# **Discrete Mathematics-Honors**

Neo Wang Lecturer: Isil Dilig

Last Updated: September 27, 2022

# **Contents**

1	Logi	c and Sets	3
	1.1	Lecture -August 23, 2022	3
		1.1.1 Predicate Logic	3
	1.2	Lecture-August 25, 2022	3
		1.2.1 Validity and Satisfiability	4
	1.3	Lecture-August 30, 2022	5
		1.3.1 Discussion 1	5
		1.3.2 Important equivalences	5
		1.3.3 First Order Logic	6
	1.4	Lecture-September 1, 2022	7
		1.4.1 Quantifiers	7
		1.4.2 DeMorgan's Laws for Propositional Logic	8
		1.4.3 Nested Quantifiers	8
	1.5	Lecture-September 6, 2022	9
		1.5.1 Satisfiability and validity in FOL	9
		1.5.2 Equivalence	9
		1.5.3 Rules of Inference	9
	1.6	Lecture–September 8, 2022	10
		1.6.1 Universal Instantiation	10
		1.6.2 Universal Generalization	11
		1.6.3 Existential Instantiation	11
		1.6.4 Existential Generalization	12
	1.7	Lecture–September 13, 2022	12
	1.8	Lecture–September 15, 2022	13
		1.8.1 If and Only If Proofs	13
		1.8.2 Counterexamples	14
		1.8.3 Existence and Uniqueness	14
2	Basi	,	15
			15
		2.0.2 Set Builder Notation	15
		•	15
		2.0.4 Set Operations	16
	2.1	Lecture-September 20, 2022	16
		2.1.1 Undecidability	17
	2.2	Lecture-September 27, 2022	18
		2.2.1 Proving Injectivity	18
		2.2.2 Floor and Ceiling Functions	19

# 1 Logic and Sets

# 1.1 Lecture -August 23, 2022

# 1.1.1 Predicate Logic

There are three basic logical connectives: **and**, **or**, **not** which are denoted by  $\land$ ,  $\lor$ , and  $\neg$  respectively. The negation of a proposition p, written  $\neg p$ , is true if p is false and false if p is true.

#### Example

"Less than 80 students are enrolled in CS311H" is a proposition. The negation of this is at least 80 students are in CS311H

Conjunction of two propositions p and q is written  $p \wedge q$ 

#### 2 Example

The conjunction of p = "It is Tuesday" and q = "it is morning" is  $p \land q$  = "It is Tuesday and it is morning"

- Disjunction is written  $p \lor q$  and the disjunction between  $p \lor q$  for p = "It is Tuesday" and q = "it is morning" is  $p \lor q =$  "It is Tuesday or it is morning"
- If your formula has n variables then your truth table has n + 1 columns because you have n variables and one column for the truth value of the formula.
- The number of rows is given by the formula  $2^n$
- Other connectives: exclusive or  $\oplus$ , implication  $\rightarrow$ , biconditional  $\leftrightarrow$

# 1.2 Lecture-August 25, 2022

Let p ="I major in CS", q ="I will find a good job", r ="I can program"

- "I will not find a good job unless I major in CS or I can program":  $(\neg p \land \neg r) \rightarrow \neg q$
- "I will not find a good job unless I major in CS and I can program":  $(\neg p \lor \neg r) \to \neg q$
- The **inverse** of an implication  $p \to q$  is  $\neg p \to \neg q$ . Therefore, "If I'm a CS major then I can program" has an inverse of "If I am not a CS Major then I'm not able to program."
- The **converse** of an implication  $p \to q$  is  $q \to p$ .

#### **Definition** (Contrapositive)

The contrapositive of an implication of  $p \rightarrow q$  is  $\neg q \rightarrow \neg p$ 

The contrapositive of "if CS major then I can program" is "if I can't program, then I'm not a CS major"

p	q	$p \rightarrow q$	$\neg q \rightarrow \neg p$
T	T	T	T
T	F	F	F
F	Т	T	T
F	F	T	T

A converse and it's inverse are always the same.

#### 4 **Definition** (Biconditionals)

$$p \leftrightarrow q = p \rightarrow q \land q \rightarrow p = \neg(p \oplus q)$$

#### **Example** (Operator precedence)

Given a formula  $p \land q \lor r$  do we parse this as  $(p \land q) \lor r$  or  $p \land (q \lor r)$ ?

