# 习题五

Give an example of a linear program in two variables whose feasible region is infinite, but such that there is an optimum solution of bounded cost.

Objective function  $\min x_1 + x_2$ Constraints  $x_1, x_2 \ge 0$ 

7.7

(a) Find necessary and sufficient conditions on the reals a and b under which the linear program max x + y ax  $+ by \le 1$  x,  $y \ge 0$  is infeasible

发现x = 0, y = 0时恒满足约束条件,所以无论a, b取何值始终是feasible

- (1) 习题五
  - 7.7
    - (b) Find necessary and sufficient conditions on the reals a and b under which the linear program max x + y ax  $+ by \le 1$  x,  $y \ge 0$  is unbounded.

$$a \le 0$$
时取 $x \to +\infty$ ,  $y = 0$ 恒满足约束条件  $b \le 0$ 时取 $x = 0$ ,  $y \to +\infty$ 恒满足约束条件 当 $a > 0$ 且 $b > 0$ 时,有 $x \le \frac{1}{a}$ ,  $y \le \frac{1}{b}$ ,  $x + y \le \frac{1}{a} + \frac{1}{b}$ 有界 所以 $a \le 0$ 或 $b \le 0$ 时该线性规划无界

7.7

Find necessary and sufficient conditions on the reals a and b under which the linear program max x + y ax  $+ by \le 1$  x,  $y \ge 0$  has a unique optimal solution.

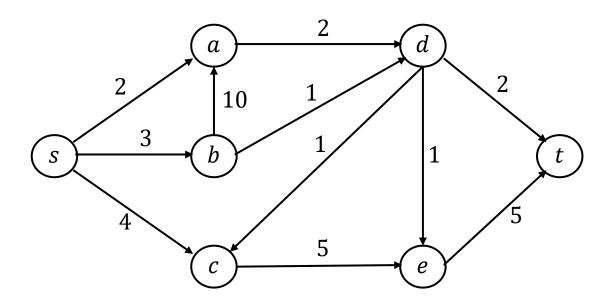
当a > 0且b > 0时,该线性规划有最优解 当a = b时,该线性规划的最优解有无数个 所以当a > 0,b > 0且 $a \neq b$ 时该线性规划有唯一最优解

A quadratic programming problem seeks to maximize a quadratric objective function (with terms like  $3x_1^2$  or  $5x_1x_2$ ) subject to a set of linear constraints. Give an example of a quadratic program in two variables  $x_1$ ,  $x_2$  such that the feasible region is nonempty and bounded, and yet none of the vertices of this region optimize the (quadratic) objective.

Objective function  $\min x_1^2 + x_2^2$ Constraints  $10 \ge x_1, x_2 > 0$ 

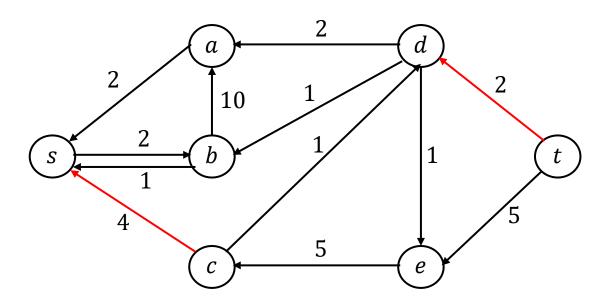
An edge of a flow network is called critical if decreasing the capacity of this edge results in a decrease in the maximum flow. Give an efficient algorithm that finds a critical edge in a network.

首先求最大流,再构造出残量图。显然,临界边的容量肯定被占满,所以在残量图中,临界边的顶点对之间只存在反向边。



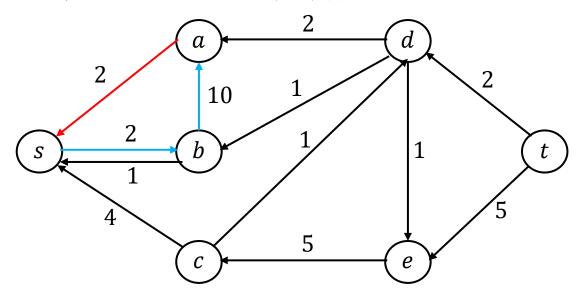
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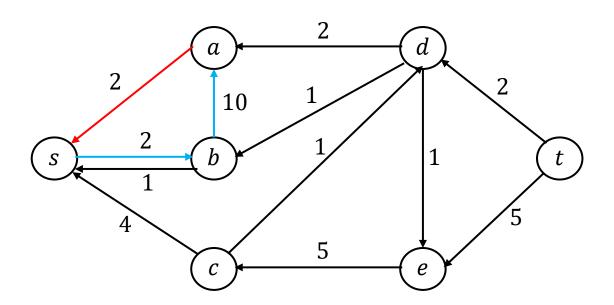
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但在残量图中只存在反向边的顶点对不一定都是临界边的顶点,可能某条在最大流中流满的边,其容量下降后,最大流中缺少的流量可以从其他边的流量得到补充



