

Lecture 5: Performance analysis

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Outline

- Performance analysis
- Performance measurement

Performance Evaluation

- Judging programs
- How?
- Prior estimate
 - Performance analysis
- Posteriori testing
 - Performance measurement

Performance Analysis

- Paper and pencil – theoretical analysis
- Do not need computer or program
- Criteria: Complexity
 - Space complexity
 - Time complexity
- Instance characteristics (size of inputs and outputs) affect complexity
 - Complexity is a function of characteristics, e.g., $(f(n))$ where n is input size

Space Complexity

- $S(P) = c + Sp(\text{inst. char.})$
- Fixed part : c
 - Independent of input/output characteristics
 - Space for instruction, simple variables, constants, etc
- Variable part : $Sp(\text{inst. char.})$
 - Space for variables whose size is dependent on the input/output (e.g. list length).

Since c is constant, we only focus on $Sp(\text{inst. char.})$ for space complexity!

Example

```
void Abc(float a, float b, float c)
{
    return a+b+b*c+(a+b-c) / (a+b)+4.0;
}
```

1. What are the instance characteristics?
2. Sp?

Example

```
void Abc(float a, float b, float c)
{
    return a+b+b*c+(a+b-c) / (a+b)+4.0;
}
```

1. What are the instance characteristics?
: a, b, c
2. Sp = 0

Example

```
float Sum(float *a, const int n)
{
    float s = 0;
    for(int i=0; i<n; i++)
        s += a[i];
    return s;
}
```

1. What are the instance characteristics?
2. Sp?

Example

```
float Sum(float *a, const int n)
{
    float s = 0;
    for(int i=0; i<n; i++)
        s += a[i];
    return s;
}
```

1. What are the instance characteristics?
 : a, n
2. Sp = 0

Example

```
float RSum(float *a, const int n)
{
    if(n<=0) return 0;
    else return (Rsum(a, n-1)+a[n-1]);
}
```

1. What are the instance characteristics?
2. Sp ?

Example

```
float RSum(float *a, const int n)
{
    if(n<=0) return 0;
    else return (Rsum(a, n-1)+a[n-1]);
}
```

1. What are the instance characteristics?
: a, n
2. Sp = A(n+1)
: A = stack frame size

Time Complexity

- $T(P) = \text{compile time} + \text{run time}$
- Compile time is independent of instance characteristics - ignore
- Actual runtime can only be measured with executions
 - Compiler / machine / runtime dependent
 - Difficult to derive exact time for operators
- Use a program step instead

Primitive Operations (Program Steps)

- A segment of program independent of instance characteristics
 - Corresponds to low-level instruction with constant exec. time
- Set of primitive operations
 - Assigning a value to a variable
 - Calling a function
 - Arithmetic operations (+,-,*,/,...)
 - Comparing two numbers
 - Indexing into an array
 - Following an object reference
 - Returning from a function...

```
float Sum(float *a, const int n)
{
    float s = 0;
    for (int i = 0; i < n; i++)
    {
        s += a[i];
    }
    return s;
}
```

```
float Sum(float *a, const int n)
{
    float s = 0;
    for (int i = 0; i < n; i++)
    {
        s += a[i];
    }
    return s;
}
```

Total count: $2n + 3$

```
float Rsum(float *a, const int n)
{
    if(n <= 0) return 0;
    else return (Rsum(a, n-1)+a[n-1]);
}
```

```
float Rsum(float *a, const int n)
{
    if(n <= 0)
    {
        return 0;
    }
    else
    {
        return (Rsum(a, n-1)+a[n-1]);
    }
}
```

```

float Rsum(float *a, const int n)
{
    if(n <= 0)
    {
        return 0;
    }
    else
    {
        Rsum + 1      return (Rsum(a, n-1)+a[n-1]);
    }
}

```

Total count: $2n + 2$

$$T(P) = Rsum(n-1) + 2 = Rsum(n-2) + 2 + 2 = \dots = 2*n + Rsum(0) = 2*n+2$$

```
void Add(int **a,int **b,int n,int m)
{
    for(int i=0; i<m; i++)
        for(int j=0; j<n; j++)
            c[i][j] = a[i][j]+b[i][j];
}
```

```

void Add(int **a,int **b,int n,int m)
{
    int *(m + 1)    for(int i=0; i<m; i++)
    {
        int *(n + 1) *m    for(int j=0; j<n; j++)
        {
            int *n *m            c[i][j] = a[i][j]+b[i][j];
            }
        }
    }
}

```

Total count: $2nm + 2m + 1$

Best, Worst, Average Step Count

- What if expressions depends on complex characteristics?
- Ex) linear search

```
int search(float *a, float k, int n)
{
    for(int i=0; i<n; i++)
    {
        if(a[i] == k)
        {
            return i;
        }
    }
}
```

? = n (worst case), 1(best case), n/2(average)

Comparing Step Count

- $P_A : 2n + 3,$
- $P_B : 2n + 2$
- $P_A > P_B$
- Is P_B faster than P_A ?
 - Yes and No, because the running time of each step might be different in P_A and P_B

Comparing Step Count

- Meaning of step count
 - How the **run time** changes when the **instance characteristics changes**
 - e.g., how much the program becomes slower if the input size grows
- $P_A : 2n + 1$
- $P_B : 3n^2$
 - When n is doubled, P_A becomes 2x slower but P_B becomes 4x slower :