- 1. Negation ¬ has the highest precedence
- 2. Conjunction ( $\wedge$ ) has the next highest precedence
- 3. Disjunction (V) has the next highest precedence
- 4. Implication  $(\rightarrow)$  has the next highest precedence
- 5. Biconditional  $(\leftrightarrow)$  has the lowest precedence
- 6. Make sure to explicitly use parentheses for  $\oplus$

# 1.2.1 Validity and Satisfiability

Validity and satisfiability

- The truth value depends on truth assignments to variables
- Example:  $\neg p$  evaluates to true under the assignment p = F and to false under p = T
- Some formulas evaluate to true for all assignments—these are called **tautologies** or **valid formulas**
- Some formulas evaluate to false for all assignments—these are called **contradictions** or **unsatisfiable formulas**

# **Definition** (Interpretation)

An interpretation I for a formula F is a mapping from each propositional value to exactly one truth value.

$$I: \{p \mapsto \text{true}, q \mapsto \text{false}, \dots, \}$$

Each interpretation corresponds to one row in the truth table so there are  $2^n$  interpretations for a formula with n variables.

If the formula is true under interpretation *I* then we write  $I \models F$  and if the formula is false then we write  $I \not\models F$ .

Theorem:  $I \models F$  if and only if  $I \not\models \neg F$ .

### 7 Example

Consider the formula  $F: p \land q \rightarrow \neg p \land \neg q$ 

Let  $I_1$  be the interpretation such that  $[p \mapsto \text{true}, q \mapsto \text{true}]$ 

What does F evaluate to under  $I_1$ ? Answer: true

#### Example

Let  $F_1$  and  $F_2$  be two propositional formulas. Suppose  $F_1$  is true under I. Then,  $F_2 \neg F_1$  evaluates to false under I (the "and" shortcuts and forces the whole equation to be false).

Satisfiability, Validy

- *F* is **satisfiable** iff there exists interpretation  $I|I \models F$
- *F* is **valid** iff for all interpretations  $I, I \models F$
- *F* is **unsatisfiable** iff for all interpretations  $I, I \not\models F$
- *F* is **contingent** if it is satisfiable, but not valid.

**Example** (Are the following statements true of false?)

- If a formula is valid, then it is also satisfiable? True. All interpretations are satisfiable.
- If a formula is satisfiable, then its negation is unsatisfiable. False.
- If  $F_1$  and  $F_2$  are satisfiable, then  $F_1 \wedge F_2$  is also satisfiable. False.
- If  $F_1$  and  $F_2$  are satisfiable, then  $F_1 \vee F_2$  is also satisfiable. True.

**Theorem** (Duality Between Validity and Unsatisfiability)

*F* is valid iff  $\neg F$  is unsatisfiable.

*Proof.* Definition: F is valid iff for all interpretations  $I, I \models F$ 

Theorem:  $I \models F \leftrightarrow I \not\models \neg F$ 

This is very easy to prove: just map all outputs of F to true.

Question: How can we prove that a propositional formula is a tautology is true?

Answer: We can use the truth table method and prove that the formula is true for all possible truth assignments.

1 Example (Tautology)

10

 $(p \to q) \leftrightarrow (\neg q \to \neg p)$  is a tautology.

 $(p \land q) \lor \neg p$  is not a tautology.

# 1.3 Lecture-August 30, 2022

Implication: Formula  $F_1$  implies  $F_2$  (written  $F_1 \implies F_2$ ) iff  $\forall I, I \models F_1 \rightarrow F_2$ 

12 Example (Implication Removal)

Is  $(p \land q) \rightarrow p$  true? False. Let p = F, q = T

	p	q	$p \rightarrow q$	$\neg p \lor q$
	T	T	T	T
ĺ	T	F	F	F
ı	F	T	T	T
	F	F	T	T

**Definition** (Implication Removal)

 $p \to q$  is equivalent to  $\neg p \lor q$ 

#### 1.3.1 Discussion 1

- If p, then q:  $p \rightarrow q$
- p only if q:  $p \rightarrow q$
- p unless q:  $\neg q \rightarrow p$
- p is necessary for q:  $q \rightarrow p$
- p is sufficient for q:  $p \rightarrow q$

## 1.3.2 Important equivalences

• Law of double negation:  $\neg \neg p \equiv p$ 

- Identity laws:  $p \wedge T \equiv p, p \vee F \equiv F$
- Domination Laws:  $p \lor T \equiv T, p \land F \equiv p$
- Idempotent Laws:  $p \land p \equiv p, p \lor p \equiv p$
- Negation Laws:  $p \land \neg p \equiv F, p \lor \neg p \equiv T$

#### **Note** (Commutativity and Distributivity Laws)

- Commutative Laws:  $p \lor q \equiv q \lor p$ ,  $p \land q \equiv q \land p$
- Distributivity Law 1:  $(p \lor (q \land r)) \equiv ((p \lor q) \land (p \lor r))$
- Distributivity Law 2:  $(p \land (q \lor r)) \equiv ((p \land q) \lor (p \land r))$
- · Associativity Laws:

$$p \lor (q \lor r) \equiv (p \lor q) \lor r$$

$$p \wedge (q \wedge r) \equiv (p \wedge q) \wedge r$$

- Absorption 1:  $p \land (p \lor q) \equiv p$
- Absorption 2:  $p \lor (p \land q) \equiv p$