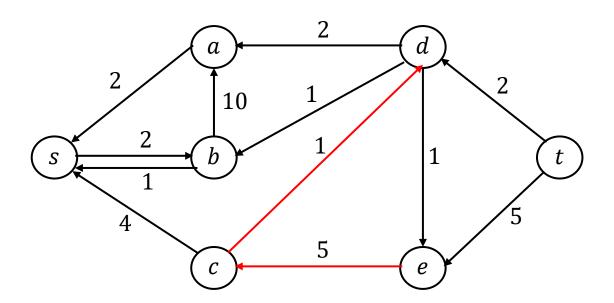
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在残量图中以S为源点进行 DFS,则所经过的反向边均不是临界边(参考答案)



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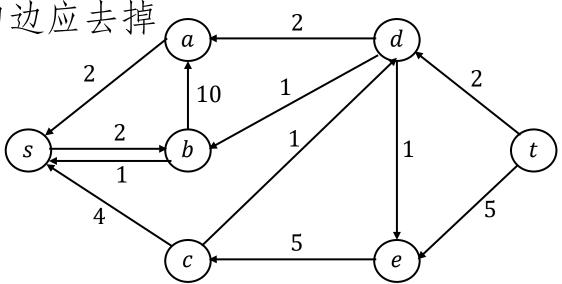
在残量图中以S为源点进行 DFS,则所经过的反向边均不是临界边——不正确,因为没去除掉所有的非临界边的反向边



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(核心)容量下降后,最大流中缺少的流量可以从其他边的流量得到补充

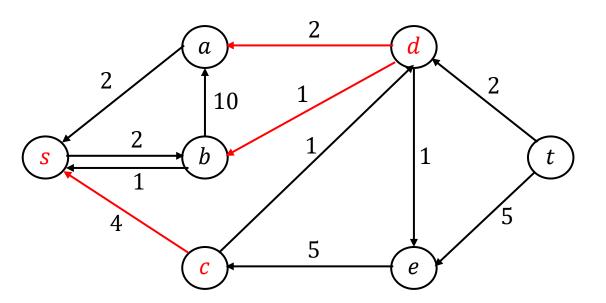
因此对每条反向边,从汇点DFS, 若能DFS到源点, 就说明该反向边应去掉 ( 2



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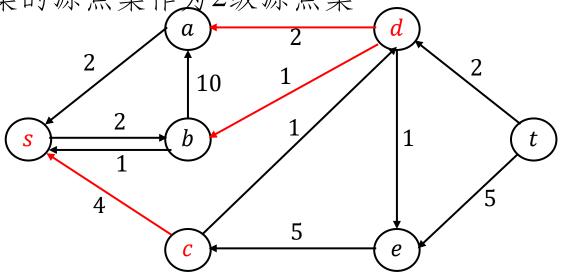
#### (更好的方法)源点的DFS因最小割而无法继续继续

 $e: a \rightarrow d, e: b \rightarrow d$ 和 $e: s \rightarrow c$ 均为临界边,为继续求出不合格的反向边,需要以为c,d为次级源点继续DFS



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(更好的方法) 从源点开始DFS, 遍历到的点集和未遍历到的点集形成图的一个割, 割边集中所有的反向边均对应一条临界边; 去掉遍历到的子图和割边集, 得到了原图的一个未被遍历的子图, 割边集的源点集作为2级源点集



# 1 习题五

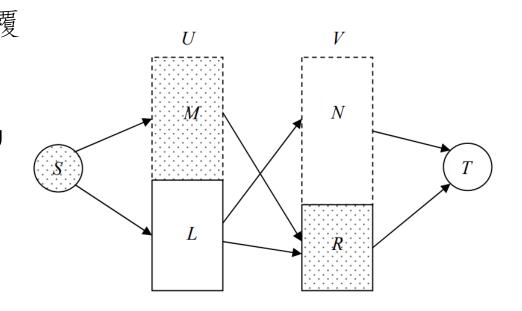
#### 7.21

An edge of a flow network is called critical if decreasing the capacity of this edge results in a decrease in the maximum flow. Give an efficient algorithm that finds a critical edge in a network.

5

Show that the problem of finding the minimum vertex cover in a bipartite graph reduces to maximum flow. (Hint: Can you relate this problem to the minimum cut in an appropriate network?)

答案的缺陷: 找到的一组割  $(S \cup M \cup R, L \cup N \cup T) =$ 最小点覆 盖,但未证明该割即为最小割, 因此还需要证明对任意一种割, 其割边数≤割( $S \cup M \cup R, L \cup N \cup$ T)的割边数(证明思路:讨论不 属于S  $\rightarrow$  L和R  $\rightarrow$  T的割边,则添 加新的割边会导致 $S \to L 和 R \to T$ 中的割边减少,将割边数转移到 节点数,利用最小点覆盖说明总 割边数不减性)



#### 7.23

Show that the problem of finding the minimum vertex cover in a bipartite graph reduces to maximum flow. (Hint: Can you relate this problem to the minimum cut in an appropriate network?)

