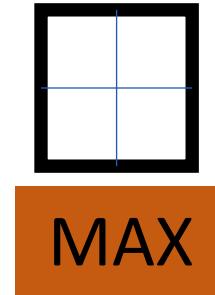
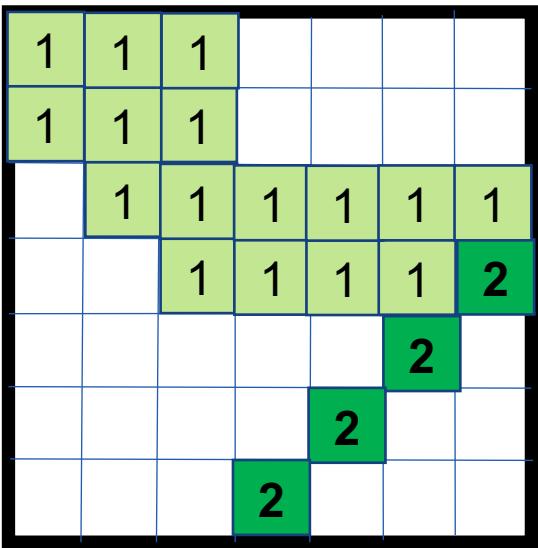
# Convolutional neural networks



# Convolutional neural networks



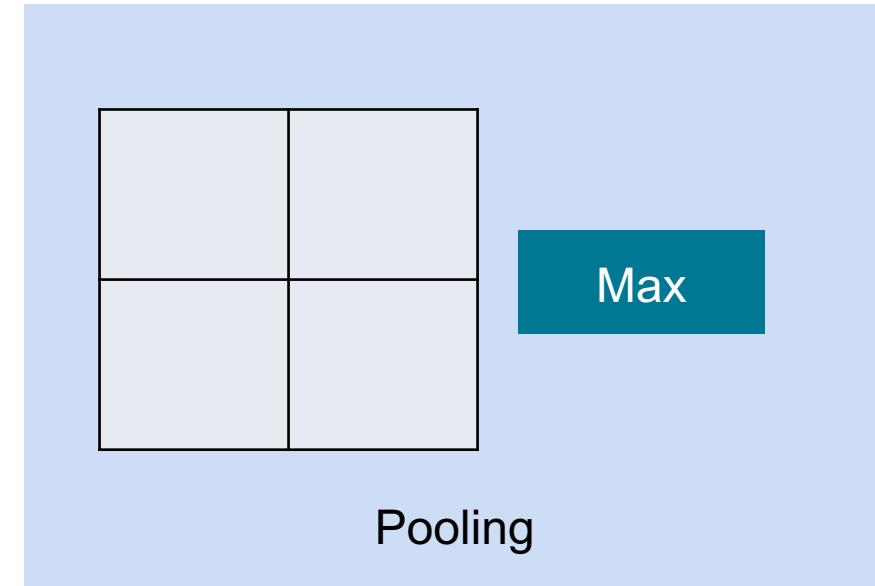
# Convolutional neural networks



# Pooling

1	0	5	3	1
4	0	0	2	2
6	1	0	1	0
0	0	0	2	4
3	0	1	2	1

Gefiltertes Bild

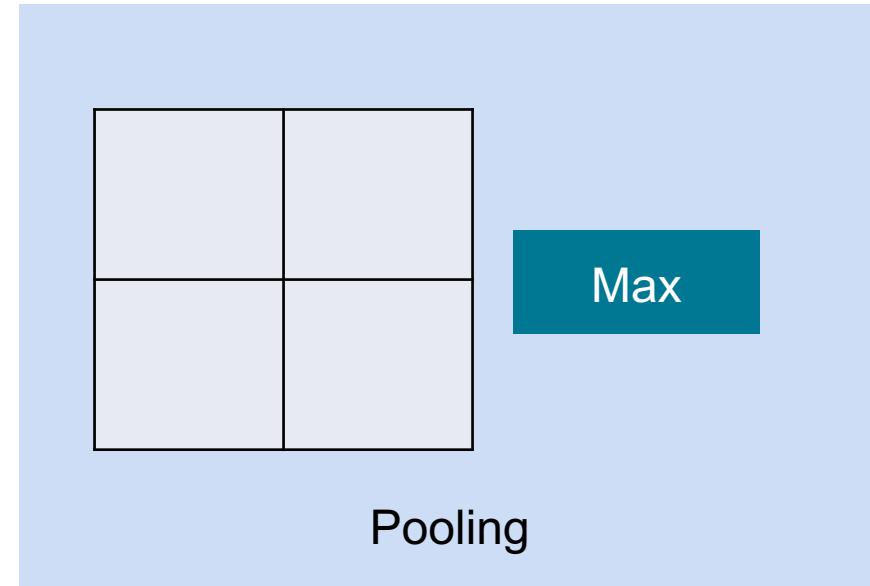


Ausgabe

# Pooling

1	0	5	3	1