#### **Definition** (De Morgan's Laws)

Let a = "John took CS311" and b = "John took CS312". What does  $\neg(a \land b)$  mean? It means "John did not take both CS311 and CS312". Therefore, John didn't take either CS311 or CS312.

$$\neg(a \land b) \equiv \neg a \lor \neg b$$

## **Example** (Prove $\neg (p \land (\neg p \land q)) \equiv \neg p \land \neg q)$

p	$\neg p$	q	$p \wedge (\neg p \wedge q)$	$\neg(p \land (\neg p \land q))$
T	F	T	T	F
T	F	F	F	T
F	T	T	F	T
F	T	F	F	T

## 17 Example

15

16

If Jill carries an umbrella, it is raining. Jill is not carrying an umbrella. Therefore, it is not raining.

$$((u \to r) \land (\neg u)) \to \neg r$$

This can be counter-modeled with r = true, u = false.

# 1.3.3 First Order Logic

- The building blocks of propositional logic were propositions
- In first-ordre logic there are three kinds of basic building blocks: constants, variables, predicates.
- Constants: refer to specific objects
- Examples: George, 6, Austin, CS311, ...
- If a universe of discourse is cities in Texas, *x* can represent Houston, Houston, etc.
- **Predicates** describe properties of objects or relationships between objects.
- A predicate P(c) is true or false depending on whether property P holds for c.
- The truth value of even(2) = true
- Another example: Suppose Q(x, y) denotes x = y + 3 what is the value of Q(3, 0)? true

# 1.4 Lecture-September 1, 2022

- In propositional logic, the truth value depends on a truth assignment
- In FOL, truth depends on interpretation over some domain *D*
- Universe of discourse (domain) + what elements in the domain the variables map to

**Example** (Semantics of First-Order Logic)

Consider a FOL formula  $\neg P(x)$ 

$$D = \{A, B\}, P(A) = \text{true}, P(B) = \text{false}, x = A$$

This is a falsifying interpretation

## 9 Example

18

Consider I over domain  $D = \{1, 2\}$ 

- P(1,1) = P(1,2) = true, P(2,1) = P(2,2) = false
- Q(1) = false, Q(2) = true
- x = 1, y = 2
- What is  $P(x, y) \wedge Q(y)$  under *I*? True.
- What is truth value of  $P(y, x) \rightarrow Q(y)$  under *I*? True.
- Waht is truth value of  $P(x, y) \rightarrow Q(x)$  under *I*? False.

# 1.4.1 Quantifiers

- Real power of first-order logic over propositional logic: quantifiers.
- There are two quantifiers in first-order logic:
  - 1. Universal quantifier (for **all** objects):  $\forall x P(x)$
  - 2. Existential quantifier (for **some** object):  $\exists x P(x)$

#### 20 Example

Let  $D = \{a, b\}, P(a) = \text{true}, P(b) = \text{false then } \forall x.P(x) \text{ is false.}$ 

#### 21 Example

Consider  $D = \mathbb{R}$  and  $P(x) = x^2 \ge x$  then  $\forall x. P(x)$  is false.

• In first-order logic, domain is required to be **non-empty**.

#### Example

22

Consider the domain of reals and predicate P(x) with interpretation x < 0. Then,  $\exists x. P(x)$  is true.

- $\forall x.P(x)$  is true iff  $P(o_1) \land P(o_2) \land ... \land P(o_n)$  is true
- $\exists x. P(x)$  is true iff  $P(o_1) \lor P(o_2) \lor ... \lor P(o_n)$  is true

 $\exists x.(\text{even}(x) \land \text{gt}(x, 100))$  is a valid formula in FOL.

23

**Example** (What is the truth value of the following formulas?)

- $\forall x.(even(x) \rightarrow div4(x))$  False. x = 2 is a counter-model.
- $\exists x. (\neg div4(x) \land even(x))$  True.
- $\exists x.(\neg div4(x) \rightarrow even(x))$  True.

24

**Example** (Translating English into formulas)

Assuming freshman(x) means "x is a freshman" and inCS311(x) to be x is taking CS311, then "someone in CS311 is a freshman" is  $\exists x.(\texttt{freshman}(x) \land \texttt{inCS311}(x))$ .

