Authentication

In order to authenticate requests from our frontend to the backend, a decentralized approach was chosen. We added a dedicated authentication component to our system architecture, which implements OAuth2 as it is one of the most popular industry-standard protocols for authorization. The component was implemented using the PHP programming language and is hosted as a dedicated and external service. The service offers a public and well-documented REST API and stores the necessary information to offer its authentication services in a local database.

Data Structure

The contained database generally divides users into the two major groups: "Users" and "Administrators". Each user entity provides at least the attributes id (unique), enabled, groups, email, name, and scopes. Additionally, each user or admin might be part of an arbitrary number of groups currently containing the two properties id (unique) and a description.

Access Methods

The system provides a well-documented public REST API to interact with the service. For all major entities, the appropriate GET, POST, and PATCH methods are provided. Furthermore, the system provides several auxiliary methods for testing and debugging, such as, for example, the "oauth2/user/session" method, which can be used to check the privileges of the currently logged-in user. To log in to the system, a user is required to send their credentials to the authentication system and receives back an OAuth token. With that token, a user can verify that they are currently logged in. The token has a default expiration timer, which can also be extended during its lifetime.

Current Verification Approach

Currently, only the authentication mechanism itself is integrated into our DaaS backend system. The backend system currently follows the approach of receiving an OAuth token from the frontend user, which has to be checked and verified against the authentication system. This can be done either by acting on behalf of the frontend user and supplying the frontend user's token to the "oauth2/user/session" method. Alternatively, a dedicated endpoint could be implemented, allowing a backend user to utilize their own legitimate session token and providing the frontend user's token only as a parameter. In this way, users would not be "impersonated" by the backend user. Therefore, the latter solution is generally preferable.

Privilege System

As described before, currently only the approach to verify logged-in users is implemented. Additionally, it is planned to provide a more granular method to grant or deny access to the system on a functional level. With that in mind, the overall aim of such a solution should be on one hand to minimize the additional integration efforts needed to adjust the database layout or endpoint specifications. On the other hand, the solution should aim to maximize a wide range of possible configuration use cases in order to provide convenient and appropriate management efforts when handling large amounts of groups and users. In any case, the decision for a specific approach will ultimately also influence the performance of the whole system when taking into account the number of requests needed to verify a particular user action. In the following, a draft version is described, which aims to optimize all three stated problem dimensions.

Prerequisites

In order to provide a privilege-based verification on a functional level, the initial step would be to assign a list of unique identifiers to each method callable by system users. This list of currently 71 unique identifiers is the ground truth information on which backend and authentication component agree upon initially. The endpoint privileges must then be distributed over plausible user and group entities in the form of static integer lists for both acceptance and denial of a particular right. Further, an appropriate endpoint to intially request all static groups at once is required. In a debugging context it might make sense to additionally provide an endpoint to set all groups and users at once.

Privilege Hierarchy

Based on this information, individual accept privileges can then initially be stored within each user entity in the authentication system to allow specific actions. With the aim to also allow the denial of a specific privilege, the user entity might additionally store a list of denied privileges. In order to provide a more convenient way to handle large user amounts, such accept and deny lists can also be added to all group entities within the authentication system. As a further optimization, certain groups can also be marked as "static" by using a dedicated property within a group entity. This property is aimed to mark groups that do not change too frequently and allows the backend to cache contents of such groups. In total, three major rules are required to reflect such constraints:

- By storing accept and deny lists within each user entity, arbitrary privilege use cases can be handled on a user-specific level.
- By storing accept and deny lists within each group entity, arbitrary privilege use cases can be handled on a group-specific level.
- By caching such groups, the total number of requests needed to verify a particular action can be further reduced.

