

# Xinyi Ni

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## EDUCATION

### University of California, Davis

Davis, CA

*Ph.D. in Electrical and Computer Engineering*, Advisor: [Lifeng Lai](#).

Sept. 2019 – Expected Jun. 2024

### Zhejiang University

Hangzhou, China

*B.E. in Information Engineering*.

Sept. 2015 - Jun. 2019

- *Honors: Dean's Distinguished Graduate Fellowship; Academic Excellence Scholarship; Provincial Outstanding Student Scholarship; Excellent Graduation Thesis*

## RESEARCH EXPERIENCE

### A Policy Gradient Method for $\phi$ -Divergence Risk Measure in Risk-Sensitive RL

Davis, CA

*Paper submitted to AAAI 2024*

Nov. 2022 - May 2023

- Explored the application of  $\phi$ -divergence to construct a RL framework, which addressed risk-sensitive RL challenges while concurrently ensuring the robustness of solving risk-neutral RL problems under model uncertainties.
- Proposed a policy gradient method which exhibited applicability across the entire  $\phi$ -divergence class, thereby providing insights into the resolution of novel risk measures proposed in future research.

### Robust Risk-Sensitive RL with Conditional VaR (CVaR)

Davis, CA

*Paper submitted to AAAI 2024*

Mar. 2022 - Oct. 2022

- Presented a novel investigation into the robustness of risk-sensitive RL within the robust Markov decision process framework, which shed light on the practical applicability of risk-sensitive RL in real-world scenarios.
- Introduced a novel risk measure named NCVaR and established the equivalence between NCVaR optimization and robustness of CVaR under decision-dependent uncertainty.

### Policy Gradient Based Entropic-VaR Optimization in Risk-Sensitive RL

Davis, CA

*Paper accepted by Allerton Conference, IEEE 2022*

Aug. 2021 - Feb. 2022

- Refined the further application and methodology of Entropic-VaR in risk-sensitive RL.
- Proposed a policy gradient method to ensure convergence to a local optimal policy by utilizing multi-timescale stochastic approximation and validated the applicability of the algorithm through simulation experiments.

### Risk-Sensitive Reinforcement Learning via Entropic-VaR Optimization

Davis, CA

*Paper accepted by ACSSC, IEEE 2022*

Sept. 2020 - Jun. 2021

- Pioneered the application of a novel risk measure called Entropic-VaR in designing robust RL algorithms within the MDP framework, marking the first-ever exploration of this approach.
- Derived a value iteration method for Entropic-VaR RL to optimize policies, ensuring convergence to a optimal policy while validating it through RL methodologies and simulation experiments.

### Machine Learning Based Clinical Pathological Diagnosis of HPV

Shanghai, China

*Paper rewarded as Excellent Graduation Thesis at Zhejiang University*

Nov. 2018 - Jun. 2019

- Collaborated with Shanghai Tongji University Affiliated Hospital to create an auxiliary recognition system for classifying medical images depicting varying degrees of lesions.
- Employed advanced image processing and data augmentation techniques to address challenges arising from inconsistent image quality and data collection limitations, with subsequent training conducted using conventional neural networks (CNNs).

### Driver Assistance System with Traffic Signs Recognition Based on ML

Hangzhou, China

*Project awarded a patent in China*

Mar. 2018 - Sept. 2018

- Developed a driver assistance system endowed with the capability to proficiently detect and autonomously govern vehicular operations in response to traffic signage cues.
- Employed an amalgamation of Python, TensorFlow, and OpenCV to orchestrate image preprocessing procedures, while leveraging a pre-trained Convolutional Neural Network (CNN) for the classification of visual data.

## SKILLS

- Programming and Software: Python, MATLAB, C, C++, Java