

# Analysis of disk crack pattern using peridynamics with machine learning

Moonseop Kim · Nick Winovich ·  
Guang Lin · Wontae Jeong

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**Abstract** Based on molecular dynamics (MD) simulations, machine learning and peridynamics are applied to prediction of disk damage patterns for forward problem and conversely, in inverse problem, damage pattern of the disk is used to predict the size, angle and velocity of the indenter. Peridynamics is the nonlocal extension of classical continuum mechanics and same as MD model. Especially, FEM is based on the partial differential equations. However, partial derivatives do not exist on the crack and damage surfaces. To complement this problem, peridynamics was used which is based on the integral equations and overcome deficiencies in the modeling of deformation discontinuities. Using the advantage of crack analysis of peridynamics, this study produced data using peridynamics for the material of crack pattern. In this study, the forward problem (i), if we have images of damage and crack, crack patterns are predicted using trained data compared to true solutions which are hit by changing the x and z hitting coordinates on the disk. The inverse problem (ii), if we have images of damage and crack, the corresponding hitting location, velocity of indenter, angle of indenter and size of indenter are predicted using trained data.

**Keywords** Peridynamics · Crack · MD simulation · Machine learning · Convolutional Neural Network

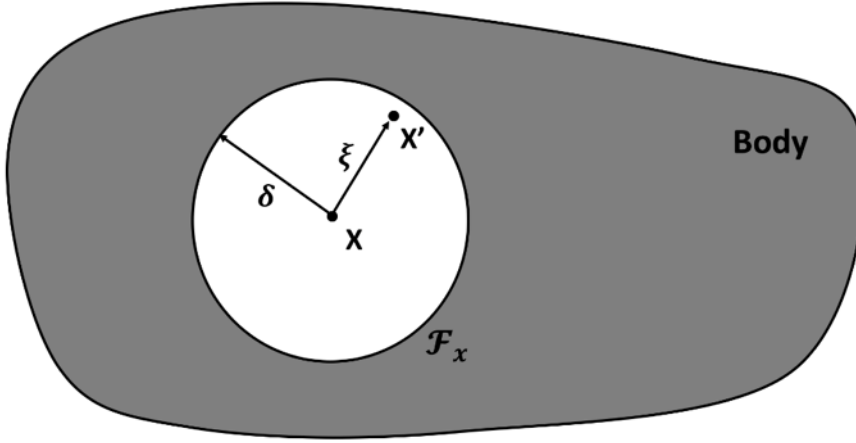
## 1 Introduction

Many products in everyday life are damaged by external shocks or user's mistakes, and cracks are formed. In the Department Of Energy (DOE), it is often the case that blades of thermoelectric power plant and wind power plant are

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\*Corresponding author at: Department of Mechanical Engineering, Purdue University, West Lafayette, IN 47906-2045, Tel.: +1 765 494 1965  
E-mail: guanglin@purdue.edu (Guang Lin), kim2122@purdue.edu (Moonseop Kim), nwinovic@purdue.edu (Nick Winovich),

damaged by rotation, temperature effects, and external wind influences. In the reactor of nuclear power plant, cracks are occurred due to heat generated during nuclear fission. This can lead to not only high maintenance costs but also national disaster. So far, research on cracking has been going on in various perspectives for several decades. Representatively, various types of structures and material behaviors have been studied through the finite element analysis (FEM). However, there are inherent errors in the study through the FEM. In the FEM, only the approximate solution can be obtained. Also, the results of analysis tend to depend on the mesh, and the mistakes by the researchers can be fatal to derive the results. Finally, the FEM is based on partial differential equations, but the partial derivatives are not present on the surface of the crack, so it is not enough to get accurate results. Therefore, in order to compensate for these drawbacks, we conducted a crack pattern study using the peridynamics. The peridynamics theory of solid mechanics was first introduced by S. Silling [1–4]. This theory is nonlocal extension of classical continuum mechanics and it is an alternative to continuum mechanics for more accurate crack studies. This model is based on the integral equations and its of the peridynamics can be applied directly to cracks. The reason for this is that it does not require partial derivatives. Therefore, the peridynamics is very suitable for the study of surface discontinuity such as cracks, and various multiscale modeling is possible due to SI units can be used. The peridynamics can be of particular use in understanding the damage in membranes and nanofiber [5], composites and brittle materials [6], brazed single-lap joints [7], fuel pellet [8] and biomembranes [9]. The peridynamics can be applied not only to damage studies, but also to technologically important areas such as prediction of viscoelastic materials [10], piezo-resistive response of carbon nanotube nanocomposites [11], phase transformation in zirconium dioxide [12], shock and vibration [13], and indentation of thin copper film [14]. Though the peridynamics framework has proven to be a powerful and widely applicable tool, the computational demand can become burdensome very quickly as the scales of the simulations are increased. In order to decrease the computation time required to run these simulations while maintaining a high level of accuracy, we propose a machine learning approach which utilizes recent design and hardware advances that have greatly improved the performance of artificial neural networks (ANNs). Recently, convolutional neural networks (CNNs) have been shown to provide excellent light-weight alternative to traditional fully-connected networks, and are particularly well-suited for applications on highly structured data, which is characteristic of the systems considered in peridynamics. These networks have the advantage of being trainable in advance, learning from a dataset generated by a highly accurate model such as peridynamics and encoding an approximation of the model into a concise, neural network representation; this approximate model can then be used after the training procedure to produce near instantaneous results. By combining the accuracy of the peridynamics model with the computational speed of modern neural networks, we show that complex MD simulations can be accurately per-



**Fig. 1** The composition of peridynamics model.

formed using just a fraction of the computation time required by traditional approaches.