4	0	0	2	2
6	1	0	1	0
0	0	0	2	4
3	0	1	2	1

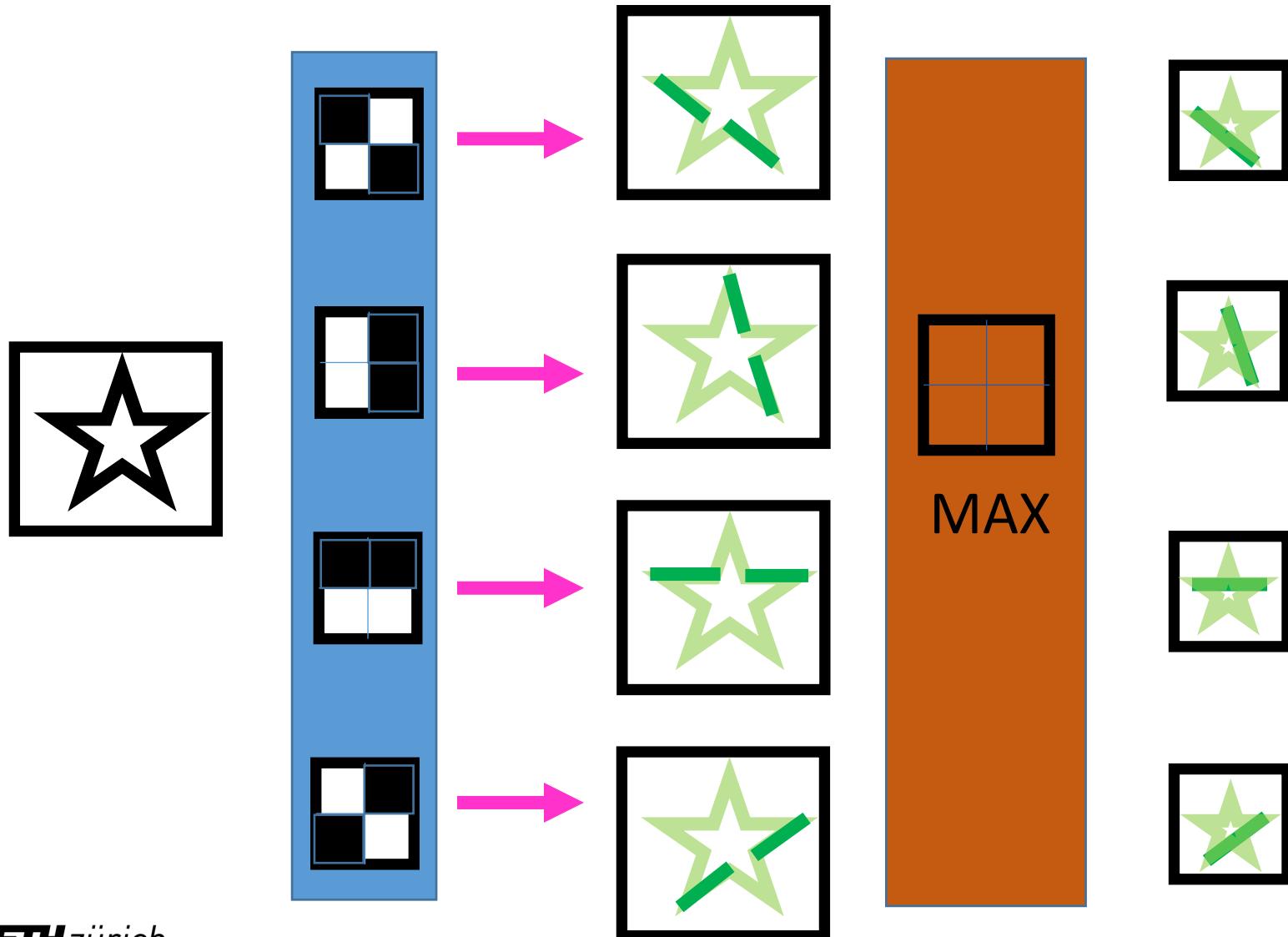
Gefiltertes Bild



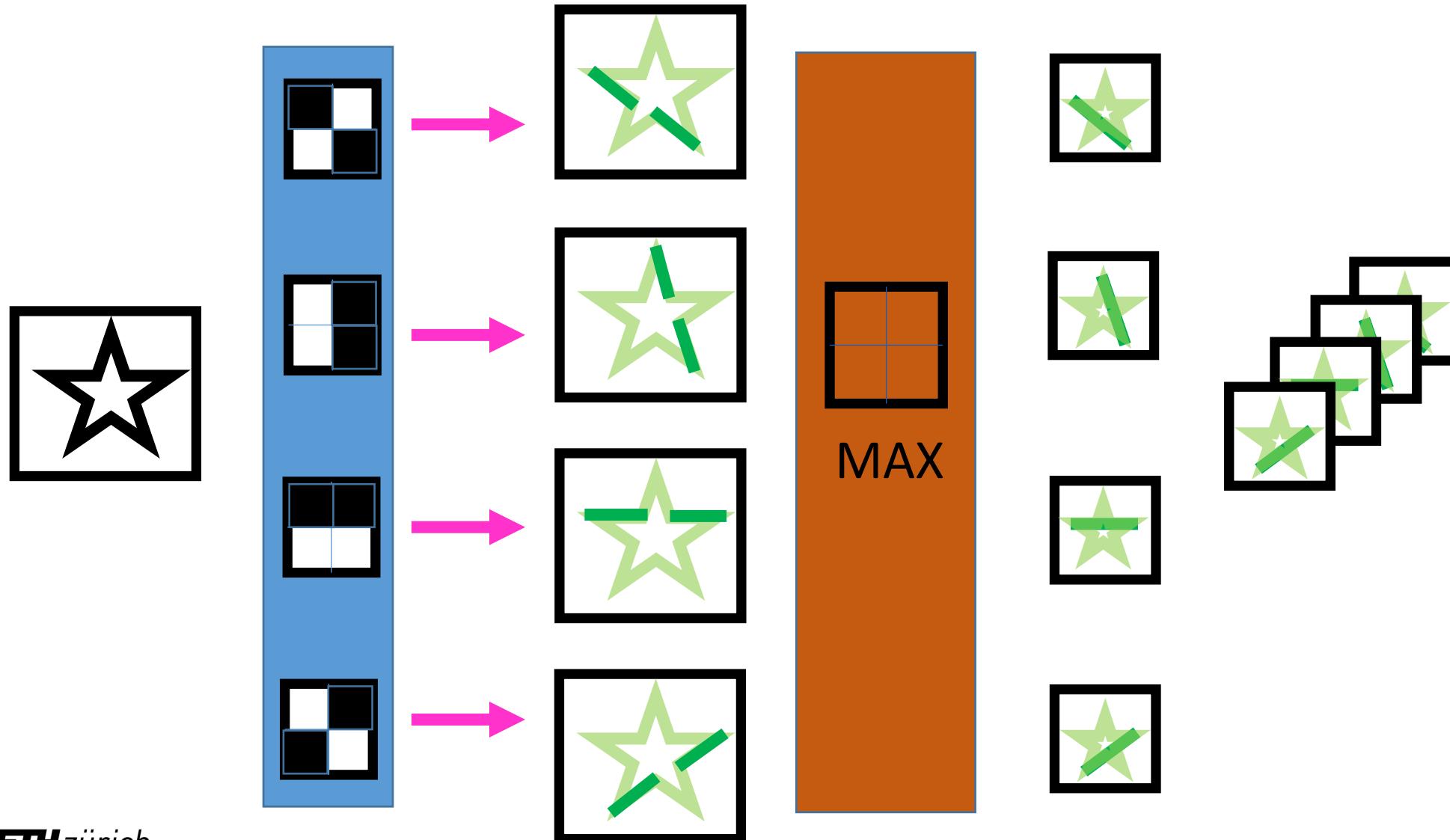
4	5
6	2

Ausgabe

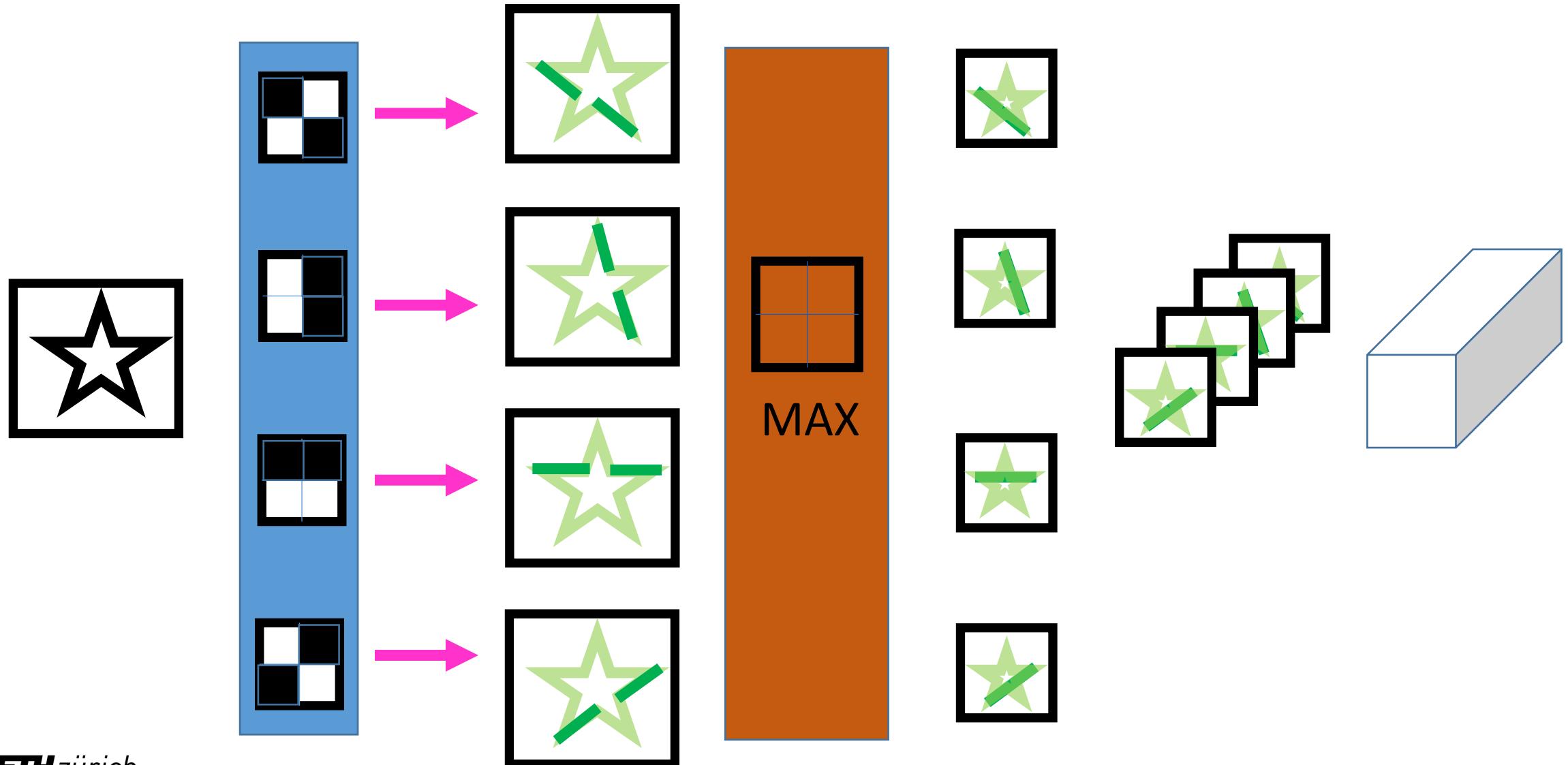
# Convolutional neural networks



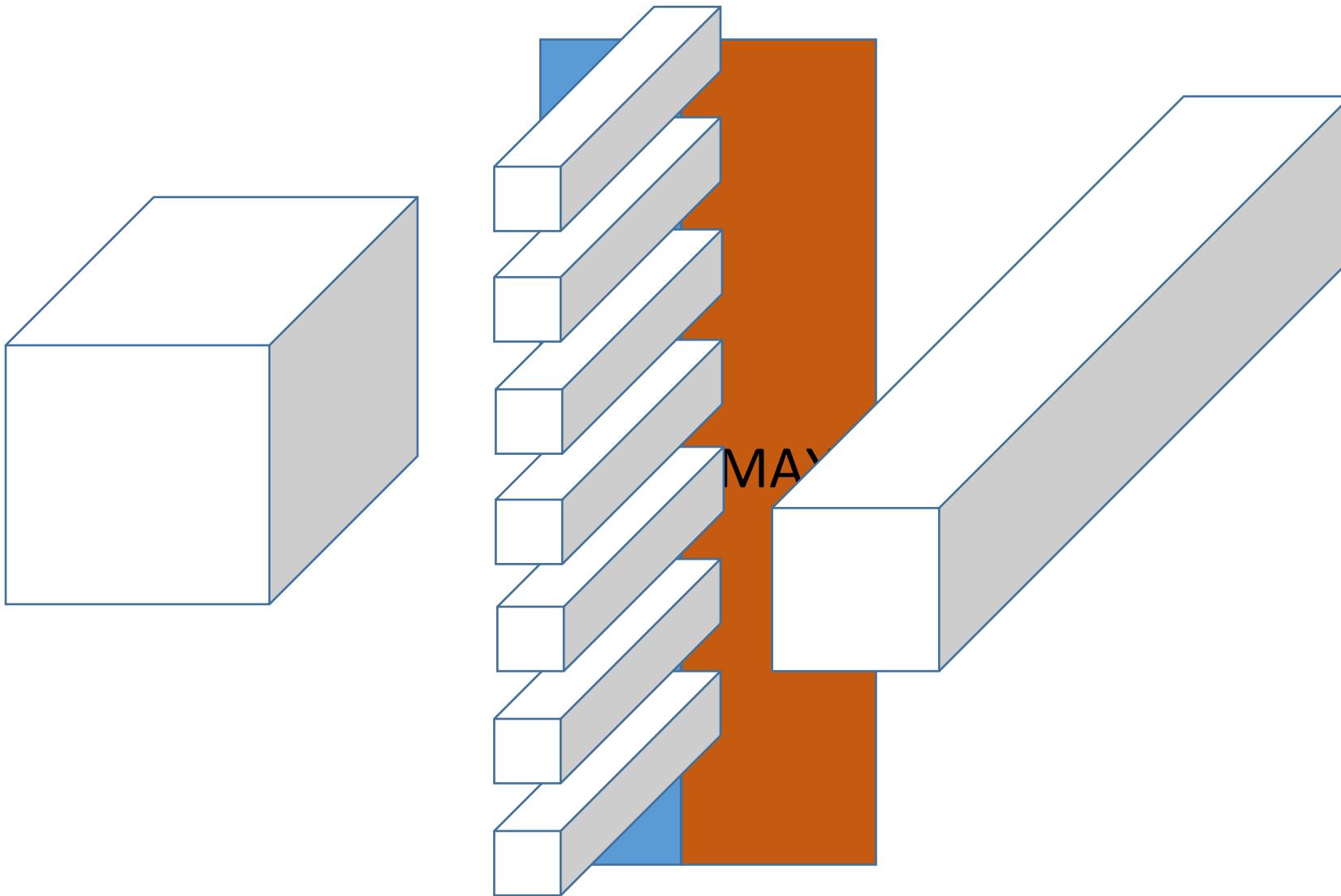
# Convolutional neural networks



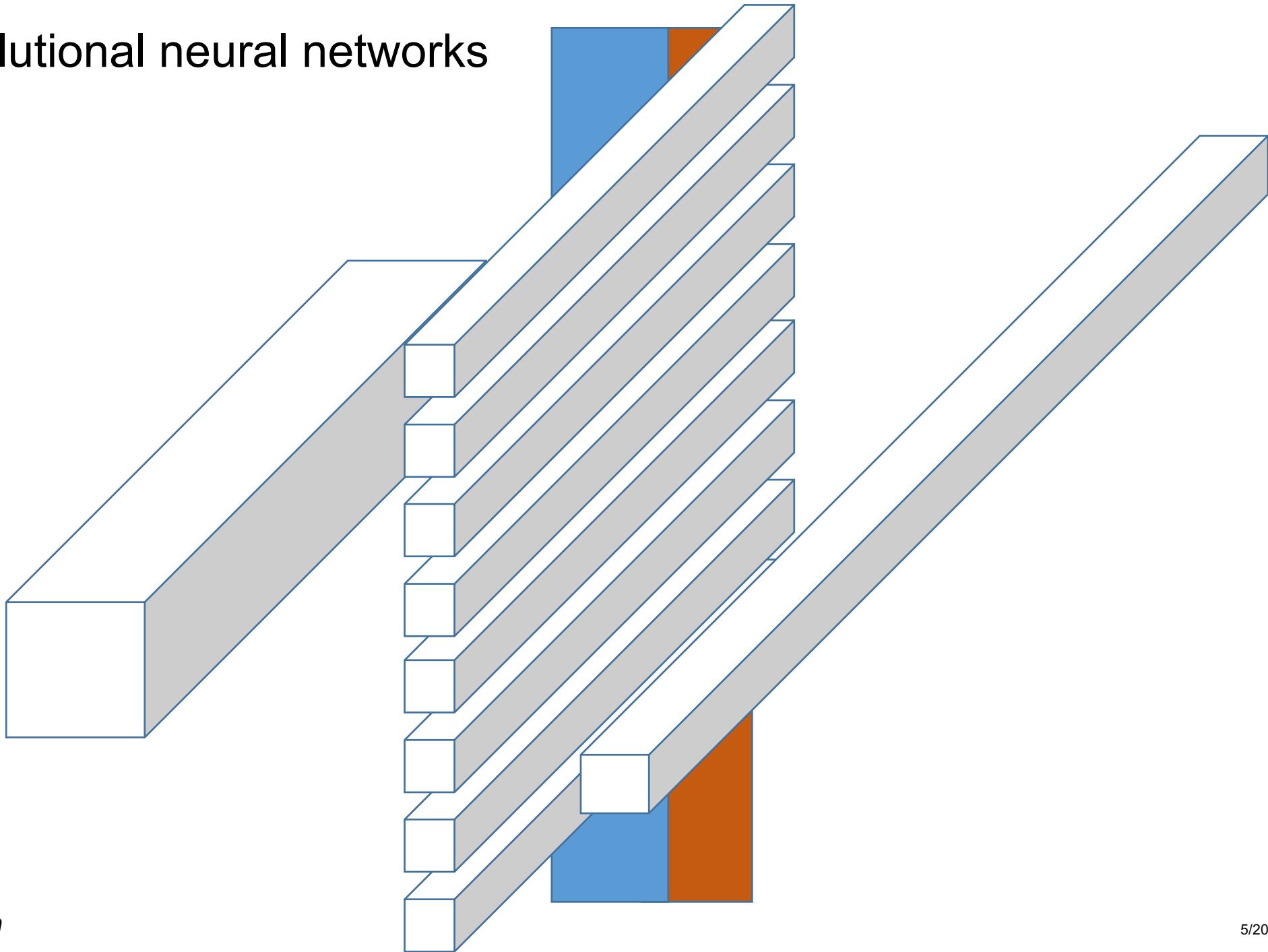