No one in CS311 is a freshman:  $\forall x. (freshman(x) \rightarrow \neg inCS311(x))$ 

Everyone taking CS311 are freshmen:  $\forall x.(inCS311(x) \rightarrow freshman(x))$ 

All freshmen take CS311:  $\forall x.(freshman(x) \rightarrow inCS311(x))$ 

# 1.4.2 DeMorgan's Laws for Propositional Logic

$$\neg(p \land q) \equiv \neg p \lor \neg q$$

$$\neg(p \lor q) \equiv \neg p \land \neg q$$

$$\neg \forall x. P(x) \equiv \exists x. \neg P(x)$$

$$\neg \exists x. P(x) \equiv \forall x. \neg P(x)$$

25

Example

We can change  $\neg \exists x. (inCS311(x) \land freshman(x))$  to  $\forall x. (\neg inCS311(x) \lor \neg freshman(x))$  which is equivalent to  $\forall x. (inCS311(x) \rightarrow \neg freshman(x))$ .

#### 1.4.3 Nested Quantifiers

- Sometimes may be necessary to use multiple quantifiers
- For example, can't express "EEverybody loves someone" using a single quantifier.
- Suppose predicate L(x, y) means "x loves y".
- What does  $\forall x. \exists y. L(x, y)$  mean? "Everybody loves someone"
- What does  $\exists y. \forall x. L(x, y)$  mean? "There is someone who is loved by everybody"

26

**Example** (More Nested Quantifier Examples)

- "Someone loves everyone"  $\exists x. \forall y. L(x, y)$
- "There is someone who doesn't love anyone'  $\exists x. \forall y. \neg L(x, y)$
- "There is someone who is not loved by anyone"  $\exists x. \forall y. \neg L(y, x)$
- "Everyone loves everyone"  $\forall x. \forall y. L(x, y)$
- "Someone doesn't love themselves":  $\exists x. \neg L(x, x)$

# 1.5 Lecture-September 6, 2022

- Every UT student has a friend:  $\forall x.(atUT(x) \land student(x) \rightarrow \exists y.friends(x,y))$   $\exists x.(atUT(x) \land student(x)) \land \forall y. \neg friends(x,y)$   $\forall x \forall y(atUT(x) \land student(x) \land atUT(y) \land student(y)) \rightarrow friends(x,y))$

# 1.5.1 Satisfiability and validity in FOL

- The concepts of satisfiability validty also important in FOL
- FOL *F* is satisfiable if there exists some domain and some interpretation such that *F* is true.
- Example: Prove that  $\forall x. (P(x) \to Q(x))$  is satisfiable. Solution: Let P(x) be false. Let the domain  $D = \{x\}$
- Example: Prove that  $\forall x.(P(x) \to Q(x))$  is satisfiable. Solution: Let P(x) be true, let Q(x) be false. Let the domain  $D = \{x\}$

## 1.5.2 Equivalence

- Two formulas  $F_1$  and  $F_2$  are equivalent iff  $F_1 \leftrightarrow F_2$  is valid.
- We could prove equivalence using truth tables but not possible in FOL.
- However, we can still use known equivalences to rewrite one as the other.

Example

Prove that

$$\neg(\forall x.(P(x) \to Q(x))) \equiv \exists x.(P(x) \land \neg Q(x))$$

### 1.5.3 Rules of Inference

- We can prove validity in FOL by using **proof rules**
- Proof rules are written as rules of inference
- An example inference rule:

$$\begin{array}{c}
F_1 \\
F_2 \\
\hline
\vdots F_1 \wedge F_2
\end{array}$$

#### **Modus Ponens**

The most basic inference rule is modus ponens:

$$\begin{array}{c}
F_1 \\
F_1 \to F_2 \\
\hline
\therefore F_2
\end{array}$$

• Modus ponens applicable to both propositional logic and first-order logic.

#### **Modus Tollens**

• Second important inference rule is **modus tollens**:

$$\begin{array}{c}
F_1 \to F_2 \\
\neg F_2 \\
\hline
\therefore \neg F_1
\end{array}$$

### **Hypothetical Syllogism**

Implication is transitive.

$$F_1 \to F_2$$

$$F_2 \to F_3$$

$$\vdots F_1 \to F_3$$

Or Introduction

$$\frac{F_1}{\therefore F_1 \vee F_2}$$

Or Elimination

$$\begin{array}{c}
F_1 \lor F_2 \\
 \hline
 \neg F_2 \\
 \hline
 \vdots F_1
\end{array}$$

**And Introduction** 

$$\begin{array}{c}
F_1 \\
F_2 \\
\hline
\vdots F_1 \wedge F_2
\end{array}$$

Resolution

$$\begin{array}{c}
F_1 \lor F_2 \\
\neg F_1 \lor \neg F_3 \\
\hline
\therefore F_2 \lor F_3
\end{array}$$

Proof:  $\phi_1$  must be either true or false. If  $\phi_1$  is true, then  $\phi_3$  must be true. If  $\phi_1$  is false then  $\phi_2$  must be true. Therefore either  $\phi_2$  or  $\phi_3$  must be true.

