

# The Age of Data Conversation: Talk to Your Relational Data

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Dec 1, 2020

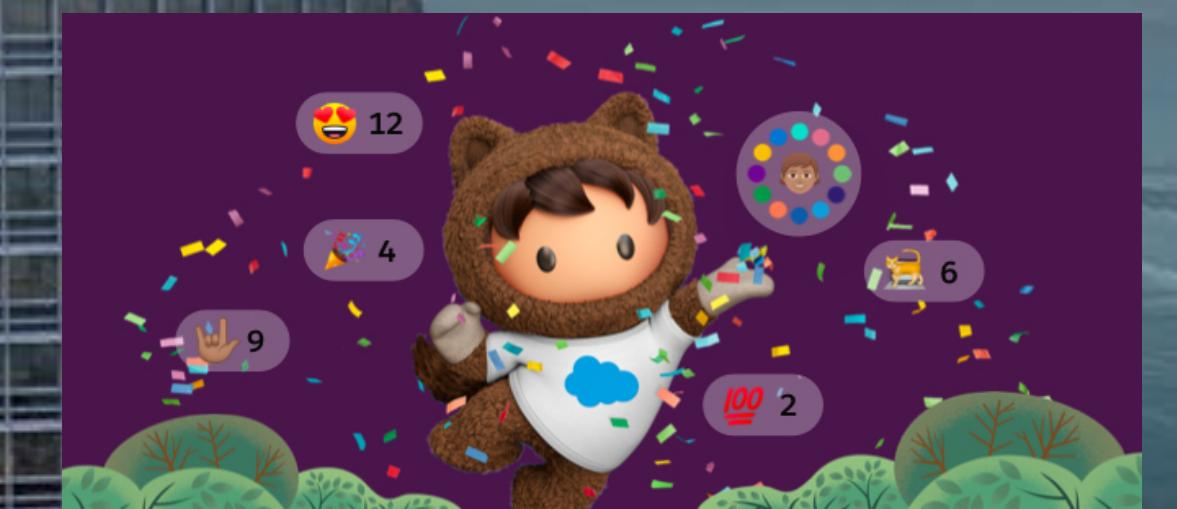
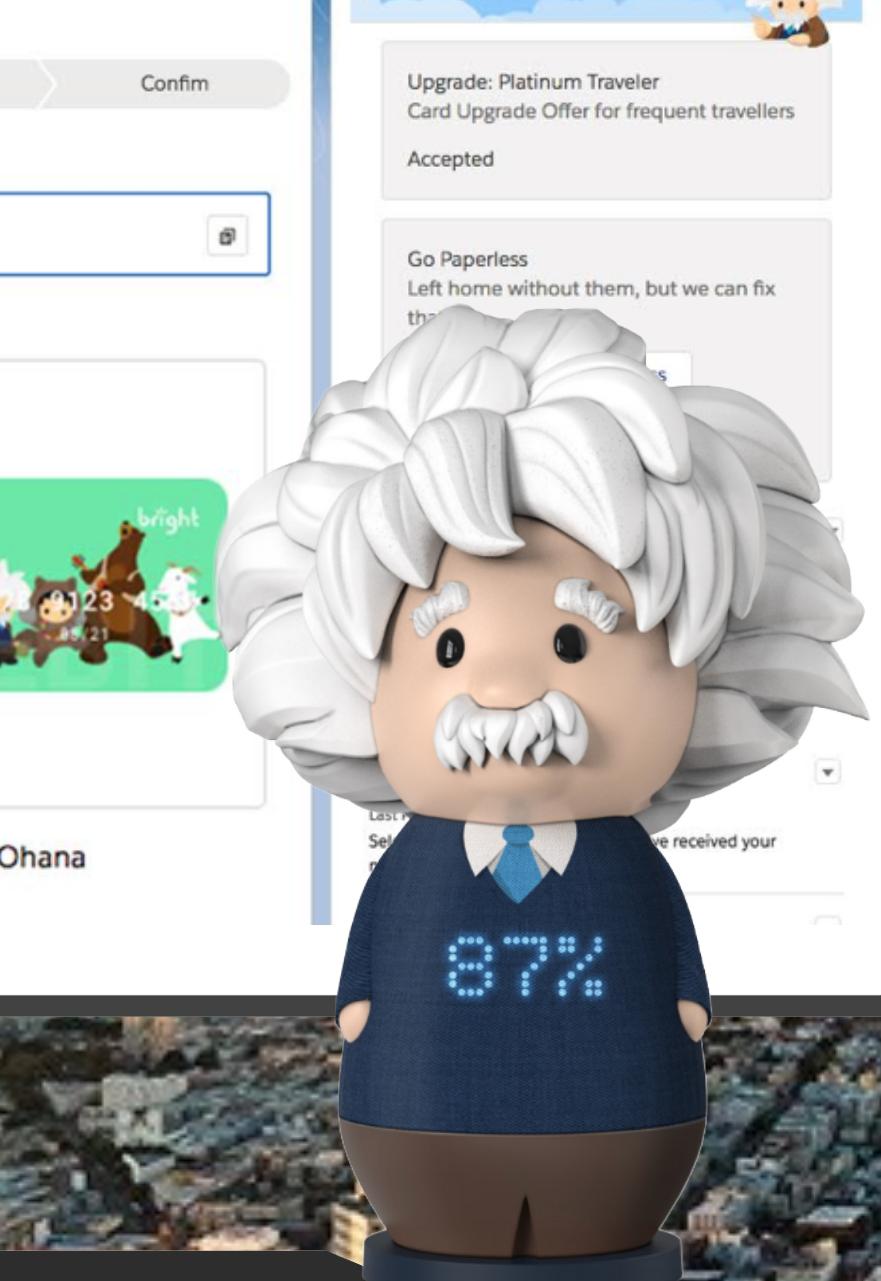
Joint work w/ Tao Yu<sup>a</sup>, Chien-Sheng Wu, Rui Zhang<sup>b</sup>, Bailin Wang<sup>c</sup>, Karthik Radhakrishnan<sup>d</sup>, Arvind Srikantan, Dragomir Radev<sup>a</sup>, Richard Socher and Caiming Xiong

a - Yale University   b - Penn State University

c - University of Edinburgh   d - Carnegie Mellon University



A composite screenshot showing various Salesforce interfaces. On the left is a desktop view of the Bright Service Center with an "Action List" for an "Upgrade Credit Card" case. In the center is a mobile phone displaying a "Support Bot" chat interface with a cartoon Einstein character. To the right is a desktop view of the Einstein Next Best Action feature, showing a card upgrade offer for frequent travellers.



We just acquired  
Slack today!

# Recap: Semantic Parsing

- **General definition:** natural language → formal meaning representations

NL: John likes fruits

LF:  $\forall x \text{ FRUIT}(x) \implies \text{LIKES}(x, \text{JOHN})$

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# Recap: Semantic Parsing

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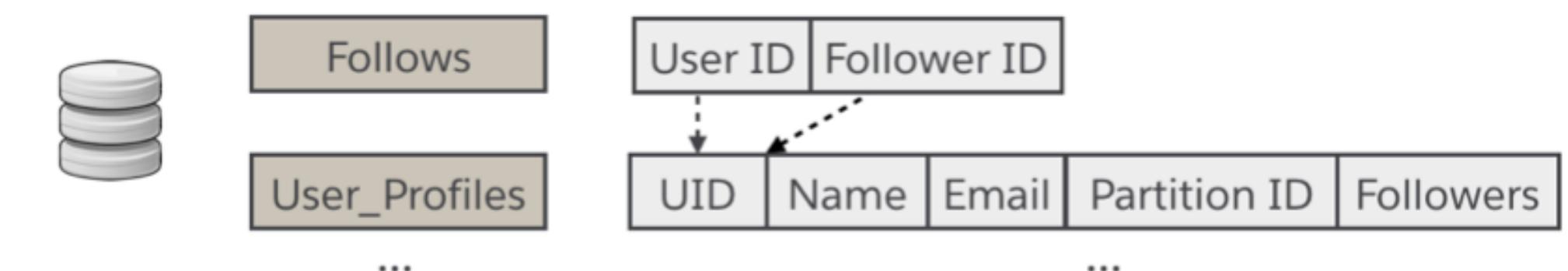
NL: John likes fruits

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- **NL2Code:** natural language → high-level programming languages

NL: List all users

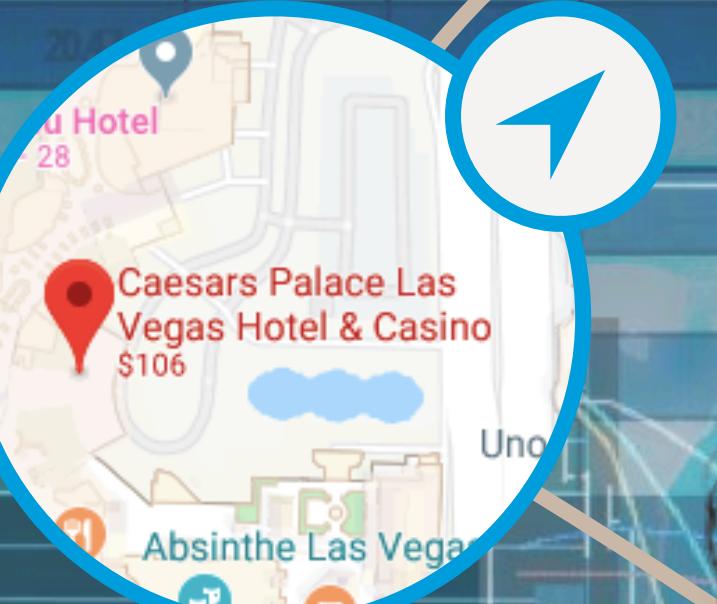
LF: **SELECT** Name **FROM** User\_Profiles



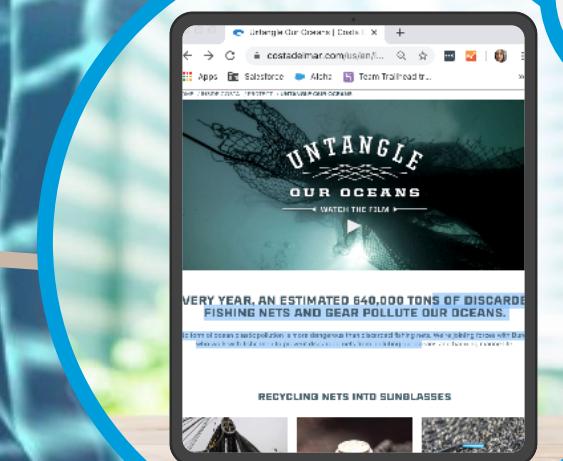
# Personal



# Intelligent



# Conversational



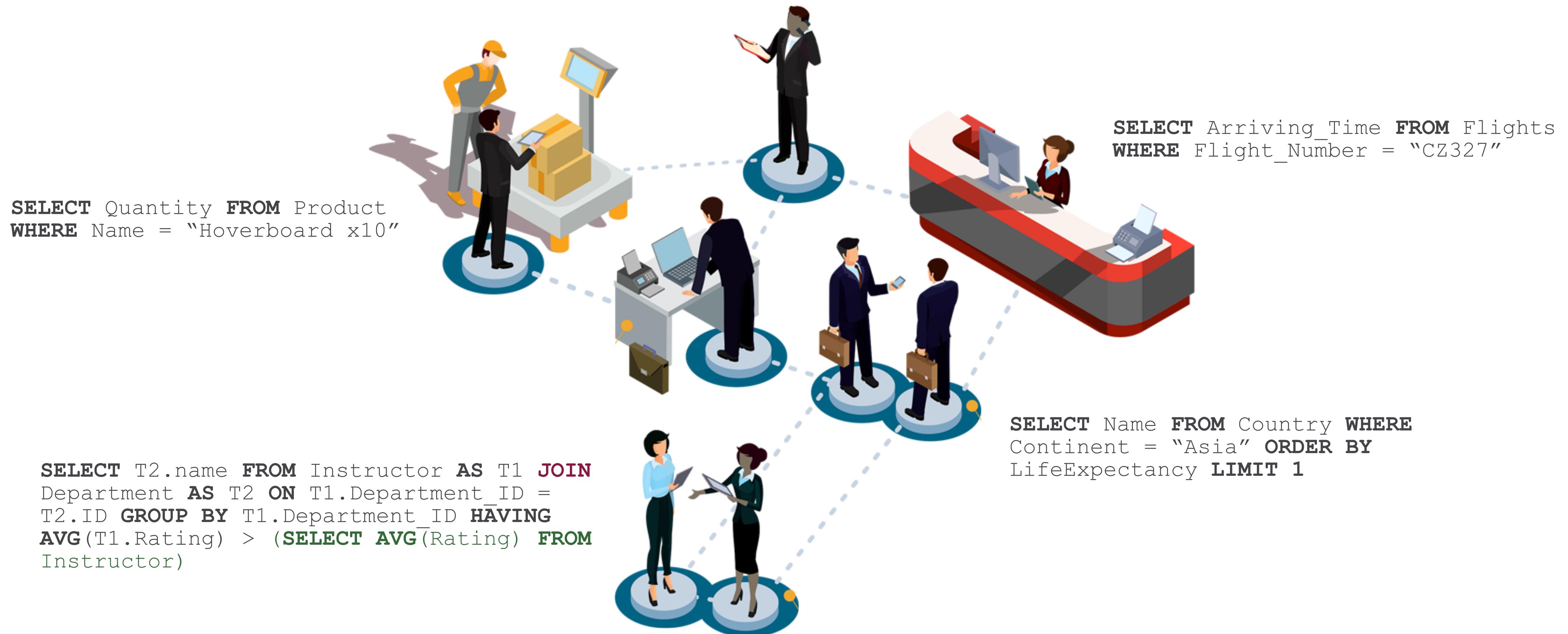
salesforce





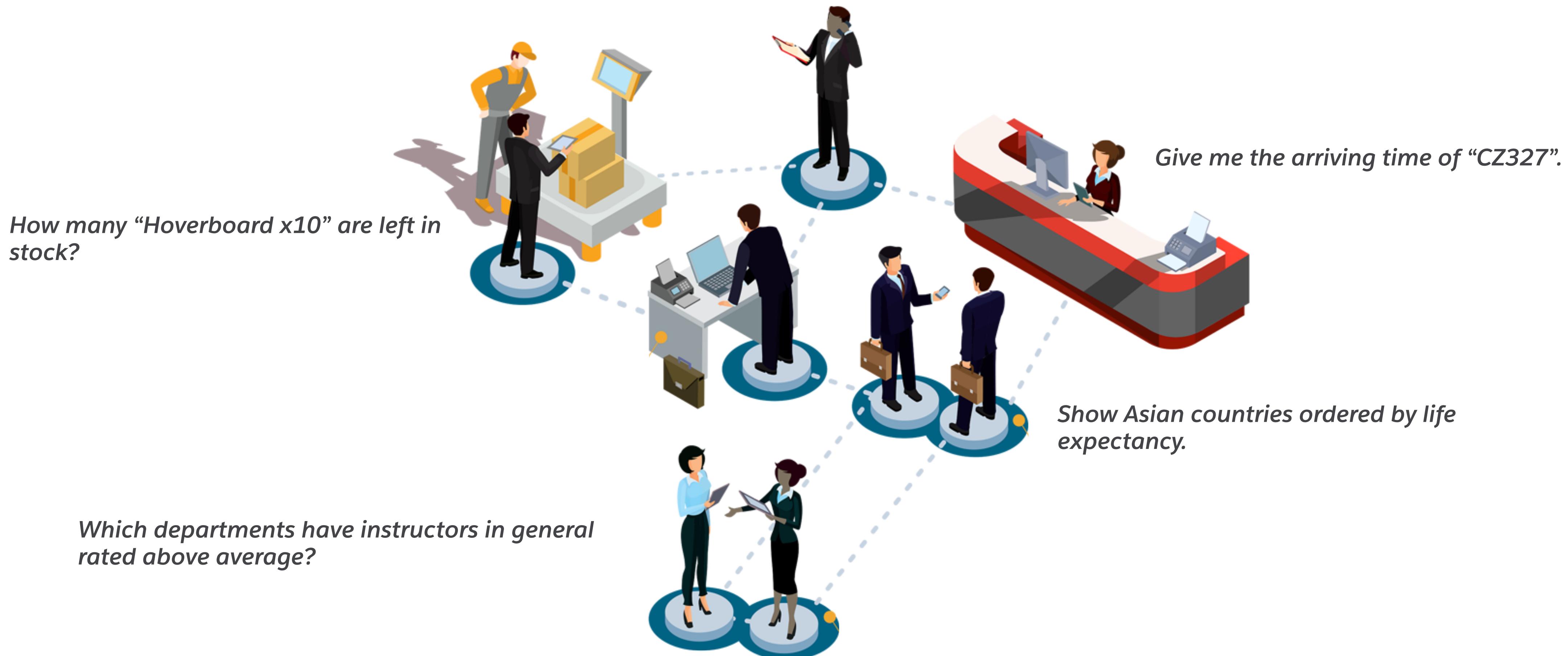
# Natural Language Interface to Databases

Traditionally, database information is accessed using **structured query language (SQL)**.



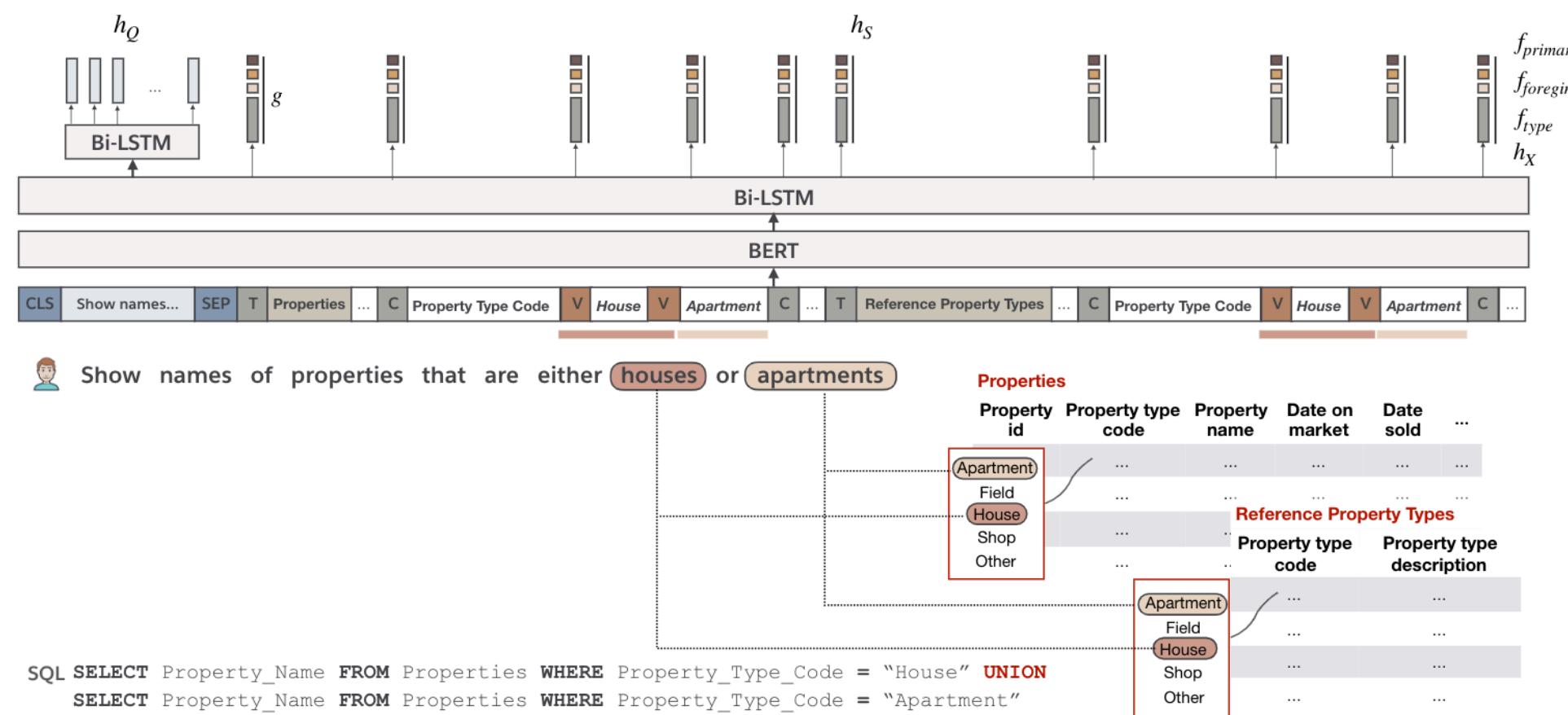
# Natural Language Interface to Databases

Our goal is to learn semantic parsers over **tables** and **databases** that maps natural language utterances to **executable** database queries.

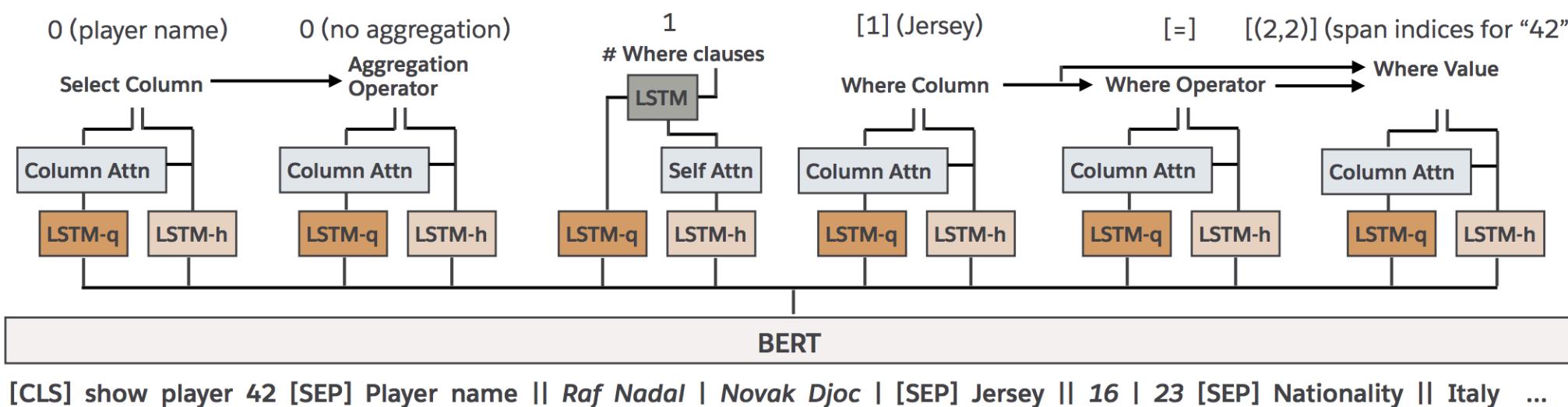


## I. Content-Aware Textual-Tabular Encodings for Table Semantic Parsing (TSP)

Bridging Textual and Tabular Data for Cross-Domain Text-to-SQL Semantic Parsing. Lin et al. 2020.

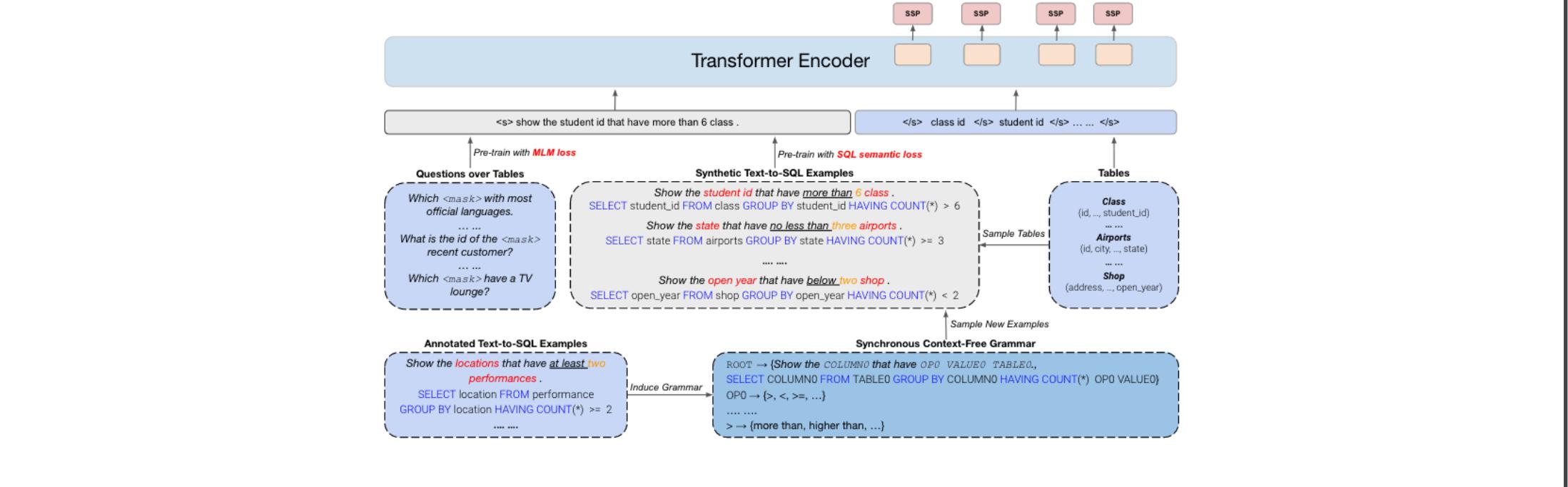


ColloQL: Robust Cross-Domain Text-to-SQL over Search Queries. Radhakrishnan et al. 2020.



## II. Pre-training Textual-Tabular Representations with Semantic Scaffolds

GraPPa: Grammar-Augmented Pre-training for Table Semantic Parsing. Yu et al. 2020.

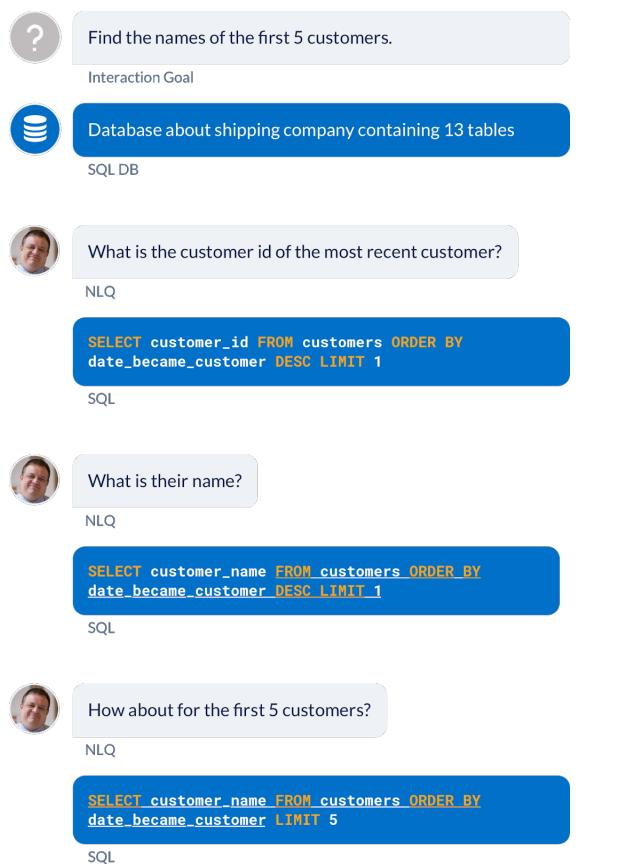


## III. Conversational Table Semantic Parsing

SParC: Cross-Domain Semantic Parsing in Context. Yu et al. 2019.

