

Grassmannian Codes With New Distance Measures for Network Coding

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Abstract—Grassmannian codes are known to be useful in error correction for random network coding. Recently, they were used to prove that vector network codes outperform scalar linear network codes, on multicast networks, with respect to the alphabet size. The multicast networks which were used for this purpose are generalized combination networks. In both the scalar and the vector network coding solutions, the subspace distance is used as the distance measure for the codes which solve the network coding problem in the generalized combination networks. In this paper, we show that the subspace distance can be replaced with two other possible distance measures which generalize the subspace distance. These two distance measures are shown to be equivalent under an orthogonal transformation. It is proved that the Grassmannian codes with the new distance measures generalize the Grassmannian codes with the subspace distance and the subspace designs with the strength of the design. Furthermore, optimal Grassmannian codes with the new distance measures have minimal requirements for the network coding solutions of some generalized combination networks. The coding problems related to these two distance measures, especially with respect to network coding, are discussed. Finally, by using these new concepts, it is proved that the codes in the Hamming scheme form a subfamily of the Grassmannian codes.

Index Terms—Distance measures, generalized combination networks, Grassmannian codes, network coding.

I. INTRODUCTION

NETWORK coding has been attracting increasing attention in the last fifteen years. The seminal work of Ahlswede *et al.* [1] and Li *et al.* [24] introduced the basic concepts of network coding and how network coding outperforms the well-known routing. The class of networks which are mainly studied is the class of multicast networks and these are also the target of this work. A *multicast network* is a directed acyclic graph with one source. The source has h messages, which are scalars over a finite field \mathbb{F}_q . The network has N receivers, each one demands all the h messages of the source to be transmitted in one round of a network use. An up-to-date survey on network coding for multicast networks can be found for example in [21]. Kötter and Médard [29] provided an algebraic formulation for

the network coding problem: for a given network, find coding coefficients for each edge, whose starting vertex has in-degree greater than one. These coding coefficients are multiplied by the symbols received at the starting node of the edge and these products are added together. These coefficients should be chosen in a way that each receiver can recover the h messages from its received symbols on its incoming edges. This sequence of coding coefficients at each such edge is called the *local coding vector* and the edge is called a *coding point*. Such an assignment of coding coefficients for all such edges in the network is called a *solution* for the network and the network is called *solvable*. It is easy to verify that the information on each edge is a linear combination of the h messages. The vector of length h of these coefficients of this linear combination is called the *global coding vector*. From the global coding vectors and the symbols on its incoming edges, the receiver should recover the h messages, by solving a set of h linearly independent equations. The coding coefficients defined in this way are scalars and the solution is a *scalar linear solution*. Ebrahimi and Fragouli [7] have extended this algebraic approach to vector network coding. In the setting of vector network coding, the messages of the source are vectors of length ℓ over \mathbb{F}_q and the coding coefficients are $\ell \times \ell$ matrices over \mathbb{F}_q . A set of matrices, which have the role of the coefficients of these vector messages, such that all the receivers can recover their requested information, is called a *vector solution*. Also in the setting of vector network coding we distinguish between the local coding vectors and the global coding vectors. There is a third type of network coding solution, a scalar nonlinear network code. Again, in each coding point there is a function of the symbols received at the starting node of the coding point. This function can be linear or nonlinear. There is clearly a hierarchy, where a scalar linear solution can be translated to a vector solution, and a vector solution can be translated to a scalar nonlinear solution.

The *alphabet size* of the solution is an important parameter that directly influences the complexity of the calculations at the network nodes and as a consequence the performance of the network. A comparison between the required alphabet size for a scalar linear solution, a vector solution, and a scalar nonlinear solution, of the same multicast network is an important problem. It was proved in [18] and [19] that there are multicast networks on which a vector network coding solution with vectors of length ℓ over \mathbb{F}_q *outperforms* any scalar linear network coding solution, i.e. the scalar solution requires an alphabet of size q_s , where $q_s > q^\ell$. The proof used a family of networks called the *generalized*

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combination networks, where the combination networks were defined and used in [34].

Kötter and Kschischang [30] introduced a framework for error-correction in random network coding. They have shown that for this purpose the codewords (messages) are taken as subspaces over a finite field \mathbb{F}_q . For this purpose they have defined the *subspace distance*. This approach was mainly applied on subspaces of the same dimension. For given positive integers n and k , $0 \leq k \leq n$, the Grassmannian $\mathcal{G}_q(n, k)$ is the set of all subspaces of \mathbb{F}_q^n whose dimension is k . It is well known that

$$|\mathcal{G}_q(n, k)| = \begin{bmatrix} n \\ k \end{bmatrix}_q \stackrel{\text{def}}{=} \frac{(q^n - 1)(q^{n-1} - 1) \cdots (q^{n-k+1} - 1)}{(q^k - 1)(q^{k-1} - 1) \cdots (q - 1)}$$

where $\begin{bmatrix} n \\ k \end{bmatrix}_q$ is the q -binomial coefficient (known also as the q -ary Gaussian coefficient [45, pp. 325–332]). A code $\mathbb{C} \in \mathcal{G}_q(n, k)$ is called a *Grassmannian code* or a *constant dimension code*. For two subspaces $X, Y \in \mathcal{G}_q(n, k)$ the subspace distance is reduced to the *Grassmannian distance* defined by

$$d_G(X, Y) \stackrel{\text{def}}{=} k - \dim(X \cap Y).$$

Most of the research on Grassmannian codes motivated by [30] was in two directions – finding the largest codes with prescribed minimum Grassmannian distance and looking for designs based on subspaces. To this end, the quantity $\mathcal{A}_q(n, 2d, k)$ was defined as the maximum size of a code in $\mathcal{G}_q(n, k)$ with minimum Grassmannian distance d . There has been extensive work on Grassmannian codes in the last ten years, e.g. [13]–[16], [36] and references therein. A related concept is a *subspace design* or a *block design* t -(n, k, λ) $_q$ which is a collection \mathbb{S} of k -subspaces from $\mathcal{G}_q(n, k)$ (called *blocks*) such that each subspace of $\mathcal{G}_q(n, t)$ is contained in exactly λ blocks of \mathbb{S} , where t is called the *strength* of the design. In particular if $\lambda = 1$ this subspace design is called a q -Steiner system and is denoted by $S_q(t, k, n)$. Note, that such a q -Steiner system is a Grassmannian code in $\mathcal{G}_q(n, k)$ with minimum Grassmannian distance $k - t + 1$. Such subspace designs were considered for example in [3]–[5], [9], [11], [20], [27], [28], [32], [33], and [38]–[42].

The goal of this work is to show that there is a tight connection between optimal Grassmannian codes and network coding solutions for the generalized combination networks. We will define two new dual distance measures on Grassmannian codes which generalize the Grassmannian distance. We discuss the maximum sizes of Grassmannian codes with the new distance measures and analyze these bounds from a few different point of view. We explore the connection between these codes and related generalized combination networks. Our exposition will derive some interesting properties of these codes with respect to the traditional Grassmannian codes and some subspace designs. We will show, using a few different approaches, that codes in the Hamming space form a subfamily of the Grassmannian codes. Some other interesting connections to subspace designs and codes in the Hamming scheme will be also explored.

The Grassmannian codes (constant dimension codes) are the q -analog of the constant weight codes, where q -analogs

replace concepts of subsets by concepts of subspaces when problems on sets are transferred to problems on subspaces over the finite field \mathbb{F}_q . For example, the size of a set is replaced by the dimension of a subspace, the binomial coefficients are replaced by the Gaussian coefficients, etc. The Grassmannian space is the q -analog of the Johnson space and the subspace distance is the q -analog of the Hamming distance. The new distance measures are q -analogs of related distances in the Johnson space. The *Johnson scheme* $J(n, w)$ consists of all w -subsets of an n -set (equivalent to binary words on length n and weight w). The *Johnson distance* $d_J(x, y)$ between two w -subsets x and y is half of the related Hamming distance, i.e., $d_J(x, y) \triangleq |x \setminus y|$.

The rest of this paper is organized as follows. In Section II we present the combination network and its generalization which was defined in [18] and [19]. We discuss the family of codes which provide network coding solutions for these networks. We will make a brief comparison between the related scalar coding solutions and vector coding solutions. In Section III we further consider this family of codes, define two dual distance measures on these codes, and show how these codes and the new distance measures defined on them generalize the conventional Grassmannian codes with the Grassmannian distance. We show the connection of these codes to subspace designs. We prove that for each such code of the largest size, over \mathbb{F}_q , there exists a generalized combination network which is solved only by this code (or another code with at least the same number of codewords and possibly more relaxed parameters). Finally, we discuss which subfamily of these codes is useful for vector network coding. In Section IV basic results on the upper bounds on sizes of these codes are presented. At this point we note that some of the codes can have repeated codewords. Section IV concentrates first on the case where there are no repeated codewords in the code. It continues with upper bounds on sizes of codes where repeated codewords are considered. In Section V we analyse the strength of our bounds and the implementation of the codes on specific generalized combination networks. In Section VI we discuss a few approaches to show how codes in the Hamming space form a subfamily of codes in the Grassmann space. We also discuss other connections of the newly defined distance measures and codes in the Hamming scheme. Section VII provides a conclusion and some directions for future research.

II. GENERALIZED COMBINATION NETWORKS

In this section we will define the generalized combination network which is a generalization of the combination network [34]. This network defined in [18] and [19] was used to prove that vector network coding outperforms scalar linear network coding, in multicast networks, with respect to the alphabet size, using Grassmannian codes.

