



# M F S PRIVACY NOTICE

Maxwell Financial Services, we understand that confidentiality and security of the personal information that you share with us is important. That is why we have developed specific policies and practices designed to protect the privacy of your personal information.

By opening an account with Maxwell Financial Services or by utilizing the products and services available through Maxwell Financial Services you have consented to the collection and use of your personal information in accordance with the privacy notice set forth below. We encourage you to read this privacy notice carefully.

Maxwell Financial Services does not sell customer lists or customer email addresses to third party marketers. In order to provide brokerage services and to comply with regulatory requirements, Maxwell Financial Services collects certain personal, nonpublic information from you. This includes information:

Provided during the Maxwell Financial Services account application process (e.g., your name, e-mail address, telephone number, birth date, social security number, investment objectives, etc.);

Acquired as a result of the transactions you conduct through the Maxwell Financial Services system; Received from consumer-reporting agencies;