

Everywhere: If equations are part of a sentence you must use ":", ",", ... as in a sentence. E.g.:
 Hence, we get $a = b \cdot c.$

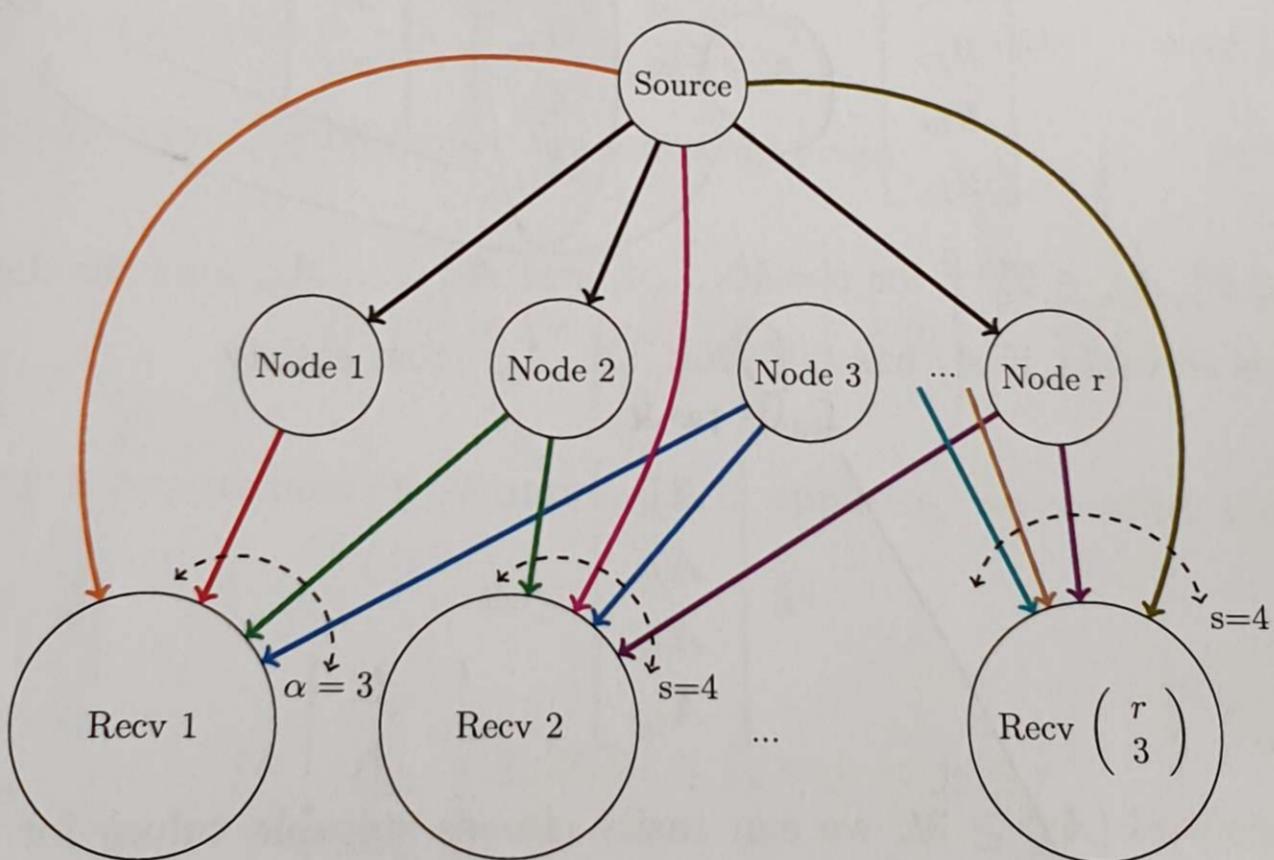
5 Combinatorial Results

In previous studies [EW18], there was no general vector solution found for multicast networks with $h = 3$ messages. Hence, we start with a probabilistic argument to prove that there exists a vector solution outperforming the optimal linear solution for the $(\epsilon = 1, \ell = 1) - \mathcal{N}_{h=3,r,s=4}$ network. Then we generalize the proof to the $(\epsilon = 1, \ell = 1) - \mathcal{N}_{h,r,s}$ network.

outperforming scalar vett. code ✓

5.1 $(\epsilon = 1, \ell = 1) - \mathcal{N}_{h=3,r,s=4}$ Network

Figure 5.1: The $(\epsilon = 1, \ell = 1) - \mathcal{N}_{h=3,r,s=4}$ network



very nice!