Example

Assume the following:

S, C, L, H

$$\neg S \land C 
L \to S 
\neg L \to H 
H \to back$$

We know that  $\neg S$  is true, so S is false. Therefore, for  $L \to S$  to be true, L must be false. In order for  $\neg L \to H$  to be true, H must be true. Since H is true we know we must be back by sunset because that's the only way to make the last expression true.

# 1.6 Lecture-September 8, 2022

• Generalization and the other one is called instantiation

#### 1.6.1 Universal Instantiation

- If we know that something is true for all members of a group we can conclude is also true for a specific member of this group.
- This idea is called universal instantiation

$$\frac{\forall x. (F(x))}{F(a)}$$

Consider predicates man(X) and mortal(x) and the hypotheses:

- All men are mortal:  $\forall x.(man(x \rightarrow mortal(x)))$
- Socrates is a man: *man*(*socrates*)
- Prove mortal(Socrates)

.

 $man(socrates) \rightarrow mortal(socrates)$ 

mortal(socrates)(2, 3, modus ponens)

#### 1.6.2 Universal Generalization

- Prove a claim for an **arbitrary** element in the domain.
- Since we've made no assupmtions proof should apply to all elements in the domain.
- The correct reasoning is captured by universal generalization
- "arbitrary" means an objects introduced through universal instantiation.

$$\frac{P(c) \text{for arbitrary c}}{\forall x. P(x)}$$

### 31 Example

Prove  $\forall x.Q(x)$  from the hypothesis:

- 1.  $\forall x. (P(x) \rightarrow Q(x))$
- 2.  $\forall x.P(x)$
- 3. P(a) (2, U-inst)
- 4.  $P(a) \rightarrow Q(a)$  (1, U-inst)
- 5. Q(a) (3, 4, MP)
- 6.  $\forall x.Q(x)$  (5, U-gen)

#### Caveats about universal generalization

- When using universal generalization need to ensure that c is truly arbitrary
- If you prove something about a specific person Mary, you cannot make generalizations about all people.

### 1.6.3 Existential Instantiation

- Consider formula  $\exists x.P(x)$
- We know there is an element c in the domain for which P(c) is true.
- This is called **existential instantiation**

$$\frac{\exists x. P(x)}{P(c)}$$

• Here *c* is a **fresh** name (i.e. not used in the original formula)

Prove  $\exists x. P(x) \land \forall x. \neg P(x)$  is unsatisfiable.

- 1.  $\exists x.P(x)$  (and elimination)
- 2.  $\forall x. \neg P(x)$  (and elimination)
- 3. P(a)
- 4.  $\neg P(a)$
- 5. False

#### 1.6.4 Existential Generalization

- Suppose we know P(c) is true for someone constant c
- Then there exists an element for which *P* is true.
- Thus we canc conclude  $\exists x.P(x)$
- This inference rule is called **existential generalization**

$$\frac{P(c)}{\exists x.P(x)}$$

# 1.7 Lecture-September 13, 2022

Some terminology

- Important mathematical statements that can be shown to be true are **theorems**
- Many famous mathematical theormes, e.g., Pythagoraean theorem, Fermat's Last Theorem
- Pythagorean theorem:  $a^2 + b^2 = c^2$
- Fermat's Last Theorem:  $a^n + b^n = c^n$  has no solutions for n > 2

Theorems, Lemmas, and propositions

- Lemma: minor auxilary result aids in the proof of a theorem.
- Corollary: a result whose proof follows immediately from a theorem or proposition

Conjectures vs. Theorems

- Conjecture is a statement that is suspected to be true by experts but not proven.
- Goldman's Conjecture: Every even integer greater than 2 can be expressed as the sum of two prime numbers
- One of the most famous unsolved problems in mathematics

General Strategies for Proving Theorems:

- Direct proof:  $p \rightarrow q$  proved by directly showing that if p then q.
- Proof by contraposition:  $p \to q$  proved by showing that if  $\neg q$  then  $\neg p$ .

### Example

If n is an odd integer then  $n^2$  is also odd.

Assume n is odd.

*Proof.* 
$$n^2 = (2k+1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1 = 2k' + 1$$
.

 $\therefore n^2$  is odd

In proof by contraposition, you prove  $p \to q$  by assuming  $\neg q$  and  $\neg p$  follows. For example: n is an odd integer, then  $n^2$  is also odd. Or, you can prove if n is not odd, then  $n^2$  is not odd.

Proof. 
$$n = 2k$$

$$n^2 = 4k^2$$

$$2(2k^2)$$
 is even

Proof by contradiction: A formula  $\phi$  is valid iff  $\neg \phi$  is unsatisfiable.