思路二:最小点覆盖→最小割

设二分图 $G = (U \cup V, E)$ ,则考察如下的线性规划:

Objective function  $\min \sum_{u \in U} a_u + \sum_{v \in V} a_v$ 

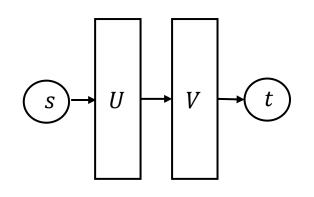
Constraints  $u \in U, v \in V$ 

$$\forall i \in U \cup V, a_i \in \{0,1\}$$

$$a_u + a_v \ge 1$$
,  $\nexists e: u \to v \in E$ 

可知该线性规划等价于G的最小点覆盖

且如右图所示 网络,各边权为1,则该线性规划同样等价于该网络的最小割(证明,若 $e: u \rightarrow v \in E$ ,对流 $s \rightarrow u \rightarrow v \rightarrow t$ ,则 $s \rightarrow u$ , $u \rightarrow v \rightarrow t$  三边至少取走一边,对应点u,v至少取走一个, $a_u$ 和 $a_v$ 至少一个为1,即对应 $a_u + a_v \geq 1$ )



### 1) 习题五

#### 7.23

Show that the problem of finding the minimum vertex cover in a bipartite graph reduces to maximum flow. (Hint: Can you relate this problem to the minimum cut in an appropriate network?)

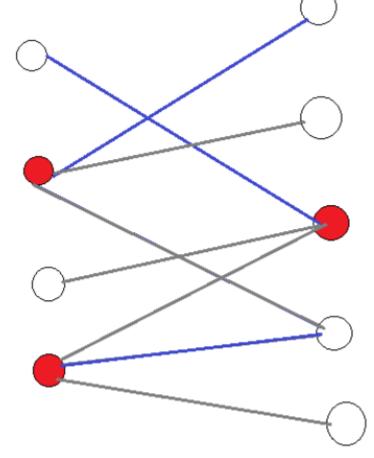
思路三:最小点覆盖→最大匹配→最大流

最小点覆盖数≥最大匹配数

从左边非匹配点开始,延非匹配边走向右边的点; 右边的点再延匹配边走向左边,标记所有走过的点 左边未标记过的点和右边标记过的点集构成一个 最小点覆盖(证明:

#### 1、选出来的点集大小=最大匹配

若左边选出的点存在非匹配点,则该点会作为起点被标记;若右边选出的点存在非匹配点,则存在一对非匹配点相连,得到一条新的匹配边,与最大匹配矛盾)



### 1) 习题五

#### 7.23

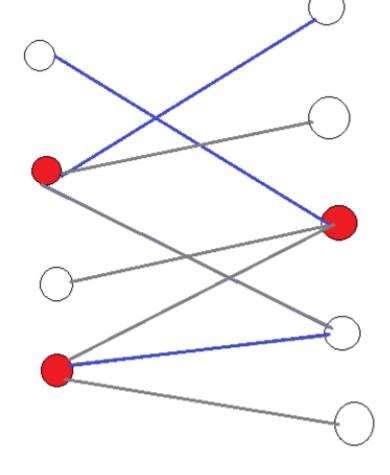
Show that the problem of finding the minimum vertex cover in a bipartite graph reduces to maximum flow. (Hint: Can you relate this problem to the minimum cut in an appropriate network?)

思路三:最小点覆盖→最大匹配→最大流

左边未标记过的点和右边标记过的点集构成一个最小点覆盖(证明:

2、选出来的点集可以覆盖所有边 因为不可能存在某一条边,其右端点没有标记, 而左端点有标记的。

如果这条边不属于匹配边,那么右端点就可以通过这条边得到标记;如果这条边属于匹配边,那么 左端点不可能是一条路径的起点,于是其标记只能 是从这条边的右端点过来的,右端点就应该有标记。)



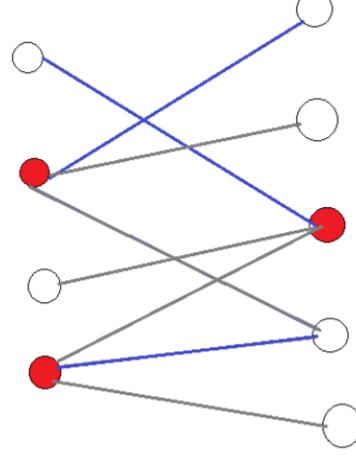
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- 1、选出来的点集大小=最大匹配
- 2、选出来的点集可以覆盖所有边
- 3、最小点覆盖数≥最大匹配数

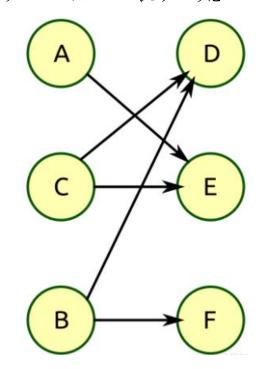
所以选出来的点集即构成最小点覆盖 最小点覆盖⇒最大匹配

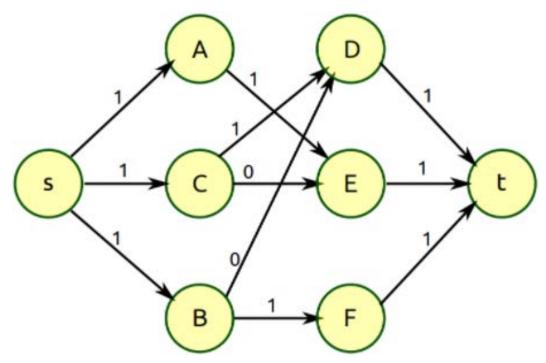


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思路三:最小点覆盖→最大匹配→最大流最大匹配→最大流





#### 7.30

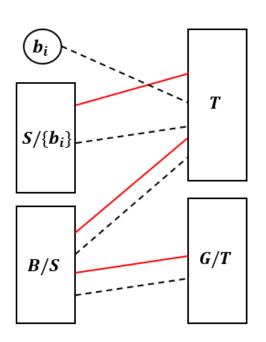
*Hall's theorem*. Returning to the matchmaking scenario of Section 7.3, suppose we have a bipartite graph with boys on the left and an equal number of girls on the right. Hall's theorem says that there is a perfect matching if and only if the following condition holds: any subset S of boys is connected to at least |S| girls.

