

The background of the slide features a series of concentric, semi-transparent circles in various shades of blue, creating a ripple effect. A solid, light blue horizontal band runs across the middle of the slide, serving as a backdrop for the chapter title.

Chapter 4

Controlling Processes

Program to Process

> Program is dead

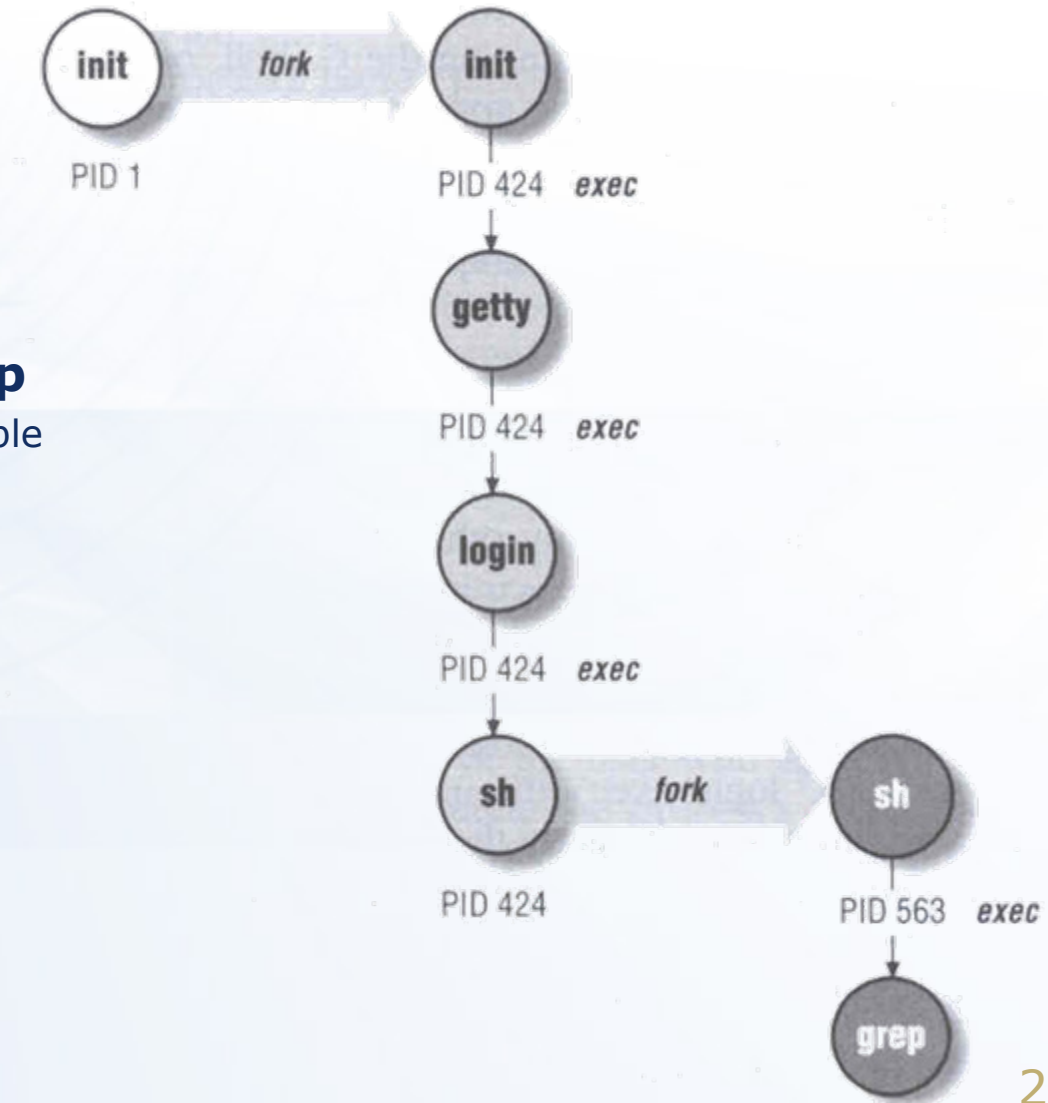
- Just lie on disk
- grep is a program
 - **/usr/bin/grep**
 - **% file /usr/bin/grep**
 - > ELF 32-bit LSB executable

