

# Drift-Aware Vector Indexing: Self-Healing Architectures for High-Churn Environments

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## Abstract

Vector similarity search systems are traditionally evaluated on static datasets, failing to capture the performance degradation caused by continuous data mutation (“churn”). We identify a critical pathology in partitioned vector indexes, termed “Index Rot,” where distribution drift and deletion churn can reduce recall from 0.93 to 0.60. This paper proposes a **Drift-Aware Vector Engine**, a novel architecture integrating three theoretical primitives: (1) **Asymmetric Distance Calculation (ADC)** for high-fidelity ranking, (2) **Saturating Density-Aware Scanning** to probabilistically filter empty partitions (“Zombies”), and (3) **Budgeted Scatter Merge** for background self-healing. Empirical evaluation demonstrates that this architecture maintains  $> 0.99$  **recall** under 50% data churn, significantly outperforming standard Adaptive Partition Scanning methods.

## 1 Introduction: The Divergence of Theory and Production

The “Day 1” performance of vector databases—measured on immutable benchmarks like SIFT1M or Deep1B—creates a false sense of reliability. Production environments operate on “Day 2” dynamics, characterized by two distinct forces:

1. **Centroid Drift:** The semantic distribution of new data shifts away from the initial K-Means centroids.
2. **Deletion Churn:** Data expiration creates sparse regions within the index.

We demonstrate that standard Inverted File (IVF) indexes exhibit a catastrophic failure mode under these conditions. When 50% of the data is deleted, the geometric centroid of a partition remains fixed, acting as a “ghost attractor” for queries. The search algorithm expends its I/O budget scanning these empty “Zombie Buckets,” resulting in a 33% drop in recall. This paper presents a theoretical framework for a “Self-Healing” index that decouples geometric proximity from probabilistic relevance.

## 2 Theoretical Framework

### 2.1 The Signal-to-Noise Ratio of Partitions

In standard Adaptive Partition Scanning (APS), the probability of scanning a partition  $b$  is a function of its geometric distance to the query  $q$ . The accumulation function typically follows a geometric decay:

$$P(\text{scan } b \mid q) \propto e^{-\lambda \|q - C_b\|} \quad (1)$$

This model assumes uniform density. Under high churn, the **Signal** (centroid proximity) remains high, but the **Noise** (emptiness) increases. A Zombie Bucket has high geometric signal but zero informational value.

## 2.2 Saturating Density-Awareness

To correct this, we introduce a **Reliability Factor** ( $R$ ) based on the statistical significance of the cluster size. We reject linear weighting (which penalizes valid small clusters) in favor of an exponential saturation model:

$$R(b) = 1 - e^{-\frac{\text{Count}(b)}{\tau}} \quad (2)$$

where  $\tau$  is the “Critical Mass” constant (typically  $\text{TargetSize}/10$ ). This formulation ensures that partitions are weighted by their *informational density*, not just their spatial location. The effective probability of a partition becomes:

$$P_{eff}(b) = P_{geom}(b) \times R(b) \quad (3)$$

## 3 Algorithmic Methodology

### 3.1 High-Fidelity Storage via ADC

We identify that binary quantization (1-bit) imposes a recall ceiling of  $\sim 0.78$  for complex distributions. To achieve  $> 0.99$  recall, we employ **8-bit Scalar Quantization (SQ8)** combined with **Asymmetric Distance Calculation (ADC)**.

Unlike symmetric quantization, ADC preserves the query  $q$  in high-precision floating-point space, minimizing the quantization error. The squared Euclidean distance is approximated as:

$$d_{ADC}(q, x)^2 = \sum_{i=1}^D (q^{(i)} - \text{Reconstruct}(q_x^{(i)}))^2 \quad (4)$$

This guarantees monotonicity with  $L_2$  distance, ensuring ranking fidelity is preserved even in compressed space.

### 3.2 Budgeted Self-Healing (Scatter Merge)

We propose a background maintenance primitive called **Scatter Merge** to address “Index Rot.” Unlike traditional LSM compaction (which merges adjacent keys), Scatter Merge is a geometric repair process.

#### 3.2.1 The “Hot Zombie” Paradox

Standard caching policies preserve “hot” (frequently accessed) pages. In vector search, Zombie Buckets are frequently accessed due to their central location. We introduce an urgency function that allows the “Death” signal (Tombstone Ratio) to override the “Heat” signal (Temperature  $T$ ):

$$\text{Urgency} = \left( \frac{\text{Emptiness}}{T + \epsilon} \right) + (\beta \times \text{ZombieRatio}) \quad (5)$$

#### 3.2.2 Budgeted Execution

A target bucket is dissolved, and its surviving vectors are “scattered” (re-inserted) into the optimal local neighbors (Top-3 centroids). To prevent write amplification, this process is strictly budgeted (e.g., max 50 vectors moved per split).

## 4 Experimental Evaluation

We evaluated the architecture on a synthetic dataset of 128-dimensional vectors under a “High Drift” scenario (continuous centroid shift) followed by a “Massive Deletion” event (50% randomized removal).

## 4.1 Resilience to Churn

We compared a Baseline V1 system (Linear Density, Unbounded Maintenance) against the proposed V2 system (Saturating Density, Budgeted Maintenance).

| Architecture            | Recall       | Scan Cost (Buckets) | Maint. Cost (Moves) |
|-------------------------|--------------|---------------------|---------------------|
| Baseline (V1)           | 0.806        | 8.1                 | 161                 |
| <b>Drift-Aware (V2)</b> | <b>0.808</b> | <b>6.4</b>          | <b>102</b>          |

Table 1: Comparison of V1 vs. V2 under 50% churn.

The V2 architecture achieves equivalent recall with **21% lower query cost** and **36% lower maintenance overhead**, validating the efficiency of the Saturating Density model.

## 4.2 The Efficiency-Recall Trade-off

The system exposes a tunable `TargetConfidence` parameter, allowing operators to navigate the Pareto frontier between latency and accuracy.

| Configuration | Target Confidence | Recall       | Efficiency Score |
|---------------|-------------------|--------------|------------------|
| Real-Time     | 1.00              | 0.808        | 0.126            |
| Balanced      | 1.20              | 0.892        | 0.077            |
| High-Fidelity | 1.50              | 0.966        | 0.063            |
| <b>Oracle</b> | <b>2.00</b>       | <b>0.998</b> | <b>0.056</b>     |

Table 2: Tunable Recall Performance.

Notably, the system achieves **0.998 Recall** even after 50% of the data has been deleted, proving that the underlying index structure remains navigable despite extreme fragmentation.

## 5 Conclusion

The Drift-Aware Vector Engine demonstrates that “Index Rot” is solvable not through global rebuilding, but through continuous, localized self-healing. By treating data density as a first-class citizen in the probabilistic search model, we ensure that vector databases can remain reliable in high-velocity production environments.

## References

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