# Convolutional neural networks



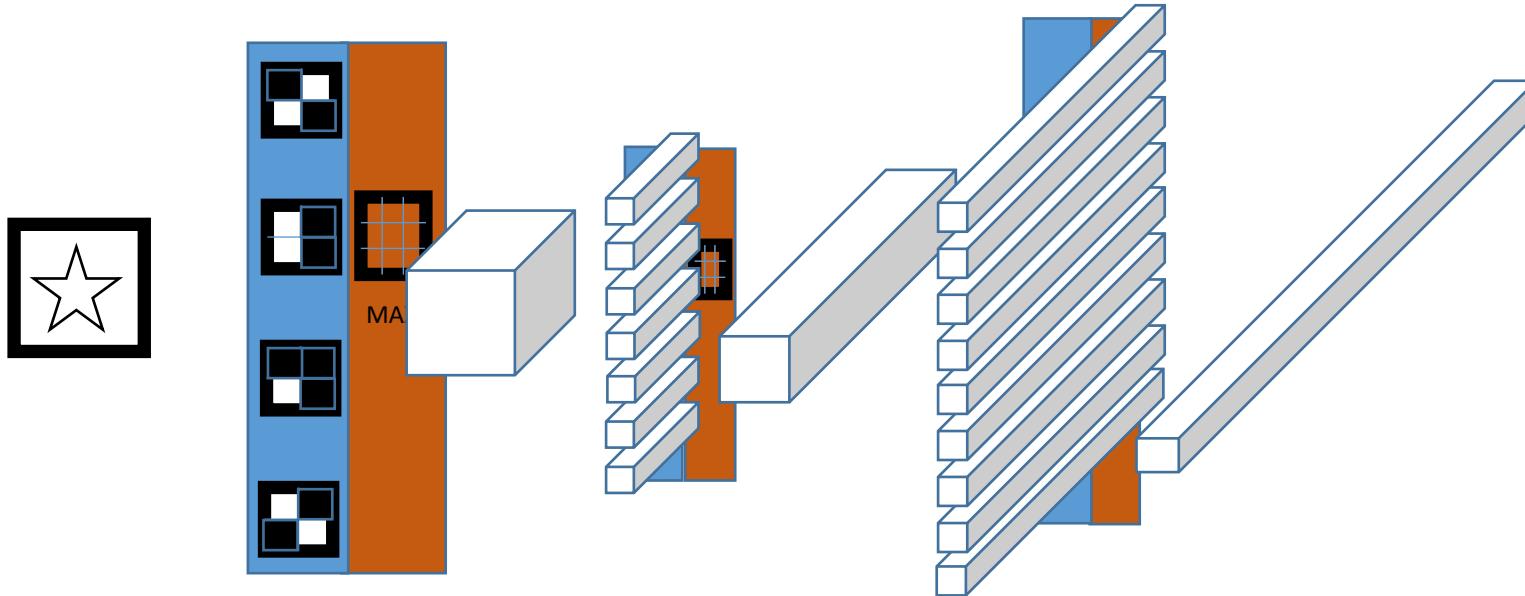
# Convolutional neural networks



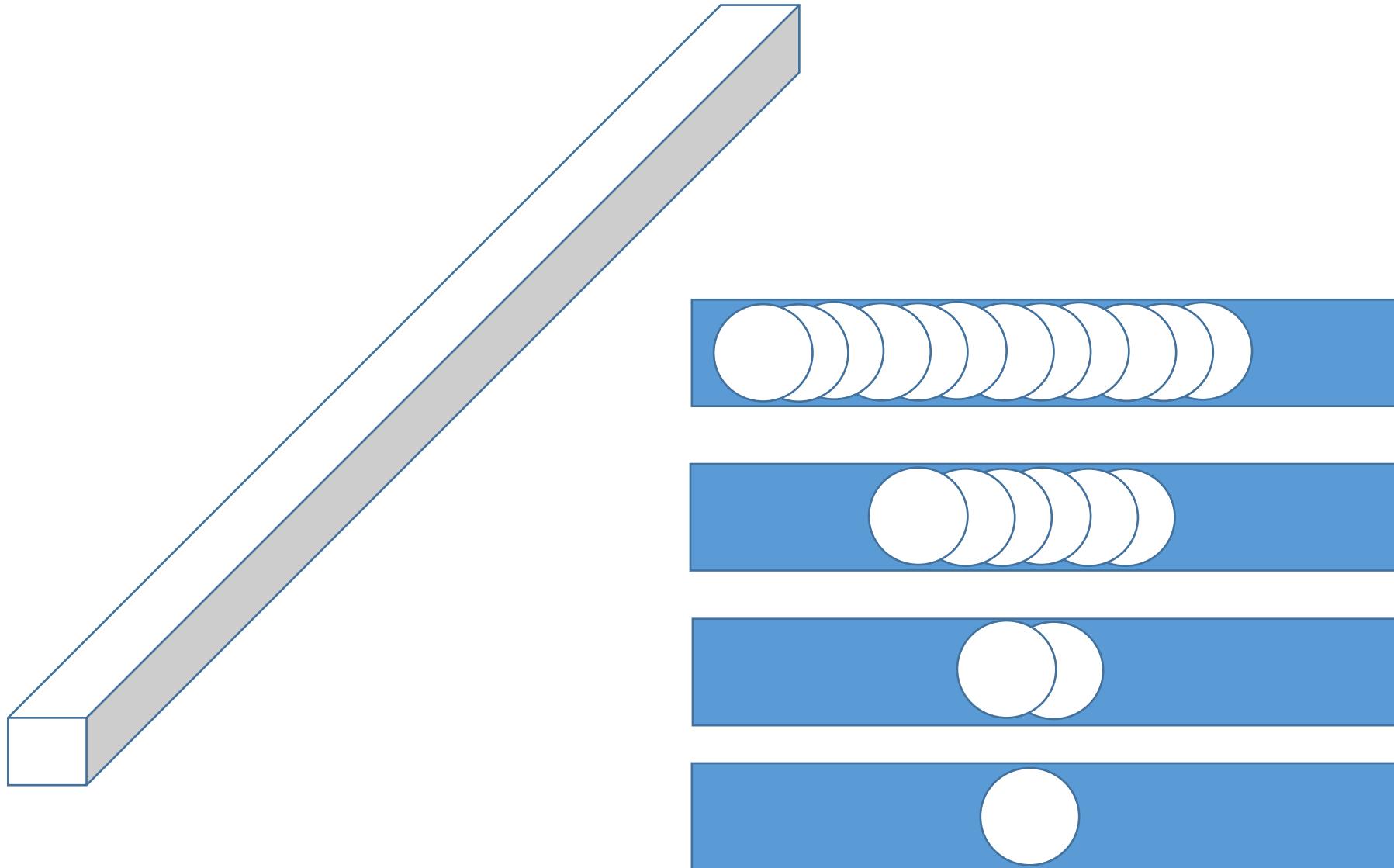
# Convolutional neural networks



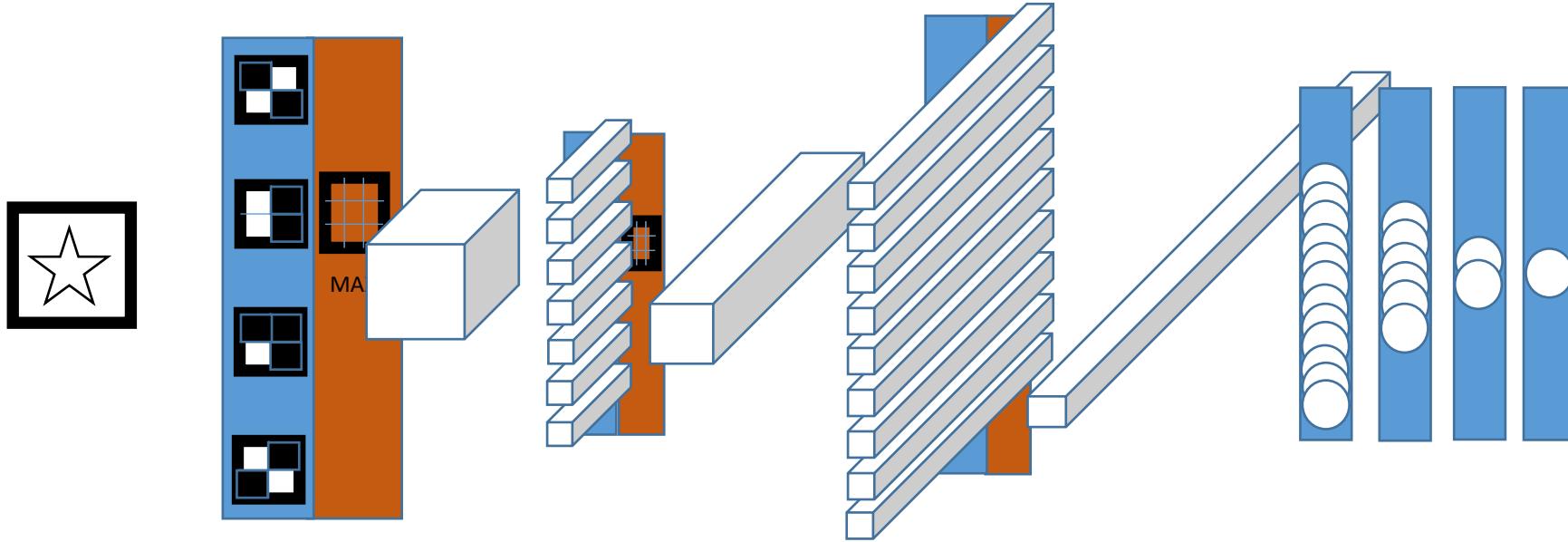
# Convolutional neural networks



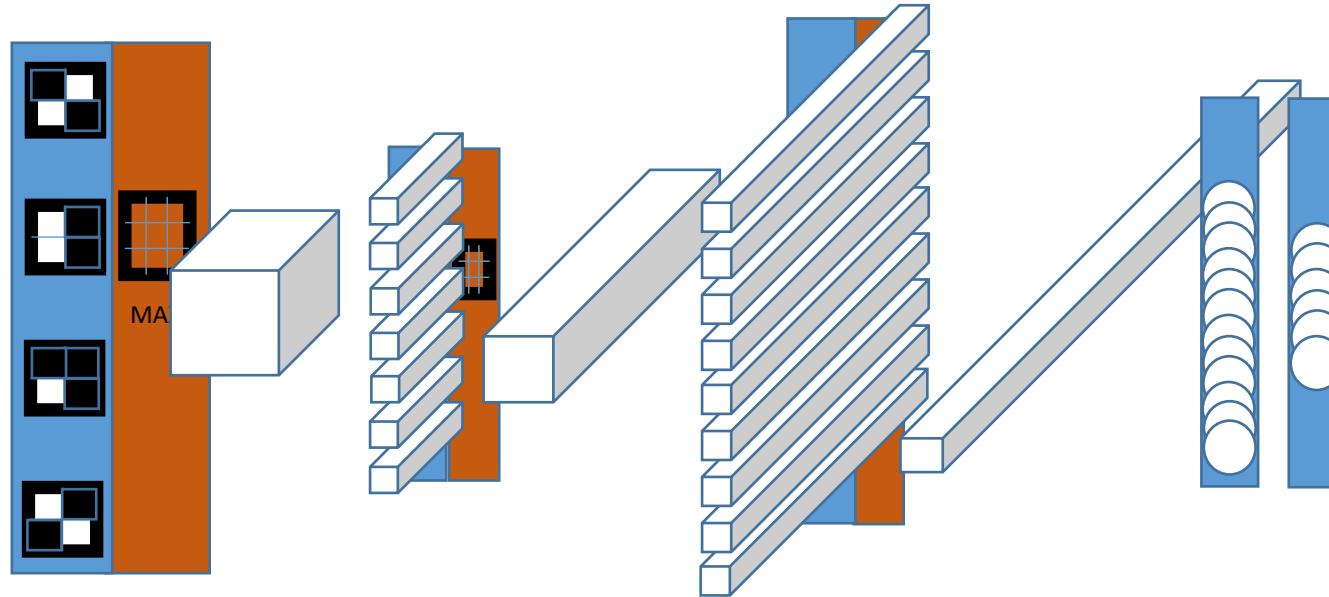
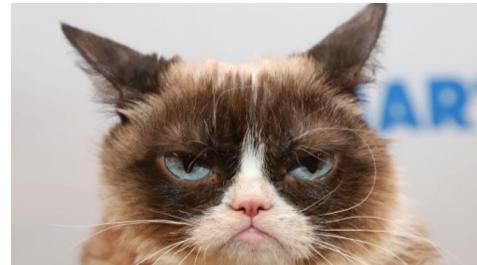
# Convolutional neural networks



