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# 1 Potent Targeting of the STAT3 Protein in Brain Cancer Stem Cells: A 2 Promising Route for Treating Glioblastoma

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  - Supporting Information

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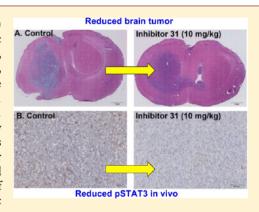
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ABSTRACT: The STAT3 gene is abnormally active in glioblastoma (GBM) and is a critically important mediator of tumor growth and therapeutic resistance in GBM. Thus, for poorly treated brain cancers such as gliomas, astrocytomas, and glioblastomas, which harbor constitutively activated STAT3, a STAT3-targeting therapeutic will be of significant importance. Herein, we report a most potent, small molecule, nonphosphorylated STAT3 inhibitor, 31 (SH-4-54) that strongly binds to STAT3 protein ( $K_D = 300 \text{ nM}$ ). Inhibitor 31 potently kills glioblastoma brain cancer stem cells (BTSCs) and effectively suppresses STAT3 phosphorylation and its downstream transcriptional targets at low nM concentrations. Moreover, in vivo, 31 exhibited blood-brain barrier (BBB) permeability, potently suppressed glioma tumor growth, and inhibited pSTAT3 in vivo. This work, for the first time, demonstrates the power of STAT3 inhibitors for the treatment of BTSCs and validates the therapeutic efficacy of a STAT3 inhibitor for GBM clinical application.



KEYWORDS: STAT3, BTSCs, glioblastoma, small-molecule inhibitor, antitumor cell effects

lioblastoma (GBM) is considered the most aggressive  $oldsymbol{ extstyle J}$  and lethal of brain cancers, with a median survival after 30 treatment of approximately 15 months; shockingly, these 31 modest results can only be achieved in the relatively young, 32 otherwise healthy patients. Moreover, GBM is neither 33 preventable, nor detectable at a stage when early treatment 34 might be more effective. Furthermore, despite intensive 35 research, major improvements in overall survival have remained 36 elusive.

Brain tumors have been demonstrated to contain rare 38 subpopulations of brain tumor stem cells (BTSCs), which 39 possess the cardinal stem cell properties of clonogenic self-40 renewal, multipotency, and tumorigenicity.<sup>2</sup> The extensive self-41 renewal and proliferative capacity of BTSCs coupled with their 42 insensitivity to conventional radio- and chemotherapies<sup>3,4</sup> 43 suggest that they are integral to the growth and post-treatment 44 recurrence of GBM. As such, BTSCs represent a reservoir of 45 disease that require novel therapeutic approaches to eliminate 46 in order to improve the outcome of GBM. Recently, Carro et 47 al.5 demonstrated that the signal transducer and activator of 48 transcription 3 (STAT3) gene is abnormally active in GBM,

and is a critically important mediator of tumor growth and 49 therapeutic resistance in GBM. Poorly treated brain cancers 50 such as gliomas, astrocytomas, and glioblastomas harbor 51 constitutively activated STAT3. In addition, a growing body 52 of recent evidence gathered using a variety of different small 53 molecules that indirectly inhibit STAT3 by targeting upstream 54 molecules such as the JAK family members<sup>6</sup> strongly suggest 55 that STAT3 signaling is crucial for the survival and proliferation 56 of BTSCs and GBM both in vitro and in vivo. However, 57 because of their broad targeting nature, these drugs have 58 limited translational potential due to numerous side effects. 59 Hence, drugs with the ability to more specifically block STAT3 60 activity may provide effective treatment of GBM.

Briefly, STAT3 is a member of the STAT family of 62 transcription factor proteins. STAT3 is activated through 63 phosphorylation of tyrosine 705 (Y705) that initiates complex- 64 ation of two phosphorylated STAT3 monomers (pSTAT3). 65

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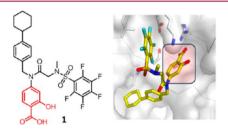


Figure 1. In silico docking images of inhibitor 1.

83 proliferation, migration, invasion, and motility. It showed little
84 or no effect on phosphorylation of Jak-1/2, Shc, Src, or Akt.
85 **BP-1-102** exhibited potent antitumor effects in in vivo
86 xenograft models of lung and breast cancer. Western blots of
87 tumors showed repression in pSTAT3 in a dose-dependent
88 manner. 18-20 We hypothesized that since the STAT3 pathway
89 is a key oncogenic driver in brain tumor stem cells, direct
90 inhibition of STAT3 would provide a targeted route for
91 managing GBM. In this study, we have optimized 1 to be more
92 potent, possess reduced pharmacokinetic labilities and
93 effectively penetrate the blood brain barrier (BBB).

