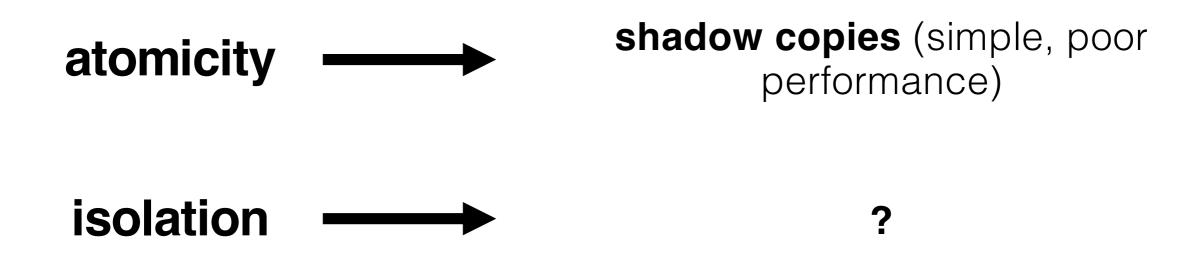
# 6.033 Spring 2018

Lecture #16

Atomicity via Write-ahead logging

**goal:** build reliable systems from unreliable components the abstraction that makes that easier is

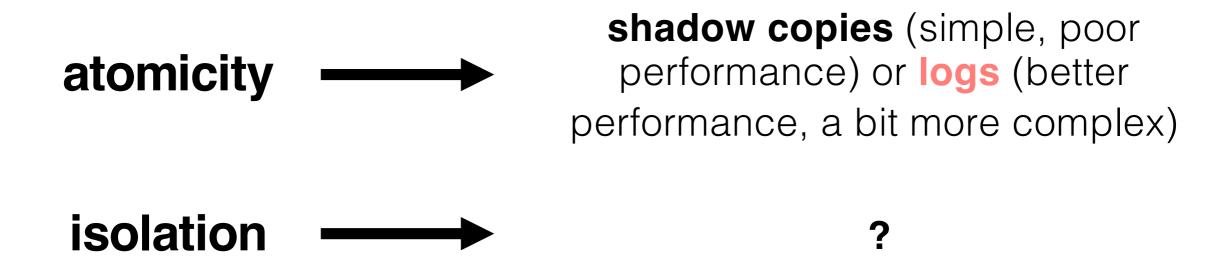
transactions, which provide atomicity and isolation, while not hindering performance



eventually, we also want transaction-based systems to be **distributed**: to run across multiple machines

**goal:** build reliable systems from unreliable components the abstraction that makes that easier is

transactions, which provide atomicity and isolation, while not hindering performance



eventually, we also want transaction-based systems to be **distributed**: to run across multiple machines

```
transfer(bankfile, account_a, account_b, amount):
   bank = read_accounts(bankfile)
   bank[account_a] = bank[account_a] - amount
   bank[account_b] = bank[account_b] + amount
   write_accounts(tmp_bankfile)
   rename(tmp_bankfile, bankfile)
```

#### using shadow copies to abort on error

```
transfer(bankfile, account_a, account_b, amount):
   bank = read_accounts(bankfile)
   bank[account_a] = bank[account_a] - amount
   bank[account_b] = bank[account_b] + amount
   if bank[account_a] < 0:
      print "Not enough funds"
   else:
      write_accounts("tmp_bankfile")
      rename(tmp_bankfile, bankfile)</pre>
```

#### with transaction syntax

```
transfer(account_a, account_b, amount):
    begin
    write(account_a, read(account_a) - amount)
    write(account_b, read(account_b) + amount)
    if read(account_a) < 0: // not enough funds
        abort
    else:
        commit</pre>
```

```
begin // T1
A = 100
B = 50
commit // A=100; B=50
begin // T2
A = A - 20
B = B + 20
commit // A=80; B=70
begin // T3
A = A+30 crash!
```

