# K-NEAREST NEIGHBOURS

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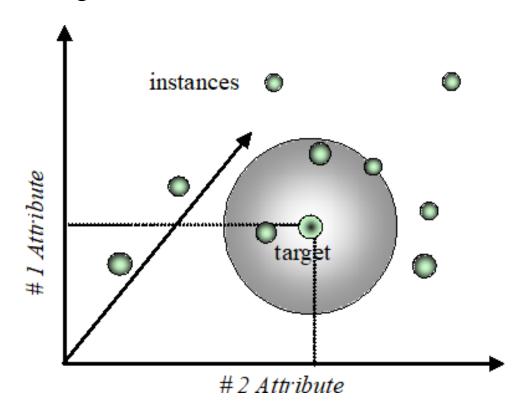
### KNN BASICS

- kNN is basically a two-part algorithm
- 1. Retrieve the *k* most similar recorded cases
- 2. Classification or regression
  - "Average" across these *k* cases (regression)
  - Take the max of k votes for ordinal types (classification)
- So, kNN can be used for either regression or classification. However, let's ignore regression and stick to classification



# DISTANCE

May require examining all instances





# DISTANCE

- We typically need a notion of "distance" to calculate the "nearest" neighbour.
- Often use Euclidean distance i.e. (with p features/predictor values, t is a target and s is a source, tl is the first attribute for t etc.

$$d(t,s) = \sqrt{(t_1 - s_1)^2 + \dots + (t_p - s_p)^2}$$

 Caution: Scale matters. If one predictor has is a much larger value than another, it will contribute more to the distance measurement.



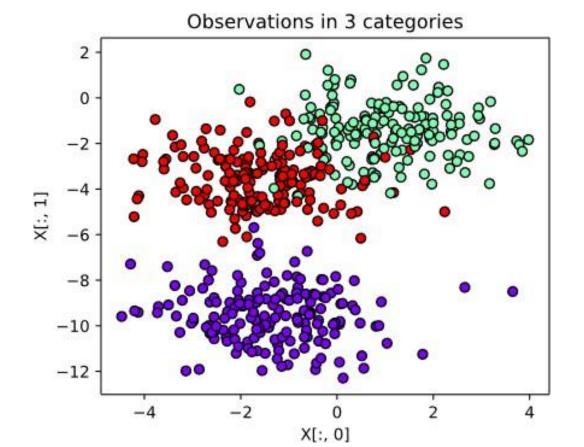
#### FORMALISING EVERYTHING

- 1. If you have a training set of data  $(x_1, x_2, \dots, x_m)$ .
- 2. Given a target  $x_i$ .
- 3. Calculate all  $d(\mathbf{x}_i, \mathbf{x}_i)$  and select the k smallest ones.
- 4. Classify/Approximate  $x_j$  based on the k nearest  $x_i$  you found.



# NEAREST NEIGHBOUR

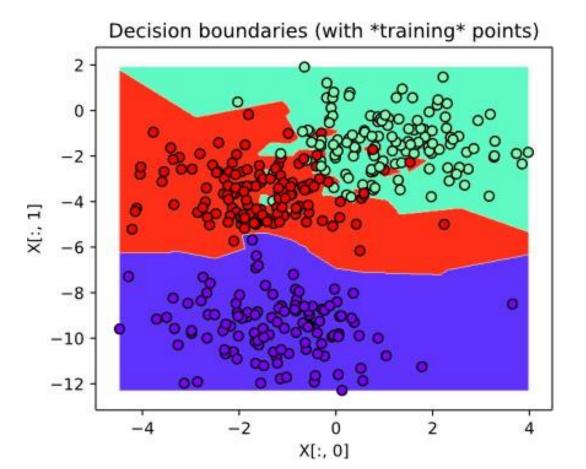
 What if the prediction is made by just looking at which training point is it closest to?