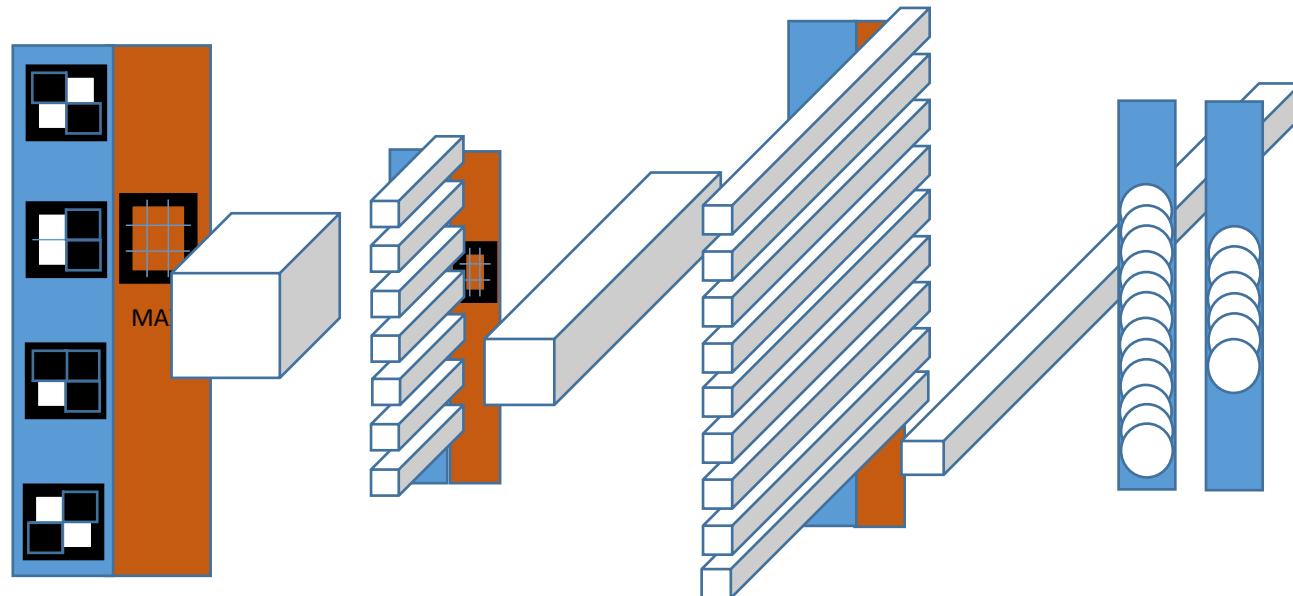
# Convolutional neural networks



# Bilderkennung



# Softmax



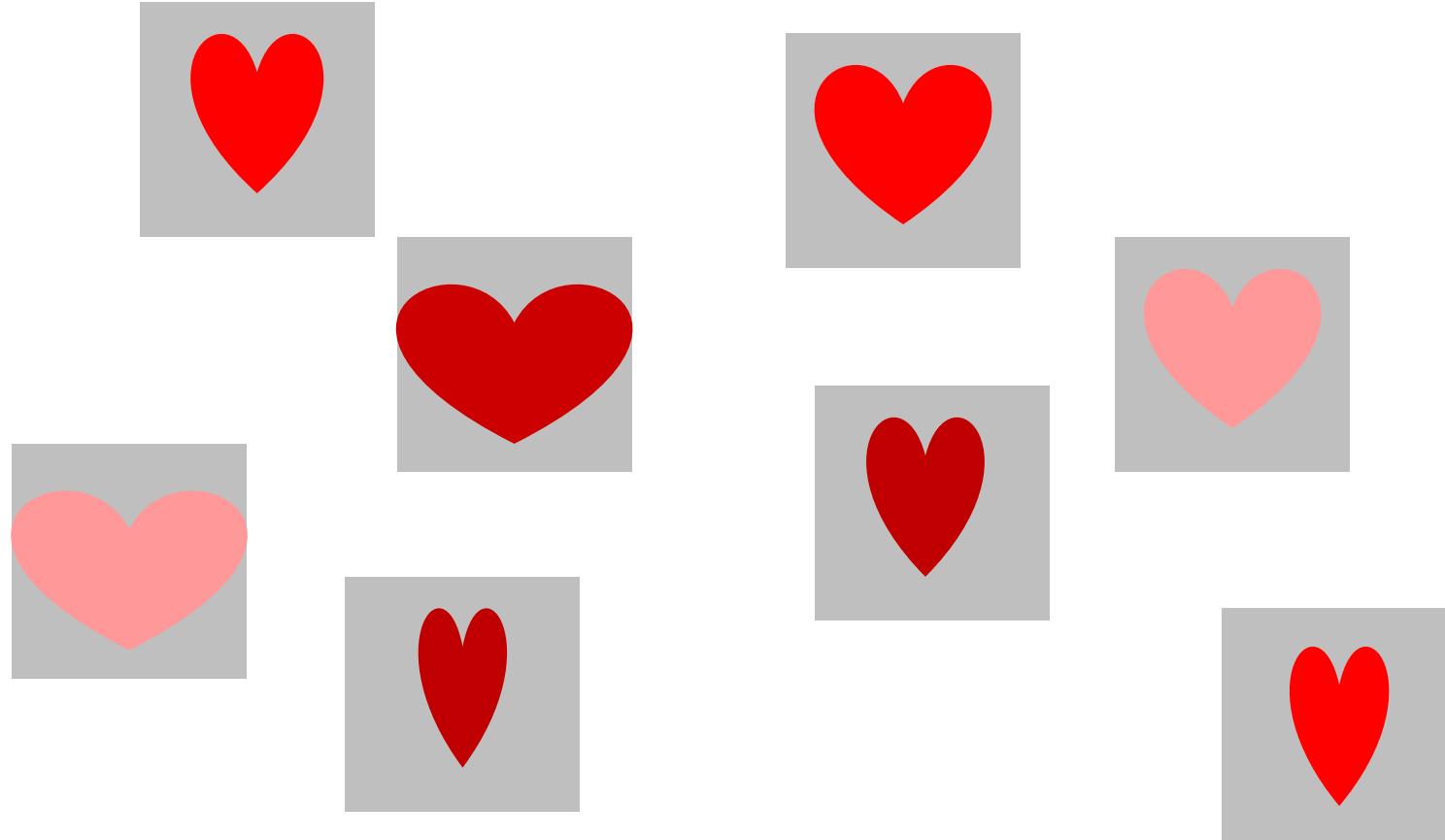
# Softmax

## Andere Architekturen: Autoencoders

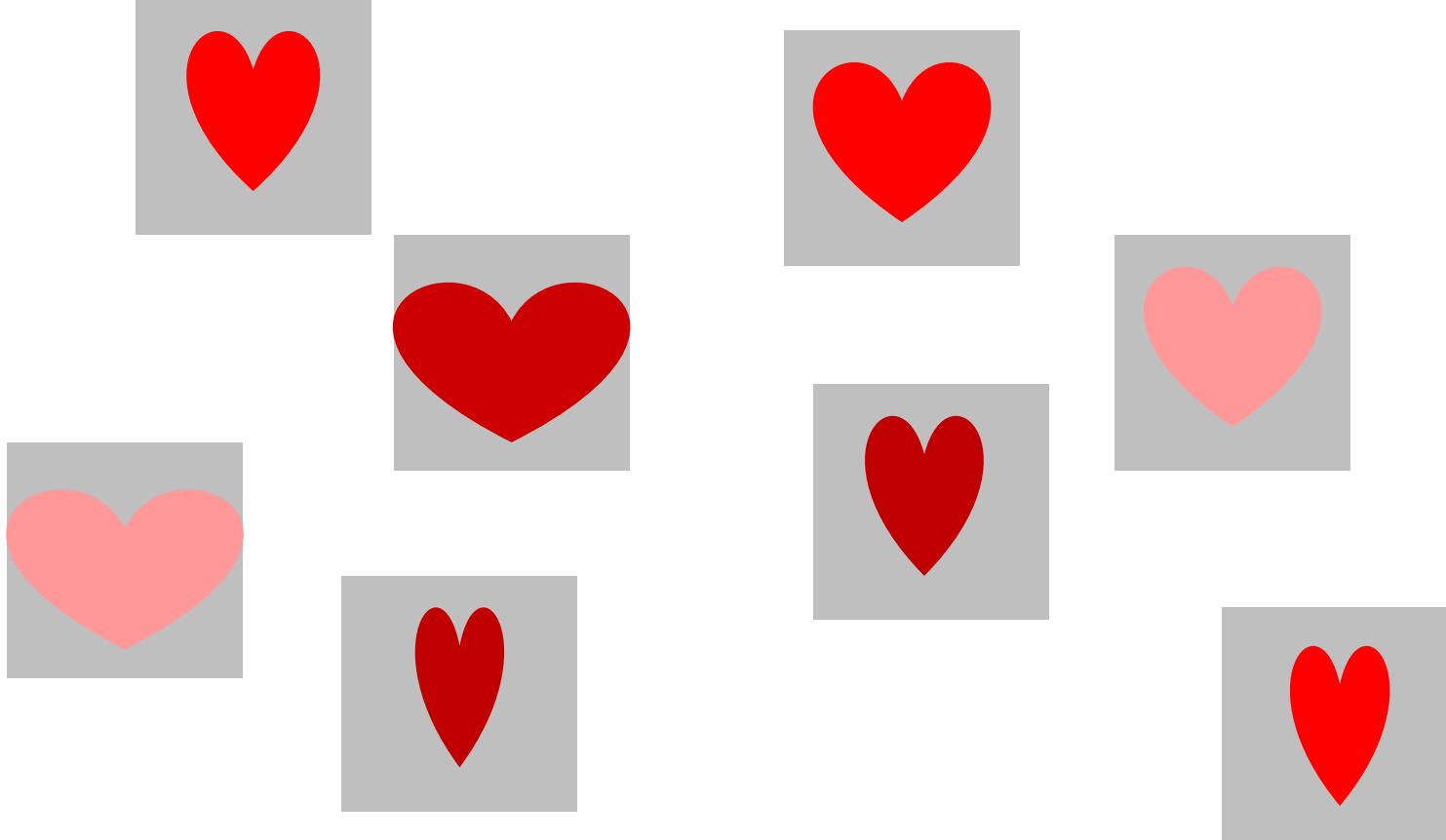