In this subsection, we derive a lower bound on the number of receivers for the $(\epsilon = 1, \ell = 1) - \mathcal{N}_{h=3,r,s=4}$ network. Due to $\alpha = 3$, the number of receivers is $N = \binom{r_{vector}}{3}$ by definition in Section 4.1. To derive the lower bound, we introduce a rank requirement on incoming packets to each receiver.

Figure 5.2: The vector network coding of $(\epsilon = 1, l = 1) - \mathcal{N}_{h=3, r, s=4}$ represents as a matrix problem

Scalar Coding	Vector Coding
$\begin{bmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \end{bmatrix} = \begin{bmatrix} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$ <p style="text-align: center;">has a solution if</p> $\text{rk} \begin{bmatrix} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{bmatrix} \geq 3 \Rightarrow \text{rk} \begin{bmatrix} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{bmatrix} \geq 2$ $\Rightarrow r_{\text{scalar}} \leq 2(q_s^2 + q_s + 1)$	$\begin{bmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \end{bmatrix} = \begin{bmatrix} t \\ \text{---} \\ t \\ \text{---} \\ t \\ \text{---} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$ <p style="text-align: center;">has a solution if</p> $\text{rk} \begin{bmatrix} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{bmatrix} \geq 3t \Rightarrow \text{rk} \begin{bmatrix} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{bmatrix} \geq 2t$ $\Rightarrow r_{\text{vector}} \geq ?$

Following to Equation 3.1, each receiver must solve a linear equation system of 3 variables with 4 equations to recover $h = 3$ messages as below:

$$\begin{bmatrix} y_{j_1} \\ y_{j_2} \\ y_{j_3} \\ y_{j_4} \end{bmatrix} = \mathbf{A}_j \cdot \underline{x} = \begin{bmatrix} \mathbf{A}_{j_1} \\ \mathbf{A}_{j_2} \\ \mathbf{A}_{j_3} \\ \mathbf{A}_{j_4} \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix},$$

bad notation!
 $\mathbf{A}_j, \mathbf{A}_{j_1}, \dots$
 not distinguish
 e.g. same \mathbf{A}_j differently

with $\underline{x}_i, y_{j_v} \in \mathbb{F}_q^t$, $\mathbf{A}_{j_v} \in \mathbb{F}_q^{t \times 3t}$ for $v = 1, \dots, 4$, and $\mathbf{A}_{j_1}, \dots, \mathbf{A}_{j_3}$ must be distinct.

The network is solvable, if \mathbf{A}_j has full rank, i.e. \mathbf{A}_{j_v} must satisfy:

$$\text{full rank} \quad \text{rk} \begin{bmatrix} \mathbf{A}_{j_1} \\ \mathbf{A}_{j_2} \\ \mathbf{A}_{j_3} \\ \mathbf{A}_{j_4} \end{bmatrix} \geq 3t$$

In order to satisfy $\text{rk}[\mathbf{A}_j] \geq 3t$, we can easily choose suitable values for the coefficient \mathbf{A}_{j_4} on the direct link from the source to R_j . However, the coefficients on the links from nodes to receivers are matters. Therefore, we focus on the following requirement:

English

$$\text{rk} \begin{bmatrix} \mathbf{A}_{j_1} \\ \mathbf{A}_{j_2} \\ \mathbf{A}_{j_3} \end{bmatrix} \geq 2t \quad (5.1)$$

"only if "full-rank" is used as adjective, e.g.
 ↗ adjective, refers to matrices

"the set of full-rank matrices" (with "-")

everywhere "the matrix has full rank" (without "-")
 ↑ noun

+ refer to
Fig. 5.2

This means that $\text{rk} \begin{bmatrix} \mathbf{A}_{j_1} \\ \mathbf{A}_{j_2} \\ \mathbf{A}_{j_3} \end{bmatrix} \geq 2t$ implies $\text{rk} [\mathbf{A}_j] \geq 3t$, or to satisfy $\text{rk} [\mathbf{A}_j] \geq 3t$, we need

$$\text{rk} \begin{bmatrix} \mathbf{A}_{j_1} \\ \mathbf{A}_{j_2} \\ \mathbf{A}_{j_3} \end{bmatrix} \geq 2t.$$

By this constraint, the problem is thus described as below:

$$\min_{\text{rk}[\mathbf{A}_j] \geq 3t} r_{\text{vector}} \quad \text{such that } \text{rk} \begin{bmatrix} \mathbf{A}_{j_1} \\ \mathbf{A}_{j_2} \\ \mathbf{A}_{j_3} \end{bmatrix} \geq 2t \text{ if and only if } \text{rk} (\mathbf{A}) \geq 3t.$$