Comparing Step Count

- $P_A : n^2 + 200$
- $P_B : n^2 + 100$
 - When $n = 1$, running time of P_A and P_B become 201 and 101, respectively ($1.99x$ difference)
 - When $n = 100$, running time of P_A and P_B become 10200 and 10100, respectively ($1.009x$ difference)
 - If n becomes very large, P_A and P_B converges (n^2 term dominates)
- P_A runs same as P_B *asymptotically!*

Asymptotic Analysis

- We need an approximate yet intuitive means to compare models (functions) as problem size N vary:
 - Model A is ‘at most’ as fast or as big as model B
 - Model A is ‘at least’ as fast or as big as model B
 - Model A is ‘equal’ in performance/size to model B
- Asymptotic behaviour
 - the behaviour as some key parameter (N) increases towards **infinity**

Big-O

- Formally, given functions $f(x)$, $g(x)$,

$$f(x) = O(g(x))$$

if there exist positive constants c and x_0 such that
 $f(x) \leq cg(x)$ for all $x \geq x_0$

- Meaning of Big-O
 - For sufficiently large x , f is bounded by g with a scalar factor
 - f is “at most” g beyond some value x
 - g is an upper bound of f

Big-O

- $A(t) = O(t)$ (“at most” or “of the order t ”),
- $B(t) = O(t^2)$ (“at most” or “of the order t^2 ”),
- Informally, big-Oh can be used to identify the simplest function that bounds (above) a more complex function, as the parameter gets (asymptotically) bigger

Examples

- $3n+2 = O(n)$?

Examples

- $1000n^2 + 100n - 6 = O(n^2)$?

Examples

- $6*2^n + n^2 = O(2^n)?$

Examples

- How good the bound is?
 - $3n+2 = O(n) = O(n^2)$?

Examples

- Highest order term dominates

$$f(n) = a_m n^m + a_{m-1} n^{m-1} + \dots + a_1 n + a_0 = O(n^m)$$

Theta and Omega

- Omega (Ω) meaning “at least” (lower bound):

$$f(x) = \Omega(g(x))$$

- If there exist positive constants c and x_0 such that $cg(x) \leq f(x)$ for all $x \geq x_0$

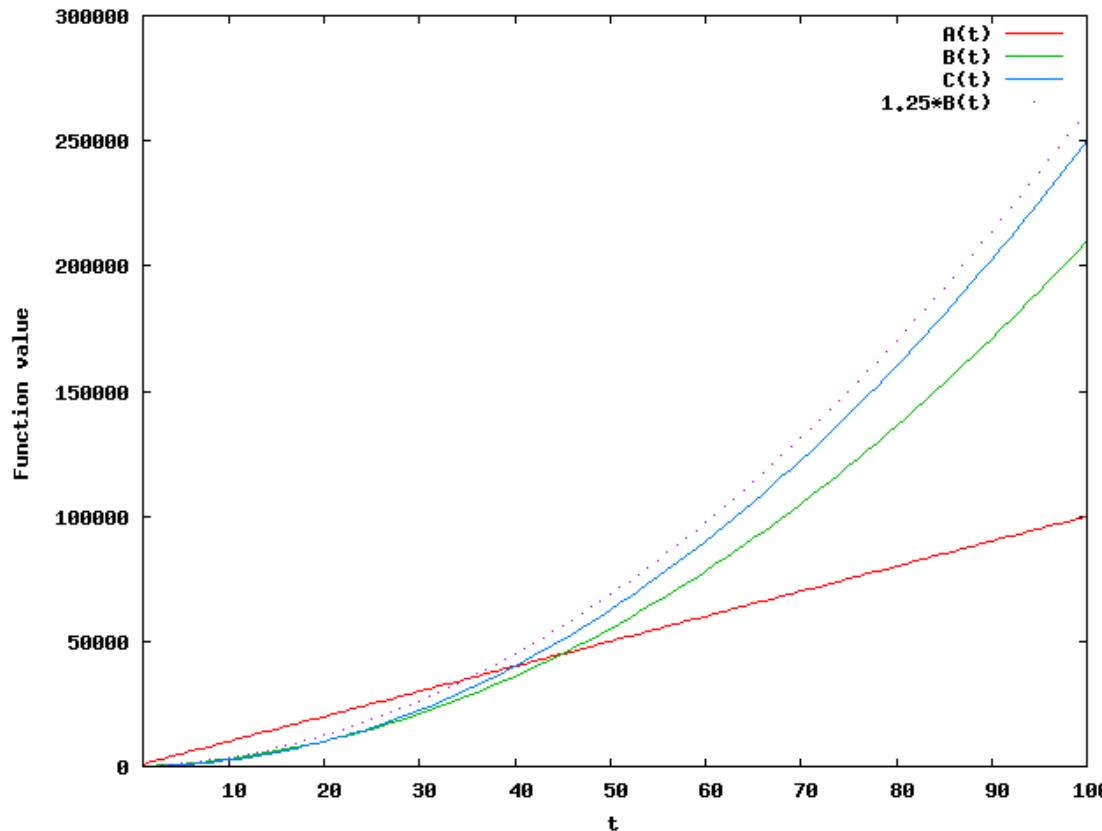
- Theta (Θ) “equals” or “goes as”:

$$f(x) = \Theta(g(x))$$

- If there exist positive constants c_1 , c_2 , and x_0 such that $c_1g(x) \leq f(x) \leq c_2g(x)$ for all $x \geq x_0$

Graph

- For $t > 45$, $B(t)$ is always greater than $A(t)$: ?