Prove this theorem. (Hint: The max-flow min-cut theorem should be helpful.)

#### 必要性: (显然)

若存在 boys 子集 S ,与 S 相连的 girls 集合为 T ,满足 |T| < |S| ,必然存在  $b_i \in S$  在 S 与 T 的最大匹配中没有连边。

考察 boys 全集 B 和 girls 全集 G, B 可拆分为S 和  $B \setminus S$ , 其中 S 只与 T 相连,  $B \setminus S$  既与  $G \setminus T$  相连也可能与 T 相连。由于  $b_i$  与  $G \setminus T$  不连通,所以  $b_i$  在 B 与 G 的最大匹配中也没有连边。所以 B 与 G 不存在完美匹配。



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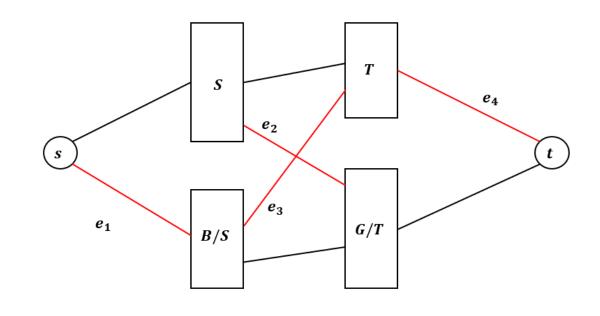
Prove this theorem. (Hint: The max-flow min-cut theorem should be helpful.)

充分性: (最大流最小割定理法) 构造如下网络流图: 源点 s 与每一个 boy 连边 汇点 t 与每一个 girl 连边 B、G间为二分图 边权均为1  $\boldsymbol{B}$ G 考察S到t的最大流 显然最大流 $\leq |B| = |G| = n$ 

*Hall's theorem*. Returning to the matchmaking scenario of Section 7.3, suppose we have a bipartite graph with boys on the left and an equal number of girls on the right. Hall's theorem says that there is a perfect matching if and only if the following condition holds: any subset S of boys is connected to at least |S| girls.

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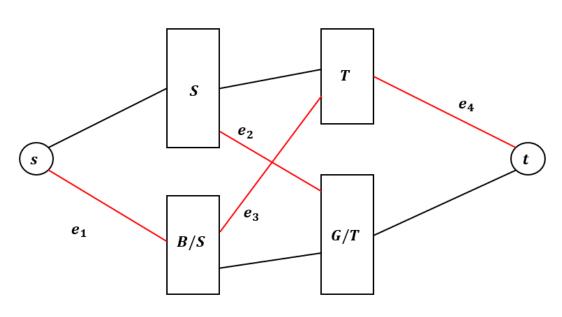
充分性: (最大流最小割定理法) 考察该网络流图的任意一个割(s+S+T,B/S+G/T+t) 割边集为 $e_1 \cup e_2 \cup e_3 \cup e_4$  $|e_1| = n - |S|, |e_4| = |T|$ 当 $|S| \ge |T|$ 时  $|e_2| \ge |S| - |T|, |e_3| \ge 0$ 当|S| < |T|时  $|e_2| \ge 0, |e_3| \ge |T| - |S|$ 



*Hall's theorem*. Returning to the matchmaking scenario of Section 7.3, suppose we have a bipartite graph with boys on the left and an equal number of girls on the right. Hall's theorem says that there is a perfect matching if and only if the following condition holds: any subset S of boys is connected to at least |S| girls.

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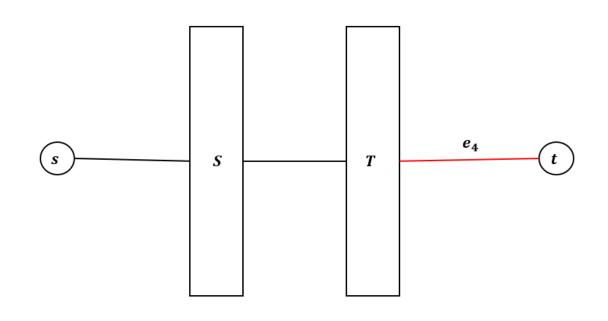
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*Hall's theorem*. Returning to the matchmaking scenario of Section 7.3, suppose we have a bipartite graph with boys on the left and an equal number of girls on the right. Hall's theorem says that there is a perfect matching if and only if the following condition holds: any subset S of boys is connected to at least |S| girls.

Prove this theorem. (Hint: The max-flow min-cut theorem should be helpful.)