problem: after crash, A=110,
but T3 never committed

we need a way to revert to A's previous committed value

TID		T1			T2		Т3	r 
	UPDATE	UPDATE	COMMIT	UPDATE	UPDATE	COMMIT	UPDATE	
OLD	A=0	B=0		A=100	B=50		A=80	
NEW	A=100	B=50		A=80	B=70		A=110	

```
begin // T1
A = 100
B = 50
commit // A=100; B=50
begin // T2
A = A-20
B = B + 20
commit // A=80; B=70
begin // T3
A = A+30
```

```
TID
                                                       T3
                                T2
                                       T2
                                                T2
       UPDATE
               UPDATE
                       COMMIT
                                     UPDATE
                                              COMMIT
                               UPDATE
                                                      UPDATE
  OLD
               B=0
                               A = 100
                                     I B=50
      A=0
                                                      A = 80
       A = 100
                               A = 80
                                      B=70
  NEW
               B=50
                                                      A = 110
read(log, var):
  commits = \{\}
  // scan backwards
  for record r in log[len(log) - 1] .. log[0]:
    // keep track of commits
    if r.type == commit:
       commits.add(r.tid)
    // find var's last committed value
    if r.type == update and
        r.tid in commits and
        r.var == var:
         return r.new value
```

```
TID | T1 | T1
                                        begin // T2
      UPDATE | UPDATE |
                    COMMIT
                                        A = A-20
  OLD | A=0
         B=0
      A=100
           B=50
  NEW
read(log, var):
                                          commits = \{\}
  commits = \{\}
  // scan backwards
  for record r in log[len(log) - 1] .. log[0]:
    // keep track of commits
    if r.type == commit:
      commits.add(r.tid)
    // find var's last committed value
    if r.type == update and
       r.tid in commits and
       r.var == var:
        return r.new value
```

```
TID |
                                        begin // T2
      UPDATE UPDATE COMMIT
                                        A = A-20
  OLD | A=0
         B=0
      A=100
            B=50
  NEW |
read(log, var):
                                        commits = \{T1\}
  commits = \{\}
  // scan backwards
  for record r in log[len(log) - 1] .. log[0]:
    // keep track of commits
    if r.type == commit:
      commits.add(r.tid)
    // find var's last committed value
    if r.type == update and
       r.tid in commits and
       r.var == var:
        return r.new value
```

```
T1
  TID
                             T2
                                          begin // T2
       UPDATE | UPDATE
                     COMMIT
                            UPDATE
                                          A = A-20
  OLD | A=0
             | B=0
                             A = 100
      A=100
            B=50
                             A = 80
  NEW
read(log, var):
  commits = \{\}
  // scan backwards
  for record r in log[len(log) - 1] .. log[0]:
    // keep track of commits
    if r.type == commit:
      commits.add(r.tid)
    // find var's last committed value
    if r.type == update and
       r.tid in commits and
       r.var == var:
        return r.new value
```

```
T1
  TID
                             T2
                                          begin // T2
       UPDATE | UPDATE
                     COMMIT
                             UPDATE
                                          A = A-20
  OLD | A=0
             | B=0
                             A = 100
                                          A = A - 30
      A=100
            B=50
                             A = 80
  NEW
read(log, var):
  commits = {}
  // scan backwards
  for record r in log[len(log) - 1] .. log[0]:
    // keep track of commits
    if r.type == commit:
      commits.add(r.tid)
    // find var's last committed value
    if r.type == update and
       r.tid in commits and
       r.var == var:
        return r.new value
```

```
T1
  TID
                            T2
                                         begin // T2
      UPDATE | UPDATE | COMMIT
                           UPDATE
                                         A = A-20
  OLD | A=0
          | B=0
                            A = 100
                                         A = A-30
      A=100
            B=50
                            A = 80
  NEW
read(log, var):
  commits = \{\}
  // scan backwards
  for record r in log[len(log) - 1] .. log[0]:
    // keep track of commits
    if r.type == commit:
      commits.add(r.tid)
    // find var's last committed value
    if r.type == update and
       (r.tid in commits or r.tid == current tid) and
       r.var == var:
        return r.new value
```