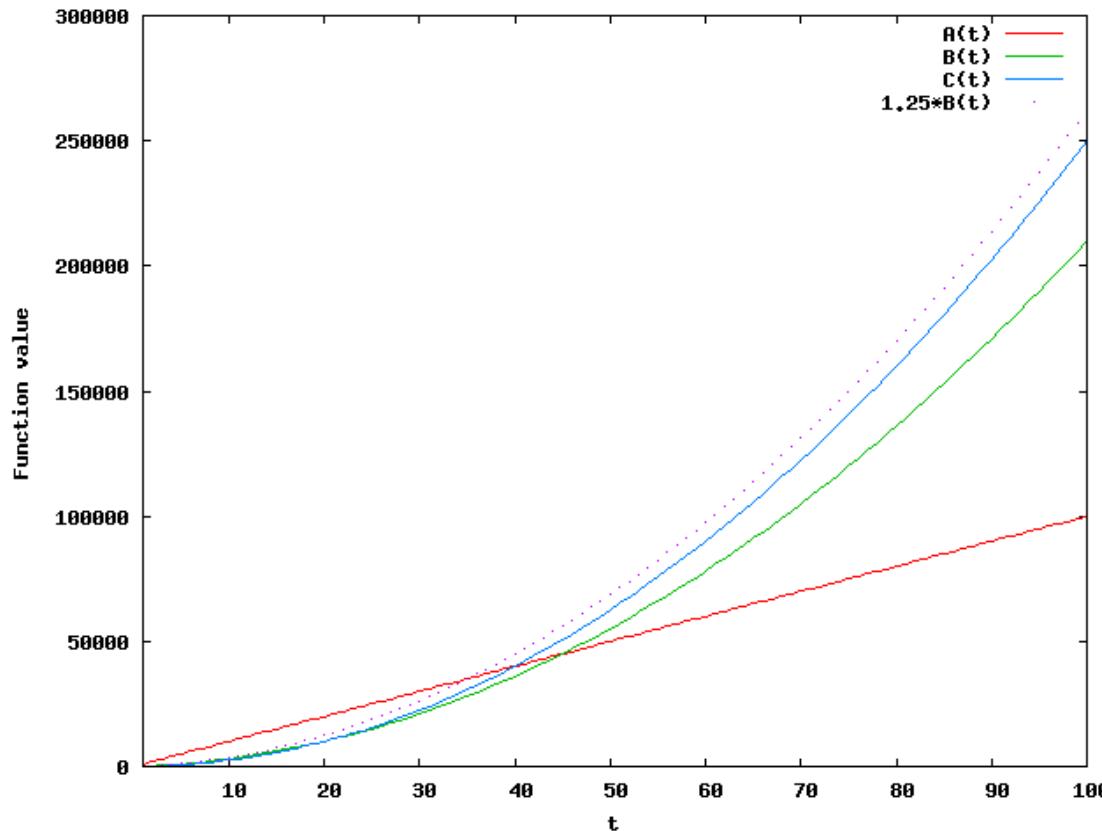
$$A(t) = 1000t$$

$$B(t) = 100t + 20t^2$$

$$C(t) = 25t^2$$

Graph

- For $t > 45$, $B(t)$ is always greater than $A(t)$: $A=O(B)$



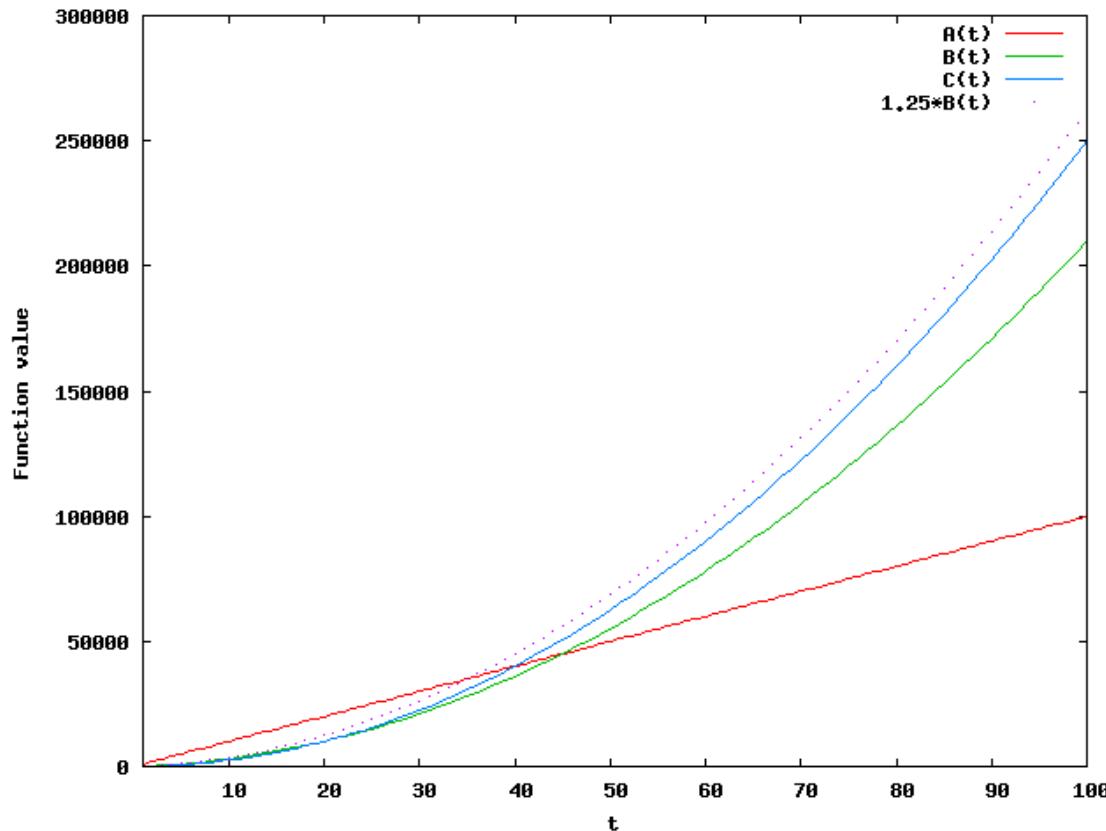
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Graph