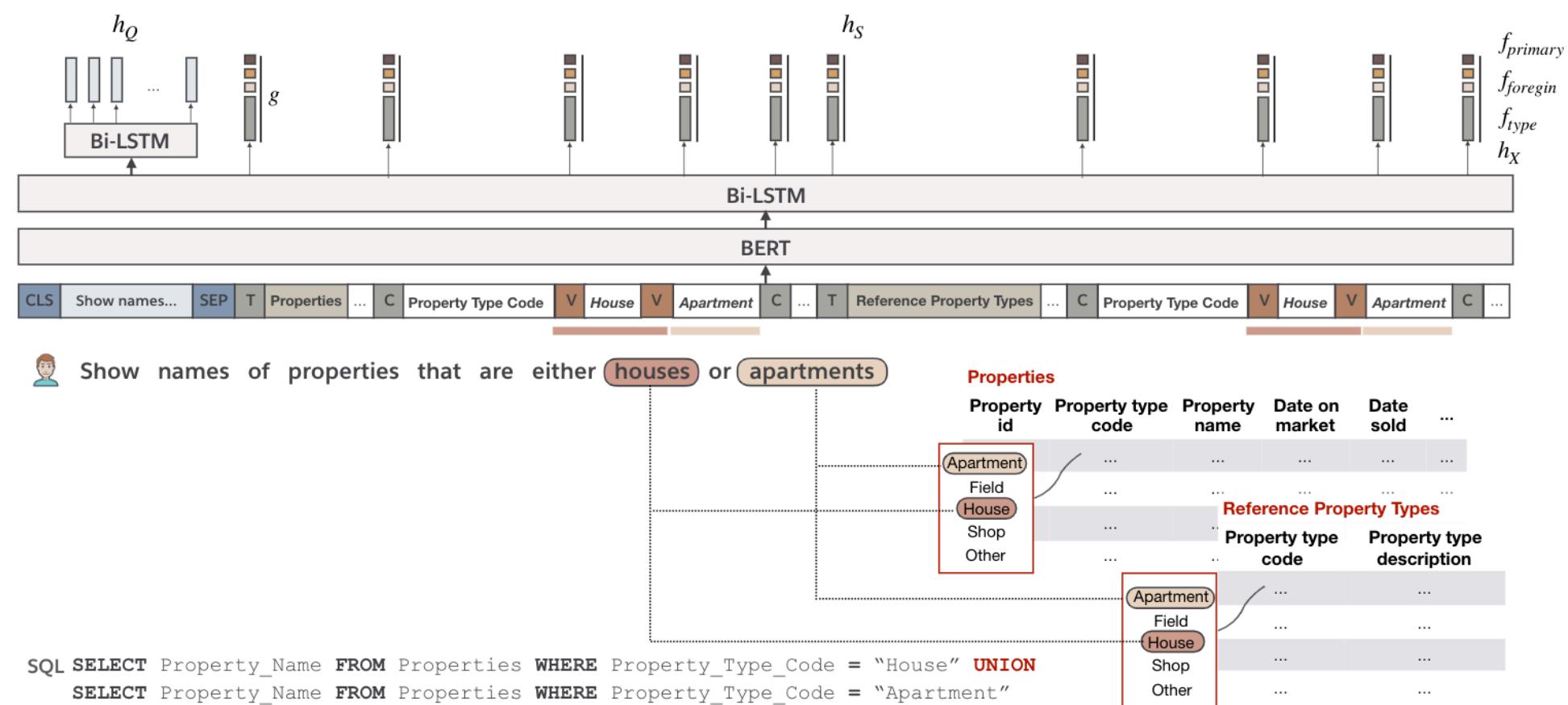
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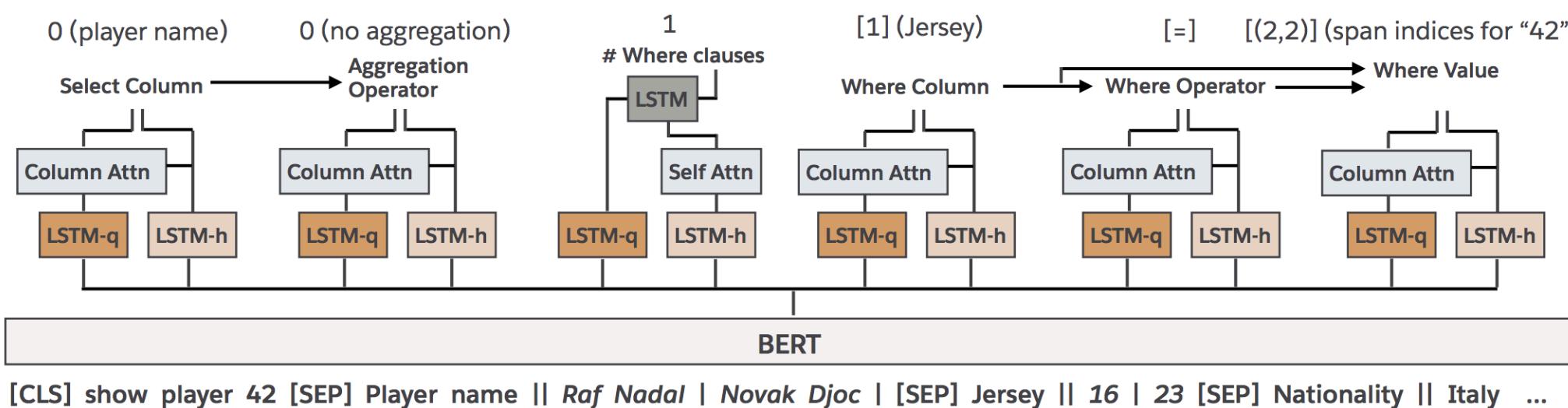


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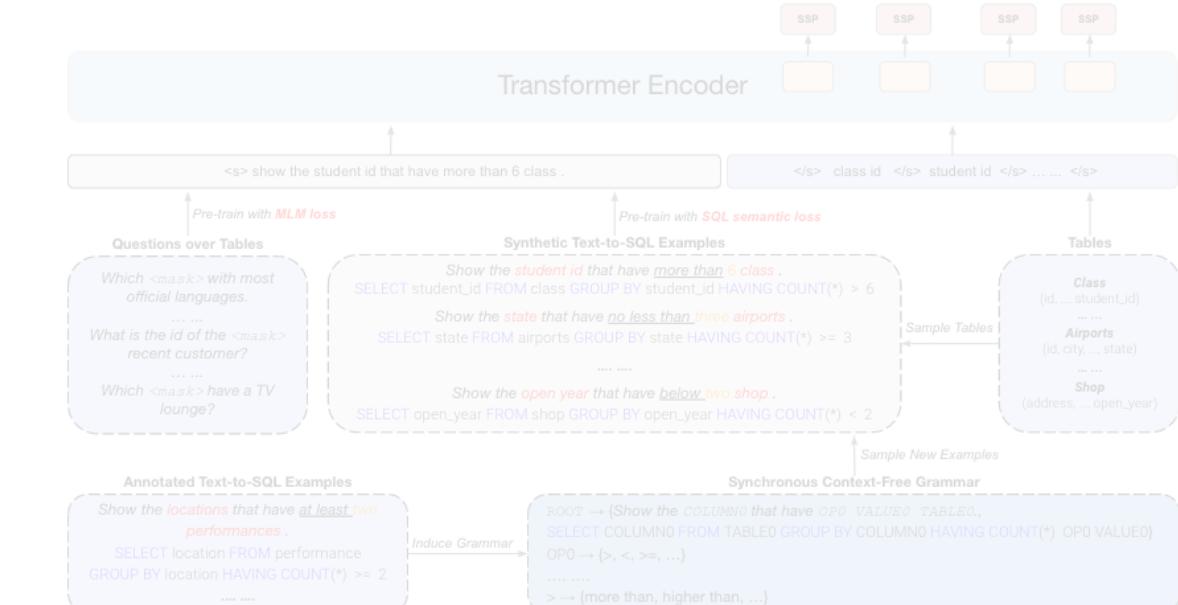


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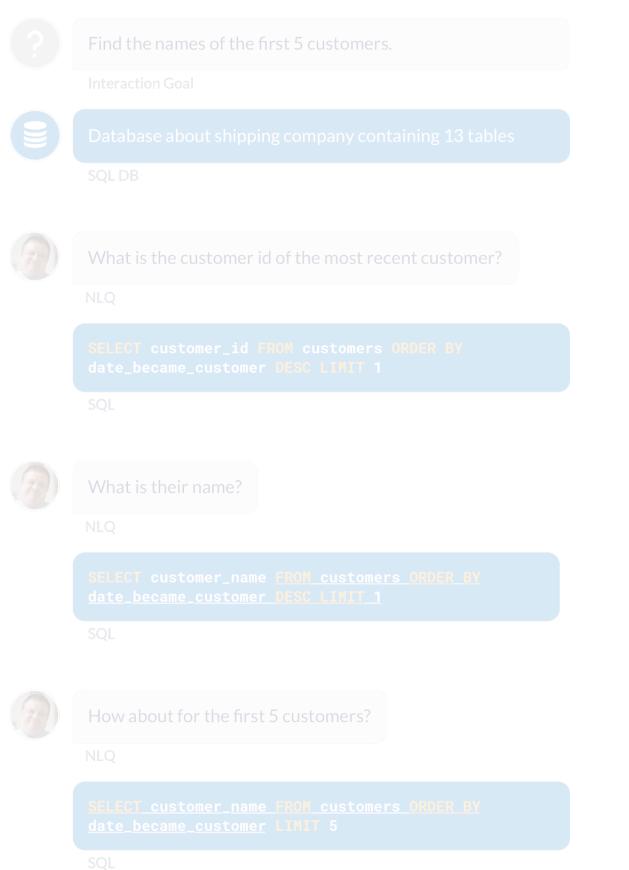


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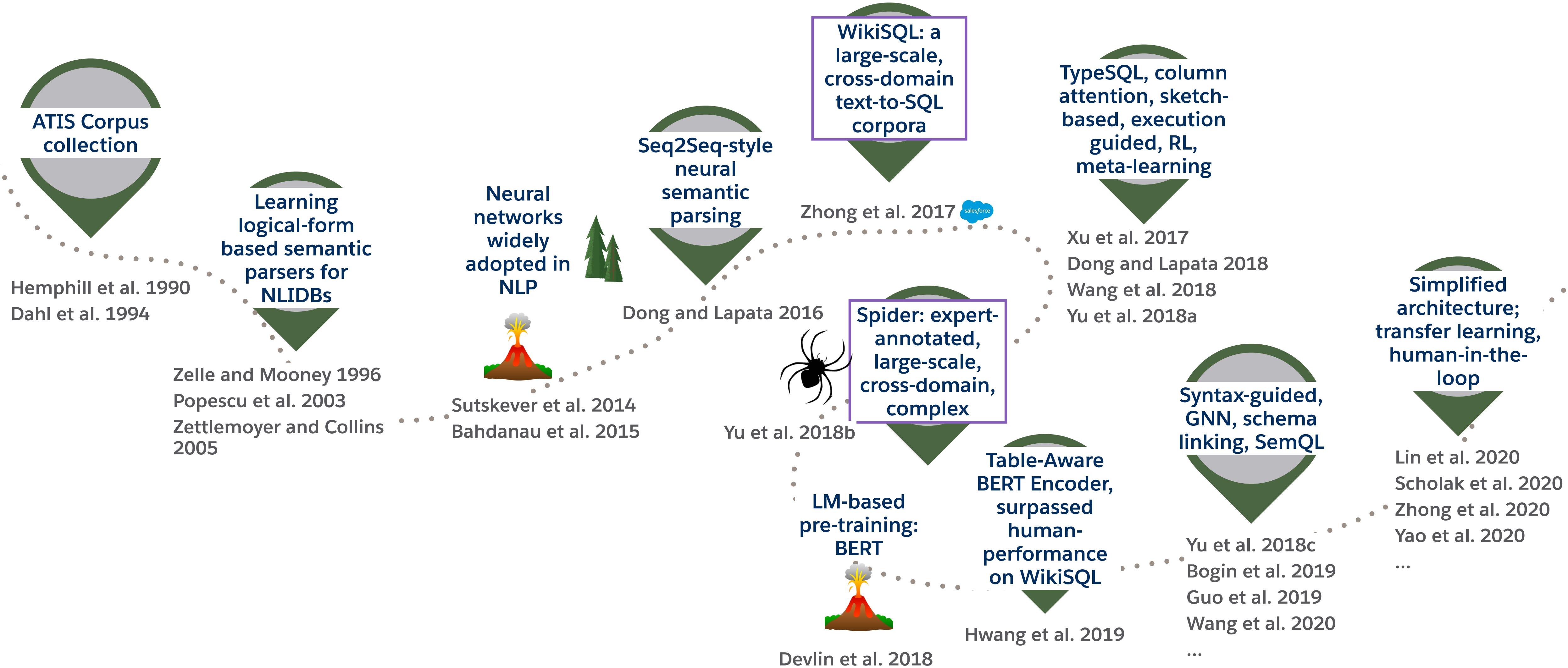
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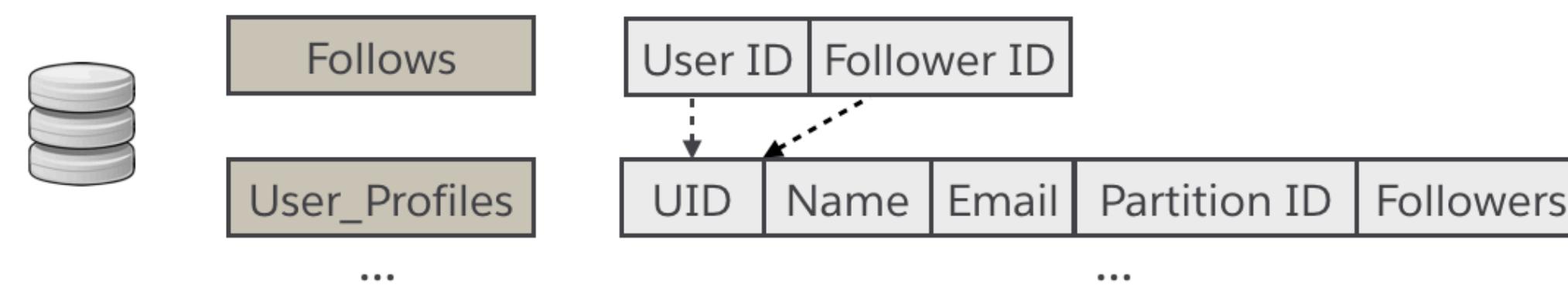
# Table Semantic Parsing: A Brief History



# TSP Problem Overview



Domain Twitter



Tables are the simplest relational databases



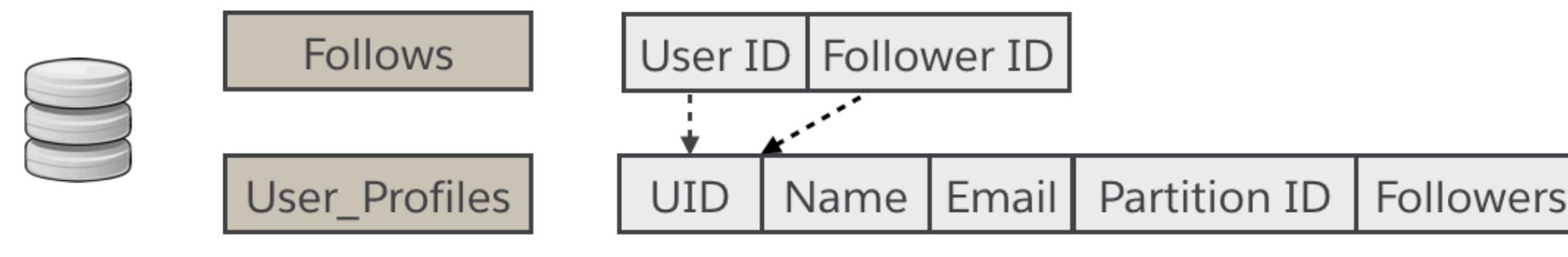
List the name and *number of* followers for each user

SQL

```
SELECT name, followers FROM User_Profiles
```

# TSP Problem Overview

Domain Twitter



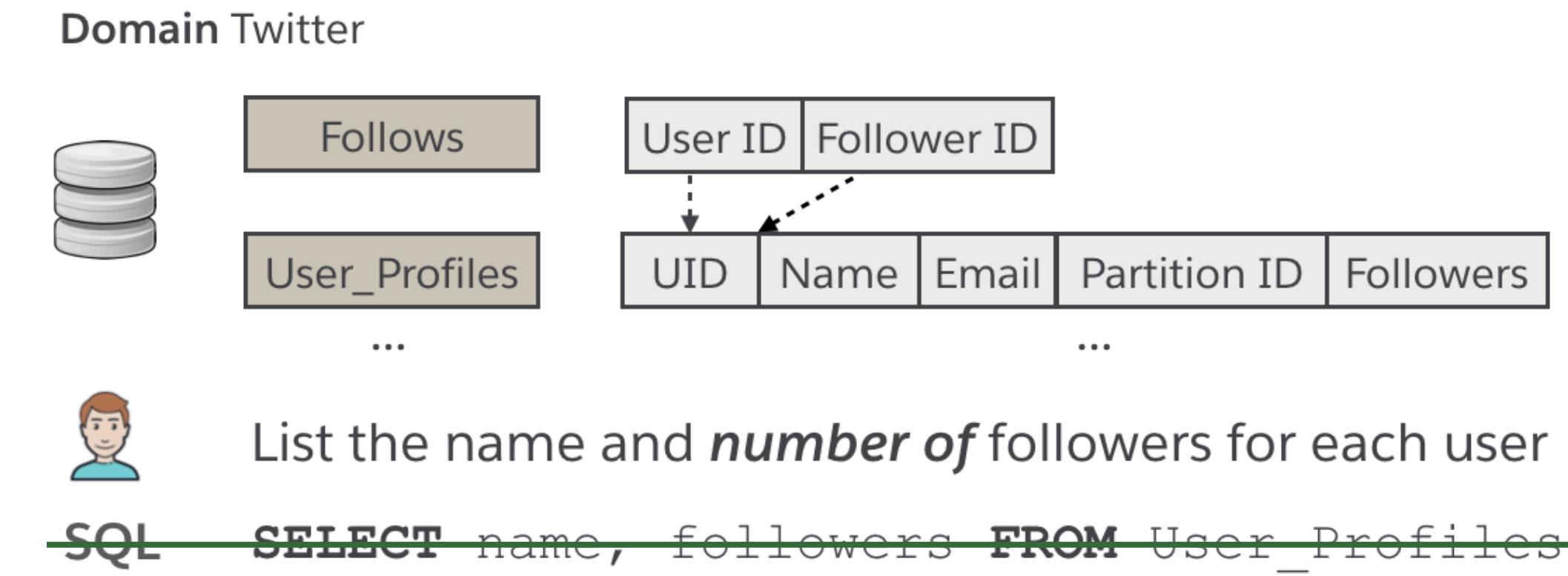
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Strong/Fully  
supervised SP

# TSP Problem Overview

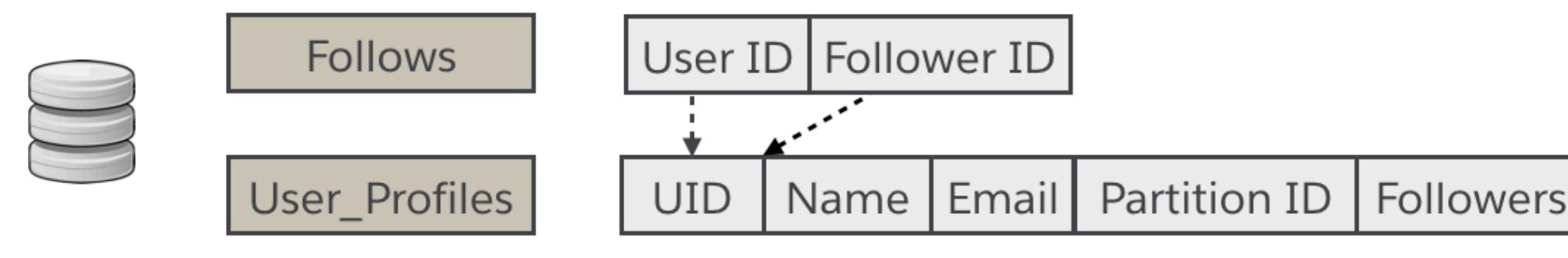


Weakly-supervised SP

# TSP Problem Overview



Domain Twitter



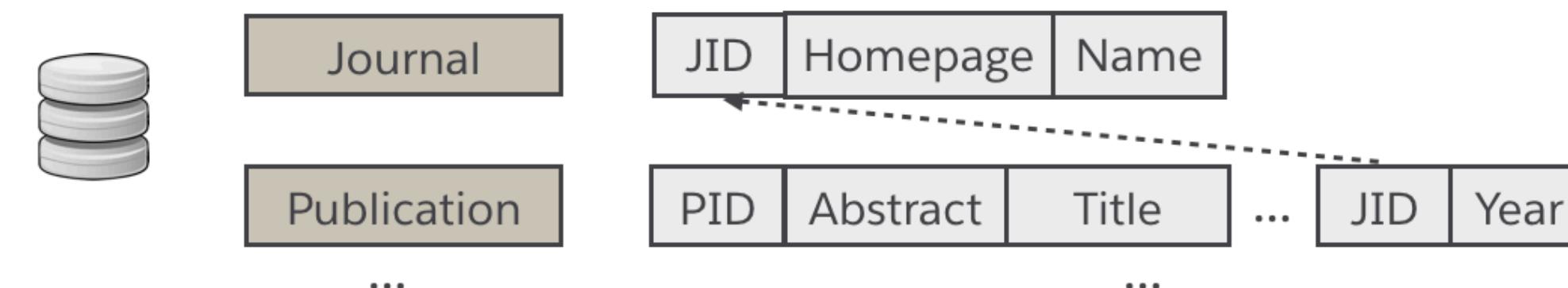
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Cross-  
Database

Domain Academic



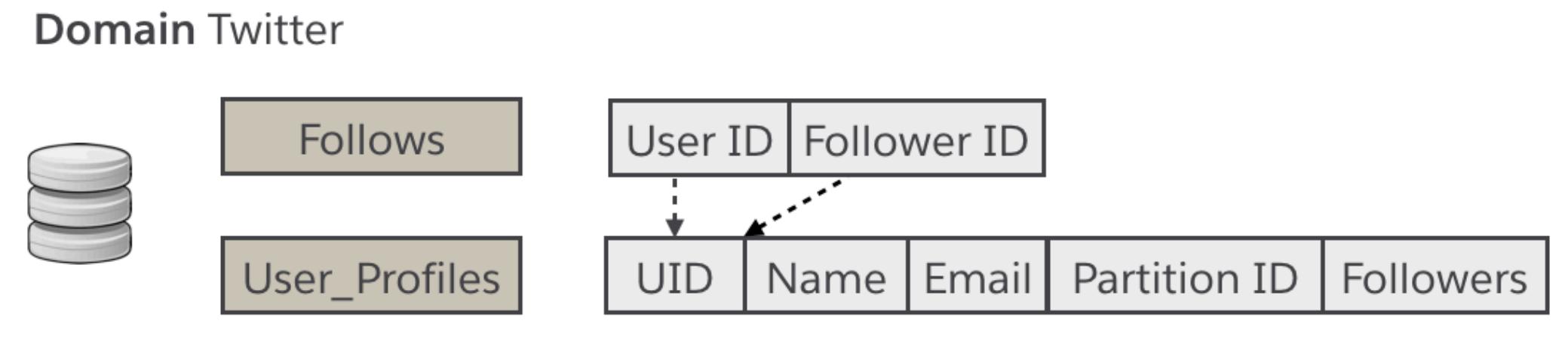
Return me the *number of* papers on PVLDB

SQL

```
SELECT COUNT(DISTINCT t2.title)
FROM Publication AS T2 JOIN Journal AS T1
ON T2.JID = T1.JID WHERE T1.name = "PVLDB"
```

# TSP Problem Overview

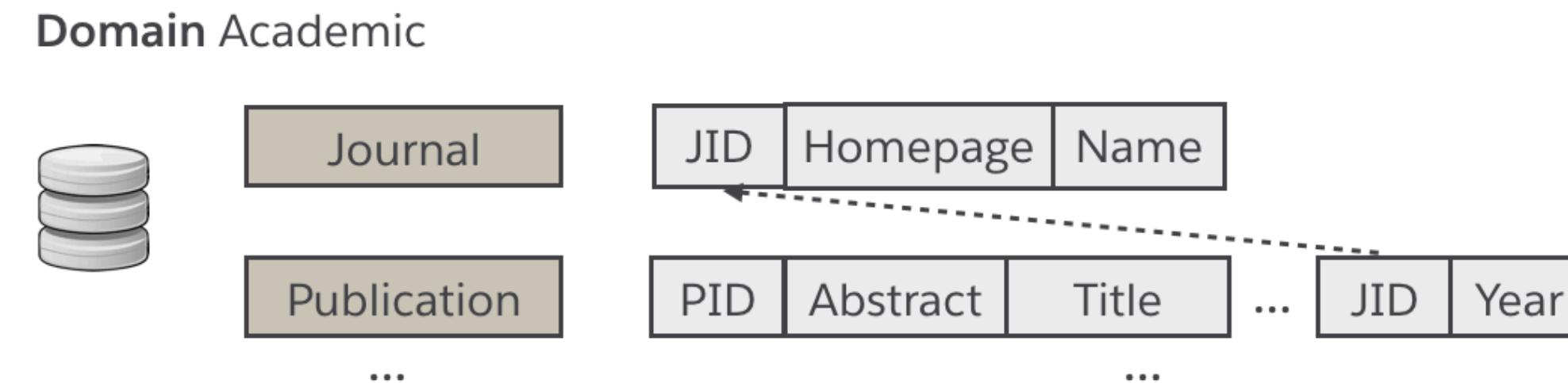
Similar intent,  
different DB schema  
results in drastically  
different SQL Logical  
Forms



 List the name and *number of* followers for each user

**SQL** `SELECT name, followers FROM User_Profiles`

Cross-  
Database



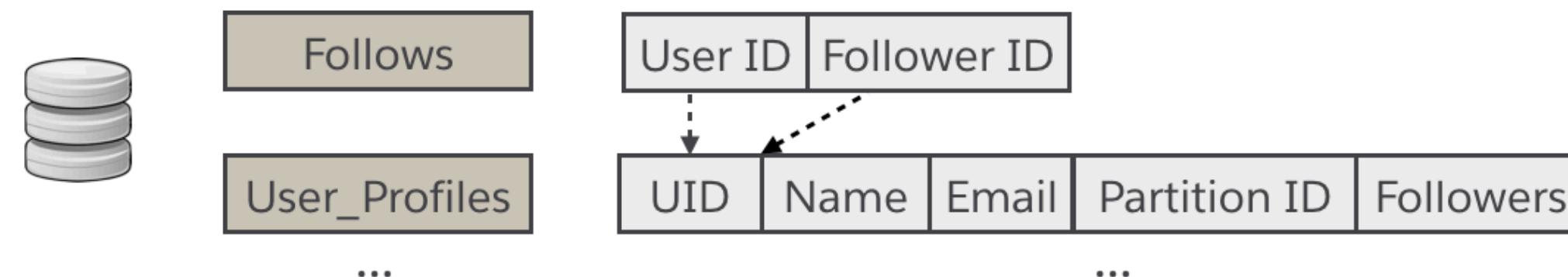
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# TSP Problem Overview



Domain Twitter

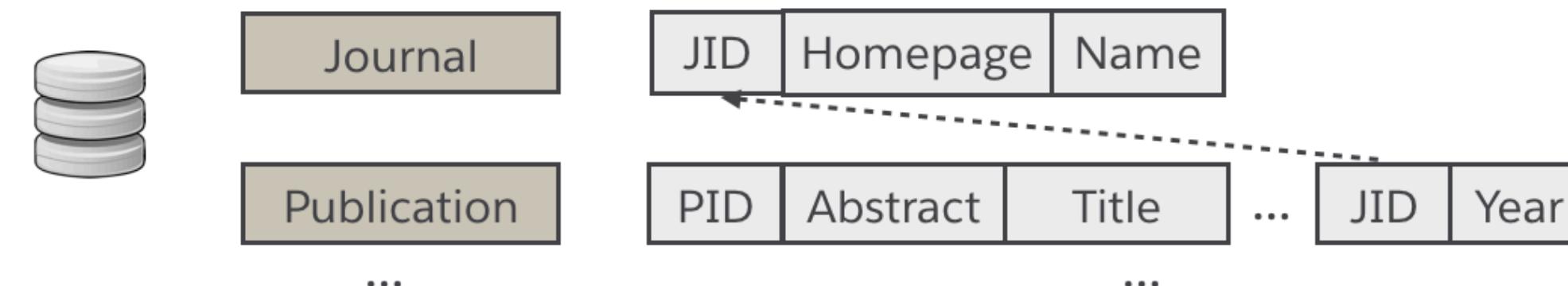


List the name and *number of* followers for each user

SQL

```
SELECT name, followers FROM User_Profiles
```

Domain Academic



A long tail of infrequent entity types



Return me the *number of* papers on PVLDB

SQL

```
SELECT COUNT(DISTINCT t2.title)
FROM Publication AS T2 JOIN Journal AS T1
ON T2.JID = T1.JID WHERE T1.name = "PVLDB"
```

Leverage value-field mappings in the DB

# Textual-Tabular Data Encoding

Question

Database Schema

Environment

 Show names of properties that are either houses or apartments

Properties		Reference Property Types	
Property id	Property type code	Property name	Date on market
Apartment	...	...	...
Field	...	...	...
House	...	...	...
Shop	...	...	...
Other	...	...	...