> When you execute it

- It becomes a process

> Process is alive

- It resides in memory



Attributes of the Process

> PID, PPID

- Process ID and parent process ID

> UID, EUID

- User ID and Effective user ID

> GID, EGID

- Group ID and Effective group ID

> Niceness

- The suggested priority of this process

Attributes of the process – PID and PPID

> PID – process id

- Unique number assigned for each process in increasing order when they are created

> PPID – parent PID

- The PID of the parent from which it was cloned
- UNIX uses fork-and-exec model to create new process

```
1 #include <stdio.h>
2 #include <unistd.h>
3
4 int main(void)
5 {
6     int pid,i;
7
8     pid = fork();
9     if (pid == 0) {
10         for (i=0;i<12;i++) {
11             printf("I am a child process, my pid is %d, parent pid is %d\n",getpid(),getppid());
12             sleep(1);
13         }
14         exit(1);
15     }
16     else if (pid > 0) {
17         for (i=0;i<10;i++) {
18             printf(" I am a parent process, my pid is %d, parent pid is %d\n",getpid(),getppid());
19             sleep(1);
20         }
21     }
22     else if (pid < 0)
23         printf(" Sorry .....I can't fork my self\n");
24
25     return 0;
26 }
```

Attributes of the process – UID 、 GID 、 EUID and EGID

> UID, GID, EUID, EGID

- The effective uid and gid can be used to enable or restrict the additional permissions
 - Effective uid will be set to
 - **Real uid if setuid bit is off**
 - **The file owner's uid if setuid bit is on**
-

Ex:

/etc/master.passwd is “root read-write only” and
/usr/bin/passwd is a “setuid” program

```
tytsai@tytsai [6:31pm] /etc> ls -al | grep passwd
-rw-----  1 root  wheel    1337 Sep 18 17:28 master.passwd
-rw-r--r--  1 root  wheel    1171 Sep 18 17:28 passwd
tytsai@tytsai [6:31pm] /etc> ls -al /usr/bin/ | grep passwd
-r-sr-xr-x  1 root  wheel   10520 Apr  3  2003 opiepasswd*
-r-sr-xr-x  2 root  wheel   28828 Apr  3  2003 passwd*
-r-sr-xr-x  2 root  wheel   28828 Apr  3  2003 yppasswd*
tytsai@tytsai [6:31pm] /etc> █
```


Process Lifecycle

> fork

- child has the same program context

> exec

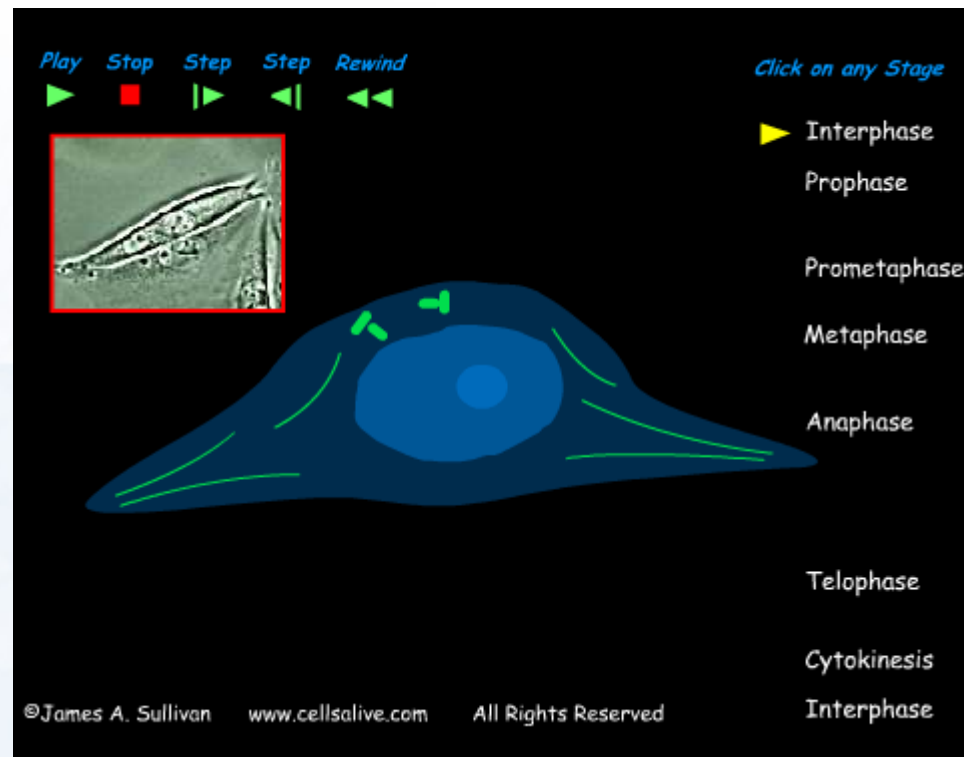
- child use exec to change the program context