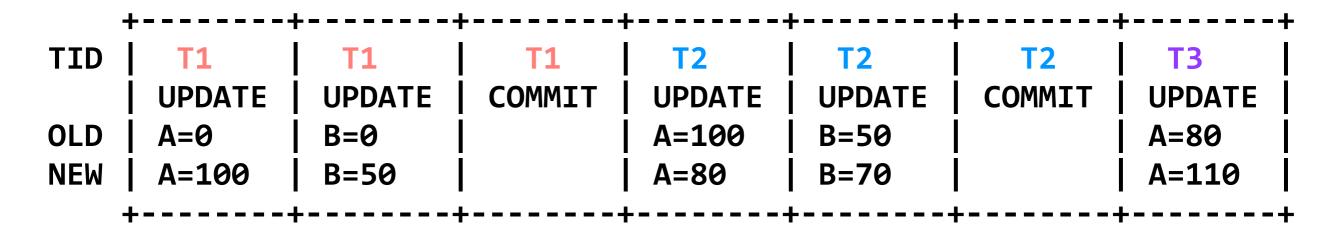
	T1			•	•			г 
	UPDATE	UPDATE	COMMIT	UPDATE	UPDATE	COMMIT	UPDATE	
OLD	A=0	B=0		A=100	B=50		A=80	
NEW	A=100	B=50		A=80	B=70		A=110	
,	1				•			

```
begin // T1
A = 100
B = 50
commit
begin // T2
A = A-20
B = B+20
commit
begin // T3
A = A + 30
```

after a crash, the log is still correct; uncommitted updates will not be read

-	<b></b>	+	<b></b>	<b></b>	+	<b></b>	+	+
TID	T1	T1	T1	<b>T2</b>	<b>T2</b>	<b>T2</b>	Т3	
	UPDATE	UPDATE	COMMIT	UPDATE	UPDATE	COMMIT	UPDATE	
OLD	A=0	B=0		A=100	B=50		A=80	Ī
NEW	A=100	B=50		A=80	B=70		A=110	Ī
	•			•	- -	•	- -	

problem: reads are slow



cell storage (on disk) A 110 B 70

# read(var):

return cell\_read(var)