Picklists

Property type code	Property type description
Apartment	...
Field	...
House	...
Shop	...
Other	...

# Textual-Tabular Data Encoding

## Serialize Table Header/DB Schema



 Show names of properties that are either houses or apartments

Properties				
Property id	Property type code	Property name	Date on market	Date sold
Apartment	...	...	...	...
Field	...	...	...	...
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Reference Property Types	
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# Textual-Tabular Data Encoding

## Serialize Table Header/DB Schema



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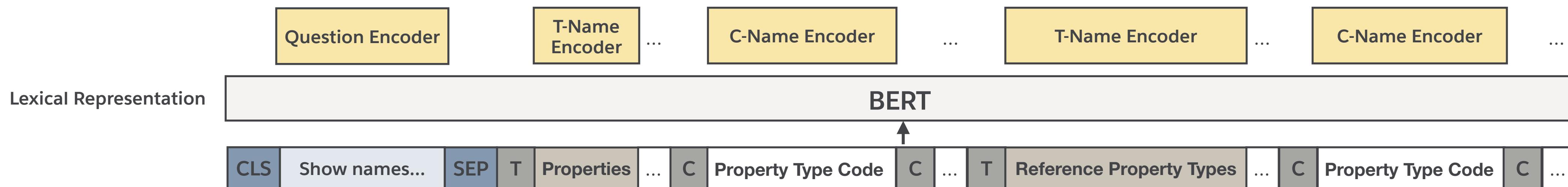
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Apartment	...	...	...	...
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House	...	...	...	...
Shop	...	...	...	...
Other	...	...	...	...

Reference Property Types	
Property type code	Property type description
Apartment	...
Field	...
House	...
Shop	...
Other	...

# Textual-Tabular Data Encoding

*Separate Question/Table/Field Encoder*



 Show names of properties that are either houses or apartments

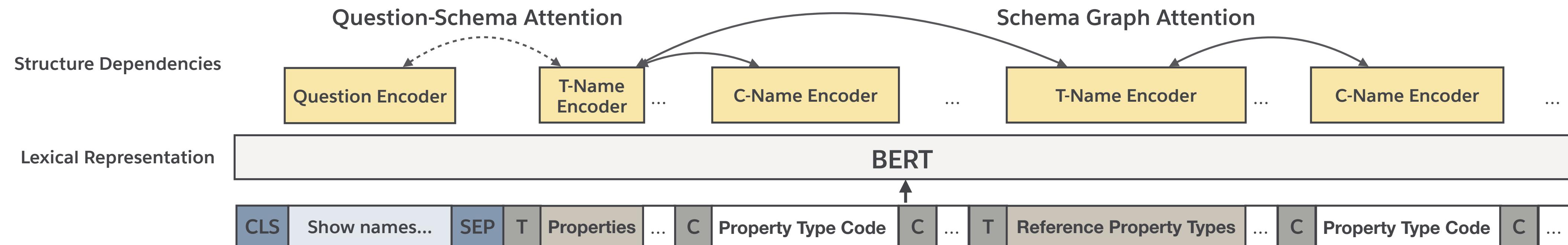
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Property id	Property type code	Property name	Date on market
Apartment	...	...	...
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Properties		Reference Property Types	
Property type code	Property type description	Property type code	Property type description
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Other	...	...	...

# Textual-Tabular Data Encoding

## Cross-Component Attention



>Show names of properties that are either houses or apartments

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Shop	...	...	...	...	...
Other	...	...	...	...	...

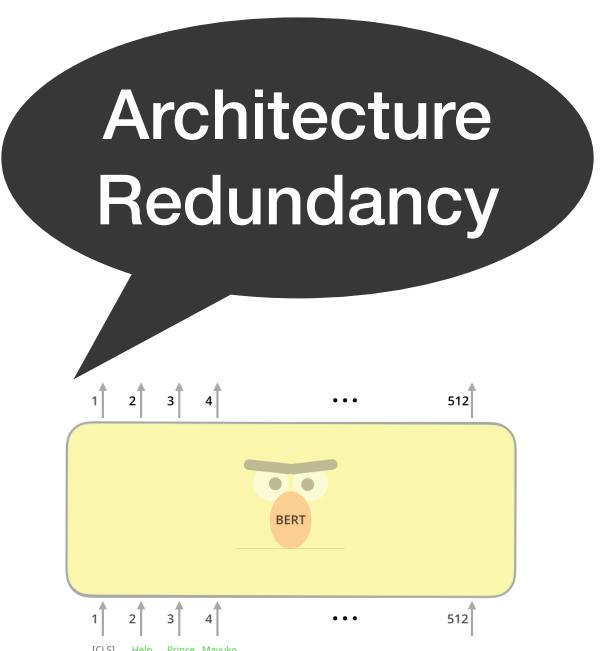
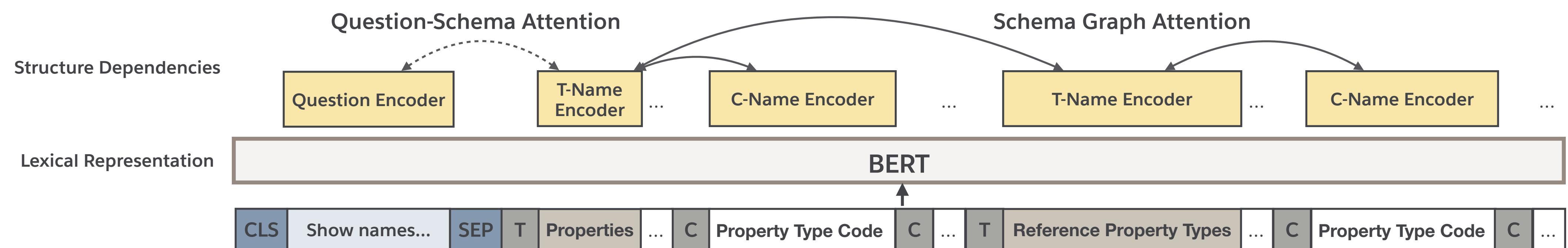
  

Reference Property Types					
Property type code	Property type description	...	...	...	...
Apartment	...	...	...	...	...
Field	...	...	...	...	...
House	...	...	...	...	...
Shop	...	...	...	...	...
Other	...	...	...	...	...

# Textual-Tabular Data Encoding



# *Cross-Component Attention*



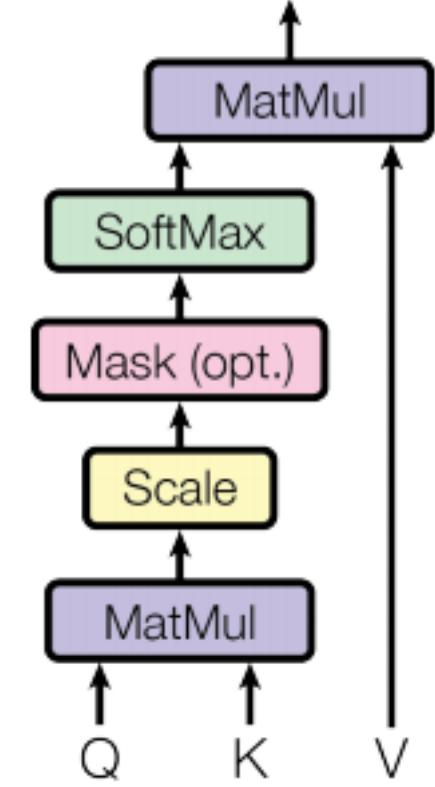
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Other	...	...	...	...	...

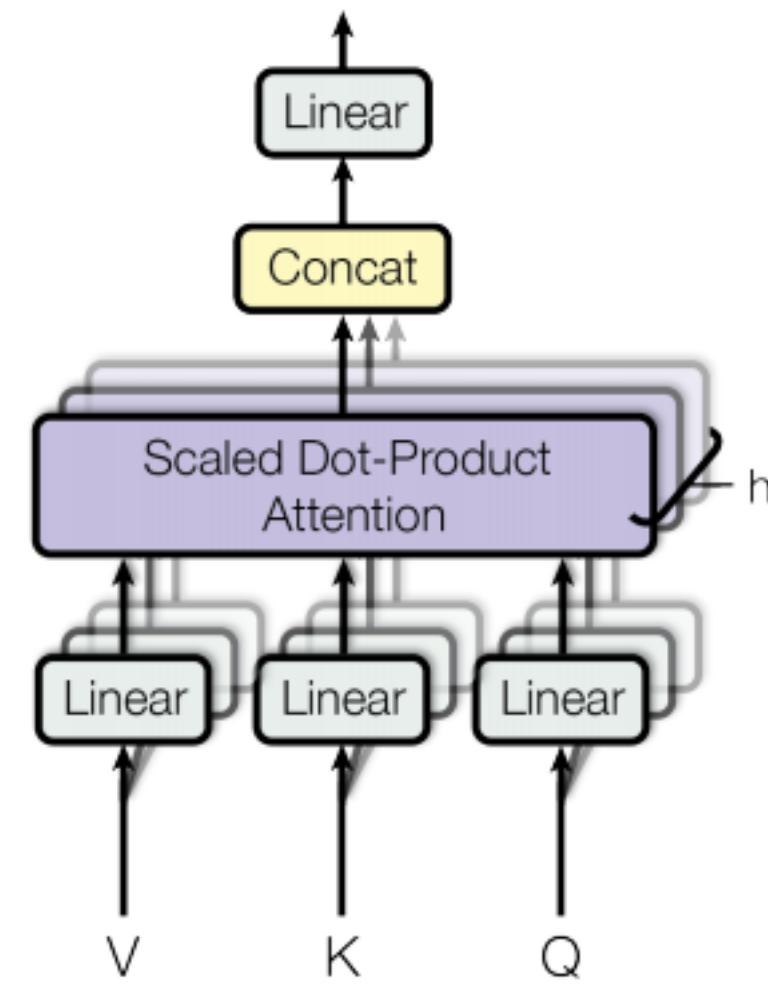
**Reference Property Types**

Property type code	Property type description
Apartment	...
Field	...
House	...
Shop	...
Other	...

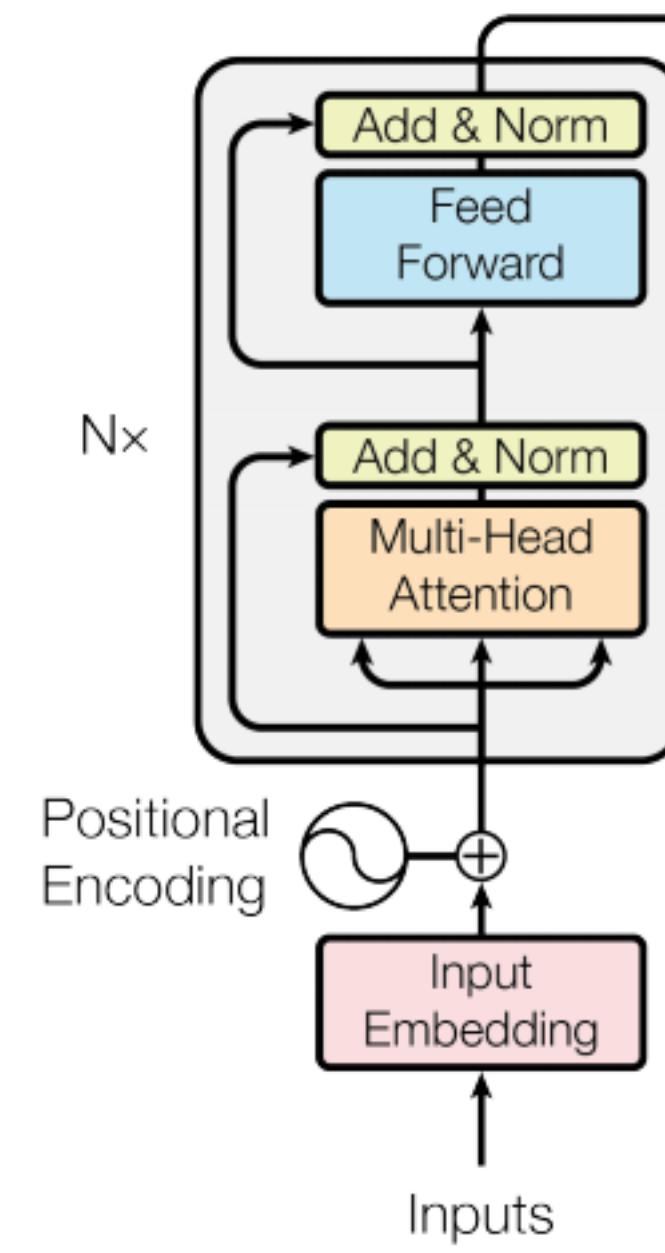
# Recap: Attention



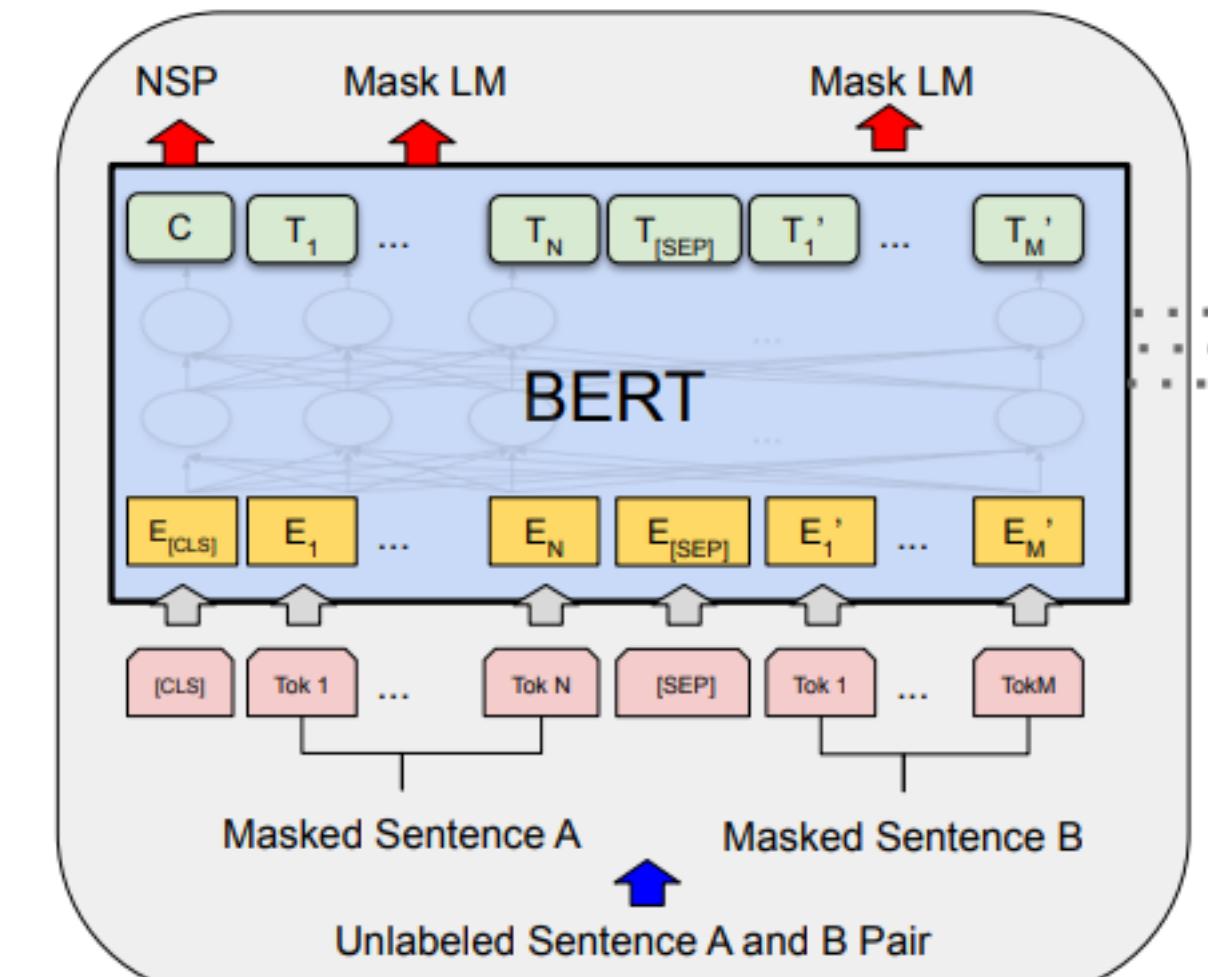
(A) Scaled Dot-Product Attention



(B) Multi-Head Attention



(C) Self-Attention Encoder Representations from Transformers



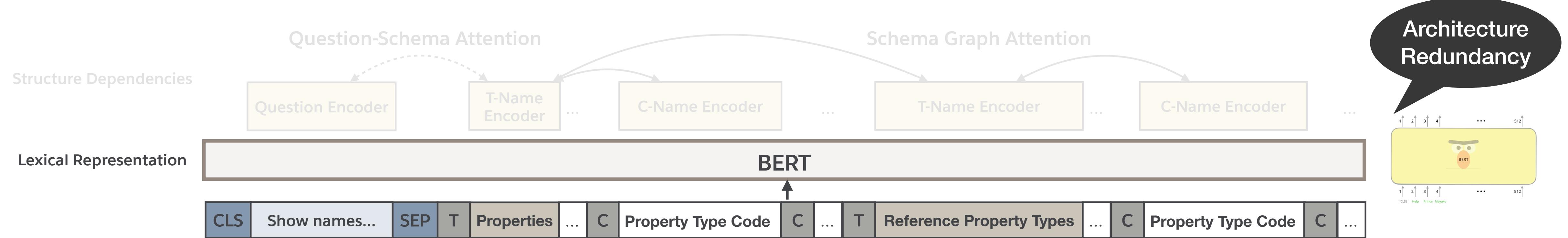
Pre-training

(D) Pre-trained Bidirectional Encoder Representations from Transformers (BERT)

Attention Is All You Need. Vaswani et. al. 2017.

BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding. Devlin et. al. 2018.

# Textual-Tabular Data Encoding



Show names of properties that are either houses or apartments

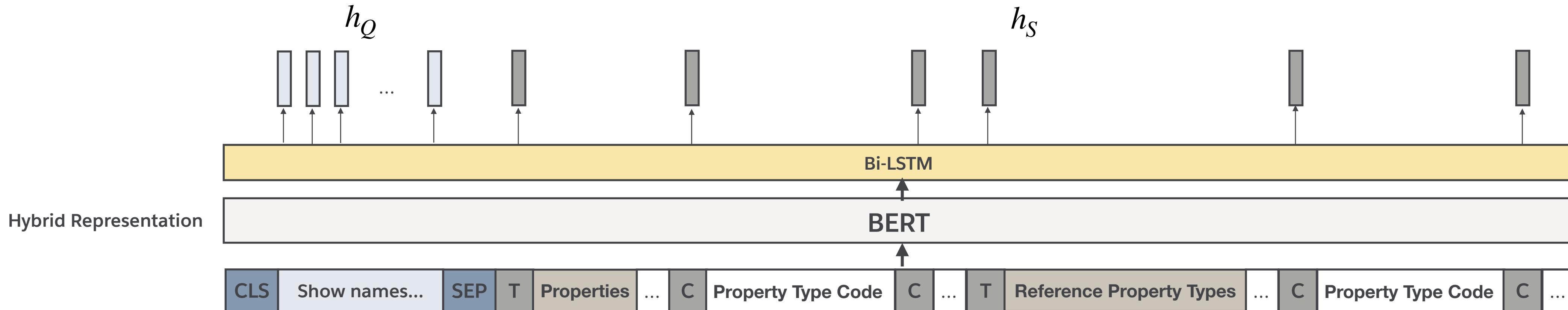
Idea: Encode question, DB schema and the cross-modal contextualization using pre-trained deep BERT.

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Other	...	...	...	...	...

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# Textual-Tabular Data Encoding



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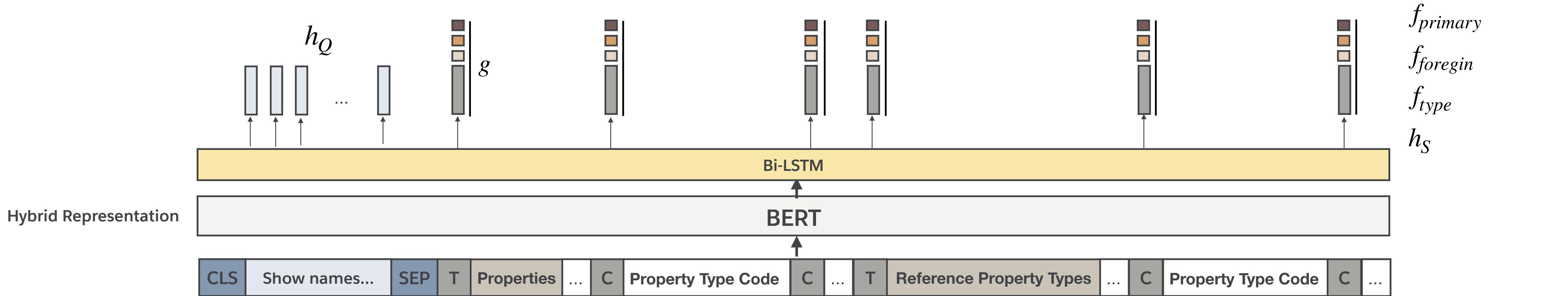
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Other	...	...	...	...	...

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# Textual-Tabular Data Encoding



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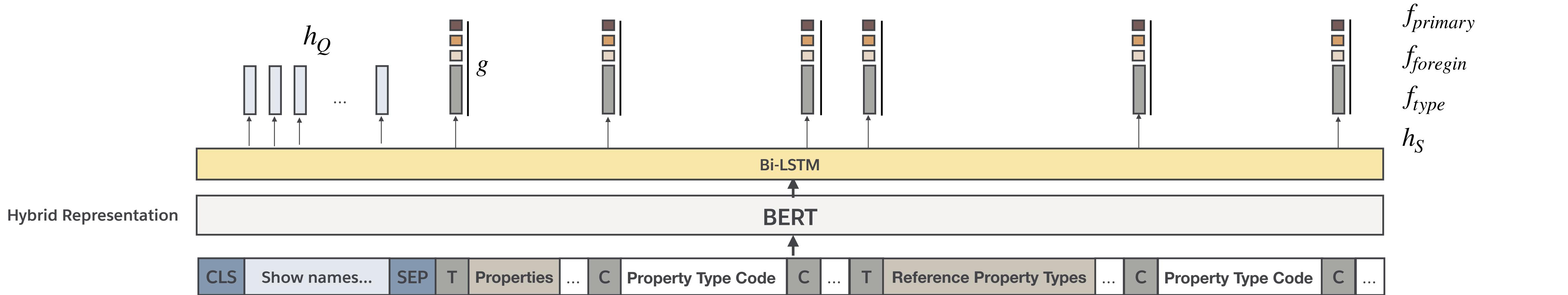
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Shop	...	...	...	...	...
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Reference Property Types					
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Field	...	...	...	...	...
House	...	...	...	...	...
Shop	...	...	...	...	...
Other	...	...	...	...	...