Assume  $\neg(p \to q)$  is unsatisfiable. If you can prove that it is unsatisfiable then you have proved that  $p \to q$  is valid.

### 85 Example

Prove by contradiction that if 3n + 2 is odd, then n is odd.

*Proof.* Assume 3n + 2 is odd and n is even. Since n is even, 3n + 2 can be written as  $6k + 2 | k \in \mathbb{Z}$  which contradicts our assumption.

# 1.8 Lecture-September 15, 2022

#### **Example**

Example: Prove that every rational number can be expressed as a product of two irrational numbers.

Suppose r is a non-zero rational number. From lemma, we have  $\frac{r}{\sqrt{2}}$  is irrational. From earlier proofs, we know that  $\sqrt{2}$  is irrational. This implies r can be written as product of 2 irrationals.

Lemma: If r is a non-zero rational number, then  $\frac{r}{\sqrt{2}}$  is irrational.

*Proof.* Proof by contradiction. Suppose r is a non-zero rational number and  $\frac{r}{\sqrt{2}}$  is also rational.

From definition of rational numbers,  $r = \frac{a}{b}$  and  $\frac{r}{\sqrt{2}} = \frac{p}{q}$ .

where  $a, b, p, q \in \mathbb{Z}$  and  $b, q \neq 0$ .

$$\frac{r}{\sqrt{2}} = \frac{p}{q} \implies \sqrt{2} = \frac{rq}{p}$$

which would imply that  $\sqrt{2}$  is irrational, which cannot be true because it would contradict. Therefore,  $\frac{r}{\sqrt{2}}$  is irrational.

# 1.8.1 If and Only If Proofs

- Some theorems are of the form "P if and only if Q"  $(P \iff Q)$ .
- We can prove  $P \iff Q$  by proving  $P \to Q$  and  $Q \to P$ .

## Example

Prove: "A positive integer n is odd if and only if  $n^2$  is odd."

- $\rightarrow$  has been shown using a direct proof earlier.
- $\leftarrow$  has shown by a proof by contraposition.
- Since we have both directions the proof is complete.

# 1.8.2 Counterexamples

- How do we want to prove that a statement is false? Counterexample!
- The product of two irrational numbers is irrational? False. Consider  $\sqrt{2}\sqrt{2} = 2$ .

For all integers n, if  $n^3$  is positive, n is also positive. We can use contraposition.

# 1.8.3 Existence and Uniqueness

- Common math proofs involve showing **existence** and **uniqueness** of certain objects.
- Existence proofs require showing that an object with the desired property exists.
- One way to prove existence is show that one object has the desired property.
- Example: Prove exists an integer that is sum of two perfect squares.
- Proof:  $2^2 + 2^2 = 8$
- Indirect existence proofs are called non-constructive proofs.

Prove: "There exist irrational numbers x, y s.t.  $x^y$  is rational."

*Proof.* Consider  $z = \sqrt{2}^{\sqrt{2}}$ 

Case 1: z is rational. Then since  $z = \sqrt{2}^{\sqrt{2}}$  is rational and  $\sqrt{2}$  is irrational, z is irrational.

Case 2: *z* is irrational.

- We know  $\sqrt{2}$  is irrational.
- Our assumption for case 2 is  $\sqrt{2}^{\sqrt{2}}$  is irrational.

• 
$$x = \sqrt{2}^{\sqrt{2}}$$
 and  $y = \sqrt{2}$  so  $x^y = \left(\sqrt{2}^{\sqrt{2}}\right)^{\sqrt{2}} = \sqrt{2}^2 = 2$ 

38 Example

Prove: There is a real unique number r such that ar + b = 0

Existence proof:  $r = -\frac{b}{a}$ 

Example

Uniqueness: There exists a unique r satisfying ar + b = 0.

Proof.

- Suppopse there are two difference  $r_1 + r_2$  that satisfy ar + b = 0.
- Then that would mean  $ar_1 + b = ar_2 + b = 0$ . Then  $r_1 = r^2$  which is a contradiction which proofs uniqueness.

# 2 Basic Set Theory

### 2.0.1 Set Builder Notation

### 40 **Definition** (Common Sets)

- Many sets that play fundamental roles in mathematics are infinite.
- Set of integers  $\mathbb{Z} = ..., -2, -1, 0, 1, 2, ...$
- Set of positive integers:  $\mathbb{Z}^+ = \{1, 2, 3, \ldots\}$
- Natural numbers:  $\mathbb{N} = \{0, 1, 2, 3, ...\}$
- Set of real numbers:  $\mathbb{R}$
- All rational numbers:  $\mathbb Q$
- Irrational numbers:  $\mathbb{I}$

#### 2.0.2 Set Builder Notation

• Infinite sets are often written using set builder notation.

$$S = \{x \mid P(x)\}$$

- ullet Universal set U includes all objects under consideration.
- The empty set written as  $\emptyset$  is the set with no elements.
- A set containing exactly one element is called a singleton set.