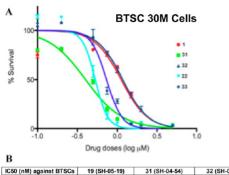
A library of BP-1-102 analogues possessing both prodrugs 95 and potential bioisosteres, as well as salicylic acid mimics, were 96 prepared (Table 1). For improving cell and BBB permeability, 97 prodrugs were synthesized to conceal the carboxylate 98 functionality. In this family, alkyl (21 and 22), acetoxymethyl 99 (AOM) (23), pivaloyloxymethyl (POM) (24), and the 100 acetylated prodrug (19) were prepared to enhance cell 101 penetration. We prepared a nonhydrolyzable phosphonate, 102 18, as well as its prodrug, 16. In addition, the relative ring 103 positions of the hydroxy- and carboxylate groups of the salicylic 104 acid were inversed (34), the hydroxyl substituent replaced with 105 a fluoride (33) or removed entirely and replaced with a 106 hydrogen atom (31). The fluorinated analogue, 33, was 107 prepared to reduce the charge on the carboxylate and preclude 108 phase II glucoronidation of the phenol. To investigate the 109 salicylic acid's role in binding STAT3, an N-hydroxyl amino (32), sulfonamide (10-13), sulfonamine (14), tetrazole (29)111 and 35) and N-hydoxy-oxamic acid (25) derivatives were 112 prepared. As negative controls, we prepared 10, 11, and 30, 113 which possessed hydrophobic naphthyl or benzene groups in 114 place of the salicylic acid.

First, the library was screened for biological activity against 116 GBM BTSC line 30M, derived from a GBM patient. Cell

Table 1. Focused Library of Inhibitors Consisting of Prodrugs and Bioisosteres of the Salicylic Acid Functionality

	C		N S F	XF F	
#	R <sub>1</sub>	#	R <sub>1</sub>	#	R <sub>1</sub>
8	; NO <sub>2</sub>	18	PO <sub>3</sub> H <sub>2</sub>	28	: CN
9	; NH <sub>2</sub>	19	Joh L	29	N N N N N N N N N N N N N N N N N N N
10	HN SO	21	OMe	30	
11	HN.S.O.N	22	OEt	31	, OH
12	HN.S.O	23		32	NH OH
13	HN.S.O.	24		33	F OOH
14	H <sub>2</sub> N H <sub>2</sub> N O	25	HE COL	34	OH O
15	HN CO OEt	26	Э Э Э	35	N N N N N N N N N N N N N N N N N N N
16	OEt OEt	27	ОН	<b>36</b>	ОН

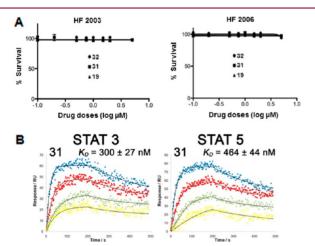
viability following drug treatment was assessed after 72 h using 117 an alamarBlue assay.  $^{6,21}$  IC $_{50}$  values were derived and compared 118 to BP-1-102. Consistent with previous findings, BP-1-102 119 exhibited low micromolar activity (Figure 2A). More 120 f2 encouragingly, we identified a number of more potent 121 inhibitors exhibiting low nanomolar IC $_{50}$  values. Lead 122 compounds were then evaluated against a panel of BTSCs, 123 25EF, 67EF, 73E, 84EF, and 127EF, which are molecularly 124 heterogeneous human GBM BTSCs (Figure 2B). Compounds 125 displayed IC $_{50}$  values ranging from 0.1 to 3.8  $\mu$ M in comparison 126 to BP-1-102, which displayed values above 2–5  $\mu$ M (BTSC 127 30M). Compounds SH-05-19 (19), SH-04-54 (31), SH-05-07 128 (32), and SH-05-23 (33) showed potent activity, with IC $_{50}$ S 129



IC50 (nM) against BTSCs	19 (SH-05-19)	31 (SH-04-54)	32 (SH-05-07)	33 (SH-05-23)
25EF	1145 ± 162.6	234 ± 73.4	1120.5 ± 301.9	592 ± 195.2
67EF	435.5 ± 176.1	106 ± 19.8	214.5 ± 36.1	214 ± 93.4
73EF	1075 ± 247.5	162 ± 59.4	863.5 ± 443.4	438 ± 107.5
84EF	332 ± 16.9	102 ± 2.8	295 ± 35.4	202.5 ± 17.7
127EF	272.5 ± 24.8	66 ± 33.9	195.5 ± 20.5	90 ± 14.2

**Figure 2.** (A) Comparison of **BP-1-102** (1) against the top four from the new library in BTSC30M; (B)  $IC_{50}$  values of top four inhibitors against BTSCs 25EF, 67EF, 73E, 84EF, and 127EF.

