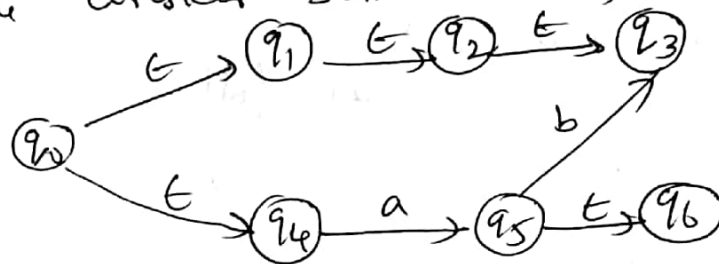


# Equivalence of Non-deterministic & Deterministic FSM's :-

- \* Given the NDFSM that accepts certain language  $L$ . It is possible to convert it into DFSM that also accepts the same language  $L$ .
- \* It is also possible to convert DFSM into its equivalent NDFSM.
- \*  $\epsilon$ -NDFSM can also be converted into DFSM

Epsilon closures :- Epsilon closure of a state  $q$  denoted by  $ECLOSE(q)$  is a set of states reached from state  $q$  by following a path whose label is epsilon ( $\epsilon$ ) only.

For example Consider some FSM,



$$ECLOSE(q_0) = \{q_0, q_1, q_2, q_3, q_4\}$$

$$ECLOSE(q_1) = \{q_1, q_2, q_3\}$$

$$ECLOSE(q_2) = \{q_2, q_3\}$$

$$ECLOSE(q_3) = \{q_3\}$$

$$ECLOSE(q_4) = \{q_4\}$$

$$ECLOSE(q_5) = \{q_5, q_6\}$$

Formally, we define  $\epsilon$ -closure ( $q$ ) recursively as follows:

Basis: state  $q$  is in  $ECLOSE(q)$ .

Induction: If state  $p$  is in  $ECLOSE(q)$  and if there is a transition from state  $p$  to state  $r$  (labelled with  $\epsilon$ ), then  $r$  is in  $ECLOSE(q)$ .

That is  $\textcircled{q} \xrightarrow{\epsilon} \textcircled{p} \xrightarrow{\epsilon} \textcircled{r} \xrightarrow{a} \textcircled{s}$   
 $ECLOSE(q) = \{q, p, r\}$

Theorem: If there is an NDFSM or  $\epsilon$ -NDFSM for  $L$ , there is a DFSM for  $L$ .

Proof: Proof is by Construction of DFSM  $D$ .

Let  $N = (Q_N, \Sigma_N, \delta_N, q_{0N}, F_N)$  be an given NDFSM or  $\epsilon$ -NDFSM.

We need to Construct equivalent DFSM

$D = (Q_D, \Sigma_D, \delta_D, q_{0D}, F_D)$ . The components:

of  $D$  are Constructed as follows:

(1).  $Q_D$  is a set of subsets of  $Q_N$ . If  $Q_N$  has  $n$  states then  $Q_D$  has  $2^n$  states. among these  $2^n$  states, only those states that are reachable from start state of DFSM are the states of DFSM. We compute  $\epsilon$ -closure of those states that can be reached from start state  $q_0$  of DFSM.

(2).  $q_{0D} = ECLOSE(q_{0N})$ . start state of NDFSM is obtained by computing  $\epsilon$ -CLOSE of start state of NDFSM,

3.  $\delta_D(S, a)$  is computed for all symbols  $a$  in  $\Sigma$  and all sets  $S$  in  $\mathcal{Q}_D$  by

a) Let  $S := \{p_1, p_2, \dots, p_k\}$   
(subset)

b) Compute  $\bigcup_{i=1}^k \delta_N(p_i, a)$ . Let this be  $\{r_1, r_2, \dots, r_m\}$

c) Then  $\delta_D(S, a) = \bigcup_{i=1}^m \text{ECLOSE}(r_i)$ .

4.  $F_D$  is those sets of subsets that contains at least one final state of  $N$ .