# Bridging



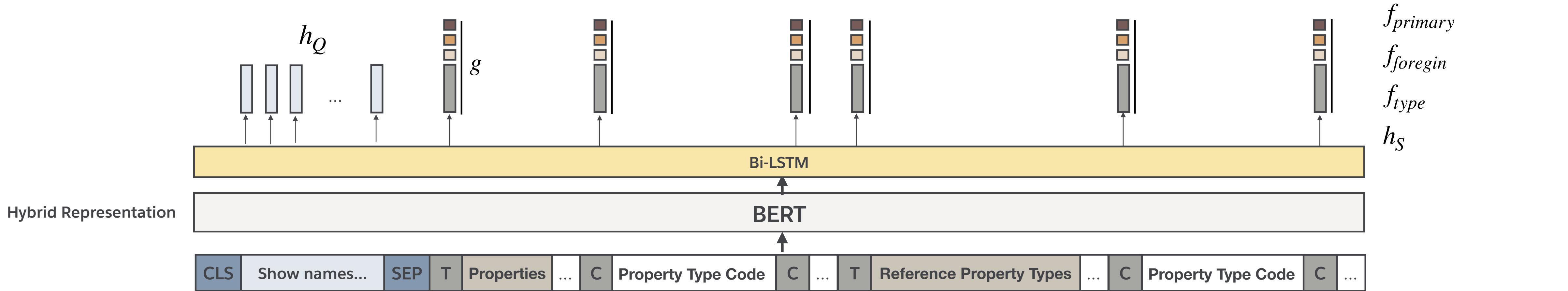
Show names of properties that are either houses or apartments

## Properties

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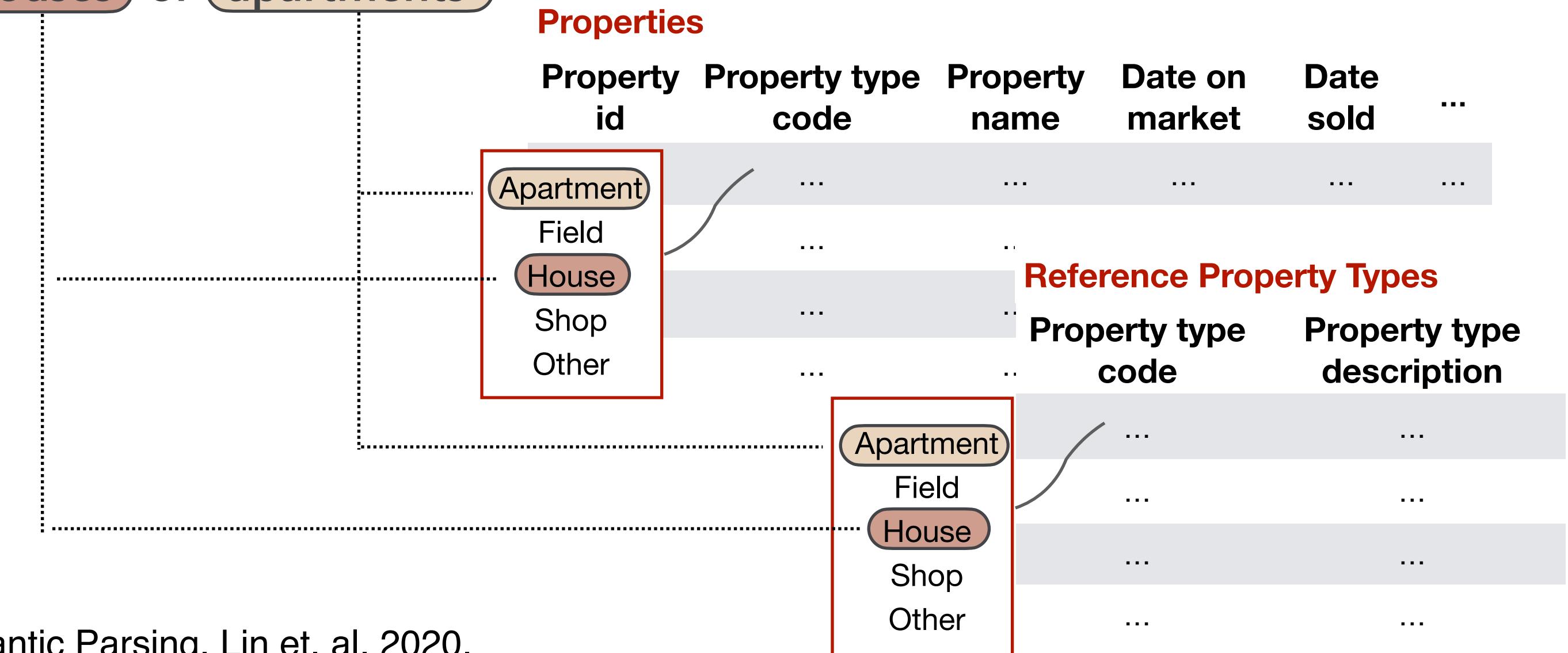
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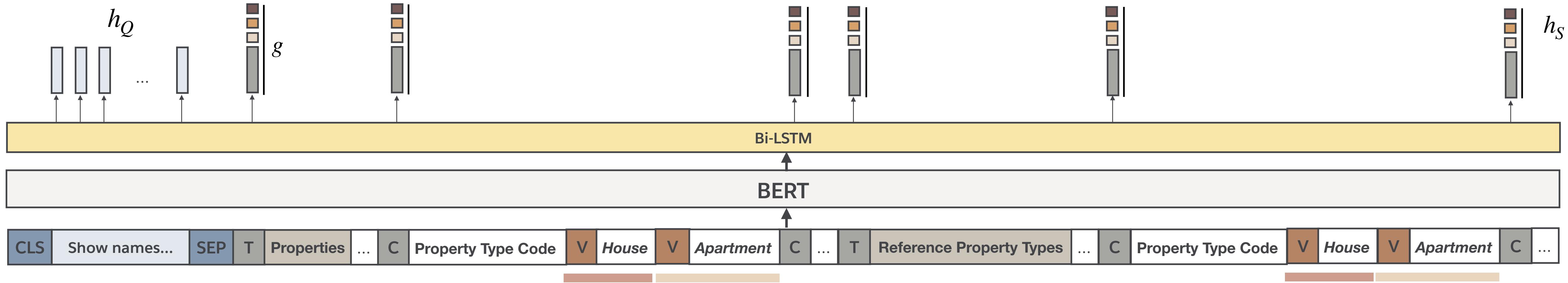


Show names of properties that are either **houses** or **apartments**

Fuzzy-string  
match



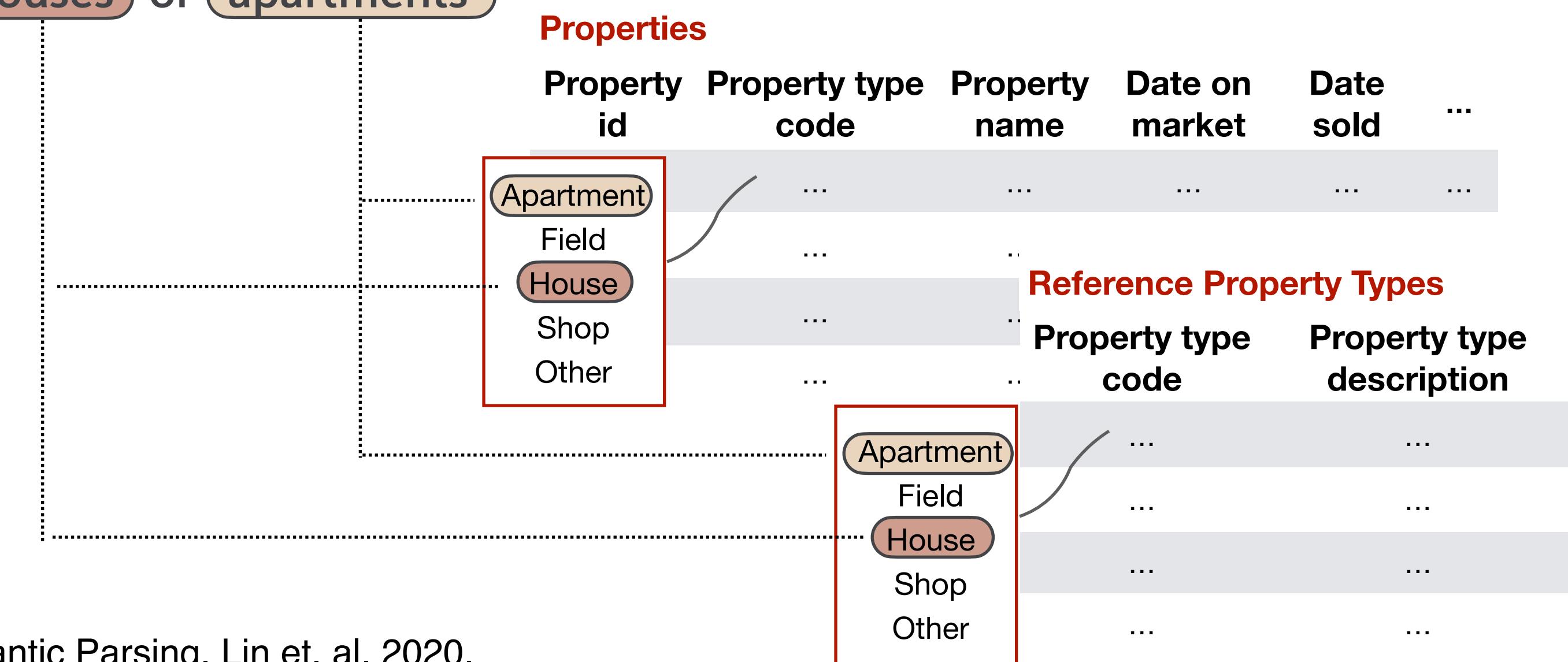
# Bridging



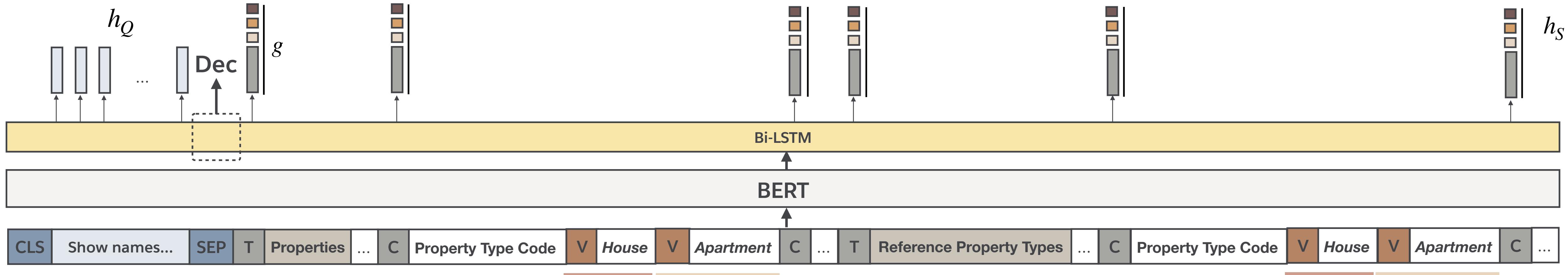
Show names of properties that are either houses or apartments

Fuzzy-string  
match

**Content-Aware Textual-Tabular Data Encoding:**  
Encode question, DB schema and related DB cells  
as a tagged sequence using BERT.

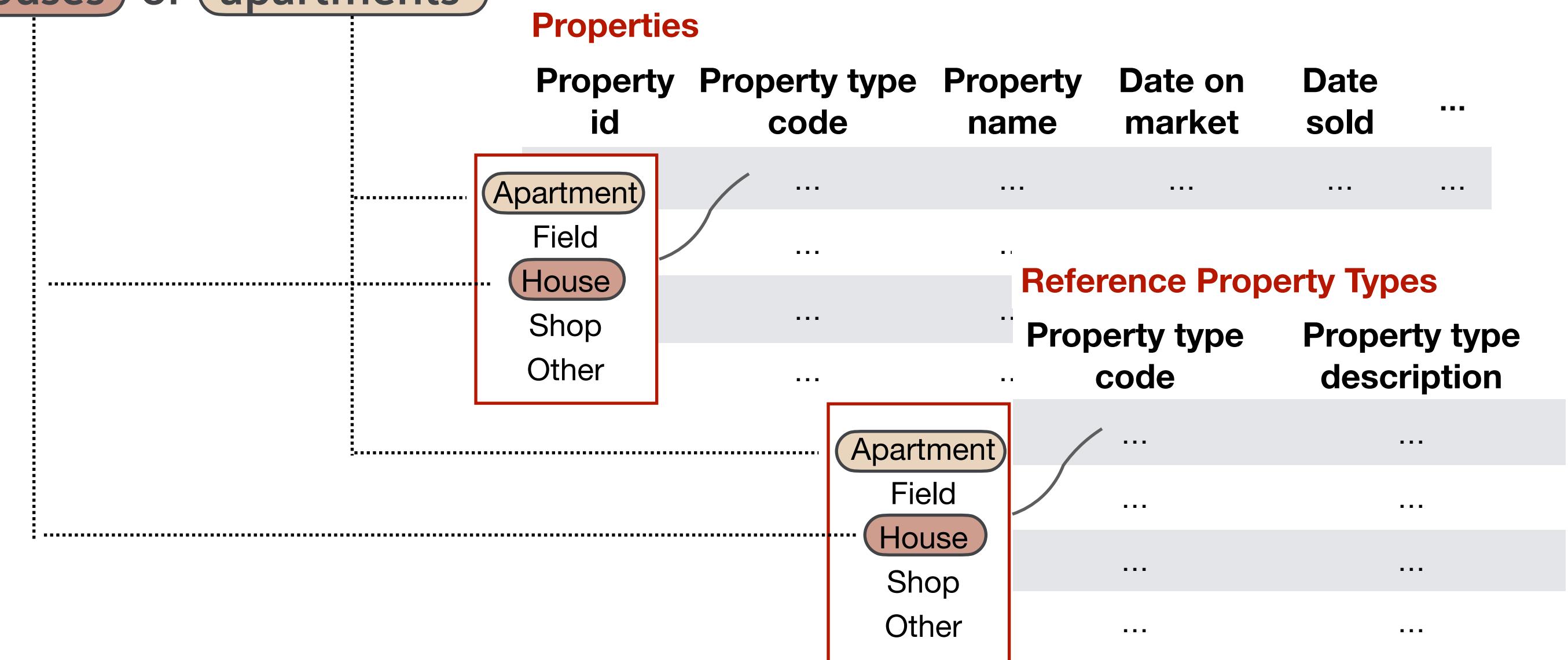


# Decoder

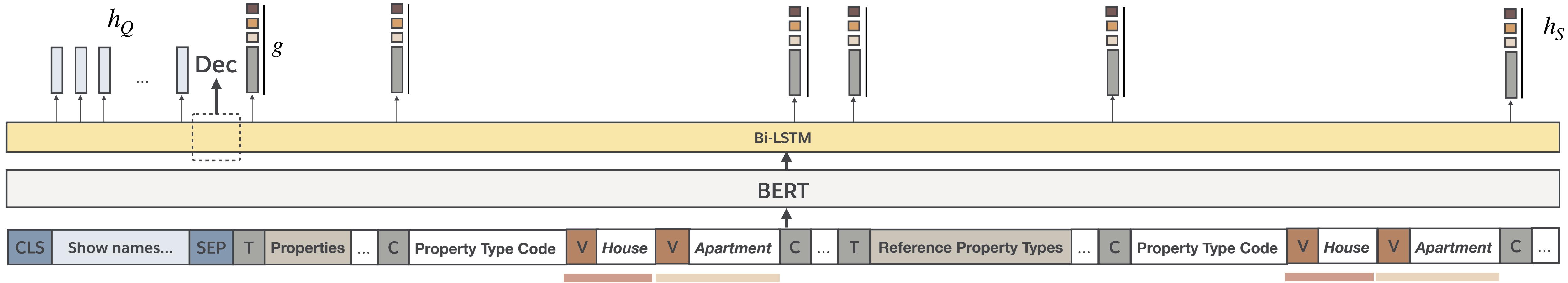


Show names of properties that are either houses or apartments

LSTM-based pointer-generator (See et al. 2017)

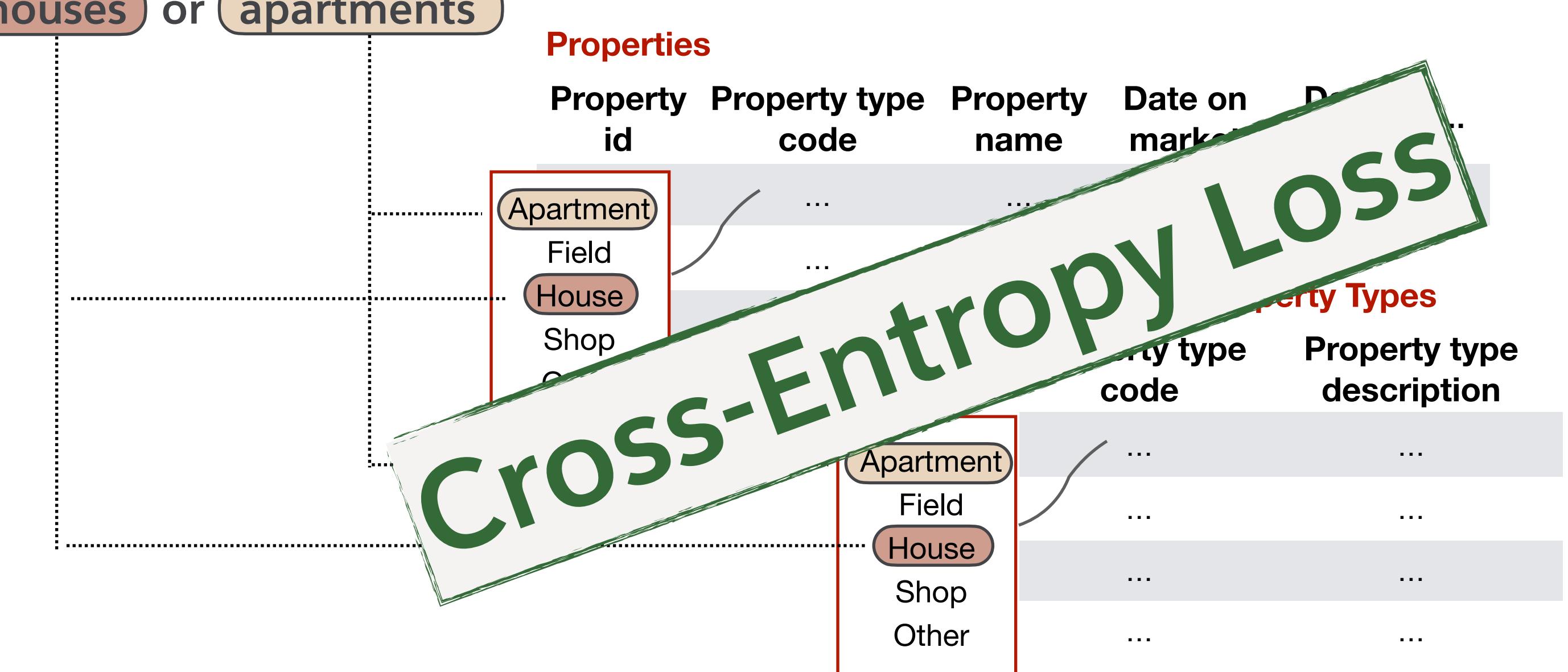


# Decoder



>Show names of properties that are either houses or apartments

SQL **SELECT** Property\_Name **FROM** Properties **WHERE**  
Property\_Type\_Code = "House" **UNION**  
**SELECT** Property\_Name **FROM** Properties **WHERE**  
Property\_Type\_Code = "Apartment"



# Schema-Consistency Guided Decoding



Effective heuristics for pruning the search space of a sequential pointer-generator decoder

- SQL syntax



# Schema-Consistency Guided Decoding

Effective heuristics for pruning the search space of a sequential pointer-generator decoder

- SQL syntax
- The FROM clauses set the scope of a SQL query and the table fields appeared in the rest of the clauses can only belong to the tables in FROM

```
SELECT T2.name FROM Instructor AS T1 JOIN Department AS T2 ON T1.Department_ID = T2.ID  
GROUP BY T1.Department_ID HAVING AVG(T1.Rating) > (SELECT AVG(Rating) FROM Instructor)
```

# Schema-Consistency Guided Decoding



Effective heuristics for pruning the search space of a sequential pointer-generator decoder

- SQL syntax
- The FROM clauses set the scope of a SQL query and the table fields appeared in the rest of the clauses can only belong to the tables in FROM

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SELECT T2.name FROM Instructor AS T1 JOIN Department AS T2 ON T1.Department_ID = T2.ID  
GROUP BY T1.Department_ID HAVING AVG(T1.Rating) > (SELECT AVG(Rating) FROM Instructor)
```

Rewrite a SQL query with FROM clauses in the front **execution order**

```
FROM Instructor AS T1 JOIN Department AS T2 ON T1.Department_ID = T2.ID SELECT T2.name  
GROUP BY T1.Department_ID HAVING AVG(T1.Rating) > (FROM Instructor SELECT AVG(Rating))
```

**Lemma:** Let  $Y_{\text{exec}}$  be a SQL query with clauses arranged in execution order, then any table field in  $Y_{\text{exec}}$  will appear after its corresponding table token.

# Schema-Consistency Guided Decoding



- Generate SQL queries in execution order and unmask DB fields dynamically



# Schema-Consistency Guided Decoding



- Generate SQL queries in execution order and unmask DB fields dynamically



# FROM

# Schema-Consistency Guided Decoding

- Generate SQL queries in execution order and unmask DB fields dynamically



FROM Instructor

# Schema-Consistency Guided Decoding

- Generate SQL queries in execution order and unmask DB fields dynamically



FROM Instructor JOIN

# Schema-Consistency Guided Decoding

- Generate SQL queries in execution order and unmask DB fields dynamically



**FROM Instructor JOIN Department**

# Schema-Consistency Guided Decoding

- Generate SQL queries in execution order and unmask DB fields dynamically



```
FROM Instructor JOIN Department ON Instructor.Department_ID = Department.ID SELECT  
Department.name GROUP BY Instructor.Department_ID HAVING_AVG(Instruction.Rating) >  
(FROM Instructor SELECT AVG(Instruction.Rating))
```

# Schema-Consistency Guided Decoding

- Generate SQL queries in execution order and unmask DB fields dynamically



```
FROM Instructor JOIN Department ON Instructor.Department_ID = Department.ID SELECT
Department.name GROUP BY Instructor.Department_ID HAVING_AVG(Instruction.Rating) >
(FROM Instructor SELECT AVG(Instruction.Rating))
```

- ✓ Vectorizable
- ✓ Applied during inference
- ✓ Schema consistency not guaranteed, used in combination with post-decoding checks
- ✓ Not limited to sequence decoders

# Dataset

**Spider** (Yu et al. 2018)

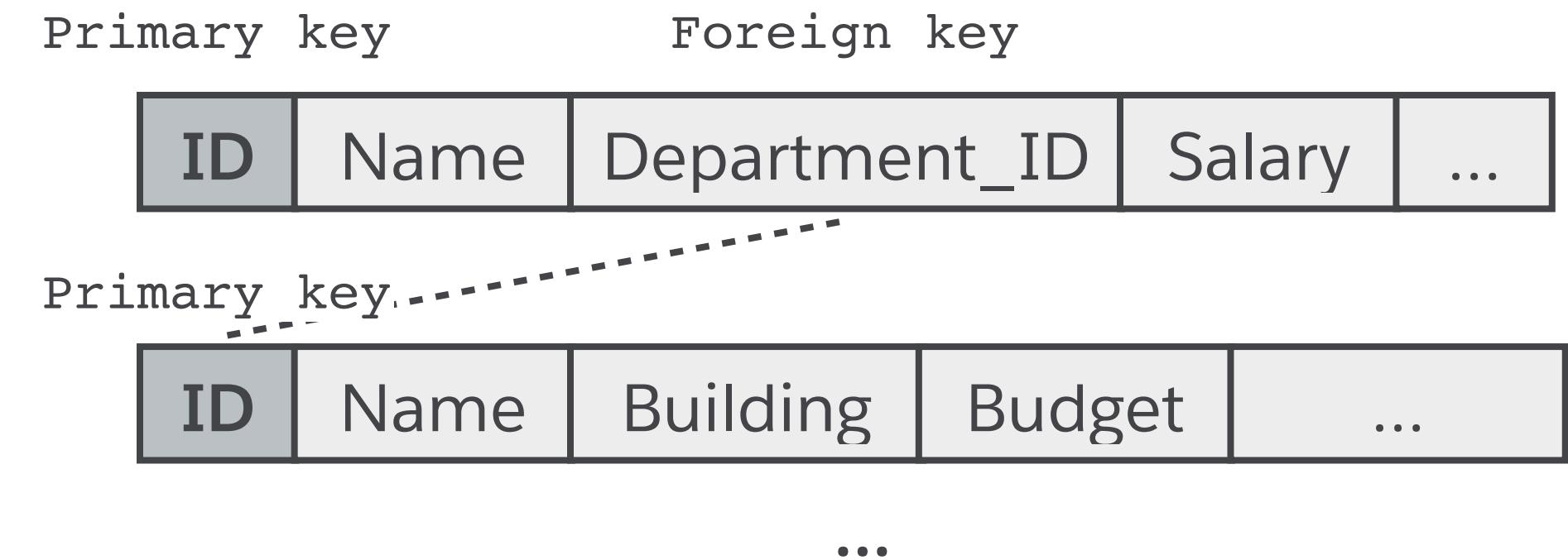
**Expert-annotated, cross-domain, complex**  
text-to-SQL dataset

**Assumption:**

- For each

	Train	Dev	Test
# DBs	146	20	40
# Examples	8,659	1,034	2,147

## Database



**Question** What are the name and budget of the departments with average instructor salary above the overall average?

## SQL

```

SELECT T2.name, T2.budget
FROM Instructor AS T1 JOIN Department AS T2 ON
T1.Department_ID = T2.ID
GROUP BY T1.Department_ID
HAVING AVG(T1.salary) >
(SELECT AVG(Salary) FROM Instructor)
    