130 ranging from 66–1145 nM. However, 31, equipped with a 131 benzoic acid substituent, exhibited higher potency against a 132 larger number of BTSCs and represents a most potent inhibitor 133 of BTSCs (Supporting Information). Inhibitors were next 134 assessed against normal human fetal astrocytes (healthy cells) 135 at concentrations of up to 5  $\mu$ M. As shown in Figure 3A, 19, 31, 136 and 32 showed minimal toxicity at 5  $\mu$ M, identifying a clear 137 therapeutic window for these agents.



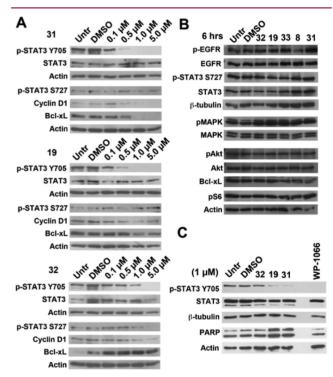
**Figure 3.** (A) Top three hits showed minimal cytotoxicity against normal human fetal astrocyte cells; (B) SPR curves displaying the binding affinity of the best hit, **31**, to STAT 3/5 proteins. Studies were done at multiple concentrations (5.000, 1.667, 0.556, and 0.185  $\mu$ M).

Next, to determine kinetic association and dissociation rate constants ( $k_{\rm on}$  and  $k_{\rm off}$ ), surface plasmon resonance (SPR) binding experiments were performed using a ProteOn XPR36 (Biorad) with full length His-tagged STAT3 protein immobilized on THE sensor chips. Promisingly, lead agents exhibited an anomolar binding potencies with 31, the most potent, exhibiting a  $K_{\rm D}$  ( $k_{\rm off}/k_{\rm on}$ ) of 300  $\pm$  27 nM (Figure 3B). Thus, 31 represents a most potent, nonphosphorylated, small molecule STAT3 inhibitor. While phosphopeptides have been reported to bind to STAT3 with nanomolar potency, these agents have not shown in vivo efficacy. Comparative SPR analysis against STAT5, an analogous member of the STAT family proteins, showed modest selectivity ( $K_{\rm D}$  = 464 nM). Since the BTSCs evaluated do not harbor hyperactivated

STAT5 (Supporting Information), we cannot attribute the 152 observed activity to anti-STAT5 activity.

Next, to examine phosphopeptide:STAT3 SH2 domain 154 disruption, compounds were subjected to a fluorescence 155 polarization (FP) assay.  $^{23,24}$  As expected, prodrugs 15-16 156 and 19-24 displayed no disruptive potency. Inhibitors 31, 32, 157 and 33 showed good inhibitory potency, disrupting STAT3:- 158 phosphopeptide interactions with  $K_{\rm is}$  ranging from 10 to 30 159  $\mu$ M. Encouragingly, 33, 31, and 32 were selective for STAT3 160 over STAT1, a tumor suppressor protein (2-, 4-, and >10-fold 161 selectivity for STAT3, respectively (Supporting Information). 162 Concerned that binding affinity was due to hydrophobic 163 aggregation, we tested 30, which lacks the salicylic acid, and 164 found that it had no binding affinity for the STAT3 protein 165 (Supporting Information), thus confirming the requirement for 166 the carboxylate for 31's binding potency.

Next, 19-, 31-, and 32-mediated inhibition of cellular levels of 168 activated pSTAT3 activity were determined using Western Blot 169 analysis for phosphorylated STAT3 (pY705 and pS727) as well 170 as for downstream target genes, Bcl-xL and Cyclin D1 (Figure 171 f4 4A). Inhibitors exhibited concentration-dependent and potent 172 f4



**Figure 4.** (A) Compounds effectively attenuate pSTAT3 Y705 with no effect on pSTAT3 Ser727; (B) exhibit no off-target effects in various signaling pathways; (C) Western blot analysis of top agents in comparison against Jak2 inhibitor, WP1066.