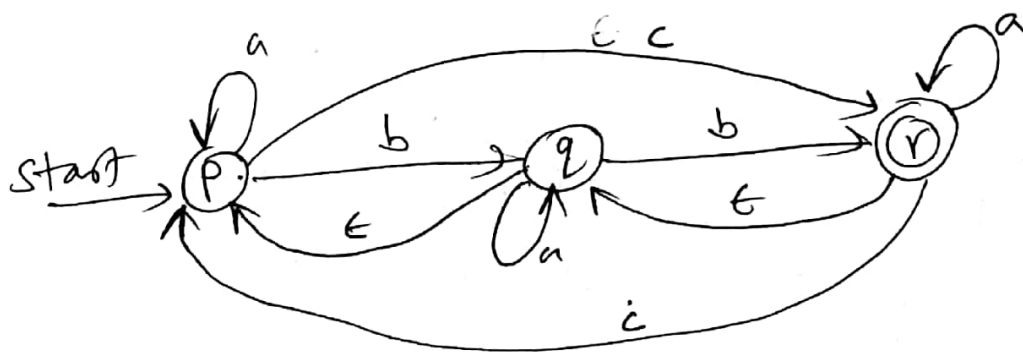
That is:  $F_D = \{S \mid S \text{ is in } \mathcal{Q}_D \text{ and } S \cap F_N \neq \emptyset\}$

Problems:

1) Convert the following  $\epsilon$ -NDFSM into DFSM.

$\delta_N$	$\epsilon$	a	b	c
$\rightarrow p$	$\emptyset$	$\{p\}$	$\{q\}$	$\{r\}$
q	$\{p\}$	$\{q\}$	$\{r\}$	$\emptyset$
* r	$\{q\}$	$\{r\}$	$\emptyset$	$\{p\}$

Step 1: Construct Transition Diagram of NDFSM.



Step (ii) Compute  $E_{CLOSE}$  of each state

$$E_{CLOSE}(p) = \{p\}$$

$$E_{CLOSE}(q) = \{q, p\} = \{p, q\}$$

$$E_{CLOSE}(r) = \{r, q, p\} = \{p, q, r\}$$

Now We need to Construct equivalent DFA  $D = (Q_D, E_D, \delta_D, q_{0D}, F_D)$ .

(1)  $E_D = ?$  We know  $E_N = E_D$

and  $E_N = \{a, b, c\}$   
(alphabet of NFA)

$$\therefore E_D = \{a, b, c\}$$

alphabet of DFA

(2)  $q_{0D} = ?$   $q_{0D} = E_{CLOSE}(\{q_{0N}\})$

(start state of  
DFA)

↓  
start state of  
E-NFA

$$q_{0D} = E_{CLOSE}(\{p\})$$

$$q_{0D} = \{p\}$$

(3)  $\delta_D = ?$

Begin with start state  $q_{0D} = \{p\}$ .

$\delta_D$	a	b	c
$\{p\}$	$\{p\}$	$\{p, q\}$	$\{p, q, r\}$
$\{p, q\}$	$\{p, q\}$	$\{p, q, r\}$	$\{p, q, r\}$
$\{p, q, r\}$	$\{p, q, r\}$	$\{p, q, r\}$	$\{p, q, r\}$

Fig:- Transition table of DFA.

$$\delta_D(\{p\}, a) = \delta_N(p, a) = \{p\}$$

$$\text{ECLOSE}(\{p\})$$

$$\underline{\delta_D(\{p\}, a) = \{p\}}$$

$$\delta_D(\{p\}, b) = \delta_N(p, b) = \{q\}$$

$$\text{ECLOSE}(\{q\}) = \{q\}$$

$$\underline{\delta_D(\{p\}, b) = \{q\}}$$

$$\delta_D(\{p, q\}, a) = \delta_N(p, a) \cup \delta_N(q, a)$$

$$\{p\} \cup \{q\}$$

$$= \{p, q\}$$

$$\text{ECLOSE}(\{p\}) \cup \text{ECLOSE}(\{q\})$$

$$\{p\} \cup \{p, q\}$$

$$\underline{\delta_D(\{p, q\}, a) = \{p, q\}}$$

$$\delta_D(\{p, q\}, b) = \delta_N(p, b) \cup \delta_N(q, b)$$

$$= \{q\} \cup \{r\}$$

$$= \text{ECLOSE}(\{q\}) \cup \text{ECLOSE}(\{r\})$$

$$= \{p, q\} \cup \{p, q, r\}$$

$$\underline{\delta_D(\{p, q\}, b) = \{p, q, r\}}$$

(1)

