



Algorithm Design XVI

NP Problem II

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P and NP Problems



Set Cover

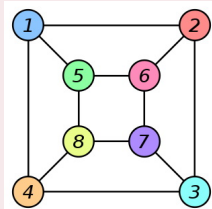
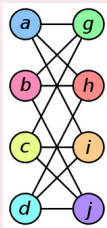
- **Input:** A set of elements B , sets $S_1, \dots, S_m \subseteq B$
- **Output:** A selection of the S_i whose union is B .
- **Cost:** Number of sets picked.

Graph Isomorphism

An isomorphism of graphs G and H is a bijection between the vertex sets of G and H

$$f : V(G) \rightarrow V(H)$$

such that any two vertices u and v of G are adjacent in G if and only if $f(u)$ and $f(v)$ are adjacent in H .



Hard Problems, Easy Problems



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Hard problems (NP-complete)	Easy problems (in P)
3SAT	2SAT, HORN SAT
TRAVELING SALESMAN PROBLEM	MINIMUM SPANNING TREE
LONGEST PATH	SHORTEST PATH
3D MATCHING	BIPARTITE MATCHING
KNAPSACK	UNARY KNAPSACK
INDEPENDENT SET	INDEPENDENT SET ON TREES
INTEGER LINEAR PROGRAMMING	LINEAR PROGRAMMING
RUDRATA PATH	EULER PATH
BALANCED CUT	MINIMUM CUT



if A search problem satisfies:

- 1 there exists an efficient checking algorithm C , taking as input the given instance I , a solution S , and outputs `true` iff S is a solution I .
- 2 The running time of $C(I, S)$ is bounded by a polynomial in $|I|$.

We denote the class of all such problems by NP.

An algorithm that takes as input an *instance* I and has a running time polynomial in $|I|$.

- I has a solution, the algorithm returns such a solution;
- I has no solution, the algorithm correctly reports so.

The class of all *search problems* that can be solved in *polynomial time* is denoted P .

Why P and NP



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P: polynomial time

NP: nondeterministic polynomial time



A class of problems \mathcal{C} is **closed under complementation** if for any problem in \mathcal{C} , its complement is also in \mathcal{C} .

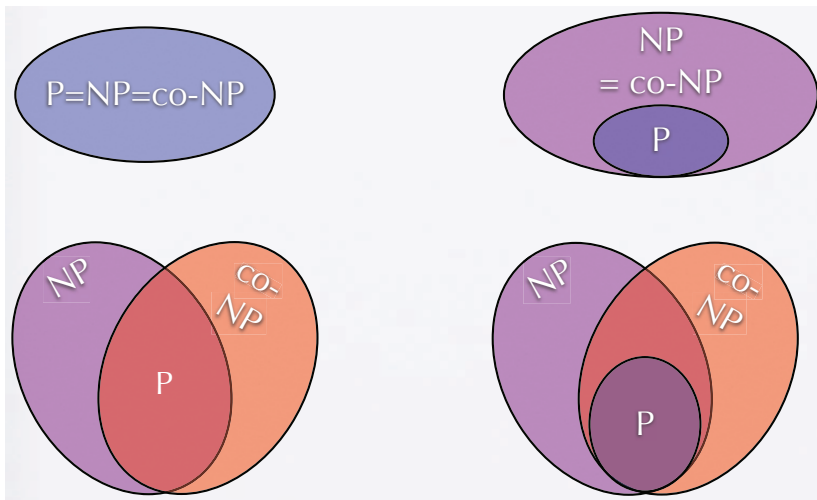
P: is closed under complementation.

NP ?

Example (Complementation of TSP)

Given n cities with their intercity distances, is it the case that there does not exist any tour length k or less?

Conjectures



Theorem Proving

- **Input:** A mathematical statement φ and n .
- **Problem:** Find a proof of φ of length $\leq n$ if there is one.

A formal proof of a mathematical assertion is written out in excruciating detail, it can be checked mechanically, by an **efficient algorithm** and is therefore in **NP**.

So if $P = NP$, there would be an efficient method to prove any theorem, thus eliminating the need for mathematicians!

Solve One and All Solved



Even if we believe $P \neq NP$, can we find an evidence that these particular problems have no efficient algorithm?

Such evidence is provided by **reductions**, which translate one search problem into another.

We will show that the hard problems in previous lecture exactly the same problem, the **hardest search problems** in **NP**.