- Can we say $B=O(A)$?



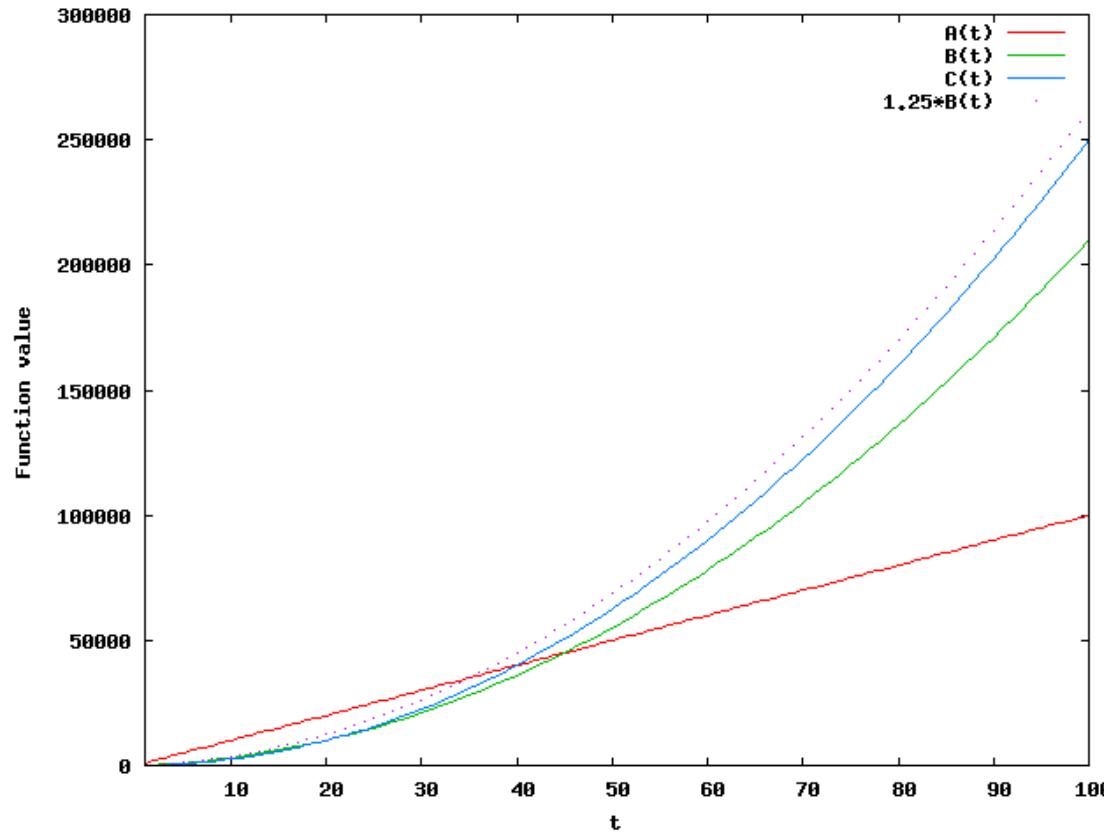
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Graph

- For $t > 20$, $B(t)$ is always less than $C(t)$: ?
- For $t > 0$, $1.25*B(t)$ is always greater than $C(t)$: ?