—

—

—

$$(4) Q_D = \{ \{p\}, \{p, q\}, \{p, q, r\} \} \quad \text{Set of states of DFA}$$

(5)  $F_D = ?$

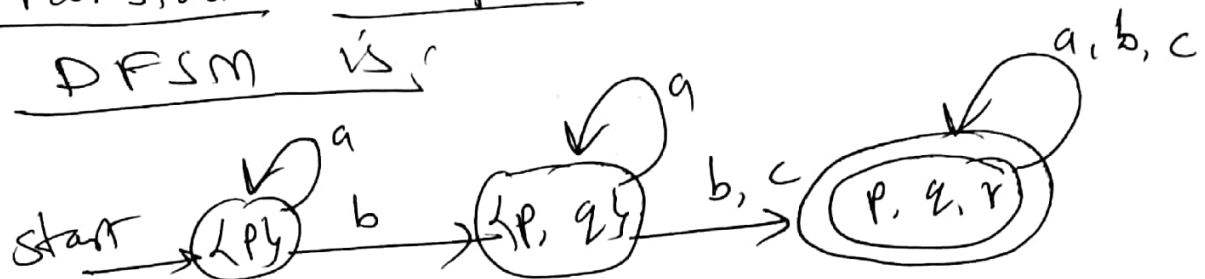
We know  $F_N = \{r\}$

&  $Q_D = \{p, q, r\}$

$F_N$  contains those states of  $Q_D$  that contain  $r$ .

$\therefore F_N = \{p, q, r\}$

Transition Diagram representation of DFSA is,

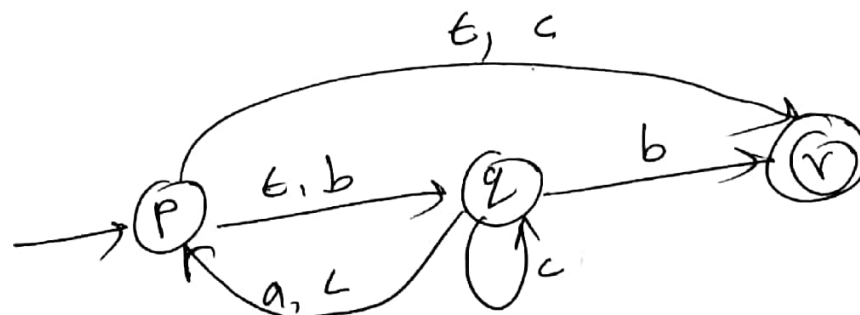


Problem (2)

Convert the following  $\epsilon$ -NDFSM into equivalent DFSA.

$\delta_N$	$\epsilon$	a	b	c
$\rightarrow p$	$\{q, r\}$	$\emptyset$	$\{q\}$	$\{r\}$
q	$\emptyset$	$\{p\}$	$\{r\}$	$\{p, q\}$
*r	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$

Step 1 Construct Transition Diagram of  $\epsilon$ -NDFSM



Step (ii) Compute  $\epsilon$ -closure of each state.

$$\begin{aligned} \text{ECLOSE}(p) &= \{p, q, r\} \\ \text{ECLOSE}(q) &= \{q\} \\ \text{ECLOSE}(r) &= \{r\} \end{aligned}$$

Now Construct DF-SM  $D = (Q_D, E_D, \delta_D, q_{0D}, F_D)$

(i)  $E_D = ?$

We know  $E_N = E_D$   
 $\& E_N = \{a, b, c\}$

$$\therefore E_D = \{a, b, c\}$$

(ii)  $q_{0D} = ?$   
 (Start state of DF-SM)

$$q_{0D} = \text{ECLOSE}(\{q_{0N}\})$$

We know  $q_{0N} = p$  (Start state of  $\epsilon$ -NDFSM)

$$q_{0D} = \text{ECLOSE}(\{p\})$$

$$q_{0D} = \{p, q, r\}$$

(iii)  $\delta_D = ?$   
 Construct  $\delta_D$  Using  $\delta_N$  (Use Transition table of  $\epsilon$ -NDFSM)