```

# Experiments

## Inference steps

- Compute fuzzy string match between the input question and the picklists of each DB field to identify value mentions
- For each DB field, keep top-K matches and use them to augment the DB schema representation
- Run semantic parser

## Evaluation

- Exact set match
  - Logical form match ignoring values and SQL component order invariance
- Execution accuracy
  - Check if the execution results of the predicted SQL query matches the executions results of the ground-truth SQL query

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 Better evaluation for text-to-SQL is still an open research problem

# Ablation Study

Model	Exact Set Match (%)	
	Mean	Max
BRIDGE ( $k = 2$ )	$65.8 \pm 0.8$	66.9
- SC-guided decoding	$65.4 \pm 0.7$	66.3 (-0.6)
- static SQL check	$64.8 \pm 0.9$	65.9 (-1.0)
- execution order	$64.2 \pm 0.1$	64.3 (-2.6)
- - - - -	- - - - -	- - - - -
- table shuffle & drop	$63.9 \pm 0.3$	64.3 (-2.6)
- anchor text	$63.3 \pm 0.6$	63.9 (-3.0)
- BERT	$17.7 \pm 0.7$	18.3 (-48.6)

Model	Easy	Medium	Hard	Ex-Hard	All
count	250	440	174	170	1034
BRIDGE ( $k = 2$ )	<b>88.7</b>	<b>68.4</b>	<b>54</b>	<b>44</b>	<b>66.9</b>
-value augmentation	85.5	66.6	49.4	39.8	63.9

# Leaderboard Performance

Model	Dev	Test
Global-GNN (Bogin et al., 2019b) ♠	52.7	47.4
EditSQL + BERT (Zhang et al., 2019)	57.6	53.4
GNN + Bertrand-DR (Kelkar et al., 2020)	57.9	54.6
IRNet + BERT (Guo et al., 2019)	61.9	54.7
RAT-SQL v2 ♠ (Wang et al., 2019)	62.7	57.2
RYANSQl + BERT <sub>L</sub> (Choi et al., 2020)	66.6	58.2
RYANSQl v2 + BERT <sub>L</sub> ◊	70.6	60.6
RAT-SQL v3 + BERT <sub>L</sub> ♠ (Wang et al., 2019)	69.7	65.6
BRIDGE ( $k = 1$ ) (ours) ♠ ♥	65.3	–
BRIDGE ( $k = 2$ ) (ours) ♠ ♥	<b>65.5</b>	<b>59.2</b>

(Spider leaderboard as of June 1st, 2020)

# Leaderboard Performance



Model	Dev	Test
Global-GNN (Bogin et al., 2019b) ♠	52.7	47.4
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(Spider leaderboard as of June 1st, 2020)

New results as of Nov.  
20, 2020

With BERT-large: 70.0  
(dev), 65.0 (test)

Our model synthesizes  
complete SQL queries

# Error Analysis

## Qualitative observations



*What are the names and release years for all the songs of the youngest singer?* **concert\_singer**

- ✗ SELECT Song\_Name, Age FROM singer ORDER BY Age LIMIT 1
- ✓ SELECT song\_name, song\_release\_year FROM singer ORDER BY age LIMIT 1

**Robustness issue**



*What are the full names of all left handed players, in order of birth date?* **WTA\_1**

- ✗ SELECT first\_name, last\_name FROM players ORDER BY birth\_date
- ✓ SELECT first\_name, last\_name FROM players WHERE hand = 'L' ORDER BY birth\_date

**Rare relation & value surface form**



*What are the names of students who have 2 or more likes?* **network\_1**

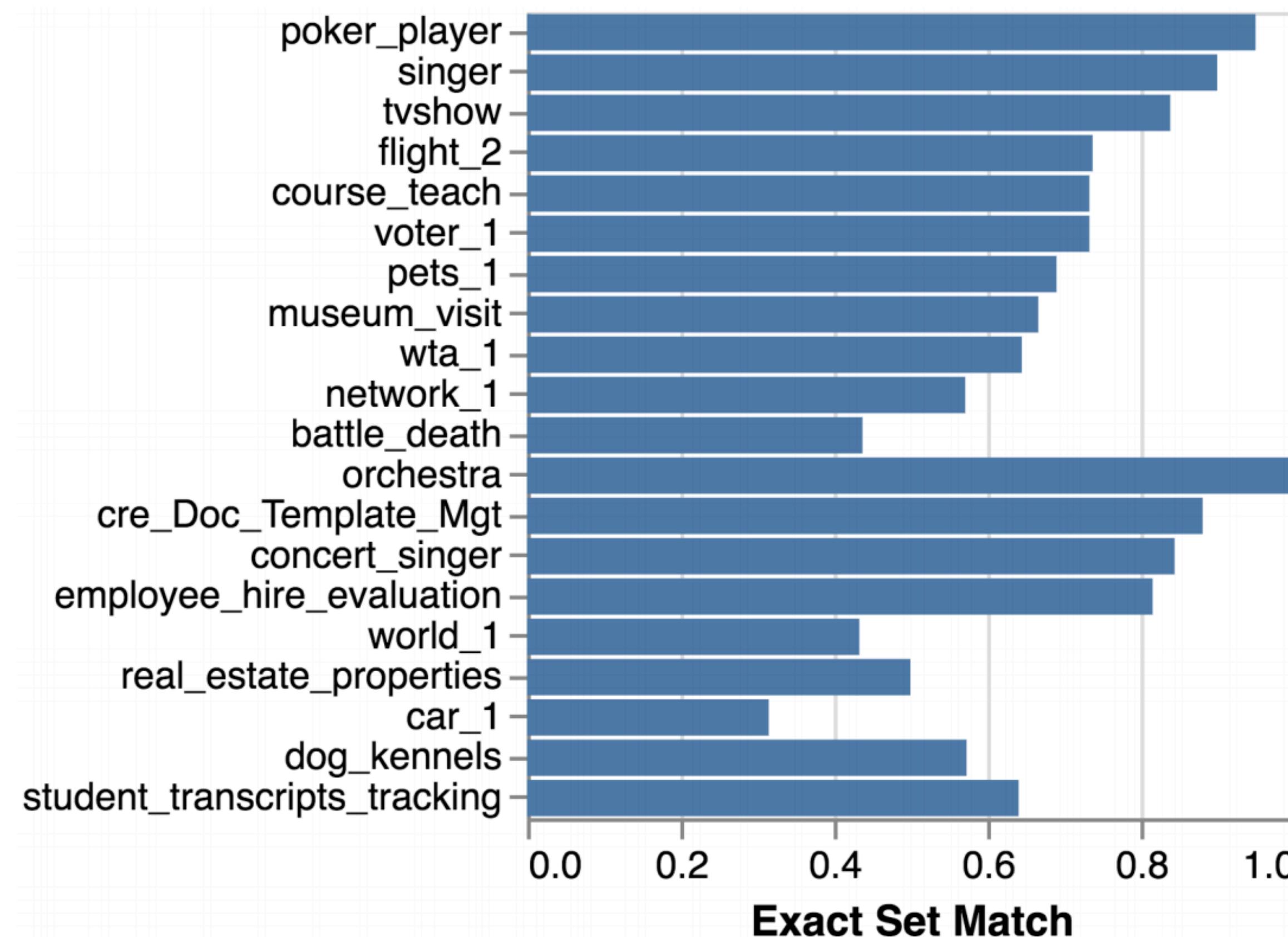
- ✗ SELECT Likes.student\_id FROM Likes JOIN Friend ON Likes.student\_id = Friend.student\_id  
GROUP BY Likes.student\_id HAVING COUNT(\*) >= 2
- ✓ SELECT Highschooler.name FROM Likes JOIN Highschooler ON Likes.student\_id =  
Highschooler.id GROUP BY Likes.student\_id HAVING count(\*) >= 2

**Commonsense**

“Friend” table stores students who has a friend, not all students

# Performance by DB

Exact match accuracy on each DB in the Spider dev set. The DBs are sorted by size (smallest -> largest) from top to bottom.



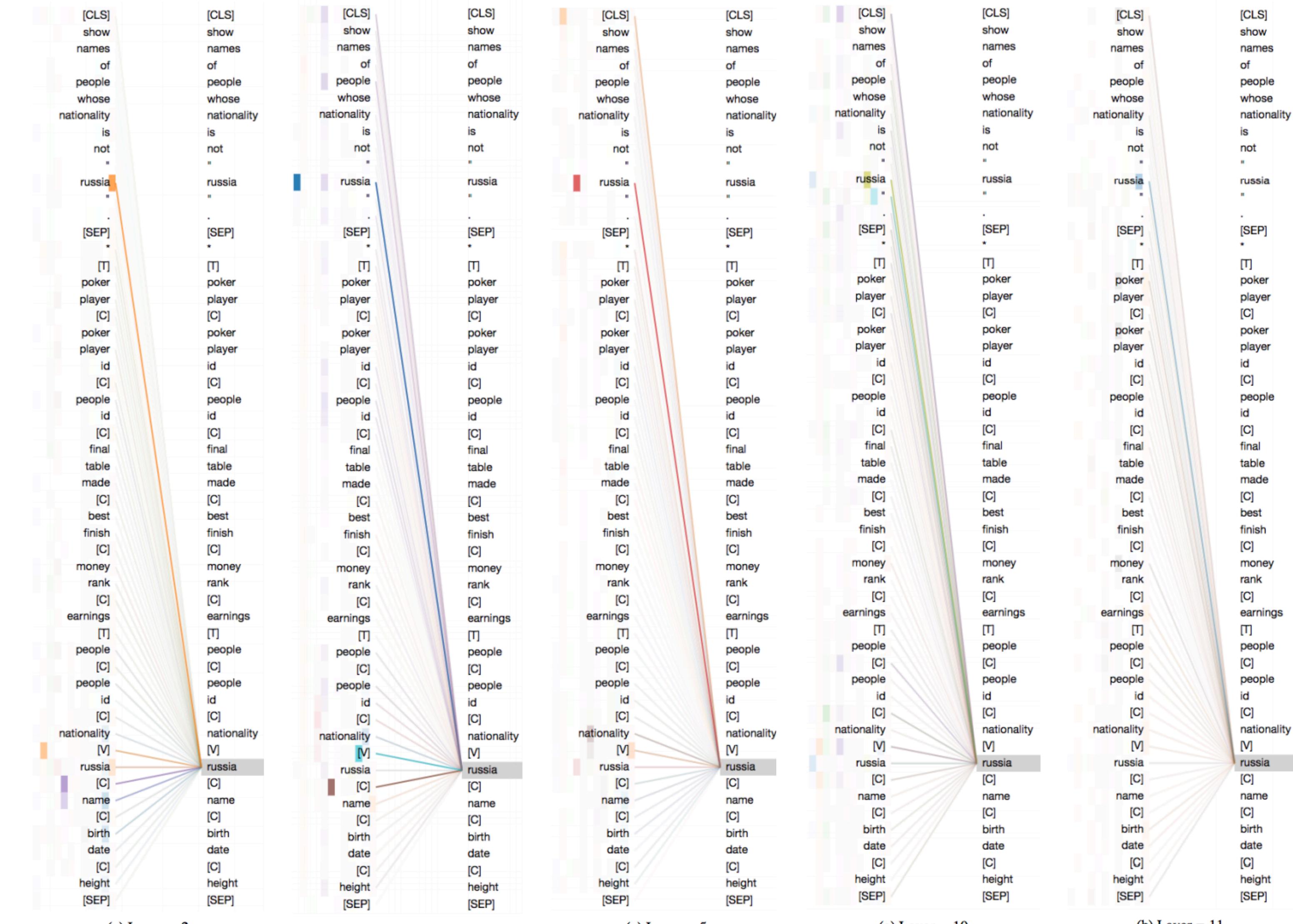
Better characterization of “similar” examples could help transfer learning

# Fine-tuned BERT Attention Visualization



BertViz (Vig 2019)

Bridging

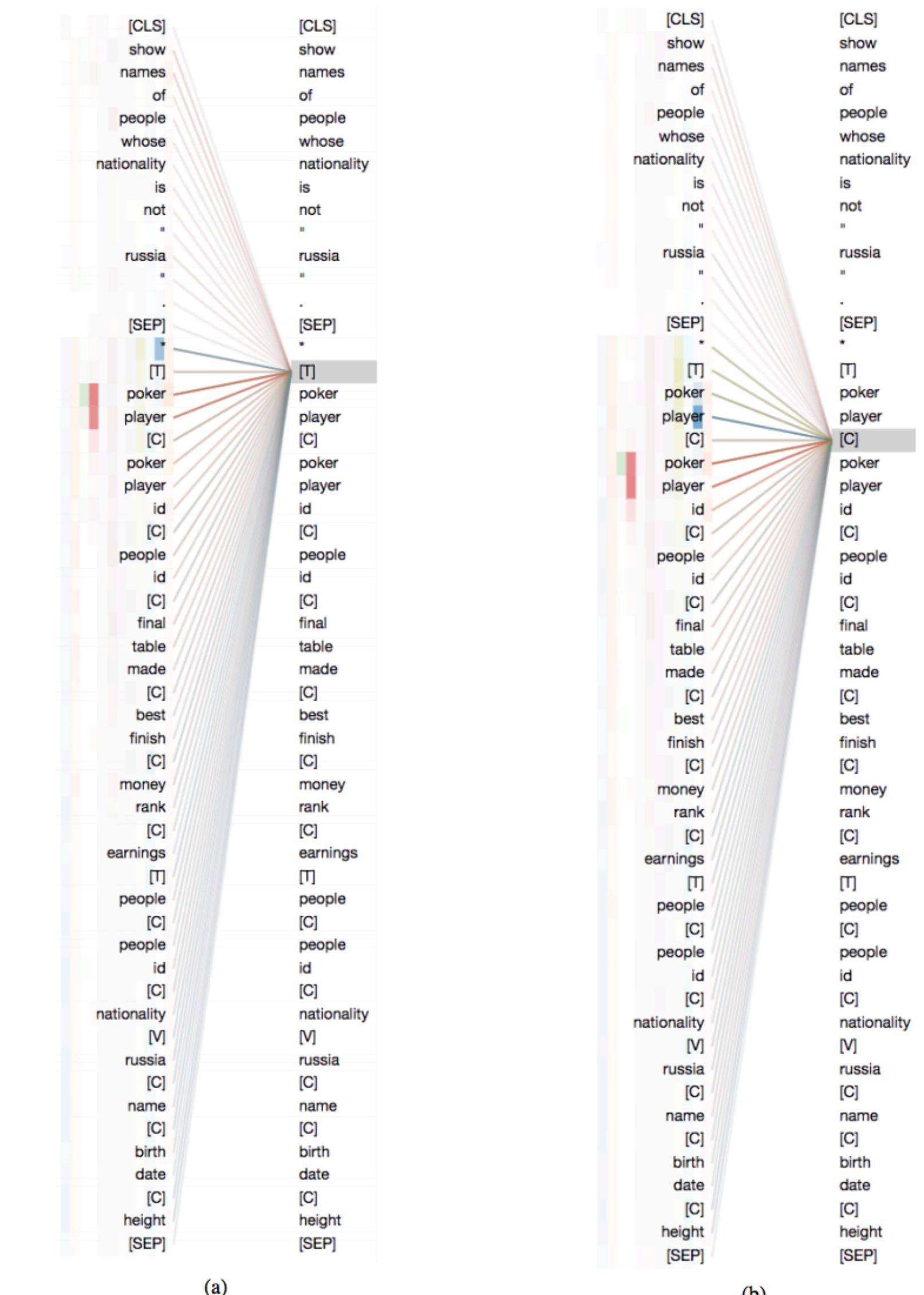


# Fine-tuned BERT Attention Visualization



## BertViz (Vig 2019)

- Pooling effect in special tokens [T] and [C], layer 1



# Live Demo <https://naturalsql.com>



Photon Select Database concert\_singer Upload Database About Chat started by Photon · 2:24 pm

ERD Content

**concert**

concert_ID	concert_Name	Theme	Stadium_ID	Year
1	Auditions	Free choice	1	2014
2	Super bootcamp	Free choice 2	2	2014
3	Home Visits	Bleeding Love	2	2015
4	Week 1	Wide Awake	10	2014
5	Week 1	Happy Tonight	9	2015

<< < 1 2 > >>

**stadium**

Stadium_ID	Location	Name	Capacity	Highest	Lowest	Average
1	Raith Rovers	Stark's Park	10104	4812	1294	2106
2	Ayr United	Somerset Park	11998	2363	1057	1477
3	East Fife	Bayview Stadium	2000	1980	533	864
4	Queen's Park	Hampden Park	52500	1763	466	730
5	Stirling Albion	Forthbank Stadium	3808	1125	404	642

<< < 1 2 > >>

**singer**

Singer_ID	Name	Country	Song_Name	Song_release_year	Age	Is_male
					---	

Query Result

Hello! Please input your question in NL to query the DB

Type Here ➤

💡 Can be turned into a data collection tool

# Takeaway

- Contextualizing the input utterance, DB schema structure and DB content is critical for text-to-SQL semantic parsing.

# Takeaway

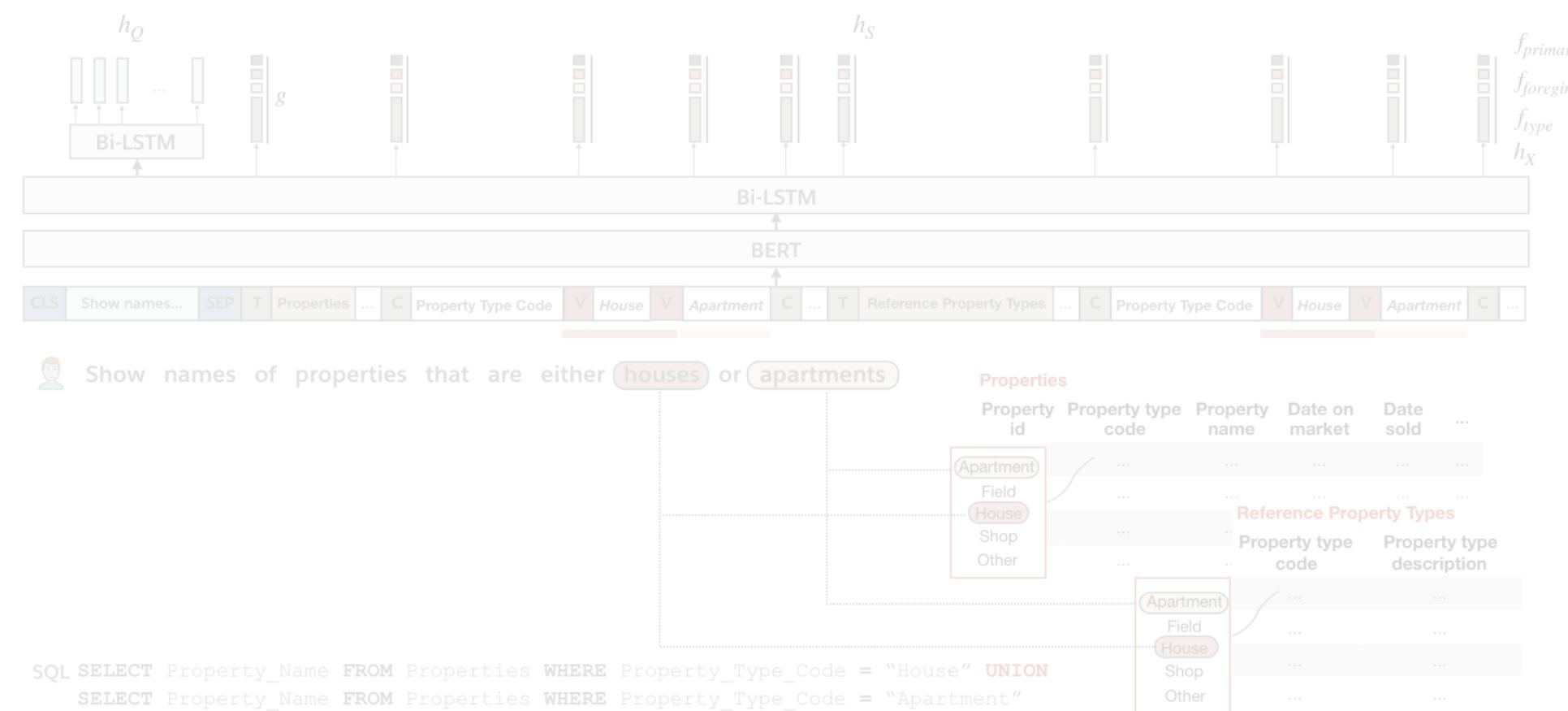
- Contextualizing the input utterance, DB schema structure and DB content is critical for text-to-SQL semantic parsing.
- By stretching the usage of special tokens in pre-trained language models we can effectively model such contextualization using multi-head self-attention over tagged sequences.

# Takeaway

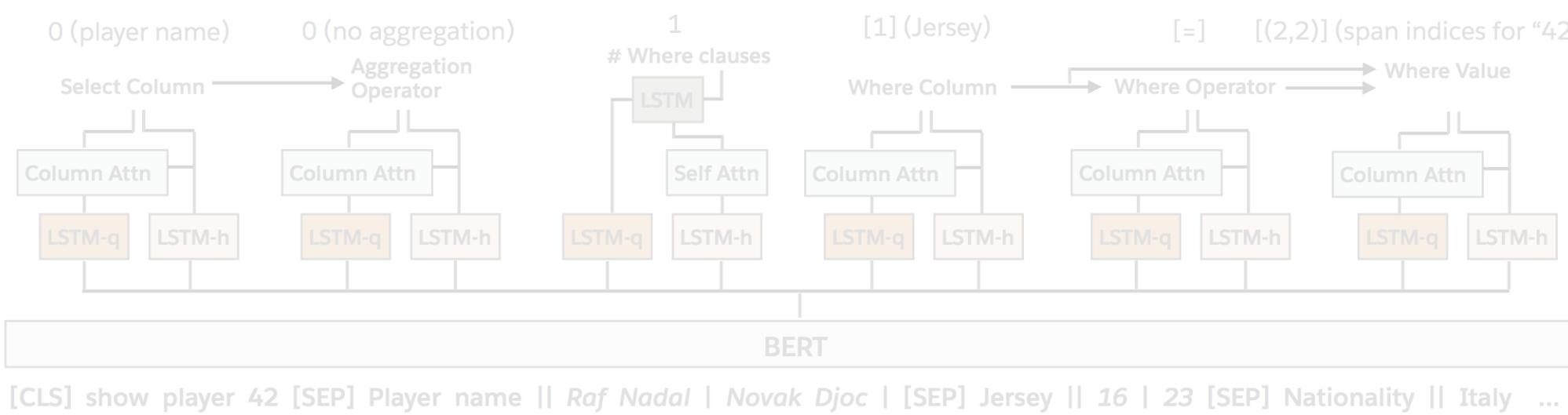
- Contextualizing the input utterance, DB schema structure and DB content is critical for text-to-SQL semantic parsing.
- By stretching the usage of special tokens in pre-trained language models we can effectively model such contextualization using multi-head self-attention over tagged sequences.
- Explicitly modeling the “structures” of data could still offer benefit and is worth exploring.
- Trustworthiness, interpretation and robustness are all critical for practical text-to-SQL semantic parser deployment.

## I. Content-Aware Textual-Tabular Encodings for Table Semantic Parsing (TSP)

Bridging Textual and Tabular Data for Cross-Domain Text-to-SQL Semantic Parsing. Lin et al. 2020.



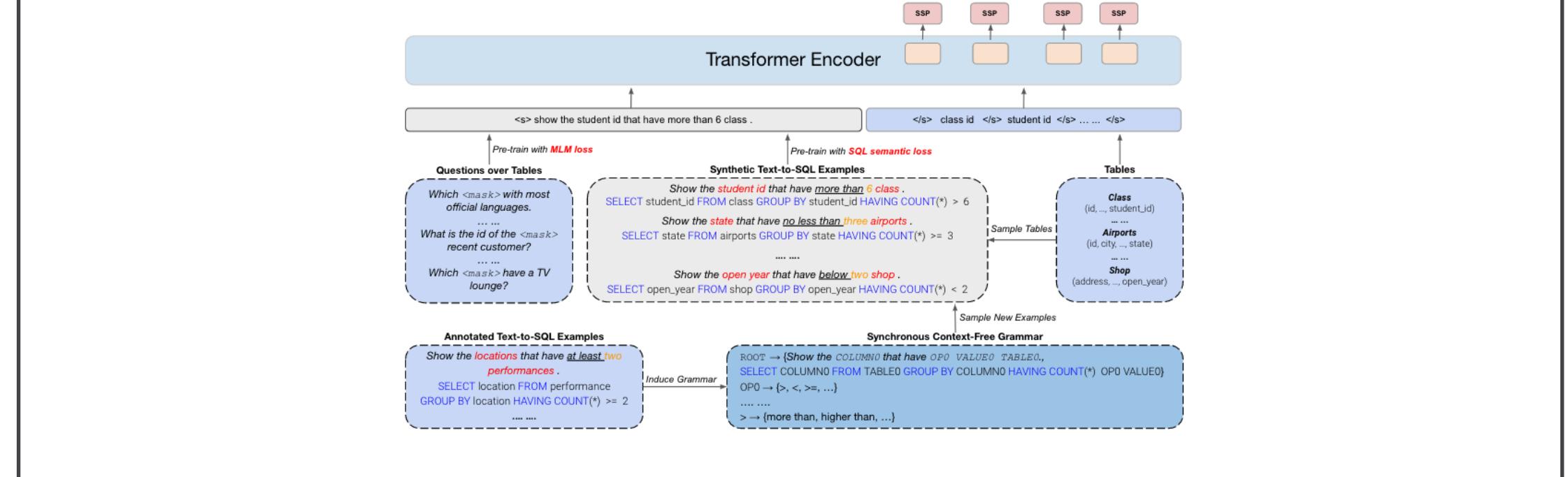
ColloQL: Robust Cross-Domain Text-to-SQL over Search Queries. Radhakrishnan et al. 2020.



[CLS] show player 42 [SEP] Player name || Raf Nadal | Novak Djoc | [SEP] Jersey || 16 | 23 [SEP] Nationality || Italy ...

## II. Pre-training Textual-Tabular Representations with Semantic Scaffolds

GraPPa: Grammar-Augmented Pre-training for Table Semantic Parsing. Yu et al. 2020.

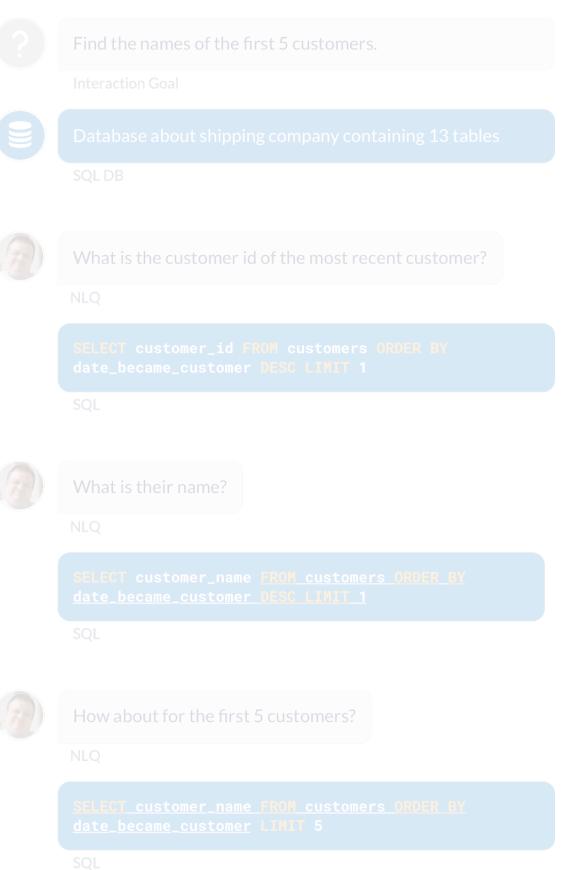


## III. Conversational Table Semantic Parsing

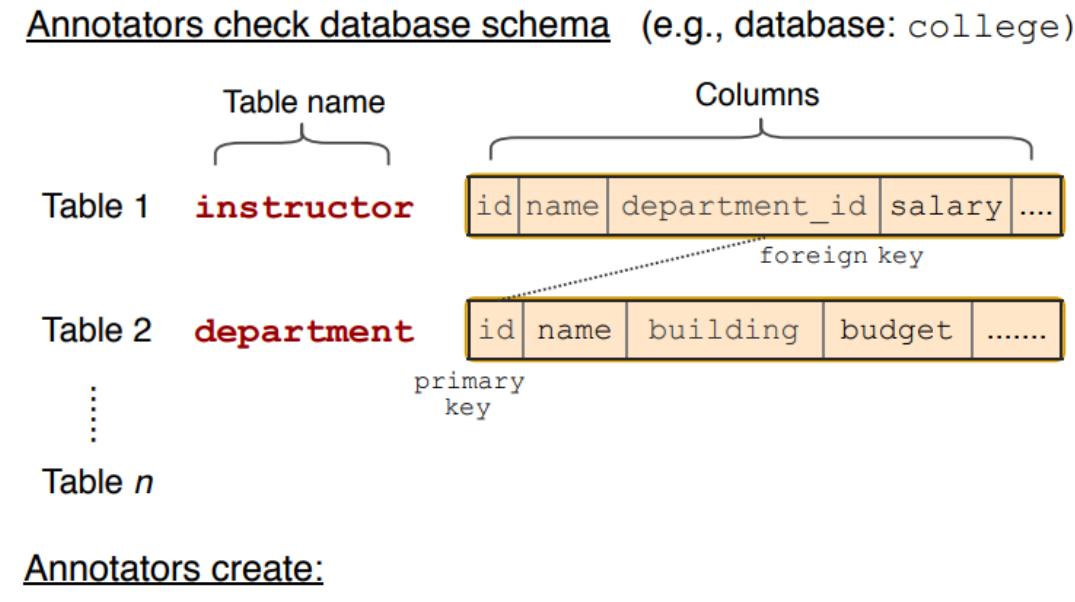
SParC: Cross-Domain Semantic Parsing in Context. Yu et al. 2019.

Editing-Based SQL Query Generation for Cross-Domain Context-Dependent Questions. Zhang et al. 2019.

CoSQL: A Conversational Text-to-SQL Challenge Towards Cross-Domain Natural Language Interfaces to Databases. Yu et al. 2019.



# Language and Table Understanding



Annotators create:

**Complex question** What are the name and budget of the departments with average instructor salary greater than the overall average?