充分性: (最大流最小割定理法) 考察该网络流图的任意一个割(s+S+T,B/S+G/T+t)对该网络流图的任意一个割 均满足割大小 $\geq n$ 大小为n的割显然存在 当S=B、T=G,割边为 $e_4$ 所以最小割为n最大流也为n完美匹配一定存在



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Prove this theorem. (Hint: The max-flow min-cut theorem should be helpful.)

充分性: (归纳法) 当n=1时,显然成立 假设当n=k时结论成立,即当|B|=|G|=k,且对B的任意子集S,与 S 相连的G的子集T满足 $|T|\geq |S|$ 时,存在B到G的完美匹配 当n=k+1时,即当|B|=|G|=k+1,且对B的任意子集S,与 S 相连的G的子集T满足 $|T|\geq |S|$ 时,下证存在B到G的完美匹配

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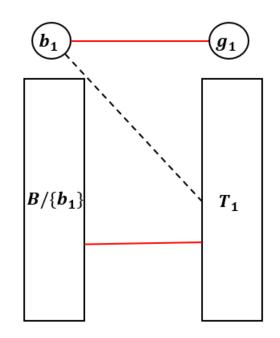
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Prove this theorem. (Hint: The max-flow min-cut theorem should be helpful.)

充分性: (归纳法) 考察连接boy数最小的girl  $g_1$ ,即 $|N(g_1)|$ 最小若 $|N(g_1)|=1$ 设唯一与 $g_1$ 相连的boy为 $b_1$ 则对B的子集 $B/\{b_1\}$ ,与 $B/\{b_1\}$ 相连的girl集合为 $T_1$ ,有 $|T_1| \geq |B/\{b_1\}| = n$ 由于 $N(g_1) = \{b_1\}$ ,所以 $T_1 = G/\{g_1\}$ 由归纳假设知,存在 $B/\{b_1\}$ 到 $T_1$ 的完美匹配 $P_1$ ,则 $P_1$  U $\{(b_1,g_1)\}$ 构成B到G的完美匹配

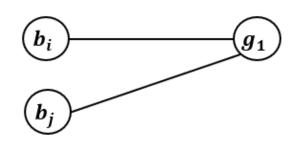


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充分性: (归纳法) 考察连接boy数最小的girl  $g_1$ ,即 $|N(g_1)|$ 最小若 $|N(g_1)| \ge 2$  不妨设 $N(g_1) = \{b_1, b_2, \dots, b_{|N(g_1)|}\}$  则 $|N(b_1)|, |N(b_2)|, \dots, |N(b_{|N(g_1)|})|$  中最多只存在1个为1 否则, $\exists b_i, b_j \in N(g_1), N(b_i) = N(b_j) = \{g_1\}$  则与集合 $\{b_i, b_j\}$ 相连的girl仅有 $g_1$ 一人,不满足条件

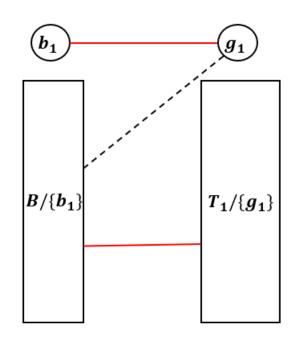


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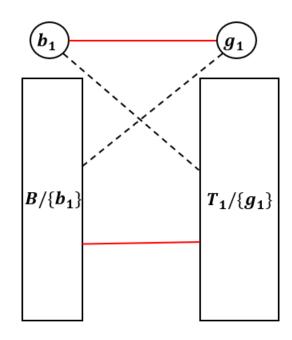


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*Hall's theorem*. Returning to the matchmaking scenario of Section 7.3, suppose we have a bipartite graph with boys on the left and an equal number of girls on the right. Hall's theorem says that there is a perfect matching if and only if the following condition holds: any subset S of boys is connected to at least |S| girls.

Prove this theorem. (Hint: The max-flow min-cut theorem should be helpful.)

充分性: (归纳法) 考察连接boy数最小的girl  $g_1$ , 即 $|N(g_1)|$ 最小 若 $|N(g_1)| \ge 2$ 不妨设 $N(g_1) = \{b_1, b_2, \dots, b_{|N(g_1)|}\}$ 则对B的子集B/{b<sub>1</sub>}相连的girl集合为 $T_1 = G$ , 否则 存在 $g_i$ 只与 $b_1$ 相连,  $|N(g_i)| = 1$ ,与 $|N\{g_1\}|$ 最小矛盾 则 $|T_1/\{g_1\}| = n$ , 且 $B/\{b_1\}$ 同样与 $T_1/\{g_1\}$ 相连 由归纳假设知,存在 $B/\{b_1\}$ 到 $T_1/\{g_1\}$ 的完美匹配 $P_1$ , 则 $P_1 \cup \{(b_1, g_1)\}$ 构成B到G的完美匹配



#### 7.30

*Hall's theorem*. Returning to the matchmaking scenario of Section 7.3, suppose we have a bipartite graph with boys on the left and an equal number of girls on the right. Hall's theorem says that there is a perfect matching if and only if the following condition holds: any subset S of boys is connected to at least |S| girls.

Prove this theorem. (Hint: The max-flow min-cut theorem should be helpful.)