The *generalized combination network* is called the ϵ -direct links k -parallel links $\mathcal{N}_{h,r,s}$ network, in short the (ϵ, k) - $\mathcal{N}_{h,r,s}$ network. The network has three layers. In the first layer there is a source with h messages. In the second layer there are r nodes. The source has k parallel links to each node in the middle (second) layer. From any $\alpha = \frac{s-\epsilon}{k}$ nodes in the

middle layer, there are links to one receiver in the third layer, i.e. there are $\binom{r}{\alpha}$ receivers in the third layer. From each one of these α nodes there are k parallel links to the related receiver in the third layer. Additionally, from the source there are ϵ direct parallel links to each one of the $\binom{r}{\alpha}$ receivers in the third layer. Therefore, each receiver has $s = \alpha k + \epsilon$ incoming links. The $(0, 1)\text{-}\mathcal{N}_{h,r,s}$ network is the combination network defined in [34]. This network has neither parallel links (between nodes) nor direct links (from the source to the receiver). We will assume some relations between the parameters h , α , ϵ , and k such that the resulting network does not have a trivial solution or no solution.

Theorem 1 ([18, Th. 8]). *The $(\epsilon, k)\text{-}\mathcal{N}_{h,r,\alpha k+\epsilon}$ network has a trivial solution if $k+\epsilon \geq h$, and it has no solution if $\alpha k+\epsilon < h$. Otherwise, the network has a nontrivial solution.*

Which network codes over \mathbb{F}_q solve the networks from this family of networks? The answer to this natural question is quite simple. Since each receiver has ϵ direct links from the source, it follows that the source can send any required ϵ -subspace of \mathbb{F}_q^h to the receiver. Hence, the receiver must be able to obtain an $(h - \epsilon)$ -subspace of \mathbb{F}_q^h from the α middle layer nodes connected to it. Each one of these α nodes in the middle layer can send to the receiver a k -subspace of \mathbb{F}_q^h (we can assume w.l.o.g. that each node of the middle layer holds a k -subspace of \mathbb{F}_q^h). Hence, a scalar linear solution for the network exists if and only if the linear span of the k -subspaces of each subset of α nodes in the middle layer is at least of dimension $h - \epsilon$. Hence, a scalar linear solution for the network exists if and only if there exists a Grassmannian code \mathbb{C} with r k -subspaces of \mathbb{F}_q^h , such that each subset of α codewords (k -subspaces) spans a subspace whose dimension is at least $h - \epsilon$. For this solution the coding coefficients on a set of $s = \alpha k + \epsilon$ links (α sets with k -parallel links and one set of ϵ -direct links) are computed as follows. The k links from the source to the i -th node in the middle layer are associated with the i -th codeword of \mathbb{C} . From this codeword any k linearly independent vectors are chosen. The h elements of \mathbb{F}_q in each such vector of length h are the h coding coefficients on a related edge from the source to the i -th node in the middle layer. The middle layer nodes transmit on its outgoing links the exact information it receives from the source. Given a receiver R , the αk vectors on the edges entering R (formed from coding coefficients on the edges) span a subspace X whose dimension is at least $h - \epsilon$. On the ϵ edges entering R from the source, there are coding coefficients whose related vectors complete X to \mathbb{F}_q^h . These coding vectors form the scalar linear solution for the network. A different analysis for the combination network, i.e., $\epsilon = 0$ and $k = 1$ was given by Riis and Ahlswede [34]. For this we need to define an (n, M, d) code over \mathbb{F}_q to be a subset of M words of length n over \mathbb{F}_q with minimum Hamming distance d . The functions on the edges related to the scalar nonlinear solution implied by the following theorem will be explained (in the general context of the generalized combination networks) in Section VI-A, where they are relevant.

Theorem 2 ([34, Proposition 3]). *The $(0, 1)\text{-}\mathcal{N}_{h,r,s}$ network is solvable over \mathbb{F}_q if and only if there exists an $(r, q^h, r - s + 1)$ code over \mathbb{F}_q .*

For a vector solution of the $(\epsilon, k)\text{-}\mathcal{N}_{h,r,\alpha k+\epsilon}$ network, the h messages are vectors of length ℓ over \mathbb{F}_q . Therefore, the total number of entries in the messages is $h\ell$ and the h messages span an $(h\ell)$ -space. Hence, each receiver should obtain the space $\mathbb{F}_q^{h\ell}$ from its incoming edges. The source can send each receiver an $(\epsilon\ell)$ -subspace. This implies that each receiver should obtain a subspace whose dimension is at least $(h - \epsilon)\ell$ from the related α middle layer nodes. On each set of k parallel links a node can send a $(k\ell)$ -subspace of $\mathbb{F}_q^{h\ell}$. Hence, similarly to the scalar linear coding solution, a vector solution for the network exists if and only if there exists a Grassmannian code with r $(k\ell)$ -subspaces of $\mathbb{F}_q^{h\ell}$, such that each subset of α codewords ($(k\ell)$ -subspaces) spans a subspace whose dimension is at least $(h - \epsilon)\ell$.

III. COVERING/MULTIPLE GRASSMANNIAN CODES

In this section we provide the formal definition for the codes required to solve the generalized combination networks. We define two distance measures on these codes and prove that Grassmannian codes and subspace designs, are subfamilies of the related family of codes. We present some basic properties of these codes and their connection to the network coding solutions for the generalized combination networks.

An $\alpha\text{-}(n, k, \delta)_q^c$ covering Grassmannian code (code in short) \mathbb{C} is a subset of $\mathcal{G}_q(n, k)$ such that each subset of α codewords of \mathbb{C} spans a subspace whose dimension is at least $\delta + k$ in \mathbb{F}_q^n . The following theorem is easily verified.

Theorem 3. $\mathbb{C} \in \mathcal{G}_q(n, k)$ has minimum Grassmannian distance δ if and only if \mathbb{C} is a $2\text{-}(n, k, \delta)_q^c$ code.

Proof. A code $\mathbb{C} \in \mathcal{G}_q(n, k)$ has minimum Grassmannian distance δ if for each two distinct codewords $X, Y \in \mathbb{C}$ we have $\delta \leq d_G(X, Y) = k - \dim(X \cap Y)$. Since $\dim(X \cap Y) = \dim X + \dim Y - \dim(X \cup Y) = 2k - \dim(X \cup Y)$ it follows that

$$\dim(X \cup Y) = 2k - \dim(X \cap Y) \geq k + \delta,$$

and hence \mathbb{C} is a $2\text{-}(n, k, \delta)_q^c$ code.

On the other hand if \mathbb{C} is a $2\text{-}(n, k, \delta)_q^c$ code then for each two distinct codewords $X, Y \in \mathbb{C}$,

$$\begin{aligned} k + \delta &\leq \dim(X \cup Y) = \dim X + \dim Y - \dim(X \cap Y) \\ &= 2k - \dim(X \cap Y), \end{aligned}$$

which implies that

$$\delta \leq k - \dim(X \cap Y) = d_G(X, Y),$$

i.e., \mathbb{C} has minimum Grassmannian distance δ . \square

Theorem 3 implies that the Grassmannian codes with the Grassmannian distance form a subfamily of the $\alpha\text{-}(n, k, \delta)_q^c$ codes. It also implies that it is natural to define the quantity δ as the minimum α -Grassmannian distance of the code and to define the quantity $k + \delta$ as the minimum α -Grassmannian covering, where the 2-Grassmannian distance is just the Grassmannian distance. In other words, the α -Grassmannian covering of α subspaces in $\mathcal{G}_q(n, k)$ is the dimension of the subspace which they span in \mathbb{F}_q^n , and the α -Grassmannian distance is the α -Grassmannian covering minus k . A code $\mathbb{C} \in \mathcal{G}_q(n, k)$ has minimum α -Grassmannian distance δ if each subset of

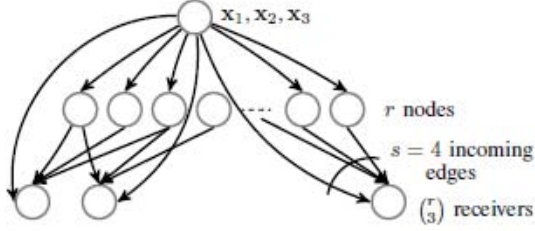


Fig. 1. The $(1, 1)\text{-}\mathcal{N}_{3,r,4}$ network, each one of the $\binom{r}{3}$ receivers obtains its information from three links of three distinct nodes in the middle layer and one direct link from the source, where x_1, x_2 , and x_3 are the three messages.

α codewords of \mathbb{C} has α -Grassmannian distance at least δ . For such a code the minimum α -Grassmannian covering is $k + \delta$. The quantity $\tilde{B}_q(n, k, \delta; \alpha)$ will denote the maximum size of an α -(n, k, δ) $_q^c$ code. For the solution of the generalized combination network one can use two identical codewords in such a code. But, from a coding theory point of view it is more interesting to consider such codes in which there are no repeated codewords. For this we define the quantity $B_q(n, k, \delta; \alpha)$ to be the maximum size of an α -(n, k, δ) $_q^c$ code in which there are no repeated codewords. Nevertheless, codes with repeated codewords are sometimes essential, at least for the network coding solution, as is demonstrated in the next example.