## 2 The peridynamics model

The peridynamics is the non-local extension of the classical continuum mechanics. The model structure of peridynamics is the same as a MD model and in LAMMPS, SI units (m, kg, sec etc.) can be used for simulation. Compared with FEM (Finite Element Method), FEM can only acquire approximate solutions and contain inherent errors due to  $\pi$ ,  $\sqrt{N}$ , etc. The user's mistake in obtaining a solution can be incredibly deadly, and the results can vary depending on how the mesh is set. Finally, the FEM is based on partial differential equations, but there are no partial derivatives on crack surfaces. Therefore, to secure this weakness, the peridynamics model is used. The peridynamics model is based on integral equations. In the analysis of damage and cracks, the integral equations of the peridynamics theory can be apply directly, because they do not require partial derivatives. Accordingly, it overcomes deficiencies in modeling of deformation discontinuities. Next, let's look at the govern equations of the peridynamics model [2–4]. Fig. 1 is plotted to represent the composition of the peridynamics model. As you can see in the Fig. 1,  $\mathcal{F}_x$  (Family of  $x$ ) exists in the body, and there are arbitrary points  $x$  and  $x'$  in it.  $x$  and  $x'$  are the points given in the reference configuration.  $u(x,t)$  and  $y(x,t)$  represent the displacement and position of the point  $x$  at time  $t$  respectively. In peridynamics theory, all points, including  $x$  and  $x'$  in the horizon  $\delta$  boundary, are interconnected by bonds. That is, each point has connectivity to all points in horizon  $\delta$  as well as nearby neighbors. Thus, as mentioned above, the peridynamics is a non-local extension of the classical continuum mechanics. Integral equation is used to calculate the current point forces per unit volume.

Due to spatial differentiation is not used in peridynamics, it is useful for analyzing discontinuous media and discrete particles such as cracks or damage. The peridynamic equation of motion is given as :

$\rho(x)$  is the density in the reference configuration,  $F_x$  is the family of  $x$  in the horizon  $\delta$ ,  $b$  represents the external force per unit volume and  $u$  represent the displacement of the point  $x$  at time  $t$ .  $\omega$  is the pairwise bond force density that includes all of the information related to  $x$  and  $x'$ . Next, the relative position vector which is time-independent and displacement vector which is time-dependent of two bonded points  $x$  and  $x'$  are defined by  $\zeta = x' - x$  and  $\eta = u(x', t) - u(x, t)$ , respectively.  $\zeta + \eta$  represents the current relative position vector between the particles. The pairwise bond force density  $\omega$  should have the following properties:

$$\rho(x)\ddot{u}(x, t) = \int_{F_x} \omega(u(x', t) - u(x, t), x' - x) dV_{x'} + b(x, t), \quad t \geq 0 \quad (1)$$

$$\omega(-\eta, -\zeta) = -\omega(\eta, \zeta), \quad (\zeta + \eta) \times \omega(\eta, \zeta) = 0 \quad \forall \eta, \zeta \quad (2)$$

$\omega(-\eta, -\zeta) = -\omega(\eta, \zeta)$  represents the conservation of linear momentum, and  $(\zeta + \eta) \times \omega(\eta, \zeta) = 0$  indicates the conservation of angular momentum. It means that the force vector between  $x$  and  $x'$  is parallel to their current relative position vector. In this study, crack pattern generated by impact of indenter on disk is used as input data of machine learning algorithm. Therefore, Prototype Microelastic Brittle (PMB) model [4] was used for constitutive model to obtain a more precise and complex crack pattern. The govern equations are given as:

$$s = \frac{|\zeta + \eta| - |\zeta|}{|\zeta|} = \frac{y - |\zeta|}{|\zeta|} \quad (3)$$

$f$  is the scalar valued bond force and it is defined in relation to bond stretch  $s$ . The best way to apply failure to the constitutive model is to allow the bonds to break when they exceed the predefined limit. If the bond is broken, the tensile strength cannot be restored. The PMB model is defined as follows.

$$f(y(t), \zeta) = g(s(t, \zeta))\mu(t, \zeta) \quad (4)$$

$$g(s) = cs \quad \forall s \quad (5)$$

$$\mu(t, \zeta) = \begin{cases} 1, & \text{if } s(t', \zeta) < s_0 \text{ for all } 0 \leq t' \leq t \\ 0, & \text{otherwise} \end{cases} \quad (6)$$

$f$  is composed of the products of  $g$  and  $\mu$  functions.  $g$  is the linear scalar-valued function which is composed of spring constant  $c$ , and bond stretch  $s$ .  $\mu$  is a history-dependent scalar-valued function and has a value of 1 and 0 depending on the condition. In other words, if the bond stretch is less than critical bond stretch  $s_0$ , it has a value of 1, otherwise it has a value of 0. The spring constant  $c$ , and the critical bond stretch  $s_0$  mentioned above are described in detail below.

$$\frac{18k}{\pi\delta^4} \quad (7)$$

$$s_0 = \sqrt{\frac{10G_0}{\pi c\delta^5}} = \sqrt{\frac{5G_0}{9k\delta}} \quad (8)$$

$$G_0 = \frac{\pi c s_0^2 \delta^5}{10} \quad (9)$$

$k$  is the bulk modulus of the material and  $G_0$  is the work required to break all bonds per unit fracture area. As we can be seen from the above equations, the spring constant and the critical bond stretch are composed of bulk modulus of the material  $k$ , and chosen horizon boundary  $\delta$ . Finally, in the case of brittle materials such as glass, related to bond stretch, is defined as follows.