充分性: (归纳法) 考察连接boy数最小的girl  $g_1$ , 即 $|N(g_1)|$ 最小对 $N(g_1)$ 的所有可能情况,均证明存在从B到G的完美匹配证明当n=k+1,即|B|=|G|=k+1,且对B的任意子集S,与S相连的G的子集T满足 $|T|\geq |S|$ 时,存在B到G的完美匹配由归纳原理可知,对任意大小的集合B和G,当与B的任意子集S相连的G的子集T满足 $|T|\geq |S|$ 时,存在B到G的完美匹配得证

# 习题七

### (2) 习题七

- 9.2 Devise a backtracking algorithm for the RUDRATA PATH problem from a fixed vertex s. To fully specify such an algorithm you must define:
  - (a) What is a subproblem?
  - (b) How to choose a subproblem.
  - (c) How to expand a subproblem.

Argue briefly why your choices are reasonable.

#### 回溯算法的过程:

```
Start with some problem P_0
Let \mathcal{S} = \{P_0\}, the set of active subproblems
Repeat while \mathcal{S} is nonempty:

\begin{array}{c} \text{choose} \\ \text{choose} \\ \text{a} \end{array} subproblem P \in \mathcal{S} and remove it from \mathcal{S}
\begin{array}{c} \text{expand} \\ \text{expand} \end{array} it into smaller subproblems P_1, P_2, \ldots, P_k
\overline{\text{For each } P_i}:

If \underline{\text{test}}(P_i) succeeds: halt and announce this solution If \underline{\text{test}}(P_i) fails: discard P_i
Otherwise: add P_i to \mathcal{S}
Announce that there is no solution
```

### (2) 习题七

- 9.2 给定G = (V, E)和源点S,输出一条从S开始长为|V|的RUDRATA PATH (a) 定义子问题:  $P = \{s, v_1, v_2, ..., v_t\}$ ,表示路径 $S \rightarrow v_1 \rightarrow v_2 \rightarrow \cdots \rightarrow v_t$  且该路径为一条合法的RUDRATA PATH, $P_0 = \{s\}$ 
  - (b) 选择子问题:选择 $P = argmax_{P \in S}|P|$ ,减少S 中储存的子问题数量,有利于算法提前终止
  - (c) 扩展子问题:对选定的子问题P,取路径的终点 $v_t$ , $\forall v_i \in V$ , $(v_t,v_i) \in E$ ,得到新的子问题 $P_i = \{s,...,v_t,v_i\}$

给定G = (V, E)和源点S,输出一条从S开始长为|V|的RUDRATA PATH

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  - (a) What is a subproblem?
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  - (c) How to expand a subproblem.

Argue briefly why your choices are reasonable.

对每一个新的子问题 $P_i = \{s, ..., v_t, v_i\}$ 

- 1、若 $|P_i| = |V|$ ,得到答案
- 2、若 $v_i \in \{s, ..., v_t\}$ ,丢弃子问题

## (2) 习题七

- In the MULTIWAY CUT problem, the input is an undirected graph G = (V, E) and a set of terminal nodes  $s_1, s_2, \ldots, s_k \in V$ . The goal is to find the minimum set of edges in E whose removal leaves all terminals in different components.
  - (a) Show that this problem can be solved exactly in polynomial time when k=2.
  - (b) Give an approximation algorithm with ratio at most 2 for the case k=3.
  - (c) Design a local search algorithm for multiway cut.
  - (a) k = 2,求 $s_1$ 和 $s_2$ 两点的最小割问题,用最大流算法求解 Edmond Karp算法复杂度 $O(|V| \cdot |E|)$
  - (b) k = 3 分别求 $s_1$ 和 $s_2$ 的最小割 $Cut_1$ 、 $s_2$ 和 $s_3$ 的最小割 $Cut_2$ 以及 $s_2$ 和 $s_3$ 的最小割 $Cut_3$ ,显然 $Cut_1$ 、 $Cut_2$ 和 $Cut_3$ 中只需要保留2个割即可不妨设 $Cut_1 \leq Cut_2 \leq Cut_3$ ,近似解 $\mathcal{A} = Cut_1 + Cut_2$

不知及
$$Cut_1 \le Cut_2 \le Cut_3$$
,近似解 $A = Cut_1 + Cut_2$   
对最优解 $Opt$ ,显然有 $Opt \ge Cut_3 \ge Cut_2 \ge Cut_1$   
所以近似比 $\alpha_{\mathcal{A}} = \max \frac{\mathcal{A}}{Opt} \le 2$ 

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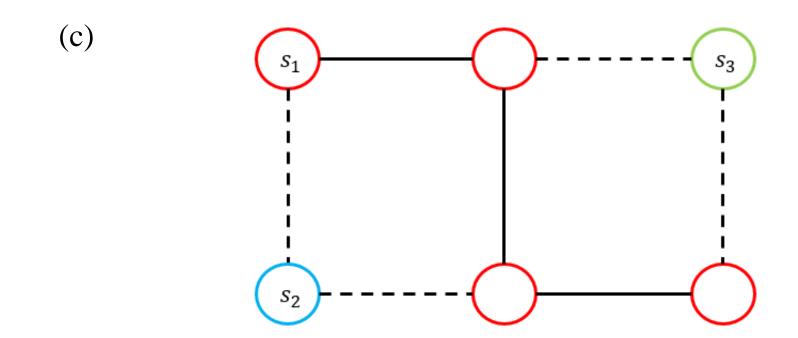
(c)

```
let s be any initial solution while there is some solution s' in the neighborhood of s for which \cos t(s') < \cos t(s): replace s by s' return s
```