Example 1. Consider the $(1, 1)\text{-}\mathcal{N}_{3,r,4}$ network in Figure 1. In a scalar linear solution, a receiver should get from its three incoming links a subspace of \mathbb{F}_q^3 whose dimension is at least two. On each link a one-dimensional subspace of \mathbb{F}_q^3 is sent. Hence, the largest r for which the network is solvable over \mathbb{F}_q is $2\lceil \frac{3}{2} \rceil_q$. The solution consists of a $3\text{-}(3, 1, 1)_q^c$ code which contains all one-dimensional subspaces of \mathbb{F}_q^3 , each one is contained twice in the code. This implies that $\tilde{B}_q(3, 1, 1; 3) = 2\lceil \frac{3}{2} \rceil_q$, while $B_q(3, 1, 1; 3) = \lceil \frac{3}{2} \rceil_q$.

We continue in this section with the assumption that codewords can be repeated, since the network coding solution can use repeated codewords. In Section IV we will discuss bounds only for codes with non-repeated codewords, and the difference when we allow repeated codewords. From our discussion it can be readily verified that if \mathbb{C} is an α -(n, k, δ) $_q^c$ code which attains $\tilde{B}_q(n, k, \delta; \alpha)$ then \mathbb{C} solves the $(\epsilon, k)\text{-}\mathcal{N}_{n,r,ak+\epsilon}$ network, where $\epsilon \geq n - \delta - k$ and $r \leq \tilde{B}_q(n, k, \delta; \alpha)$. Such a code implies the largest r possible for such a network, given fixed n, k, α , and ϵ . The largest r means the maximum number of nodes that can be taken for the middle layer. Clearly from our previous discussion, such a code has parameters with the minimum requirements which are necessary to solve such a network. These requirements are given in the following theorem which generalizes Theorem 2.

Theorem 4. The $(\epsilon, k)\text{-}\mathcal{N}_{h,r,ak+\epsilon}$ network is solvable over \mathbb{F}_q if and only if there exists an α -($h, k, h - k - \epsilon$) $_q^c$ code with r codewords.

Thus, Theorem 4 implies that each such covering Grassmannian code of the maximum size is exactly what is required to solve a certain instance of the generalized combination networks.

The way in which the code solves the generalized combination network, as described, is very natural when we consider the definition of the generalized combination network. It implies the generalization for the Grassmannian distance, namely the α -Grassmannian distance. Since some might argue that this generalization is less natural from a point of view of a code definition, we have also defined the α -Grassmannian covering which yields a natural interpretation for the α -Grassmannian distance. Now, we will translate this definition into the requirement from a packing point of view. For this purpose we will need to use the *dual* subspace V^\perp of a given subspace V in \mathbb{F}_q^n , and the orthogonal complement of a given code \mathbb{C} . For a code \mathbb{C} in $\mathcal{G}_q(n, k)$ the *orthogonal complement* \mathbb{C}^\perp is defined by

$$\mathbb{C}^\perp \stackrel{\text{def}}{=} \{V^\perp : V \in \mathbb{C}\}.$$

It is well-known [16, Lemma 13] that the minimum subspace distance of \mathbb{C} and the minimum subspace distance of \mathbb{C}^\perp are equal. The following lemma is also well known.

Lemma 1 ([16, Lemma 12]). For any two subspaces U, V of \mathbb{F}_q^n we have that $U^\perp \cap V^\perp = (U + V)^\perp$.

Clearly, by induction we have the following consequence from Lemma 1.

Corollary 1. For any given set of α subspaces $V_1, V_2, \dots, V_\alpha$ of \mathbb{F}_q^n we have

$$\bigcap_{i=1}^{\alpha} V_i^\perp = \left(\sum_{i=1}^{\alpha} V_i \right)^\perp.$$

Corollary 1 induces a new definition of a distance measure for the orthogonal complements of the Grassmannian codes which solve the generalized combination networks. For a Grassmannian code $\mathbb{C} \in \mathcal{G}_q(n, k)$, the minimum λ -multiple Grassmannian distance is $k - t + 1$, where t is the smallest integer such that each t -subspace of \mathbb{F}_q^n is contained in at most λ k -subspaces of \mathbb{C} . In the sequel it will be explained why this definition is a natural generalization of the Grassmannian distance. Moreover, we will present it later in a more natural way, which is similar to the definition of a subspace design. These will be understood from the results given in the rest of this section.

Theorem 5. If $\mathbb{C} \in \mathcal{G}_q(n, k)$ is an α -(n, k, δ) $_q^c$ code then $\mathbb{C}^\perp \in \mathcal{G}_q(n, n - k)$ has minimum $(\alpha - 1)$ -multiple Grassmannian distance δ .

Proof. By the definition of an α -(n, k, δ) $_q^c$ code it follows that for each α subspaces $V_1, V_2, \dots, V_\alpha$ of \mathbb{C} we have that $\dim(\sum_{i=1}^{\alpha} V_i) \geq \delta + k$ and hence $\dim(\sum_{i=1}^{\alpha} V_i)^\perp \leq n - \delta - k$. Therefore, by Corollary 1 we have that $\dim(\bigcap_{i=1}^{\alpha} V_i^\perp) \leq n - \delta - k$. This implies that each subspace of dimension $n - \delta - k + 1$ of \mathbb{F}_q^n can be contained in at most $\alpha - 1$ codewords of \mathbb{C}^\perp . Thus, since $\mathbb{C}^\perp \in \mathcal{G}_q(n, n - k)$, it follows by definition that the minimum $(\alpha - 1)$ -multiple Grassmannian distance of \mathbb{C}^\perp is $(n - k) - (n - \delta - k + 1) + 1 = \delta$. \square

Theorem 5 leads to a new definition for Grassmannian codes (based on orthogonal complements of α -(n, k, δ) $_q^c$ codes). A t -(n, k, λ) $_q^m$ multiple Grassmannian code (code in short) \mathbb{C} is a subset of $\mathcal{G}_q(n, k)$ such that each t -subspace of \mathbb{F}_q^n is contained in at most λ codewords of \mathbb{C} .

Similarly, let $\tilde{A}_q(n, k, t; \lambda)$ denote the maximum size of a t -(n, k, λ) $_q^m$ code. Let $A_q(n, k, t; \lambda)$ denote the maximum size of a t -(n, k, λ) $_q^m$ code, where there are no repeated codewords. Clearly, a subspace design t -(n, k, λ) $_q$ is a t -(n, k, λ) $_q^m$ code. It should be noted that also in combinatorial designs repeated blocks are usually not considered in the literature. One can easily verify that

Theorem 6. $\mathbb{C} \in \mathcal{G}_q(n, k)$ has minimum Grassmannian distance $k - t + 1$ if and only if \mathbb{C} is a t -($n, k, 1$) $_q^m$ code.

Proof. If \mathbb{C} has minimum Grassmannian distance $k - t + 1$, then for each two distinct codewords $X, Y \in \mathbb{C}$ we have $k - t + 1 \leq d_G(X, Y) = k - \dim(X \cap Y)$. It implies that $\dim(X \cap Y) \leq t - 1$ and hence each t -subspace of \mathbb{F}_q^n is contained in at most one codeword of \mathbb{C} , i.e., \mathbb{C} is a t -($n, k, 1$) $_q^m$ code.

On the other hand, if \mathbb{C} is a t -($n, k, 1$) $_q^m$ code, then any t -subspace of \mathbb{F}_q^n is contained in at most one codeword of \mathbb{C} . It follows that for any $X, Y \in \mathbb{C}$ we have $\dim(X \cap Y) \leq t - 1$. Hence,

$$d_G(X, Y) = k - \dim(X \cap Y) \geq k - (t - 1) = k - t + 1,$$

and therefore, \mathbb{C} has minimum Grassmannian distance $k - t + 1$. \square

Clearly, by Theorem 6 we have that the λ -multiple Grassmannian distance is a generalization of the Grassmannian distance (which is a 1-multiple Grassmannian distance). The generalization implied by Theorem 6 is for the packing interpretation of a t -($n, k, 1$) $_q^m$ code. This is also a generalization of block design over \mathbb{F}_q (a subspace design). If each t -subspace is contained exactly once in a t -($n, k, 1$) $_q^m$ code \mathbb{C} , then \mathbb{C} is a q -Steiner system $S_q(t, k, n)$. If each t -subspace is contained exactly λ times in a t -(n, k, λ) $_q^m$ code \mathbb{C} , then \mathbb{C} is a t -(n, k, λ) $_q$ subspace design. Similarly to Theorem 5 we have

Theorem 7. If $\mathbb{C} \in \mathcal{G}_q(n, k)$ is a t -(n, k, λ) $_q^m$ code then \mathbb{C}^\perp has minimum $(\lambda + 1)$ -Grassmannian covering $n - t + 1$ and minimum $(\lambda + 1)$ -Grassmannian distance $k - t + 1$.

Proof. If \mathbb{C} is a t -(n, k, λ) $_q^m$ code then for each $\lambda + 1$ subspaces $X_1, X_2, \dots, X_{\lambda+1} \in \mathbb{C}$ we have that

$$\dim \bigcap_{i=1}^{\lambda+1} X_i < t.$$

Therefore,

$$t - 1 \geq \dim \left(\left(\bigcap_{i=1}^{\lambda+1} X_i \right)^\perp \right)^\perp = \dim \left(\sum_{i=1}^{\lambda+1} X_i^\perp \right)^\perp,$$

and hence,

$$\dim \sum_{i=1}^{\lambda+1} X_i^\perp \geq n - t + 1.$$

Thus, $\mathbb{C}^\perp \in \mathcal{G}_q(n, n - k)$ has minimum $(\lambda + 1)$ -Grassmannian covering $n - t + 1$ and minimum $(\lambda + 1)$ -Grassmannian distance $(n - t + 1) - (n - k) = k - t + 1$. \square

Combining Theorems 5 and 7 yields the following results.