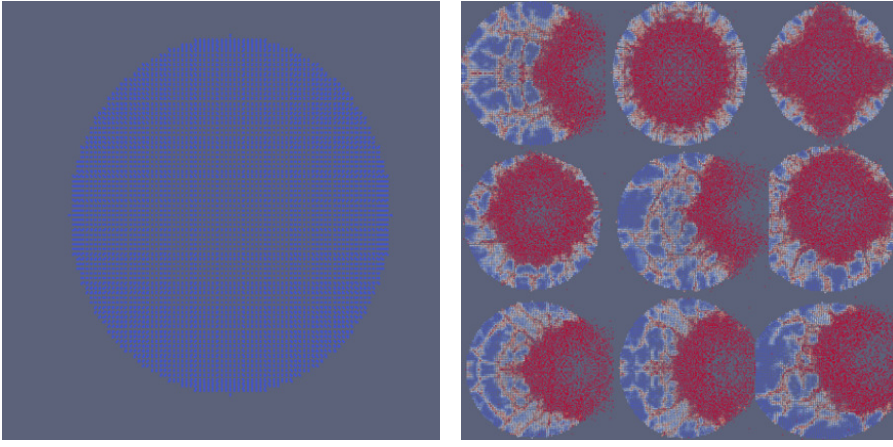
$$s_0 = s_{00} - \alpha s_{min}(t), \quad s_{min}(t) = \min_{\zeta} \frac{y(t) - |\zeta|}{|\zeta|} \quad (10)$$

$s_{min}$  is the current minimum stretch in the group of all bonds connected to a given point,  $s_{00}$  and  $\alpha$  are constants and  $\alpha$  is generally used about 1/4.

### 3 Preparation of MD data using peridynamics model

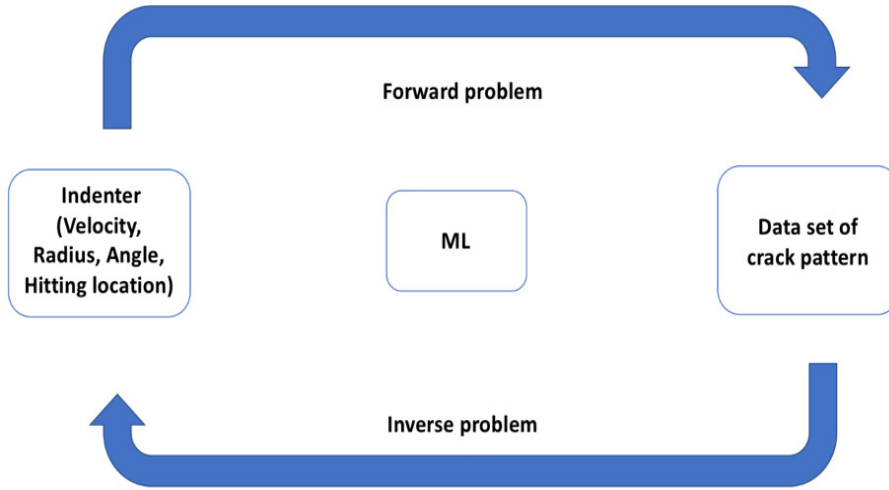
In this study, crack pattern data were generated by using the peridynamics in the LAMMPS [15], a kind of MD simulation tool. The spherical indenter impacted the disk by dropping the spherical indenter in the direction perpendicular to the disk, it means from the +y axis to disk and varying the x and z coordinates of the indenter to impact whole parts of the disk. The radius of the indenter are 0.007m and 0.008m for the inverse problem and the velocity are 100m/s and 100.1m/s, however, for the forward problem, the radius of the indenter and velocity are fixed by 0.0020m and 100m/s respectively. The radius of the cylindrical disk is 0.037m, the thickness is 0.0025m and this disk contains 103,110 particles. Each particle  $i$  has volume fraction  $V_i = 1.25 \times 10^{-10} \text{m}^3$  and the density of the disk material is  $\rho = 2200 \text{kg/m}^3$ . The pair style in LAMMPS is used peri/pmb model. Pair constants are  $c$ , horizon,  $s_{00}$  and  $\alpha$  in order of 1.6863e22, 0.0015001, 0.0005, 0.25 respectively.  $c$  is the spring constant for peridynamic bonds, the horizon is a cutoff distance.  $s_{00}$  and  $\alpha$  are used as a critical bond stretch parameters. LAMMPS MD simulation tool are used to obtain the crack pattern data generated by the indenter using peridynamics, and obtain the specific data by changing the variable using for loops to adjust the velocity and radius of the indenter and the hitting point of the disk. SI units are to be used and boundary is non-periodic and shrink-wrapped. The particle volume is used a simple cubic lattice and the lattice constant is 0.001m. Cylinder disk (target) with a radius of 0.037 m and a thickness of 0.0025 m is set. The initial velocity of all particles set 0, and the hitting location, velocity, and radius of the indenter should be saved to do

damage prediction for the next steps after the MD simulation, so we set up to save the parameters information in indenter.txt. In addition, for the inverse problem, damage is given to the whole parts of the target, but in the forward problem, peridynamics proved to be inaccurate in the boundary part, and data is obtained by only damaging 1/5 size around the center of the target. Finally, we declare a dump command to obtain the crack pattern image, and 2000 time-steps of running simulation to get the data are used, and we set it to 2000 time-steps in dump command as well. The reason for this is that the image we want for prediction in next step is the initial image and the final image due to the same as the meaning of 2000 in the dump command is to store the x,z coordinates of the atoms at the initial target and 2000 time-steps of the target.