# Autoencoders

- Ein Autoencoder ist eine neuronale Architektur, die numerische Darstellungen berechnet.

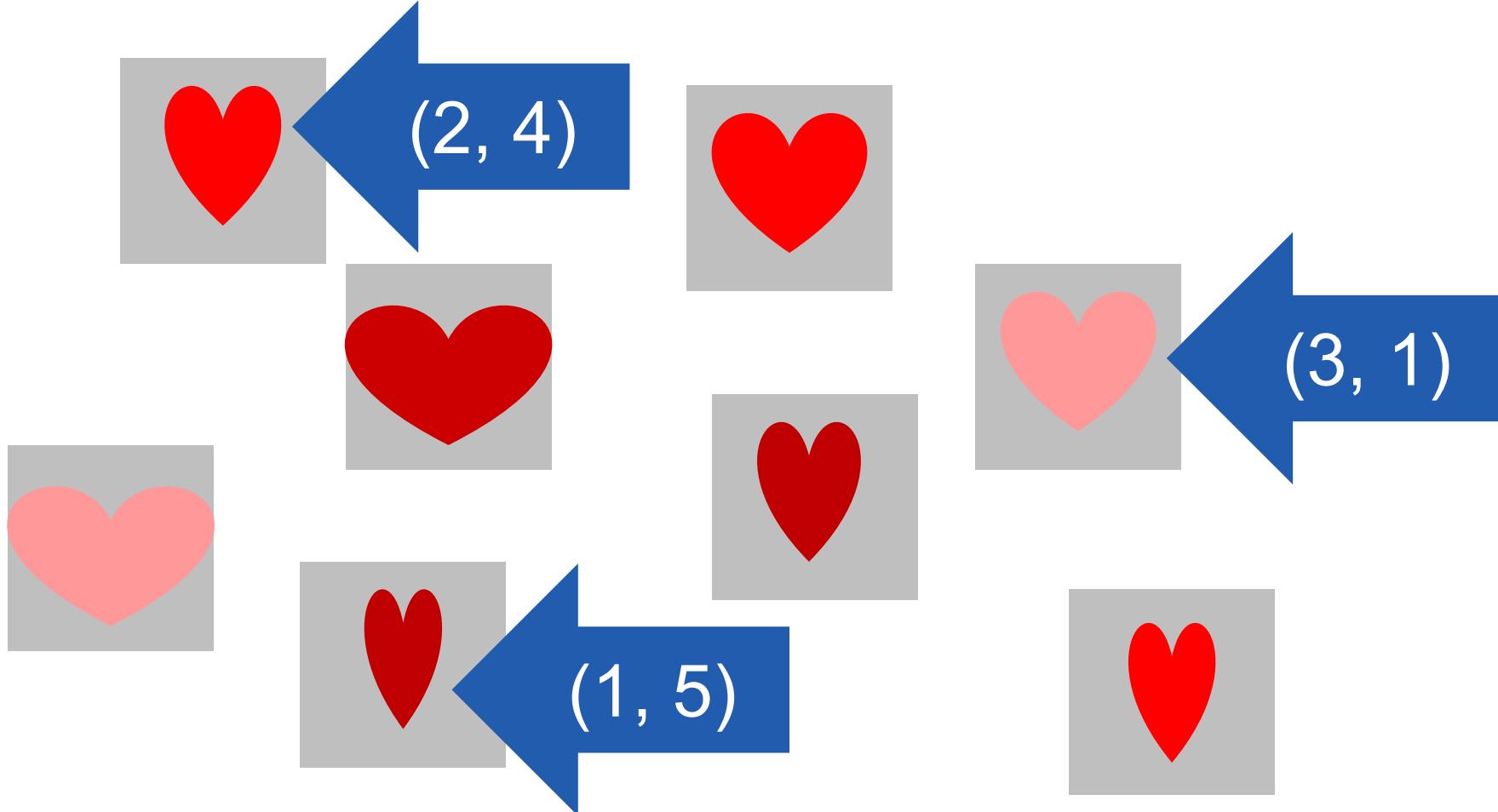
# Beispiel



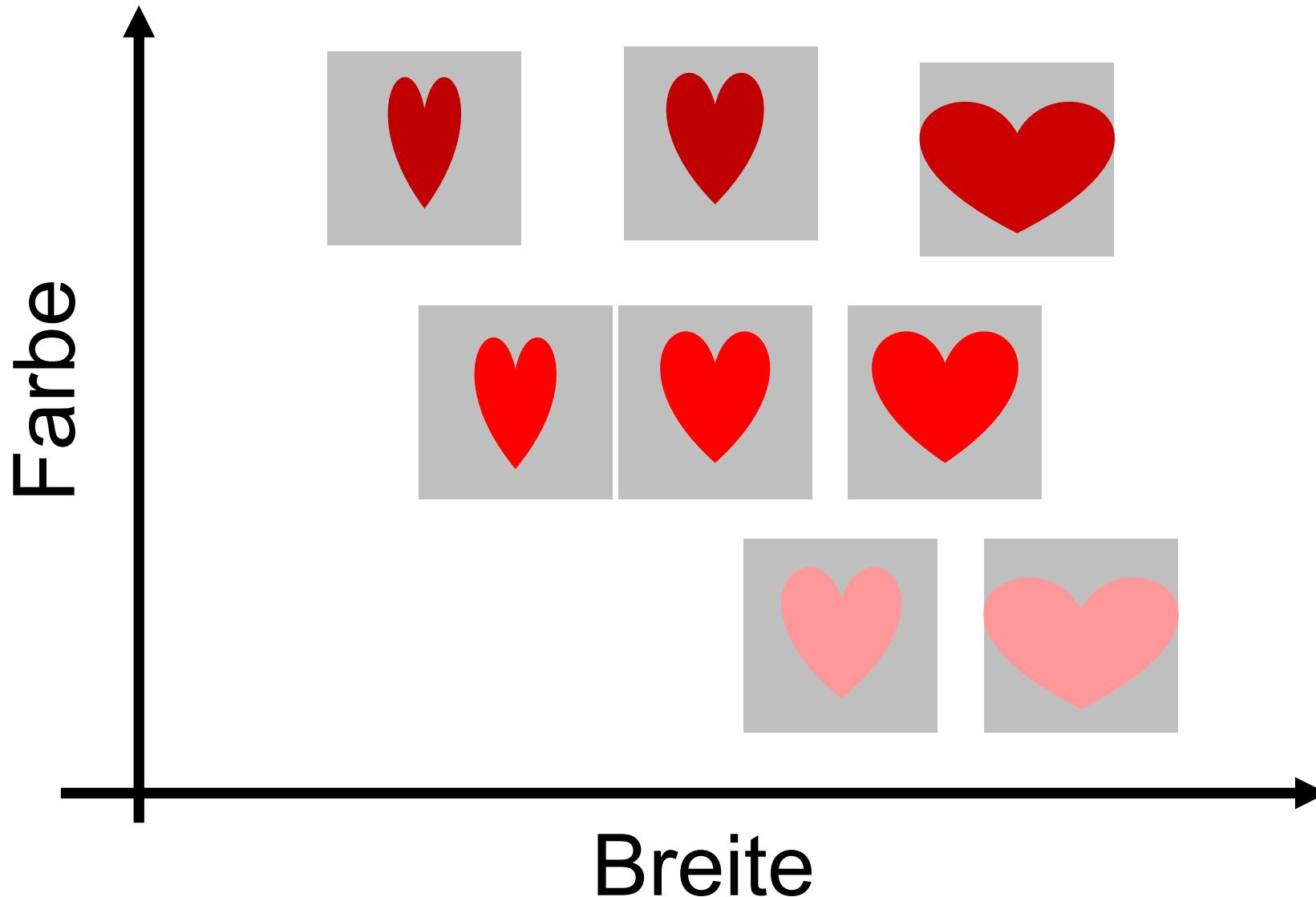
Die Herzen unterscheiden sich nur in deren Farben und Breite



# Man kann die Herzen mit Zahlenpaaren darstellen



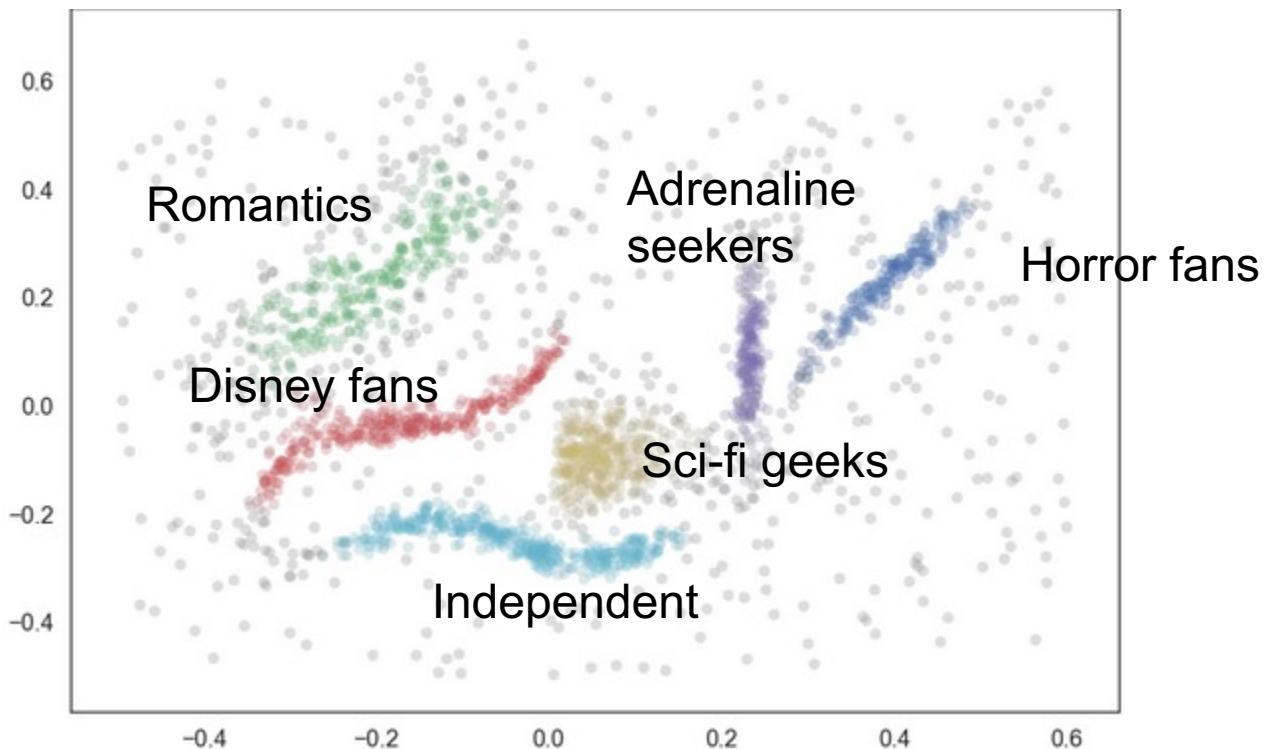
Man kann die Herzen in einem zweidimensionalen Raum darstellen



# Vorteile einer Darstellung

- Eine Darstellung veranschaulicht welche Features ein Beispiel charakterisiert.
- Man kann Clusters im Datensatz entdecken.

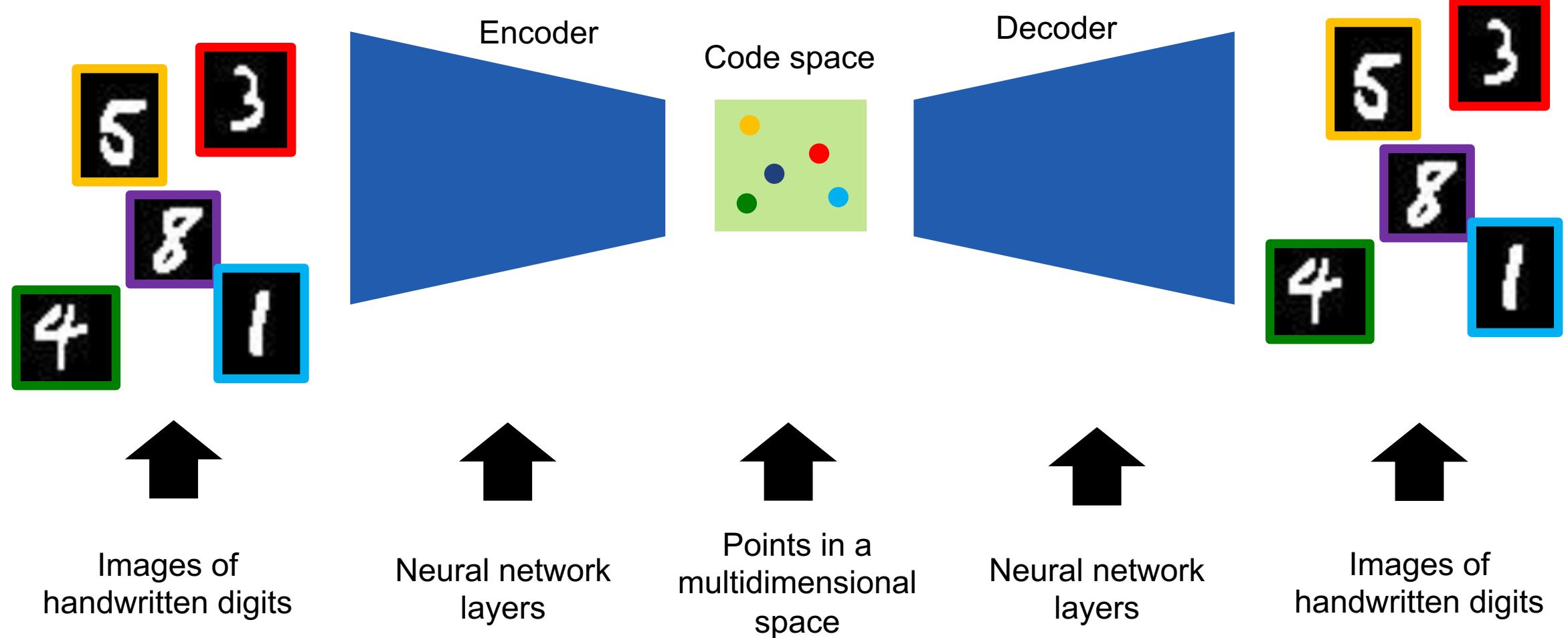
# Clusters



# Autoencoders: Handgeschriebene Ziffer

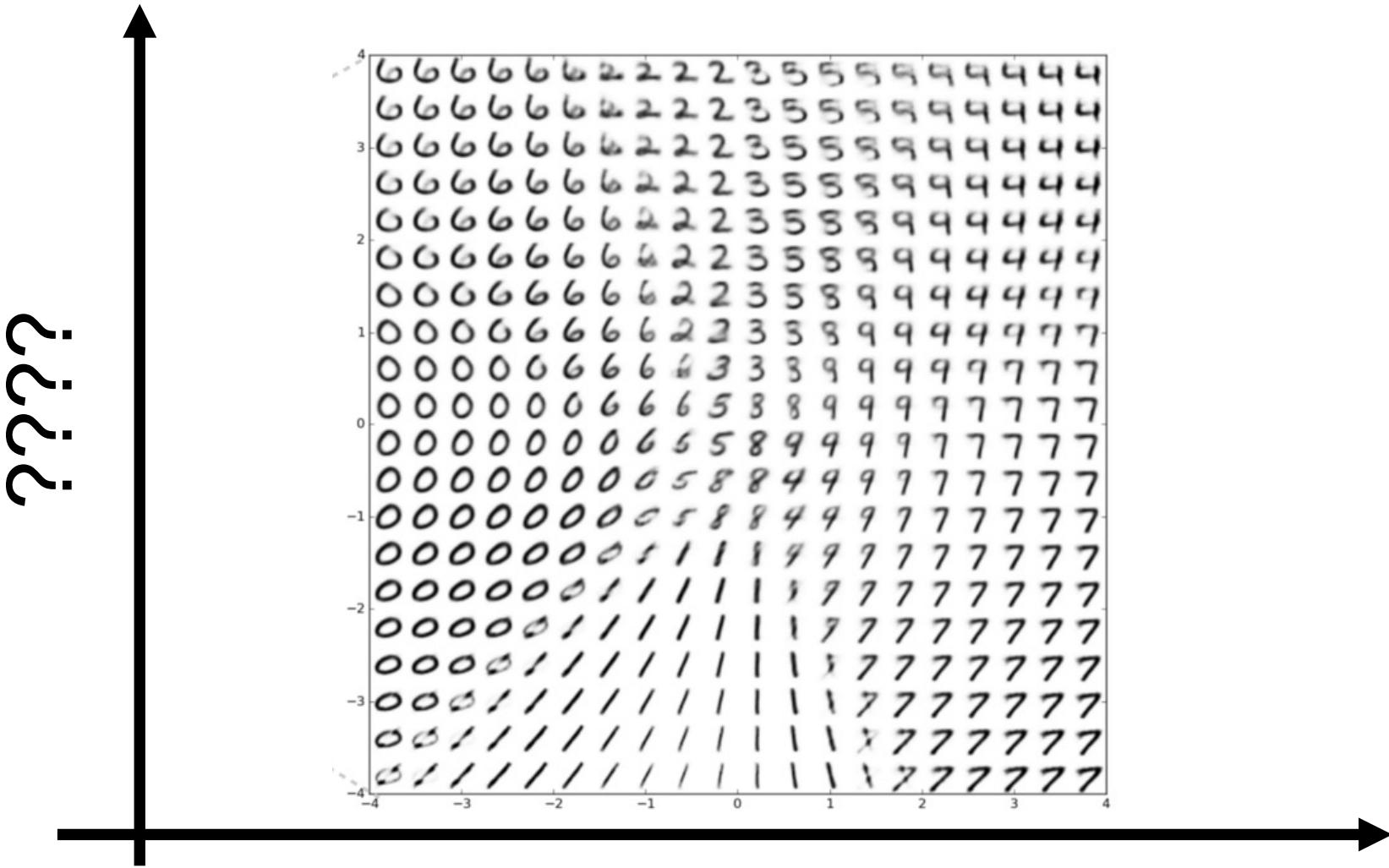
2  
9  
1  
/  
3 3 3 3 3 3 3 3 2 3 3 3 3 3 3 2 3 2 3 3 3 3 3 2 3 3  
5 5 3 5 3 3 3 3 3 3 5 3 5 3 3 5 3 5 3 3 5 3 3 5 3  
2 2 2 2 3 2 3 2 2 3 3 3 3 2 2 2 3 3 2 2 3 3 2 3 2  
7  
0  
0  
4 4 4 4 4 9 9 9 4 9 9 9 4 4 4 4 9 9 4 9 9 9 9 9 9  
6  
5  
9 9 9 9 4 9 9 9 9 9 9 9 9 9 9 9 9 9 4 9 4 9 9 9 9  
3  
7  
0  
6  
9 9 7 4 9 9 9 9 4 9 9 9 9 7 7 9 9 9 9 7 7 7 9 4 9 4  
8 8

# Autoencoders



# Beispiel: Handgeschriebene Ziffer

2  
9  
1  
/  
3 3 3 3 3 3 3 3 2 3 3 3 3 3 3 2 3 2 3 3 3 3 3 2 3 3  
5 5 3 5 3 3 3 3 3 3 5 3 5 3 3 5 3 5 3 3 5 3 3 5 3  
2 2 2 2 3 2 3 2 2 3 3 3 3 2 2 2 3 3 2 2 3 3 2 3 2  
7  
0  
0  
4 4 4 4 4 9 9 9 4 9 9 9 4 4 4 4 9 9 4 9 9 9 9 9 9  
6  
5  
9 9 9 9 4 9 9 9 9 9 9 9 9 9 9 9 9 9 4 9 4 9 9 9 9  
3  
7  
0  
6  
9 9 7 4 9 9 9 9 4 9 9 9 9 7 7 9 9 9 9 7 7 7 9 4 9 4  
8 8



????

# Verlustfunktion

# Verlustfunktion

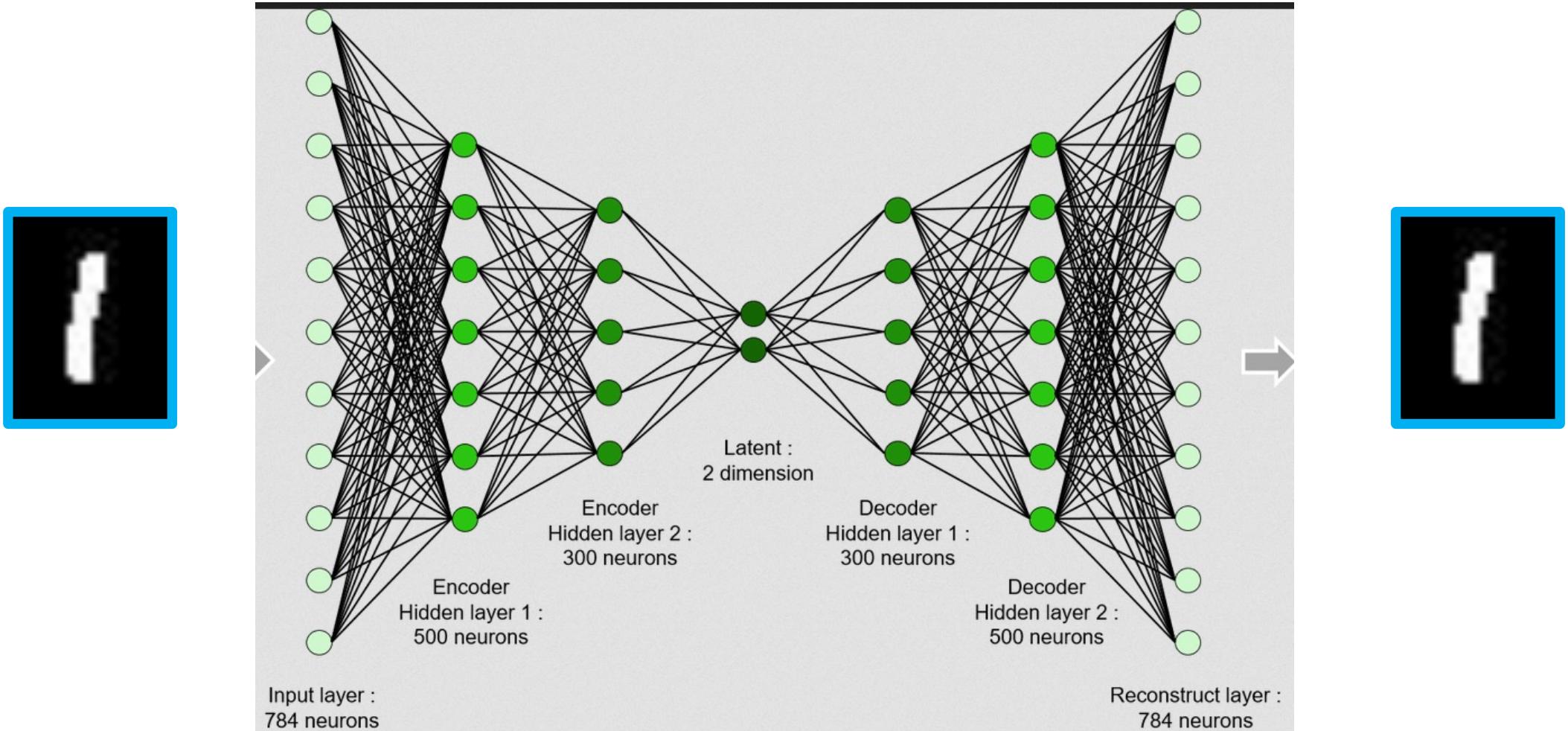
- Sei  $\text{Enc}$  der Encoder und  $\text{Dec}$  der Decoder.

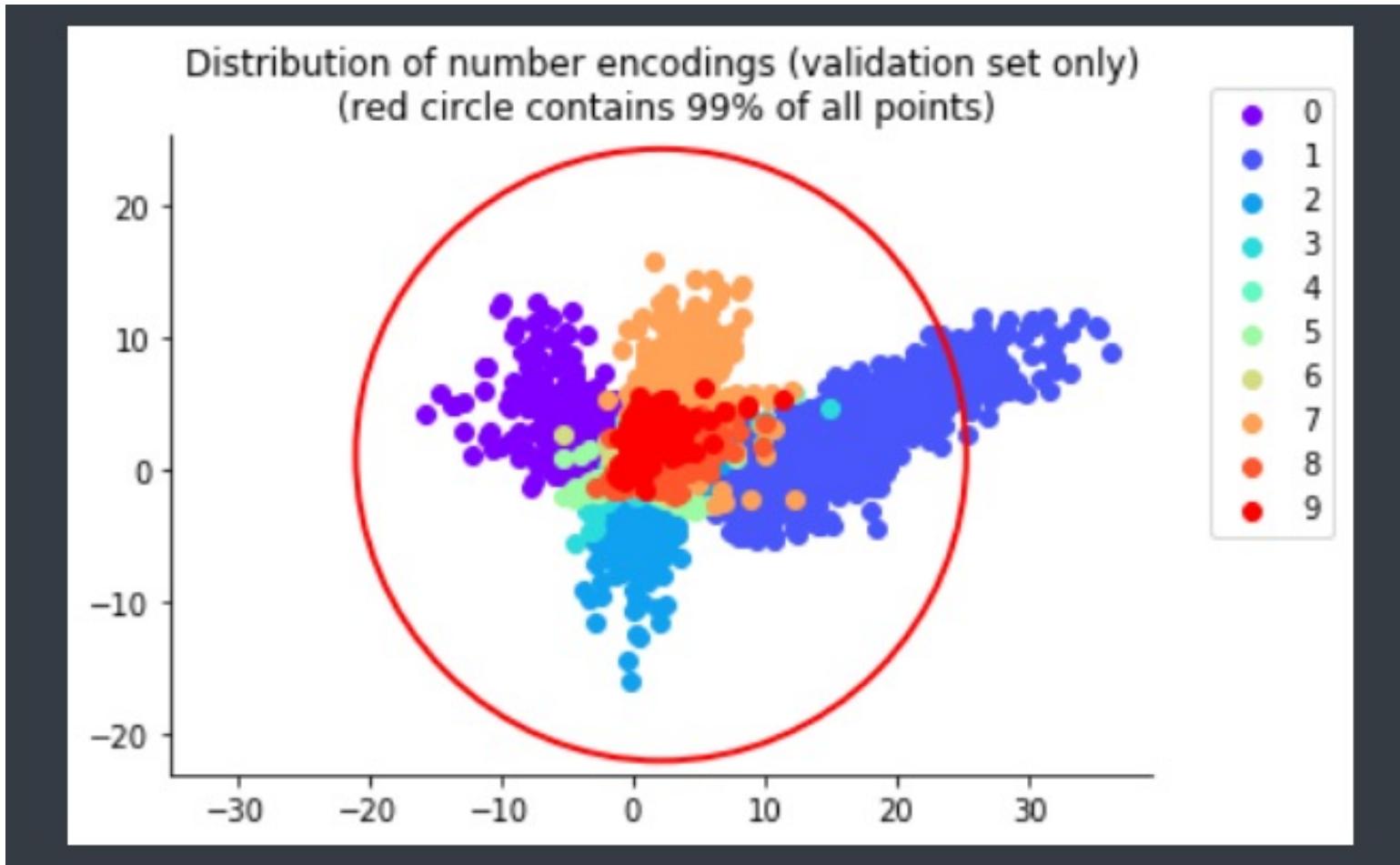
$$L(D, \text{Enc}, \text{Dec}) = \sum_{x \in D} \|x - \text{Dec}(\text{Enc}(x))\|^2.$$

# Die Sanduhr

- Tiefere Schichten extrahieren die wichtigste Information.
- Die Sanduhrform zwingt der Encoder nur die wesentliche Information zu extrahieren.
- Der Decoder lernt, das ursprüngliche Bild nur anhand der Darstellung zu rekonstruieren.

# Encoder und Decoder



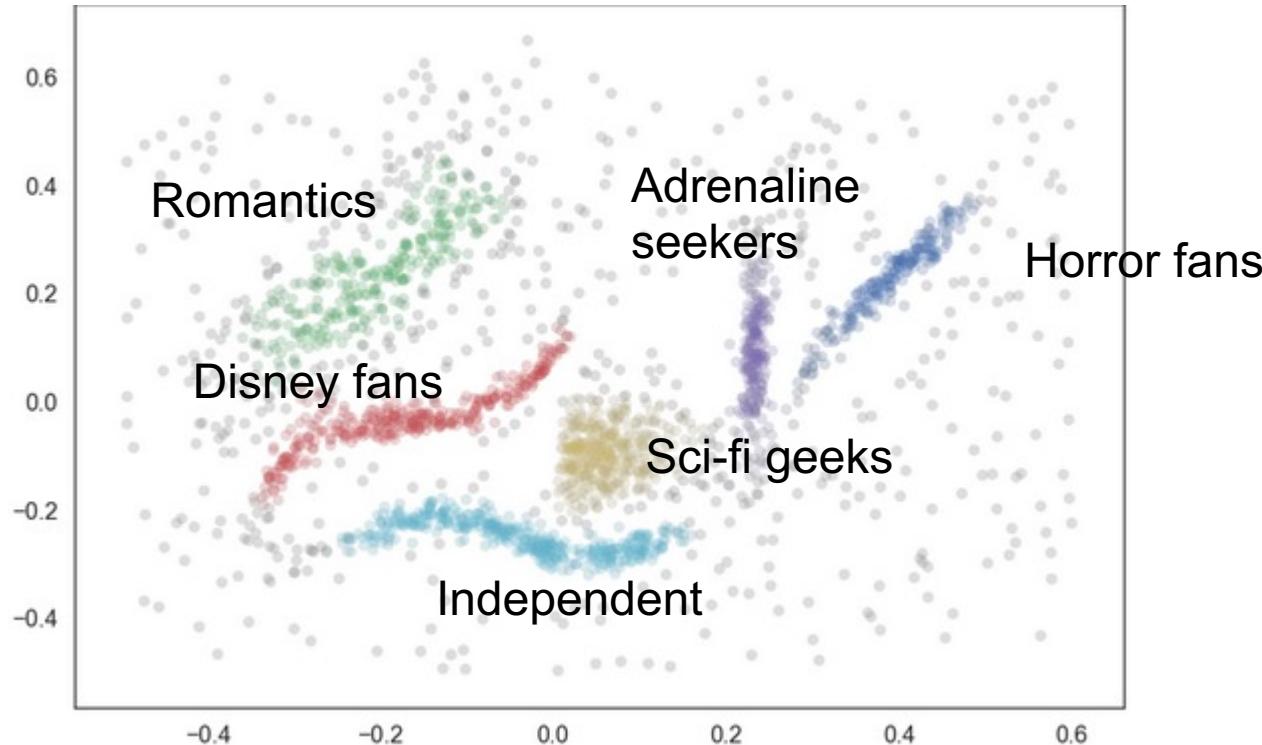


# Was bedeuten die Achsen?

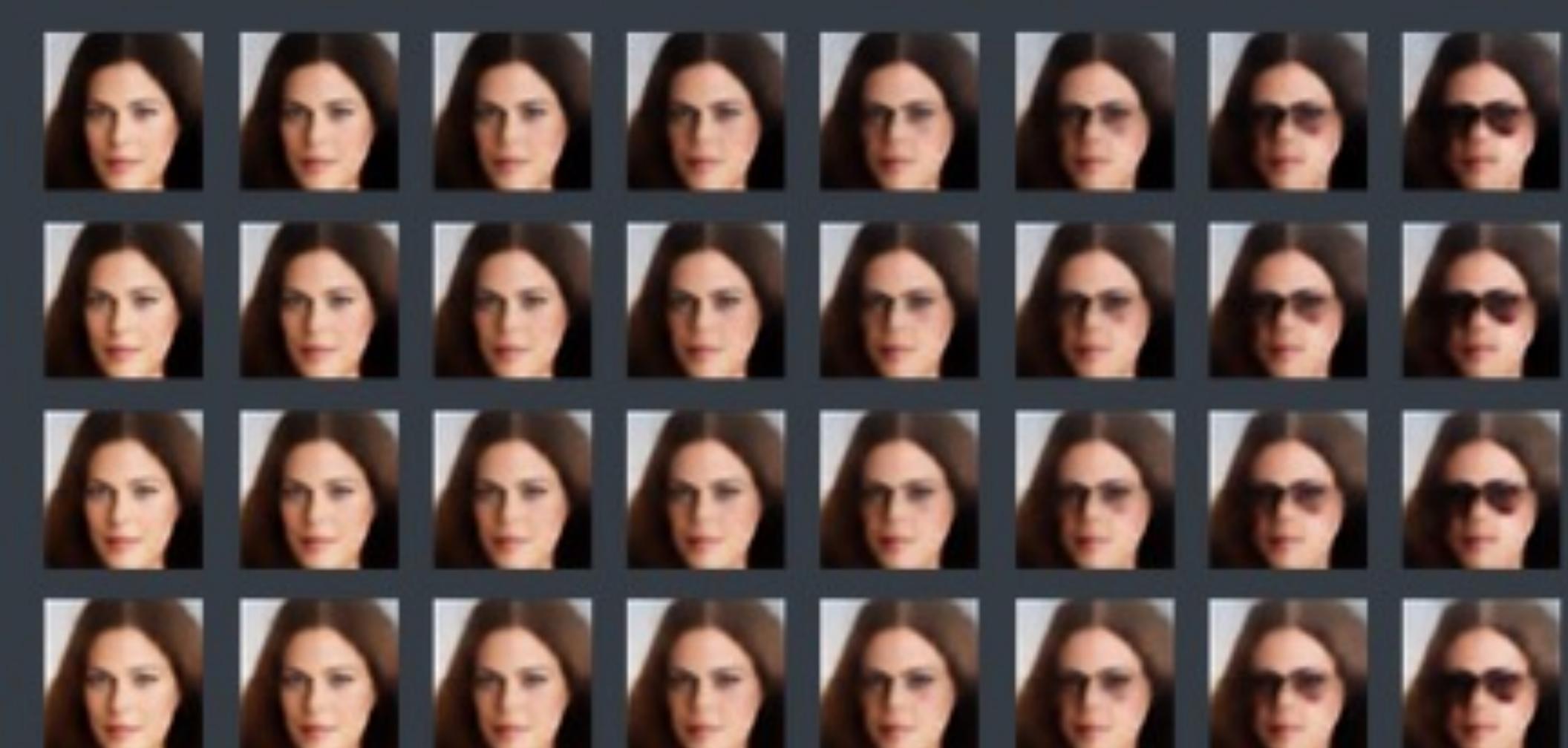
- Das weisst nur der Autoencoder...

# So warum sind Autoencoders hilfreich?

- Empfehlungsdienste



# Interpolation mit Autoencoders



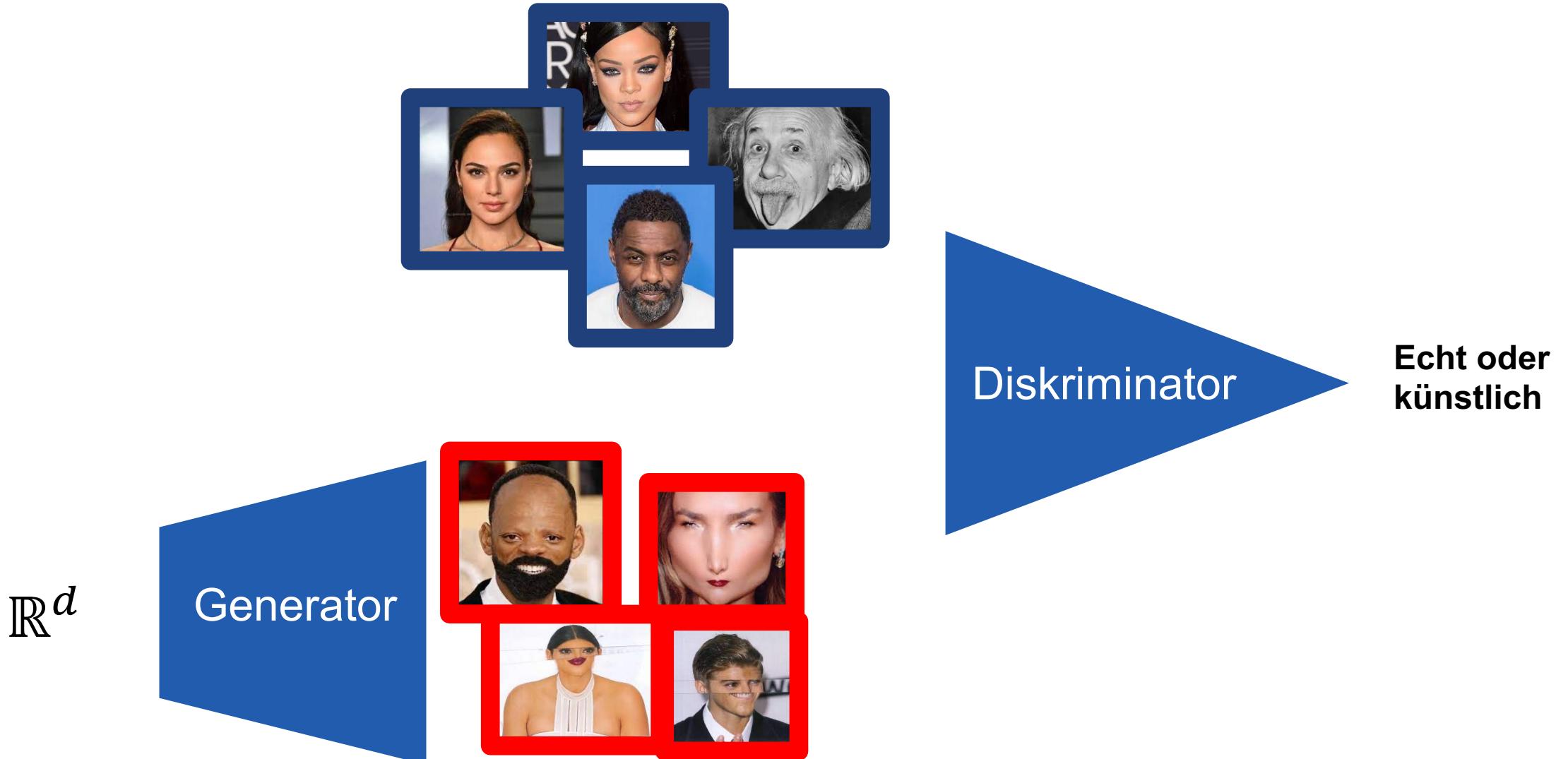
# Credits

- Images taken from:
  - <https://www.comphree.com/blog/autoencoder/>
  - [https://hbscan.readthedocs.io/en/latest/soft\\_clustering\\_explanation.html](https://hbscan.readthedocs.io/en/latest/soft_clustering_explanation.html)

# Generative adversarial networks (GAN)



# GANs



# Anwendungen

- Erzeugen von Daten
- Fictitious characters in movies and games
- Text-to-Image Translation
- Deepfakes
- Face Aging
- Photo inpainting

# Was haben wir heute gelernt?

- Neuronale Netze
- Warum sind neuronale Netze im Allgemein besser als andere Modelle?
- Convolutional neural networks.
- Autoencoders.
- GANs.