Corollary 2.

- (1) $\mathbb{C} \in \mathcal{G}_q(n, k)$ is an α -(n, k, δ) $_q^c$ code if and only if \mathbb{C}^\perp is an $(n - k - \delta + 1)$ -($n, n - k, \alpha - 1$) $_q^m$ code.

- (2) For any feasible δ, k, n , and α , $\tilde{B}_q(n, k, \delta; \alpha) = \tilde{A}_q(n, n - k, n - k - \delta + 1; \alpha - 1)$.
 (3) For any feasible δ, k, n , and α , $B_q(n, k, \delta; \alpha) = A_q(n, n - k, n - k - \delta + 1; \alpha - 1)$.
 (4) $\mathbb{C} \in \mathcal{G}_q(n, k)$ is a t -(n, k, λ) $_q^m$ code if and only if \mathbb{C}^\perp is a $(\lambda + 1)$ -($n, n - k, k - t + 1$) $_q^c$ code.
 (5) For any feasible t, k, n , and λ , $\tilde{A}_q(n, k, t; \lambda) = \tilde{B}_q(n, n - k, k - t + 1; \lambda + 1)$.
 (6) For any feasible t, k, n , and λ , $A_q(n, k, t; \lambda) = B_q(n, n - k, k - t + 1; \lambda + 1)$.

Theorem 4 provides a necessary and sufficient condition for the requirements to solve the (ϵ, k) - $\mathcal{N}_{h,r,s}$ network with a scalar linear network code over \mathbb{F}_q . Theorem 4 is generalized for a solution with vector network coding whose vectors have length ℓ , as follows.

Theorem 8. The (ϵ, k) - $\mathcal{N}_{h,r,ak+\epsilon}$ network is solvable with vectors of length ℓ over \mathbb{F}_q if and only if there exists an α -($h\ell, k\ell, h\ell - k\ell - \epsilon\ell$) $_q^c$ code with r codewords.

Proof. Since there are h messages, each one is a vector of length ℓ , it follows that the source has a subspace of dimension $h\ell$. The source can send to each receiver in the ϵ direct links any subspace of dimension $\epsilon\ell$. Therefore, any α nodes in the middle layer should send to the related receiver an $(h\ell - \epsilon\ell)$ -subspace of $\mathbb{F}_q^{h\ell}$. The source sends to each one of the r nodes in the middle layer a $(k\ell)$ -subspace and hence for a vector solution to the (ϵ, k) - $\mathcal{N}_{h,r,ak+\epsilon}$ network, a Grassmannian code $\mathbb{C} \in \mathcal{G}_q(h\ell, k\ell)$ of size r , with minimum α -Grassmannian covering $h\ell - \epsilon\ell$, i.e., minimum α -Grassmannian distance $h\ell - \epsilon\ell - k\ell$, is required. Hence, \mathbb{C} is an α -($h\ell, k\ell, h\ell - k\ell - \epsilon\ell$) $_q^c$ code with r codewords. \square

Can the scalar linear solution and the vector solution be compared only on the basis of Theorems 4 and 8? Assume we are given the (ϵ, k) - $\mathcal{N}_{h,r,ak+\epsilon}$ network. A scalar linear solution over \mathbb{F}_{q^ℓ} requires by Theorem 4 an α -($h, k, h - k - \epsilon$) $_{q^\ell}^c$ code with r codewords. The related vector network coding with vectors of length ℓ over \mathbb{F}_q requires an α -($h\ell, k\ell, h\ell - k\ell - \epsilon\ell$) $_q^c$ code with r codewords. One can construct the vector network code from the scalar linear network code by using companion matrices and their powers [7], [18], [19]. But, the important question is whether we can find a Grassmannian code for the vector network coding larger than the largest one for scalar linear network coding. Some examples of such codes are given in [18] and [19] and other codes are a subject for further research.

IV. UPPER BOUNDS ON THE SIZES OF CODES

In this section we will present some upper bounds on the sizes of codes. Upper bounds on the sizes of codes with no repeated codewords are considered first and later a short discussion is given for codes with repeated codewords.

A. Bounds on Sizes of Codes With No Repeated Codewords

Clearly, there is a huge ground for research since the parameters of the codes are in a very large range and our knowledge is very limited. We will give some ideas and some insight about the difficulty of obtaining new bounds and especially the exact size of optimal codes. The bounds

are on $\mathcal{A}_q(n, k, t; \lambda)$ and on $\mathcal{B}_q(n, k, \delta; \alpha)$ and clearly by Corollary 2(3) and 2(6), bounds are required only on one of them since they are equivalent (when the related parameters are taken). There is a duality between the two types of codes which were considered with the two dual distance measures. Hence, it is sometimes simpler and more convenient to analyze or construct a large code with one of the two distance measures. As mentioned before, the case of $\lambda = 1$ was considered in the last ten years, and the following simple equality reduced the range for the search of such bounds.

Theorem 9. [16, Lemma 13] *If n, k, t , are positive integers such that $1 \leq t < k < n$, then $\mathcal{A}_q(n, k, t; 1) = \mathcal{A}_q(n, n - k, n - 2k + t; 1)$.*

Theorem 9 implies that if $\lambda = 1$ then it is enough to find $\mathcal{A}_q(n, k, t; 1)$ for $k \leq n - k$. This is not the case when $\lambda > 1$, where an analysis will be considered in Section V. In this analysis for $\lambda > 1$ we will consider the case where $n \geq 2k$ as well as the case where $n < 2k$. In this section we will consider only the basic upper bounds.

We start by considering the duality between the two distance measures and related different simple approaches to obtain bounds on the maximum sizes of codes. To simplify and emphasize the properties on which the bounds are analyzed we summarize them. Our starting point will be an α -(n, k, δ) $_q^c$ code.

- (c.1) In an α -(n, k, δ) $_q^c$ code \mathbb{C} , each subset of α codewords (k -subspaces) spans a subspace of \mathbb{F}_q^n whose dimension is at least $\delta + k$.
- (c.2) Each $(\delta + k - 1)$ -subspace of \mathbb{F}_q^n contains at most $\alpha - 1$ codewords of \mathbb{C} (by (c.1)).
- (c.3) \mathbb{C}^\perp is an $(n - k - \delta + 1)$ -($n, n - k, \alpha - 1$) $_q^m$ code (see Corollary 2(1)). In such a code each $(n - \delta - k + 1)$ -subspace of \mathbb{F}_q^n is contained in at most $\alpha - 1$ codewords.
- (c.4) Any α codewords from \mathbb{C}^\perp intersect in a subspace whose dimension is at most $n - \delta - k$ (by (c.3)).

Bounds on the maximum size of related codes can be obtained based on any one of these four observations and properties. Each one of these four properties can give another direction to obtain related bounds.

If our starting point is a t -(n, k, λ) $_q^m$ code then the four dual properties are as follows.

- (m.1) In a t -(n, k, λ) $_q^m$ code \mathbb{C} , each t -subspace of \mathbb{F}_q^n is contained in at most λ codewords.
- (m.2) Any $\lambda + 1$ codewords of \mathbb{C} intersect in a subspace whose dimension is at most $t - 1$ (by (m.1)).
- (m.3) \mathbb{C}^\perp is a $(\lambda + 1)$ -($n, n - k, k - t + 1$) $_q^c$ code (see Corollary 2(4)). In such a code each subset of $\lambda + 1$ codewords ($(n - k)$ -subspaces) of \mathbb{F}_q^n spans a subspace whose dimension is at least $(n - t + 1)$.
- (m.4) Each $(n - t)$ -subspace of \mathbb{F}_q^n contains at most λ codewords of \mathbb{C}^\perp (by (m.3)).

The classic bounds for the cases $\lambda = 1$ (or $\alpha = 2$, respectively) for a t -(n, k, λ) $_q^m$ code (or an α -(n, k, δ) $_q^c$ code, respectively) can be easily generalized for larger λ (α , respectively), where the simplest ones are the packing bound and the Johnson bounds [16]. It might be easier to generalize these bounds when we consider t -(n, k, λ) $_q^m$ codes and two proofs

based on two of the four given properties can be given. The following well-known lemma will be used frequently in our results.

Lemma 2. *A t -subspace of \mathbb{F}_q^n is contained in $\begin{bmatrix} n-t \\ k-t \end{bmatrix}_q$ distinct k -subspaces of \mathbb{F}_q^n .*

Remark 1. *Note, that by Lemma 2 we have that if $\lambda = \begin{bmatrix} n-t \\ k-t \end{bmatrix}_q$ then $\mathcal{A}_q(n, k, t; \lambda) = \begin{bmatrix} n \\ k \end{bmatrix}_q$. Hence, the largest λ that we should consider is $\begin{bmatrix} n-t \\ k-t \end{bmatrix}_q$.*

The first few results are the q -analog of the packing bound.