```
SELECT T2.name, T2.budget
FROM instructor as T1 JOIN department as
T2 ON T1.department_id = T2.id
GROUP BY T1.department_id
HAVING avg(T1.salary) >
(SELECT avg(salary) FROM instructor)
```

Semantic Parsing (Yu et al. 2020)

Year	City	Country	Nations
1896	Athens	Greece	14
1900	Paris	France	24
1904	St. Louis	USA	12
...	...	...	...
2004	Athens	Greece	201
2008	Beijing	China	204
2012	London	UK	204

- $x_1$ : "Greece held its last Summer Olympics in which year?"  
 $y_1$ : {2004}
- $x_2$ : "In which city's the first time with at least 20 nations?"  
 $y_2$ : {Paris}
- $x_3$ : "Which years have the most participating countries?"  
 $y_3$ : {2008, 2012}
- $x_4$ : "How many events were in Athens, Greece?"  
 $y_4$ : {2}
- $x_5$ : "How many more participants were there in 1900 than in the first year?"  
 $y_5$ : {10}

Question Answering (Pasupat and Liang 2015)

District	Incumbent	Party	Result	Candidates
California 3	John E. Moss	democratic	re-elected	John E. Moss (d) 69.9% John Rakus (r) 30.1%
California 5	Phillip Burton	democratic	re-elected	Phillip Burton (d) 81.8% Edlo E. Powell (r) 18.2%
California 8	George Paul Miller	democratic	lost renomination democratic hold	Pete Stark (d) 52.9% Lew M. Warden , Jr. (r) 47.1%
California 14	Jerome R. Waldie	republican	re-elected	Jerome R. Waldie (d) 77.6% Floyd E. Sims (r) 22.4%
California 15	John J. Mcfall	republican	re-elected	John J. Mcfall (d) unopposed

Entailed Statement	Refuted Statement
<ol style="list-style-type: none"> <li>1. John E. Moss and Phillip Burton are both re-elected in the house of representative election.</li> <li>2. John J. Mcfall failed to be re-elected though being unopposed.</li> <li>3. There are three different incumbents from democratic.</li> </ol>	<ol style="list-style-type: none"> <li>1. John E. Moss and George Paul Miller are both re-elected in the house of representative election.</li> <li>2. John J. Mcfall failed to be re-elected though being unopposed.</li> <li>3. There are five candidates in total, two of them are democrats and three of them are republicans.</li> </ol>

Fact Verification (Chen et al. 2020)

**Table Title:** Gabriele Becker  
**Section Title:** International Competitions  
**Table Description:** None

Year	Competition	Venue	Position	Event	Notes
<b>Representing Germany</b>					
1992	World Junior Championships	Seoul, South Korea	10th (semis)	100 m	11.83
1993	European Junior Championships	San Sebastián, Spain	7th	100 m	11.74
			3rd	4x100 m relay	44.60
1994	World Junior Championships	Lisbon, Portugal	12th (semis)	100 m	11.66 (wind: +1.3 m/s)
			2nd	4x100 m relay	44.78
1995	World Championships	Gothenburg, Sweden	7th (q-finals)	100 m	11.54
			3rd	4x100 m relay	43.01

**Original Text:** After winning the German under-23 100 m title, she was selected to run at the 1995 World Championships in Athletics both individually and in the relay.

**Text after Deletion:** she at the 1995 World Championships in both individually and in the relay.

**Text After Decontextualization:** Gabriele Becker competed at the 1995 World Championships in both individually and in the relay.

**Final Text:** Gabriele Becker competed at the 1995 World Championships both individually and in the relay.

Table Summerization (Parikh et al. 2020)

There is growing need for table understanding in the field, often in the context of natural language...

# Language and Table Understanding

Annotators check database schema (e.g., database: college)

Table 1 **instructor**

Year	City	Country	Nations
1896	Athens	Greece	14
1900	Paris	France	24
1904	St. Louis	USA	12
...	...	...	...
2004	Athens	Greece	201
2008	Beijing	China	204
2012	London	UK	204

Table 2 **department**

id	name	building	budget	.....
----	------	----------	--------	-------

Table n

Annotators create:

**Complex question** What are the name and budget of the departments with average instructor salary greater than the overall average?

**Complex SQL**

```
SELECT T2.name, T2.budget
FROM instructor as T1 JOIN department as T2
ON T1.department_id = T2.id
GROUP BY T1.department_id
HAVING avg(T1.salary) >
    (SELECT avg(salary) FROM instructor)
```

Semantic Parsing (Yu et al. 2020)

Question Answering (Pasupat and Liang 2015)

We pre-train joint representation for text and tables with potential benefit across tasks, focusing on table semantic parsing and question answering tasks.

District	Incumbent	Party	Result	Candidates
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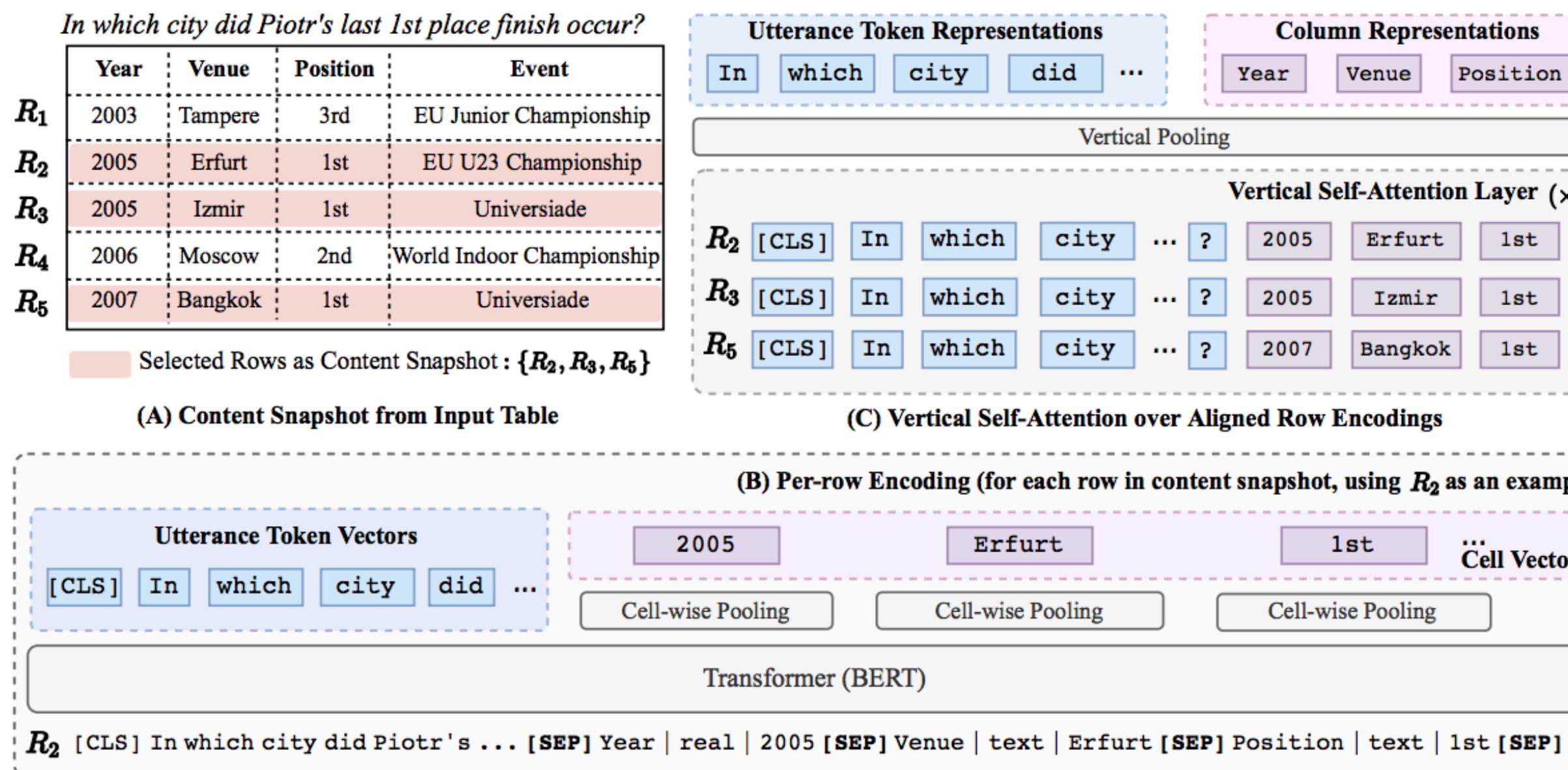
Final Text: Gabriele Becker competed at the 1995 World Championships both individually and in the relay.

## Table Summerization (Parikh et al. 2020)

# Pre-trained Language and Table Representation

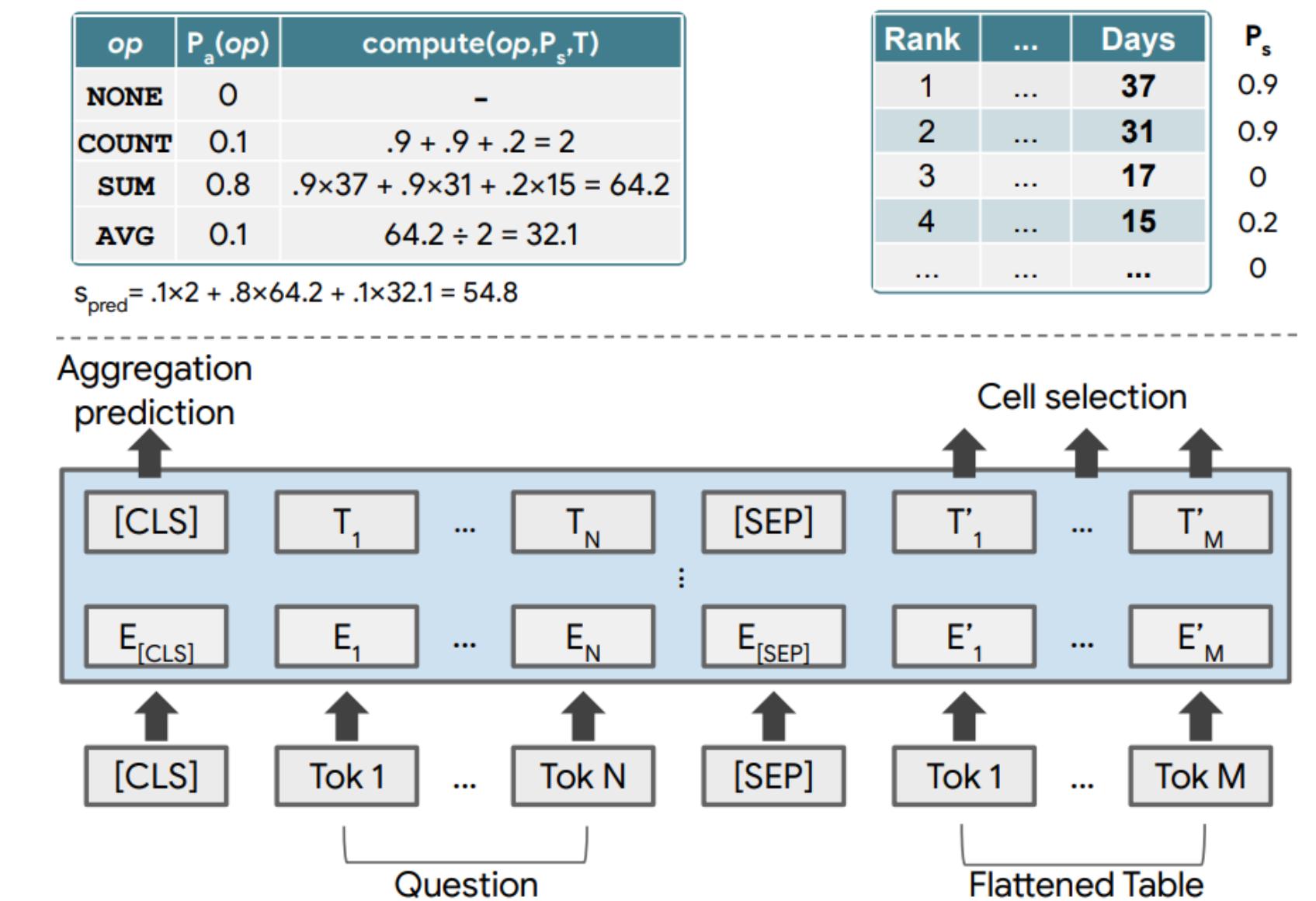


- Data:** 26M tables and their English contexts from *English Wikipedia* and the *WDC WebTable Corpus*
- Objective:** standard MLM; Masked Column Prediction (MCP); Cell Value Recovery (CVR)
- Content Snapshot:** sampled rows that summarize the information in  $T$  most relevant to the input utterance



TaBERT: Pretraining for Joint Understanding of Textual And Tabular Data (Yin et al. 2020)

- Data:** 3.3M Infoboxes and 2.9M WikiTables with relevant text snippets including table caption, article title, article description, segment title and text of the segment
- Objective:** standard MLM and relevant table prediction
- Table Content** is flattened and inserted into the table schema



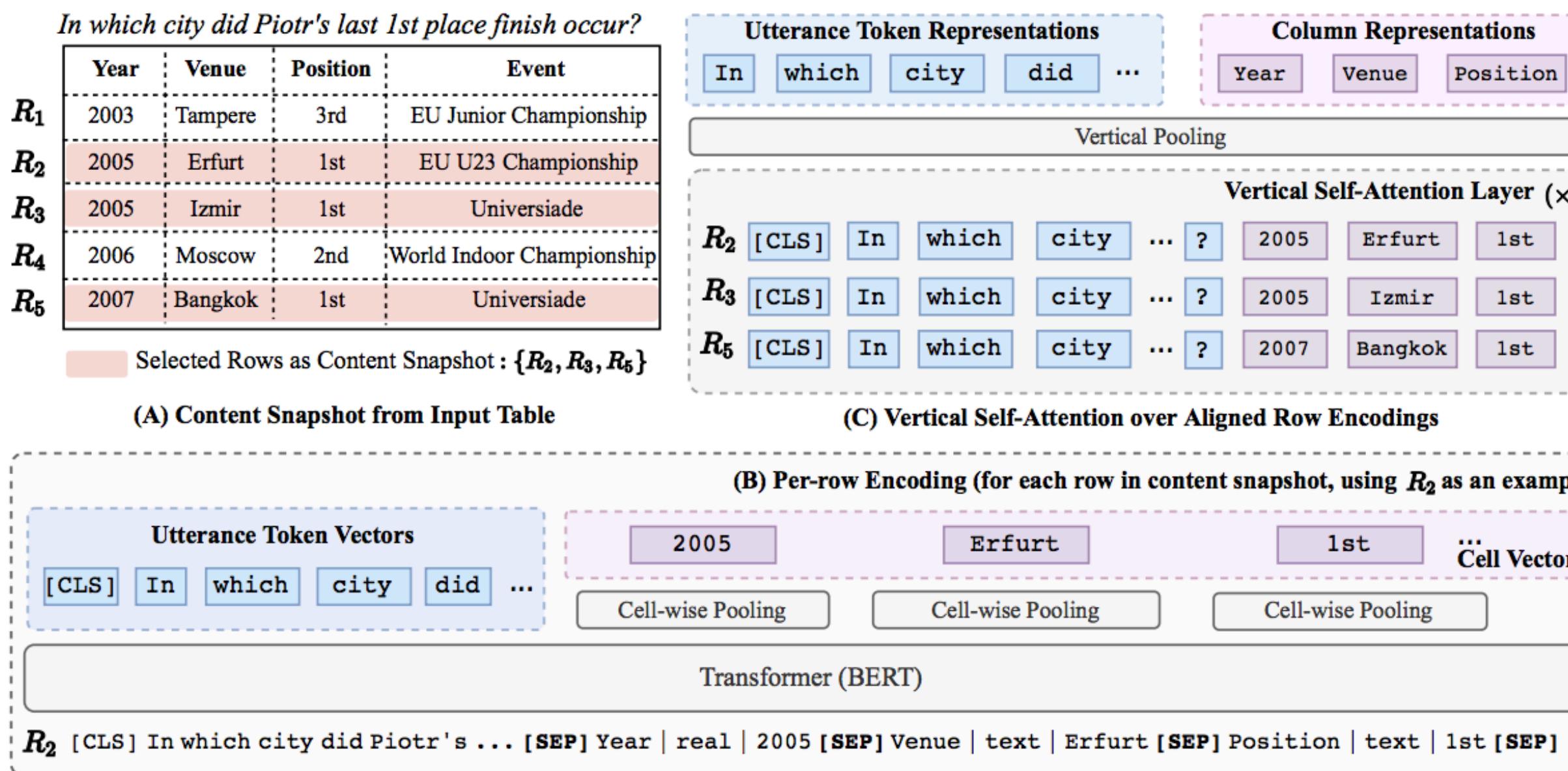
TAPAS: Weakly Supervised Table Parsing via Pre-training (Yin et al. 2020)

# Challenges

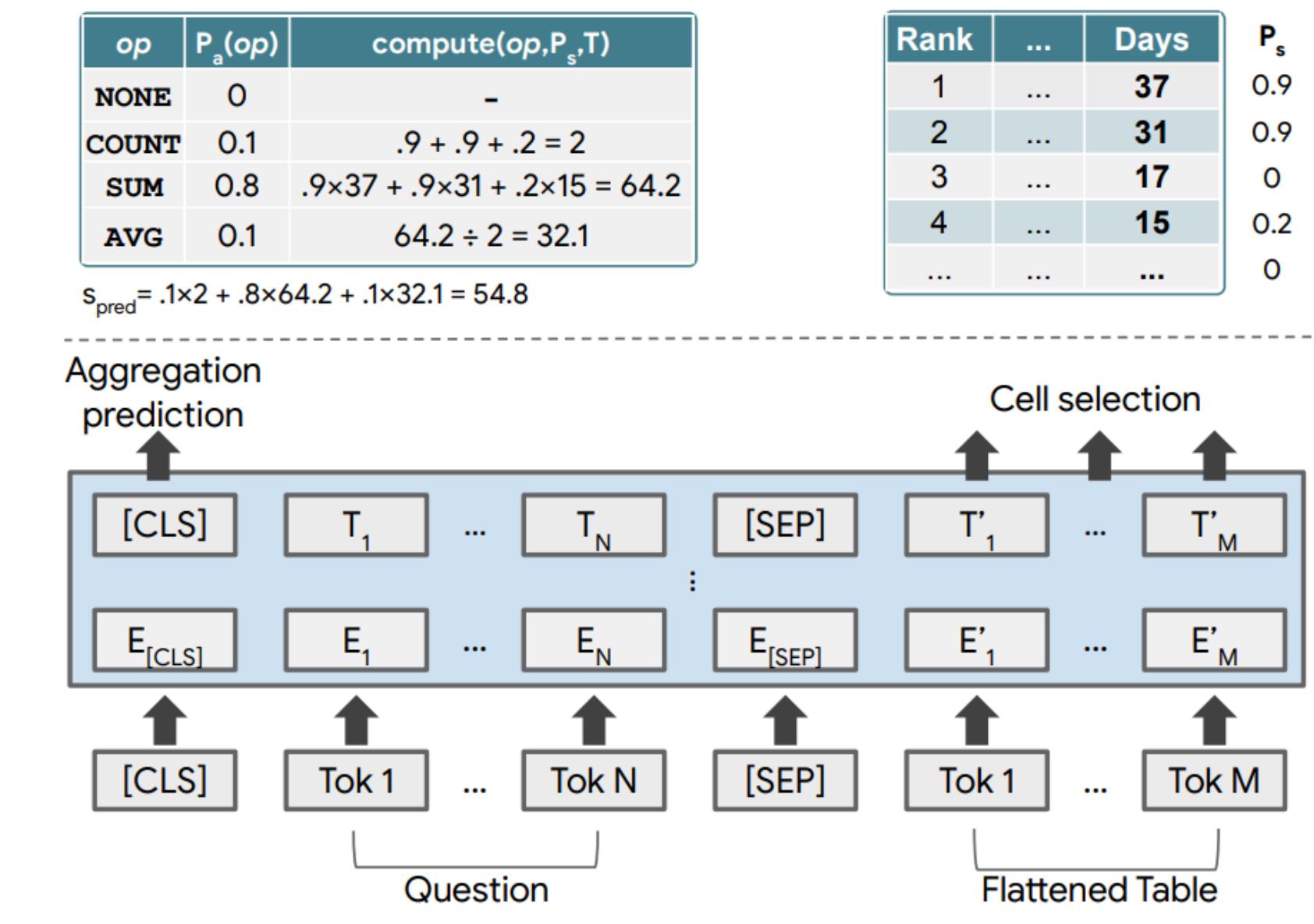
- Data: 26M tables and their English contexts from English Wikipedia and the WDC WebTable Corpus

- Data: 3.3M Infoboxes and 2.9M WikiTables with relevant text snippets including table caption, article title, article description, segment title and text of the segment

Large, noisy training data



TaBERT: Pretraining for Joint Understanding of Textual And Tabular Data (Yin et al. 2020)



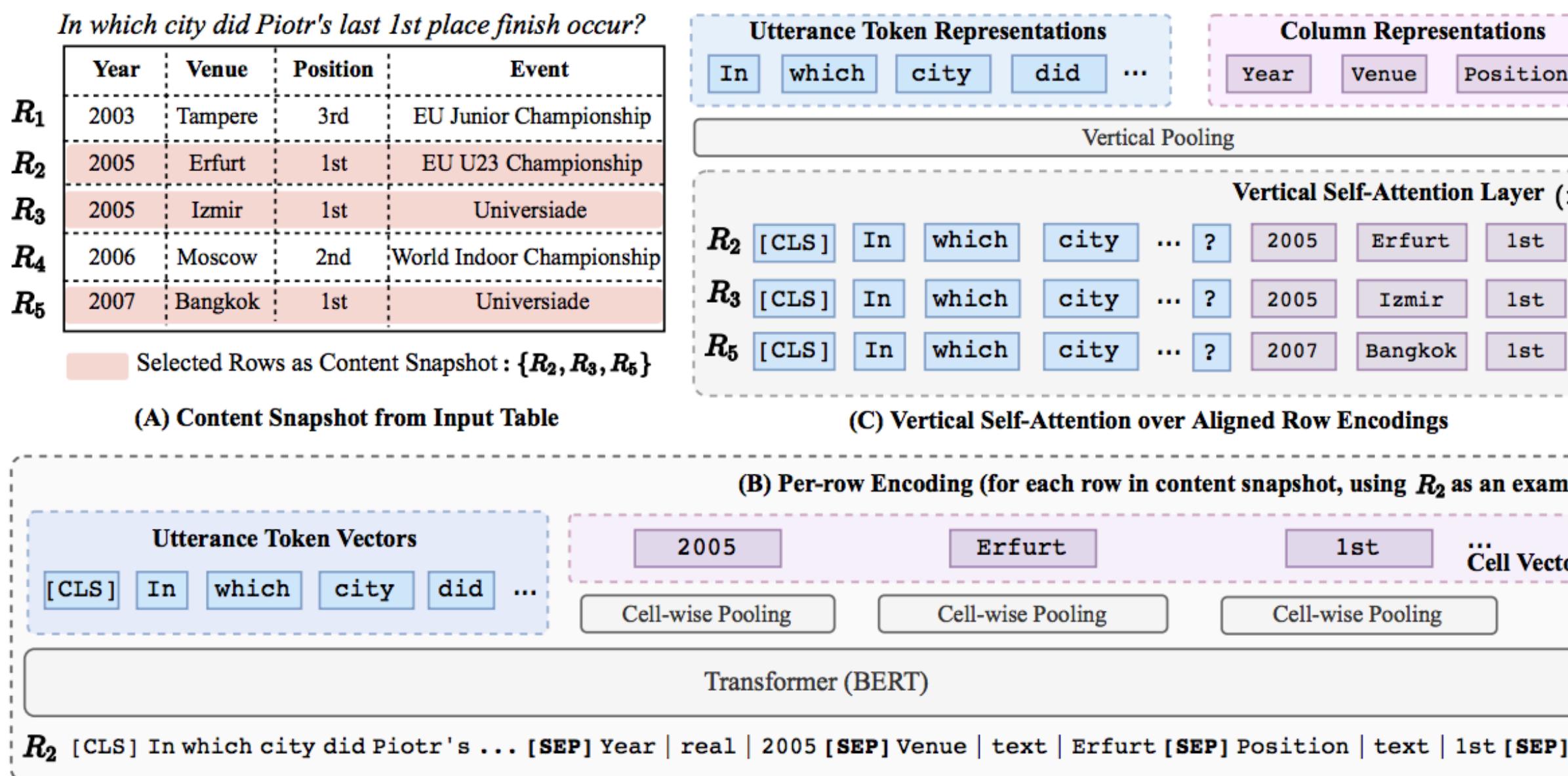
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# Challenges

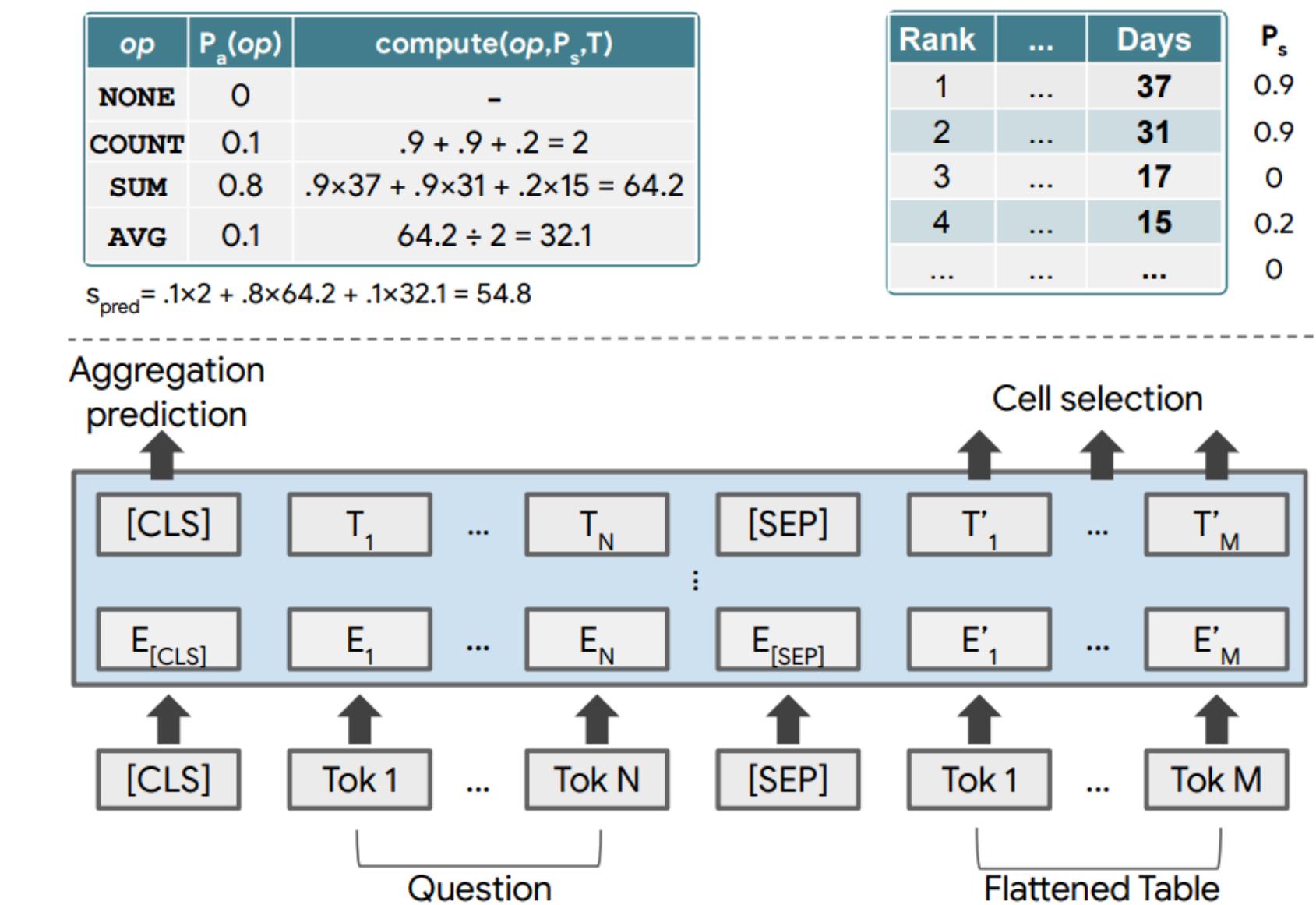
- *Objective:* standard MLM; Masked Column Prediction (MCP); Cell Value Recovery (CVR)

- *Objective:* standard MLM and relevant table prediction

Learning objective does not explicitly enforce alignment between text and table



TaBERT: Pretraining for Joint Understanding of Textual And Tabular Data (Yin et al. 2020)



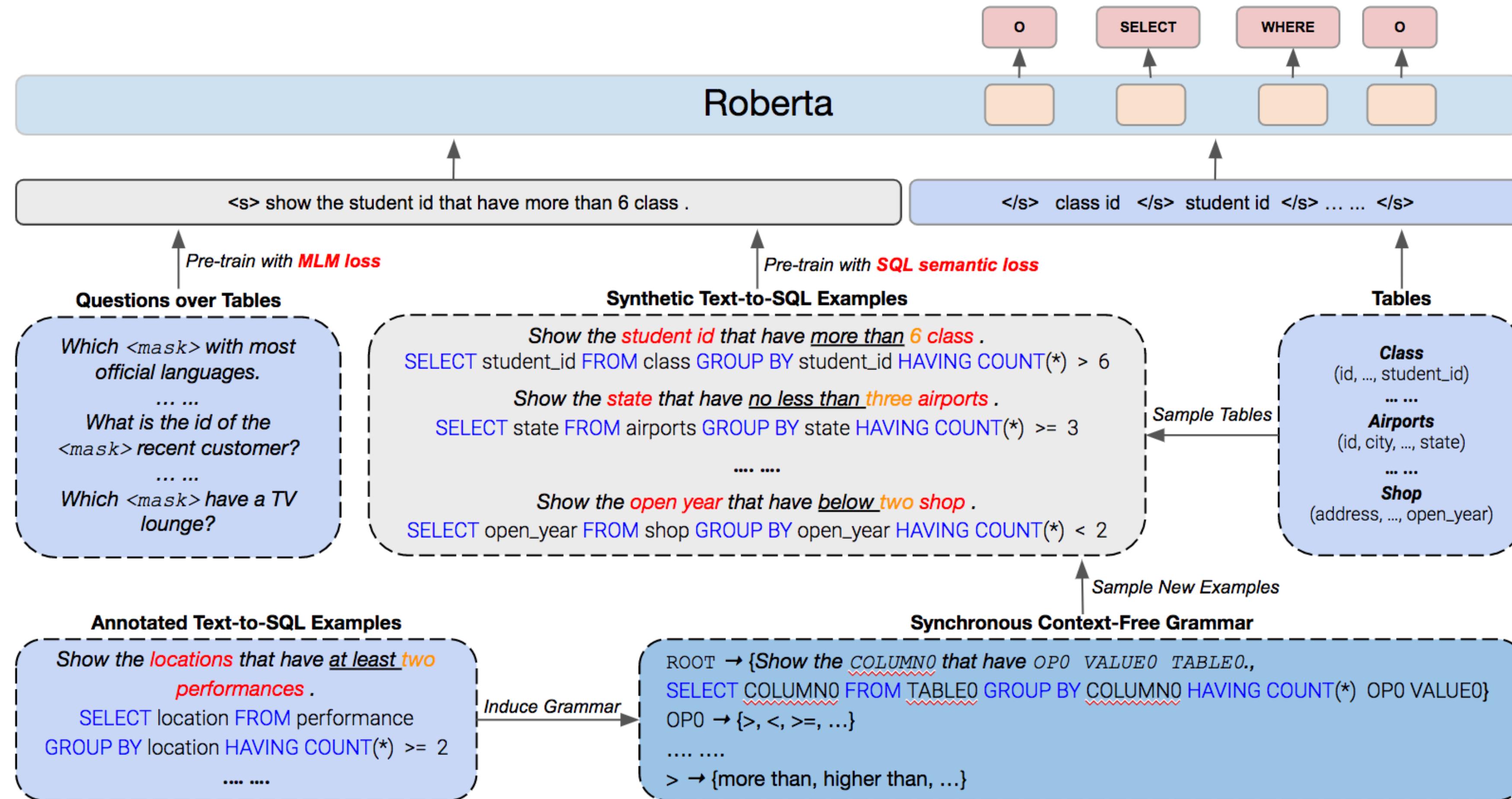
TAPAS: Weakly Supervised Table Parsing via Pre-training (Yin et al. 2020)

# Synthesize Text-to-SQL Data

- Induce **synchronous context-free grammar (SCFG)** from existing text-to-SQL datasets.
- Synthesize text-SQL pairs from **high-quality tables (340k)** using the SCFG.
- Pre-train the model on the synthetic data using a **novel text-schema linking objective** that predicts the syntactic role of a table field in the SQL for each text-SQL pair
- Include **masked language objective (MLM) as a regularization** over existing **table-and-language modeling** datasets

Non-terminals	Production rules
$\text{TABLE} \rightarrow t_i$	1. $\text{ROOT} \rightarrow \langle \text{"For each COLUMN0 , return how many times TABLE0 with COLUMN1 OP0 VALUE0 ?"},$ $\text{SELECT COLUMN0 , COUNT (*) WHERE COLUMN1 OP0 VALUE0 GROUP BY COLUMN0 } \rangle$
$\text{COLUMN} \rightarrow c_i$	
$\text{VALUE} \rightarrow v_i$	
$\text{AGG} \rightarrow \langle \text{MAX, MIN, COUNT, AVG, SUM} \rangle$	2. $\text{ROOT} \rightarrow \langle \text{"What are the COLUMN0 and COLUMN1 of the TABLE0 whose COLUMN2 is OP0 AGG0 COLUMN2 ?"},$ $\text{SELECT COLUMN0 , COLUMN1 WHERE COLUMN2 OP0 ( SELECT AGG0 ( COLUMN2 ) ) } \rangle$
$\text{OP} \rightarrow \langle =, \leq, \neq, \dots, \text{LIKE}, \text{BETWEEN} \rangle$	
$\text{SC} \rightarrow \langle \text{ASC, DESC} \rangle$	
$\text{MAX} \rightarrow \langle \text{"maximum", "the largest"} \dots \rangle$	
$\leq \rightarrow \langle \text{"no more than", "no above"} \dots \rangle$	
...	

# Grammar-Augmented Pre-training



# Experiments

*Fully Supervised  
Semantic Parsing Tasks*  
**Spider and WikiSQL**

Find the first and last names of the students who are living in the dorms that have a TV Lounge as an amenity.



database  
with 5 tables

```
SELECT T1.FNAME, T1.LNAME
FROM STUDENT AS T1 JOIN LIVES_IN AS T2
    ON T1.STUID=T2.STUID
WHERE T2.DORMID IN
    ( SELECT T3.DORMID
        FROM HAS_AMENITY AS T3 JOIN DORM_AMENITY AS T4
            ON T3.AMENID=T4.AMENID
        WHERE T4.AMENITY_NAME= 'TV LOUNGE' )
```

*Weakly Supervised  
Semantic Parsing Tasks*  
**WikiTQ and WikiSQL**

In what city did Piotr's last 1st place finish occur?



a table  
with 6 columns

“Bangkok, Thailand”

# Fully Supervised Semantic Parsing Results

Our best model GraPPa (MLN+SSP) achieves new state-of-the-art performance, surpassing previous work by a margin of 4%

Models	Dev.	Test
Global-GNN (Bogin et al., 2019)	52.7	47.4
EditSQL (Zhang et al., 2019b)	57.6	53.4
IRNet (Guo et al., 2019)	61.9	54.7
RYANSQL (Choi et al., 2020)	70.6	60.6
TranX (Yin et al., 2020a)	64.5	-
RAT-SQL (Wang et al., 2019)	62.7	57.2
w. BERT-large	69.7	65.6
w. RoBERTa-large	69.6	-
w. GRAPPA (MLM)	71.1(+1.4)	-
w. GRAPPA (SSP)	<b>73.6(+3.9)</b>	67.7(+2.1)
w. GRAPPA (MLM+SSP)	<b>73.4(+3.7)</b>	<b>69.6(+4.0)</b>

Spider Results

Our best model GraPPa (MLN+SSP) achieves new state-of-the-art performance. The improvement from the base model is even more significant when there is less training data.

Models	Dev.	Test
(Dong & Lapata, 2018)	79.0	78.5
(Shi et al., 2018)	84.0	83.7
(Hwang et al., 2019)	87.2	86.2
(He et al., 2019)	89.5	88.7
(Lyu et al., 2020)	89.1	89.2
Guo2019ContentEB	90.3	89.2
w. RoBERTa-large	91.2	90.6
w. GRAPPA (MLM)	91.4	90.7
w. GRAPPA (SSP)	91.2	90.7
w. GRAPPA (MLM+SSP)	91.2	<b>90.8</b>
w. RoBERTa-large (10k)	79.6	79.2
w. GRAPPA (MLM+SSP) (10k)	<b>82.3(+2.7)</b>	<b>82.2(+3.0)</b>

WikiSQL Results

# Weakly Supervised Semantic Parsing Results

Our best model GraPPa (MLN+SSP) achieves new state-of-the-art performance, improve from RoBERTa by a margin of 1.8%. The improvement is even more significant using 10% of the training data.

Models	Dev.	Test
(Liang et al., 2018)	42.3	43.1
(Dasigi et al., 2019)	42.1	43.9
(Agarwal et al., 2019)	43.2	44.1
(Herzig et al., 2020b)	-	48.8
(Yin et al., 2020b)	52.2	51.8
(Wang et al., 2019)	43.7	44.5
w. RoBERTa-large	50.7(+7.0)	50.9(+6.4)
w. GRAPPA (MLM)	51.5(+7.8)	51.7(+7.2)
w. GRAPPA (SSP)	51.2(+7.5)	51.1(+6.6)
w. GRAPPA (MLM+SSP)	<b>51.9(+8.2)</b>	<b>52.7(+8.2)</b>
w. RoBERTa-large $\times 10\%$	37.3	38.1
w. GRAPPA (MLM+SSP) $\times 10\%$	<b>40.4(+3.1)</b>	<b>42.0(+3.9)</b>

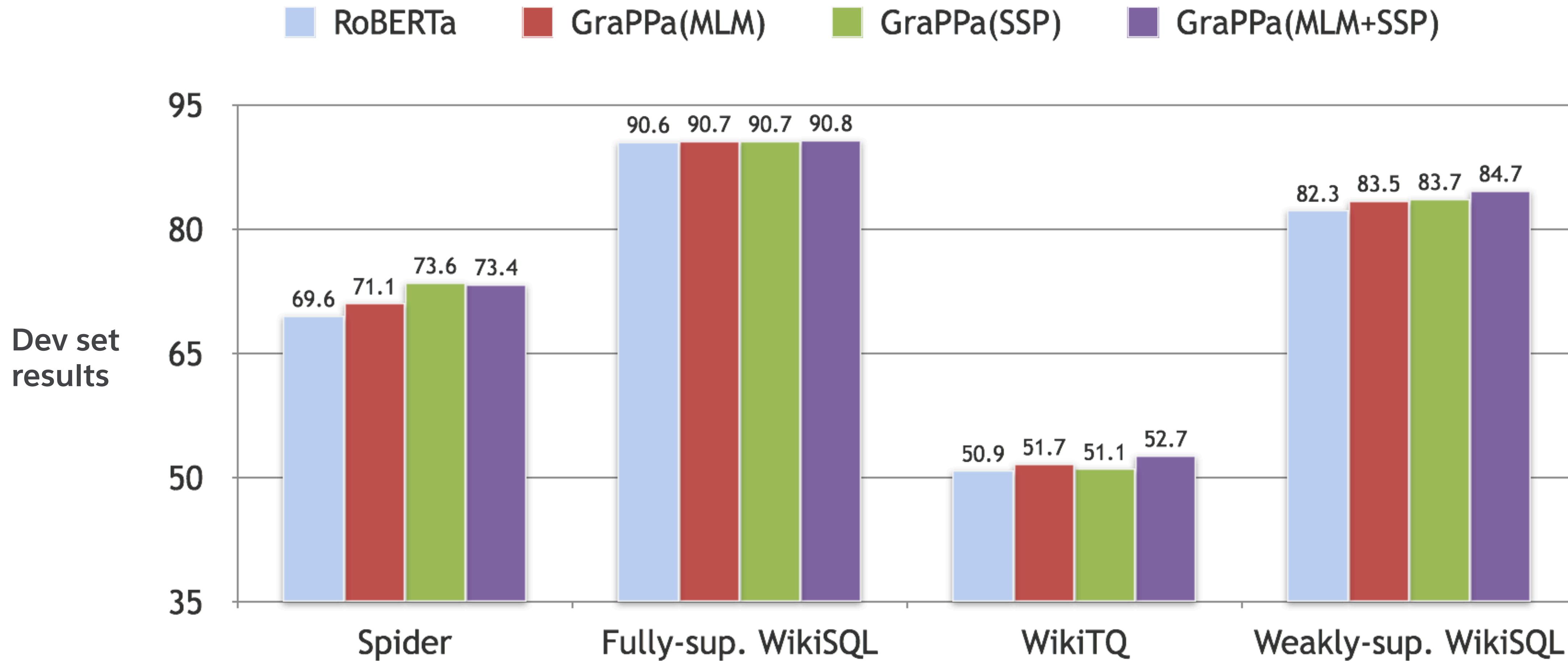
WikiTableQuestions Results

Our best model GraPPa (MLN+SSP) achieves new state-of-the-art performance.

Models	Dev.	Test
(Liang et al., 2018)	72.2	72.1
(Agarwal et al., 2019)	74.9	74.8
(Min et al., 2019)	84.4	83.9
(Herzig et al., 2020b)	85.1	83.6
(Wang et al., 2019)	79.4	79.3
w. RoBERTa-large	82.3 (+2.9)	82.3 (+3.0)
w. GRAPPA (MLM)	83.3 (+3.9)	83.5 (+4.2)
w. GRAPPA (SSP)	83.5(+4.1)	83.7 (+4.4)
w. GRAPPA (MLM+SSP)	<b>85.9 (+6.5)</b>	<b>84.7 (+5.4)</b>

Weakly Supervised WikiSQL Results

# Effect of Different Pre-training Objectives



# Takeaway

- GraPPa is an effective pre-training approach for table semantic parsing.

# Takeaway

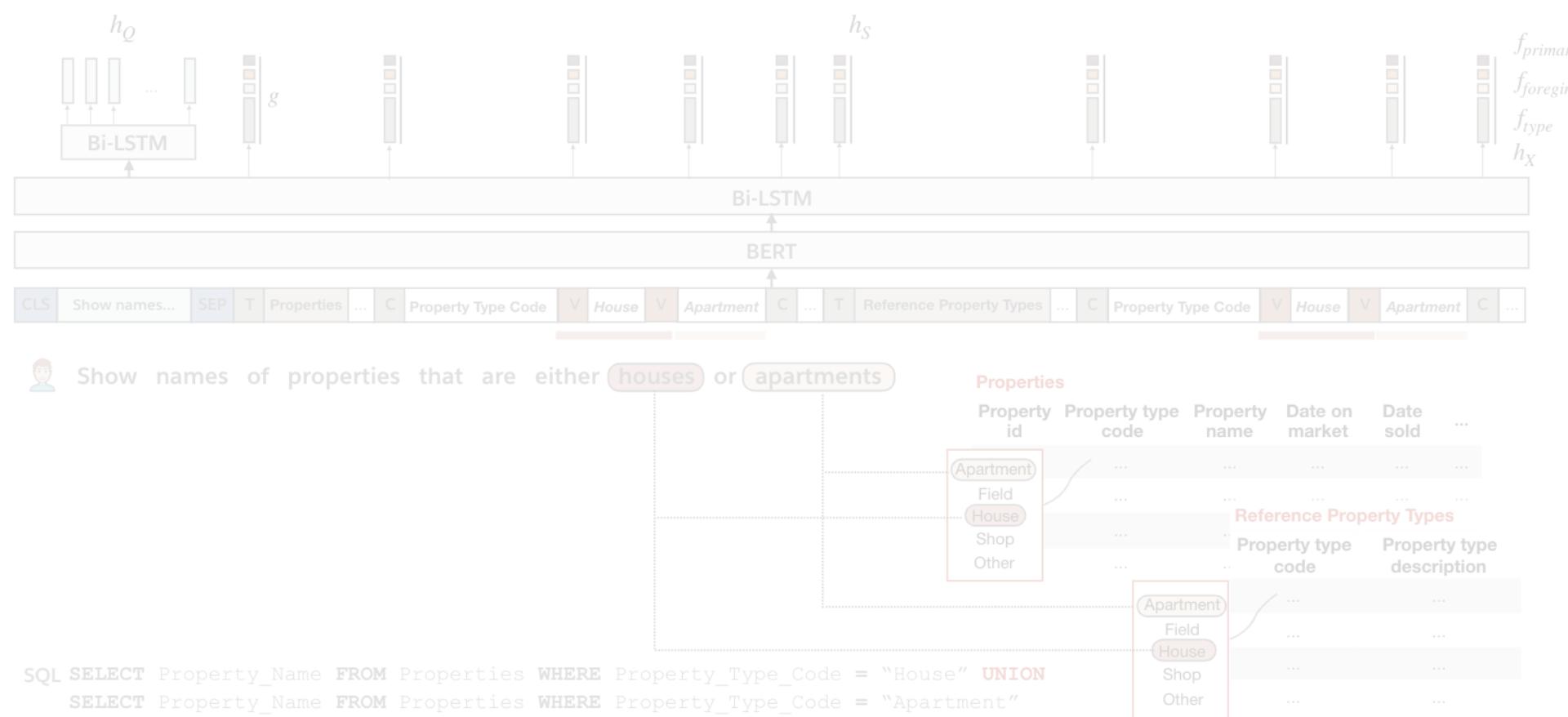
- GraPPa is an effective pre-training approach for table semantic parsing.
- It learns a compositional inductive bias in the joint representations of textual and tabular data via a novel text-schema linking objective over synthesized question-SQL pairs.

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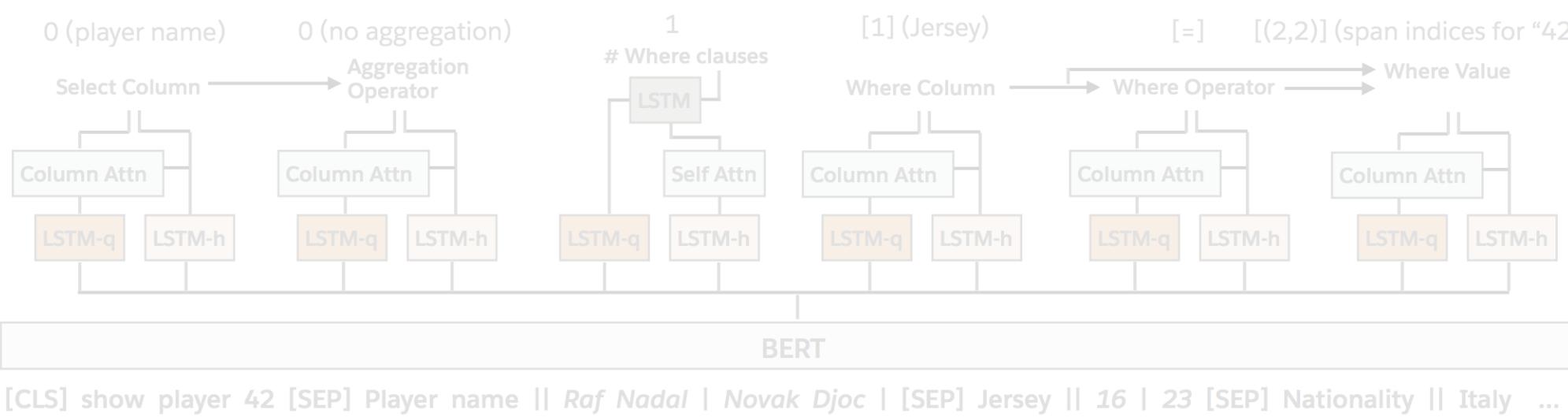
- GraPPa is an effective pre-training approach for table semantic parsing.
- It learns a compositional inductive bias in the joint representations of textual and tabular data via a novel text-schema linking objective over synthesized question-SQL pairs.
- On four popular fully supervised and weakly supervised table semantic parsing benchmarks, GRAPPA significantly outperforms RoBERTa-LARGE as the feature representation layers and establishes new state-of-the-art results on all of them.

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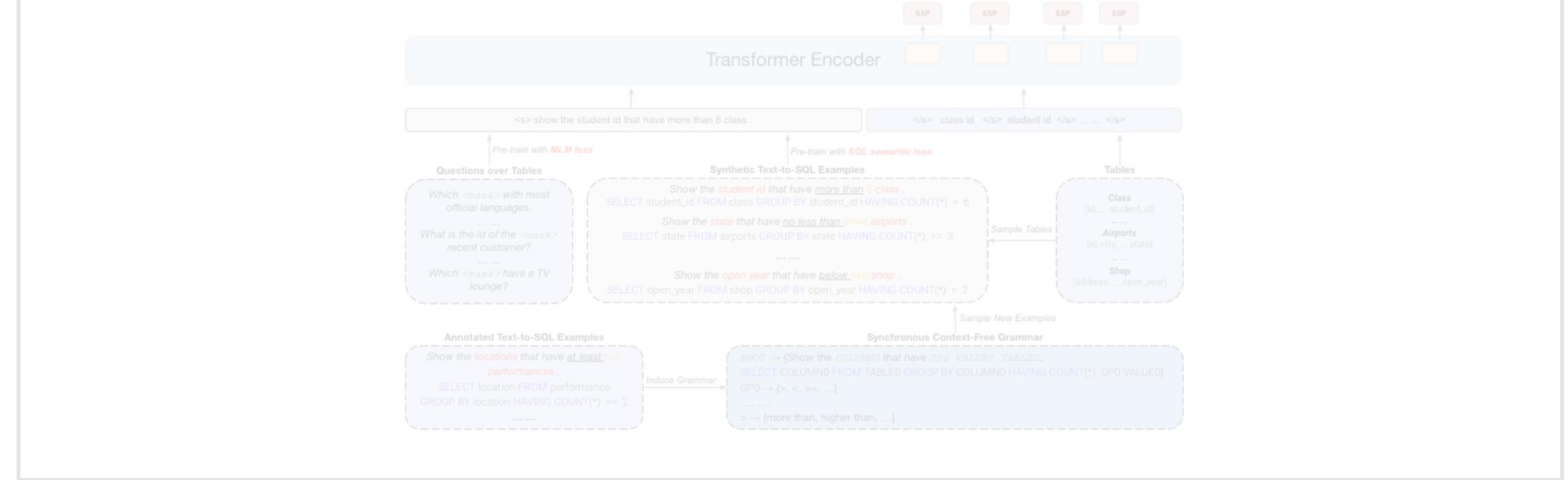
ColloQL: Robust Cross-Domain Text-to-SQL over Search Queries. Radhakrishnan et al. 2020.



[CLS] show player 42 [SEP] Player name || Raf Nadal | Novak Djoc | [SEP] Jersey || 16 | 23 [SEP] Nationality || Italy ...

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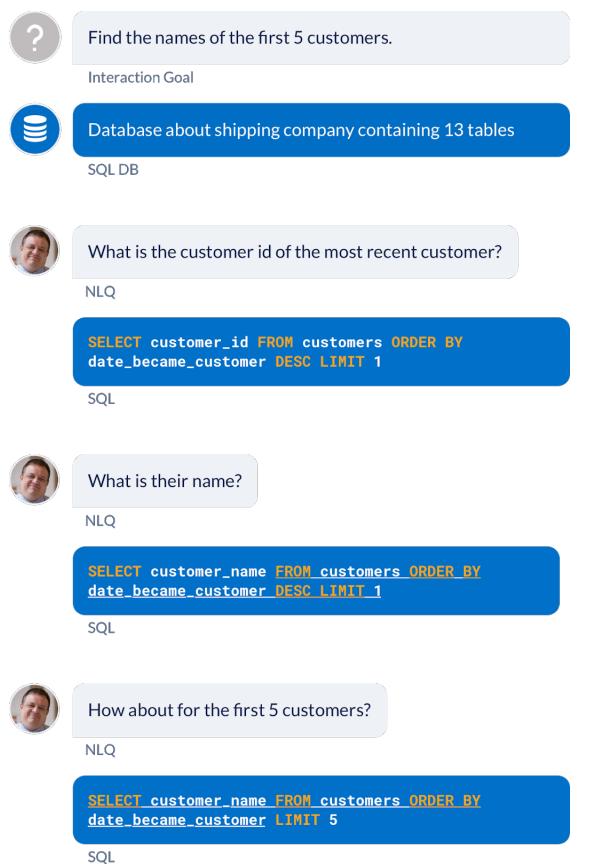


## III. Conversational Table Semantic Parsing

SParC: Cross-Domain Semantic Parsing in Context. Yu et al. 2019.

Editing-Based SQL Query Generation for Cross-Domain Context-Dependent Questions. Zhang et al. 2019.

CoSQL: A Conversational Text-to-SQL Challenge Towards Cross-Domain Natural Language Interfaces to Databases. Yu et al. 2019.



# CoSQL: A Conversational Text-to-SQL Challenge

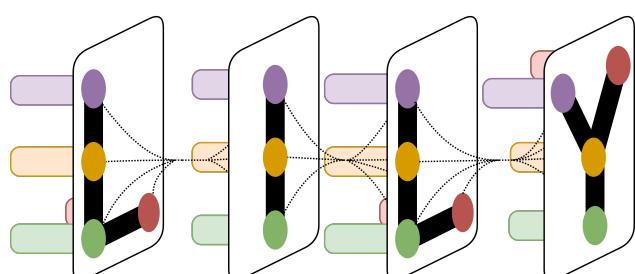


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Context-dependent utterances reflect special linguistic phenomena such as co-references and omission

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System responses are better to be paired w/ accessible natural language responses



D<sub>1</sub> : Database about student dormitories containing 5 tables

Q<sub>1</sub> : What are the names of all the dorms? INFORM\_SQL

S<sub>1</sub> : `SELECT dorm_name FROM dorm`

A<sub>1</sub> : (Result table with many entries)

R<sub>1</sub> : This is the list of the names of all the dorms. CONFIRM\_SQL

Q<sub>2</sub> : Which of those dorms have a TV lounge? INFORM\_SQL

S<sub>2</sub> : `SELECT T1.dorm_name FROM dorm AS T1 JOIN has_amenity AS T2 ON T1.dormid = T2.dormid JOIN dorm_amenity AS T3 ON T2.amenid = T3.amenid WHERE T3.amenity_name = 'TV Lounge'`

A<sub>2</sub> : (Result table with many entries)

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Q<sub>3</sub> : What dorms have no study rooms as amenities? AMBIGUOUS

R<sub>3</sub> : Do you mean among those with TV Lounges? CLARIFY

Q<sub>4</sub> : Yes. AFFIRM

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Q<sub>8</sub> : Thanks! THANK\_YOU

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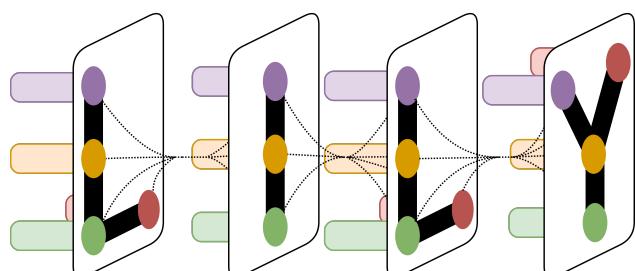
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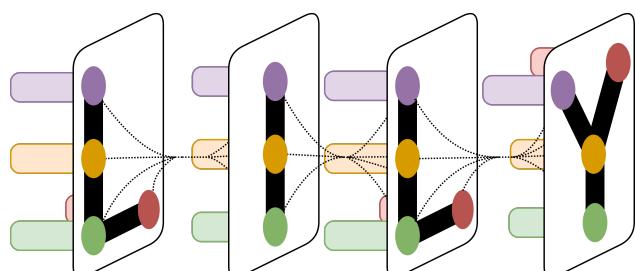


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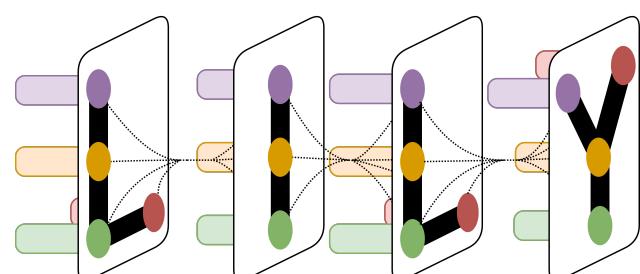


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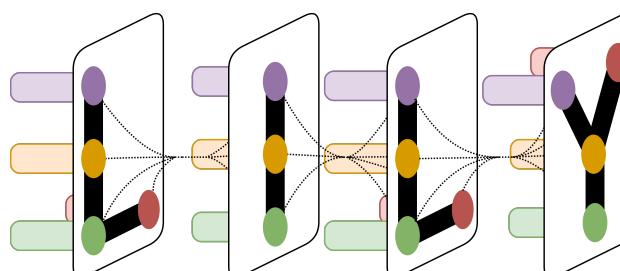
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**NLIDB should be conversational**

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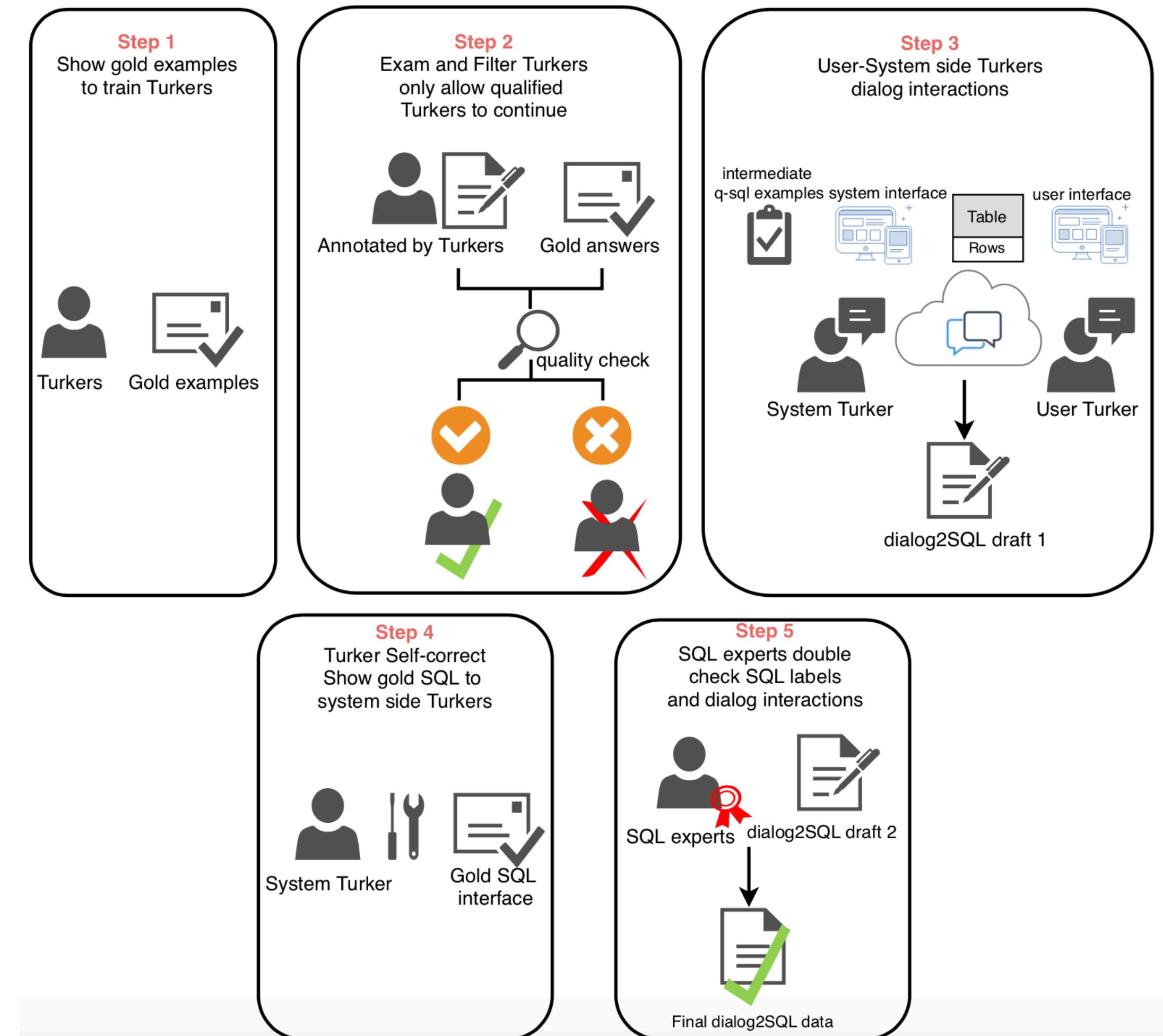
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# Wizard-of-Oz Data Collection

Wizard-of-Oz data collection pipeline:



# Chatting Interface

**Reference Table: Order\_Items**

order_item_id	order_id	product_id
1	9	7
2	1	3
3	5	2
4	14	10
5	15	4
6	14	13
7	6	13
8	12	8
9	13	12
10	14	13

**Reference Table: Product\_Suppliers**

product_id	supplier_id	date_supplied_from	date_supplied_to	total_amount_purchased	total_value_purchase
4	3	2017-06-19 00:49:05	2018-03-24 19:29:18	89366.05	36014.6
8	4	2017-07-02 00:35:12	2018-03-25 07:30:49	25085.57	36274.56
3	3	2017-10-14 19:15:37	2018-03-24 02:29:44	15752.45	7273.74
7	1	2017-08-22 00:58:42	2018-03-24 02:38:31	22332.08	8042.78
15	4	2017-12-08 09:14:05	2018-03-24 23:03:30	25318.21	29836.26
11	1	2017-12-01 19:46:53	2018-03-24 05:22:36	35149.74	67216.31
11	3	2017-07-13 15:02:24	2018-03-24 23:01:03	31862.59	76992.42

TASK ID: 3669, You are Assistant

Enter Message  SEND

**Step 1: select USER labels:**  
 inform\_sql |  infer\_sql |  ambiguous |  affirm |  negate |  not\_related |  cannot\_understand |  cannot\_answer |  
 greeting |  good\_bye |  thank\_you |  drop |

Other Label

**Step 2: select EXPERT labels:**  
 confirm\_sql |  clarify |  reject |  request\_more |  greeting |  sorry |  welcome |  good\_bye |  drop |  
 Other Label

**Step 3: If the user's question can be answered by SQL, write/execute SQL query, and click "SQL confirm" button to show the result table to the user.**

**Step 4: write message and click send**

**Step 5: After the whole dialog ends, on the left panel: 1) grade the user's performance, 2) write some comments if there are some mistakes needed to be corrected during the future dialog review. 3) click button "DIALOG COMPLETED"**

**EXECUTE** **SEND RESULT TO USER** **RESET**

```
1 SELECT product_id FROM Order_Items GROUP BY product_id HAVING count(*) > 3 UNION
SELECT product_id FROM Product_Suppliers GROUP BY product_id HAVING
sum(total_amount_purchased) > 80000
```

**Results (3 rows)**

product_id
4
5
8

# Data Statistics

	CoSQL	SParC	ATIS
# Q sequence	3,007	4298	1658
# user questions	15,598*	12,726	11,653
# databases	200	200	1
# tables	1020	1020	27
Avg. Q len	11.2	8.1	10.2
Vocab	9,585	3794	1582
Avg. # Q turns	5.2	3.0	7.0
Unanswerable Q	✓	✗	✗
User intent	✓	✗	✗
System response	✓	✗	✗

Context-dependent  
text-to-SQL  
(I)

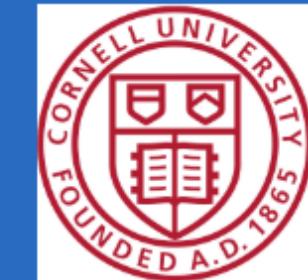
Natural language  
response generation  
(II)

Dialogue action  
prediction  
(III)

Leaderboard: <https://yale-lily.github.io/cosql>



# CoSQL 1.0



A Conversational Text-to-SQL Challenge  
Towards Cross-Domain Natural Language Interfaces to Databases

## What is CoSQL?

**CoSQL** is a corpus for building cross-domain **Conversational text-to-SQL** systems. It is the dialogue version of the **Spider** and **SParC** tasks. CoSQL consists of 30k+ turns plus 10k+ annotated SQL queries, obtained from a **Wizard-of-Oz** collection of 3k dialogues querying 200 complex databases spanning 138 domains. Each dialogue simulates a real-world DB query scenario with a crowd worker as a user exploring the database and a SQL expert retrieving answers with SQL, clarifying ambiguous questions, or otherwise informing of unanswerable questions.

[CoSQL Paper \(EMNLP'19\)](#)

[CoSQL Post](#)

## Leaderboard - SQL-grounded Dialogue State Tracking

In CoSQL, user dialogue states are grounded in SQL queries. Dialogue state tracking (DST) in this case is to predict the correct SQL query for each user utterance with **INFORM\_SQL** label given the interaction context and the DB schema. Comparing to other context-dependent text-to-SQL tasks such as **SParC**, the DST task in CoSQL also includes the ambiguous questions if the user affirms the system clarification of them. In this case, the system clarification is also given as part of the interaction context to predict the SQL query corresponding to the question. As in **Spider** and **SParC** tasks, we report results of Exact Set Match without Values here.

Rank	Model	Question Match	Interaction Match
1	EditSQL <i>Yale University &amp; Salesforce Research</i> (Zhang et al. EMNLP '19) code	40.8	13.7

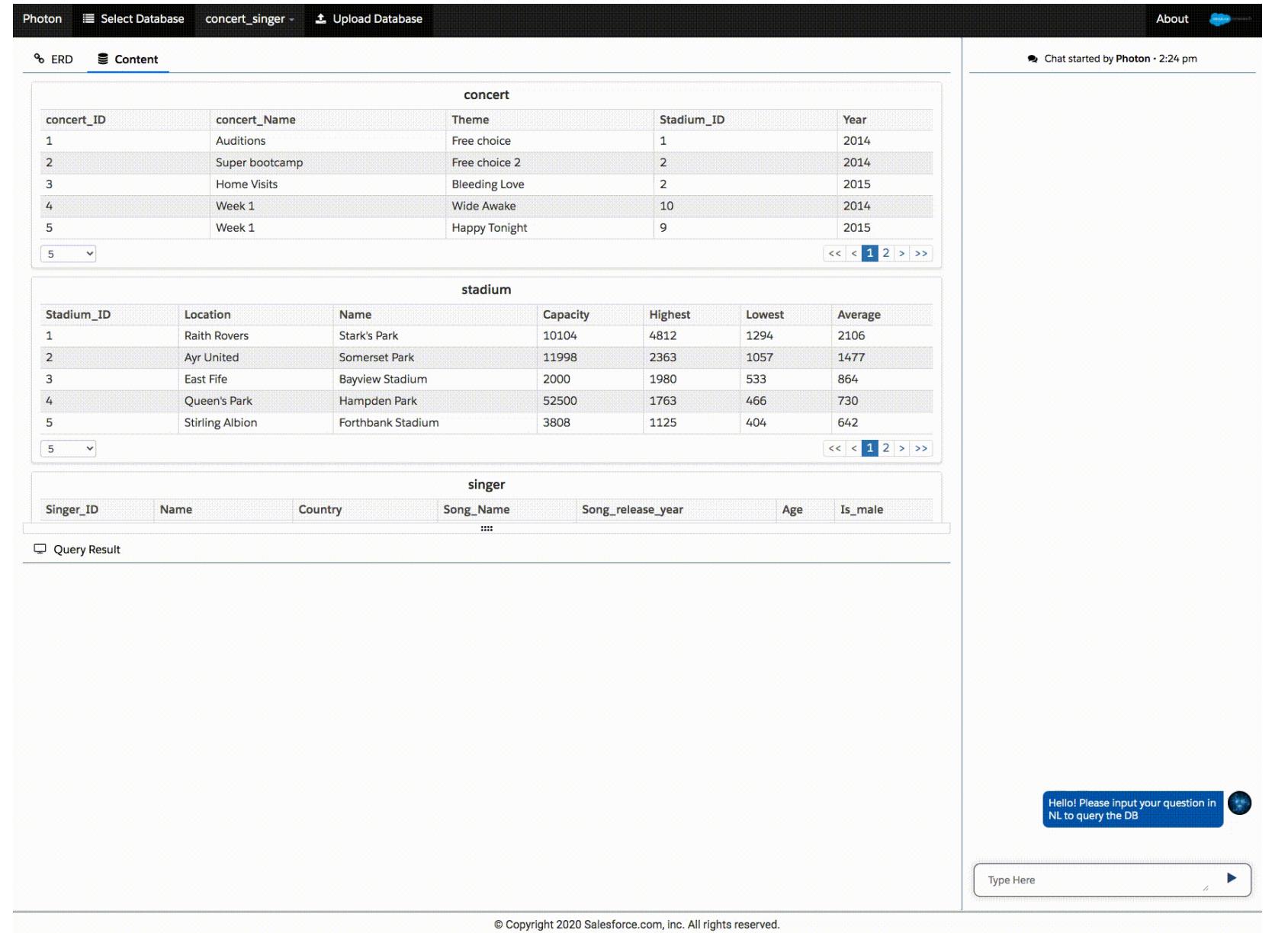
Aug 30, 2019

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**Bridging Textual and Tabular Data for Cross-Domain Text-to-SQL Semantic Parsing.** Lin et al. 2020.

Live Demo: <https://naturalsql.com>

Open Source: <https://github.com/salesforce/TabularSemanticParsing>



The screenshot shows the Photon interface with three tables displayed:

- concert** table (5 rows):
 

concert_ID	concert_Name	Theme	Stadium_ID	Year
1	Auditions	Free choice	1	2014
2	Super bootcamp	Free choice 2	2	2014
3	Home Visits	Bleeding Love	2	2015
4	Week 1	Wide Awake	10	2014
5	Week 1	Happy Tonight	9	2015
- stadium** table (5 rows):
 

Stadium_ID	Location	Name	Capacity	Highest	Lowest	Average
1	Raith Rovers	Stark's Park	10104	4812	1294	2106
2	Ayr United	Somerset Park	11998	2363	1057	1477
3	East Fife	Bayview Stadium	2000	1980	533	864
4	Queen's Park	Hampden Park	52500	1763	466	730
5	Stirling Albion	Forthbank Stadium	3808	1125	404	642
- singer** table (1 row):
 

Singer_ID	Name	Country	Song_Name	Song_release_year	Age	Is_male
...	...	...	...	...	...	...

A chat window on the right shows a message from Photon: "Hello! Please input your question in NL to query the DB".

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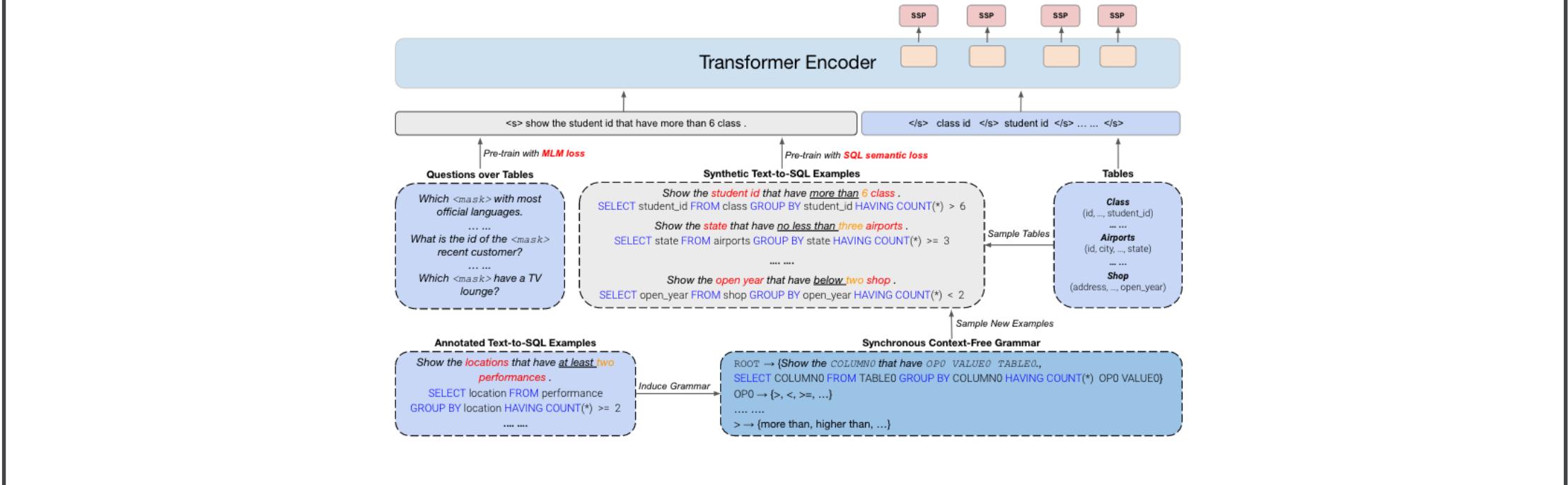
The screenshot shows the Photon interface with three tables displayed:

- concert**: A table with columns concert\_ID, concert\_Name, Theme, Stadium\_ID, and Year. Data rows include Auditions, Super bootcamp, Home Visits, Week 1, and Week 1.
- stadium**: A table with columns Stadium\_ID, Location, Name, Capacity, Highest, Lowest, and Average. Data rows include Raith Rovers, Ayr United, East Fife, Queen's Park, and Stirling Albion.
- singer**: A table with columns Singer\_ID, Name, Country, Song\_Name, Song\_release\_year, Age, and Is\_male. Data rows include a placeholder row with three dots.

A message bar at the bottom says "Hello! Please input your question in NL to query the DB". A text input field below it contains "Type Here".

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GraPPa: Grammar-Augmented Pre-training for Table Semantic Parsing. Yu et al. 2020.



# I. Content-Aware Textual-Tabular Encodings for Table Semantic Parsing (TSP)

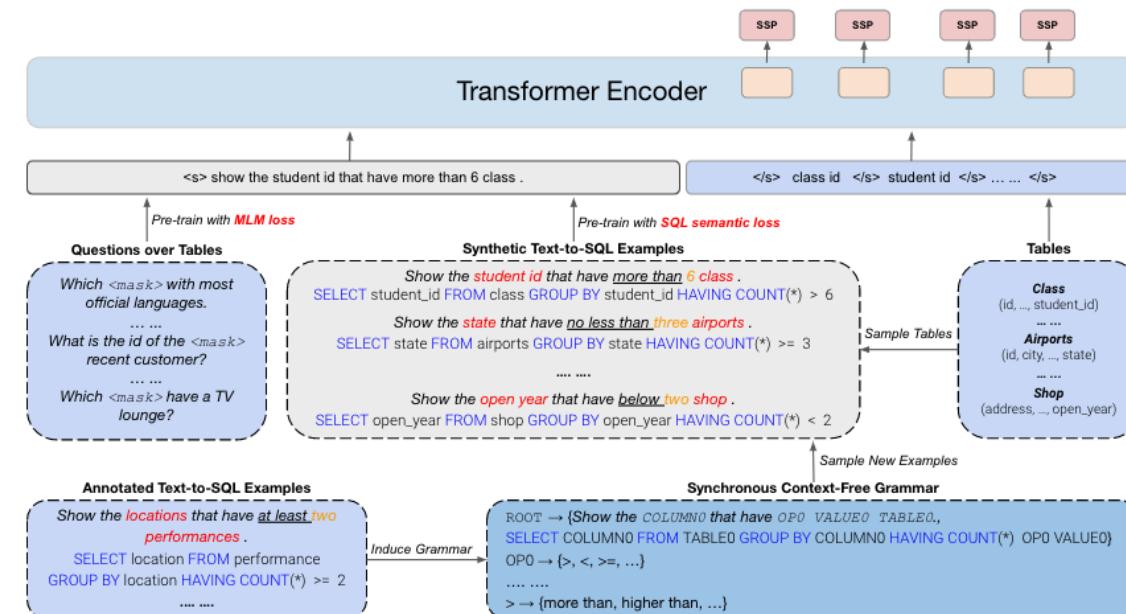
# Bridging Textual and Tabular Data for Cross-Domain Text-to-SQL Semantic Parsing. Lin et al. 2020.

Live Demo: <https://naturalsql.com>

Open Source: <https://github.com/salesforce/TabularSemanticParsing>

## II. Pre-training Textual-Tabular Representations with Semantic Scaffolds

# GraPPa: Grammar-Augmented Pre-training for Table Semantic Parsing. Yu et al. 2020.



### III. Conversational Table Semantic Parsing

# SParC: Cross-Domain Semantic Parsing in Context. Yu et al. 2019.

# Editing-Based SQL Query Generation for Cross-Domain Context-Dependent Questions. Zhang et al. 2019.

# CoSQL: A Conversational Text-to-SQL Challenge Towards Cross-Domain Natural Language Interfaces to Databases. Yu et al. 2019.

-  Find the names of the first 5 customers.  
Interaction Goal
-  Database about shipping company containing 13 tables  
SQL DB
-  What is the customer id of the most recent customer?  
NLQ
- ```
SELECT customer_id FROM customers ORDER BY date_became_customer DESC LIMIT 1
```

SQL
-  What is their name?  
NLQ
- ```
SELECT customer_name FROM customers ORDER BY date_became_customer DESC LIMIT 1
```

SQL
-  How about for the first 5 customers?  
NLQ
- ```
SELECT customer_name FROM customers ORDER BY date_became_customer LIMIT 5
```

SQL



thank  
you

