## **CS4247 Graphics Rendering Techniques**

Semester 2, 2015/2016

# **Lecture 1 Raster Graphics Pipeline**

School of Computing National University of Singapore

#### **Lecture Outline**

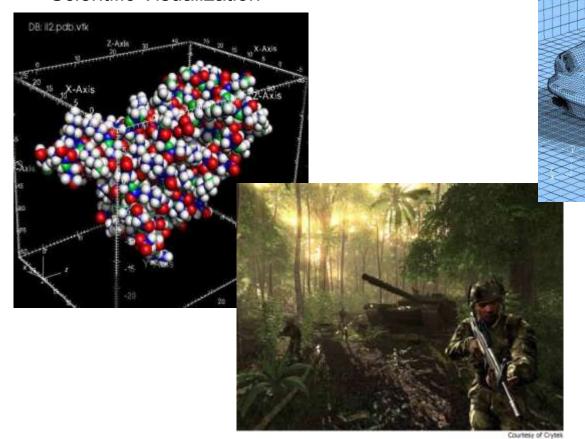
- Polygonal representation of 3D objects
  - □ (Watt 2000 Sec 2.1)

- Raster graphics pipeline
  - (Watt 2000 Chapter 5 & 6)

### **Example Applications**

Real-time interactive 3D graphics

Scientific Visualization

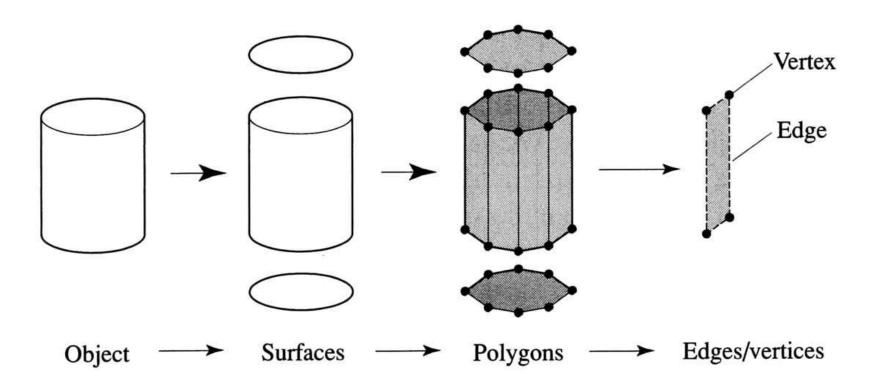


3D design



3D games

3D objects are approximated by a net or <u>mesh</u> of planar polygonal facets



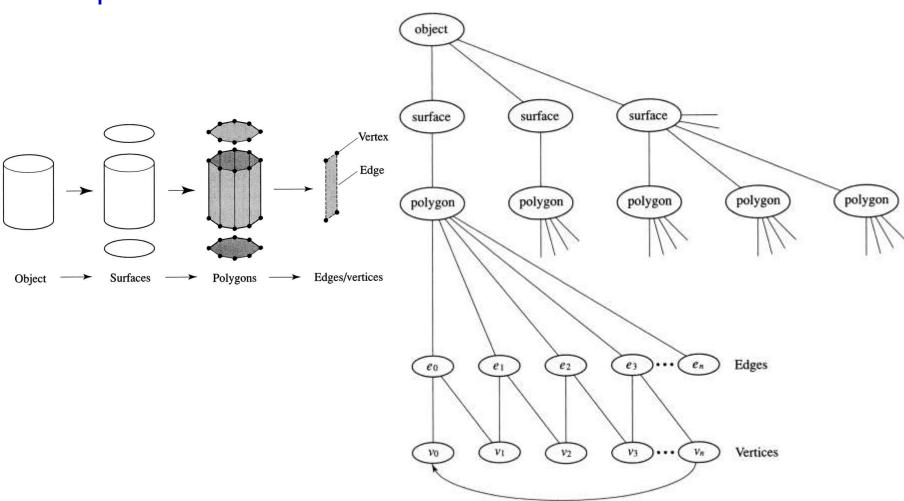
- Polygonal representation and rendering is currently the mainstream for real-time 3D graphics
- Advantages
  - Simplicity & generality
  - Simple & effective shading algorithms available to reduce faceted appearance
  - Well-supported by current commodity graphics hardware
    - Video processors (GPUs) made by NVidia and AMD (ATI)
    - Popular game consoles, e.g. Sony PlayStation 1/2/3/4, MS XBox/360/One, Nintendo Wii

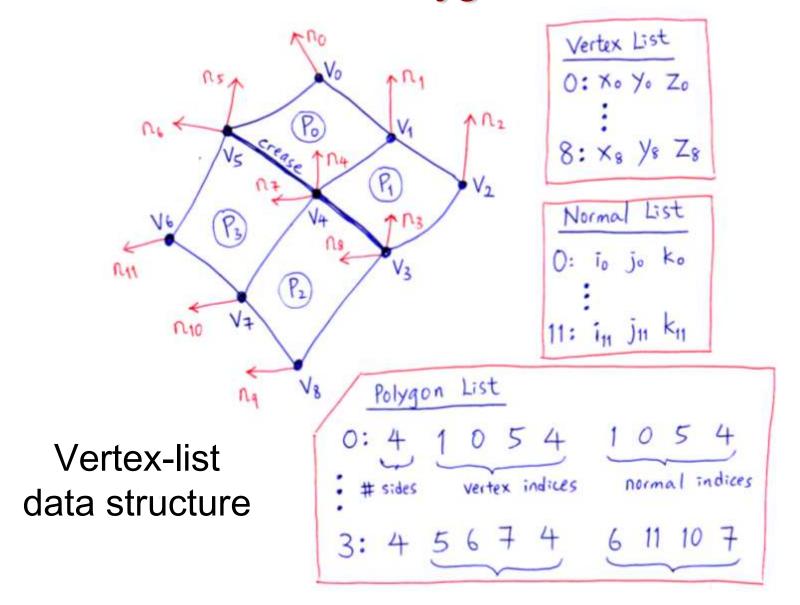
#### Disadvantages

- Inaccuracy of representation
- Complex objects (esp. with curved surfaces) need large number of polygons, but is wasteful when objects are projected to only a few pixels on screen
- Not suitable for interactive manipulation and free-form sculpting of models

- The simplest is stored each polygon independently with a list of (x, y, z) coordinates that are the polygon vertices
  - Wasteful because vertices shared by multiple polygons are stored multiple times
  - Inefficient for geometric queries and manipulations
    - Find all faces adjacent to a face/vertex
    - Find all vertices adjacent to a face/vertex

Represent as a hierarchical structure

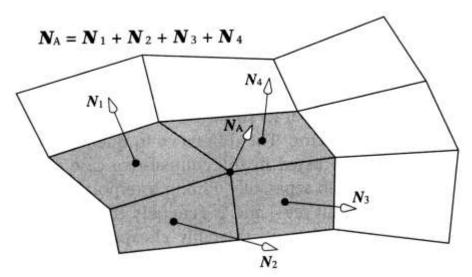




- Winged-edge data structure
  - Can represent holes
  - Efficient for many geometric queries and manipulations, e.g.
    - Find all faces adjacent to a face/vertex
    - Find all vertices adjacent to a face/vertex

#### **Vertex Normals**

- Each polygon vertex is associated a vertex normal
  - For computation of light reflection intensity at the vertex
  - For "flat" shading, use polygon normal as vertex normal
  - For "smooth" shading,
    - use "true" surface normal (analytical) at the vertex, or
    - use average polygon normals of polygons sharing the vertex