Theorem 10. *If n, k, t , and λ are positive integers such that $1 \leq t < k < n$ and $1 \leq \lambda \leq \begin{bmatrix} n-t \\ k-t \end{bmatrix}_q$, then*

$$\mathcal{A}_q(n, k, t; \lambda) \leq \left\lfloor \lambda \frac{\begin{bmatrix} n \\ t \end{bmatrix}_q}{\begin{bmatrix} k \\ t \end{bmatrix}_q} \right\rfloor.$$

Proof. Let \mathbb{C} be a t -(n, k, λ) $_q^m$ code. There are $\begin{bmatrix} n \\ t \end{bmatrix}_q$ distinct t -subspaces of \mathbb{F}_q^n and hence by (m.1) there are at most $\lambda \begin{bmatrix} n \\ t \end{bmatrix}_q$ pairs (X, Y) , where X is a t -subspace and Y is a codeword in \mathbb{C} which contains X . The number of t -subspaces in a codeword (a k -subspace) is $\begin{bmatrix} k \\ t \end{bmatrix}_q$, and hence the theorem follows. \square

Remark 2. *Assume that $\alpha = \begin{bmatrix} k+\delta-1 \\ k \end{bmatrix}_q + 1$ and we consider \mathbb{C} to be the Grassmannian code which contains all the $\begin{bmatrix} n \\ k \end{bmatrix}_q$ subspaces of $\mathcal{G}_q(n, k)$. If we take all the k -subspaces in a $(k + \delta - 1)$ -subspace X of \mathbb{F}_q^n then they span only X , but with one more subspace a $(k + \delta)$ -subspace will be spanned. Hence, the largest α that we should consider is $\begin{bmatrix} k+\delta-1 \\ k \end{bmatrix}_q + 1$.*

Corollary 3. *If n, k, δ , and α are positive integers such that $1 < k < n$, $1 \leq \delta \leq n - k$ and $2 \leq \alpha \leq \begin{bmatrix} k+\delta-1 \\ k \end{bmatrix}_q + 1$, then*

$$\mathcal{B}_q(n, k, \delta; \alpha) \leq \left\lfloor (\alpha - 1) \frac{\begin{bmatrix} n \\ \delta+k-1 \end{bmatrix}_q}{\begin{bmatrix} n-k \\ \delta-1 \end{bmatrix}_q} \right\rfloor.$$

The rest of this section is devoted to the q -analog of the Johnson bounds [16], [47]. We should remark that there is an improvement of the Johnson bound for Grassmannian codes [26]. The same improvement can be applied also for Grassmannian codes with the new distance measures [12]. We omit this improvement since we concentrate in this paper only on the basic bounds. Also the improvement is for some parameters, it is not dramatic improvement, and far from being simple.

Theorem 11. *If n, k, t , and λ are positive integers such that $1 \leq t < k < n$ and $1 \leq \lambda \leq \begin{bmatrix} n-t \\ k-t \end{bmatrix}_q$, then*

$$\mathcal{A}_q(n, k, t; \lambda) \leq \left\lfloor \frac{q^n - 1}{q^k - 1} \mathcal{A}_q(n - 1, k - 1, t - 1; \lambda) \right\rfloor.$$

Proof. Let \mathbb{C} be a t -(n, k, λ) $_q^m$ code for which $|\mathbb{C}| = M = \mathcal{A}_q(n, k, t; \lambda)$. Each codeword of \mathbb{C} contains $\frac{q^k - 1}{q - 1}$ one-dimensional subspaces of \mathbb{F}_q^n . Since the number of one-dimensional subspaces of \mathbb{F}_q^n is $\frac{q^n - 1}{q - 1}$, it follows that there exists a one-dimensional subspace X of \mathbb{F}_q^n which is contained in at least $\frac{q^k - 1}{q^n - 1} M$ codewords of \mathbb{C} . Let Y be an $(n - 1)$ -subspace of \mathbb{F}_q^n such that $\mathbb{F}_q^n = Y + X$. Define $\mathbb{C}' \stackrel{\text{def}}{=} \{C \cap Y : C \in \mathbb{C}, X \subset C\}$. Clearly, \mathbb{C}' is a subspace code with $(k - 1)$ -subspaces of Y . Note, that for two distinct codewords

$C_1, C_2 \in \mathbb{C}$ such that $X \subset C_1$ and $X \subset C_2$ we have $C'_1 = C_1 \cap Y \neq C_2 \cap Y = C'_2$ since $C_1 = C'_1 + X$ and $C_2 = C'_2 + X$. Therefore, the size of \mathbb{C}' is at least $\frac{q^k-1}{q^n-1}M$.

Let Z' be a $(t-1)$ -subspace of Y . $Z = Z' + X$ is a t -subspace of \mathbb{F}_q^n and hence it is a subspace of at most λ codewords of \mathbb{C} . Let C_1, C_2, \dots, C_s , $s \leq \lambda$, be the only s distinct codewords of \mathbb{C} which contain Z . Since Z contains X it follows that $C'_i = C_i \cap Y$, $1 \leq i \leq s$, are distinct codewords in \mathbb{C}' which contain Z' (note that $Z' = Z \cap Y$). If there exists another codeword $C' \in \mathbb{C}'$ such that $Z' \subset C'$ then $C = C' + X$ is a codeword of \mathbb{C} which contains Z , a contradiction to the fact that only C_1, C_2, \dots, C_s are the codewords of \mathbb{C} which contain Z . Hence, each $(t-1)$ -subspace of Y is contained in at most $s \leq \lambda$ codewords of \mathbb{C}' .

Therefore, \mathbb{C}' is a $(t-1)$ -($n-1, k-1, \lambda$) $_q^m$ code whose size is at least $\frac{q^k-1}{q^n-1}M$. Hence, $\frac{q^k-1}{q^n-1}\mathcal{A}_q(n, k, t; \lambda) \leq |\mathbb{C}'| \leq \mathcal{A}_q(n-1, k-1, t-1; \lambda)$, and thus,

$$\mathcal{A}_q(n, k, t; \lambda) \leq \left\lfloor \frac{q^n-1}{q^k-1} \mathcal{A}_q(n-1, k-1, t-1; \lambda) \right\rfloor.$$

Corollary 4. If n, k, δ , and α are positive integers such that $1 < k < n$, $1 \leq \delta \leq n-k$ and $2 \leq \alpha \leq \left\lfloor \frac{k+\delta-1}{k} \right\rfloor_q + 1$, then

$$\mathcal{B}_q(n, k, \delta; \alpha) \leq \left\lfloor \frac{q^n-1}{q^{n-k}-1} \mathcal{B}_q(n-1, k, \delta; \alpha) \right\rfloor.$$

Theorem 12. If n, k, t , and λ are positive integers such that $1 \leq t < k < n$ and $1 \leq \lambda \leq \left\lfloor \frac{n-1-t}{k-t} \right\rfloor_q$, then

$$\mathcal{A}_q(n, k, t; \lambda) \leq \left\lfloor \frac{q^n-1}{q^{n-k}-1} \mathcal{A}_q(n-1, k, t; \lambda) \right\rfloor.$$

Proof. Let \mathbb{C} be a t -(n, k, λ) $_q^m$ code for which $|\mathbb{C}| = M = \mathcal{A}_q(n, k, t; \lambda)$. For each $(n-1)$ -subspace Y let $\mathbb{C}_Y \stackrel{\text{def}}{=} \{C : C \in \mathbb{C}, C \subset Y\}$. Clearly, \mathbb{C}_Y is a t -($n-1, k, \lambda$) $_q^m$ code. By Lemma 2, each k -subspace of \mathbb{F}_q^n is contained in $\frac{q^{n-k}-1}{q-1}$ subspaces of $\mathcal{G}_q(n, n-1)$. There exist $\frac{q^n-1}{q-1}$ subspaces in $\mathcal{G}_q(n, n-1)$ and hence there exists one $(n-1)$ -subspace Z such that

$$|\mathbb{C}_Z| \geq \frac{q^{n-k}-1}{q^n-1}M.$$

Since also \mathbb{C}_Z is a t -($n-1, k, \lambda$) $_q^m$ code (see the definition of \mathbb{C}_Y), it follows that $\frac{q^{n-k}-1}{q^n-1}\mathcal{A}_q(n, k, t; \lambda) \leq |\mathbb{C}_Z| \leq \mathcal{A}_q(n-1, k, t; \lambda)$, and thus,

$$\mathcal{A}_q(n, k, t; \lambda) \leq \left\lfloor \frac{q^n-1}{q^{n-k}-1} \mathcal{A}_q(n-1, k, t; \lambda) \right\rfloor.$$

Corollary 5. If n, k, δ , and α are positive integers such that $1 < k < n$, $1 \leq \delta \leq n-k$ and $2 \leq \alpha \leq \left\lfloor \frac{k+\delta-2}{k-1} \right\rfloor_q + 1$, then

$$\mathcal{B}_q(n, k, \delta; \alpha) \leq \left\lfloor \frac{q^n-1}{q^k-1} \mathcal{B}_q(n-1, k-1, \delta; \alpha) \right\rfloor.$$

We would also like to remind and to mention that some bounds can be obtained from known results on arcs and caps in projective geometry [23]. Discussion on these is given in [12].

B. Bounds on Sizes of Codes With Repeated Codewords

In this subsection we will discuss the differences between codes with repeated codewords on those with no repeated codewords. This will be done with respect to the sizes of codes. We note that usually the difference in the size of the codes is minor and in most cases this difference can be ignored. Some cases where this difference is not minor will be considered in this subsection. Packing bounds for codes with repeated codewords are obtained exactly as in Theorem 10 and Corollary 3, respectively. Similar results can be obtained for the Johnson bounds. We will omit these repetitions. Although, the bounds seem to be the same there might be a small difference in the sizes of the codes, as we have the following trivial results.