$\mathbf{A}_{j_1}, \mathbf{A}_{j_2}, \mathbf{A}_{j_3}$ are matrices formed on any 3 of r links from nodes to receivers, i.e. these 3 matrices are randomly chosen from a set of r matrices. We formalize the problem by an approach with Lovász local lemma [Sch]. ~~47. consider proof (i)~~

Lemma 5.1 (Symmetric Lovász local lemma (LLL) [SCV13]). A set of events \mathcal{E}_i , with $i = 1, \dots, n$, such that each event occurs with probability at most p . If each event is independent of all others except for at most d of them and $4dp \leq 1$, then: $\Pr \left[\bigcap_{i=1}^n \overline{\mathcal{E}}_i \right] > 0$.

Lemma 5.2. For the network ($\epsilon = 1, l = 1$) - $\mathcal{N}_{h=3,r,s=4}$, the probability that vector solution does not exist is equal to $\frac{1}{q^{9t^2}} \sum_{i=0}^{2t-1} \prod_{j=0}^{i-1} \frac{(q^{3t} - q^j)^2}{q^i - q^j}$.

Proof. For our problem, let \mathcal{E}_i denote the following event:

$$\Pr [\mathcal{E}_i] = \Pr \left[\text{rk} \begin{bmatrix} \mathbf{A}_{j_1} \\ \mathbf{A}_{j_2} \\ \mathbf{A}_{j_3} \end{bmatrix} < 2t \right]$$

you messed up
p and $P(\bigcap \overline{\mathcal{E}}_i)$!
 $P[\mathcal{E}_i]$ \Rightarrow The problem statement is completely wrong

Because the rank requirement in Equation 5.1 is opposite, we consider the complement event T :

$$\text{rk} \begin{bmatrix} \mathbf{A}_{j_1} \\ \mathbf{A}_{j_2} \\ \mathbf{A}_{j_3} \end{bmatrix} \geq 2t, \forall 1 \leq j_1 < j_2 < j_3 \leq r$$

By the intersection rule, we have:

$$T = \bigcap_{\mathcal{E}_i \in \mathcal{E}} \overline{\mathcal{E}}_i$$

The probability of event T indicates a measure quantifying the likelihood that we will be able to construct $\text{rk} [\mathbf{A}_j] \geq 3t$ with j_1, j_2, j_3 in the integer numbers between 1 and r ,

Suggestion:

Lemma A: $p \leq \dots$

Proof...

Lemma B: $d \leq \dots$

Proof...

Theorem: If $t \leq \dots$ then a solution exists

Proof: Combine Lemmas & LLL. \square

this should be part of the proof of the main statement

it does not have anything to do with P ! (\neq)

including both. We need to maximize r , and the rank requirement 5.1 must be satisfied, i.e. the probability of event T must be higher than 0:

still (*)

$$\begin{aligned} & \Pr \left[rk \begin{bmatrix} \mathbf{A}_{j_1} \\ \mathbf{A}_{j_2} \\ \mathbf{A}_{j_3} \end{bmatrix} \geq 2t, \forall 1 \leq j_1 < j_2 < j_3 \leq r \right] > 0 \\ \Leftrightarrow & \Pr [T] > 0 \\ \Leftrightarrow & \Pr \left[\bigcap_{\mathcal{E}_i \in \mathcal{E}} \bar{\mathcal{E}}_i \right] > 0 \end{aligned}$$

Following to LLL, each event occurst with probability at most p :

$$\Pr [\mathcal{E}_i] = \Pr \left[rk \begin{bmatrix} \mathbf{A}_{i_1} \\ \mathbf{A}_{i_2} \\ \mathbf{A}_{i_3} \end{bmatrix} < 2t \right] \leq p \quad (5.2)$$