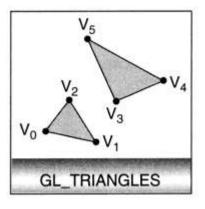


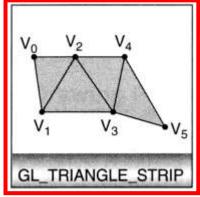
#### Sending Polygons for Rendering

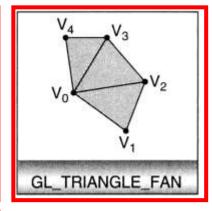
It is inefficient to send polygons independently to the graphics pipeline for rendering

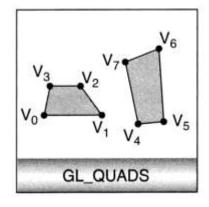
OpenGL provides functions to reduce sending duplicate

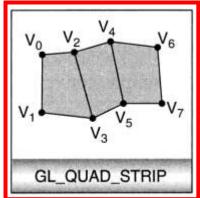
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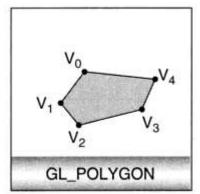








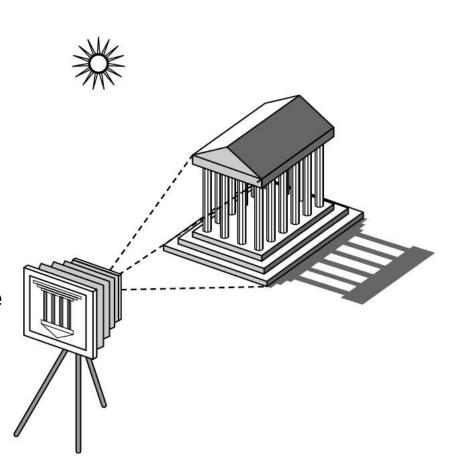




## **OpenGL Rendering Pipeline**

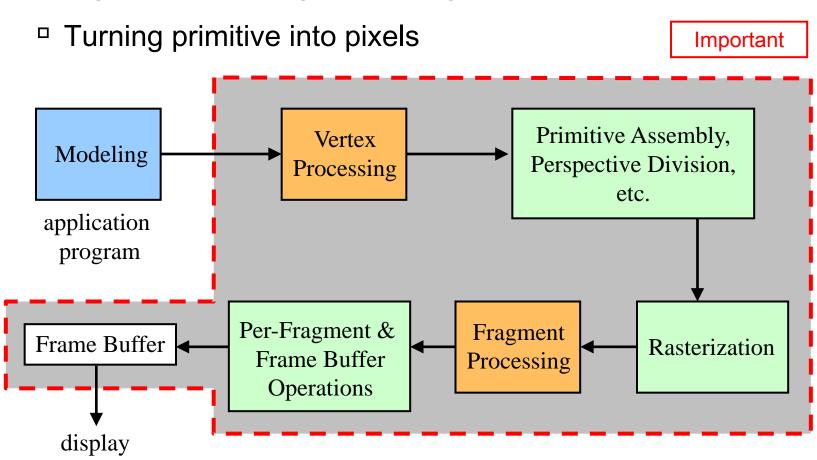
#### **Elements of Image Formation**

- Objects
- Viewer
- Light source(s)
- Materials
  - Attributes that govern how light interacts with the materials in the scene



#### Basic OpenGL 3D Rendering Pipeline

 To render a primitive using OpenGL, the primitive goes through the following main stages



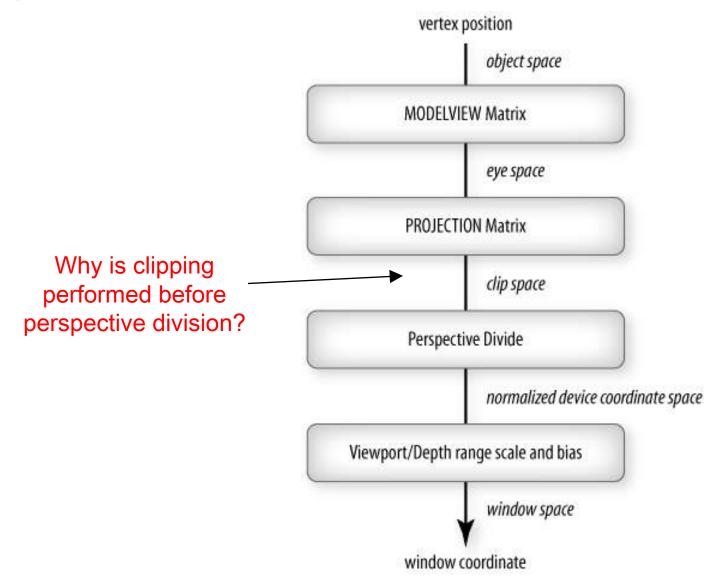
### Modeling

- Performed in the application program
- Sets up the OpenGL states (graphics/render context)
  - Light sources, materials, textures, view/projection, etc.
- Provides vertices to specify geometric primitives
  - Each vertex can be associated with attributes
    - Color, vertex normal, texture coordinates, etc.
  - Vertices and attributes can be provided using <u>vertex array</u>
     or <u>display list</u>
- May perform geometric processing to reduce amount of geometric data passed to rendering pipeline
  - E.g., view-frustum culling, occlusion culling

#### **Vertex Processing**

- The fixed-functioned stage performs
  - Vertex transformation
    - View transformation and projection
  - Normal transformation and normalization
    - Into camera/view/eye space
  - Texture coordinate generation
  - Texture coordinate transformation
  - Lighting computation
    - Computed in eye space
    - Resulting color replaces input vertex color attribute
  - Color material application
- Programmable by GLSL (vertex shader)

## OpenGL Geometric Transformation Stages

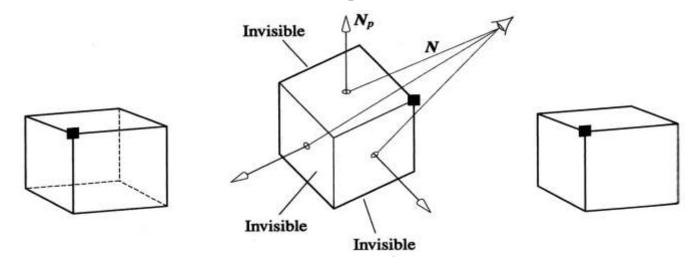


#### Primitive Assembly, etc.

- Primitive assembly
  - Vertex data is collected into complete primitives
  - Necessary for <u>clipping</u> and <u>back-face culling</u>
- Clipping
- Perspective division
  - To normalized device coordinate (NDC) space
- Viewport transformation
  - To windows space
  - Include depth range scaling
- Back-face culling

#### **Back-Face Culling / Elimination**

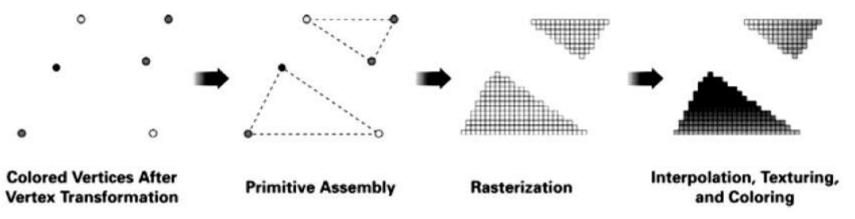
- Eliminate a polygon if it is back-facing and invisible
  - $^{\square}$  Polygon is back-facing if  $\mathbf{N}_{\mathtt{p}}\cdot\mathbf{N}<0$



- By convention, when front-face of a polygon is visible, its vertices are listed in counter-clockwise order
- In OpenGL, back-face culling is performed in the window space by computing the <u>signed</u> 2D area of the polygon