(nM) suppression of pSTAT3 (Y705) with no effect on the 173 total STAT3 levels or on pSTAT3 (S727). Of note, blockade of 174 the STAT3 SH2 domain should not inhibit S727 phosphor- 175 ylation, suggesting that our molecules were binding the SH2 176 domain. Further Western analysis for inhibition of upstream 177 kinase targets including, JaK2, Src, (Supporting Information), 178 Akt, MAPK, and EGFR showed no off-target effects (Figure 179 4B). Most encouragingly, dose-dependent decreases in 180 pSTAT3 levels were observed as well as potent inhibition of 181 downstream targets involved in cell growth and survival (Cyclin 182 D1 and Bcl-xL). Notably, 31 exhibited concentration-depend- 183

184 ent decreases in pSTAT3 levels that correlated well with 185 observed cytotoxicity and downstream target suppression. 186 Treatment with 31 (500 nM) silenced pSTAT3 signaling in 187 147EF cells. Inhibitors 19 and 32, while less potent, exhibited 188 nanomolar inhibition of pSTAT3.

To evaluate potential off-target effects, **19**, **31**, and **32** were screened in vitro for activity against cancer related kinases, c-191 Src, ERK1, Akt, JaK1, and JaK2 at 5  $\mu$ M (Supporting Information). Inhibitors exhibited moderate to negligible activity against the kinases tested. Compounds showed 194 negligible inhibition of Akt1, Erk1, and JaK1, while only exhibiting modest inhibition of JaK2, c-Src, and JaK2 (~50% inhibition at 5  $\mu$ M). Since the concentration of inhibitor required to elicit effective kinase inhibition was 15-fold higher than the IC<sub>50</sub> values in BTSCs, we concluded that activity was 199 not a result of JaK kinase inhibition.

To comprehensively investigate potential off-target effects, 200 201 31 was subjected to a kinome screen (101 diverse kinases, 202 DiscoveRx) as well as a protein and receptor screen (21 203 biologically important G protein-coupled receptors (GPCRs)). 204 For kinome screening, ultrasensitive quantitative PCR (qPCR) was used to measure levels of immobilized kinases after treatment with 31.<sup>12</sup> The GPCR screening employed the PathHunter  $\beta$ -arrestin assay platform (DiscoveRx) to evaluate 31. Encouragingly, 31 showed no off-target activity against any of the 21 GPCRs tested (500 nM). Moreover, in the kinome 210 screen (500 nM), 31 showed negligible effects against SH2 (JaK1/2) and SH3 (Fes, Fer, and Fyn) containing kinases with the exception of 35% inhibition of PDGFRB, a kinase 213 implicated in glioblastoma.<sup>25</sup> Concerned that 31 may act on 214 PDGFRB to inhibit STAT3 activity, we conducted Western 215 Blot analysis for activated PDGFRB in BTSCs evaluated as well 216 as to determine the IC50 value of 31 against PDGFRB in vitro. 217 Encouragingly, we found that PDGFRB activity was not present 218 in any of the BTSCs examined (Supporting Information), and 219 furthermore, as assessed by an enzymatic assay, 31 did not 220 inhibit PDGFRB at concentrations of 10 µM (Supporting 221 Information). Thus, to the best of our knowledge 31 directly 222 inhibits STAT3.

Next, we compared 31 to a potent JaK2 inhibitor, WP1066, 224 in 73 M BTSCs (Figure 4C). Western blot analyses showed 225 equipotent suppression of pSTAT3 at 1  $\mu$ M. In a cytotoxicity 226 assay, 31 was found to be more potent (30 M, 31 IC $_{50}$  = 0.43 227  $\mu$ M, cf. WP1066 IC $_{50}$  = 1.8  $\mu$ M, and in 73 M, 31 IC $_{50}$  = 1.03 228  $\mu$ M, cf. WP1066 IC $_{50}$  = 2.1  $\mu$ M (Supporting Information). 229 Notably, 31 does not inhibit JaKs at therapeutic doses and 230 appears to function through direct STAT3 inhibition. 26,27 In 231 addition, Western blot analysis of 31 vs 1, where comparative 232 effects against pSTAT3 and pAkt were measured, as well as 233 induced apoptosis as measured by cleaved PARP, demonstrated 234 the superiority of 31 (Supporting Information).