$\delta_D$	a	b	c
$\rightarrow \langle p, q, r \rangle$	$\langle p, q, r \rangle$	$\langle q, r \rangle$	$\langle p, q, r \rangle$
$\& \langle q, r \rangle$	$\langle p, q, r \rangle$	$\langle r \rangle$	$\langle p, q, r \rangle$
$\& \langle r \rangle$	$\phi$	$\phi$	$\phi$

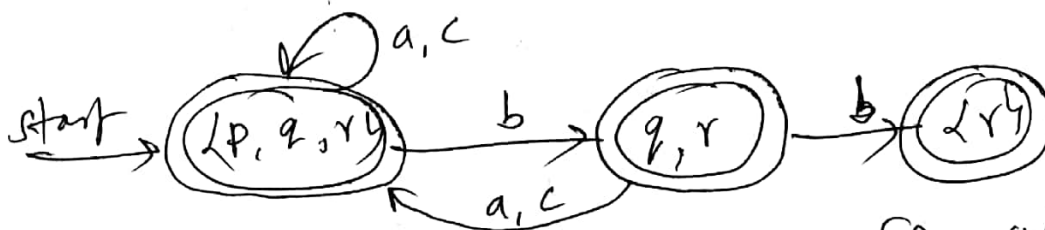


Fig: Transition Diagram of DFA after eliminating  $\epsilon$ -transitions (epsilon)

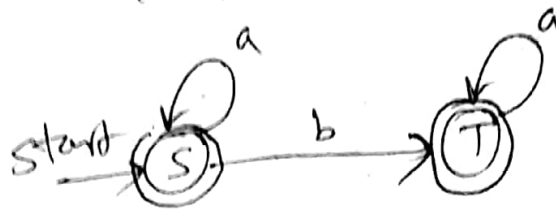
## From FSM to Operational Systems

FSM for real problems can be converted into Operational Systems in the following ways.

1. An FSM can be translated into a circuit design and implemented directly in hardware. For example parity checking FSM can be implemented as a hardware.
2. An FSM can be interpreted as a general purpose interpreter.
3. An FSM can be used as a specification for some critical aspect of the behaviour of a complex system.

Simulators for FSM: - Once FSM is designed for a given problem, we can simulate its execution.

For example, Consider the following deterministic FSM that accepts the language  
 $L = \{w \in \{a, b\}^* : w \text{ contains no more than one } b\}$ .



FSM M can be viewed as a specification for the following program,  
Until accept or reject do:

S:      s = get-next-symbol  
         if s = end-of-file then accept  
         Else if s = a then goto S  
         Else if s = b then goto T



T:  $s = \text{get-next-symbol}$

If  $s = \text{end-of-file}$  then accept

Else if  $s = a$  then goto T

Else if  $s = b$  then reject.

End.

Given an FSM with states  $n$ . This approach will create a program of length  $2 + (|n| - 1) \leq 1 + 2$

Minimizing FSM:- (Important)

It refers to eliminating redundant states and thereby reducing the states of the FSM.

The main advantage of minimizing the states of DFsm is to reduce the length of the Program.

Our goal is to Convert the DFsm to a program that must be efficient in terms of time & Space.

Table Filling algorithm is used to Identify pair of equivalent (in-distinguishable) and distinguishable states.

Algorithm:

input: Pair of states  $(p, q)$

output: Set of Equivalent (indistinguishable) and distinguishable pair of states.

method:

1. If  $p$  is Final (accepting) state and  $q$  is Non-Final state and Vice Versa

then pair of states  $(p, q)$  is distinguishable

2. For any input symbol  $a$  in  $\Sigma$  (i.e.  $a \in \Sigma$ ), if  $\delta(p, a) = r$  and  $\delta(q, a) = s$  and

state  $r$  is Final state and state  $s$  is Non-Final state and Vice Versa, then pair of states

$(p, q)$  are distinguishable

3. Other wise  $(p, q)$  are equivalent (in-distinguishable) pair of states.

Problem:

(i) Consider the following DFSA.

$\delta$	0	1
$\rightarrow q_1$	$q_2$	$q_3$
$q_2$	$q_3$	$q_5$
$*q_3$	$q_4$	$q_3$
$q_4$	$q_3$	$q_5$
$*q_5$	$q_2$	$q_5$

(i) Draw a table of Distinguishable & In-distinguishable pair of states.