# 2.0.3 Subsets and Supersets

• A set A is a subset of set B written  $A \subseteq B$  if every element of A is also an element of B.

$$\forall x.(x \in A \rightarrow x \in B)$$

- If  $A \subseteq B$  then B is called a superset of A, written  $B \supseteq A$ .
- A set *A* is a proper subset of set *B* written  $A \subset B$  if  $A \subseteq B$  and  $A \neq B$ .
- Sets *A* and *B* are equal, written A = B, if  $A \subseteq B$  and  $B \subseteq A$ .

#### 41 **Definition** (Power Set)

- The power set of a set S written P(S) is the set of all subsets of S.
- What is the powerset of  $\{a, b, c\}$ ?

$$\{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$$

- $|P(S)| = 2^{|S|}$
- $P(\emptyset) = \{\emptyset\}$
- $P(P(\emptyset)) = {\emptyset, {\emptyset}}$

### **Definition** (Cartesian Product)

- To define the Cartesian product we need ordered tuples.
- An **ordered n-tuple** is the ordered collection with  $a_1$  as its first element,  $a_2$  as its second element, and  $a_n$  as its last element
- Observe: (1, 2) and (2, 1) are different ordered pairs.
- The Cartesian product of two sets *A* and *B* is the set of all ordered pairs (a, b) where  $a \in A$  and  $b \in B$ .

$$A \times B = \{(a, b) \mid a \in A, b \in B\}$$

43 Example

Let  $A = \{1, 2\}$  and  $B = \{a, b, c\}$ . Find  $A \times B$ .

$$A \times B = \{(a,b) \mid a \in A, b \in B\} = \{(1,a), (1,b), (1,c), (2,a), (2,b), (2,c)\}$$

We can also extend the Cartesian product to more than two sets.

#### **Definition** (Cartesian Product of More than Two Sets)

- The Cartesian product of more than two sets is defined recursively.
- Let  $A_1, A_2, \ldots, A_n$  be sets. Then

$$A_1 \times A_2 \times \cdots \times A_n = \{(a_1, a_2, \dots, a_n) \mid a_1 \in A_1, a_2 \in A_2, \dots, a_n \in A_n\}$$

45 Example

If  $A = \{1, 2\}$ ,  $B = \{a, b\}$ ,  $C = \{\star, \circ\}$  what is  $A \times B \times C$ ?

$$A \times B \times C = \{(a, b) \mid a \in A, b \in B\} = \{(1, a, \star), (1, a, \circ), (1, b, \star), (1, b, \circ), (2, a, \star), (2, a, \circ), (2, b, \star), (2, b, \circ)\}$$

### 2.0.4 Set Operations

**Definition** (Set union)

$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}$$

47 **Definition** (Intersection)

$$A \cap B = \{x \mid x \in A \text{ and } x \in B\}$$

48 **Definition** (Difference)

$$A - B = \{x \mid x \in A \text{ and } x \notin B\}$$

**Definition** (Complement)

$$\overline{A} = \{x \mid x \notin A\}$$

# 2.1 Lecture-September 20, 2022

Prove De Morgan's Law for Sets:  $\overline{A \cup B} = \overline{A} \cap \overline{B}$ 

Proof.

$$\overline{A \cup B} = \{ x \mid x \notin A \cup B \} \tag{2.1}$$

$$= \{x \mid \neg(x \in A \cup B)\} \tag{2.2}$$

$$= \{ x \mid x \notin a \land x \notin b \} \tag{2.3}$$

$$= \{ x \mid x \in \overline{A} \land x \in \overline{B} \} \tag{2.4}$$

$$= \overline{A} \cap \overline{B} \tag{2.5}$$

- Intuitive formulation for sets is called naive set theory.
- Any definable collection is a set
- In other words unrestricted comprehension says that  $\{x \mid F(x)\}\$  is a Set for any formula F.
- Russell showed that Cantor's set theory is inconsistent.
- This can be shown using so-called "Russell's Paradox".

#### **Definition** (Russell's Paradox)

- Let *A* be a set. Then *A* is a member of *A* if and only if *A* is not a member of *A*.
- This is a contradiction.

$$R = \{S \mid S \notin S\}$$

# 2.1.1 Undecidability

- A proof similar to Russell's paraodx can be used to show undecidability of the Halting Problem.
- A decision problem is a question that has a yes or no answer.
- A decision problem is **undecidable** if it is not possible to have an algorithm that always terminates and gives correct answer.

# **Theorem** (Halting Problem is Undecideable)

Proof by contradiction: Let a program called Calvin take parameter p.