To evaluate BBB penetration in vivo, 31 and 32 were given to three mice at 10 mg/kg and 25 mg/kg dosing (analogous to BP-1-102 in vivo dosing) via intraperitoneal injection, and 38 blood was collected at two time points (30 and 300 min). Brain was also collected from one mouse at each dose and concentrations of 31 and 32 determined by LCMS. We found that after 30 min at 10 mg/kg, compound 31 was found at a concentration of 313  $\pm$ 8 nM (Supporting Information). Following these studies, three mice per group were dosed for the five consecutive days with 10 mg/kg. Blood was collected at 30 and 300 min post the last dose, and brain was collected from all animals at the 300 min time-point. Then, 313  $\pm$ 8 nM of 31

was detected in the brains of treated animals (Supporting  $_{247}$  Information). Encouragingly, these studies demonstrated that  $_{248}$  therapeutic doses of 31 could be achieved in vivo at values  $_{249}$  similar to the in vitro IC $_{50}$ s demonstrating efficacy against  $_{250}$  BTSCs.

Next, to evaluate 31 in vivo, NOD-SCID mice were 252 orthoptopically xenografted with 105 BT73 glioma cells. On 253 the basis of the results for the above in vivo PK/PD and five- 254 day maximum tolerated dose study, animals were dosed with 10 255 mg/kg 31, in order to achieve drug accumulation in the brains 256 of the NOD-SCID mice at doses similar to in vitro IC508 257 (Supporting Information). Therefore, starting on day 7, mice 258 were given 10 doses of 10 mg/kg intraperitoneal injection of 31 259 or vehicle control on 4 days on/3 days off schedule. Animals 260 were sacrificed 2 h after the last dose and brain tumors 261 extracted and immunohistochemistry performed for pSTAT3, 262 Ki67 (proliferation), and TUNEL (apoptosis). Analysis of 263 tumors showed decreased tumor cells in 31 treated mice using 264 Hematoxylin/Eosin staining (Figure 5A). Significantly, 31 265 f5 decreased pSTAT3 expression in tumor cells of treated mice 266 (Figure 5B). Furthermore, 31 appears to decrease proliferation 267 (Figure 5C) and increase apoptosis (Figure 5D) of treated 268 tumors. Thus, in vivo studies strongly suggest in vivo potency 269 and on-target anti-STAT3 activity.

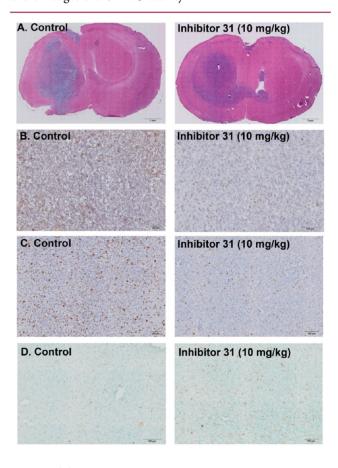


Figure 5. (A) Hematoxylin/Eosin staining: hypercellular tumor dense areas staining blue with hematoxylin. The images display brain tumor suppression and a normal brain morphology for the mice treated with 31; (B) decreased pSTAT3 in mice orthotopically xenografted with BT73 and treated with 31; (C) decreased expression of proliferation marker Ki67 in mice treated with 31; (D) increased apoptosis (TUNEL staining) in mice treated with 31.

In summary, to the best of our knowledge, we have identified a most potent, nonphosphorylated direct binding STAT3 inhibitor, 31 (SH-4-54). Compound 31 exhibited nanomolar Kp values for STAT3, showed unprecedented cytotoxicity in human BTSCs, displayed no toxicity in human fetal astrocytes, potently suppressed pSTAT3 with nanomolar  $IC_{50}s$ , inhibited STAT3's downstream targets, and showed no discernible offerst target effects at therapeutic doses. Moreover, in vivo, 31 exhibits BBB permeability, potently suppresses glioma tumor growth, and inhibits pSTAT3 in vivo. This work, for the first time, demonstrates the power of STAT3 inhibitors for the treatment of BTSCs and validates the therapeutic efficacy of a STAT3 inhibitor for GBM clinical application.

#### ASSOCIATED CONTENT

# S Supporting Information

286 Information on synthesis, characterization, detailed results, and 287 experimental procedures for SPR, Cytotoxicity, BBB perme-288 ability, and in vivo assays. This material is available free of 289 charge via the Internet at http://pubs.acs.org.

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#### 293 Author Contributions

<sup>#</sup>S.H. and H.A.L. contributed equally to this work.

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#### Notes

302 The authors declare no competing financial interest.

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