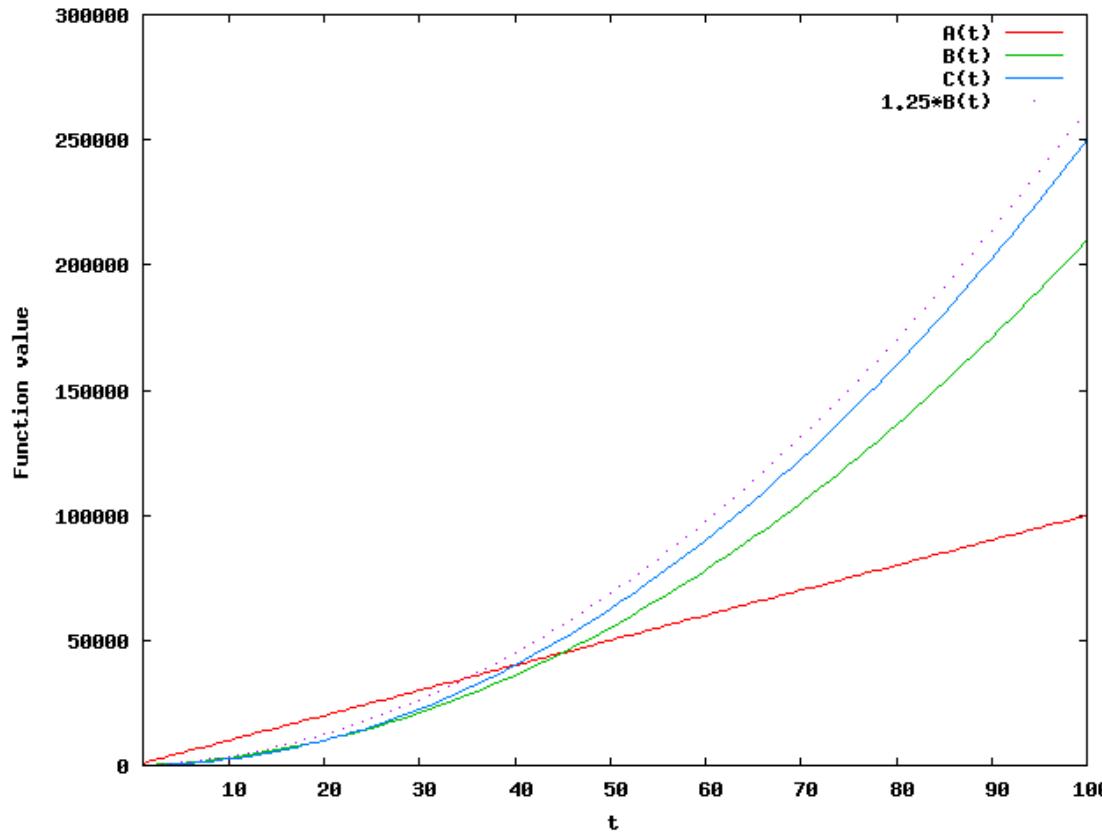
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Graph

- For $t > 20$, $B(t)$ is always less than $C(t)$: $C = \Omega(B)$
- For $t > 0$, $1.25 * B(t)$ is always greater than $C(t)$: $C = O(B)$



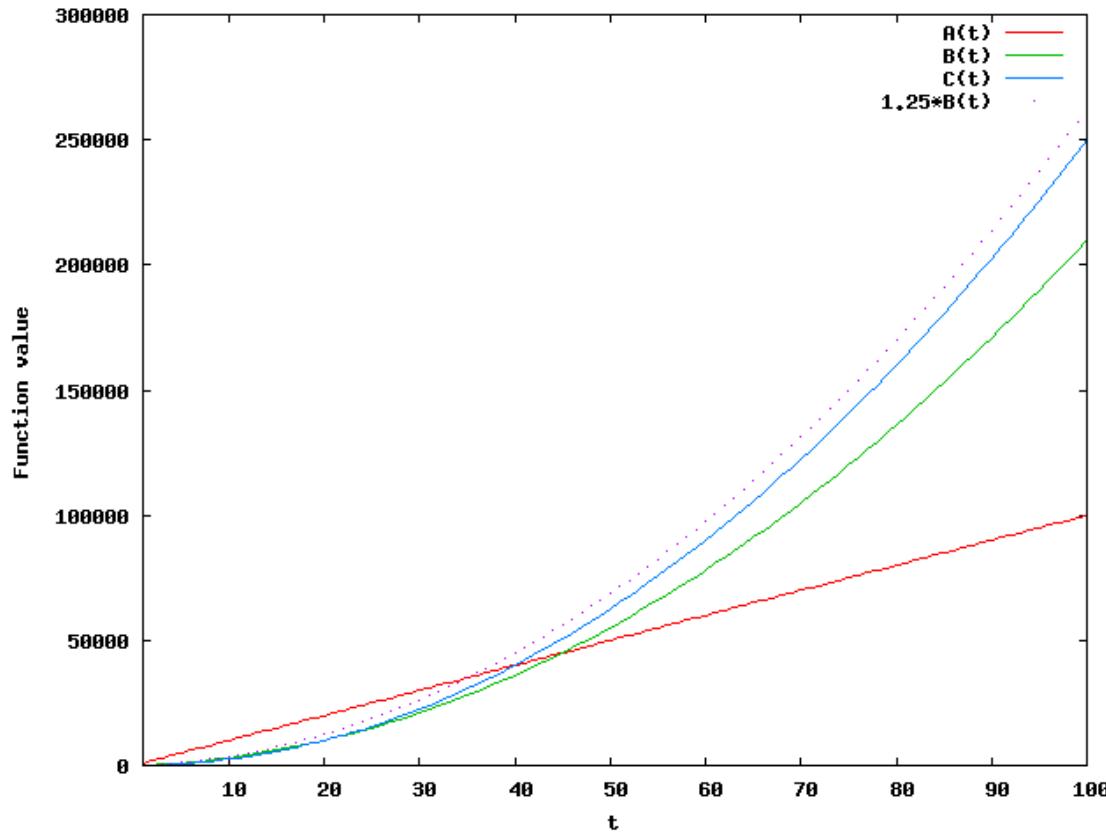
$$A(t) = 1000t$$

$$B(t) = 100t + 20t^2$$

$$C(t) = 25t^2$$

Graph

- For $t > 20$, $B(t)$ is always less than $C(t)$: $C=\Omega(B)$ $C=\Theta(B)!$
- For $t > 0$, $1.25*B(t)$ is always greater than $C(t)$: $C=O(B)$