Theorem 13. If n, k, t , and λ are positive integers such that $1 \leq t < k < n$ and $1 \leq \lambda \leq \left\lfloor \frac{n-t}{k-t} \right\rfloor_q$, then

$$\tilde{\mathcal{A}}_q(n, k, t; \lambda) \geq \mathcal{A}_q(n, k, t; \lambda).$$

Corollary 6. If n, k, δ , and α are positive integers such that $1 < k < n$, $1 \leq \delta \leq n-k$ and $2 \leq \alpha \leq \left\lfloor \frac{k+\delta-1}{k} \right\rfloor_q + 1$, then

$$\tilde{\mathcal{B}}_q(n, k, \delta; \alpha) \geq \mathcal{B}_q(n, k, \delta; \alpha).$$

Finally, since we allow repeated codewords we have the following trivial lower bound.

Theorem 14. If n, k, t, λ , and λ' are positive integers such that $1 \leq t < k < n$, then

$$\tilde{\mathcal{A}}_q(n, k, t; \lambda'\lambda) \geq \lambda' \tilde{\mathcal{A}}_q(n, k, t; \lambda).$$

One can immediately infer from Theorem 14 that there are many examples like Example 1 for which $\tilde{\mathcal{B}}_q(n, k, \delta; \alpha) > \mathcal{B}_q(n, k, \delta; \alpha)$, e.g., $(\alpha-1)\left\lfloor \frac{n}{k} \right\rfloor_q = \tilde{\mathcal{B}}_q(n, k, 1; \alpha) > \mathcal{B}_q(n, k, 1; \alpha) = \left\lfloor \frac{n}{k} \right\rfloor_q$. To end this section we remind the reader that for the solution of the generalized combination network repeated codewords are allowed. On the other hand, usually in coding theory and block design, repeated codewords are not allowed, or more precisely, the related code or design with repeated codeword is considered to be not simple and less interesting (in the literature bounds are considered only for codes with no repeated codewords).

V. ANALYSIS OF THE RELATED CODES

How good are the upper bounds given in Section IV? Can the bound of Theorem 10 be attained? If $\lambda = 1$ then in view of Theorem 9 we have to consider $\mathcal{A}_q(n, k, t; 1)$ only for $k \leq n-k$, where good constructions and asymptotic bounds are known [2], [14]. If $\lambda > 1$ then the situation is quite different. In this section we present a short analysis of the lower bounds compared to the upper bounds. We do this analysis from a few point of view which are described in related subsections. We do not intend to give any specific construction as this is a topic for another research work (see [12]). Note, that most constructions for $\lambda = 1$ can be generalized for larger λ if $k \leq n-k$. As for $\lambda = 1$, also for larger λ it is not difficult to design constructions based on projective geometry [12], on Ferrers diagrams [10], [13], [35], and on rank-metric codes [36].

We also take into account the generalized combination networks solved by some of the given codes. Since each

t -subspace is contained in $\begin{bmatrix} n-t \\ k-t \end{bmatrix}_q$ different k -subspaces of \mathbb{F}_q^n we have that

Theorem 15. *If n , k , and t are positive integers such that $1 \leq t < k < n$, then*

$$\mathcal{A}_q\left(n, k, t; \begin{bmatrix} n-t \\ k-t \end{bmatrix}_q\right) = \begin{bmatrix} n \\ k \end{bmatrix}_q.$$

In view of the equality that $\mathcal{A}_q(n, k, t; 1) = \mathcal{A}_q(n, n-k, n-2k+t; 1)$ (see Theorem 9) and Theorem 15, it is interesting to have a hierarchy of the values $\mathcal{A}_q(n, k, t; \lambda)$, where $\lambda = 1, 2, \dots, \begin{bmatrix} n-t \\ k-t \end{bmatrix}_q$. It should be noted that similarly to the claim in Theorem 9 we have for $2k \leq n$,

$$\mathcal{A}_q(n, k, t; \lambda) \leq \mathcal{A}_q(n, n-k, n-2k+t; \lambda) \quad (1)$$

by considering orthogonal complement codes. But, except for $\lambda = 1$ such an inequality in (1) is unlikely to be equality. For example, we have for $2k \leq n$ that

$$\mathcal{A}_q\left(n, k, t; \begin{bmatrix} n-t \\ k-t \end{bmatrix}_q\right) = \begin{bmatrix} n \\ k \end{bmatrix}_q,$$

while for the dual dimension we have

$$\mathcal{A}_q\left(n, n-k, n-2k+t; \begin{bmatrix} 2k-t \\ k-t \end{bmatrix}_q\right) = \begin{bmatrix} n \\ k \end{bmatrix}_q.$$

When $2k < n$ we have

$$\begin{bmatrix} 2k-t \\ k-t \end{bmatrix}_q < \begin{bmatrix} n-t \\ k-t \end{bmatrix}_q,$$

which implies that

$$\begin{aligned} & \mathcal{A}_q\left(n, k, t; \begin{bmatrix} 2k-t \\ k-t \end{bmatrix}_q\right) \\ & < \mathcal{A}_q\left(n, n-k, n-2k+t; \begin{bmatrix} 2k-t \\ k-t \end{bmatrix}_q\right) = \begin{bmatrix} n \\ k \end{bmatrix}_q. \end{aligned}$$

We have proved a duality between the two distance measures and their codes, but this is not the only duality that can be obtained. Let $\mathcal{C}_q(n, k, t; \lambda)$ be the minimum size of a code (with distinct codewords) in $\mathcal{G}_q(n, k)$ such that each t -subspace of $\mathcal{G}_q(n, t)$ is contained in at least λ codewords. While $\mathcal{A}_q(n, k, t; \lambda)$ represents the maximum size of a multiple packing, the quantity $\mathcal{C}_q(n, k, t; \lambda)$ represents the minimum size of a multiple covering. Clearly we have

Theorem 16. *If n , k , and t are positive integers such that $1 \leq t < k < n$ and $1 \leq \lambda \leq \begin{bmatrix} n-t \\ k-t \end{bmatrix}_q$, then*

$$\mathcal{A}_q(n, k, t; \lambda) \leq \mathcal{C}_q(n, k, t; \lambda),$$

with equality if and only if a subspace design t -(n, k, λ) $_q$ exists, i.e. the bound of Theorem 10 is attained with equality.

By Lemma 2, each t -subspace of \mathbb{F}_q^n is contained in $\begin{bmatrix} n-t \\ k-t \end{bmatrix}_q$ k -subspaces of \mathbb{F}_q^n and since the total number of k -subspaces of \mathbb{F}_q^n is $\begin{bmatrix} n \\ k \end{bmatrix}_q$ we have a duality between a packing \mathbb{C} of k -subspaces and the k -subspaces in $\mathcal{G}_q(n, k)$ which are not contained in \mathbb{C} . Hence, we have

Theorem 17. *If n , k , and t are positive integers such that $1 \leq t < k < n$ and $1 \leq \lambda \leq \begin{bmatrix} n-t \\ k-t \end{bmatrix}_q$, then*

$$\mathcal{A}_q(n, k, t; \lambda) = \begin{bmatrix} n \\ k \end{bmatrix}_q - \mathcal{C}_q\left(n, k, t; \begin{bmatrix} n-t \\ k-t \end{bmatrix}_q - \lambda\right).$$

A. Complements

By Corollary 2 the orthogonal complement code \mathbb{C}^\perp of a t -(n, k, λ) $_q^n$ code \mathbb{C} is a $(\lambda+1)$ -($n, n-k, k-t+1$) $_q^c$ code. By Theorem 17, the complement code of \mathbb{C} , $\mathbb{C}^c \stackrel{\text{def}}{=} \mathcal{G}_q(n, k) \setminus \mathbb{C}$ is a covering code with multiplicity $\begin{bmatrix} n-t \\ k-t \end{bmatrix}_q - \lambda$. If $\begin{bmatrix} n-t \\ k-t \end{bmatrix}_q - \lambda = 1$ then \mathbb{C}^c is a covering code, i.e. a set of k -subspaces of \mathbb{F}_q^n such that each t -subspace of \mathbb{F}_q^n is contained in at least one codeword of \mathbb{C}^c . It was proved in [17] that $(\mathbb{C}^c)^\perp$ is a subspace q -Turán design, i.e. a set of $(n-k)$ -subspaces of \mathbb{F}_q^n such that each $(n-t)$ -subspace of \mathbb{F}_q^n contains at least one codeword of $(\mathbb{C}^c)^\perp$. This implies various connections between all these types of codes. We would not go into detailed specific connections between codes with given parameters. But, we will mention one specific code. The most interesting specific code which was heavily studied is the q -analog of the Fano plane, i.e. the q -Fano plane [3], [5], [11]. Such a code is a 2-(7, 3, 1) code with $\begin{bmatrix 7 \\ 2 \end{bmatrix}_q / \begin{bmatrix 3 \\ 2 \end{bmatrix}_q$ codewords. It is not known whether such a code exists and there was an extensive search and research for the largest code with these parameters. It was shown in [22] that for $q = 2$ there exists a code with such parameters and 333 codewords. The size of the smallest known related covering code is 396 [8]. The size of the related q -Fano plane (if exists) is 381. These results coincide with the common belief that a construction of a small covering code is simpler than a construction of related large packing code. In view of Theorem 17 we have that $\mathcal{A}_2(7, 3, 2; 30) \geq \begin{bmatrix 7 \\ 3 \end{bmatrix}_2 - 396$, while $\mathcal{C}_2(7, 3, 2; 30) \leq \begin{bmatrix 7 \\ 3 \end{bmatrix}_2 - 333$. This implies that the current known lower bound on the packing number is closer to the packing bound (Theorem 10) than the related known upper bound on the covering number. A construction which might lead to a q -Fano plane for larger q was recently given in [11]. Related results on similar structures were proved recently in [6].