**Fig. 2** The initial appearance of the disk (Left) and the data results after hitting the indenter onto the disk (Right).

Using the above-mentioned setup in LAMMPS, we can see the result obtained by simulation using LAMMPS tool in Fig. 2. The dump file after running the MD simulation is converted to an EnSight data format with the pizza.py toolkit [16] and Paraview is used for visualization in Fig. 2 [17]. The left figure is the initial model of the target disk, and the right is the figure after the simulation results. The symmetry solution represents the right side in the figure because of the perfect lattice is used, and the perfectly spherical indenter impacted geometric center of the target. In this study, the use of asymmetric crack patterns could be better data for real life applications but focused primarily on crack patterns with symmetry, However, as the position of the hitting point change, various crack patterns can be confirmed, so that the data for machine learning is sufficient.



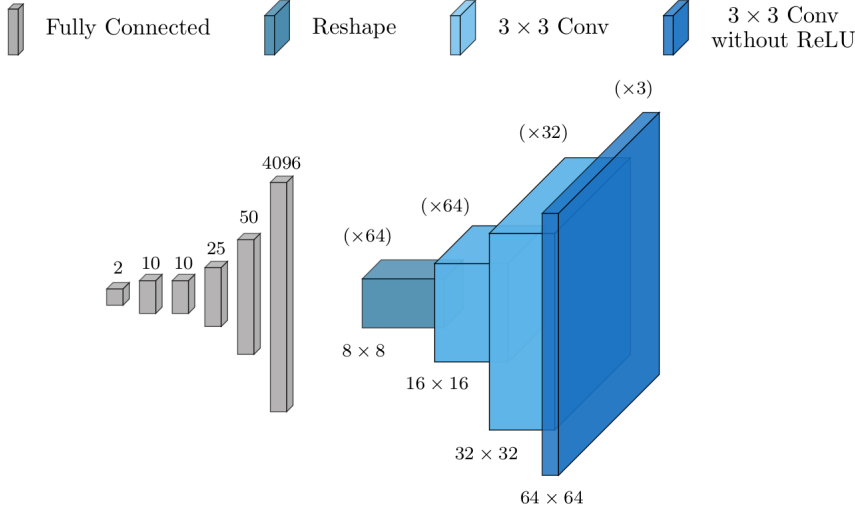
**Fig. 3** Diagram of forward problem and inverse problem using machine learning algorithm.

## 4 Results and Discussion

In this study, data obtained from peridynamics is used to predict the forward and inverse problems through the machine learning algorithm and the diagram is expressed in Fig. 3. For the forward problem, the crack patterns of the target disk are predicted due to the hitting locations. Conversely, images of the crack patterns are used in the inverse problem to predict the velocity, radius, hitting locations of the indenter and angle of indenter.

### 4.1 Dataset creation for forward problem

In order to successfully train the network for the forward problem, it was necessary to design a loss function which converted the raw LAMMPS damage data into a format which is compatible with the deep learning framework and array/tensor-based format of the TensorFlow network implementation. This conversion was performed most naturally by bucketizing the atoms into a uniform grid of resolution  $64 \times 64$ . The average damage of the atoms contained in each bucket/bin of the grid was calculated and used to create the target outputs for the network. It was also necessary to account for atoms which had been substantially dislocated by a direct impact with the indenter and had left some bins at the disk's interior empty. These interior bins were assigned a full damage value of 1.0 to indicate that the atom which had originally occupied the space was displaced by the indenter. This was achieved by storing a copy of the LAMMPS data before the indenter strikes to serve as a template which indicates where the atom damages should be calculated.



**Fig. 4** Network structure for forward problem. Features have been labelled by colors corresponding to the type of network layer used to produce them.

#### 4.2 Network structure for forward problem

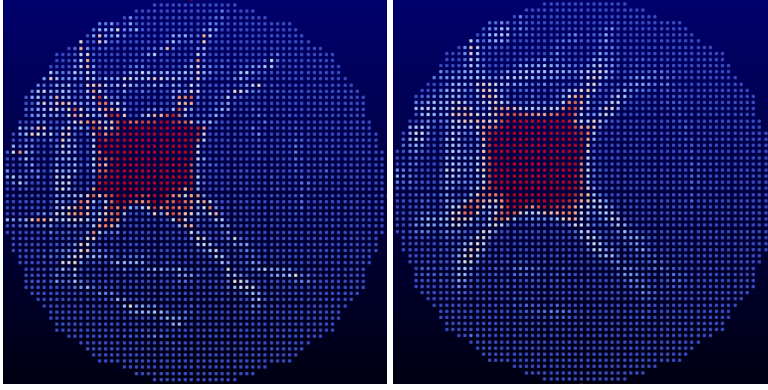
To accomplish this task, we propose the use of a deep neural network which consists of several dense, fully-connected network layers followed by a sequence of transpose convolutional layers. In this framework, the input coordinates are first processed by the initial dense network layers to construct features which are reshaped into a collection of coarse  $8 \times 8$  resolution features. These coarse features are then passed to the transpose convolutional layers to be upsampled into a single, higher resolution  $64 \times 64$  damage pattern prediction. The precise network structure used is shown in figure 4. This network was implemented using the TensorFlow software library and written in Python.