#### write(var, value):

log.append(current\_tid, update, var, read(var), value)
cell write(var, value)

```
TID
                               T1
                                        T2
                                                                         T3
                                                   T2
                                                               T2
                 UPDATE
                            COMMIT
                                                  UPDATE
                                                             COMMIT
       UPDATE
                                       UPDATE
                                                                        UPDATE
OLD
                                       A = 100
                                                 B=50
                                                                        A = 80
                 B=0
       A=0
NEW
       A = 100
                 B=50
                                       A = 80
                                                  B=70
                                                                        A = 110
```

```
cell storage (on disk) A 110 B 70
```

```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
      if r.type == commit:
        commits.add(r.tid)
      if r.type == update and r.tid not in commits:
        cell write(r.var, r.old val) // undo
```

```
TID
                                                                   T3
                            T1
                                     T2
                                               T2
                                                           T2
                UPDATE
                          COMMIT
                                              UPDATE
                                                        COMMIT
      UPDATE
                                    UPDATE
                                                                  UPDATE
OLD
                                    A = 100
                                             l B=50
                                                                  A = 80
                B=0
      A=0
      A = 100
                B=50
                                    A = 80
                                              B=70
                                                                  A=110
NEW
    cell storage
                        A 110
                                   В
                                                        commits = \{\}
                                      70
      (on disk)
```

```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
      if r.type == commit:
        commits.add(r.tid)
      if r.type == update and r.tid not in commits:
        cell write(r.var, r.old val) // undo
```

```
TID
                                                                  T3
                            T1
                                     T2
                                              T2
                                                         T2
      UPDATE
                UPDATE
                          COMMIT
                                   UPDATE
                                             UPDATE
                                                       COMMIT
                                                                 UPDATE
OLD
                                   A=100
                                            B=50
                                                                 A = 80
                B=0
      A=0
NEW
      A = 100
                B=50
                                   A = 80
                                             B=70
                                                                 A=110
    cell storage
                       A 110
                                  В
                                                       commits = \{\}
                                      70
      (on disk)
```

```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
        if r.type == commit:
            commits.add(r.tid)
        if r.type == update and r.tid not in commits:
        cell_write(r.var, r.old_val) // undo
```

```
TID
                                                                   T3
                            T1
                                     T2
                                               T2
                                                          T2
                UPDATE
                          COMMIT
                                             UPDATE
                                                        COMMIT
      UPDATE
                                    UPDATE
                                                                 UPDATE
OLD
                                    A=100
                                             B=50
                                                                 A = 80
                B=0
      A=0
NEW
      A = 100
                B=50
                                    A = 80
                                             B=70
                                                                 A=110
    cell storage
                       A 80
                                  В
                                                       commits = \{\}
                                      70
      (on disk)
```

```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
      if r.type == commit:
        commits.add(r.tid)
      if r.type == update and r.tid not in commits:
        cell write(r.var, r.old val) // undo
```

```
TID
                                                           T2
                             T1
                                     T2
                                                T2
                                                                    T3
                UPDATE
                          COMMIT
                                             UPDATE
                                                         COMMIT
      UPDATE
                                    UPDATE
                                                                   UPDATE
OLD
                                    A = 100
                                             l B=50
                B=0
      A=0
                                                                   A = 80
      A = 100
                B=50
                                    A = 80
                                              B=70
                                                                  A = 110
NEW
    cell storage
                        A 80
                                   В
                                                        commits = \{\}
                                      70
      (on disk)
```

```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
        if r.type == commit:
            commits.add(r.tid)
        if r.type == update and r.tid not in commits:
            cell write(r.var, r.old val) // undo
```

```
TID
                                                          T2
                            T1
                                     T2
                                               T2
                                                                  T3
                UPDATE
                          COMMIT
                                    UPDATE
                                             UPDATE
                                                       COMMIT
      UPDATE
                                                                 UPDATE
OLD
                                    A=100
                                            B=50
                                                                 A = 80
                B=0
      A=0
NEW
      A = 100
                B=50
                                    A = 80
                                             B=70
                                                                 A = 110
    cell storage
                                                     commits = \{T2\}
                       A 80
                                  В
                                      70
      (on disk)
```

```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
      if r.type == commit:
        commits.add(r.tid)
      if r.type == update and r.tid not in commits:
        cell write(r.var, r.old val) // undo
```

```
TID
                                               T2
                            T1
                                                                   T3
                                     T2
                                                           T2
                UPDATE
                          COMMIT
                                    UPDATE
                                              UPDATE
                                                        COMMIT
      UPDATE
                                                                  UPDATE
OLD
                                    A = 100
                                              B=50
                                                                  A = 80
                B=0
      A=0
NEW
      A = 100
                B=50
                                    A = 80
                                              B=70
                                                                  A = 110
    cell storage
                        A 80
                                   В
                                                      commits = \{T2\}
                                      70
      (on disk)
```

```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
        if r.type == commit:
            commits.add(r.tid)
        if r.type == update and r.tid not in commits:
            cell_write(r.var, r.old_val) // undo
```

```
TID
                                    T2
                            T1
                                                                  T3
                                              T2
                                                         T2
                UPDATE
                          COMMIT
                                   UPDATE
                                             UPDATE
                                                       COMMIT
      UPDATE
                                                                 UPDATE
OLD
                B=0
                                   A=100
                                            B=50
                                                                 A = 80
      A=0
NEW
      A = 100
                B=50
                                   A=80
                                             B=70
                                                                 A = 110
    cell storage
                       A 80
                                  В
                                                     commits = \{T2\}
                                     70
      (on disk)
```

```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
      if r.type == commit:
        commits.add(r.tid)
      if r.type == update and r.tid not in commits:
        cell_write(r.var, r.old_val) // undo
```

```
TID
                                     T2
                                               T2
                                                                   T3
                                                          T2
                UPDATE
                          COMMIT
                                              UPDATE
                                                        COMMIT
      UPDATE
                                    UPDATE
                                                                  UPDATE
OLD
                B=0
                                    A = 100
                                             B=50
      A=0
                                                                  A = 80
      A = 100
                B=50
                                    A = 80
                                              B=70
                                                                  A = 110
NEW
    cell storage
                       A 80
                                  В
                                                      commits = \{T2\}
                                      70
      (on disk)
```

```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
        if r.type == commit:
            commits.add(r.tid)
        if r.type == update and r.tid not in commits:
            cell_write(r.var, r.old_val) // undo
```

```
TID
                                              T2
                                                                  T3
                                                         T2
                UPDATE
                          COMMIT
                                   UPDATE
                                             UPDATE
                                                       COMMIT
      UPDATE
                                                                 UPDATE
OLD
                B=0
                                   A = 100
                                            B=50
                                                                 A = 80
      A=0
NEW
      A = 100
                B=50
                                   A = 80
                                             B=70
                                                                 A = 110
    cell storage
                                                commits = \{T2, T1\}
                       A 80
                                  В
                                     70
      (on disk)
```