B. $\mathcal{A}_q(n, k, t; \lambda)$ vs. $\mathcal{A}_q(n, n-k, n-2k+t; \lambda)$

The gap between the size of the largest code and the packing bound (Theorem 10) might be small or large, depending on the parameters. Consider the case where $n = 6$, $k = 4$, $t = 3$, $\lambda = 1$, and $q = 2$. By Theorem 10 we have that $\mathcal{A}_2(6, 4, 3; 1) \leq 93$, while the actual value is $\mathcal{A}_2(6, 4, 3; 1) = 21$. This is not unique for these parameters, and it occurs since $\mathcal{A}_q(n, k, t; 1) = \mathcal{A}_q(n, n-k, n-2k+t; 1)$, i.e. we should consider only $k \leq n/2$, when $\lambda = 1$. For $\lambda > 1$ there is no similar connection between codes of $\mathcal{G}_q(n, k)$ and codes of $\mathcal{G}_q(n, n-k)$. Interesting phenomena might occur when $k > n/2$. A good example can be given by considering $n = 6$, $k = 4$, $t = 3$, and $\lambda = 2$. By Theorem 10 we have that $\mathcal{A}_2(6, 4, 3; 2) \leq 186$. By Theorem 11 we have that $\mathcal{A}_2(6, 4, 3; 2) \leq \lfloor \frac{63}{15} \mathcal{A}_2(5, 3, 2; 2) \rfloor$. Hence, we have to consider the value of $\mathcal{A}_2(5, 3, 2; 2)$. It is proved in [12] that

$\mathcal{A}_2(5, 3, 2; 2) = 32$ and hence $\mathcal{A}_2(6, 4, 3; 2) \leq 134$. This bound was improved by a certain linear programming and a related construction was given, so finally we have $121 \leq \mathcal{A}_2(6, 4, 3; 2) \leq 126$ [12]. This is an indication that when $2k > n$ and $\lambda > 1$ the value of $\mathcal{A}_2(n, k, t; \lambda > 1)$ is relatively much larger than the one of $\mathcal{A}_2(n, n - k, n - 2k + t; \lambda > 1)$ (A comprehensive work on bounds and constructions related to $\mathcal{A}_q(n, k, t; \lambda)$ is discussed in [12]).

C. Subspace Designs

There is a sequence of subspace designs in which each t -subspace is contained in exactly λ k -subspaces. These designs form optimal generalized Grassmannian codes. These subspace designs have small block length and very large λ . Hence, they are restricted for solutions of generalized combination networks for which the subspaces have dimension close to the one of the ambient space. But, of course these subspace designs are optimal as Grassmannian codes. For example, Thomas [41] has constructed a $2-(n, 3, 7)_2$ design, where $n \geq 7$ and $n \equiv 1$ or $5 \pmod{6}$. This is the same as a $2-(n, 3, 7)_2^m$ code and its orthogonal complement is an $8-(n, n - 3, 2)_2^c$ code. By Theorem 4, such a code provides a solution for the $(1, n - 3) - \mathcal{N}_{n, (2^n - 1)(2^{n-1} - 1)/3, 8(n-3)+1}$ network. Some interesting codes and related networks exist for small parameters. For example, consider the $2-(6, 3, 3)_2$ design presented in [4], which is a $2-(6, 3, 3)_2^m$ code and its orthogonal complement is a $4-(6, 3, 2)_2^c$ code. By Theorem 4, such a code provides a solution for the $(1, 3) - \mathcal{N}_{6, 279, 13}$ network. Other designs which lead to optimal codes for related networks can be found in many recent papers on this emerging topic, e.g. [3]–[6], [9], [11], [20], [27], [28], [32], [33], [38]–[42].

D. Network Coding Solutions

How do the results affect the gaps between vector network coding and scalar linear network coding? A good example can be given by considering the $(1, 1) - \mathcal{N}_{3, r, 4}$ network which was used in [18] to show that there is a network with three messages for which vector network coding outperforms scalar linear network coding.

By Theorem 8, for a vector solution of this network a $3-(3\ell, \ell, \ell)_q^c$ code is required. For simplicity and for explaining the problems in obtaining lower and upper bounds on $\mathcal{A}_q(n, k, t; \lambda)$ we consider the case of $t = 3$, $\ell = 2$, and $q = 2$, i.e. a $3-(6, 2, 2)_2^c$ code or its orthogonal complement, a $3-(6, 4, 2)_2^m$ code. In [19] a code with 51 codewords was presented. When a $3-(6, 2, 2)_2^c$ code was considered a code of size 121 (related to the bound $121 \leq \mathcal{A}_2(6, 4, 3; 2) \leq 126$ mentioned before) was obtained [12].

For a related scalar linear coding solution the related alphabet size is 4 (since for the vector coding binary vectors of length 2 were considered). By Theorem 4, for a scalar linear network coding solution we need a $3-(3, 1, 1)_4^c$ code. The largest such code consists of the 21 one-dimensional subspaces of \mathbb{F}_4^3 , each one is contained twice in the code. Therefore, the number of nodes in the middle layer can be at most 42, while for vector network coding 121 nodes in the middle layer can be used (since $121 \leq \mathcal{A}_2(6, 4, 3; 2)$). The smallest alphabet size for which a scalar solution with 121 nodes in

the middle layer exists is 8. There are 73 one-dimensional subspaces of \mathbb{F}_8^3 and each one can be used twice in the code, but only 121 codewords out of these 146 possible codewords are required. This is the indication on the superiority of vector network coding on scalar linear network coding.

VI. HAMMING CODES VS. GRASSMANNIAN CODES

In this section we will examine codes in the Hamming scheme related to the new distance measures. This will be done in two different directions. In Section VI-A sets of codes in the Hamming scheme will be considered as subfamilies of the Grassmannian codes. In Section VI-B we will consider the related distance measures in the Hamming scheme. Finally, in Section VI-C we show how the concepts of generalized Hamming weights can be used in the Grassmannian scheme using the new distance measures.

A. Hamming Codes as a Subfamily of Grassmannian Codes

It should be noted that codes in the Hamming space with the Hamming distance form a subfamily of the Grassmannian codes. This can be shown using a few different approaches. The first one was pointed out in 1957 by Tits [43] who suggested that combinatorics of sets could be regarded as the limiting case $q \rightarrow 1$ of combinatorics of vector spaces over the finite field \mathbb{F}_q . This can be viewed as the traditional approach, but it seems that this is not the approach that we need for our purpose. The goal is to show that Hamming codes form a subfamily of the Grassmannian codes based on network coding solutions for the generalized combination networks and the new distance measures.

The first approach that we suggest is based on the network coding solutions for the combination networks. By Theorem 2, the $(0, 1) - \mathcal{N}_{h, r, \alpha}$ network has a scalar solution over \mathbb{F}_q , if and only if there exists a code \mathcal{C} , over \mathbb{F}_q , of length r , q^h codewords, and minimum Hamming distance $r - \alpha + 1$. This code can be either linear or nonlinear. If the code is nonlinear then some of the coding functions on the r edges between the first layer and the second layer in the $(0, 1) - \mathcal{N}_{h, r, \alpha}$ network are nonlinear and our framework using $\alpha-(h, k, \delta)_q^c$ codes is not the appropriate one. Such nonlinear codes for nonlinear network coding will be considered in the next paragraph. If the code \mathcal{C} is linear then our framework is indeed a q -analog for the solution with \mathcal{C} . For such a code \mathcal{C} we have an $h \times r$ generator matrix G for which any set of α columns from G has a subset of h linearly independent columns. The r columns, considered as one-subspaces of G , form an $\alpha-(h, 1, h - 1)_q^c$ code as implied by Theorem 4. The number of codewords in the largest such a code is the same as the largest length r of a code \mathcal{C} with dimension h and minimum Hamming distance $r - \alpha + 1$. We note that if h and α are fixed then the minimum Hamming distance depends on this largest length r . Hence, it is more natural to search for the largest $\alpha-(h, 1, h - 1)_q^c$ code for which the minimum Hamming distance is not a parameter, when the r codewords are taken as the columns of an $h \times r$ generator matrix.

In the second approach, a solution for the $(\epsilon, k) - \mathcal{N}_{h, r, ak + \epsilon}$ network based on a code in the Hamming scheme is considered. In other words, let us consider a scalar solution for the