Regarding to the left-hand side:

$$\begin{aligned} \Pr \left[rk \begin{bmatrix} \mathbf{A}_{i_1} \\ \mathbf{A}_{i_2} \\ \mathbf{A}_{i_3} \end{bmatrix} < 2t \right] &= \sum_{i=0}^{2t-1} \Pr \left[rk \begin{bmatrix} \mathbf{A}_{i_1} \\ \mathbf{A}_{i_2} \\ \mathbf{A}_{i_3} \end{bmatrix} = i \right] \\ &\stackrel{1}{=} \sum_{i=0}^{2t-1} \frac{N_{t,m,n}}{q^{m \cdot n}} \\ &= \sum_{i=0}^{2t-1} \frac{\prod_{j=0}^{i-1} \frac{(q^m - q^j)(q^n - q^j)}{q^i - q^j}}{q^{m \cdot n}} \\ &\stackrel{2}{=} \sum_{i=0}^{2t-1} \frac{\prod_{j=0}^{i-1} \frac{(q^{3t} - q^j)^2}{q^i - q^j}}{q^{9t^2}} \quad \square \rightarrow | \quad (5.3) \end{aligned}$$

otherwise

By varying t in Equation (5.3), we have the following table:

Table 5.1: r over variations of t

t	Scalar Solution	Vector Solution
1	$r_{scalar} \leq 14$	$r_{vector} \geq 3$
2	$r_{scalar} \leq 42$	$r_{vector} \geq 7$ (67*, 89**)
3	$r_{scalar} \leq 146$	$r_{vector} \geq 62$ (166*)
4	$r_{scalar} \leq 546$	$r_{vector} \geq 1317$
5	$r_{scalar} \leq 2114$	$r_{vector} \geq 58472$
6	$r_{scalar} \leq 8322$	$r_{vector} > 10^6$

*, **: computational results in construction 1 and construction 2 respectively

should be
after each
statement!

In the table (5.1), the vector solution outperforms the scalar solution when $t \geq 4$ for the network $(\epsilon = 1, l = 1) - \mathcal{N}_{h=3,r,s=4}$. This is sufficient, later on we show computational results which vector solutions outperform scalar solutions in case of $t = 2$ and $t = 3$.

Lemma 5.3. For the network $(\epsilon = 1, l = 1) - \mathcal{N}_{h=3,r,s=4}$, the probability that vector solution does not exist is less than or equal to $\Theta(q^{-t^2-2t-1})$. *again messed up*

Proof. This lemma is a tight bound for Equation 5.2 in Lemma 5.2, i.e. we try to maximize p with an exact maximum value. We consider the nominator of Equation (5.3):

$$\text{why fight? } \prod_{j=0}^{i-1} \frac{(q^{3t} - q^j)^2}{q^i - q^j} = \frac{p_N^{(i)}(q)}{p_D^{(i)}(q)} = p^{(i)}(q) \quad \text{numerator (?)}$$

Due to i -times product and large t :

$$\left. \begin{array}{l} \deg(p_N^{(i)}(q)) = q^{i6t} \\ \deg(p_D^{(i)}(q)) = q^{i^2} \end{array} \right\} \Rightarrow p^{(i)}(q) \approx q^{i6t-i^2}$$

Then we have:

$$\sum_{i=0}^{2t-1} \prod_{j=0}^{i-1} \frac{(q^{3t} - q^j)^2}{q^i - q^j} = \sum_{i=0}^{2t-1} p^{(i)}(q) \approx \sum_{i=0}^{2t-1} q^{i6t-i^2}$$

To maximize the sum, we set derivation of to 0 and find its root:

$$\begin{aligned} (i6t - i^2)' &= 0 \\ \Leftrightarrow 6t - 2i &= 0 \\ \Leftrightarrow i &= 3t \end{aligned}$$

However, the upper limit of sum is $(2t - 1)$, which is less than $3t$. \diamond

$$\Rightarrow \max \left\{ q^{i6t-i^2} : i = 0, 1, 2, \dots, 2t-1 \right\} = q^{i6t-i^2} \Big|_{i=2t-1} = q^{8t^2-2t-1}.$$

Hence, by using the exact bound Θ , we have:

$$\sum_{i=0}^{2t-1} p^{(i)}(q) \in \Theta \left(\max \left\{ q^{i6t-i^2} : i = 1, 2, \dots, 2t-1 \right\} \right) = \Theta \left(q^{8t^2-2t-1} \right)$$

$$\Rightarrow \frac{\sum_{i=0}^{2t-1} p^{(i)}(q)}{q^{9t^2}} \in \Theta \left(q^{-t^2-2t-1} \right). \quad \text{□} \quad \begin{array}{l} \text{statement does} \\ \text{not make a lot of} \\ \text{sense} \end{array}$$

Lemma 5.4. If $d \leq \frac{3}{2}r^2$, we have $r_{\max, \text{vector}} \geq \Omega \left(q^{t^2/2+O(t)} \right)$.