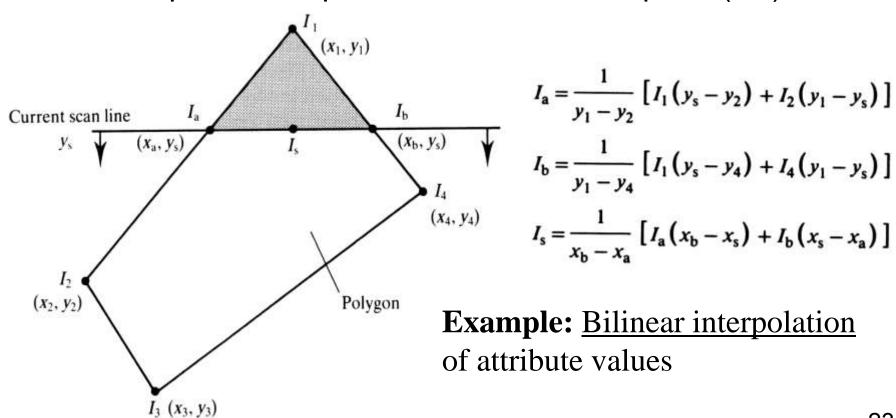
#### Rasterization (Scan Conversion)

- If geometric primitive is not clipped out, the appropriate pixels in the frame buffer must be assigned colors
- Rasterizer produces a set of <u>fragments</u> for each primitive
  - Fragments are "potential pixels"
    - Has a pixel/fragment location (in window space)
    - Has color and depth attributes (and others)
- Vertex attributes are interpolated over the primitive

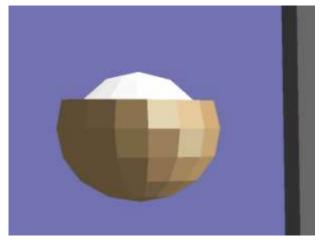


#### Interpolation of Vertex Attributes

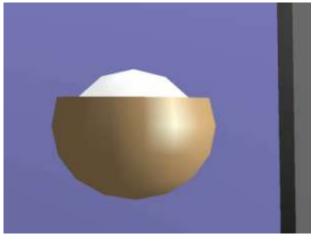
- Attribute values at fragments are computed by interpolating attribute values assigned to vertices
  - Interpolation is performed in window space (2D)



#### **Example: Color Interpolation**



Flat Shading
No interpolation of vertex colors

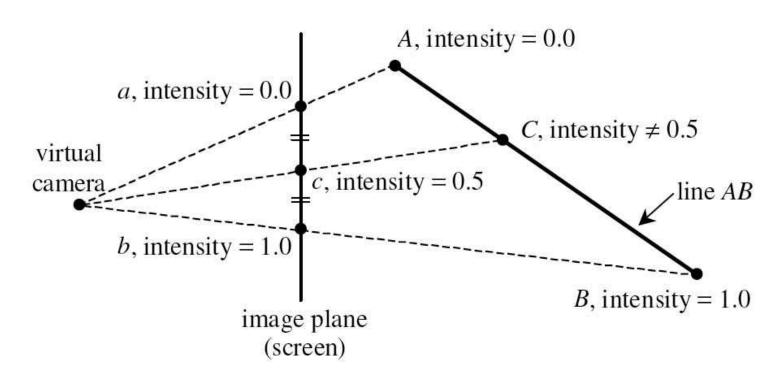


**Smooth (Gouraud) Shading** Interpolation of vertex colors

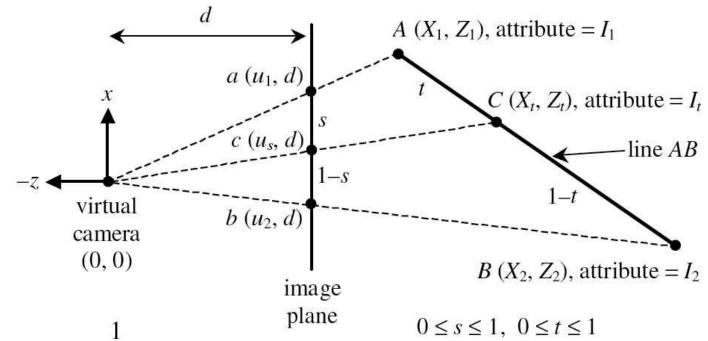
- When flat shading, only <u>vertex color and secondary color</u> are "flat", all other attributes are still smooth
  - Flat shading uses color of last vertex of the primitive
- Other vertex attributes that are interpolated
  - Z-value (depth), texture coordinates

#### Perspective-Correct Interpolation

- Note that direct bilinear interpolation in window space does not produce perspectively-correct result
  - Bilinearly varying attribute value in 3D space in general does not vary bilinearly in 2D window space



#### Perspective-Correct Interpolation



$$Z_{t} = \frac{1}{\frac{1}{Z_{1}} + s \left(\frac{1}{Z_{2}} - \frac{1}{Z_{1}}\right)}$$

$$I_{t} = \left(\frac{I_{1}}{Z_{1}} + s\left(\frac{I_{2}}{Z_{2}} - \frac{I_{1}}{Z_{1}}\right)\right) / \frac{1}{Z_{t}}$$

Refer to technical report "Perspective-Correct Interpolation" at

http://www.comp.nus.edu.sg/~lowkl/publications/l
owk\_persp\_interp\_techrep.pdf

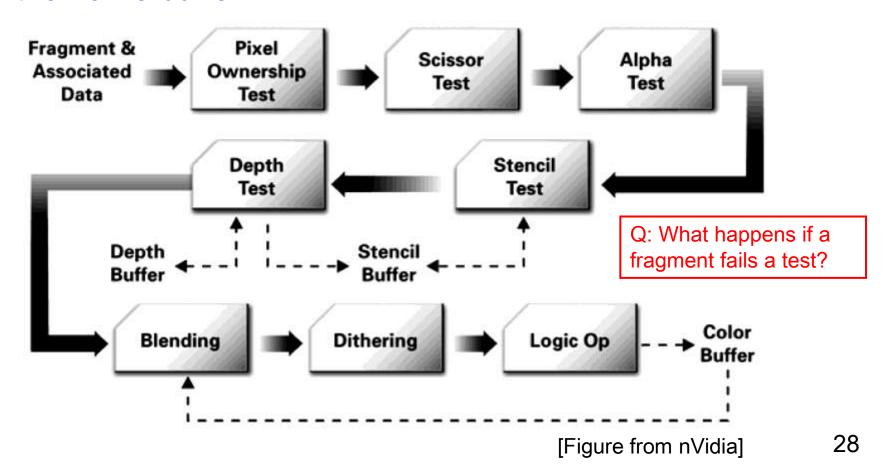
#### **Fragment Processing**

Q: What is a fragment? Where are they from?

- Each generated fragment is processed to determine the color of the corresponding pixel in the frame buffer
- The fixed-functioned stage performs
  - Texture access (using interpolated texture coordinates)
    - May access multiple texture maps using multiple sets of texture coordinates (<u>multi-texture</u>)
  - Texture application
    - Texture color can be combined with the fragment color of the primitive (or with result from applying previous layer of texture)
    - Example: replace, modulate, decal, blend
  - Color sum
    - Combining primary and secondary colors
- Programmable by GLSL (fragment shader)

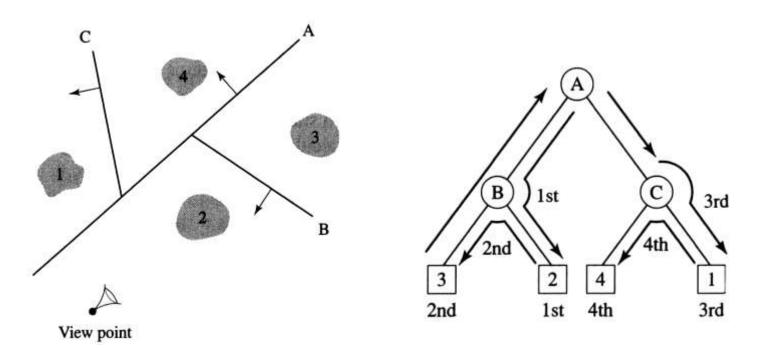
#### **Per-Fragment Operations**

After fragment processing, each resulting fragment is submitted to a set of simple operations before reaching the frame buffer



#### Hidden Surface Removal Using BSP Trees

- A binary space partitioning (BSP) tree partitions the scene (polygons) into hierarchical spatial regions
- Can be used to find the visibility order of the polygons from a given viewpoint
  - Allow back-to-front rendering of the polygons (no need z-buffer)

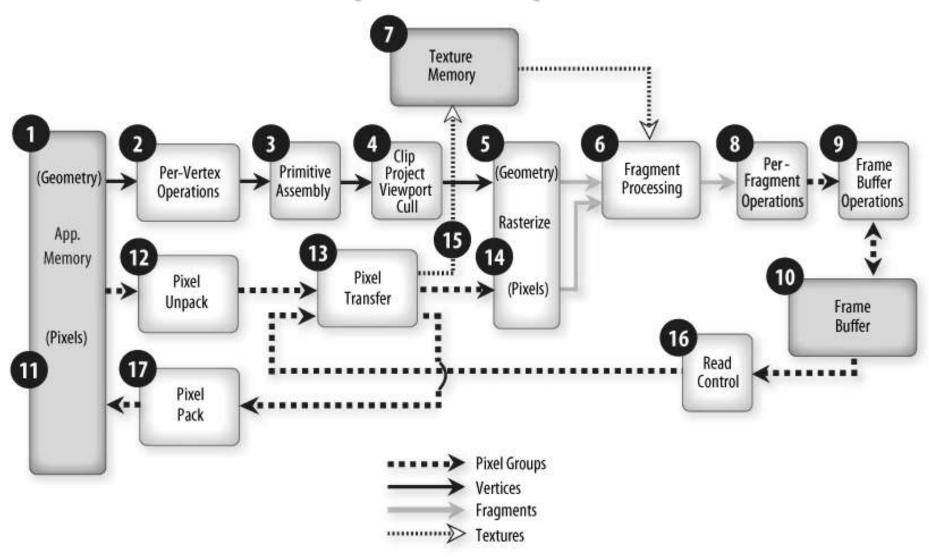


#### Frame Buffer Operations

- Operations that affect or control whole frame buffer
  - Example
    - Target color buffer (e.g. front or back buffer)
    - Enable/disable writes to color channels
    - Enable/disable writes to depth buffer
    - Enable/disable writes to stencil buffer bitplanes

Important for some rendering algorithms. E.g. shadow volume algorithm enables/disables writes to depth and color buffers.

#### More Detailed OpenGL Pipeline



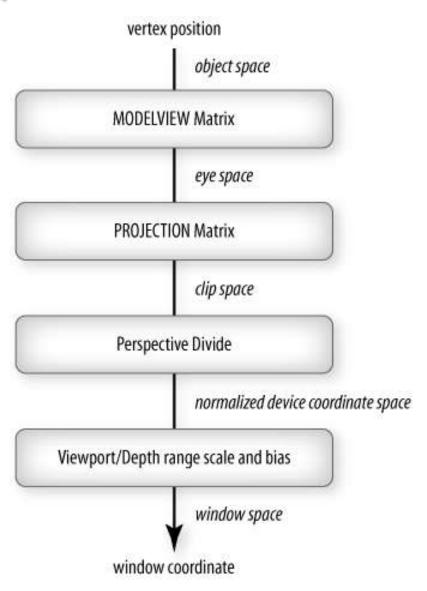
#### **Images and Bitmaps**

- OpenGL also has support for color/gray images (<u>pixel</u> rectangles) and bitmaps
  - Images and bitmaps from application can be rasterized to the frame buffer
  - Images in frame buffer can be read by application
  - Images from application can be read into texture memory
  - Images in frame buffer can be read into texture memory

Read OpenGL "Redbook", Chapter 8 for more details

# OpenGL Geometric Transformations

#### More About OpenGL Geometric Transformations



#### OpenGL Code – Example 1

```
void display( void ) {
  glviewport( 0, 0, 800, 600 );
  glClear( GL COLOR BUFFER BIT | GL DEPTH BUFFER BIT );
  glMatrixMode( GL PROJECTION );
  glLoadIdentity();
  gluPerspective( viewerFovY, viewerAspect, viewerNear, viewerFar );
  glMatrixMode( GL MODELVIEW );
  glLoadIdentity();
  gluLookAt( viewerPosX, viewerPosY, viewerPosZ,
             lookAtX, lookAtY, lookAtZ, upX, upY, upZ);
  glTranslate3d( 100.0, 200.0, 300.0 );
  glScale3d( 3.0, 3.0, 3.0);
  glBegin(GL QUADS);
    glColor3d( 1.0, 0.0, 0.0 ); glVertex3d( 0.0, 0.0, 0.0 );
    glColor3d( 0.0, 1.0, 0.0 ); glVertex3d( 1.0, 0.0, 0.0 );
   glColor3d( 0.0, 0.0, 1.0 ); glVertex3d( 1.0, 1.0, 0.0 );
    glColor3d( 1.0, 1.0, 1.0 ); glVertex3d( 0.0, 1.0, 0.0 );
  glEnd();
  glutSwapBuffers();
```

## OpenGL Code – Example 2

```
void display( void ) {
  glviewport( 0, 0, 800, 600 );
  glClear( GL COLOR BUFFER BIT | GL DEPTH BUFFER BIT );
  glMatrixMode( GL PROJECTION );
  glLoadIdentity();
  gluPerspective( viewerFovY, viewerAspect, viewerNear, viewerFar );
  glMatrixMode( GL MODELVIEW );
  glLoadIdentity();
  gluLookAt( viewerPosX, viewerPosY, viewerPosZ,
             lookAtX, lookAtY, lookAtZ, upX, upY, upZ);
 glPushMatrix();
    glTranslate3d( 100.0, 200.0, 300.0 );
    glScale3d( 3.0, 3.0, 1.0 );
    glColor3d( 1.0, 0.0, 0.0 ); // Red
    drawUnitSquare();
                                               void drawUnitSquare( void ) {
  glPopMatrix();
                                                glBegin(GL QUADS);
                                                  glVertex3d( 0.0, 0.0, 0.0 );
 glPushMatrix();
                                                  glVertex3d( 1.0, 0.0, 0.0 );
    glTranslate3d( 400.0, 400.0, 500.0 );
                                                  glVertex3d( 1.0, 1.0, 0.0 );
    glScale3d( 6.0, 6.0, 1.0 );
                                                  glVertex3d( 0.0, 1.0, 0.0 );
    glColor3d( 0.0, 1.0, 0.0 ); // Green
                                                glEnd();
    drawUnitSquare();
  glPopMatrix();
  glutSwapBuffers();
```

# Local / Modeling / Object Coordinates

- Each object model has its own local coordinate frame
  - The coordinates of the vertices and vertex normals are specified with respect to the local coordinate frame
- Convenient for modeling of the object, e.g.
  - A sphere is centered at the origin
  - A cube with a corner located at the origin and edges parallel to the coordinate axes and

#### **World Coordinates**

- A common coordinate frame for all objects to form the scene to be rendered
  - Each object is transformed from its local space to a common world space
  - Vertex normals must also be transformed
- Lights are defined in this space
  - Positions and directions
- Camera pose is defined in this space

#### **Camera Coordinates**

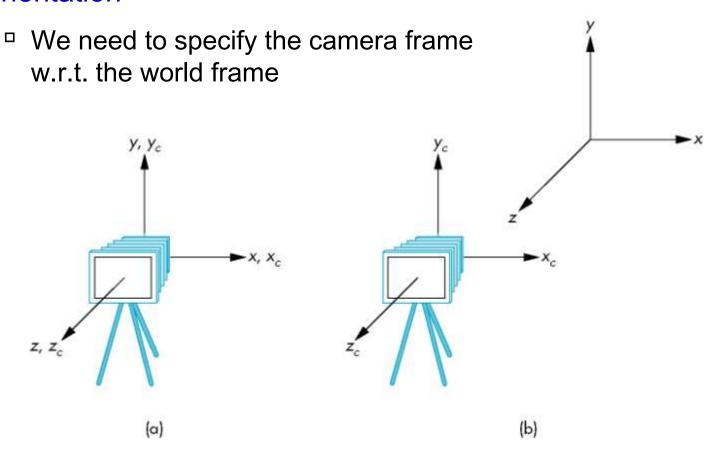
- The camera has a local coordinate frame, called the camera coordinate frame
  - Camera is located at the origin
  - Looking in negative z direction
  - □ +y-axis is the "up-vector"
- All projections are w.r.t.
   the camera frame
- Initially the world and camera frames are the same
  - Default model-view matrix is an identity



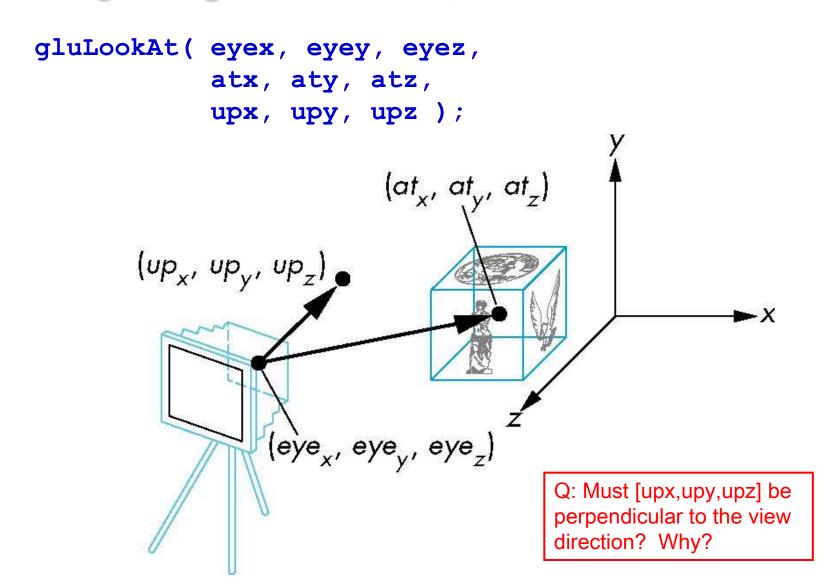
 To specify camera pose, we need to specify the camera coordinate frame w.r.t. the world coordinate frame

# **Specifying Camera Pose**

- By default, the camera frame coincides with the world frame
- Often, we want to put the camera at other location and orientation

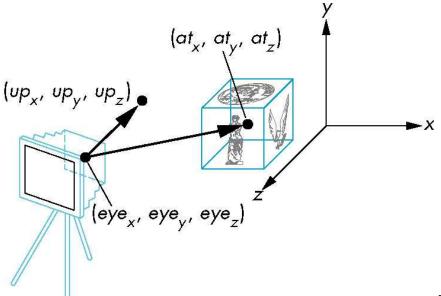


## Using the gluLookAt() Function



#### The gluLookAt() Function

- Note that it does not directly specify the camera frame axes vectors  $\mathbf{u}$ ,  $\mathbf{v}$ ,  $\mathbf{n}$  (correspond to  $x_c$ ,  $y_c$ ,  $z_c$  axes)
- The "up-vector" may not be perpendicular to the view direction
- The vectors **u**, **v**, **n** can be derived as follows
  - $\mathbf{n} = \text{normalize}(\mathbf{eye} \mathbf{at})$
  - $\mathbf{u} = \text{normalize}(\mathbf{up}) \times \mathbf{n}$
  - $\Box$   $\mathbf{v} = \mathbf{n} \times \mathbf{u}$



#### The gluLookAt() Function

- Conceptually, it positions the camera at the required location and orientation (w.r.t. the world frame)
- Internally, it generates a transformation matrix that can be used to express all points in the world frame w.r.t. the camera frame
- This is called view transformation
- This view transformation matrix is post-multiplied to the current model-view matrix
- In OpenGL, the view transformation matrix is normally the last transformation in the model-view matrix

```
glMatrixMode( GL_MODELVIEW ):
glLoadIdentity();
// specify view transformation matrix here;
...
```

#### **View Transformation**

- All points in the world frame are expressed w.r.t. the camera frame
  - Can be performed using a 4x4 matrix
  - It is made up of a translation first, then a rotation
    - $\bullet \mathbf{M}_{\text{view}} = \mathbf{R} \mathbf{T}$
  - The translation T moves the camera position back to the world origin
  - The rotation R rotates the axes of the camera frame to coincide with the corresponding axes of the world frame
  - $^{\square}$  Multiply all points in the world frame by  $M_{\rm view}$  and they will be expressed w.r.t. to the camera frame
  - Why view transformation?

# **Deriving View Transformation Matrix**

Suppose the camera has been moved to the location  $[e_x, e_y, e_z]^T$ , and its  $x_c$ ,  $y_c$ ,  $z_c$  axes are the unit vectors  $\mathbf{u}$ ,  $\mathbf{v}$ ,  $\mathbf{n}$ , respectively, then

$$\mathbf{M}_{\text{view}} = \begin{bmatrix} u_x & u_y & u_z & 0 \\ v_x & v_y & v_z & 0 \\ n_x & n_y & n_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 0 & 0 & -e_x \\ 0 & 1 & 0 & -e_y \\ 0 & 0 & 1 & -e_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Note that  $[e_x, e_y, e_z]^T$  and  $\mathbf{u}$ ,  $\mathbf{v}$ ,  $\mathbf{n}$  are all specified w.r.t. to the world frame

Q: Why use homogeneous coordinates in computer graphics?

#### **Transformation of Normal Vectors**

When vertices are transformed by a transformation M, how should the normal vectors be transformed?

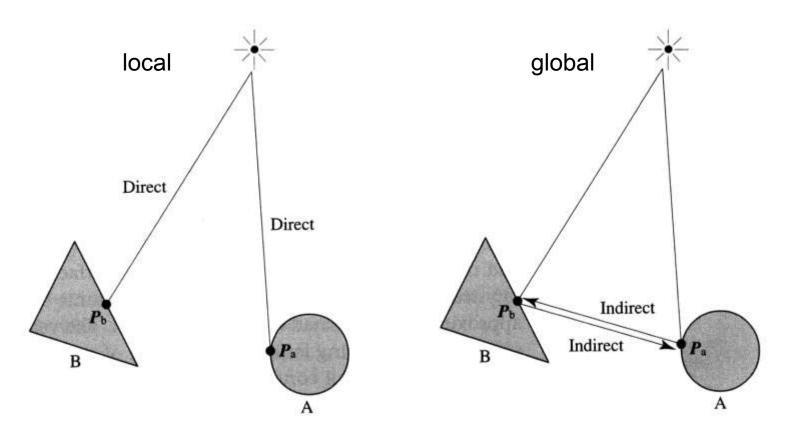
$$\square \mathbf{M}_{n} = (\mathbf{M}_{t}^{-1})^{\mathrm{T}}$$

- where M<sub>t</sub> is the upper-left 3x3 submatrix of M
- What is M<sub>n</sub> if M<sub>t</sub> is just a rotation?

Note that the matrix  $\mathbf{M}_{n}$  is computed by OpenGL automatically.

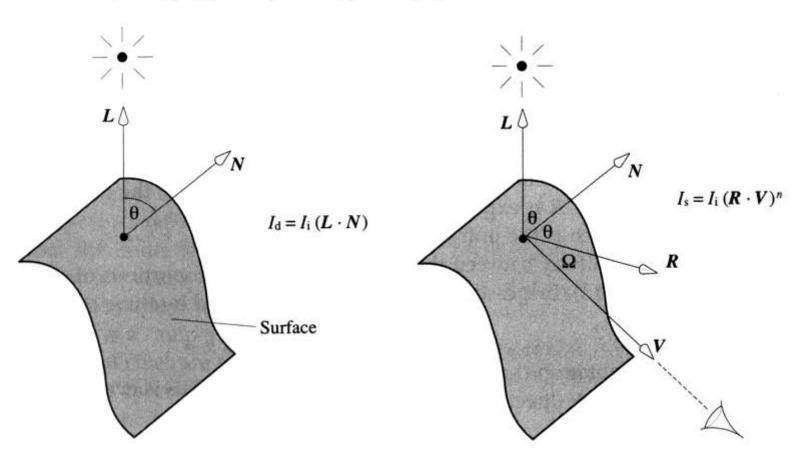
# **Lighting Computation in Eye Space**

- Calculate reflected light intensity at each vertex
  - Using a local reflection model, e.g. Phong Model



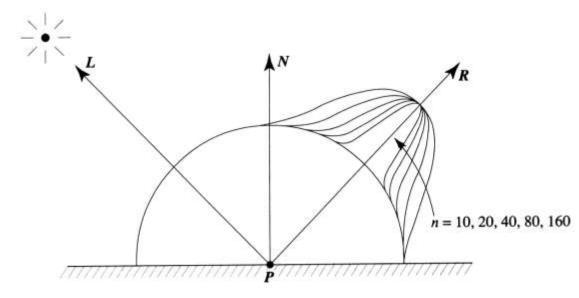
## **Phong Reflection Model**

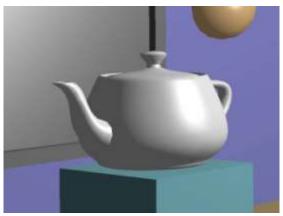
$$I = k_a I_a + I_i(k_d(\boldsymbol{L} \cdot \boldsymbol{N}) + k_s(\boldsymbol{R} \cdot \boldsymbol{V})^n)$$



# Phong Reflection Model

$$I = k_a I_a + I_i(k_d(\boldsymbol{L} \cdot \boldsymbol{N}) + k_s(\boldsymbol{R} \cdot \boldsymbol{V})^n)$$



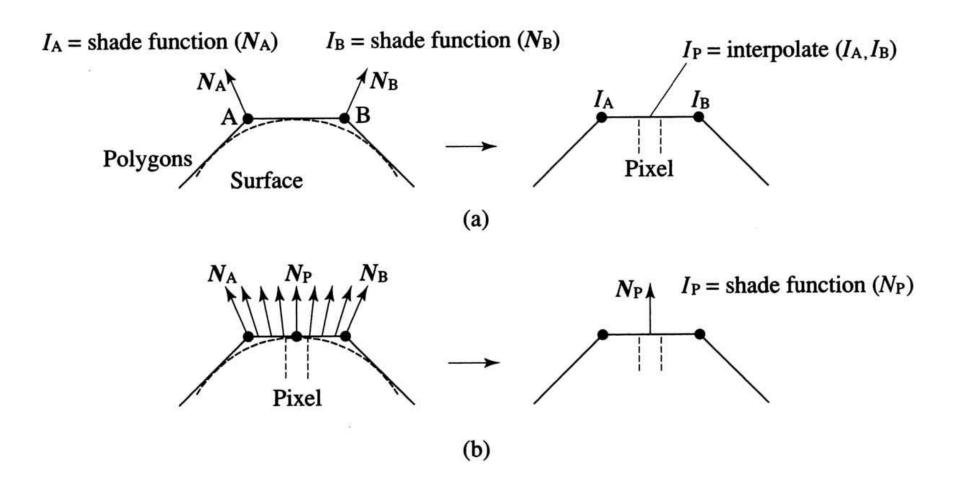


small exponent *n* 

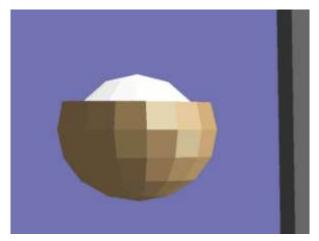


large exponent *n* 

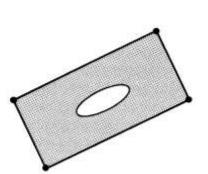
# **Gouraud & Phong Shading**



## Gouraud & Phong Shading



Flat Shaded



Gouraud shading may miss highlight in the interior of polygon.



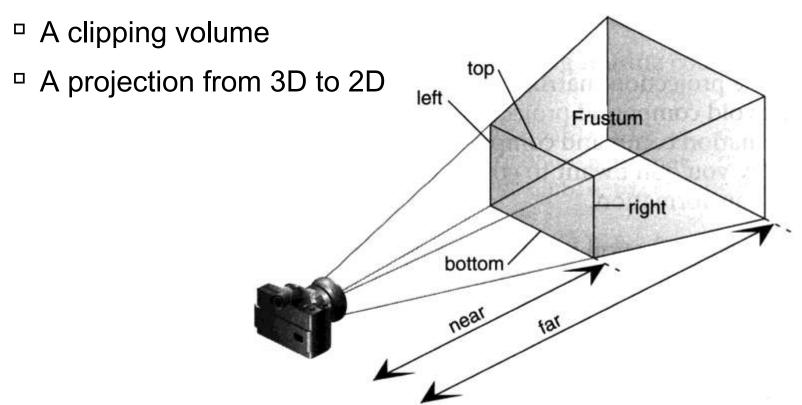
**Gouraud Shaded** 



Phong Shaded

# **Projections**

- Specifying a view volume for projection
  - Specified w.r.t. the eye space
  - 3D region of the scene to appear in the rendered image



# **Projections**

- In OpenGL, after a vertex is multiplied by the model-view matrix, it is then multiplied by the projection matrix
- The projection matrix is a 4x4 matrix that defines the type of projection
- The projection matrix can be specified by first defining a view volume (or clipping volume) in the camera frame
  - For orthographic projection, use glortho()
  - For perspective projection, use glfrustum()

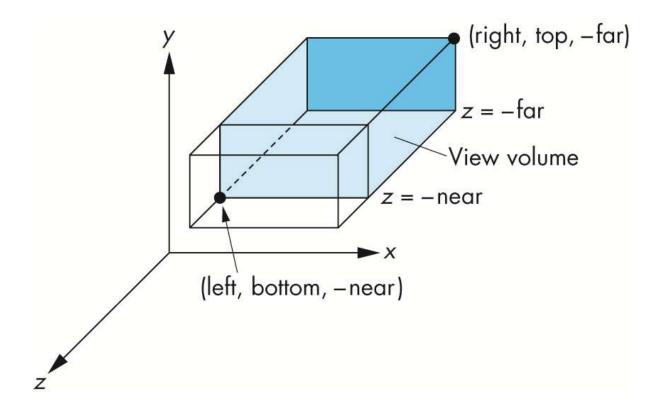
## **Projections**

- A projection matrix is then computed such that it maps points in the view volume to a canonical view volume
  - The canonical view volume is the 2 x 2 x 2 cube defined by the planes  $x = \pm 1$ ,  $y = \pm 1$ ,  $z = \pm 1$
  - Also called the *Normalized Device Coordinates* (NDC)
- Some criteria
  - Preserve depth order (in z coordinate)
  - Preserve lines
- The canonical view volume is then mapped to the viewport (viewport transformation)

## OpenGL Orthographic Projection

 Can be specified by defining a view volume (in the camera frame) using

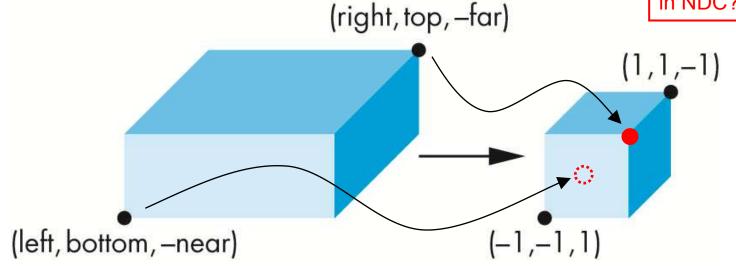
```
glOrtho( left, right, bottom, top, near, far );
```



# OpenGL Orthographic Projection

- The glortho() function then generates a matrix that linearly maps the view volume to the canonical view volume, where
  - □ (left, bottom, –near) is mapped to (–1, –1, –1)
  - □ (right, top, far) is mapped to (1, 1, 1)

Q: Why are the z values inverted in NDC?



#### Orthographic Projection Matrix

- The mapping can be found by
  - First, translating the view volume to the origin
  - Then, scaling the view volume to the size of the canonical view volume

$$\mathbf{M}_{\text{ortho}} = \mathbf{S} \left( \frac{2}{right - left}, \frac{2}{top - bottom}, \frac{2}{near - far} \right) \cdot \mathbf{T} \left( \frac{-(right + left)}{2}, \frac{-(top + bottom)}{2}, \frac{(far + near)}{2} \right)$$

□ Note that z = -near is mapped to z = -1, and z = -far to z = +1

#### Orthographic Projection Matrix

$$\mathbf{M}_{\text{ortho}} = \begin{bmatrix} \frac{2}{\textit{right} - \textit{left}} & 0 & 0 & \frac{-(\textit{right} + \textit{left})}{\textit{right} - \textit{left}} \\ 0 & \frac{2}{\textit{top} - \textit{bottom}} & 0 & \frac{-(\textit{top} + \textit{bottom})}{\textit{top} - \textit{bottom}} \\ 0 & 0 & \frac{-2}{\textit{far} - \textit{near}} & \frac{-(\textit{far} + \textit{near})}{\textit{far} - \textit{near}} \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

## **Viewport Transformation**

 The canonical view volume is then mapped to the viewport (from NDC to window coordinates)

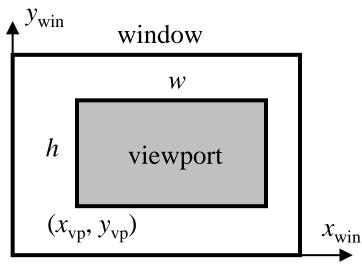
$$\frac{x_{\text{NDC}} - (-1)}{2} = \frac{x_{\text{win}} - x_{\text{vp}}}{w} \implies x_{\text{win}} = x_{\text{vp}} + \frac{w(x_{\text{NDC}} + 1)}{2}$$

$$\frac{y_{\text{NDC}} - (-1)}{2} = \frac{y_{\text{win}} - y_{\text{vp}}}{h} \implies y_{\text{win}} = y_{\text{vp}} + \frac{h(y_{\text{NDC}} + 1)}{2}$$

$$z_{\text{NDC}} + 1$$

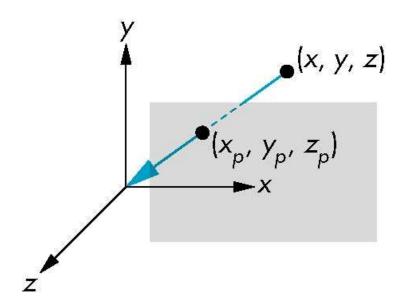
$$z_{\rm win} = \frac{z_{\rm NDC} + 1}{2}$$

- By default,  $z_{win}$  is between 0 and 1
  - set using glDepthRange()
- It is needed for z-buffer hidden surface removal



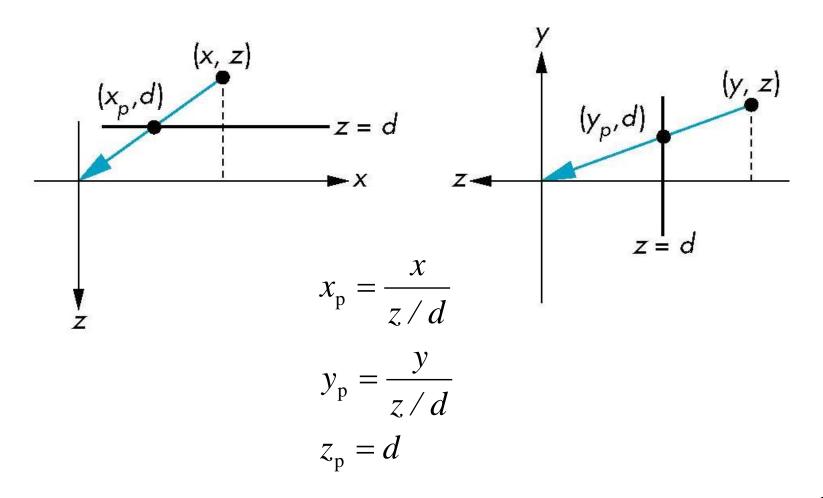
# Simple Perspective Projection

- Center of projection at the origin
- Projection plane is z = d, d < 0



# **Perspective Equations**

Consider top and side views



# Using Matrix Multiplication

• Consider p = Mq where

$$\mathbf{p} = \begin{bmatrix} x \\ y \\ z \\ z/d \end{bmatrix}$$

$$\mathbf{p} = \begin{bmatrix} x \\ y \\ z \\ z/d \end{bmatrix} \qquad \mathbf{M} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1/d & 0 \end{bmatrix} \qquad \mathbf{q} = \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$\mathbf{q} = \begin{vmatrix} x \\ y \\ z \\ 1 \end{vmatrix}$$

# **Perspective Division**

- However  $w \neq 1$ , so we must divide by w to return from homogeneous coordinates
- This perspective division yields

$$\mathbf{p} = \begin{bmatrix} x \\ y \\ z \\ z/d \end{bmatrix} \qquad \text{perspective division} \qquad \mathbf{p'} = \begin{bmatrix} \frac{x}{z/d} \\ \frac{y}{z/d} \\ \frac{d}{d} \\ 1 \end{bmatrix}$$