> exit

- child use `_exit` to tell kernel that it is ready to die and this death should be acknowledged by the child's parent

> wait

- parent use wait to wait for child's death
- If parent died before child, this orphan process will have `init` as it's new parent



Signal

- > A way of telling a process something has happened
- > Signals can be sent
 - among processes as a means of communication
 - by the terminal driver to kill, interrupt, or suspend process
 - **<Ctrl-C> 、 <Ctrl-Z>**
 - by the administrator to achieve various results
 - by the kernel when a process violate the rules, such as divide by zero

Actions when receiving signal

- > Depend on whether there is a designated handler routine for that signal
 1. If yes, the handler is called
 2. If no, the kernel takes some default action
- > “Catching” the signal
 - Specify a handler routine for a signal within a program
- > Two ways to prevent signals from arriving
 1. Ignored
 - **Just discard it and there is no effect to process**
 2. Blocked
 - **Queue for delivery until unblocked**
 - **The handler for a newly unblocked signal is called only once**

UNIX signals

> man signal or see /usr/include/sys/signal.h

FreeBSD

#	Name	Description	Default	Catch	Block	Dump core
1	SIGHUP	Hangup	Terminate	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2	SIGINT	Interrupt (^C)	Terminate	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	SIGQUIT	Quit	Terminate	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
9	SIGKILL	Kill	Terminate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10	SIGBUS	Bus error	Terminate	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
11	SIGSEGV	Segmentation fault	Terminate	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
15	SIGTERM	Soft. termination	Terminate	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
17	SIGSTOP	Stop	Stop	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
18	SIGTSTP	Stop from tty (^Z)	Stop	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
19	SIGCONT	Continue after stop	Ignore	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Kill – send signals

> % kill [-signal] pid

— Ex:

- **First, find out the pid you want to kill**
- % kill -l (list all available signals)
- % kill 49222
- % kill -TERM 49222
- % kill -15 49222

Process States

> man ps and see "state" keyword




State	Meaning
I	Idle
R	Runnable
S	Sleeping
T	Stopped
Z	Zombie
D	in Disk


an animation of

Process State

During its life-cycle a process moves through a number of different states. The transitions between these states occur due to a number of events. These concepts are discussed in section 4.1.2 of the textbook.

LEGEND

-  Olive Saurus (OS) - the Operating System
-  an inactive process
-  a running process

 Start

ps command (BSD、Linux)

> ps

```
tytsai@tybsd:~> ps
PID TT STAT TIME COMMAND
125 p0 Ss 0:00.03 -tcsh (tcsh)
139 p0 R+ 0:00.00 ps
```

> ps aux

```
tytsai@tybsd:~> ps aux
USER      PID %CPU %MEM    VSZ   RSS  TT  STAT STARTED   TIME COMMAND
root         0   0.0   0.0      0     0  ??   DLs   4:50PM   0:00.00 (swapper)
tytsai    125   0.0   0.2   1456   1128  p0    Ss   8:52AM   0:00.04  -tcsh (tcsh)
tytsai    124   0.0   0.4   5296   2304  ??     S   8:52AM   0:00.01 sshd: tytsai@tty
root      89   0.0   0.4   3144   2324  ??     Ss   8:50AM   0:00.02 sendmail: accepti
```

> ps auxww

```
tytsai@tybsd:~> ps auxww
USER      PID %CPU %MEM    VSZ   RSS  TT  STAT STARTED   TIME COMMAND
root      89   0.0   0.4   3144   2324  ??     Ss   8:50AM   0:00.02 sendmail: accepti
g connections (sendmail)
```

ps command –

Explanation of ps –aux (BSD , Linux)

