

What is induction? It refers to two different definitions. One is about the composition of the set of natural numbers  $\mathbb{N}$ . The other is a method of proof of mathematical statements. Lets start by the first one.

## Set of Natural Numbers

### Definition: Set of Natural Numbers

The set of Natural Numbers is the smallest set such that,

- $0 \in \mathbb{N}$
- $n \in \mathbb{N} \rightarrow n + 1 \in \mathbb{N}$

This definition contains 3 of the 5 ideas behind Peano axioms. They are,

- $0 \in \mathbb{N}$
- $n \in \mathbb{N} \rightarrow n + 1 \in \mathbb{N}$
- $n + 1 = 0 \rightarrow n \notin \mathbb{N}$
- $n + 1 = m + 1 \rightarrow n = m$
- $(0 \in A \wedge n \in A \rightarrow n + 1 \in A) \rightarrow A \subseteq \mathbb{N}$

## Proof by Induction

To prove a family of statements  $\forall n \in \mathbb{N}: P(n)$ , we can use proof by induction.

### Definition: Principle of Mathematical Induction

By proving the *initial case*  $P(0)$  and the *induction step*  $P(k) \rightarrow P(k + 1)$  we conclude  $\forall n \in \mathbb{N}: P(n)$ . Formally,

$$(P(0) \wedge P(k) \rightarrow P(k + 1)) \rightarrow \forall n \in \mathbb{N}: P(n)$$

### Example 1 Intro to Uni Math Sheet 1: Upper bounded sum and the lower bounded product

Let  $x_1 + x_2 + \dots + x_n \leq \frac{1}{3}$ . Show that  $(1 - x_1)(1 - x_2) \dots (1 - x_n) \geq \frac{2}{3}$

#### Doubt

What is the trick here?

### Example : Sum of first n natural numbers

We want to prove the following,

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

Initial Case: n=1

$$\sum_{i=1}^1 i = 1 = \frac{1(2)}{2}$$

□

Induction step:

$$\left( \sum_{i=1}^n i = \frac{n(n+1)}{2} \right) \rightarrow \left( \sum_{i=1}^{n+1} i = \frac{(n+1)(n+2)}{2} \right)$$

To prove the induction step,

$$\begin{aligned} \sum_{i=1}^{n+1} i &= (n+1) + \sum_{i=1}^n i \\ &= (n+1) + \frac{n(n+1)}{2} \\ &= \frac{2n+2}{2} + \frac{n(n+1)}{2} \\ &= \frac{2n+2+n^2+n}{2} \\ &= \frac{n^2+3n+2}{2} \\ &= \frac{(n+1)(n+2)}{2} \end{aligned}$$

Using the induction hypothesis we get

□

We can also reduce the scope of the statement to a subset of natural numbers. Instead of the initial case being  $P(0)$ , we can start with the property  $P(k)$  of a given natural number  $k$ .

#### Principle: Advice on proving a statement by Induction

Always try to decompose conclusion into induction hypothesis and other term.

## Strong Induction

The induction hypothesis is now,

$$(P(0) \wedge P(1) \wedge \dots \wedge P(k)) \rightarrow P(k+1)$$

#### Example Recurrence

Let  $a_n$  be a sequence where  $a_1 = 1$  and  $a_2 = 8$  and  $a_n = a_{n-1} + 2a_{n-2}$ . We want to prove that,

$$a_n = 3 \cdot 2^{n-1} + 2(-1)^n$$

We prove by induction on  $n$ .

Initial case:  $n = 3$

$$a_3 = 10$$

□

Inductive Step:  $3 \leq n \leq k \rightarrow a_n = 3 \cdot 2^{n-1} + 2(-1)^n$

$$\begin{aligned}
a_{n+1} &= a_n + 2a_{n-1} \\
&= 3 \cdot 2^{n-1} + 2(-1)^n + 2(3 \cdot 2^{n-2} + 2(-1)^{n-1}) \\
&= 2(3 \cdot 2^{n-1}) + 2(-1)^n + 2^2(-1)^{n-1} \\
&= 3 \cdot 2^n + 2(-1)^{n-1}(-1 + 2) \\
&= 3 \cdot 2^n + 2(-1)^{n-1} \\
&= 3 \cdot 2^n + 2(-1)^{n+1}
\end{aligned}$$

□

We can use the induction hypothesis twice

### Principle: Strong Induction

We notice that weak induction is not enough when we need more than a single hypothesis in the induction step.

## Forward-Backward Induction

We have the same 2 steps in a regular proof by induction, the initial case and the inductive step, but the inductive step is composed by two different steps.