Of equal importance to the network structure is the choice of a suitable loss function. This loss function was defined using the neural network prediction  $y^*$ , true discretized damage pattern  $y$  obtained from the LAMMPS simulation, and template  $T$  described in section 4.1. The mean square error (MSE) was then calculated on the interior of the disk using the template file as an indicator of where the interior error should be calculated:

$$\text{Loss}(y^*, y) = \frac{1}{N^2} \sum_{i=1}^N \sum_{j=1}^N \mathbb{1}_T[i, j] \cdot |y^*[i, j] - y[i, j]|^2$$

Here  $N$  denotes the selected output resolution, and  $\mathbb{1}_T$  denotes the indicator for the Boolean template file  $T$  (i.e.  $\mathbb{1}_T[i, j] = 1$  when the  $(i, j)^{th}$  bin was originally occupied by an atom, and  $\mathbb{1}_T[i, j] = 0$  otherwise).





**Fig. 5** Example of a true damage pattern computed using LAMMPS (left) along with the corresponding neural network prediction (right).

All network layers used ReLU activation functions except for the final layer; as the damage pattern values are scaled to be within the range between 0 and 1, the final network layer was equipped with a sigmoidal activation function:

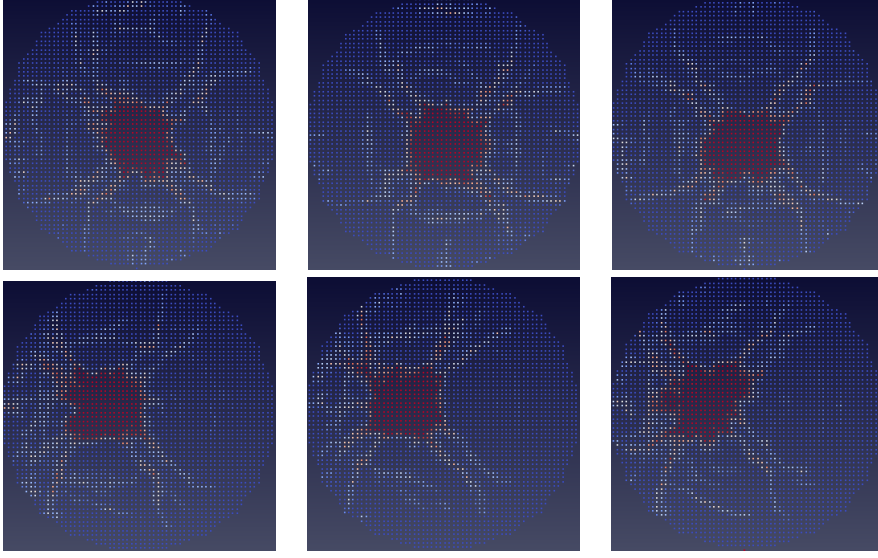
$$\text{sigmoid}(x) = \frac{1}{1 + \exp(-x)}$$

The model was trained using the standard ADAM optimization algorithm and backpropagation as implemented in TensorFlow. The learning rate for the ADAM optimizer was set to 0.0001, and the model was trained for 100 epochs using 10,000 data points.

#### 4.3 Forward problem results and discussion

After training, the neural network's damage predictions are seen to closely approximate the true damage patterns from the LAMMPS simulations. As depicted in Fig. 5, we see that the overall pattern of the damage on the disk is predicted quite well, however many of the finer details have been deemphasized or smoothed out by the network. The network predictions also tend to be more symmetric than the simulated patterns, and tend to produce more linear cracking branches in contrast to the more jagged cracks from the simulations.

The reason why the predictions are overly symmetric is most likely due to the relatively low forward stability of the LAMMPS peridynamics simulations. That is to say that the damage patterns are observed to change significantly even when the hit location of the indenter is changed by a very small amount. For example, the patterns shown in Fig. 6 correspond to hit locations which are very close to one another near the center of the disk along with a cluster of hit locations further from the center:



**Fig. 6** Demonstration of low forward stability of true damage patterns for LAMMPS simulations. A cluster of neighboring hit locations near the center of the disk (top row) as well as a cluster further from the center (bottom row) both produce a widely varied collection of simulated damage patterns.

Top-Left:	(0.001139, -0.003129)	Bottom-Left:	(-0.010100, 0.002305)
Top-Center:	(0.000692, -0.003257)	Bottom-Center:	(-0.009987, 0.002756)
Top-Right:	(0.000232, -0.003322)	Bottom-Right:	(-0.009853, 0.003201)

Each of these clusters have a maximum  $L^2$  Euclidean distance less than 0.00093mm on a disk of radius 0.037mm (i.e. less than 2.5% of the radius), yet the simulated damage patterns are seen to be quite disparate. It is suspected that the smoother, more symmetric neural network predictions illustrated in Fig. 5 may be reflective of the average damage incurred when the indenter's hit location is varied over a small neighborhood of the precise location provided to the network as input.