Field	Contents
USER	Username of the process's owner
PID	Process ID
%CPU	Percentage of the CPU this process is using
%MEM	Percentage of real memory this process is using
VSZ	Virtual size of the process, in kilobytes
RSS	Resident set size (number of 1K pages in memory)
TT	Control terminal ID
STAT	<p>Current process status:</p> <p>R = Runnable D = In disk (or short-term) wait</p> <p>I = Sleeping (> 20 sec) S = Sleeping (< 20 sec)</p> <p>T = Stopped Z = Zombie</p> <p>Additional Flags:</p> <p>> = Process has higher than normal priority</p> <p>N = Process has lower than normal priority</p> <p>< = Process is exceeding soft limit on memory use</p> <p>A = Process has requested random page replacement</p> <p>S = Process has asked for FIFO page replacement</p> <p>V = Process is suspended during a vfork</p> <p>E = Process is trying to exit</p> <p>L = Some pages are locked in core</p> <p>X = Process is being traced or debugged</p> <p>s = Process is a session leader (head of control terminal)</p> <p>W = Process is swapped out</p> <p>+ = Process is in the foreground of its control terminal</p>
STARTED	Time the process was started
TIME	CPU time the process has consumed
COMMAND	Command name and arguments ^a

ps command (BSD、Linux)

> ps -j

```
tytsai@tybsd:~> ps -j
USER  PID PPID PGID  SESS JOBC STAT TT   TIME COMMAND
tytsai  125  124  125 c2053500    0   Ss  p0  0:00.06 -tcsh (tcsh)
tytsai  205  125  205 c2053500    1   R+  p0  0:00.00 ps -j
```

> ps -o

```
tytsai@tybsd:~> ps -o uid,pid,ppid,%cpu,%mem,command
UID  PID  PPID %CPU %MEM COMMAND
1001  125  124   0.0   0.2  -tcsh (tcsh)
1001  237  125   0.0   0.1  ps -o uid,pid,ppid,%cpu,%mem,command
```

> ps -L

```
tytsai@tybsd:~> ps -L
%cpu %mem acflag acflg blocked caught command cpu cputime f flags ignored inblk
inblock jobc ktrace ktracep lim login logname lstart majflt minflt msgrcv msgsnd
ni nice nivesw nsignals nsigs nswap nvesw nwchan oublek oubleck p_ru paddr pagein
pcpu pending pgid pid pmem ppid pri re rgid rlink rss rssize rsz rtprio ruid
ruser sess sig sigcatch sigignore sigmask sl start stat state svgid svuid tdev
time tpgid tsess tsiz tt tty ucomm uid upr user usrpri vsize vsz wchan xstat
```

top command

```
last pid: 49993; load averages: 0.00, 0.01, 0.00 up 20+06:54:04 21:25:22
59 processes: 1 running, 58 sleeping
CPU states: 0.0% user, 0.0% nice, 0.0% system, 0.0% interrupt, 100% idle
Mem: 30M Active, 265M Inact, 153M Wired, 48K Cache, 199M Buf, 1562M Free
Swap: 1024M Total, 1024M Free
```

PID	USERNAME	PRI	NICE	SIZE	RES	STATE	C	TIME	WCPU	CPU	COMMAND
201	mysql	2	0	36640K	16660K	poll	0	4:45	0.00%	0.00%	mysqld
129	root	2	0	596K	360K	select	0	2:22	0.00%	0.00%	natd
205	root	2	0	3164K	2492K	select	0	0:38	0.00%	0.00%	httpd

> Various usage

- `top -q` run top and renice it to -20
- `top -u` don't map uid to username
- `top -Uusername` show process owned by user

> Interactive command

- `o` change display order
- `u` show only processes owned by user

Niceness

- > How kindly of you when contending CPU time
 - High nice value → low priority
- > Inherent Property
 - A newly created process inherits the nice value of its parent
 - **Prevent processes with low priority from bearing high-priority children**
- > Root has complete freedom in setting nice value
 - Use nice to start a high-priority shell to beat berserk process

nice and renice commands

> Nice value from -20 ~ 20

> nice format

- nice [-n increment] utility [arguments]
 - **% nice -n 5 date** (default is 10)
 - **% sudo nice -n -20 top (top -q)**

> renice format

- % renice -n increment [-p pid] [-g gid] [-u user]
 - **% renice 15 -u tytsai**

Runaway process

- > Processes that use up excessive system resource or just go berserk
 - kill –STOP for unknown process
 - renice it to a higher nice value for reasonable process