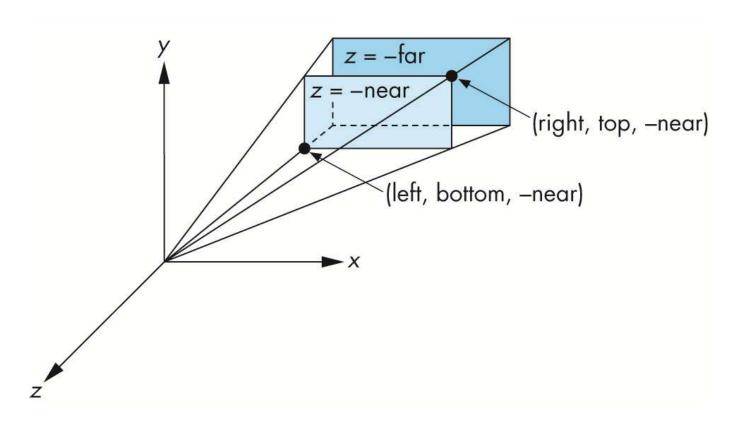
the desired perspective equations

This is one reason why 3D graphics API uses homogeneous coordinates

#### **OpenGL Perspective Projection**

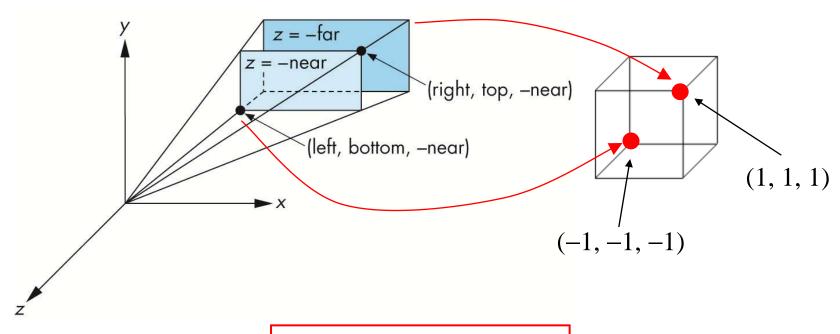
Can be specified by defining a view volume (view frustum) in the camera frame using

```
glFrustum( left, right, bottom, top, near, far );
```



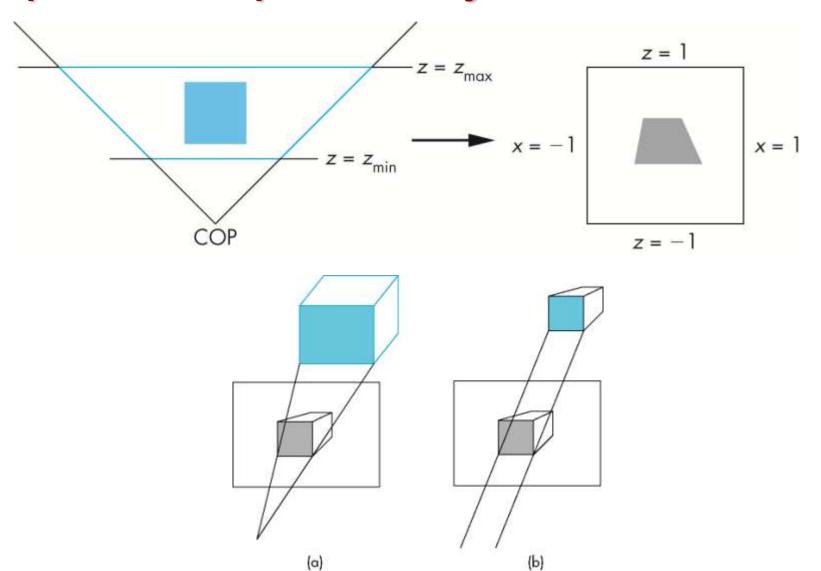
# **OpenGL Perspective Projection**

■ The glfrustum() function then generates a matrix that maps the view frustum to the canonical view volume, where



Q: Why transform view volume to canonical cube?

# **OpenGL Perspective Projection**

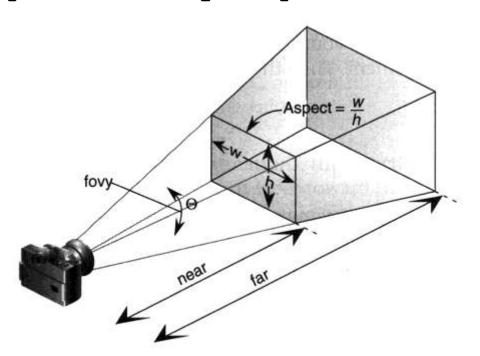


## **Perspective Projection Matrix**

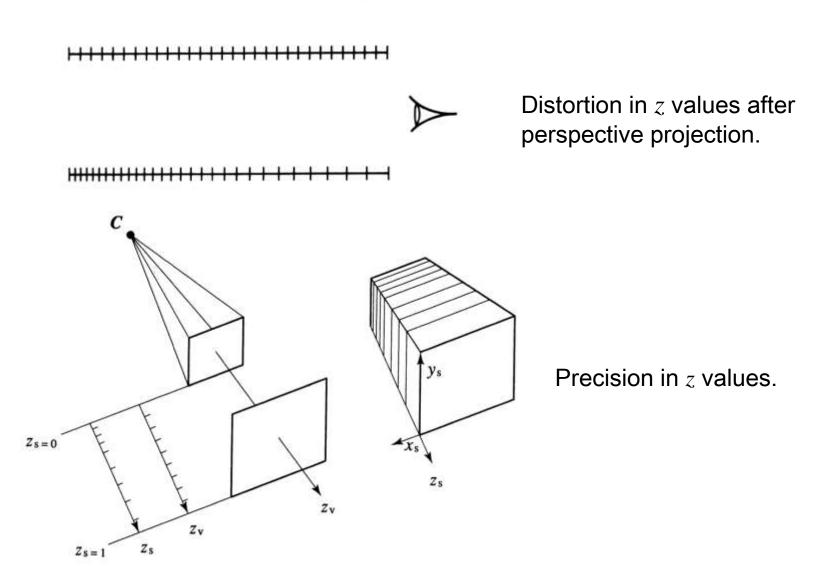
$$\mathbf{M}_{persp} = \begin{bmatrix} \frac{2 \cdot near}{right - left} & 0 & \frac{right + left}{right - left} & 0 \\ 0 & \frac{2}{top - bottom} & \frac{top + bottom}{top - bottomt} & 0 \\ 0 & 0 & \frac{-(far + near)}{far - near} & \frac{-2 \cdot far \cdot near}{far - near} \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

# Perspective Projection Using Field of View

- The glfrustum() function allows (off-center) asymmetric view volume
  - What are the uses of off-center view volume?
- For symmetric view volume, we can also use
  gluPerspective( fovy, aspect, near, far );



#### Distortion in Z Values



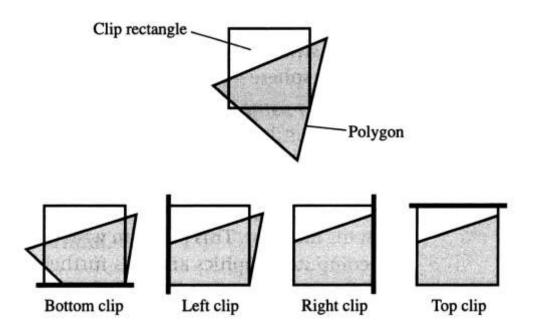
## Clipping Space

- It is the space after perspective transformation  $\mathbf{M}_{persp}$  and before the perspective division by  $w = -z_v$
- Polygons partially inside the view volume are clipped
- The clipping limits

$$\neg w \leq x \leq w$$

$$\neg w \le y \le w$$

$$\neg w \leq z \leq w$$



#### Framebuffer

- "Standard" window-system-provided framebuffer
  - Up to 4 color buffers
    - Front-left, front-right, back-left, back-right
  - A depth buffer
  - A stencil buffer
  - An accumulation buffer
  - A multisample buffer
  - One or more auxiliary buffers
    - Offscreen memory buffers
- Other <u>offscreen memory</u> and <u>texture images</u> can be used as framebuffer through <u>framebuffer object</u>
  - Render-to-texture
  - Multiple rendering targets (MRT)

#### **OpenGL Execution Model**

- Client-server
  - Client application program
  - Server OpenGL implementation (driver and hardware)
  - Majority of OpenGL states stored at server side
- OpenGL commands always processed in order
  - Out-of-order execution of OpenGL commands not allowed
  - Applies to queries of state and frame buffer read operations
- Data binding occurs when commands are issued
  - Data copied into OpenGL memory
  - Subsequent changes to this data by the application have no effect

# **End of Lecture 1**