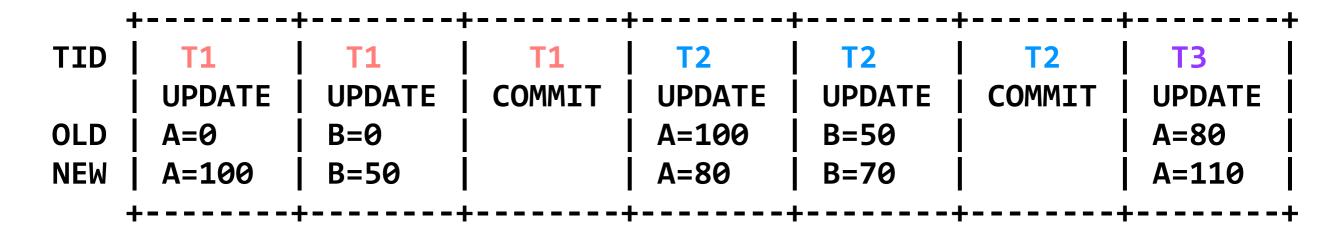
```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
        if r.type == commit:
        commits.add(r.tid)
        if r.type == update and r.tid not in commits:
        cell write(r.var, r.old val) // undo
```

```
TID
                            T1
                                     T2
                                                                   T3
                                               T2
                                                          T2
      UPDATE
                UPDATE
                          COMMIT
                                              UPDATE
                                                        COMMIT
                                    UPDATE
                                                                  UPDATE
OLD
                B=0
                                    A = 100
                                            l B=50
                                                                  A = 80
      A=0
      A = 100
                B=50
                                    A = 80
                                              B=70
                                                                 A = 110
NEW
    cell storage
                                                 commits = \{T2, T1\}
                       A 80
                                  В
                                      70
      (on disk)
```

```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
        if r.type == commit:
            commits.add(r.tid)
        if r.type == update and r.tid not in commits:
        cell_write(r.var, r.old_val) // undo
```

```
TID
                                    T2
                                                                 T3
                                              T2
                                                         T2
      UPDATE
               UPDATE
                         COMMIT
                                            UPDATE
                                                      COMMIT
                                   UPDATE
                                                                UPDATE
OLD
      A=0
                B=0
                                   A = 100
                                           B=50
                                                                A = 80
      A=100
                B=50
                                   A = 80
                                            B=70
                                                                A = 110
NEW
    cell storage
                                                commits = \{T2, T1\}
                       A 80
                                 В
                                     70
      (on disk)
```

```
recover(log):
   commits = {}
   for record r in log[len(log)-1] .. log[0]:
      if r.type == commit:
        commits.add(r.tid)
      if r.type == update and r.tid not in commits:
        cell write(r.var, r.old val) // undo
```



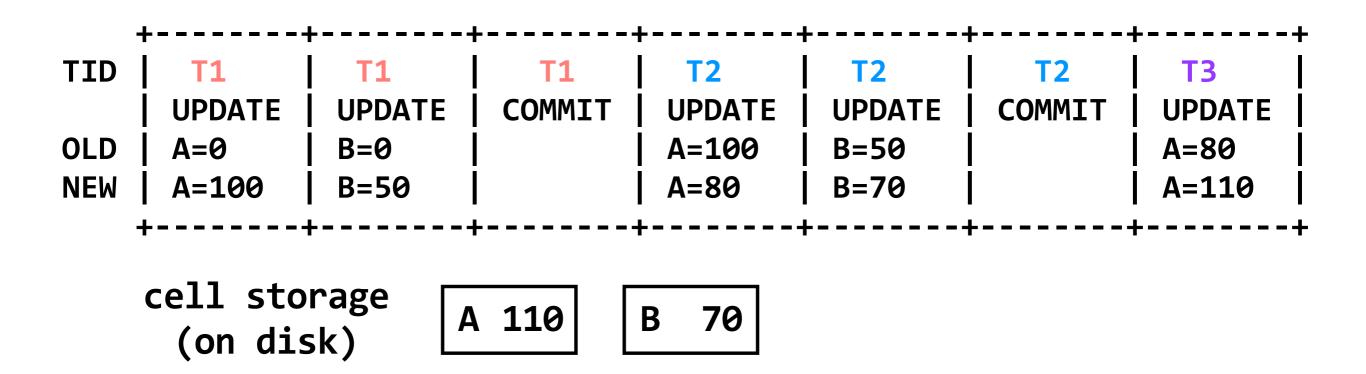
cell storage (on disk) B 70

#### read(var):

return cell read(var)