#### 4.4 Datasets of inverse problem

Clarified input and output data set are required to apply the supervised machine learning in this experiment. Various types of data are available to be used as an input data. Moreover, the features of the input data are determined, and its usually expressed as the vector form. For the data set, image forms of damage data, which is simulated by LAMMPS, are used as an input data set. Let the damage data be  $\mathcal{X}=\{\mathcal{X}_1, \mathcal{X}_2, \dots, \mathcal{X}_N\}$  as an input data when  $N \in \mathbb{R}$ . For Supervised machine learning, labels which classify and represent the input data are required as well. Consider the label set is  $\omega=\{\omega_1, \omega_2, \dots, \omega_M\}$  in

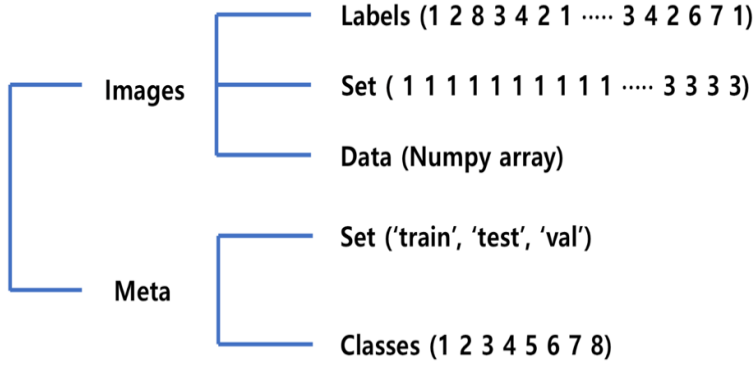
case  $M \in \mathbb{R}$ , and each label is assigned to the corresponding input data  $\mathcal{X}$ . The labeled data set which is called the training pair is  $\rho=(\mathcal{X}_i, \omega_i)$ . This pair represents the damage set with corresponding labels. In this research, 70% of the input data and 10% of the input data set are used for the training set and the test set respectively and 30% of the training data, is used for the validation set. This labeled training set is sent to a supervised learning algorithm which is chosen and designed for making a prediction model. The goal of the supervised machine learning algorithm is to efficiently classify the training set according to the corresponding labels. There are a few supervised learning algorithms which are used such as decision trees, Naive Bayes, Artificial Neural Network (ANNs), etc [18]. In this paper, Convolutional Neural Network (CNNs), is used as supervised learning algorithm. Through the learning algorithm, the labeled training set and validation set are used as an input of a function which allows the learning algorithm to classify the relationship between the unlabeled input data  $\mathcal{X}$  and the corresponding labels  $\omega$ . Thus, it generates a function that is  $\mathcal{F}:\mathcal{X}_i \rightarrow \omega_i$ . Moreover, through the validation set which is sorted from the input data, the function is optimized as a classifier, and it makes an accurate prediction model. Lastly, in order to estimate the prediction model, a new input data set is used as a test set. In the same way as the training set, the corresponding labels is assigned to the new test set. This test set is sent to the prediction model, and it finally shows the accuracy of the prediction model by predicting the assigned labels of the test set. In this process, the labels of the test set are not used for training but for prediction. Thus, the prediction model predicts the corresponding labels of each component of the data set, and the predicted labels are compared with the desired labels of the test pairs. In this article, the result is displayed as a success rate of prediction of the test set, and it shows the accuracy of this supervised learning. An inverse problem is a mathematical way to predict the parameters through the measured and observed data. In this study, the crack pattern on a disk by an indenter was supposed to be analyzed depending on different value of the parameters of the indenter through the way of inverse problem. The purpose of this section is using the concept of the inverse problem for the crack pattern to predict the velocity, radius, angle and hitting points of indenter.

#### 4.4.1 Preparation of data

Matconvnet [19] based on MATLAB code was applied to the inverse problem using numpy array as input image data. Prior to considering the results, focusing on the input image data using LAMMPS, we can classify into 8 modes changing the 4 variables (radius of indenter, velocity of indenter, angle of indenter, and hitting location of disk) and obtained 7,200 training data sets and 1,200 test data sets. The mode according to the variation of the variable is set as a combination of velocity, angle, radius of indenter and hitting location of disk and is shown in Table. 1. Training data set and test data set are distinguished to apply input image data set to machine learning algorithm. The image size of the training data set is 128 pixels by 128 pixels and the number is