# NEAREST NEIGHBOUR

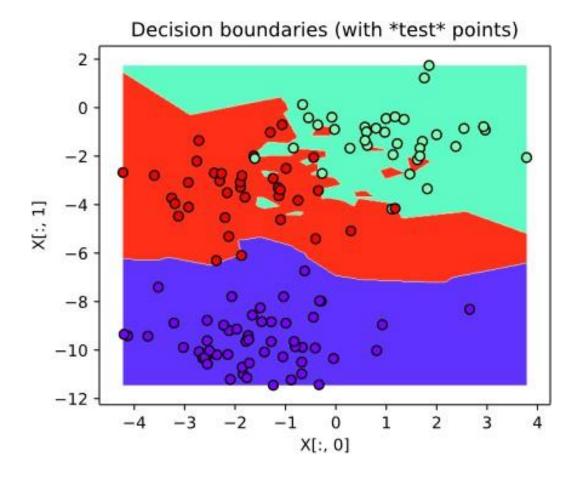
• Not too bad. Looks like overfitting the training data.





# NEAREST NEIGHBOUR

With the test data, we can see noise.





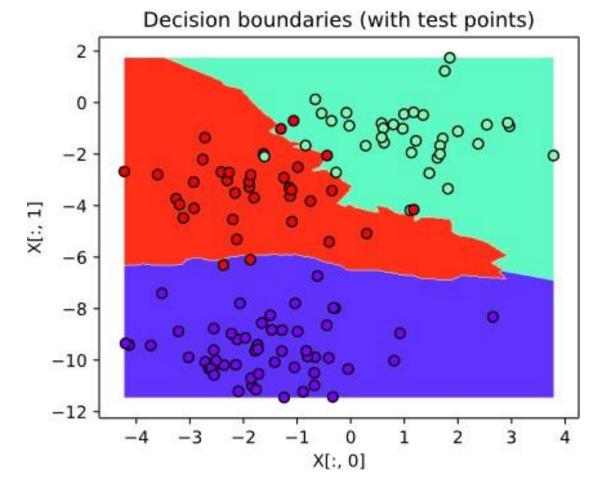
#### K-NEAREST NEIGHBOUR

- Instead of looking at the one nearest training point, we could look at k and have a vote: a k-nearest neighbours classifier.
- e.g. with k = 5, we might want to predict a category for a point and find that the nearest five training points are green, green, red, green, red.
  - Take the most common and predict green.



# K-NEAREST NEIGHBOUR

Smooths out some of the overfitting





# SKLEARN

• Of course we want to do this by programming. It follows the exact same procedure as the others - model, fit, evaluate.

```
from sklearn.neighbors import KNeighborsClassifier
model = KNeighborsClassifier(n_neighbors = 5)
model.fit(X_train, y_train)
print(model.score(X_test, y_test))
```

Offers good results for such a simple technique



# CHOOSING X

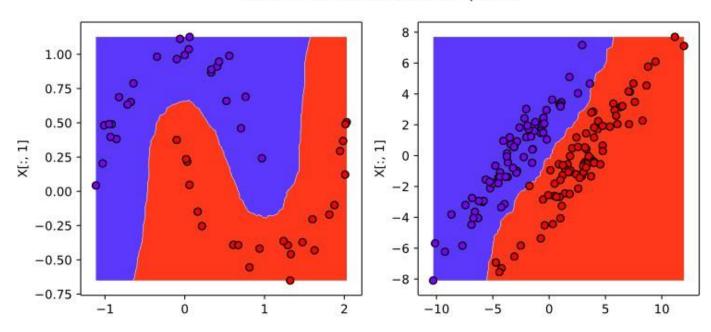
- There's tradeoff. If k is too small, you'll overfit the training data. If it's too large, you'll underfit reality.
- Any guesses?
  - Cross-validation. Experiment.
- So you can use *k*-fold cross validation to choose the *k* in *k*-nearest neighbours....this is confusing. They are two different *k*'s!
- We are choosing the hyperparameter k for k-nearest neighbours and you apply say a 10-fold cross validation procedure to choose it



# KNN

• kNN can create a complicated boundary shapes.







#### CURSE OF DIMENSIONALITY

- Imagine instances described by 20 attributes, but only 2 are relevant to target function.
- Curse of dimensionality: nearest-neighbour is easily mis-led with highdimensional X.
- High-dimensionality increases sparsity. The more features, the further the distances apart.



# KNN OTHER

- There are other ways of applying distance metrics
  - Weighted kNN
  - Distance Weighted kNN (Shepard's Method)
- But we will not discuss them



# FURTHER REMARKS ON NEAREST NEIGHBOURS

- Since the calculations are done when classifying a new data point, the model must store all the training information (Lazy).
- Storing all the training information can be quite wasteful, better generalisation models would not have to store the full training set.
- k-nearest neighbours is very quick to train (it does not do anything except store the set), however prediction is slow.