(ii) Construct minimum state equivalent DFSA.

Solution:

Step 1: Draw a table of  $(n-1) \times (n-1)$  states where  $n$  is the number of states of DFSA.

Here  $n = 5$

$n-1 = 4$

$q_2$	X			
* $q_3$	X	X		
$q_4$	X	✓	X	
* $q_5$	X	X	✓	X
	$q_1$	$q_2$	$q_3$	$q_4$

X - Distinguishable  
 ✓ - Equivalent  
Equivalent (Indistinguishable) pair of states:  
 $(q_2, q_4), (q_3, q_5)$

Final states:  $q_3, q_5$

Non-Final states:  $q_1, q_2, q_4$

Consider pair of states  $(q_1, q_5)$ .  
 Since  $q_1$  is non-Final state &  $q_5$  is Final state,  
 pair  $(q_1, q_5)$  is distinguishable.  
 In the table mark  $(q_1, q_5)$  as X (Distinguishable).

Consider the pair  $(q_1, q_3)$ .  
 Since  $q_1$  is Non-Final &  $q_3$  is Final state,  
 pair  $(q_1, q_3)$  is distinguishable.  
 Similarly mark other states by applying step ①  
 of table filling algorithm.

Consider a pair of states  $(q_1, q_4)$ . Here both  
 are Non-Final states.

apply step ② of table filling algorithm.

$\delta(q_1, 0) = q_2 \rightarrow$  Non-Final state  
 &  $\delta(q_4, 0) = q_3 \rightarrow$  Final state

Therefore pair  $(q_1, q_4)$  is distinguishable  
 mark  $(q_1, q_4)$  as X.

Consider pair of states  $(q_1, q_2)$  Here both are Non-Final states

apply step (2) of table filling algorithm,

$\delta(q_1, 0) = q_2$  - Non-accepting state

$\delta(q_2, 0) = q_3$  - accepting state

$\therefore (q_1, q_2)$  is distinguishable

Important  $\rightarrow$

Consider pair of states  $(q_2, q_4)$

$\delta(q_2, 0) = q_3$  which is Final state

$\delta(q_4, 0) = q_3$  ——— " ———

Now apply  $\delta(q_2, 1) = q_5$  which is final state

$\delta(q_4, 1) = q_5$  ——— " ———

$\therefore$  Therefore  $(q_2, q_4)$  is Indistinguishable pair (equivalent)

of states. mark as  $\checkmark$ .

Now Consider pair of states  $(q_3, q_5)$

$\delta(q_3, 0) = q_4$  which is Non-Final state

$\delta(q_5, 0) = q_2$  which is Non-Final state.

Now apply  $\delta(q_3, 1) = q_3$  - Final state

$\delta(q_5, 1) = q_5$  - Final state

Therefore  $(q_3, q_5)$  is equivalent (indistinguishable)

Step (ii): Now Partition the state set  $Q$  into blocks of mutually equivalent states.  
 We know Set  $Q = \{q_1, q_2, q_3, q_4, q_5\}$

and indistinguishable pair of states  $\{ (q_2, q_4), (q_3, q_5) \}$ .

Set  $Q$  can be partitioned into

$\{ \{q_1\}, \{q_2, q_4\}, \{q_3, q_5\} \}$

Step (iii): Minimized DFSA can be obtained as follows.