**Table 1** Setup for 8mode of input image data.

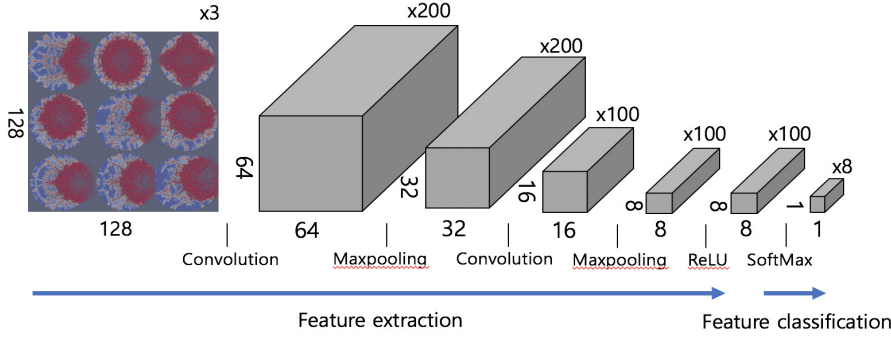
Mode	4variables
	Radius of indenter ( $r$ ) = 0.007, 0.008m Velocity of indenter ( $v$ ) = 100, 100.1m/s Angle of indenter ( $a$ ) = $0^\circ$ , $45^\circ$ Hitting location of disk ( $x, y$ )
Mode1	$r=0.007\text{m}$ , $v=100\text{m/s}$ , $0^\circ$
Mode2	$r=0.007\text{m}$ , $v=100.1\text{m/s}$ , $0^\circ$
Mode3	$r=0.008\text{m}$ , $v=100\text{m/s}$ , $0^\circ$
Mode4	$r=0.008\text{m}$ , $v=100.1\text{m/s}$ , $0^\circ$
Mode5	$r=0.007\text{m}$ , $v=100\text{m/s}$ , $45^\circ$
Mode6	$r=0.007\text{m}$ , $v=100.1\text{m/s}$ , $45^\circ$
Mode7	$r=0.008\text{m}$ , $v=100\text{m/s}$ , $45^\circ$
Mode8	$r=0.008\text{m}$ , $v=100.1\text{m/s}$ , $45^\circ$

**Fig. 7** Structure of data in the .mat file

7200. 2,160 (30% of 7,200 training data set) were designated as validation set. In this way, the image size of the test data set is 128 pixels by 128 pixels, and the number of images is 1200. 360 (30% of 1,200 test data set) were designated as validation set in the test data set.

#### 4.4.2 Reference annotation

The training data set and the test data set are made into a .mat file that can be applied to Matconvnet and its structure is shown in Fig. 4. The structure of the data in the .mat file is largely divided into 'Images' and 'Meta'. There are 'Labels', 'Set' and 'Data' classes in the 'Images' class. 'Labels' displays labels on images of input data sets. Labels were displayed randomly from 1 to 8 since data has 8modes. In the set class, training data, test data, and validation data are indicated by 1, 2, and 3, respectively. In the 'Data' class, the data obtained from the LAMMPS is converted to a numpy array and expresses it as a 4-D single matrix. Finally, there are 'Set' and 'Classes' in the 'Meta' class. The 'Set' contains the words 'train', 'test' and 'val' which are related to the 'Images' class and indicate that the 1 2 3 in the set of images class is training data, test



**Fig. 8** Architecture of convolution neural networks (CNNs) for inverse problem and rectification (ReLU) and downsampling layers (MaxPooling) represented in section 4.1.4. This figure shows the data size, kernel size and the numbers of the output feature and produced by each filter layers.

data and validation data respectively. The 'Classes' in the 'Meta' specifies a class from 1 to 8 due to this inverse problem has a mode of 8.

#### 4.4.3 Convolutional neural network for inverse problem

Deep learning is a subset of AI and machine learning that can bring state-of-the-art technology and accuracy in problems such as object detection, text generation, image recognition and etc. and using existing human knowledge in annotated data, various cognitive and inferential tasks can be performed [20–22]. Convolutional neural networks are variously used to solve the computer vision problems such as image classification and detection of object. These convolutional neural networks are composed of several layers which can mediated by connections between input and output layers. In this research for damage analysis using machine learning algorithm, a similar structure of AlexNet are chosen [23]. The CNNs adjusts two steps through the neural layers such as feature extraction and feature classification. First, feature extraction takes a color-scale damage image stored as a 2D array of size  $N_{row} \times N_{col}$  for input data and convert to a vector of size  $8 \times 8 \times 100$ . Second, the feature vector is converted to classification vector of size  $1 \times N_c$ , where  $N_c$  is the number of classification categories. This paper considers eight categories from mode 1 to mode 8.

#### 4.4.4 Feature extraction and classification

The purpose of image extraction and classification is to create a  $1 \times f_c$  feature vector in relation to the input data, which is related to the 8modes we want to classify. This vector is generated from the input image by applying successive filters and the filters are 1) Maxpooling layer, 2) ReLU layer and 3) SoftMax layer that are described as follows.

1) Maxpooling layer [24] is a sample-based discretization process. The objective is to down-sample an input representation, reducing its dimensionality, the computational load, and the memory usage. If we assume the input image  $x$  is a square image of size  $N_{col} \times N_{row}$ , and  $a = 2$ , then the output image  $y$  of the pooling operation is of size  $[N_{col}/a] \times [N_{row}/a]$ . In our research, the downsampling rate is set to  $a = 2$ , the 64 pixels by 64 pixels image becomes 32 pixels by 32 pixels and 16 pixels by 16 pixels image becomes 8 pixels by 8 pixels.

2) The rectified linear unit layer [25] applies an activation function defined as the positive part of its argument and this activation function is shown in Eq.(11).

$$ReLU(x) = x^+ = \max(0, x) \quad (11)$$

where  $x$  is the input data to a neuron. A ReLU performs a threshold operation to each element of the input where any value less than zero is set to zero. ReLU improves neural network is by speeding up training.