- Initial case:  $P(0)$
- Inductive step:
  - Forward step:  $P(k) \rightarrow P(f(k))$  where  $f$  is an increasing function.
  - Backward step:  $P(k) \rightarrow P(k-1)$

### Example Brilliant.org: AM-GM Inequality

Initial Case:  $\frac{a+b}{2} \geq \sqrt{ab}$

$$\begin{aligned}
(a-b)^2 &\geq 0 \leftrightarrow \\
a^2 - 2ab + b^2 &\geq 0 \leftrightarrow \\
a^2 + 2ab + b^2 - 4ab &\geq 0 \leftrightarrow \\
a^2 + 2ab + b^2 &\geq 4ab \leftrightarrow \\
a^2 + 2ab + b^2 &\geq 4ab \leftrightarrow \\
(a+b)^2 &\geq 4ab \leftrightarrow \\
|a+b| &\geq 2\sqrt{ab} \leftrightarrow \\
\rightarrow a+b &\geq 2\sqrt{ab} \\
\rightarrow \frac{a+b}{2} &\geq \sqrt{ab}
\end{aligned}$$

Since both a and b are positive so is their addition

Inductive Step:

Induction Hypothesis:

$$\frac{\sum_{i=1}^k a_i}{k} \geq \sqrt[k]{\prod_{i=1}^k a_i}$$

Forward pass: We want to show,

$$\frac{\sum_{i=1}^{2k} a_i}{2k} \geq \sqrt[2k]{\prod_{i=1}^{2k} a_i}$$

We can start by splitting the summation in the left hand side, [CONTINUE]

Backward pass: We want to show,

$$\frac{\sum_{i=1}^{k-1} a_i}{k-1} \geq \sqrt[k-1]{\prod_{i=1}^{k-1} a_i}$$

We start by using the inductive hypothesis [CONTINUE LATER]

## Double Induction

We start by defining the addition of two natural numbers recursively,

### Definition: Recursive Definition of Addition of Two Natural Numbers

Let  $m$  and  $n$  be natural numbers.

- $m+0=m$
- $m+(n+1)=(m+n)+1$

### Example Intro to Uni Math ex.2: Commutativity of Addition of Natural Numbers

We want to show that the addition is commutative using its recursive definition,

$$m + n = n + m$$

Let  $n = 0$ . We want to show that  $m + 0 = 0 + m$ . We show by induction on  $m$ . The base case is skipped. We assume  $k + 0 = 0 + k$ . We want to prove  $0 + (k + 1) = (k + 1) + 0$

$0 + (k + 1) = (0 + k) + 1$	Recursive Part of Definition
$= (k + 0) + 1$	Inductive Hypothesis
$= k + 1$	Base Case for Recursive Definition LR
$= (k + 1) + 0$	Base Case for Recursive Definition RL

Let  $n = 1$ . We want to show that  $m + 1 = 1 + m$ . We show by induction on  $m$ . Base case  $0 + 1 = 1 + 0$  was already proven when  $n = 0 \wedge m = 1$ . We assume  $k + 1 = 1 + k$ . We want to prove  $1 + (k + 1) = (k + 1) + 1$

$(k + 1) + 1 = (1 + k) + 1$	Inductive Hypothesis
$= 1 + (k + 1)$	Recursive Part of Definition RL
$\square$	

We want to show that  $m + n = n + m$ . We prove by induction on  $n$ . The base case was already shown. We assume  $m + k = k + m$ . We want to prove  $m + (k + 1) = (k + 1) + m$

$(m + k) + 1 = (k + m) + 1$	Inductive Hypothesis
$= 1 + (k + m)$	Using (ii)
$= (1 + k) + m$	Recursive Part of Definition
$= (k + 1) + m$	Using (ii)
$\square$	

## Infinite Descent

### Example Wikipedia: Proof by Infinite Descent

The square root of a non-integer is always irrational, formally,

$$k \notin \mathbb{N} \rightarrow \sqrt{k} \notin \mathbb{R} - \mathbb{Q}$$

Let  $\sqrt{k}$  be a rational number and  $q$  the last integer before  $\sqrt{k}$ ,

$$\begin{aligned}\sqrt{k} \in \mathbb{Q} &\leftrightarrow \sqrt{k} = \frac{m}{n}, \quad m, n \in \mathbb{N} \\ q \in \mathbb{N} \wedge q < \sqrt{k} \wedge q + 1 &> \sqrt{k}\end{aligned}$$

We start by describing  $k$ ,

$$\begin{aligned}\sqrt{k} &= \frac{m}{n} \\ &= \frac{m(\sqrt{k} - q)}{n(\sqrt{k} - q)} \\ &= \frac{m\sqrt{k} - mq}{n\sqrt{k} - nq} \\ &= \frac{n\sqrt{k}\sqrt{k} - mq}{n\frac{m}{n} - nq} \\ &= \frac{nk - mq}{m - nq}\end{aligned}$$

□

Since there is an irreducible fraction for every rational number then the last expression is a contraction.

## Structural Induction

The power set of a set  $A$  can be defined recursively as follows

- $\emptyset \in \mathbb{P}(A)$
- $B \in \mathbb{P}(A) \wedge x \in A \leftrightarrow B \cup \{x\} \in \mathbb{P}(A)$

### Doubt: Recursive Definition of Power Set

Does the previous definition qualify as a recursive one?

## Tricks

TODO