

Risk Scoring Questionnaire (Sample)

Customer Profile Factors (Score 0-5 each)

- Geography Risk (residence/operations in high-risk jurisdictions)
- PEP Exposure (self, family, close associates)
- Industry Risk (cash-intensive, MSBs, crypto, NPOs)
- Delivery Channel (non-face-to-face, third-party intermediaries)

SAMPLE

Products & Transactions

- High-value transfers / international corridors
- Complex ownership or frequent account changes
- Use of cash equivalents or privacy-enhancing technologies

SAMPLE

Scoring & Bands

- 0-6: Low; 7-12: Medium; 13+: High (trigger EDD and closer monitoring).
- Document rationale for overrides by Compliance.

SAMPLE