3) SoftMax layer [26] is often used in the final layer of a neural network-based classifier. It takes a vector of arbitrary real-valued scores (in  $z$ ) and squashes it to a vector of values between zero and one that sum to one.

$$z^{[L]} = W^{[L]}a^{[L-1]} + b^{[L]} \quad (12)$$

To compute  $z$ , SoftMax activation function is needed.

$$t = \exp z^{[L]} \quad (13)$$

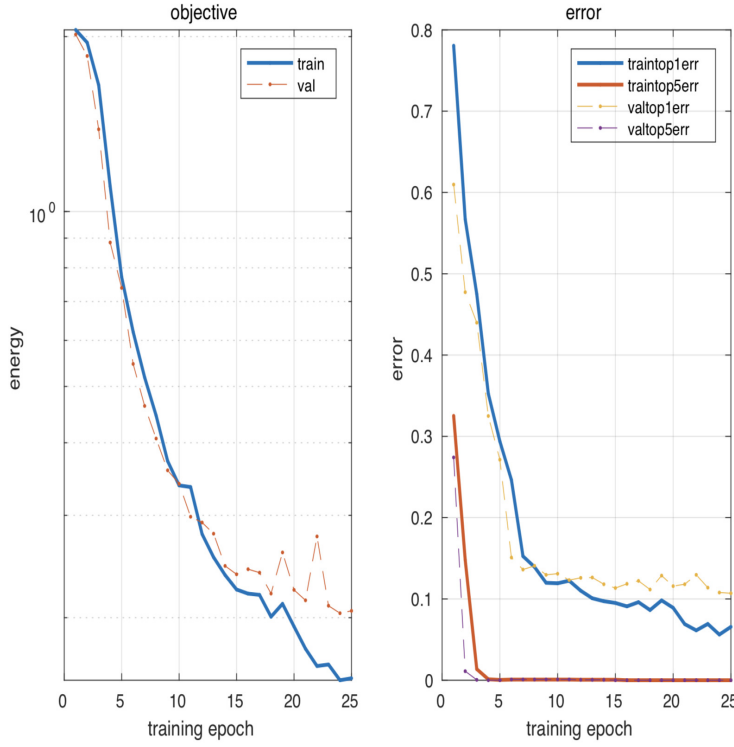
$t$  and  $z^{[L]}$  is (8,1) dimensional vector which is related to the 8 mode of output.

$$a^{[L]} = \frac{\exp z^{[L]}}{\sum_{i=1}^8 t_i} \quad (14)$$

output  $a$  is going to be the vector  $t$  but normalized to sum to 1.

#### 4.4.5 Training and testing using CNNs and prediction accuracy

Data was trained by Matconvnet based on MATLAB. Convolution neural networks (CNNs) was used for training and its architecture is shown in Fig 5. Our fully convolutional network is composed of two convolutional layers followed by a max pool layer and rectified linear layer (ReLU) and followed by fully-connected layer with softmax layer which is used in the final layer of convolution neural network-based classifier. In the first convolutional layer, the “weights” are the filters being learned and initialized with random numbers from a Gaussian distribution. The filters are 2 by 2 spatial resolution with 1 filter depth and number of kernels are 200. The next layer is a max pooling layer which takes 2 by 2 sliding window with a stride of 2. The spatial resolution



**Fig. 9** Objective and error plots during the training.

will be decreased due to the stride 2 in max pooling layer. The next layer is the rectified linear unit (ReLU). It promotes the convergence of stochastic gradient descent through the negative value becomes zero. By doing this activation, the enhancement of the speed of convergence can be seen when training the data. The last layer is fully-connected layer have full connections with the activation functions in the previous convolution layers. In this study, the output of this layer must be 1x1 spatial resolution and since the size of the filter should be classified as 8 mode, it should be unconditionally 8. Using the CNNs mentioned above with the 7200 training data and the 1200 test data, the learning rate is 0.001, the batch size is 20, and the epoch is 25 for the training. During the data training of the CNNs, each successful epoch produce up to the plots (objective and error plots). In this convolutional neural network, MatConvNet minimizes the objective which represents the loss function and y axis of energy represents to measure the magnitude of loss. During the training, several statistics are measured after every batch. In the objective and the error plots, the training error is depicted by blue line and the validation error is represented by orange dotted line. The error graph should show similarity to the objective graph and our network achieved 0.044 validation error. Lastly, the results are shown in Table. 2. The left side shows the number of the 10 test images and the marked

label among 1200 test images, and the right side shows the prediction based on the training results. As we can see in Table. 2, labeled test images and

**Table 2** CNNs predictions results compared to the test image data.

Test image number : Label(Mode)	CNNs prediction results (Mode)
Test image 1 : 5 (Mode)	5 (Mode)
Test image 2 : 6 (Mode)	6 (Mode)
Test image 3 : 7 (Mode)	7 (Mode)
Test image 4 : 2 (Mode)	2 (Mode)
Test image 5 : 8 (Mode)	8 (Mode)
Test image 6 : 7 (Mode)	7 (Mode)
Test image 7 : 2 (Mode)	1 (Mode)
Test image 8 : 3 (Mode)	3 (Mode)
Test image 9 : 8 (Mode)	8 (Mode)
Test image 10 : 6 (Mode)	6 (Mode)

CNNs prediction results are quite match the results each other. To evaluate the accuracy of training data obtained from CNNs, the success rate is defined as

$$\frac{\text{number of correct test images}}{\text{total number of test images}} \times 100\% \quad (15)$$

The prediction results of inverse problem using the training data showed a success rate of 95.6%.

## 5 Conclusion

## 6 Data and Code

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