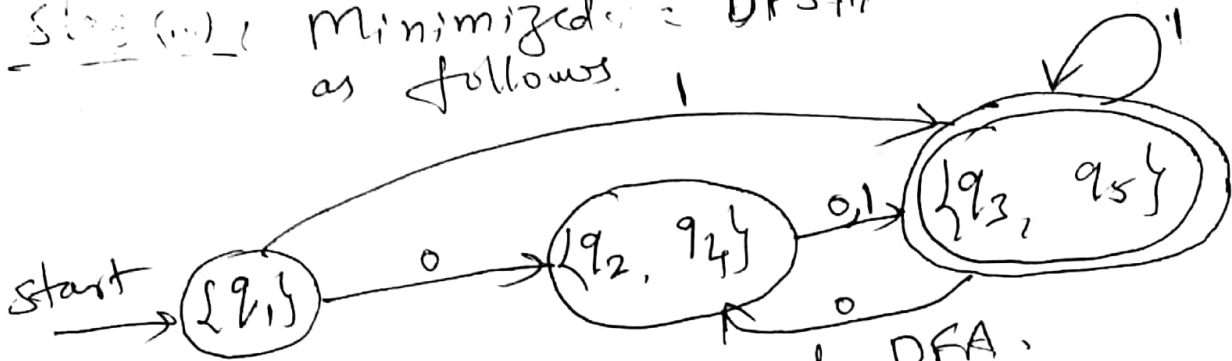


Fig) Minimized DFA.

Note 1 Use transition table (or diagram) of given FSM to construct Minimized DFSA.

$\delta(q_1, 0) = q_2$  which is in block  $\{q_2, q_4\}$ .  
 we draw an arc from  $q_1$  to  $\{q_2, q_4\}$  labelled with 0.

$\delta(q_1, 1) = q_3$  which is in block  $\{q_3, q_5\}$ .  
 Draw an arc from  $\{q_1\}$  to  $\{q_3, q_5\}$  labelled with 1.

Now Consider the block  $\{q_2, q_4\}$

$\delta(q_2, 0) = q_3$  which is in block  $\{q_3, q_5\}$   
draw an arc from  $q_3$  to  $(q_3, q_5)$  labelled with 0. in the construction

repeat this procedure for all the states of DFsm to obtain minimized DFsm

Problem(2): Minimize the following DFsm.

$\delta$	0	1
$\rightarrow A$	B	E
B	C	F
*C	D	H
D	E	H
E	F	I
*F	G	B
G	H	B
H	I	C
*I	A	E

Here  $n$  (no. of states) = 9  
 $n-1 = 8$

Step 1: Draw a table of  $n-1 \times n-1$  states

B	X							
C	X	X						
D	✓	X	X					
E	X	✓	X	X				
F	X	X	✓	X	X			
G	✓	X	X	✓	X	X		
H	X	✓	X	X	✓	X	X	
I	X	X	✓	X	X	✓	X	X
	A	B	C	D	E	F	G	H

X - Distinguishable  
✓ - equivalent  
(in-distinguishable)

Equivalent  
 (in-distinguishable)  
 pair of states

{	(A, D), (A, G)
	(B, E), (B, H)
	(C, F), (C, I)
	(D, G), (E, H)
	(F, I)

Now re-write the above pair of states as:

$(A, D), (A, G), (D, G) = (A, D, G)$

$(B, E), (B, H), (E, H) = (B, E, H)$

$(C, F), (C, I), (F, I) = (C, F, I)$

Step (II) Partition state set into Block of mutually equivalent states.

Set  $Q = \{A, B, C, D, E, F, G, H, I\}$

$\{ \{A, D, G\}, \{B, E, H\}, \{C, F, I\} \}$

Now Construct Minimum state DFSM



## Differences between DFSM & NDFSM

Deterministic Finite State Machine (DFSM)	Non-Deterministic Finite State Machine (NDFSM)
1) It Can determine exactly the single next state when it knows present state and input symbol.	1) It may or may not determine single next state given present state & input symbol
2) Next state is always a single state. $\delta(q, a) = p$ <div style="display: flex; justify-content: center; align-items: center; gap: 20px; margin-top: -10px;"> <div style="text-align: center;"> <math>\uparrow</math> present state         </div> <div style="text-align: center;"> <math>\uparrow</math> input symbol         </div> <div style="text-align: center;"> <math>\uparrow</math> Next state         </div> </div>	2) Next state may be a set of one or more states. that is $\delta(q, a) = \{p\}$ or $\delta(q, a) = \{p, q\}$
3) It has the ability to stay in exactly one state at any time	4) It has the ability to stay in <u>one</u> or <u>more</u> states
4) It always has exactly one transition (arrow) out of each state for the same input symbol.	4) It may have one or more arrows out of any state labelled with same input symbol
5) It Cannot guess on input	3) It may guess on input
6) It has more number of transitions (arrows)	6) It has less no. of transitions
7) Difficult to Construct due to restrictions	7) Easy to Construct due to flexibility