network based on a code over \mathbb{F}_q in the Hamming scheme rather than a solution based on a code in the Grassmannian $\mathcal{G}_q(h, k)$ as was done in the previous sections. The code can be linear or nonlinear and we distinguish between these two cases. There will be another distinction in the formulation of the network coding solutions. For a code in the Grassmannian scheme, the coefficients (which form the local coding vectors) of the linear functions on the edges of the network are the entries of the vectors related to a basis of the subspaces from the Grassmannian code. If the k columns of each such basis were written in an $h \times (rk)$ matrix G (each node in the middle layer yields k consecutive columns for this matrix), then G would have been the generator matrix of a code in the Hamming scheme which form a solution for the network. In fact an optimal solution (maximum number of nodes in the middle layer) with a code in the Grassmannian scheme will induce an optimal linear solution (largest length) with a code in the Hamming scheme and vice versa. But, we are interested in analyzing the solution for the network using a code in the Hamming scheme as this might give us extra information and maybe codes with smaller alphabet size (clearly only in the case of nonlinear codes). Such a code (nonlinear over \mathbb{F}_q) must have length rk as for the linear code. The source transmit k consecutive symbols of a codeword to each different node from the middle layer, where the i -th node will receive the i -th set of k consecutive symbols of the codeword. The code should have q^h codewords since the source must send a codeword to the nodes in the middle layer for each one of the different q^h messages. This is the point to make it clear that some codewords sent by the source might be identical. This would not cause any problem since identical codewords can be distinguished at the receivers by the information sent from the source to the receiver through the ϵ direct links. For simplicity the reader can assume first that $k = 1$, although the description will be for any $k \geq 1$. Each sub-codeword of length ak in a projection of ak coordinates, related to a nodes in the middle layer, appears at most q^ϵ times as such a sub-codeword in the projection of these ak coordinates. In this way, the source can send ϵ symbols, to the related receiver, that will distinguish between the codewords which have the same values in these ak coordinates. Such codes which have larger values of r than the linear ones exist. For example, the vector network codes which outperform the scalar linear network codes can be translated to scalar nonlinear network codes. But, to find in this way nonlinear codes with larger length than the ones obtained by the vector network codes is an interesting and intriguing question for future research. When we consider a linear code the last requirement is slightly stronger (and weaker in the sense of obtaining larger codes). Each subset of a nodes from the middle layer have in the projection of their ak coordinates, in the codeword, at least $h - \epsilon$ linearly independent vectors. This corresponds exactly to the fact that each subset of a codewords in the Grassmannian code spans a subspace of \mathbb{F}_q^h whose dimension is at least $h - \epsilon$. This analysis simulates exactly the requirements from a scalar linear solution for the (ϵ, k) - $\mathcal{N}_{h,r,ak+\epsilon}$ network. To end this analysis we have to explain what are the nonlinear functions written on the edges. For each h messages and any given k links from

the source to a node v in the middle layer, the source should send a symbol from \mathbb{F}_q . This clearly define a function with h variables in \mathbb{F}_q . Each one of the q^h different combinations of the h messages implies k symbols to the k edges from the source to the i th nodes in the middle layer. This implies some functions of the h messages. These functions are written on the k links which connect the source to the i th node of the middle layer.

Linear codes in the Hamming scheme can be used also in other ways as solutions for the generalized combination network. Let \mathcal{C} be a linear code of length r , dimension k , and minimum Hamming distance d , over \mathbb{F}_q . For such a code, there exists an $(r - k) \times r$ parity-check matrix H . In H , each $d - 1$ columns are linearly independent. Hence, the r columns of H form a $(d - 1)$ -($r - k, 1, d - 2$) $_q^c$ code. By Theorem 4, this code solves the $(r - k - d + 1, 1)$ - $\mathcal{N}_{r-k,r,r-k}$ network. The number of codewords in the largest such a Grassmannian code is the same as the largest length r of a code of dimension k , and minimum Hamming distance d . Also, in this case we note that in general we would like to obtain the largest r when $r - k$ and d are fixed. Hence, it is more natural to search for the largest $(d - 1)$ -($h, 1, d - 2$) $_q^c$ code for which d and h are fixed. There are related results from arcs in projective planes. Since these results are limited for a space of dimension three we omit these results. The interested reader is referred to [23].

The conclusion from this discussion is that the codes in the Hamming scheme can be viewed as a subfamily of Grassmannian codes. But, it is still interesting to find nonlinear codes which outperform vector codes as solutions for the generalized combination networks.

B. Related Codes in the Hamming Scheme

We have defined two new distance measures and their related codes in the Grassmann space. What are the related codes in the Hamming scheme (or more precisely in the Johnson scheme)? This family of codes was defined before, but usually was considered for limited number of parameters. A t -(n, k, λ) packing for $1 \leq t \leq k \leq n$, is a collection of k -subsets (called *blocks*) from an n -set Q , such that each t -subset of Q is contained in at most λ blocks. These packings were considered for small t and k and mainly optimal packings were considered. For the known results on this topic the reader is referred to [31] and [37] and references therein. Generally, each such packing can be viewed as a code of length n . If the n -set is $\{1, 2, \dots, n\}$ then a k -subset X is translated into a codeword of length n and weight k , where the *ones* are in the coordinates indexed by the k -subset X .

Let $A(n, k, t; \lambda)$ be the maximum number of k -subsets in a t -(n, k, λ) packing. For these new families of codes only codes with no repeated codewords are considered. When $k > n/2$ the bounds on the sizes of such codes are related to the Turán's problem [25], in a similar way to the complements in Section V-A.

For example we consider the value of $A(n, n - 2, n - 3; \lambda)$. An $(n - 3)$ -subset of $[n]$ is contained in exactly three $(n - 2)$ -subsets of $[n]$. Hence, λ can have only the values $\lambda = 1$, $\lambda = 2$, or $\lambda = 3$. If $\lambda = 1$ then the value is just the packing number $A(n, n - 2, n - 3; 1) = A(n, 2, 1; 1) = \lfloor \frac{n}{2} \rfloor$.

If $\lambda = 3$ then there exists a trivial code which contains all the $(n-2)$ -subsets of $[n]$. This code attains the value $A(n, n-2, n-3; 3) = \binom{n}{2}$. The only nontrivial value to consider is $A(n, n-2, n-3; 2)$ which is a well-known Turán number. Hence, we have for $n \geq 2$, $A(2n, 2n-2, 2n-3; 2) = n^2$ and for $n \geq 2$, $A(2n+1, 2n-1, 2n-2; 2) = n(n+1)$. Note, that by the packing bound we have $A(n, n-2, n-3; 1) \leq \frac{n(n-1)}{6}$, $A(n, n-2, n-3; 2) \leq \frac{n(n-1)}{3}$, and $A(n, n-2, n-3; 3) \leq \binom{n}{2}$. While $A(n, n-2, n-3; 3)$ attains the packing bound, the value of $A(n, n-2, n-3; 1)$ is far from the bound. As for $A(n, n-2, n-3; 2)$ we have an asymptotic ratio of $3/4$ between the exact values and the upper bound of the packing bound. The behavior, of these ratios, when there are many more possible values for λ is an interesting question.

C. Generalized Weights

The definition of α -Hamming covering codes (which is the related definition for α -Grassmannian covering codes) for constant weight codes can be straightforward generalized to any code in the Hamming scheme, where the α -Hamming covering of α nonzero codewords in a code \mathcal{C} is the number of coordinates which are nonzero in some of the α nonzero codewords. The minimum α -Hamming covering of a code \mathcal{C} is the minimum α -Hamming covering on any set of α distinct nonzero codewords of \mathcal{C} . We can form a hierarchy of the α -Hamming covering for \mathcal{C} , from $\alpha = 1$, $\alpha = 2$, up to $\alpha = |\mathcal{C}|$. This hierarchy will be denoted by $c_1, c_2, \dots, c_{|\mathcal{C}|}$. This hierarchy, for the Grassmannian codes, can be viewed as a q -analog for the generalized Hamming weights [46]. Previously a geometric approach for these generalized weights was given in [44]. Let \mathcal{C} be a linear code of length n and dimension k and \mathcal{A} be a linear subcode of \mathcal{C} . The *support* of \mathcal{A} , denoted by $\chi(\mathcal{A})$, is defined by

$$\chi(\mathcal{A}) \stackrel{\text{def}}{=} \{i : \exists (a_1, a_2, \dots, a_n) = \mathbf{a} \in \mathcal{A}, a_i \neq 0\}.$$

The r th *generalized Hamming weight* of a linear code \mathcal{C} , denoted by $d_r(\mathcal{C})$ (d_r in short), is the minimum support of any r -dimensional subcode of \mathcal{C} , $1 \leq r \leq k$, namely,

$$d_r = d_r(\mathcal{C}) \stackrel{\text{def}}{=} \min_{\mathcal{A}} \{|\chi(\mathcal{A})| : \mathcal{A} \text{ is a linear subcode of } \mathcal{C}, \dim(\mathcal{A}) = r\}.$$

Clearly, $d_r \leq d_{r+1}$ for $1 \leq r \leq k-1$. The set $\{d_1, d_2, \dots, d_k\}$ is called the *generalized Hamming weight hierarchy* of \mathcal{C} [46].

It is not difficult to verify that for a binary linear code \mathcal{C} we have, $c_1 = d_1$, $c_2 = c_3 = d_2$, $c_4 = c_5 = c_6 = c_7 = d_3$, and in general $c_{2^i} = c_{2^i+1} = \dots = c_{2^{i+1}-1} = d_{i+1}$. This direction of research is interesting, especially when we consider the q -analog of the generalized Hamming weights in the Grassmann scheme and a straightforward generalization to subspace codes which are not necessarily constant dimension. This possible q -analog for the generalized Hamming weights can be of great interest and it is a topic for future research.

VII. CONCLUSIONS AND OPEN PROBLEMS

We have introduced a new family of Grassmannian codes with two new distance measures which generalize the traditional Grassmannian codes with the Grassmannian distance.

There is a correspondence between the set of these codes of maximum size and the optimal scalar linear solution for the set of generalized combination networks. Based on the generalized combination networks and the new distance measures we have proved that codes in the Hamming scheme can be viewed as a subfamily of the Grassmannian codes from a few different angles. The research we have started for bounds on the sizes of such codes is very preliminary and there are many obvious coding questions related to these codes, some of which are currently under research [12] and will provide lots of ground for future research. Other interesting problems were suggested throughout our exposition and are summarized as follows.

- 1) Find scalar nonlinear network codes which outperform vector network codes on generalized combination networks. What is the maximum gap in the alphabet size and/or the number of nodes in the middle layer of the generalized combination networks related to these codes?
- 2) Consider the hierarchy for the q -analog of the generalized Hamming weights.
- 3) Find more applications for the new classes of codes in network coding.

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