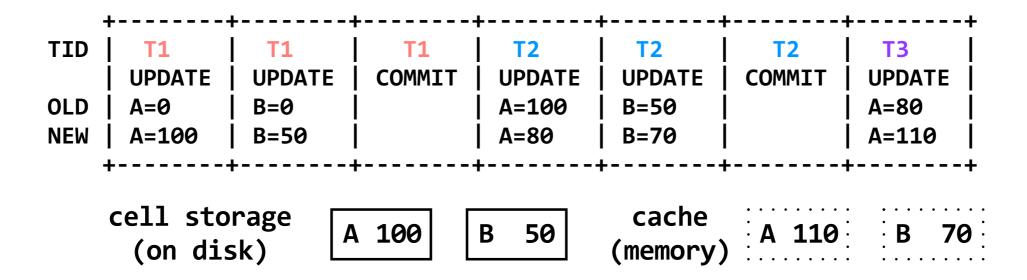
#### write(var, value):

log.append(current\_tid, update, var, read(var), value)
cell write(var, value)



**problem:** read performance is now great, but writes got (a little bit) slower and recovery got (a lot) slower

```
TID
                T1
                          T1
                                  T2
                                          T2
                                                    T2
                                                           T3
       UPDATE
               UPDATE
                        COMMIT
                                 UPDATE
                                         UPDATE
                                                  COMMIT
                                                          UPDATE
 OLD
                                                          A = 80
       A=0
               B=0
                                 A = 100
                                         B=50
 NEW
       A=100
                                 A=80
                                         B=70
                                                          A = 110
               B=50
     cell storage
                                          cache
                      A 110
                               В
                                  70
       (on disk)
                                         (memory)
read(var):
  if var in cache:
    return cache[var]
  else:
    // may evict others from cache to cell storage
    cache[var] = cell read(var)
    return cache[var]
write(var, value):
  log.append(current_tid, update, var, read(var), value)
  cache[var] = value
flush(): // called "occasionally"
  cell write(var, cache[var]) for each var
```