- Let b = TermChecker(P, P).
- If *b*, then Calvin will infinite loop.
- Otherwise, Calvin will terminate.

Because of this self-reference, we don't know whether Calvin will terminate or not. Does Calvin terminate on itself? By Russell's Paradox, we can't know. Contradiction.

Other famous undecideable problems

- Validity in first-order logic. Given an arbitrary first order logic formula F, is F valid? (Hilbert's Entschiedungsproblem)
- Program verification: Given a problem *P* and a non-trivial property *Q* does *P* satisfy *Q*? (Rice's theorem)
- Hilbert's 10th problem: Does a diophantine equation  $p(x_1, x_2, ..., x_n) = 0$  have a solution?
- Image = a group of some elements of the output set when some elements of the input set are passed to the function. When the function is passed the entire input set, this is sometimes known as the range.
- Preimage = a group of some elements of the input set which are passed to a function to obtain some elements of the output set. It is the inverse of the Image.

- Domain = all valid values of the independent variable. This makes up the input set of a function, or the set of departure. These are all elements that can go into a function.
- Codomain = all valid values of the dependent variable. This makes up the output set of a function, or the set of destination. These are all elements that may possibly come out of a function.

# 2.2 Lecture-September 27, 2022

## 2.2.1 Proving Injectivity

Consider the function

 $f(x) = \begin{cases} 3x+1 & \text{if } x \ge 0\\ -3x+2 & \text{if } x < 0 \end{cases}$ 

*Proof.* Case 1:  $x \ge 0$  and  $y \ge 0$ .

f(x) = 3x + 1f(y) = 3y + 13x + 1 = 3y + 1x = y

Case 2: x < 0 and y < 0.

-3x + 2 = -3y + 2-3x = -3yx = y

Case 3: x < 0 and  $y \ge 0$ .

f(x) = 3x + 1f(y) = 3y + 13x + 3y = 1 $x + y = \frac{1}{3}$ 

It can never be the case that  $x + y = \frac{1}{3}$  because x and y are integers. Therefore we have reached a contradiction and the function is not injective.

### **Definition** (Onto functions)

Items are onto if

$$\forall y \in B. \exists x \in A. f(x) = y$$

all elements in the codomain are images of some element in the domain.

#### **Definition** (Bijective Functions)

- Function that is both onto and one-to-one is called a bijection
- One-to-one correspondence or invertible function are synonymous.

### **Example** (Identity function)

$$\forall x \in A.I(x) = x$$

Every bijection from set A to set B has an inverse function  $f^{-1}$ .

Why does inverse not exist if f is not surjective? There are elements in the new domain that would not map.

Composition: f(x) = 2x + 3 and g(x) = 3x + 2 then  $f \circ g = 2(3x + 2) + 3 = 6x + 8$ .

Another composition: prove that  $f^{-1} \circ f$  is the identity function. You swap the domain and codomain twice.

#### Example

If f and g are injective, then  $f \circ g$  is also injective.

Proof.

$$\begin{split} f(a) &= f(b) \iff a = b \\ g(a) &= g(b) \iff a = b \\ g(f(a)) &= g(f(b)) \implies f(a) = f(b) \implies a = b \end{split}$$

# 2.2.2 Floor and Ceiling Functions

### **Definition** (Floor and Ceiling Functions)

Both floor and ceiling functions map  $f : \mathbb{R} \to \mathbb{Z}$ .

- Floor function  $f(x) = \lfloor x \rfloor$  is the largest integer less than or equal to x.
- **Ceiling function**  $f(x) = \lceil x \rceil$  is the smallest integer greater than or equal to x.

 $x = y + \epsilon$  for some  $\epsilon \in [0, 1)$ .

 $x = y - \epsilon$  for some  $\epsilon \in [0, 1)$ .

#### 57 Example

56

Prove that  $\lfloor -x \rfloor = -\lceil x \rceil$ .

Proof.

RHS:

$$y = x + \epsilon$$

$$x = y - \epsilon$$

-y

LHS:

$$-(y-\epsilon)$$

$$-y + \epsilon$$

-y

Prove that  $\lfloor x + k \rfloor = \lfloor x \rfloor + k$ .

RHS:

$$\lfloor x \rfloor + k = y + k$$

LHS:

$$\lfloor x + k \rfloor = \lfloor y + \epsilon + k \rfloor = y + k$$

More Examples:

Proof.

$$\begin{cases} \epsilon \geq 0.5 & \text{then } \lfloor y + \epsilon \rfloor + \lfloor y + \epsilon + \frac{1}{2} \rfloor = y + y + 1 = 2y + 1 \\ \epsilon < 0.5 & \text{then } \lfloor y + \epsilon \rfloor + \lfloor y + \epsilon + \frac{1}{2} \rfloor = y + y = 2y \end{cases}$$

20