If one of them has a **polynomial time algorithm**, then every problem in **NP** has a polynomial time algorithm.

Reduction

Reduction Between Search Problems

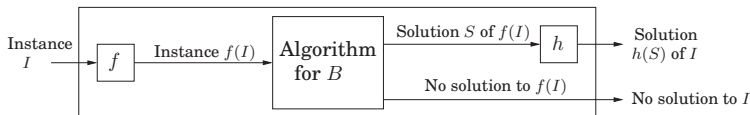


A **reduction** from A to B is a **polynomial** time algorithm f that transforms any instance I of A into an instance $f(I)$ of B

Together with another **polynomial** time algorithm h that maps any solution S of $f(I)$ back into a solution $h(S)$ of I .

If $f(I)$ has **no solution**, then neither does I .

These two translation procedures f and h imply that any algorithm for B can be **converted** into an algorithm for A .



The Two Ways to Use Reductions



Assume there is a **reduction** from a problem A to a problem B .

$$A \rightarrow B$$

- If we can solve B **efficiently**, then we can also solve A **efficiently**.
- If we know A is **hard**, then B must be **hard** too.

If $A \rightarrow B$ and $B \rightarrow C$, then $A \rightarrow C$.

NP-Completeness



Definition

A NP problem is NP-complete if all other NP problems reduce to it.

Reductions to NP-Complete



NP-complete problems are hard: all other search problems reduce to them.

For a problem to be NP-complete, it can solve every NP problem in the world.

If even one NP-complete problem is in P, then $P = NP$.

If a problem A is NP-complete, a new NP problem B is proved to be NP-complete, by reducing A to B .



Definition

A co-NP problem is **co-NP-complete** if all other co-NP problems reduce to it.

A problem is **NP-complete** if and only if its complement is **co-NP-complete**.

If a problem and its complement are **NP-complete** then $\text{co-NP} = \text{NP}$.



TAUTOLOGY

A CNF formula f is unsatisfiable if and only if its negation is a TAUTOLOGY. The negation of a CNF formula can be converted into a DNF formula. The resulting DNF formula is a TAUTOLOGY if and only if the negation of the CNF formula is a tautology.

The problem TAUTOLOGY: Given a formula f in DNF, is it a tautology?

- TAUTOLOGY is in P if and only if $\text{co-NP} = \text{P}$, and
- TAUTOLOGY is in NP if and only if $\text{co-NP} = \text{NP}$.



The difficulty of **FACTORING** is of a different nature than that of the other hard search problems we have just seen.

Nobody believes that **FACTORING** is **NP-complete**.

One evidence is that a number can always be factored into primes.

Another difference: **FACTORING** succumbs to the power of **quantum computation**, while **SAT**, **TSP** and the other NPC problems do not seem to.

Primarily and Composite



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PRIMARILY

Given an integer $k \geq 2$, is k a prime number?

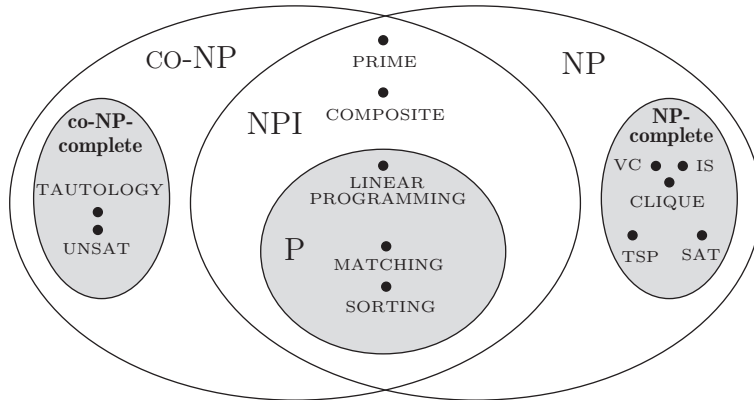
COMPOSITE

Given an integer $k \geq 4$, are there two integers $p, q \geq 2$ such that $k = pq$?

NPI (A Problematic Category)



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Definition (NPI)

Problems that are in the complexity class NP but are neither in the class P nor NP -complete are called NP -intermediate, and the class of such problems is called NPI .

Theorem (Lander Theorem)

If $P \neq NP$, then NPI is not empty; that is, NP contains problems that are neither in P nor NP -complete.

Quiz



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Prove that if $NP \neq Co-NP$, then $P \neq NP$.