$$A(t) = 1000t$$

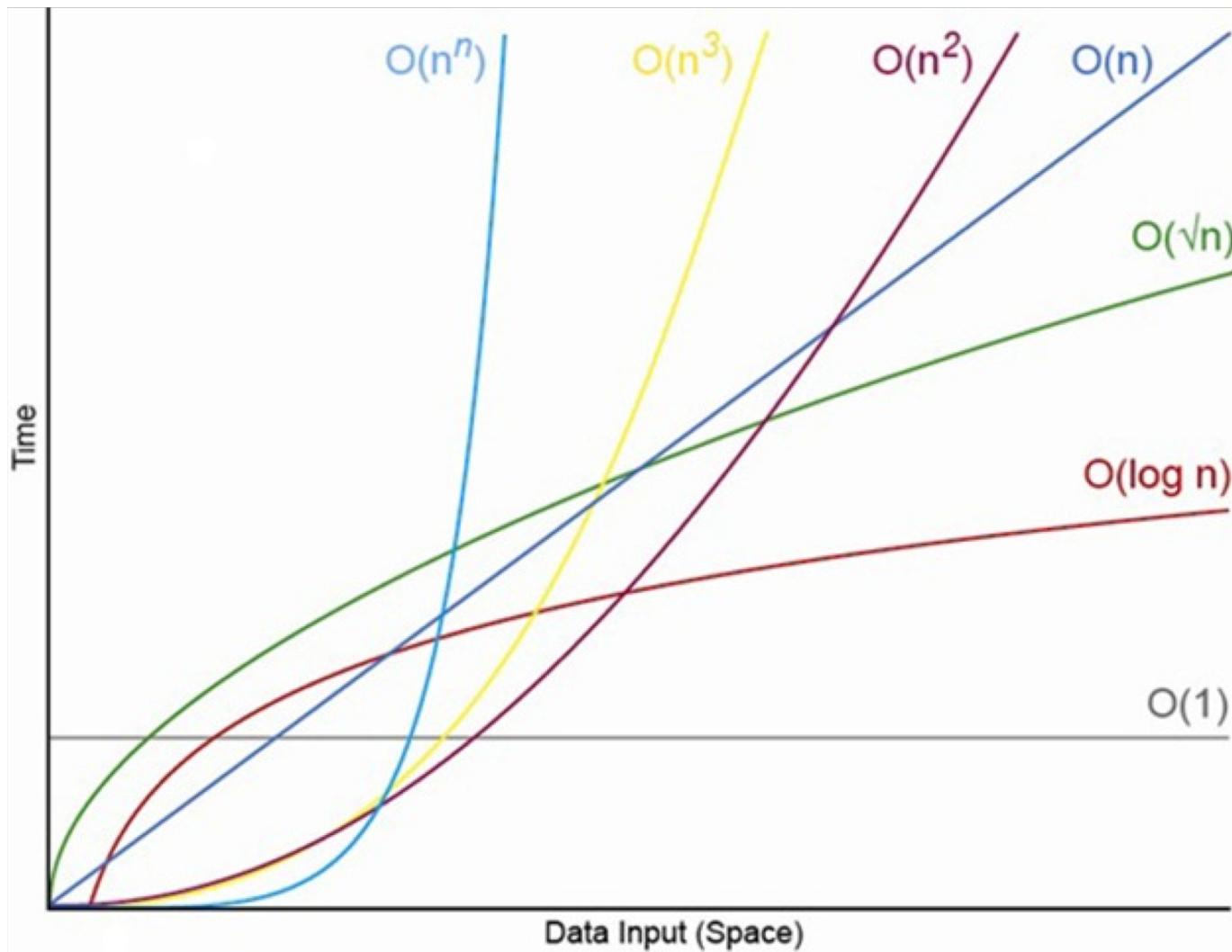
$$B(t) = 100t + 20t^2$$

$$C(t) = 25t^2$$

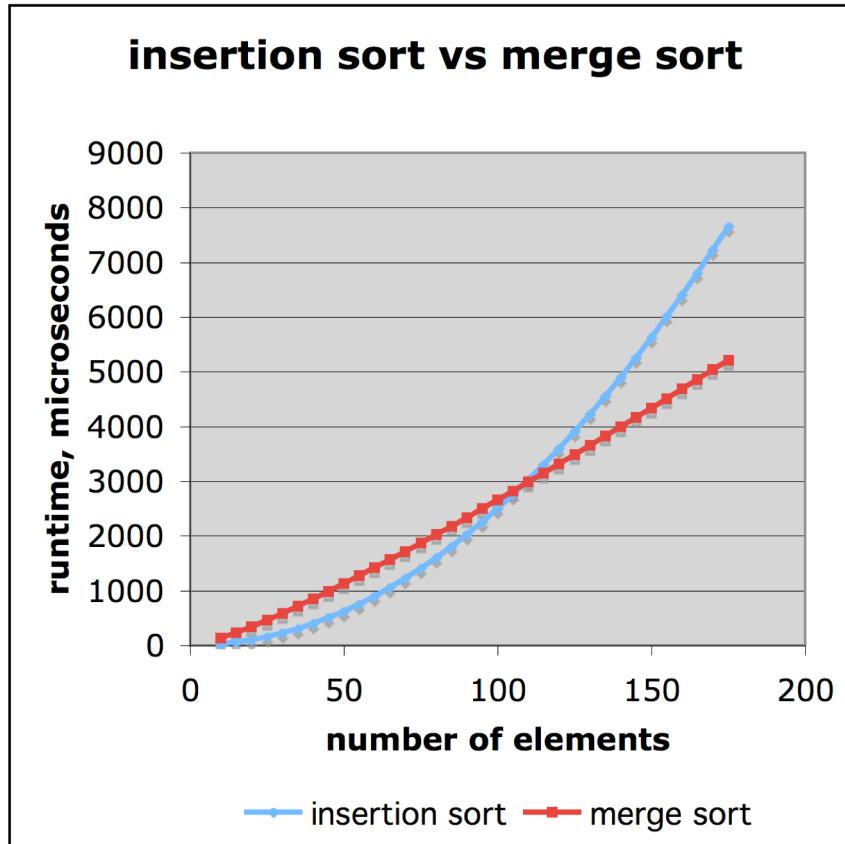
Asymptotic Complexity

- Order of magnitude
 - $O(1)$: constant
 - $O(\log n)$: logarithmic
 - $O(n)$: linear
 - $O(n \log n)$: log linear
 - $O(n^2)$: quadratic
 - $O(n^3)$: cubic
 - $O(2^n)$: exponential

Plot



Comparison of Two Algorithms



insertion sort is
 $n^2 / 4$

merge sort is
 $2 n \lg n$
sort a million items?
insertion sort takes
roughly **70 hours**
while
merge sort takes
roughly **40 seconds**

This is a slow machine, but if
100 x as fast then it's **40 minutes**
versus less than **0.5 seconds**

Running time for 1-billion-steps-per-second computer

n	$f(n)$						
	n	$n \log_2 n$	n^2	n^3	n^4	n^{10}	2^n
10	.01 μ s	.03 μ s	.1 μ s	1 μ s	10 μ s	10 s	1 μ s
20	.02 μ s	.09 μ s	.4 μ s	8 μ s	160 μ s	2.84 h	1 ms
30	.03 μ s	.15 μ s	.9 μ s	27 μ s	810 μ s	6.83 d	1 s
40	.04 μ s	.21 μ s	1.6 μ s	64 μ s	2.56 ms	121 d	18 m
50	.05 μ s	.28 μ s	2.5 μ s	125 μ s	6.25 ms	3.1 y	13 d
100	.10 μ s	.66 μ s	10 μ s	1 ms	100 ms	3171 y	$4*10^{13}$ y
10^3	1 μ s	9.96 μ s	1 ms	1 s	16.67 m	$3.17*10^{13}$ y	$32*10^{283}$ y
10^4	10 μ s	130 μ s	100 ms	16.67 m	115.7 d	$3.17*10^{23}$ y	
10^5	100 μ s	1.66 ms	10 s	11.57 d	3171 y	$3.17*10^{33}$ y	
10^6	1 ms	19.92 ms	16.67 m	31.71 y	$3.17*10^7$ y	$3.17*10^{43}$ y	

μ s = microsecond = 10^{-6} seconds; ms = milliseconds = 10^{-3} seconds

s = seconds; m = minutes; h = hours; d = days; y = years

Element Uniqueness Problem (iterative)

```
bool isUniqueLoop(const vector<int>& arr, int start, int end) {  