### LAZY AND EAGER LEARNING

- Two contrasting approaches in machine learning, primarily referring to the handling of model construction and prediction.
  - Lazy learning defers the computation of predictions until needed, relying on storing instance-specific information.
  - Eager learning precomputes a model during training, making predictions faster but potentially requiring more memory.

| Aspect                      | Lazy Learning   | Eager Learning   |
|-----------------------------|---|--|
| Timing of Model<br>Building | The model is built during prediction.   | The model is built before prediction.  |
| Data Dependency             | Relies heavily on the training data during prediction.                                | Less dependent on training data during prediction.                           |
| Computational<br>Efficiency | Faster during training, but slower during prediction due to real-time model building. | Slower during training, but faster during prediction due to pre-built model. |
| Example                     | k-Nearest Neighbors (KNN)   | Decision Trees, Support Vector Machines (SVM), Neural Networks               |
| Memory Usage                | Less memory usage during training, but more during prediction.                        | More memory usage during training, but less during prediction.               |



#### FURTHER DIMENSIONS

- It's easy to show examples that are 2D points: they make nice figures and are easy to visualize, but they aren't so realistic.
- Let's look at some more realistic data: there are lots of example datasets out there for ML experimentation: mldata.org, UCI Machine Learning Repository, KDnuggets dataset list.
- Scikit-learn has some datasets built-in (like the diabetes and iris datasets).



# SKLEARN DATASETS

```
from sklearn.datasets import load_breast_cancer
bc = load_breast_cancer()
print(bc.data.shape)
print(bc.target.shape, np.unique(bc.target))
print(bc.feature_names)
X = bc.data
y = bc.target
```

```
(569, 30)
(569,)[01]
['mean radius' 'mean texture' 'mean perimeter' 'mean area' 'mean smoothness'
'mean compactness' 'mean concavity' 'mean concave points' 'mean symmetry' 'mean
fractal dimension' 'radius error' 'texture error' 'perimeter error' 'area error'
'smoothness error' 'compactness error' 'concavity error' 'concave points error'
'symmetry error' 'fractal dimension error' 'worst radius' 'worst texture' 'worst
perimeter' 'worst area' 'worst smoothness' 'worst compactness' 'worst concavity'
'worst concave points' 'worst symmetry' 'worst fractal dimension']
```



# SKLEARN DATASETS

Apply a randomly-chosen classifier and it does quite well

```
_{1} model = Gaussian NB ()
2 model.fit(X_train, y_train)
g print(model.score(X_test, y_test))
4 print(classification_report(y_test, model.predict(X_test)))
6 0.944055944056
                precision
                              recall fl.score
                                                  support
8
                               0.91
                                          0.93
                                                       55
                     0.94
9
                               0.97
                                          0.96
                     0.94
                                                       88
10
11
12 avg / total
                     0.94
                                0.94
                                           0.94
                                                      143
```

 Although the 6% inaccuracy may be too much for this type of information. Ignore this and just think of modelling.



#### SKLEARN DATASETS - ANN

kNN seems to do worse

```
model = KNeighborsClassifier(n_neighbors=9)
model.fit(X_train, y_train)
print(model.score(X_test, y_test))

0.923076923077
```

- Any ideas why?
- Ask questions about the dataset how it fits into the model and how the model reacts. Remember I said about distance metrics being heavily influenced by larger values, so we may need some form of scaling.



#### SKLEARN DATASETS - KNN

• The scales are completely different. Measuring "nearest" counts "mean radius" as much more important than "worst concavity".

```
bc_df = pd.DataFrame(bc.data, columns=bc.feature_names)
print(bc_df[['mean radius', 'texture error', 'worst concavity']].
     describe())
3
                                        worst concavity
          mean radius
                       texture error
5 count
          569.000000
                          569.000000
                                            569.000000
           14.127292
                            1.216853
                                              0.272188
<sub>6</sub> mean
 std
            3.524049
                            0.551648
                                              0.208624
          6.981000
                            0.360200
                                              0.000000
 25%
           11.700000
                            0.833900
                                              0.114500
 50%
           13.370000
                            1.108000
                                              0.226700
 75%
           15.780000
                            1.474000
                                              0.382900
           28.110000
                                               1.252000
                            4.885000
 max
```