## (2) 习题七

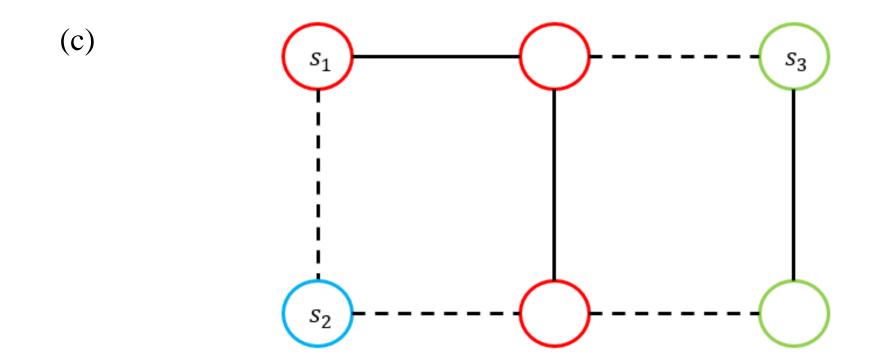
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  - (b) Give an approximation algorithm with ratio at most 2 for the case k = 3.
  - (c) Design a local search algorithm for multiway cut.
  - (c)  $\forall v \in V$ , 设Label(v)为点v的标签 初始时, $s_i \in \{s_1, s_2, ..., s_k\}$ , $Label(s_i) = l_i$ , $v \notin \{s_1, s_2, ..., s_k\}$ ,Label(v) = 0 以 $s_1$ 为起点进行BFS,遍历到节点v,若Label(v) = 0,则修改 $Label(v) = l_1$ ,并继续BFS;若 $Label(v) \neq 0$ ,则回溯。 对 $s_2, ..., s_k$ 重复上述过程直到所有点 $Label(v) \neq 0$   $S = \{e(v_i, v_i) | e(v_i, v_i) \in E, Label(v_i) \neq Label(v_i)\}$ 为初始解

- In the MULTIWAY CUT problem, the input is an undirected graph G = (V, E) and a set of terminal nodes  $s_1, s_2, \ldots, s_k \in V$ . The goal is to find the minimum set of edges in E whose removal leaves all terminals in different components.
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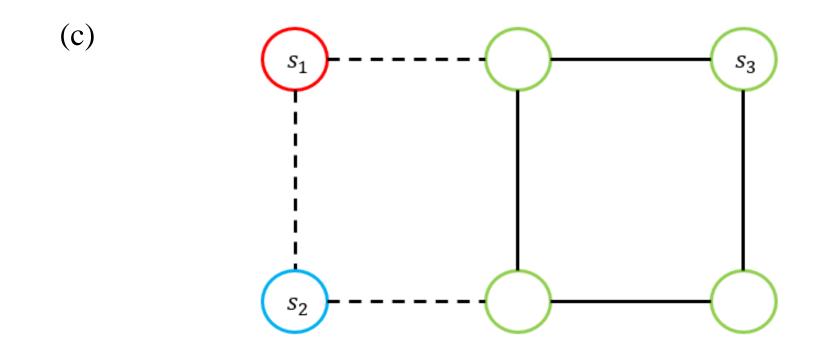
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  - (c) 取 $\forall e(v_i, v_j) \in S$ ,分别计算修改 $Label(v_i) = Label(v_j)$ 和 $Label(v_j) = Label(v_i)$ 时新的割边边集 $S_1$ 和 $S_2$ 若 $|S| > |S_1|$ , $S = S_1$ ;若 $|S| > |S_2|$ , $S = S_2$  直到S不再改变为止

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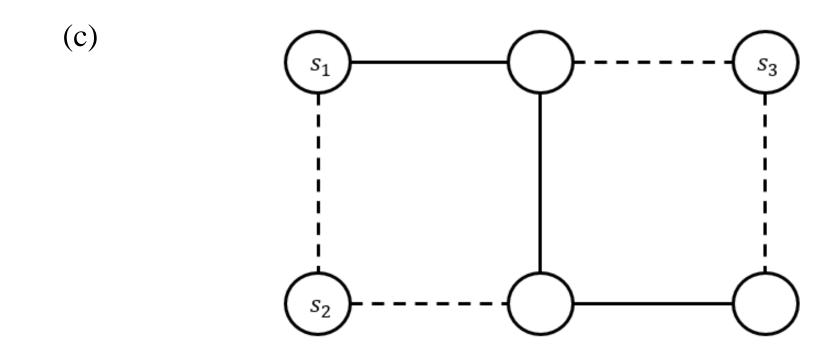
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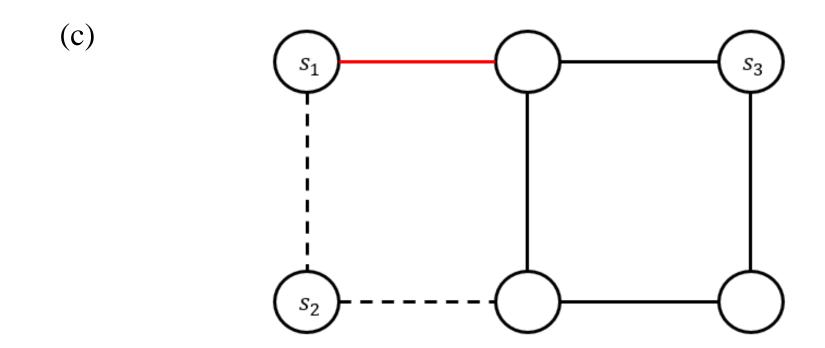