    if (start >= end) return true;  
    for (int i = start; i < end; i++)  
        for (int j = i+1; j <= end; j++)  
            if (arr[i] == arr[j]) return false;  
    return true;  
}
```

Element Uniqueness Problem (recursion)

```
bool isUnique(const vector<int>& arr, int start, int end) {  
    if (start >= end) return true;  
    if (!isUnique(arr, start, end-1))  
        return false;  
    if (!isUnique(arr, start+1, end))  
        return false;  
    return (arr[start] != arr[end]);  
}
```

Element Uniqueness Problem (sort)

```
bool isUniqueSort(const vector<int>& arr, int start, int end) {  
    if (start >= end) return true;  
    vector<int> buf(arr); // duplicate copy of arr  
    sort(buf.begin() + start, buf.begin() + end); // sort the subarray  
    for (int i = start; i < end; i++) // check for duplicates  
        if (buf[i] == buf[i+1]) return false;  
    return true;  
}
```

Performance Measurement

- Use timer function
 - CPU time only for serial program
 - Wall-clock time for parallel program
- $\text{total} = \text{start} - \text{stop}$
- Timer has accuracy limitation
 - 1/100 sec, etc
- Multiple runs and average gives accurate time
- Generate data for performance-specific

Timing in C

```
clock_t start, stop;  
  
start = clock(); /* set start to current time in  
                  hundredths of a second */  
  
/* code to be timed comes here */  
  
stop = clock(); /* set stop to current time */  
  
runTime = (double) (stop - start)/  
          CLOCKS_PER_SEC;
```