Proof. We proceed the other constraint of LLL in Lemma 5.1: $4dp \leq 1$. Regarding to d , we have:

$$\begin{aligned} d(r) &\leq 3 \cdot \binom{r-1}{2} = 3 \cdot \frac{(r-1)(r-2)}{2} = \frac{3}{2}(r^2 - 3r + 2) \\ &\leq \frac{3}{2}r^2 = d_{\max}(r) \end{aligned}$$

Because $4pd_{\max}(r) \leq 1$ implies that $4pd \leq 1$, we consider $d_{\max}(r)$ directly:

$$4 \cdot p \cdot d_{\max}(r) \leq 1 \Rightarrow 4 \cdot p \cdot \frac{3}{2}r^2 \leq 1 \Rightarrow r \leq \sqrt{\frac{1}{6p}} = r_{\max, \text{vector}}$$

Similarly with above, d and r are proportional, so minimizing r is equivalent to maximizing p . The purpose is to achieve a strict lower bound proving vector solutions always outperform scalar solutions in a specific range of t , i.e., $r_{\max, \text{vector}}$ asymptotes to a value higher than $r_{\max, \text{scalar}}$.

By applying Lemma 5.3, we have:

$$r_{\max, \text{vector}} \in \Omega \left(\sqrt{\frac{1}{6p}} \right) = \Omega \left(\sqrt{\frac{1}{6q^{-t^2-2t-1}}} \right) = \Omega \left(q^{t^2/2+O(t)} \right) \quad \text{□} \longrightarrow |$$

Theorem 5.1. For the network $(\epsilon = 1, l = 1) - \mathcal{N}_{h=3, r, s=4}$, the achieved gap is $q^{t^2/4+O(t)}$.

Proof. In advance, we have: $r_{\max, \text{scalar}} \in O(q_s^2)$ [EW18], specifically,

$$\underbrace{? \text{ English?}}_{r_{\text{scalar}}} \leq 2 \left[\begin{array}{c} 3 \\ 1 \end{array} \right]_{q_s} = 2(q_s^2 + q_s + 1) \quad (5.4)$$

Finally, following to Section 3.4.2 and Lemma 5.4, we have the gap size:

$$\begin{aligned}
 r_{max,scalar} &= r_{max,vector} \\
 \Leftrightarrow q_s^2 &= q^{t^2/2 + \mathcal{O}(t)} \\
 \Leftrightarrow q_s &= q^{t^2/4 + \mathcal{O}(t)} \\
 \Rightarrow g &= q_s - q_v = q^{t^2/4 + \mathcal{O}(t)} \quad \square \quad (5.5)
 \end{aligned}$$

why so much space?

5.2 ($\epsilon = 1, l = 1$) - $\mathcal{N}_{h,r,s}$ Network

5.2.1 Find the lower bound of $r_{max,vector}$

Following to Theorem (4.1), we are interested in the following range: $\ell + \epsilon + 1 \leq h \leq \alpha\ell + \epsilon$.

As previous, $\mathbf{A}_{j_1}, \dots, \mathbf{A}_{j_{h-\epsilon}} \in \mathbb{F}_q^{t \times ht}$ and we need to satisfy the following:

$$rk \begin{bmatrix} \mathbf{A}_{j_1} \\ \vdots \\ \mathbf{A}_{j_{h-\epsilon}} \end{bmatrix} \geq ht - t \Leftrightarrow rk [\mathbf{A}_j] \geq (h-1)t$$

We can formulate it by the following coding problem in Grassmannian:

Find the largest set of subspaces from $\mathcal{G}_q(ht, t)$ such that any α subspaces of the set span a subspace of dimension at least $(h-1)t$.

Similar to $(\epsilon = 1, \ell = 1) - N_{3,r,4}$, we consider p to proceed LLL:

$$Pr [rk [\mathbf{A}] < (h-1)t] \leq p$$

Regarding to the left-hand side:

$$\begin{aligned}
 Pr [rk [\mathbf{A}] < (h-1)t] &= \sum_{i=0}^{(h-1)t-1} Pr [rk [\mathbf{A}] = i] \\
 &\stackrel{1}{=} \sum_{i=0}^{(h-1)t-1} \frac{N_{i,\alpha t,ht}}{q^{(\alpha t)(ht)}} \\
 &= \frac{1}{q^{(\alpha h)t^2}} \cdot \sum_{i=0}^{(h-1)t-1} \prod_{j=0}^{i-1} \frac{(q^{\alpha t} - q^j)(q^{ht} - q^j)}{q^i - q^j} \quad (5.6)
 \end{aligned}$$

Firstly, we consider the product: