

# Machine Learning, Spring 2020

## Generative Adversarial Networks (GAN)

Instructor: Prof. Yi Fang

yfang@nyu.edu

Python tutorial: <http://learnpython.org/>

TensorFlow tutorial: <https://www.tensorflow.org/tutorials/>

PyTorch tutorial: <https://pytorch.org/tutorials/>

Acknowledge: The slides are partially referred to the online materials by Taegyun Joen, <https://www.slideshare.net/TaegyunJeon1/pr12-you-only-look-once-yolo-unified-realtime-object-detection> and online YOLO paper and other materials (from ECS289g by Prof. Lee)

# Magic of GANs

- Music Generation



# Image Style Transfer



apple → orange



orange → apple



NO MINUTE  
APERS

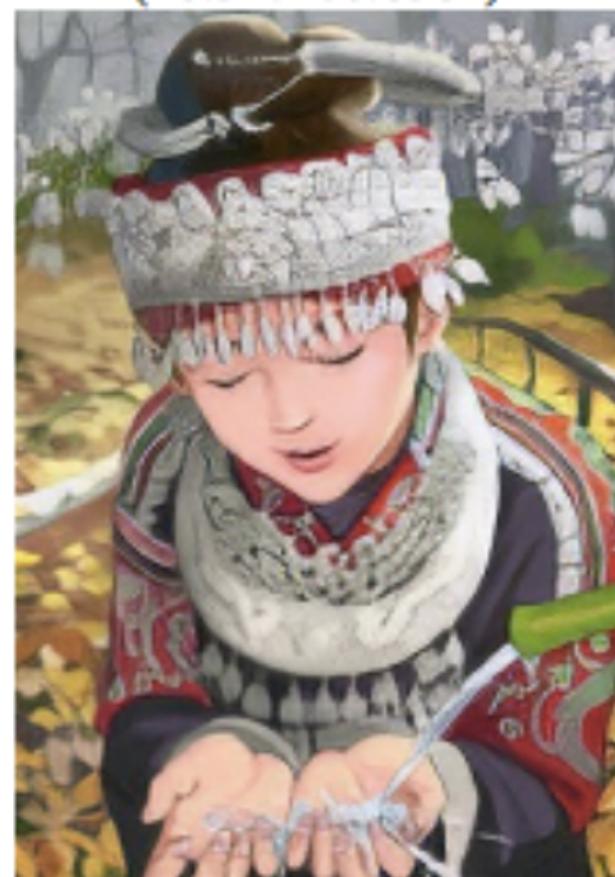
H KÁROLY ZSOLNAY-FEHÉR (KZF)

SYNTHESIZE  
ANIMALS

Full screen (f)

# Magic of GANs

- Which one is Computer generated?



Src: Ledig, Christian, et al. "Photo-realistic single image super-resolution using a generative adversarial network." arXiv preprint arXiv:1609.04802 (2016).

# ARTISTIC STYLE TRANSFER



Src: <https://neurohive.io/en/state-of-the-art/twin-gan-cross-domain-translation-of-human-portraits/>



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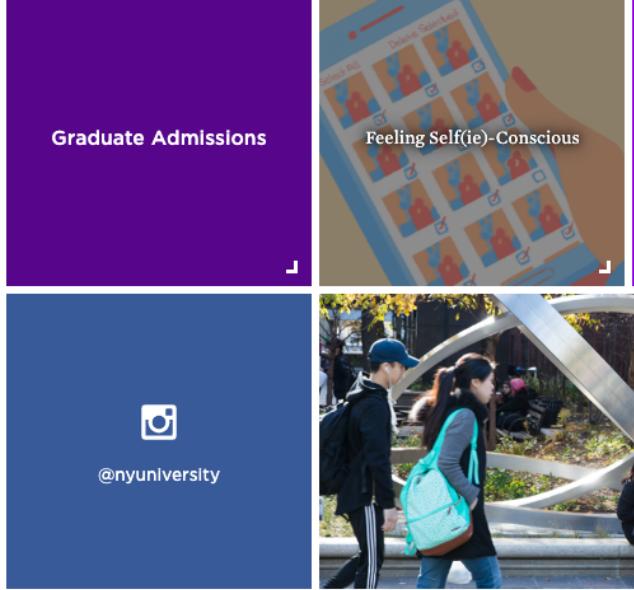
Academic Programs



Courant's LeCun Wins Turing Award  
for Breakthroughs in AI



Graduate Admissions



 @nyuniversity

May 5, 2019

# LeCun, NIPS 2016

- Reinforcement learning (**cherry**)
- Supervised learning (**Chocolate**)
- Unsupervised/Predictive learning (**Cake**)
  - Generative adversarial nets (GAN)



"GANs are the most interesting idea in the last 10 years in ML"

Yann LeCun.

# Yann LeCun's comment

## What are some recent and potentially upcoming breakthroughs in unsupervised learning?



**Yann LeCun**, Director of AI Research at Facebook and Professor at NYU

Written Jul 29 · Upvoted by Joaquin Quiñonero Candela, Director Applied Machine Learning at Facebook and Huang Xiao



Adversarial training is the coolest thing since sliced bread.

I've listed a bunch of relevant papers in a previous answer.

Expect more impressive results with this technique in the coming years.

What's missing at the moment is a good understanding of it so we can make it work reliably. It's very finicky. Sort of like ConvNet were in the 1990s, when I had the reputation of being the only person who could make them work (which wasn't true).

<https://www.quora.com/What-are-some-recent-and-potentially-upcoming-breakthroughs-in-unsupervised-learning>

# Yann LeCun's comment

## What are some recent and potentially upcoming breakthroughs in deep learning?



**Yann LeCun**, Director of AI Research at Facebook and Professor at NYU

Written Jul 29 · Upvoted by Joaquin Quiñonero Candela, Director Applied Machine Learning at Facebook and Nikhil Garg, I lead a team of Quora engineers working on ML/NLP problems



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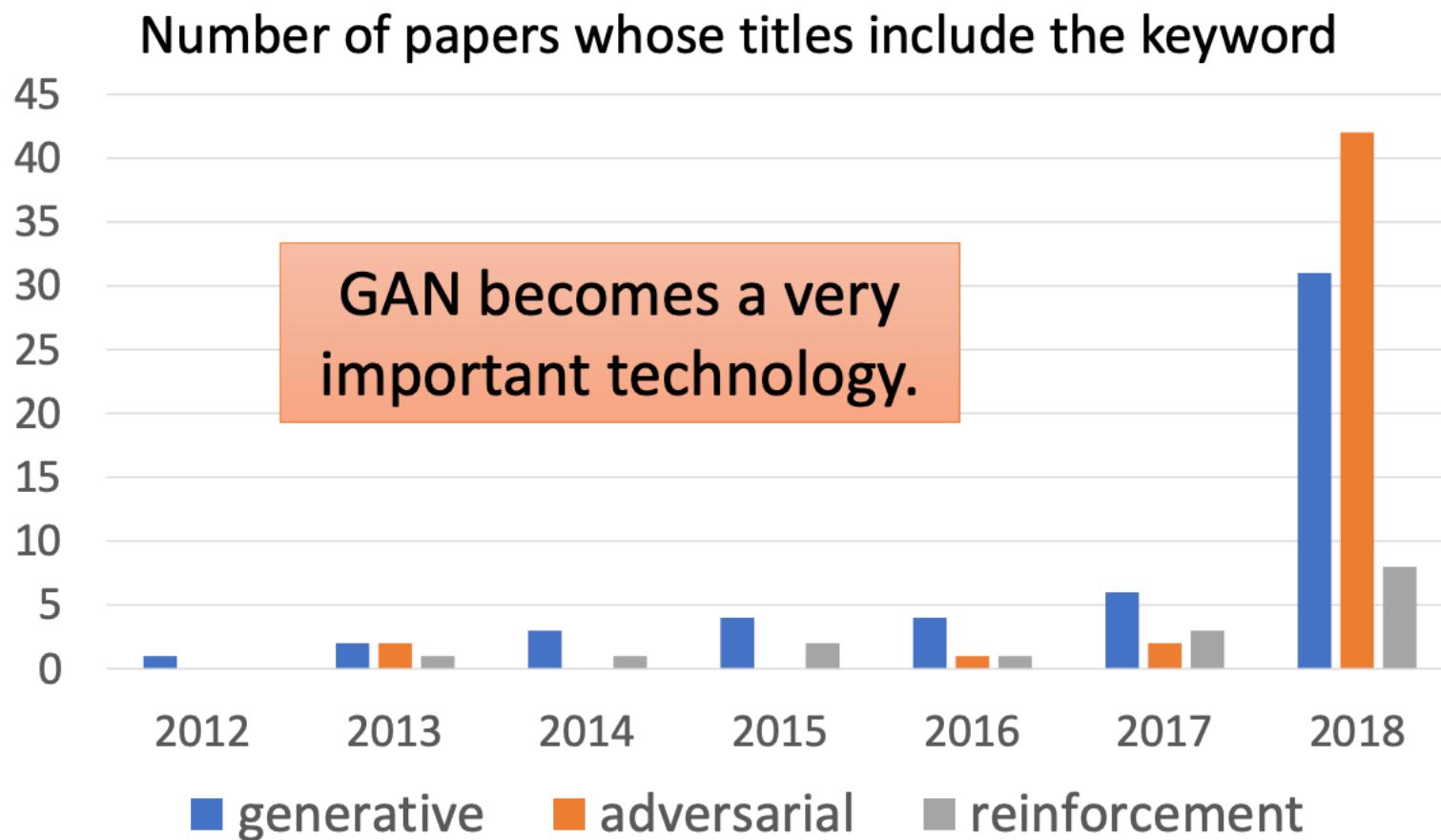
The most important one, in my opinion, is adversarial training (also called GAN for Generative Adversarial Networks). This is an idea that was originally proposed by Ian Goodfellow when he was a student with Yoshua Bengio at the University of Montreal (he since moved to Google Brain and recently to OpenAI).

This, and the variations that are now being proposed is the most interesting idea in the last 10 years in ML, in my opinion.

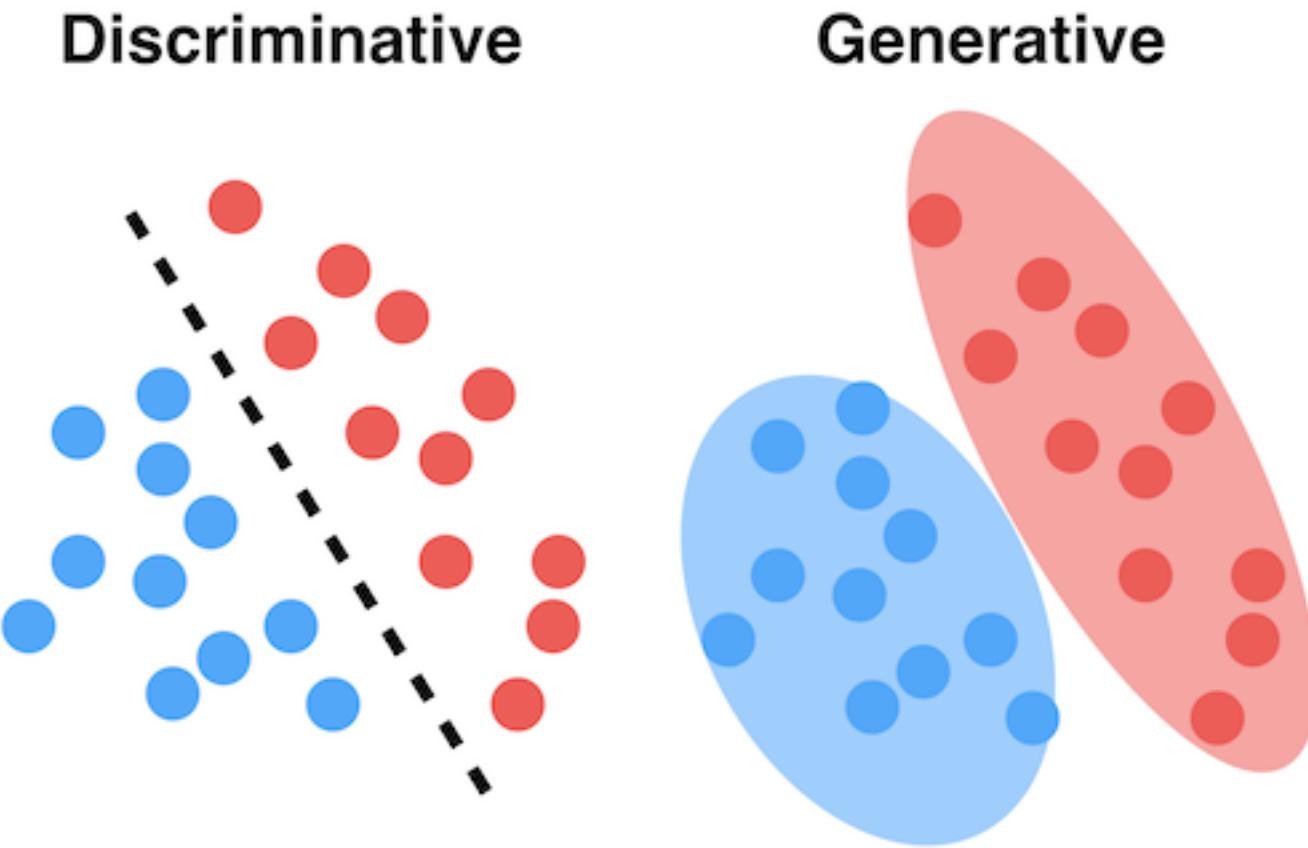
<https://www.quora.com/What-are-some-recent-and-potentially-upcoming-breakthroughs-in-deep-learning>

# ICASSP

Keyword search on session index page,  
so session names are included.

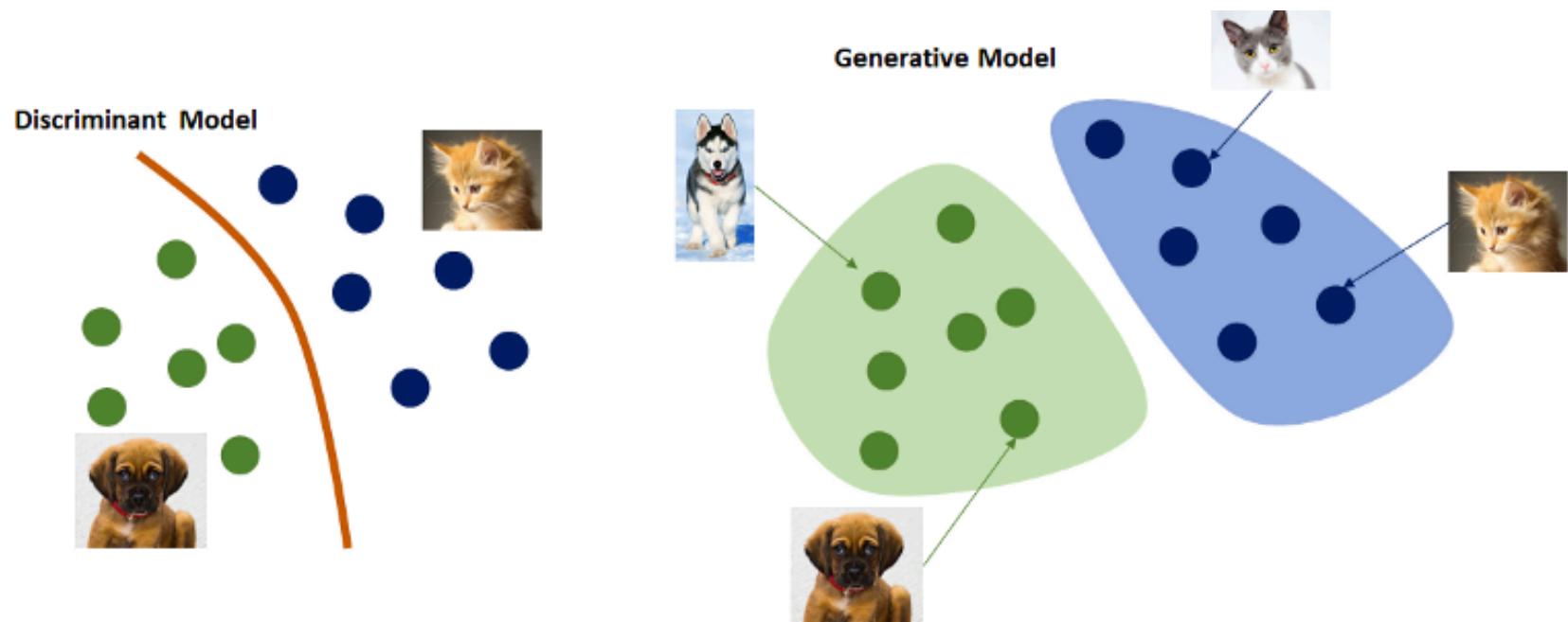


# Generative and Discriminative models



Src: <https://medium.com/@jordi299/about-generative-and-discriminative-models-d8958b67ad32>

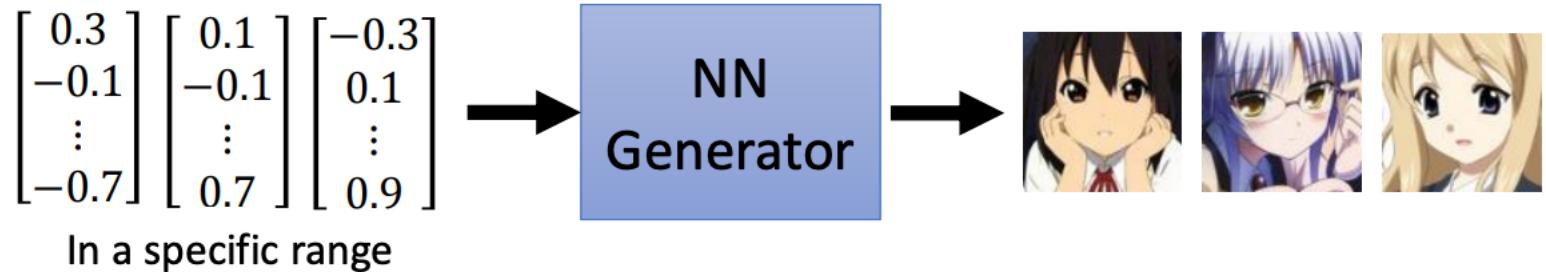
- In supervised learning, we have data  $x$  and response (label)  $y$  and the goal is to learn a function to map  $x$  to  $y$  e.g. regression, classification, object detection;
- In unsupervised learning, there are no labels and the goal is to find some underlying hidden structure of the data e.g. clustering, dimensionality reduction, feature learning. The goal of generative models is to generate new samples of data from a distribution. These models are used in problems such as density estimation, a problem of unsupervised learning.



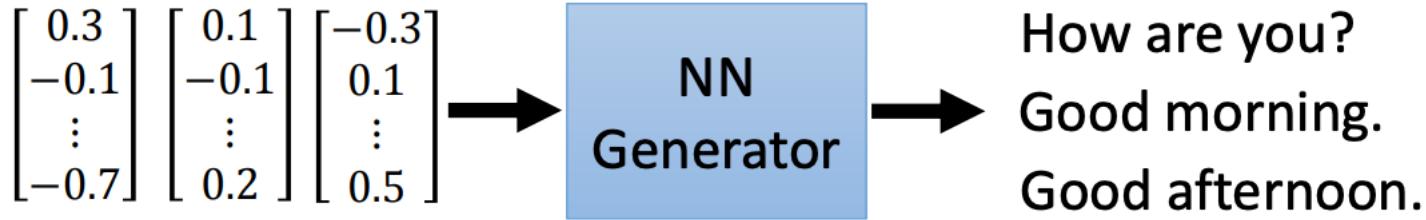
# Generation

We will control what to generate latter. → Conditional Generation

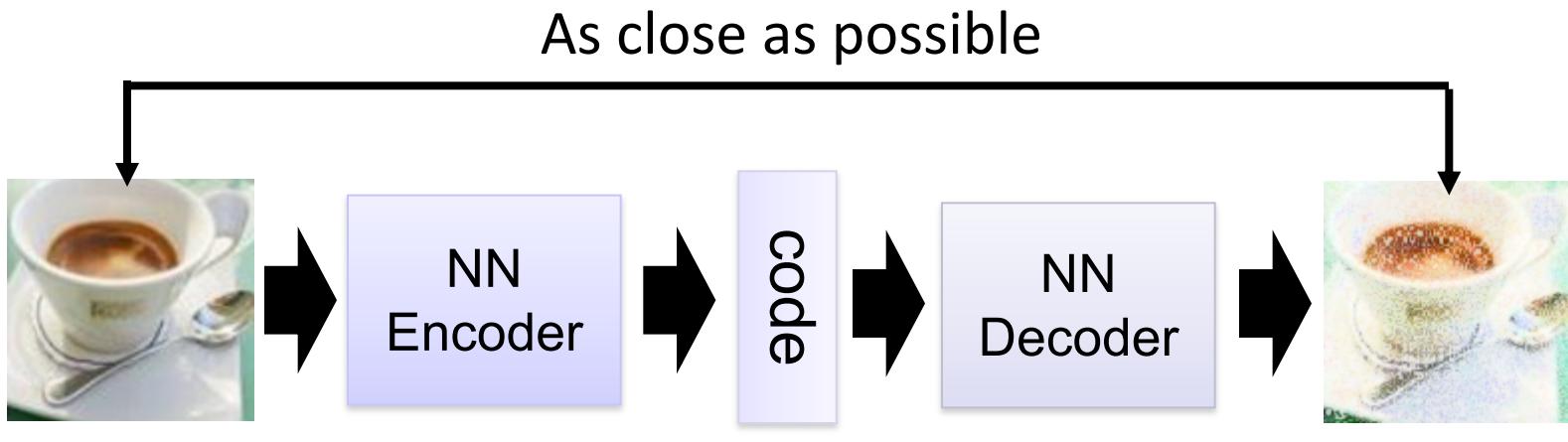
## Image Generation



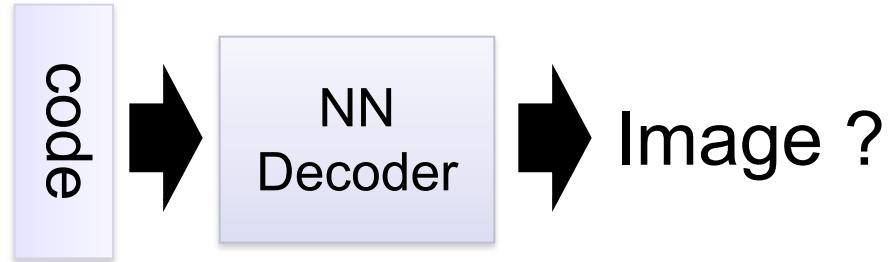
## Sentence Generation



# Autoencoder

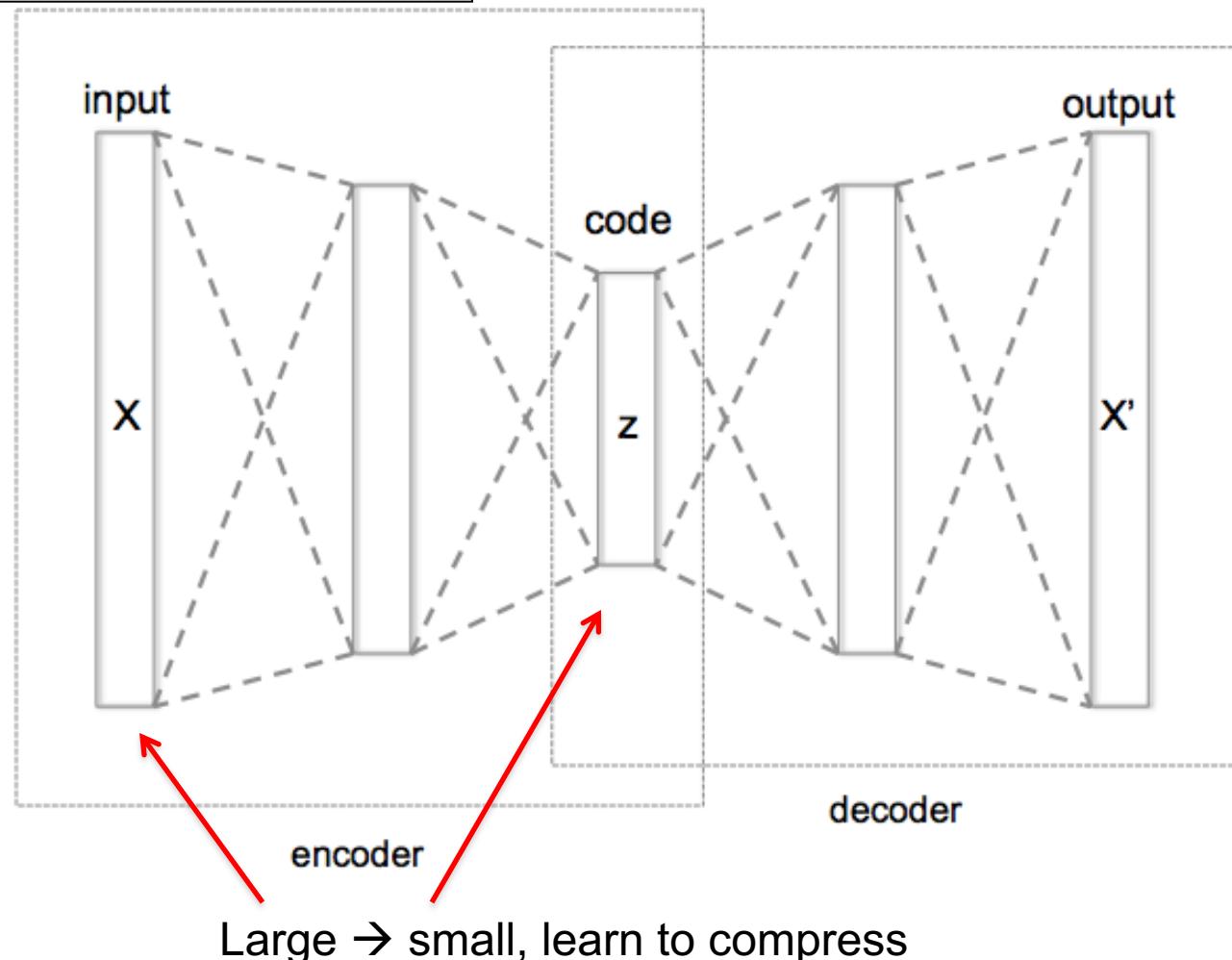


Randomly  
generate a vector  
as code

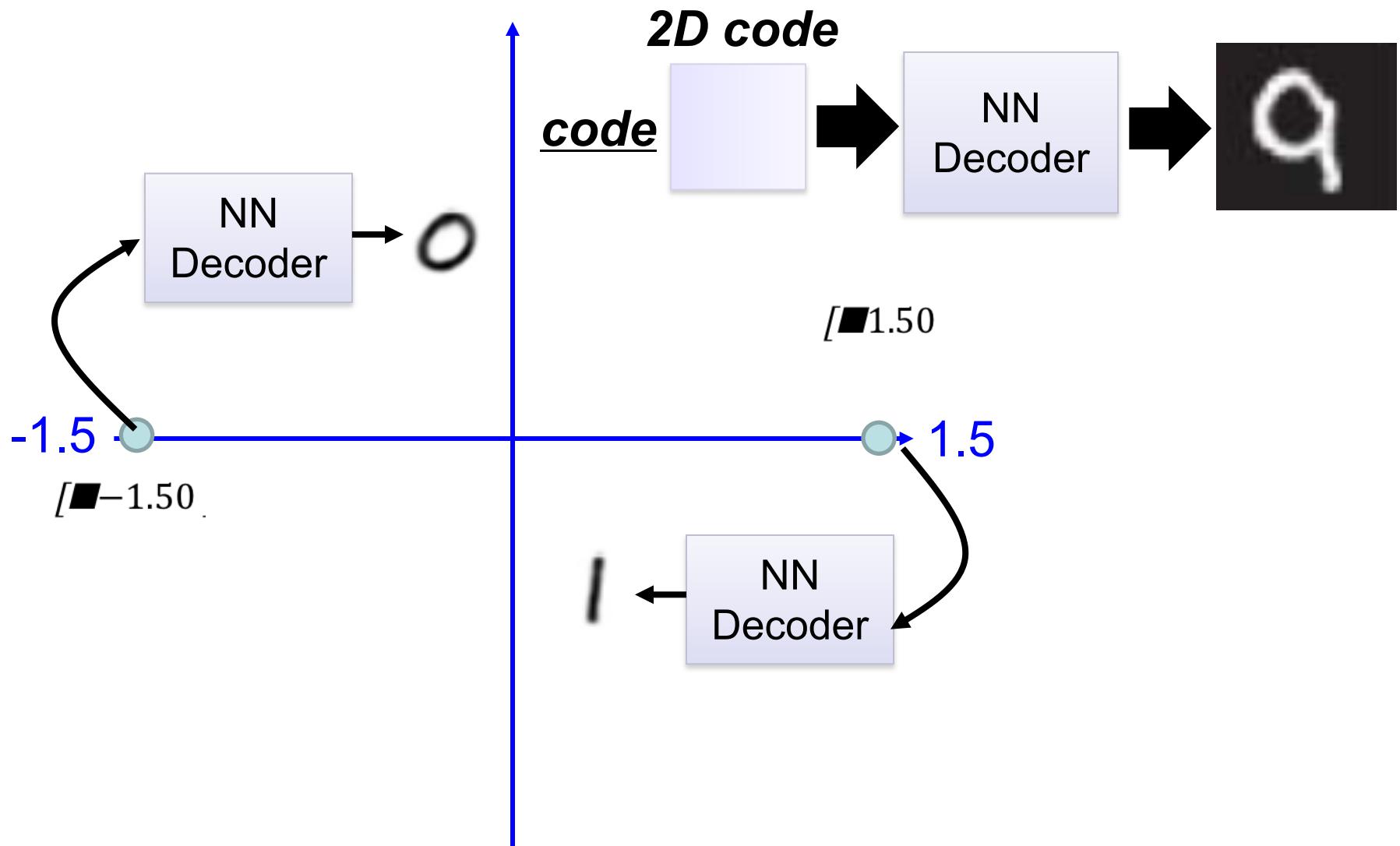


# Autoencoder with 3 fully connected layers

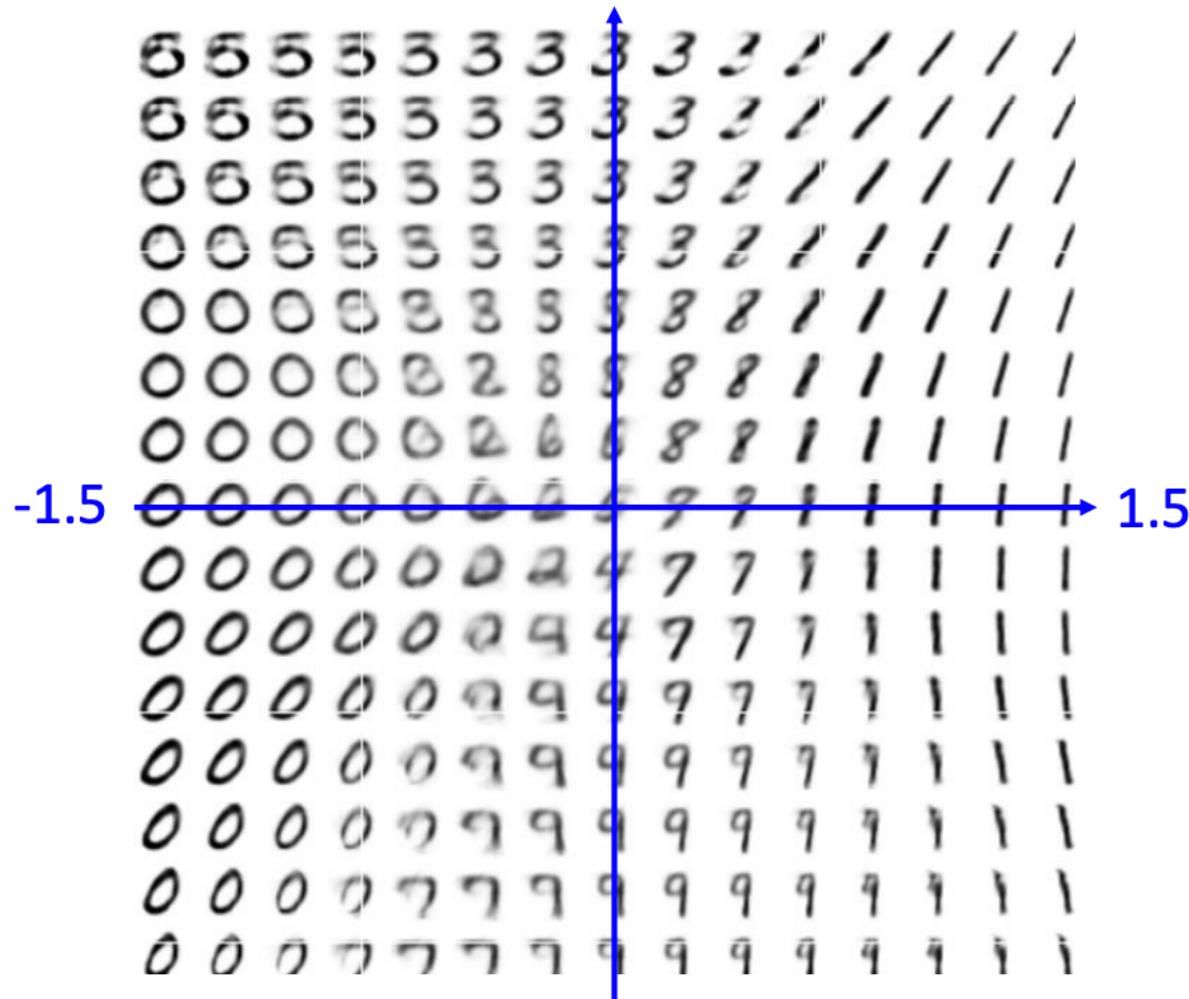
Training: `model.fit(X,X)`  
Cost function:  $\sum_{k=1..N} (x_k - x'_k)^2$



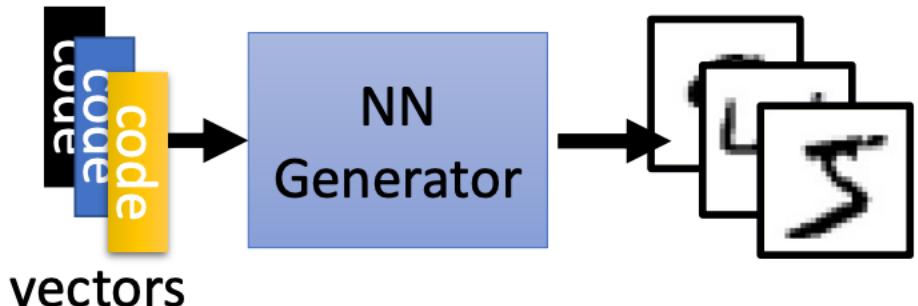
# Auto-encoder



# Auto-encoder



# Auto-encoder



code:  
(where does them  
come from?)

Image:

$$\begin{bmatrix} 0.1 \\ -0.5 \end{bmatrix}$$



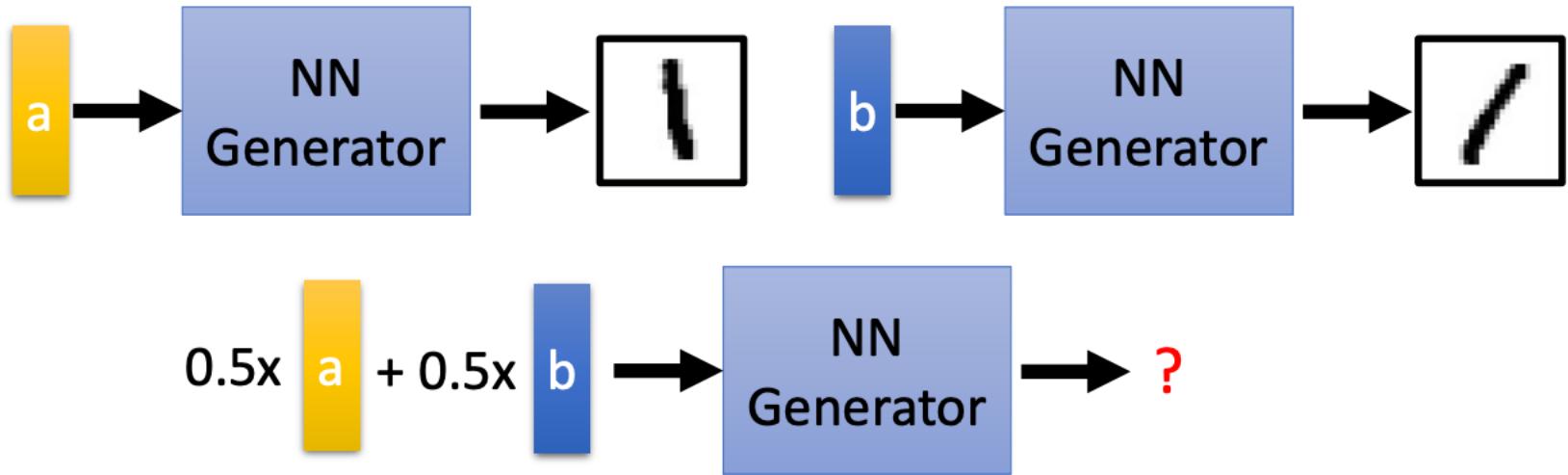
$$\begin{bmatrix} 0.1 \\ 0.9 \end{bmatrix}$$



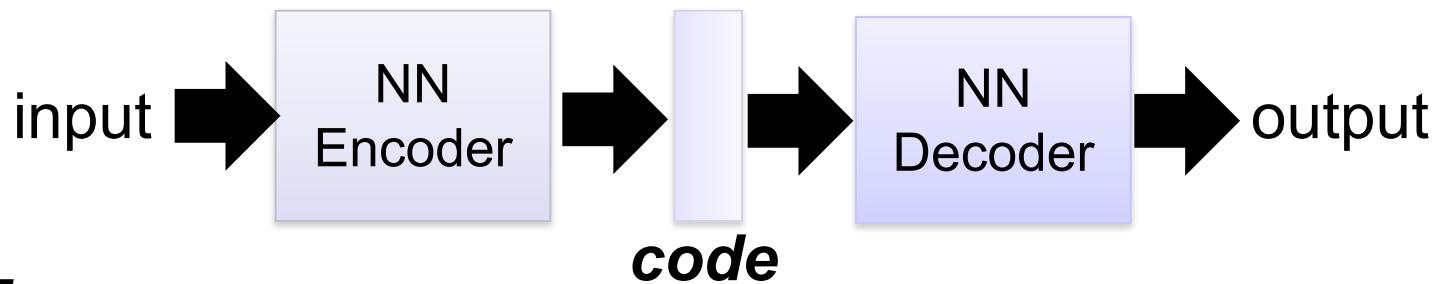
$$\begin{bmatrix} 0.2 \\ -0.1 \end{bmatrix}$$



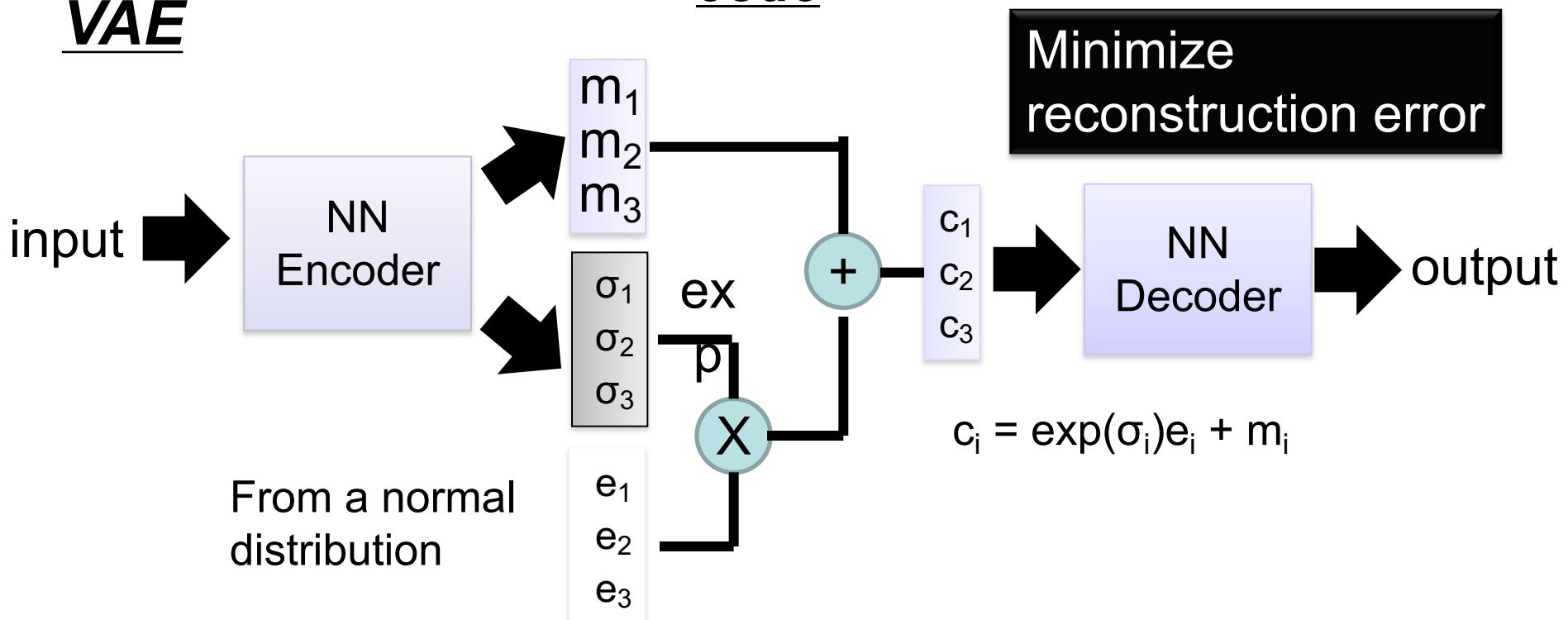
$$\begin{bmatrix} 0.3 \\ 0.2 \end{bmatrix}$$



# Auto-encoder

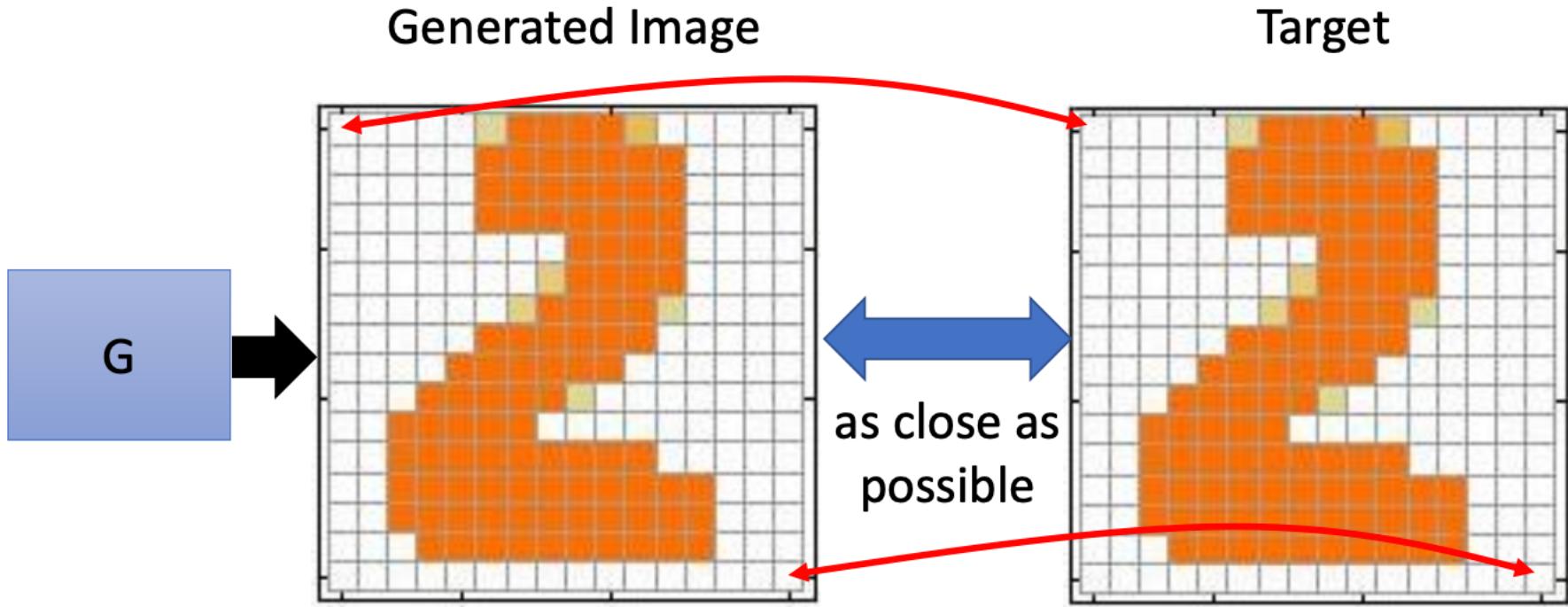


## VAE



Auto-Encoding Variational Bayes, <https://arxiv.org/abs/1312.6114>

# What do we miss?



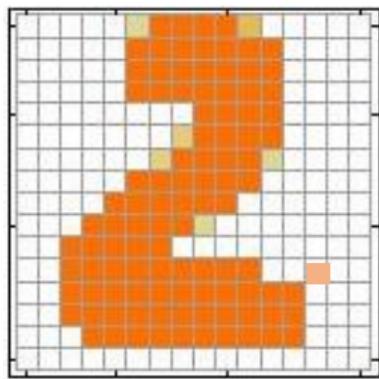
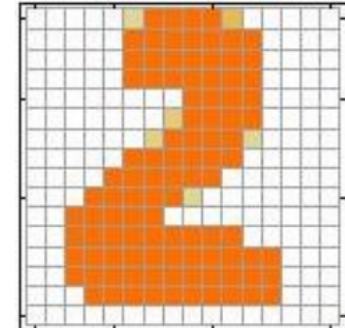
It will be fine if the generator can truly copy the target image.

What if the generator makes some mistakes .....

Some mistakes are serious, while some are fine.

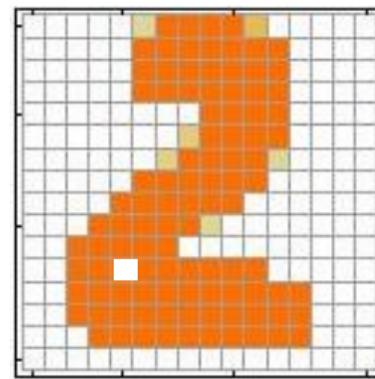
# What do we miss?

Target



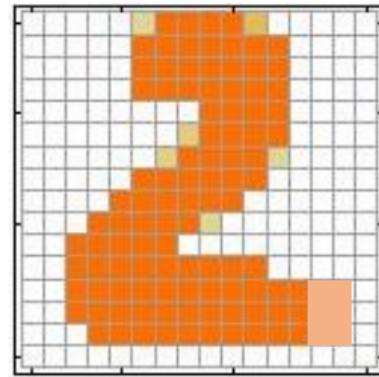
1 pixel error

I think it is bad



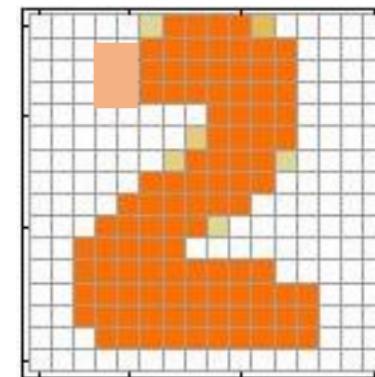
1 pixel error

I think it is bad



6 pixel errors

I think it is good

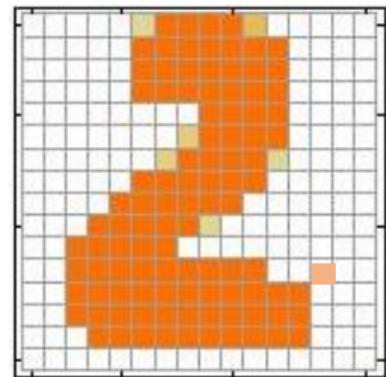


6 pixel errors

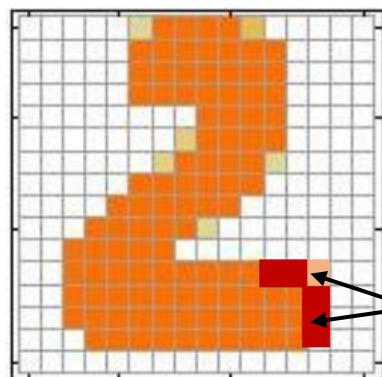
I think it is good

# What do we miss?

Each neural in output layer corresponds to a pixel.

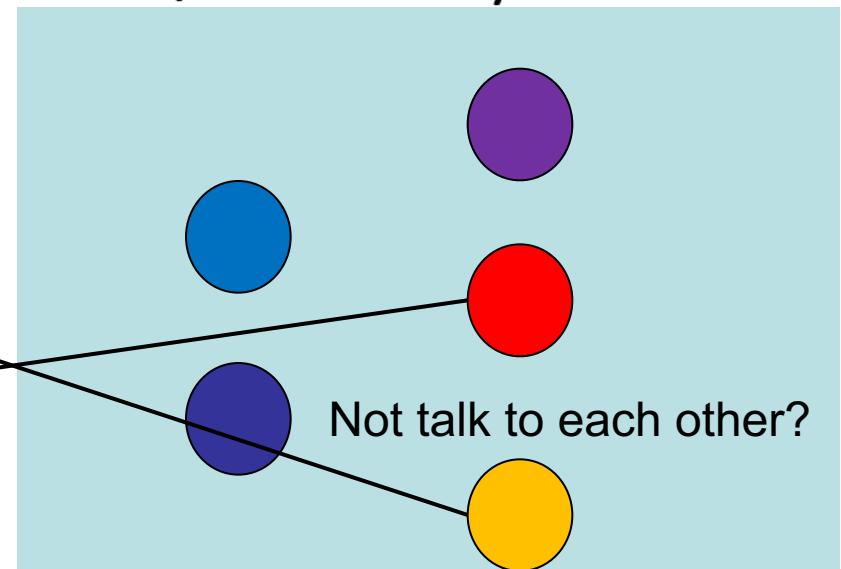


I think it is bad



I think it is good

Layer L-1      Layer L

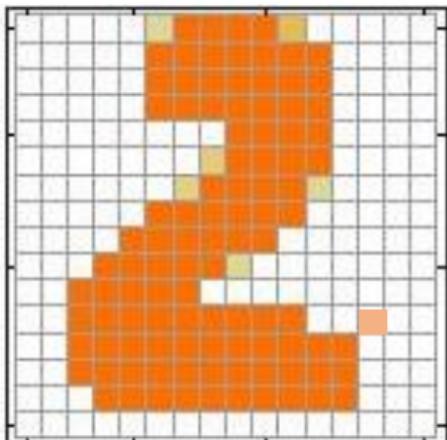


The relation between the components are critical.

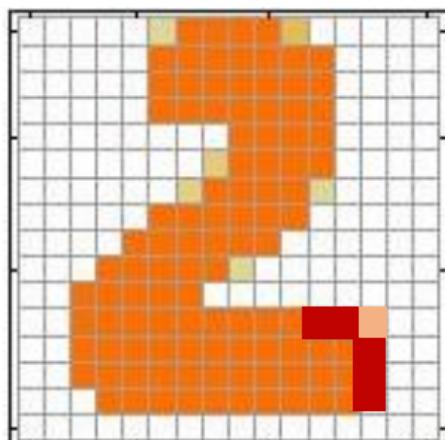
Although highly correlated, they cannot influence each other.

Need deep structure to catch the relation between components.

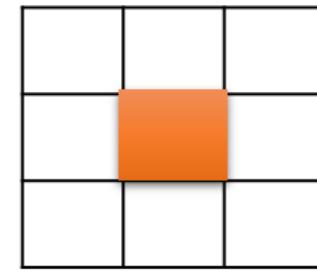
# Better Loss Design



I think it is bad



I think it is good



This CNN filter is  
good enough.

# Discriminator

- Suppose we already have a good discriminator  
 $D(x)$  ...

Inference

- Generate object  $\tilde{x}$  that

$$\tilde{x} = \arg \max_{x \in X} D(x)$$

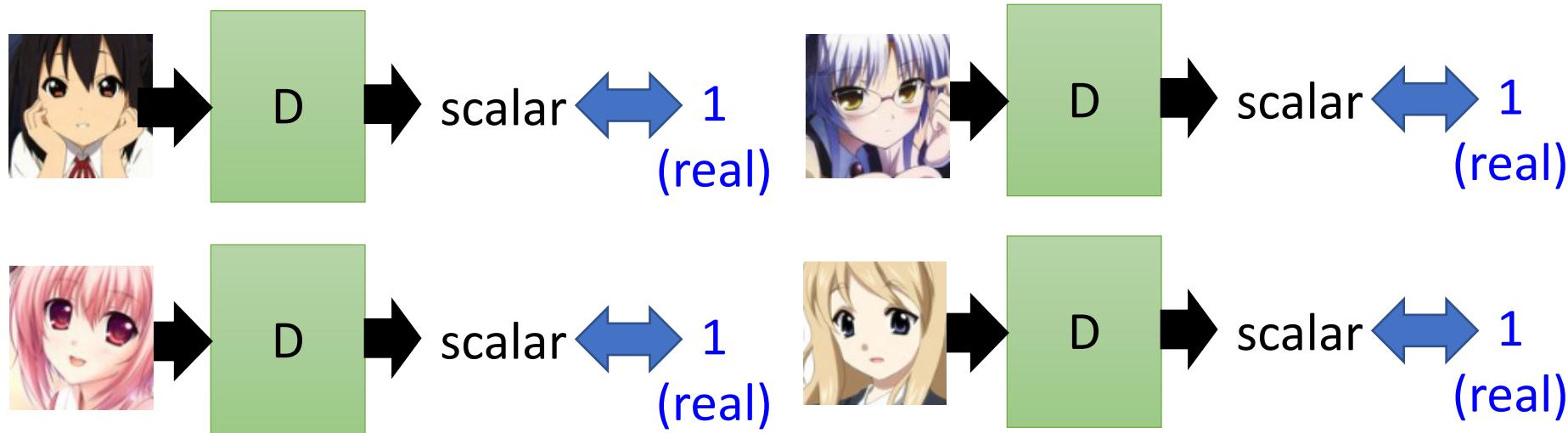
Enumerate all possible  $x$  !!!

It is feasible ???

How to learn the discriminator?

# Discriminator - Training

- I have some real images

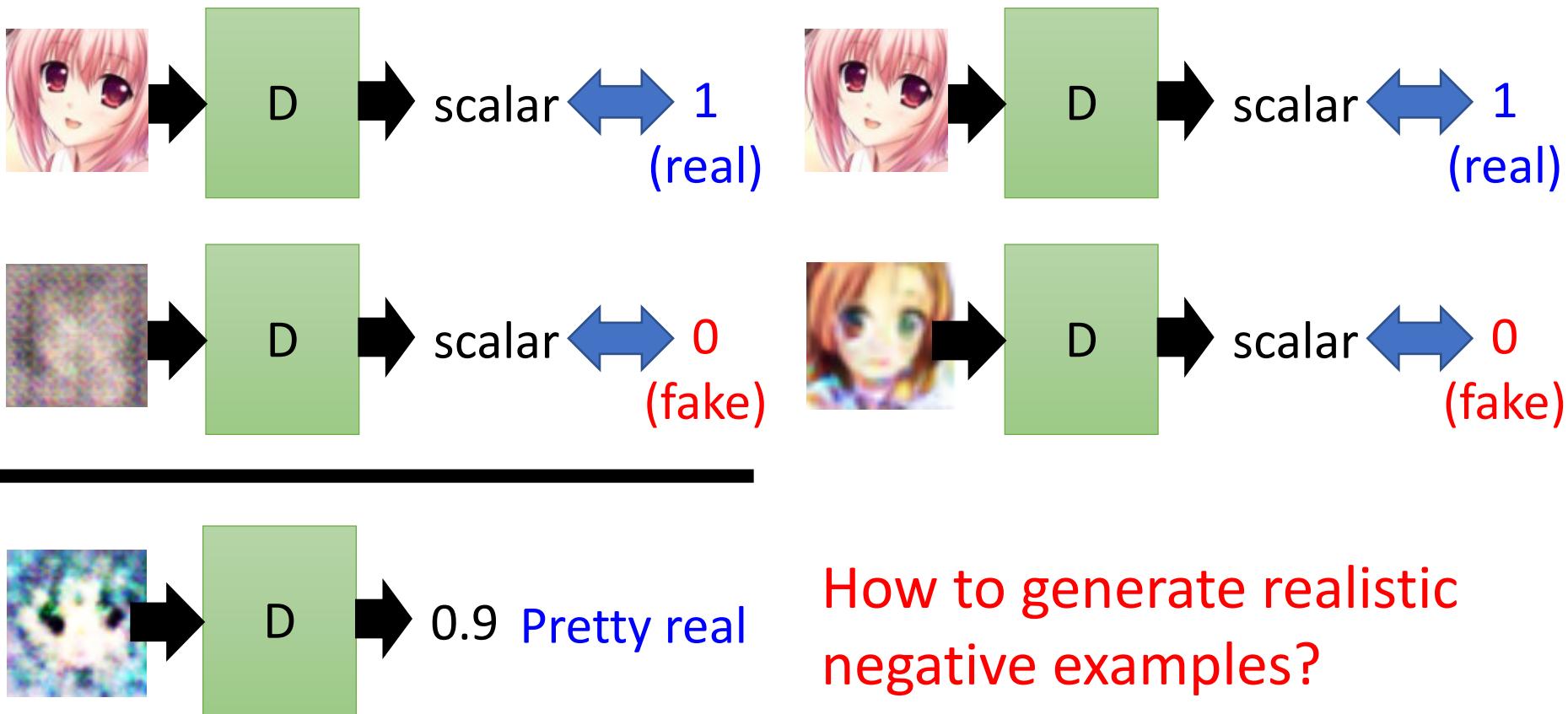


Discriminator only learns to output “1” (real).

Discriminator training needs some negative examples.

# Discriminator - Training

- Negative examples are critical.



# Discriminator - Training

- General Algorithm

- Given a set of **positive examples**, randomly generate a set of **negative examples**.

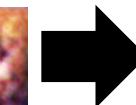


- In each iteration

- Learn a discriminator D that can discriminate positive and negative examples.



v.s.



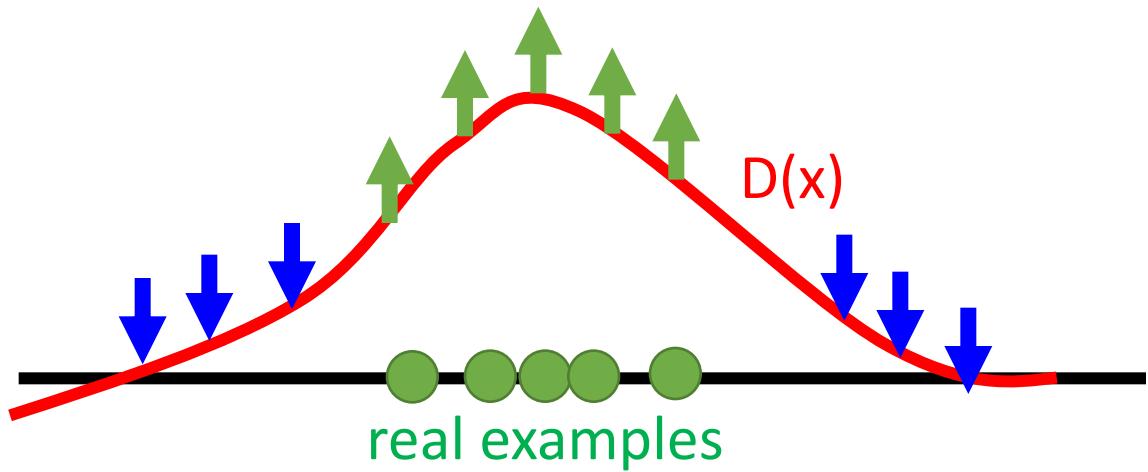
D

- Generate negative examples by discriminator D



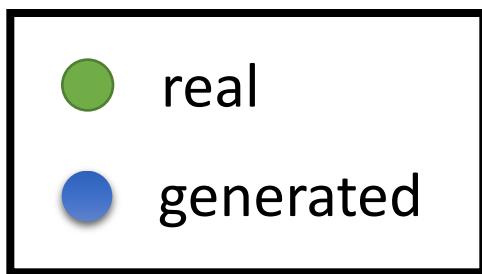
$$\tilde{x} = \arg \max_{x \in X} D(x)$$

# Discriminator - Training

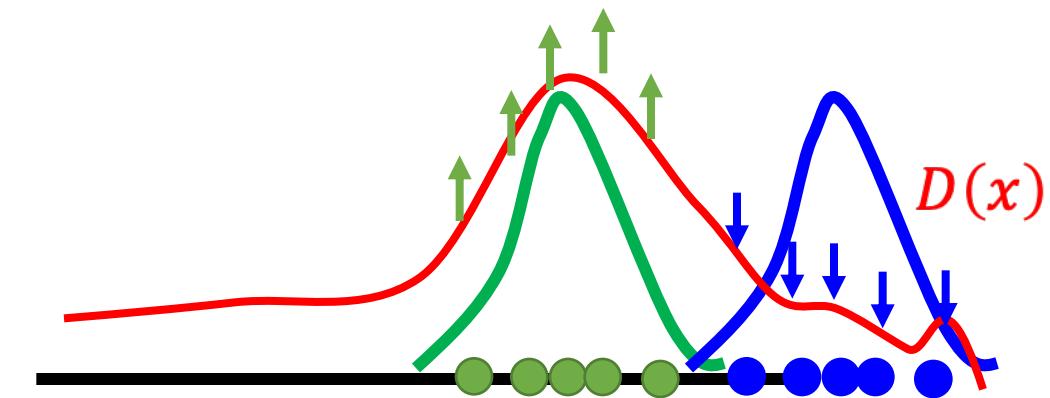
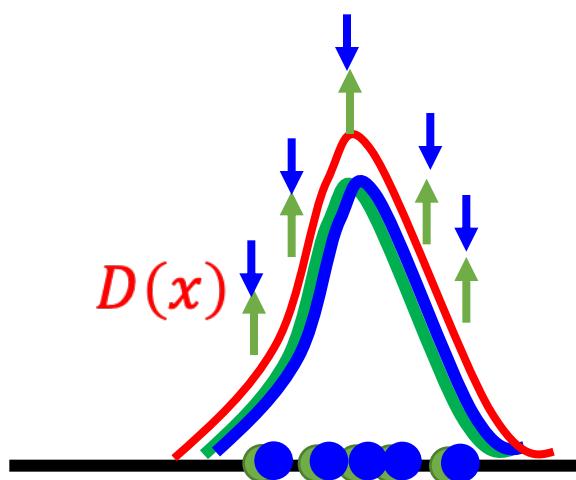
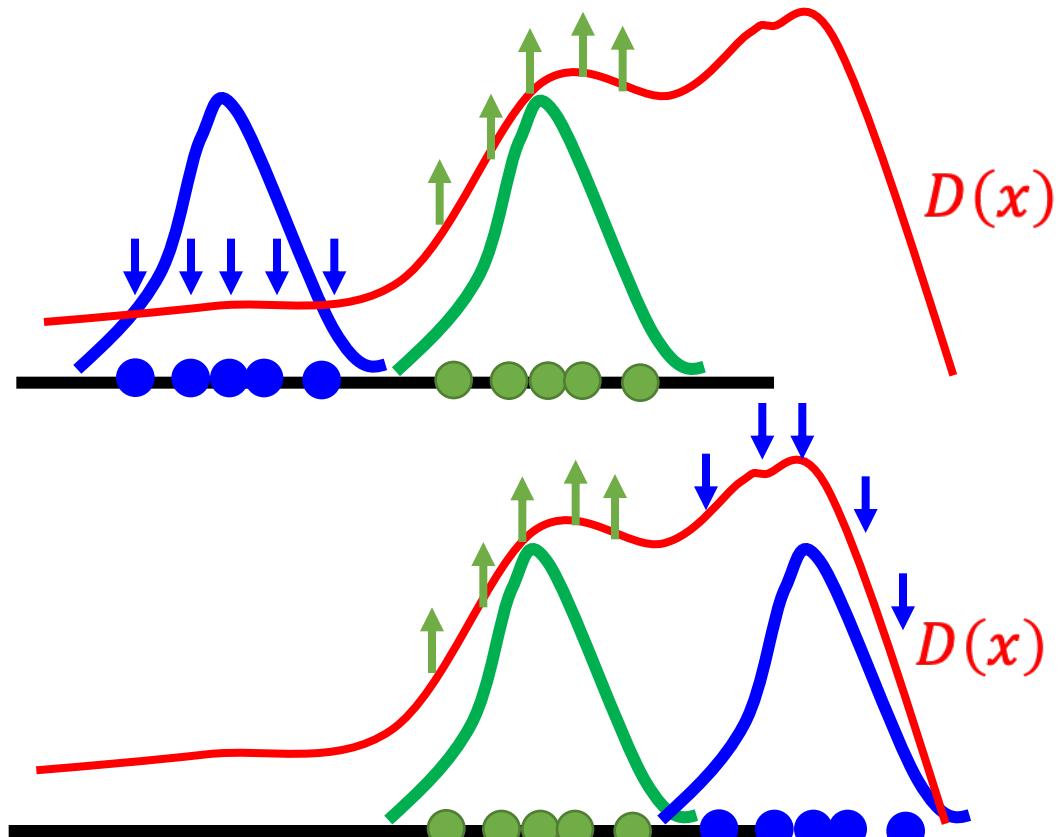


In practice, you cannot decrease all the  $x$  other than real examples.

# Discriminator - Training



In the end .....



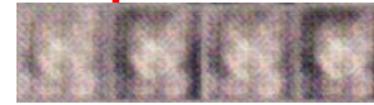
# Re-visit Discriminator Training

- **General Algorithm**



- Given a set of **positive examples**, randomly generate a set of **negative examples**.

- In each iteration



- Learn a discriminator D that can discriminate positive and negative examples.



v.s.

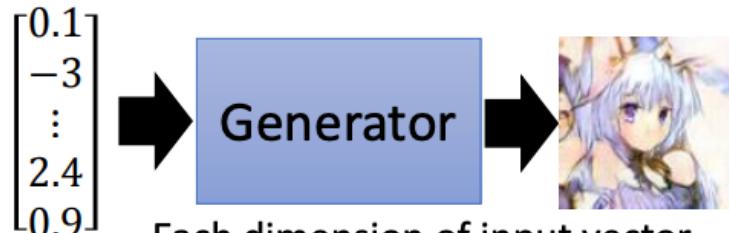
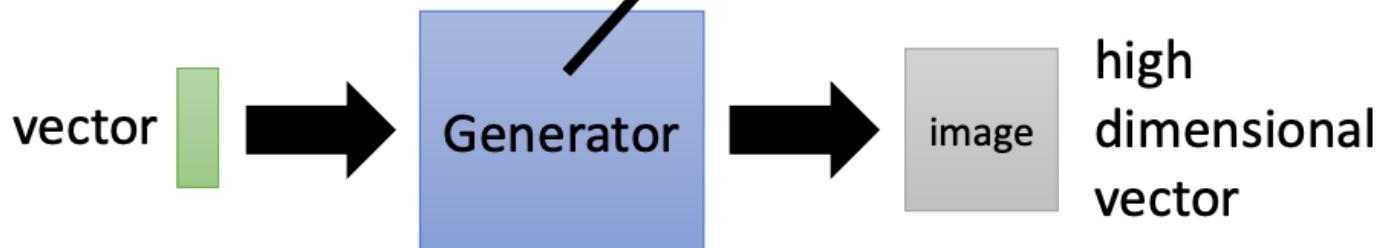


- Generate negative examples by discriminator D

Replace with Deep Neural Network

# Basic Idea of GAN

It is a neural network (NN), or a function.



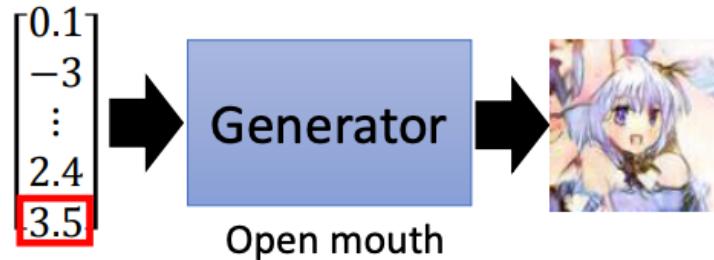
Each dimension of input vector represents some characteristics.



Longer hair



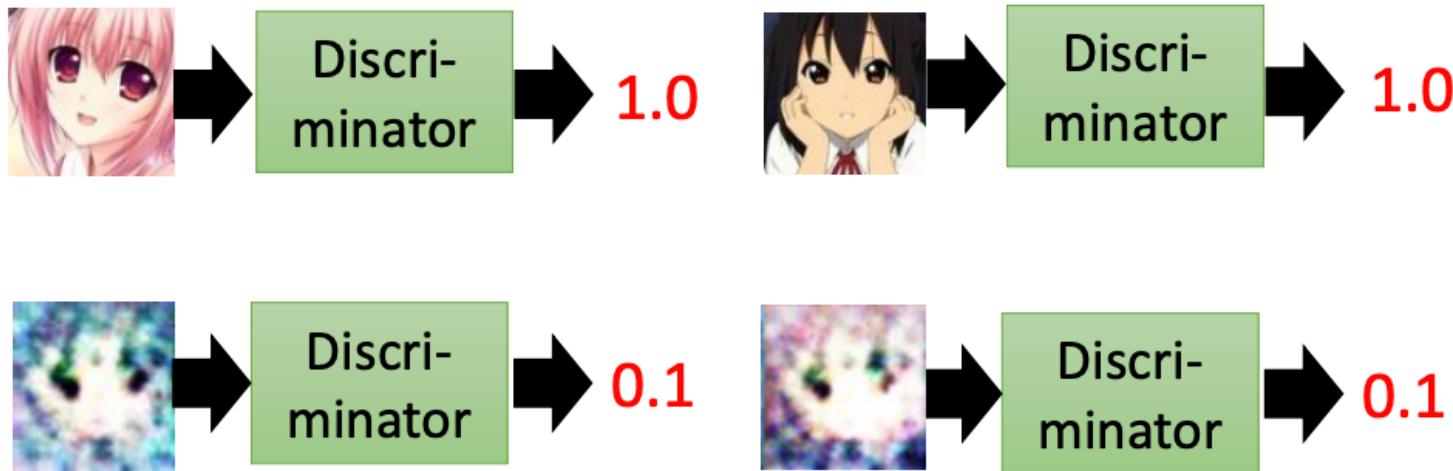
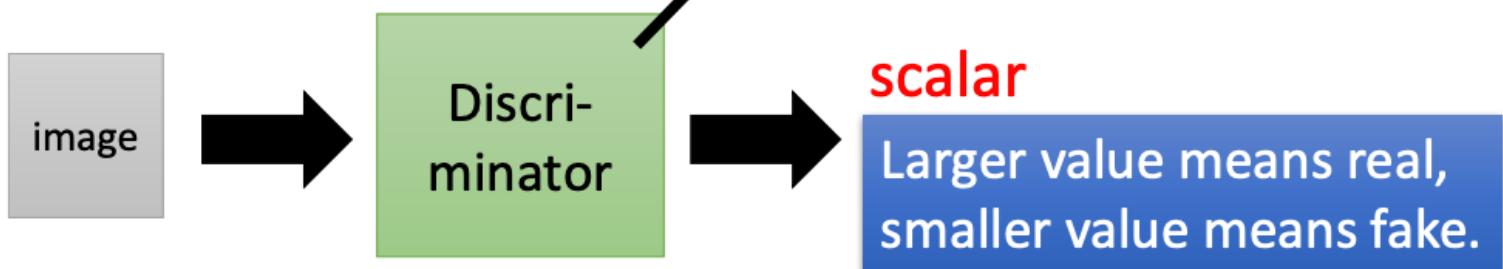
blue hair



Open mouth

# Basic Idea of GAN

It is a neural network (NN), or a function.



# Basic Idea of GAN



Brown

Generator

veins

Butterflies are  
not brown

Butterflies do  
not have veins

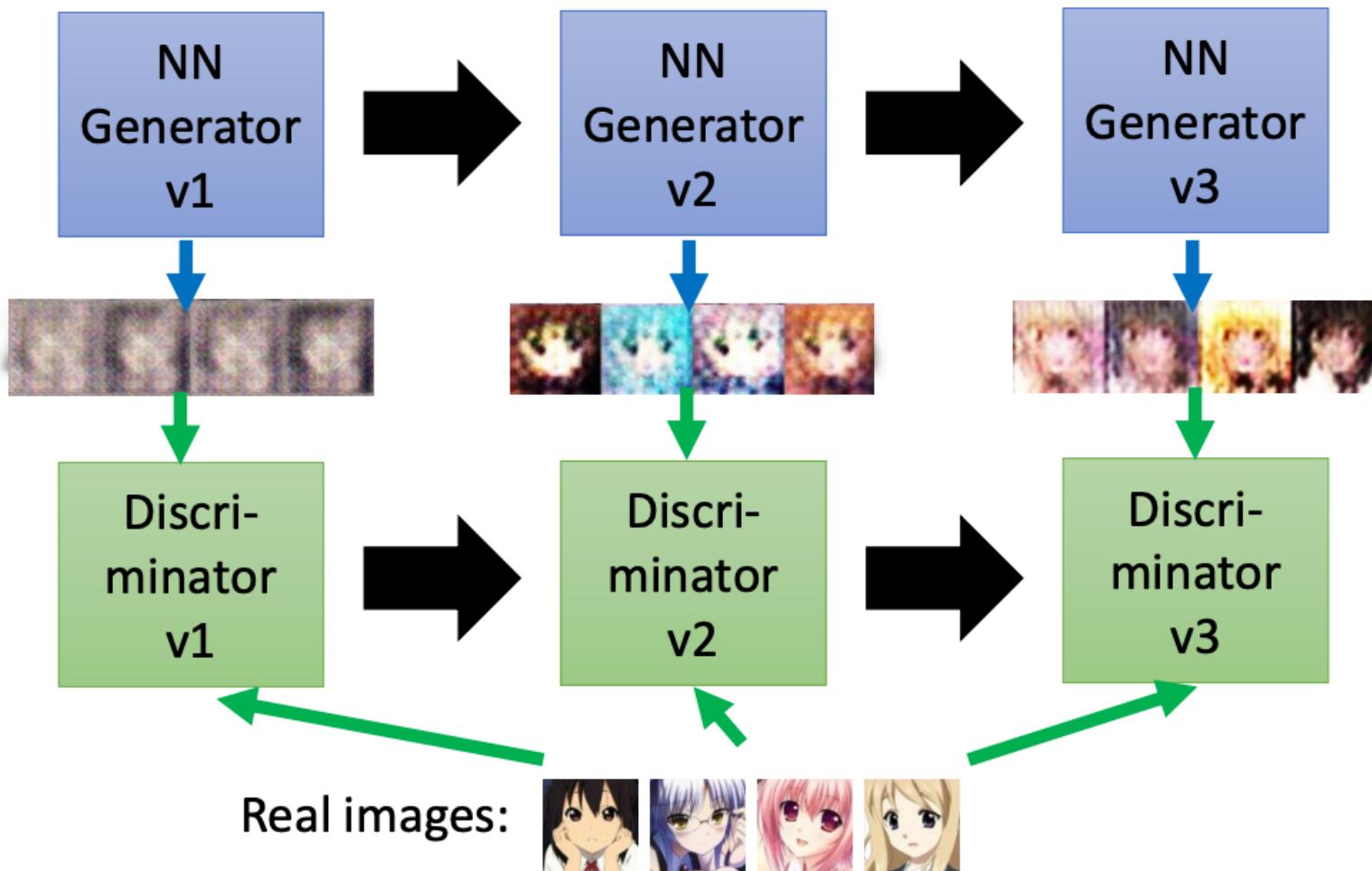
.....



Discriminator

# Basic Idea of GAN

This is where the term  
***“adversarial”*** comes from.  
You can explain the process  
in different ways.....



# Basic Idea of GAN

Generator  
(student)

Discriminator  
(teacher)



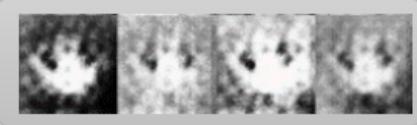
Generator  
v1



Discriminator  
v1

No two circles?

Generator  
v2



Discriminator  
v2

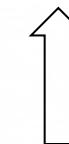
No colors?

Generator  
v3

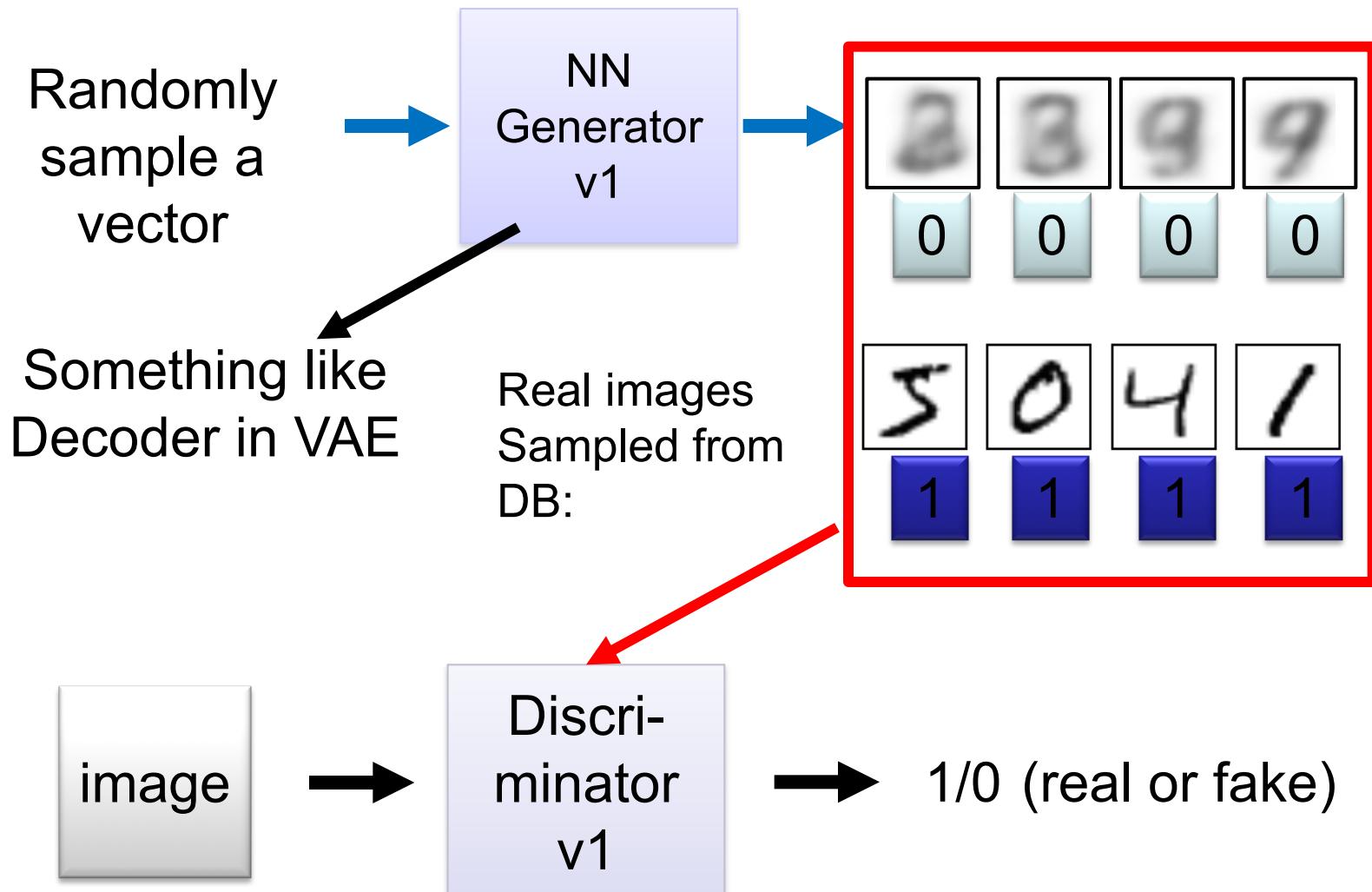


Why NOT learn by myself?

Why Not do it by yourself?



# GAN – Learn a discriminator



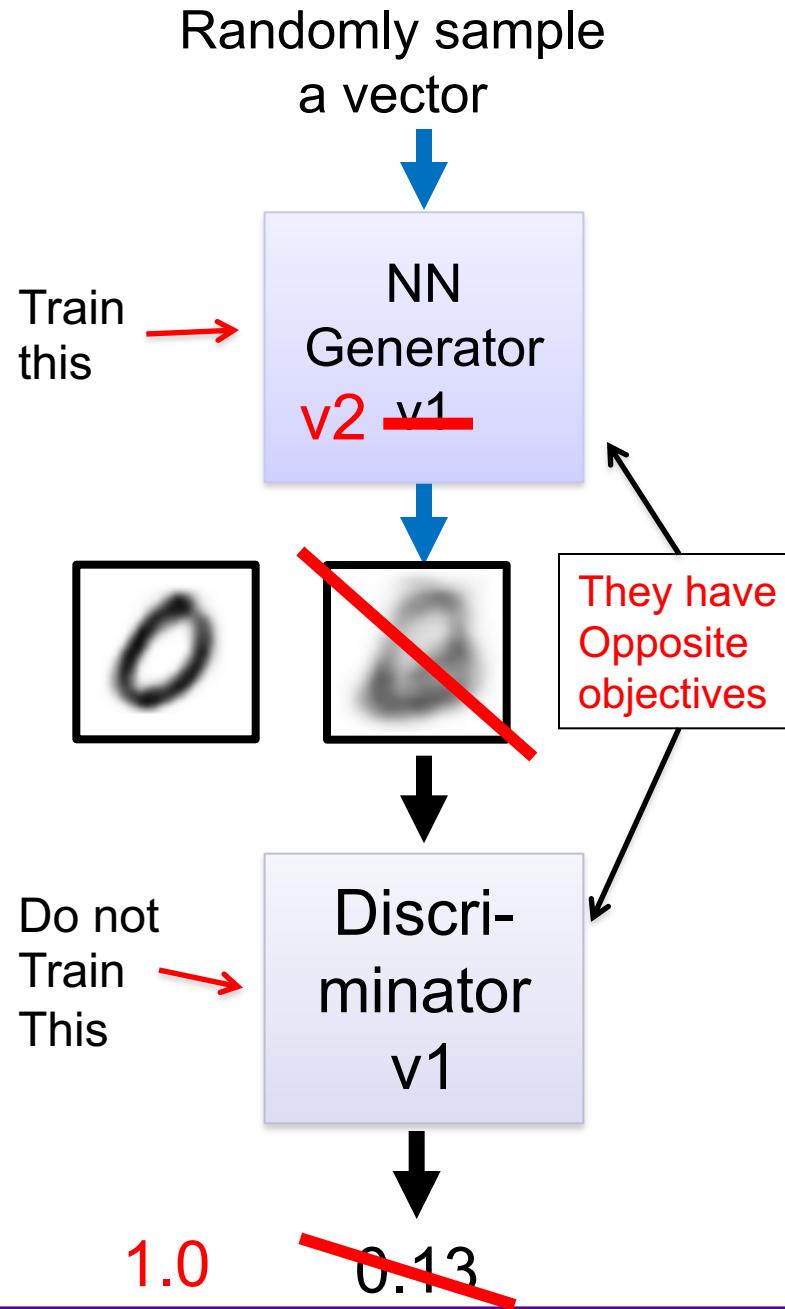
# GAN – Learn a generator

Updating the parameters of generator

→ The output be classified as “real” (as close to 1 as possible)

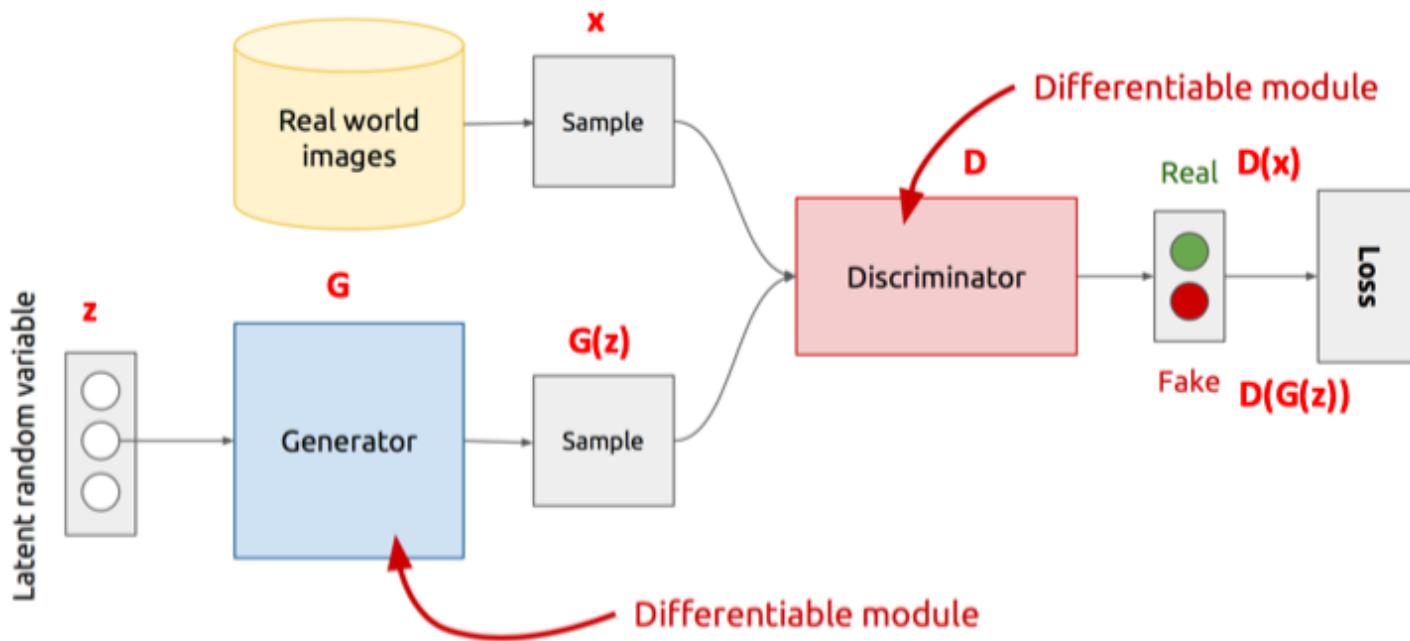
Generator + Discriminator = a network

Using gradient descent to update the parameters in the generator, but fix the discriminator



# Generative Adversarial Network

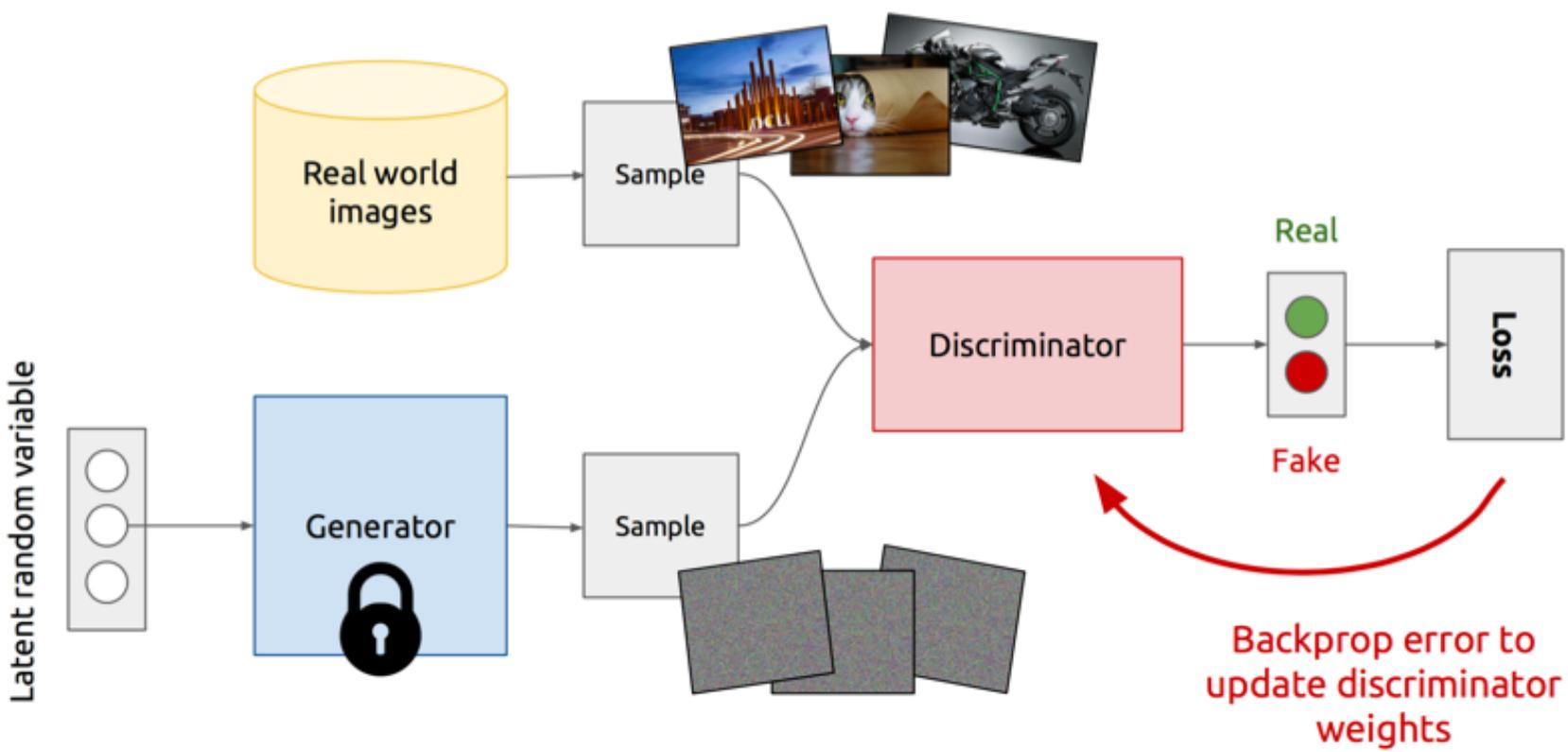
## GAN's Architecture



- $Z$  is some random noise (Gaussian/Uniform).
- $Z$  can be thought as the latent representation of the image.

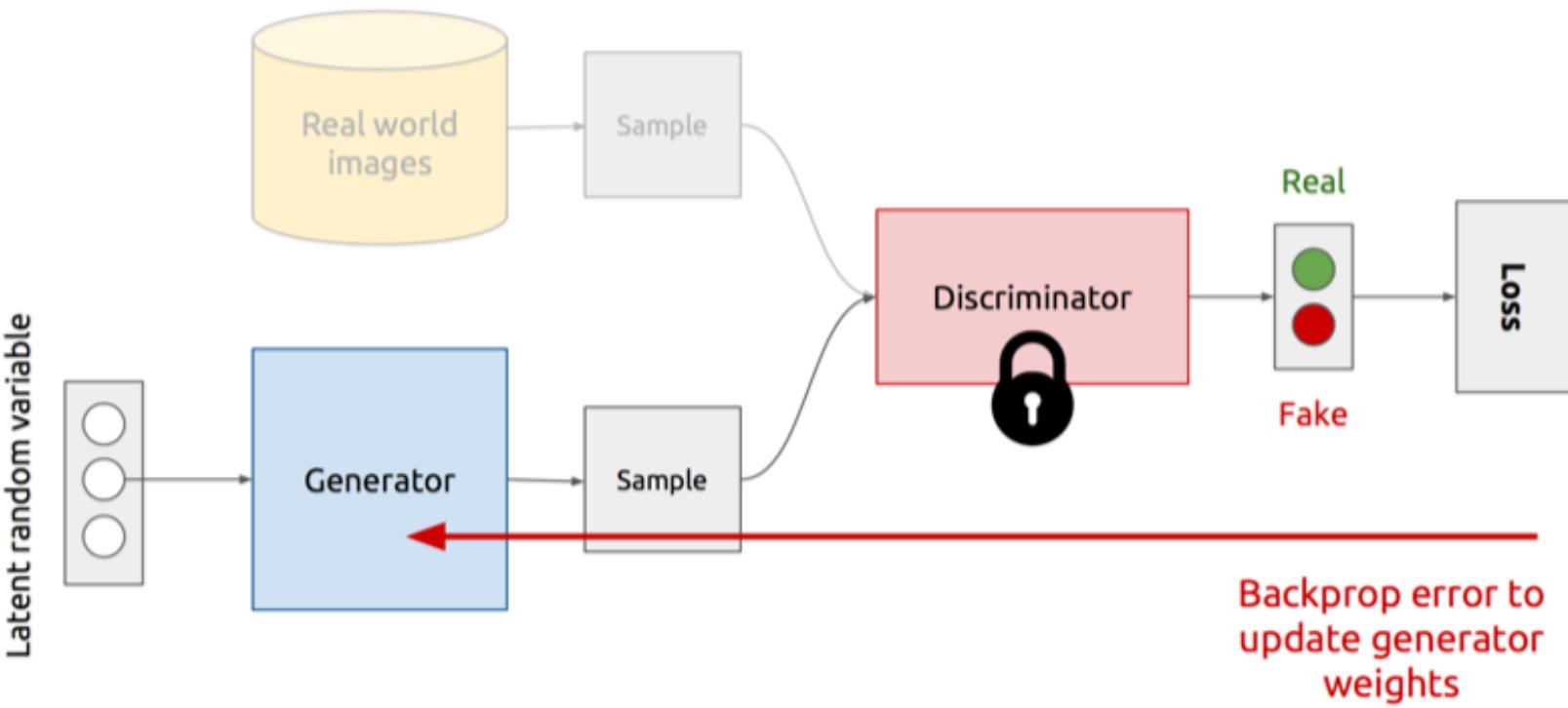
<https://www.slideshare.net/xavigiro/deep-learning-for-computer-vision-generative-models-and-adversarial-training-upc-2016>

# Training Discriminator



<https://www.slideshare.net/xavigiro/deep-learning-for-computer-vision-generative-models-and-adversarial-training-upc-2016>

# Training Generator



<https://www.slideshare.net/xavigiro/deep-learning-for-computer-vision-generative-models-and-adversarial-training-upc-2016>

# GAN Algorithms

- Generator G
  - $G$  is a function, input  $z$ , output  $x$
  - Given a prior distribution  $P_{\text{prior}}(z)$ , a probability distribution  $P_G(x)$  is defined by function  $G$
- Discriminator D
  - $D$  is a function, input  $x$ , output scalar
  - Evaluate the “difference” between  $P_G(x)$  and  $P_{\text{data}}(x)$
- In order for  $D$  to find difference between  $P_{\text{data}}$  from  $P_G$ , we need a cost function  $V(G,D)$ :  
$$G^* = \arg \min_G \max_D V(G,D)$$

Note, we are changing distribution  $G$ , not just update its parameters (as in the max likelihood case).

# GAN's formulation

$$\min_G \max_D V(D, G)$$

- It is formulated as a **minimax game**, where:
  - The Discriminator is trying to maximize its reward  $V(D, G)$
  - The Generator is trying to minimize Discriminator's reward (or maximize its loss)
- The Nash equilibrium of this particular game is achieved at:
  - $P_{data}(x) = P_{gen}(x) \quad \forall x$
  - $D(x) = \frac{1}{2} \quad \forall x$

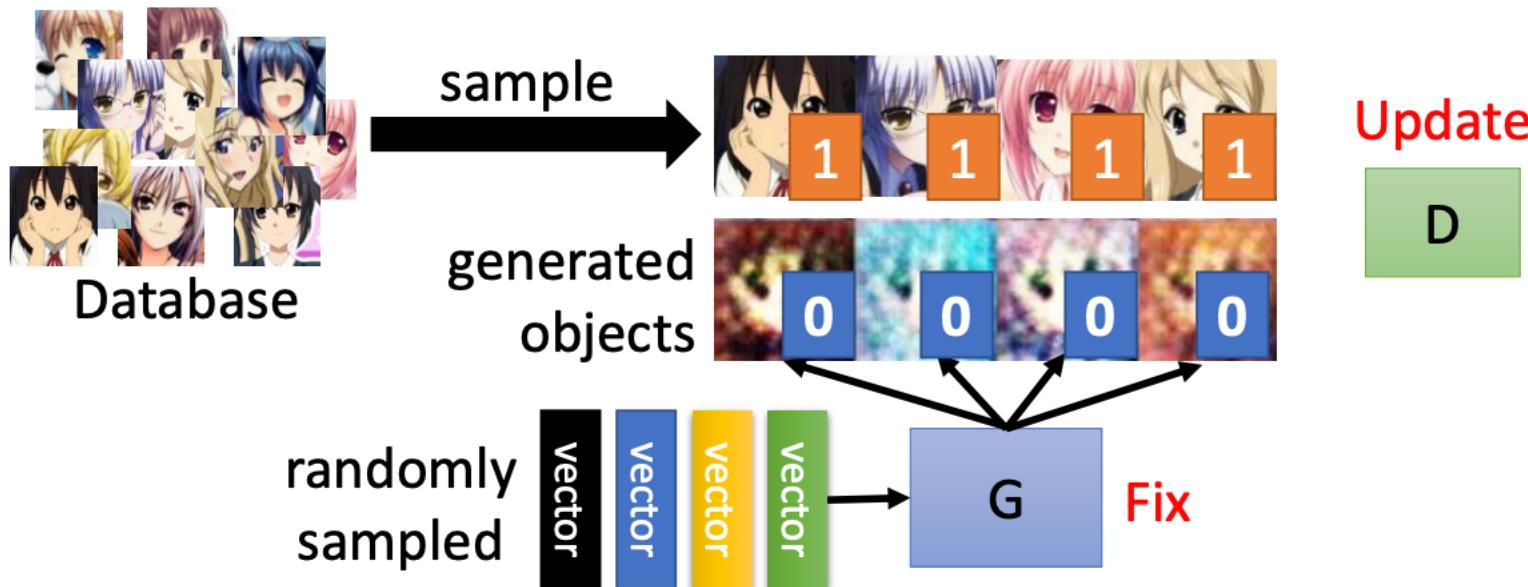
$$V(D, G) = \boxed{\mathbb{E}_{x \sim p(x)} [\log D(x)]} + \boxed{\mathbb{E}_{z \sim q(z)} [\log(1 - D(G(z)))]}$$

# Algorithm

- Initialize generator and discriminator
- In each training iteration:



**Step 1:** Fix generator G, and update discriminator D



Discriminator learns to assign high scores to real objects and low scores to generated objects.

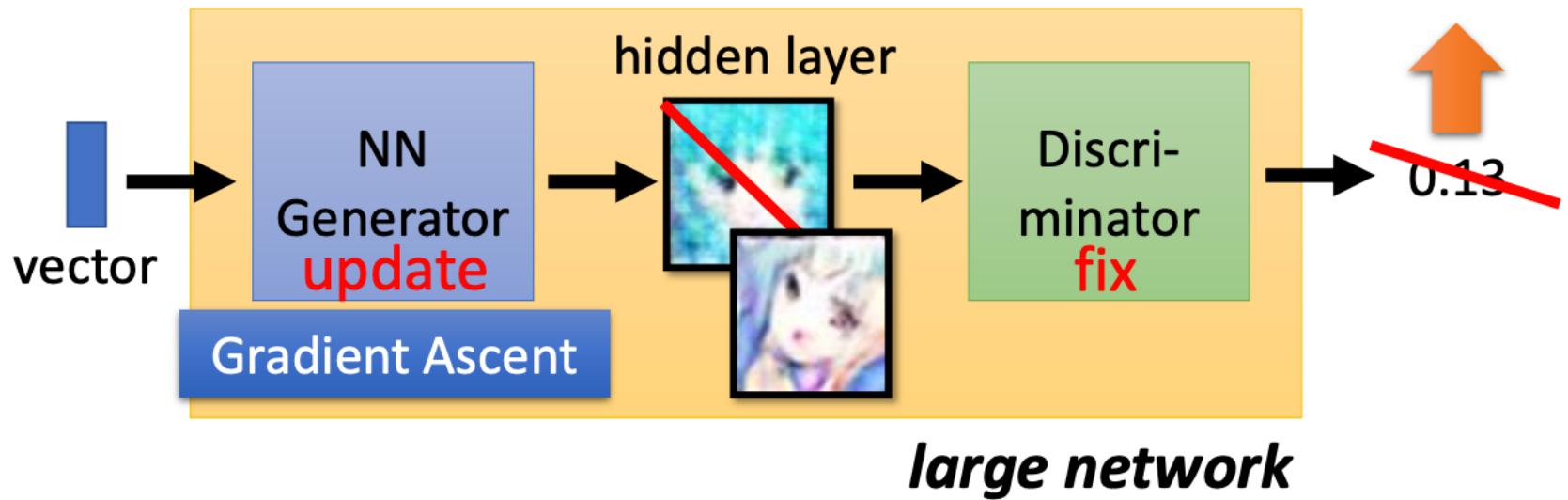
## Algorithm

- Initialize generator and discriminator
- In each training iteration:



**Step 2:** Fix discriminator D, and update generator G

Generator learns to “fool” the discriminator



## Algorithm

Initialize  $\theta_d$  for D and  $\theta_g$  for G

- In each training iteration:

Learning  
D

- Sample m examples  $\{x^1, x^2, \dots, x^m\}$  from database
- Sample m noise samples  $\{z^1, z^2, \dots, z^m\}$  from a distribution
- Obtaining generated data  $\{\tilde{x}^1, \tilde{x}^2, \dots, \tilde{x}^m\}$ ,  $\tilde{x}^i = G(z^i)$
- Update discriminator parameters  $\theta_d$  to maximize
  - $\tilde{V} = \frac{1}{m} \sum_{i=1}^m \log D(x^i) + \frac{1}{m} \sum_{i=1}^m \log (1 - D(\tilde{x}^i))$
  - $\theta_d \leftarrow \theta_d + \eta \nabla \tilde{V}(\theta_d)$

Learning  
G

- Sample m noise samples  $\{z^1, z^2, \dots, z^m\}$  from a distribution
- Update generator parameters  $\theta_g$  to maximize
  - $\tilde{V} = \frac{1}{m} \sum_{i=1}^m \log (D(G(z^i)))$
  - $\theta_g \leftarrow \theta_g - \eta \nabla \tilde{V}(\theta_g)$

# Generator v.s. Discriminator

- **Generator**

- Pros:

- Easy to generate even with deep model

- Cons:

- Imitate the appearance
  - Hard to learn the correlation between components

- **Discriminator**

- Pros:

- Considering the big picture

- Cons:

- Generation is not always feasible
    - Especially when your model is deep
  - How to do negative sampling?

# Generator + Discriminator

- **General Algorithm**

- Given a set of **positive examples**, randomly generate a set of **negative examples**.



- In each iteration



- Learn a discriminator D that can discriminate positive and negative examples.



v.s.



D

- Generate negative examples by discriminator D

$$\boxed{G \rightarrow \tilde{x}}$$

$$= \boxed{\tilde{x} = \arg \max_{x \in X} D(x)}$$

# Benefit of GAN

- From Discriminator's point of view
  - Using generator to generate negative samples

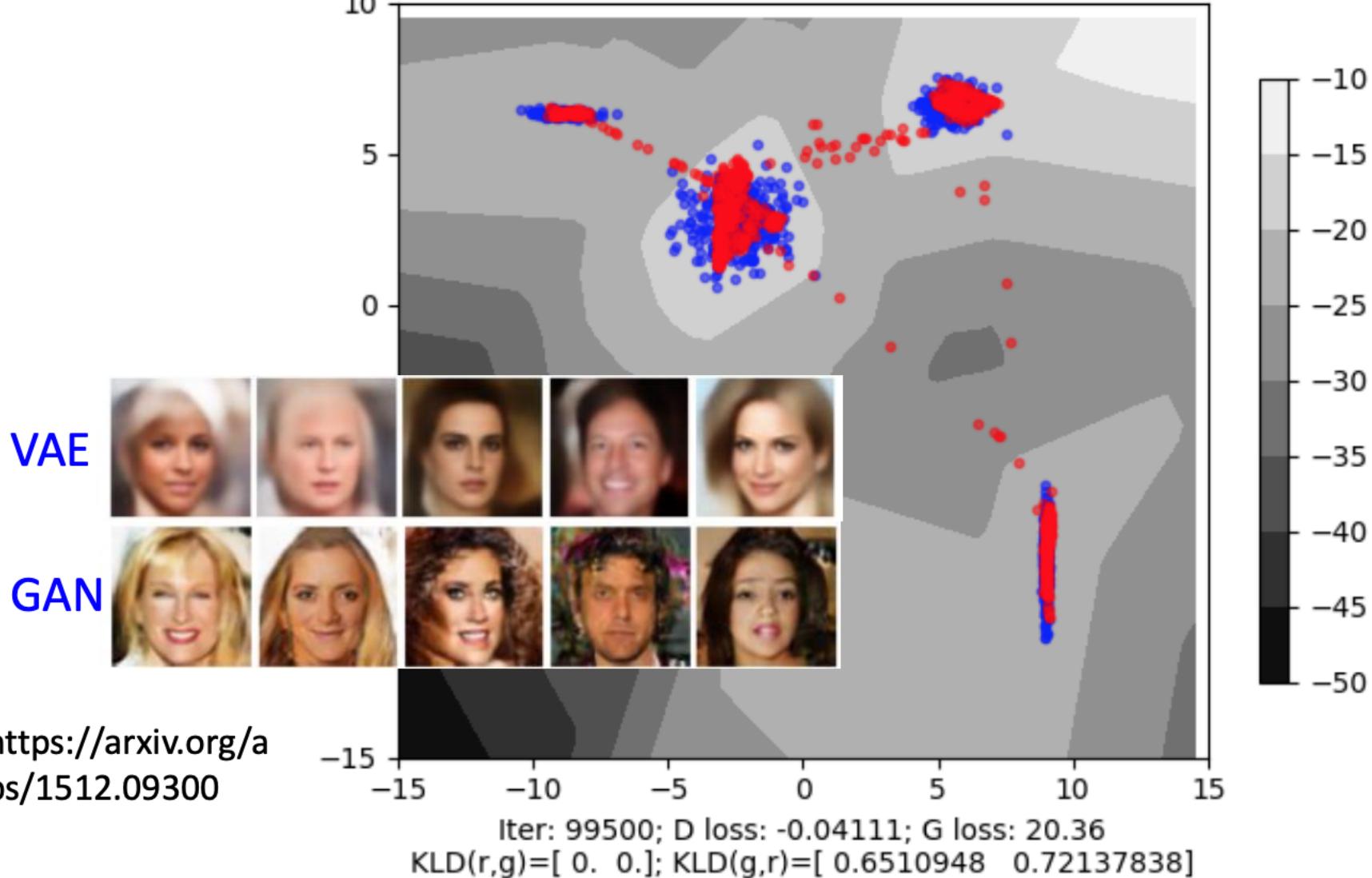
$$\boxed{\begin{array}{c} \text{G} \\ \longrightarrow \widetilde{x} \end{array}} = \boxed{\widetilde{x} = \arg \max_{x \in X} D(x)}$$

efficient

- From Generator's point of view
  - Still generate the object component-by-component
  - But it is learned from the discriminator with global view.

# GAN

wgan-gp-sub1000-gauss4  
Samples and Decision Boundary  
G: 2\*20; D: 4\*10; prior dim: 2



# References

- <http://slazebni.cs.illinois.edu/spring17/>
- <https://cs.uwaterloo.ca/~mli/Deep-Learning-2017-Lecture7GAN.ppt>