Multiple Runs: Problem?

```
do {  
    counter++;  
    start = clock();  
    doSomething();  
    stop = clock();  
    elapsedTime += stop - start;  
} while (elapsedTime < 1000)  
elapsedTime /= counter;
```

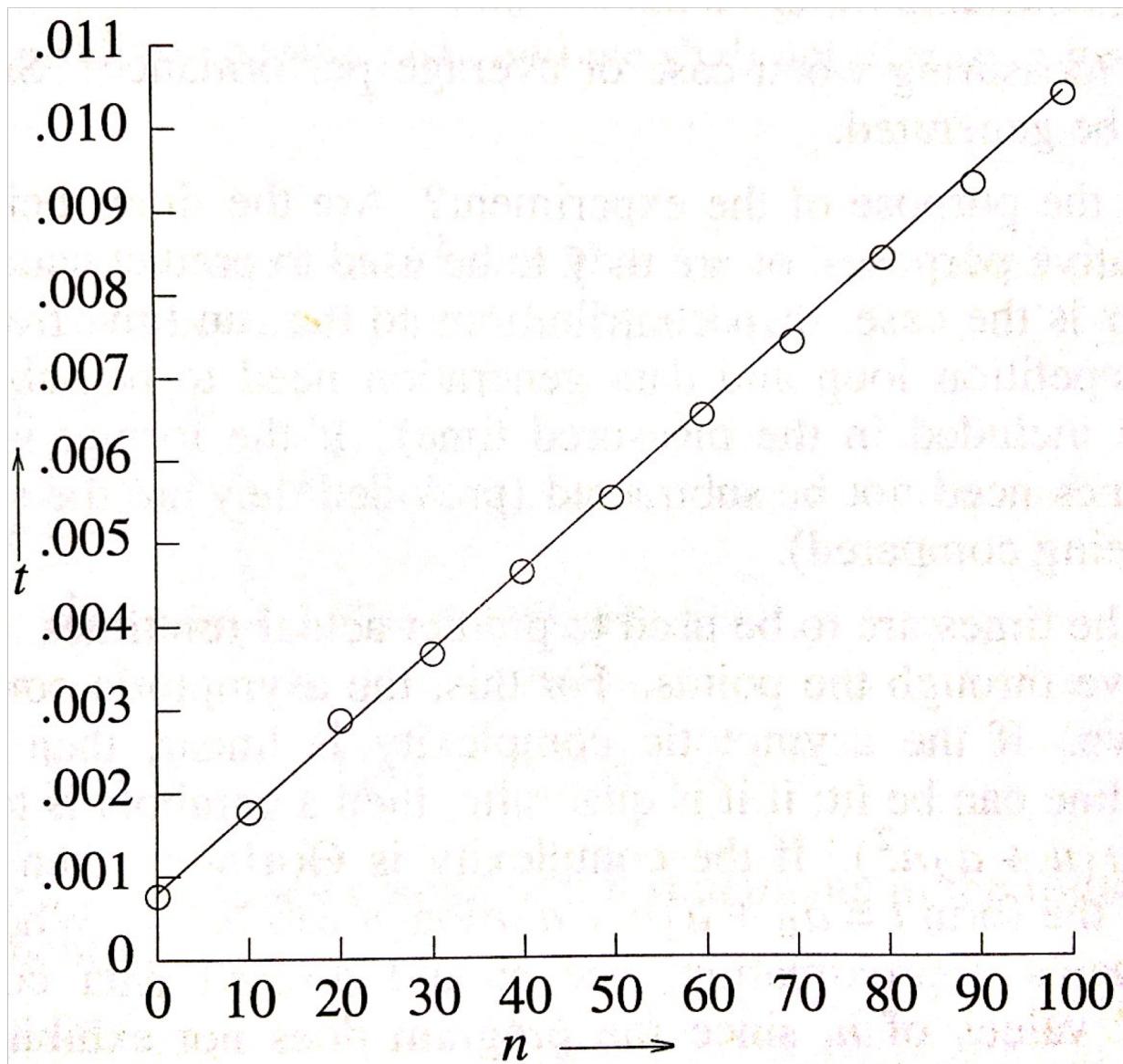
```

void TimeSearch() {
    int a[1001], n[20];           n[ ] : data size
    const long r[20] = { 300000, 300000, 200000, 200000, 100000, 100000, 100000, 80000,
                        80000, 50000, 50000, 25000, 15000, 15000, 10000, 7500, 7000, 6000, 5000, 5000 };
    for (int j = 1; j <= 1000; j++)   r[ ] : # of iterations to measure average running time
        a[j] = j;

    for (j = 0; j < 10; j++) {
        n[j] = 10 * j; n[j+10] = 100 * (j+1);
    }
    cout << " n totalTime runTime" << endl;

    for (j = 0; j < 20; j++) { // measure running time
        long start, stop;
        time(start); // start clock
        for (long b = 1; b <= r[j]; b++) {
            int k = seqsearch(a, n[j], 0);
        }
        time(stop); // stop clock
        long totalTime = stop - start;
        float runTime = (float)(totalTime)/(float)(r[j]);
        cout << " " << n[j] << " " << totalTime << " " << runTime << endl;
    }
    cout << "Times are in hundredths of a second." << endl;
}

```



Questions?