Privilege Precedence

As privileges might be part of user or group specifications and since privileges are also deniable, a certain precedence or evaluation order has to be defined. The precedence is therefore defined as:

- Level 1.1: User Accept Rules
- Level 1.2: User Deny Rules
- Level 2.1: Group Accept Rules (Static)
- Level 2.2: Group Deny Rules (Static)
- Level 3.1: Group Accept Rules
- Level 3.2: Group Deny Rules

Verification Requests

Combining all mentioned requirements, an approach to verify specific user actions can therefore start by evaluating all user-specific access and deny lists. If the request cannot be verified by accounting that information, the authenticator can advance to the next level and account all static group-specific access and deny lists. If the request cannot be verified by accounting that information, the authenticator can advance to the next level and account all non-static group-specific access and deny lists.

- If the request can be verified in the first step, then only one request is needed in total to fetch all information.
- If the request can be verified in the second step, then no additional request is needed to fetch all information as they are cached by the backend system.
- If the request can only be verified in the third step, then one request per assigned non-static user group is needed in total to fetch all information.
- The algorithm ommits non-static group requests if a static deny rule is found immidiately (higher precedence of deny rules).
- If the request cannot be verified in the third step, the overall result of the verification process is negative.

Essential Verification Requests

Depending on the actual structure of the user and group privileges, it might be possible, in an optimal case, to verify actions by only issuing one request to the backend (User request only). In a worst-case scenario, each non-static group specification has to be requested individually. The total number of requests needed is then 1+n, where n is the number of non-static group memberships. It is therefore highly advisable to keep most group specifications in static groups. The number of non-static groups should be kept as small as possible. Static groups should usually be used to define regular users. User privileges are meant to be used to temporarily overwrite the default group privileges. Static groups with active deny rules can be used to reflect longer lasting privilege revocations.

Privilege Hierarchy

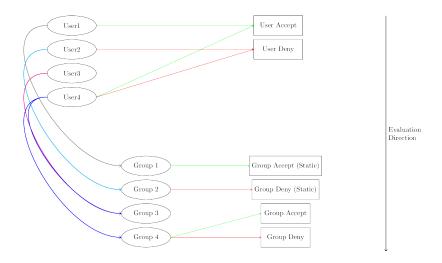


Figure 1: Privilege Hierarchy

Users and groups:

- Users might have access or deny privileges at once (Deny over Accept)
- Groups might have access or deny privileges at once (Deny over Accept)
- Users can be have 0 to many group memberships
- Privileges are evaluated from top (users) to bottom (static first, then non-static groups)

Best Case Scenarios

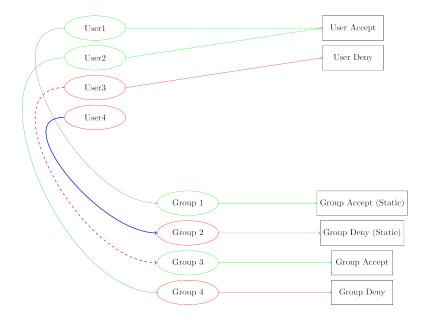


Figure 2: Best case scenarios

All actions need only one request to verify:

- User1 is accepted by user privilege
- User2 is accepted by user privilege (User over group)
- User3 is denied by user privilege (User over group)
- User4 is denied by static group privilege

Worst Case Scenarios

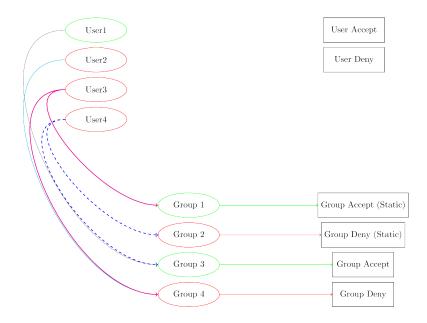


Figure 3: Worst case scenarios

Three worst case scenarios and one optimal case:

- User1 is accepted by non-static group privilege
- User2 is denied by non-static group privilege
- User3 is denied by non-static group privilege (Deny over Accept)
- User4 is denied by static group privilege (No worst case!)