suppose we flushed the cache after T1 committed, but have not flushed it since then

```
T1
                         T1
                                                         T3
TID
                                T2
                                         T2
                                                  T2
      UPDATE
              UPDATE
                       COMMIT
                               UPDATE
                                        UPDATE
                                                COMMIT
                                                         UPDATE
                                                         A=80
OLD
      A=0
              B=0
                               A=100
                                        B=50
NEW
      A=100
              B=50
                               A = 80
                                        B=70
                                                         A=110
     cell storage
                                        cache
                    A 100
                              В
                                 50
      (on disk)
                                       (memory) :.
recover(log):
  commits = {}
  for record r in log[len(log)-1] .. log[0]:
    if r.type == commit:
      commits.add(r.tid)
    if r.type == update and r.tid not in commits:
      cell_write(r.var, r.old_val) // undo
```

```
TID
                                        T2
                                                 T2
                              UPDATE
      UPDATE
              UPDATE
                      COMMIT
                                       UPDATE
                                               COMMIT
                                                       UPDATE
      A=0
                              A=100
                                       B=50
                                                       A=80
OLD
              B=0
                              A=80
NEW
      A=100
                                                       A=110
              B=50
                                       B=70
    cell storage
                                       cache
                                50
                    A 80
                             В
      (on disk)
                                      (memory)
recover(log):
  commits = {}
  for record r in log[len(log)-1] .. log[0]:
    if r.type == commit:
      commits.add(r.tid)
    if r.type == update and r.tid not in commits:
      cell_write(r.var, r.old_val) // undo
```

```
T1
                                T2
                                                  T2
                                                         T3
TID
               T1
                                         T2
                                                COMMIT
      UPDATE
              UPDATE
                       COMMIT
                               UPDATE
                                        UPDATE
                                                        UPDATE
OLD
      A=0
              B=0
                               A=100
                                        B=50
                                                        A = 80
NEW
      A=100
                               A=80
                                        B=70
                                                         A = 110
              B=50
     cell storage
                                        cache
                    A 80
                              В
                                 50
      (on disk)
                                       (memory)
recover(log):
  commits = {}
  for record r in log[len(log)-1] .. log[0]:
    if r.type == commit:
      commits.add(r.tid)
    if r.type == update and r.tid not in commits:
      cell_write(r.var, r.old_val) // undo
```

```
TID
       T1
               T1
                        T1
                                T2
                                                 T2
                                                        T3
              UPDATE
                      COMMIT
                                       UPDATE
                                               COMMIT
      UPDATE
                               UPDATE
                                                       UPDATE
OLD
      A=0
              B=0
                               A=100
                                       B=50
                                                       A=80
                               A=80
NEW
      A=100
                                                       A = 110
              B=50
                                       B=70
    cell storage
                                        cache
                    A 80
                             В
                                50
      (on disk)
                                      (memory)
recover(log):
  commits = {}
  for record r in log[len(log)-1] .. log[0]:
    if r.type == commit:
      commits.add(r.tid)
    if r.type == update and r.tid not in commits:
      cell_write(r.var, r.old_val) // undo
     all other updates were committed; B's value won't
                      ever be changed
```

```
TID
               T1
       T1
                        T1
                               T2
                                       T2
                                                 T2
                                                        T3
      UPDATE
              UPDATE
                      COMMIT
                              UPDATE
                                       UPDATE
                                               COMMIT
                                                       UPDATE
OLD
              B=0
                              A=100
                                       B=50
      A=0
                                                       A = 80
NEW
      A=100
              B=50
                              A = 80
                                       B=70
                                                       A = 110
    cell storage
                                       cache
                                50
                    A 80
                             В
      (on disk)
                                      (memory) :....: :...
recover(log):
  commits = {}
  for record r in log[len(log)-1] .. log[0]:
    if r.type == commit:
      commits.add(r.tid)
    if r.type == update and r.tid not in commits:
      cell_write(r.var, r.old_val) // undo
  for record r in log[0] .. log[len(log)-1]:
    if r.type == update and r.tid in commits:
      cell_write(r.var, r.new_value) // redo
```

```
TID
               T1
                        T1
                               T2
                                       T2
                                                 T2
                                                        T3
                      COMMIT
                              UPDATE
                                      UPDATE
      UPDATE
              UPDATE
                                               COMMIT
                                                       UPDATE
OLD
      A=0
              B=0
                              A=100
                                      B=50
                                                       A = 80
NEW
      A=100
                              A = 80
                                      B=70
                                                       A = 110
              B=50
    cell storage
                                       cache
                    A 80
                                70
                             В
      (on disk)
                                      (memory) :
recover(log):
  commits = {}
  for record r in log[len(log)-1] .. log[0]:
    if r.type == commit:
      commits.add(r.tid)
    if r.type == update and r.tid not in commits:
      cell_write(r.var, r.old_val) // undo
  for record r in log[0] .. log[len(log)-1]:
    if r.type == update and r.tid in commits:
      cell_write(r.var, r.new_value) // redo
```

TID OLD NEW	T1   UPDATE   A=0   A=100	T1 UPDATE B=0 B=50	T1 COMMIT	T2 UPDATE A=100 A=80	T2     UPDATE     B=50     B=70	T2 COMMIT	T3 UPDATE A=80 A=110	-  -  -  -  -
-	cell sto (on dis	- I A	80	В 70	cache (memory)			 

problem: recovery is still slow

TID OLD NEW	T1   UPDATE     A=0   A=100	T1 UPDATE B=0 B=50	T1 COMMIT	T2 UPDATE A=100 A=80	+   T2	T2 COMMIT	T3 UPDATE A=80 A=110	+       
	cell sto (on dis	- 1 //	80	В 70	cache (memory)			· · · · · · · · · · · · · · · · · · ·

**solution:** write checkpoints and truncate the log

- (Write-ahead) logs provide atomicity with better performance than shadow copies. The primary benefit is making small appends for each update, rather than copying and entire file over for every change.
- Cell storage is used with the log to improve readperformance, and caches and truncation can be used to improve write- and recovery-performance.

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