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  - (c) Design a local search algorithm for multiway cut.
  - (c) 取 $\forall e_1, e_2 \in S$ ,对 $E/S \cup \{e_1, e_2\}$ ,若 $s_1, ..., s_k$ 之间的最小割 $\leq 1$ 且包含公共边 $e_3$ 时, $S = S/\{e_1, e_2\} \cup \{e_3\}$  直到S不再改变为止

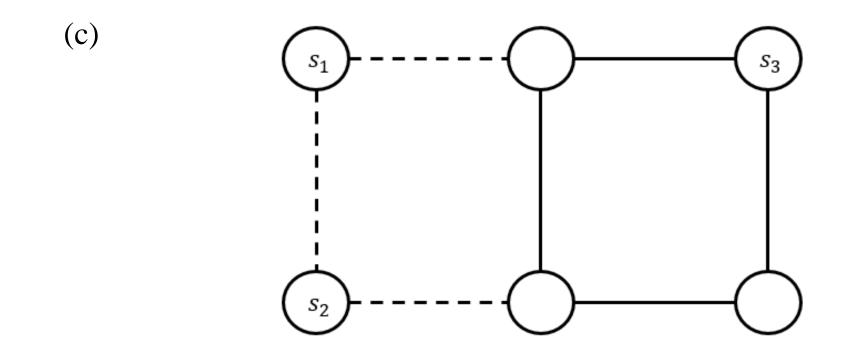
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In the MAXIMUM CUT problem we are given an undirected graph G = (V, E) with a weight w(e) on each edge, and we wish to separate the vertices into two sets S and V - S so that the total weight of the edges between the two sets is as *large* as possible.

For each  $S \subseteq V$  define w(S) to be the sum of all w(e) over all edges  $\{u,v\}$  such that  $|S \cap \{u,v\}| = 1$ . Obviously, MAX CUT is about maximizing w(S) over all subsets of V.

```
start with any S\subseteq V while there is a subset S'\subseteq V such that ||S'|-|S||=1 \text{ and } w(S')>w(S) \text{ do:} set S=S'
```

- (a) Show that this is an approximation algorithm for MAX CUT with ratio 2.
- (b) But is it a polynomial-time algorithm?

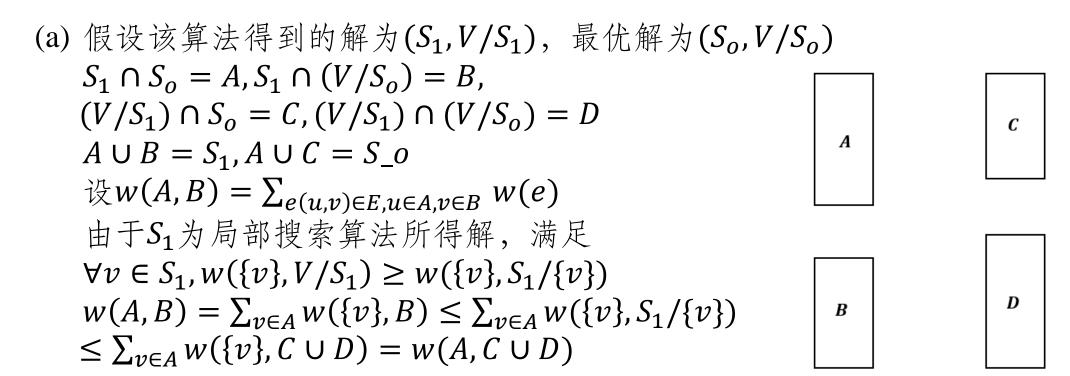
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2) 习题七
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9.9

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(a) 
$$w(A, B) \le w(A, C \cup D)$$
  
 $w(C, D) \le w(A \cup B, C)$   
最优解 $w(S_o) = w(A, B) + w(A, D) + w(B, C) + w(C, D)$   
 $\le w(A, C \cup D) + w(A \cup B, D) + w(B, C \cup D) + w(A \cup B, C)$   
 $= 2 \cdot w(A \cup B, C \cup D) = 2w(S)$   
即 $w(S_o) \le 2w(S)$   
所以近似比 $\alpha_S = \min \frac{S}{S_o} = \frac{1}{2}$ 

9.9

For each  $S \subseteq V$  define w(S) to be the sum of all w(e) over all edges  $\{u,v\}$  such that  $|S \cap \{u,v\}| = 1$ . Obviously, MAX CUT is about maximizing w(S) over all subsets of V.

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- (a) Show that this is an approximation algorithm for MAX CUT with ratio 2.
- (b) But is it a polynomial-time algorithm?
- (b) 每一轮最多考虑|V|个点是否改变位置每一轮如果S更新,则割边数量至少+1,当S不再更新时算法结束,因此最多更新|E|轮从一个确定的S开始时,算法复杂度为O(|V|·|E|)