Use of the Double-KWIC Coordinate Indexing Technique for Chemical Line Notations*

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Application of the double-KWIC coordinate indexing technique to linear notations is described. The study was performed on three notations—WLN, IUPAC, and MCC—for comparative purposes. The results show that this new automatic indexing technique can be applied with the same effectiveness shown earlier for indexes derived from words in titles or title-like phrases, the basic difference being that linear notation symbols rather than words are used as the indexing units. The ease with which these indexes enable one to coordinate two or more notation symbols with each other is extremely beneficial for increasing the specificity of search when there are a large number of notations containing the symbol (or set of symbols) used as the primary access point in the index.

Despite the progress made in the development of computer search systems for identifying structures and substructures, manual searching of structural information by means of printed indexes is still valuable. Limitations concerning the use of structural diagrams and traditional chemical nomenclature for this purpose have been well documented.1 To circumvent some of the problems, much work has been done in recent years concerning the use of linear notations for storage and retrieval of chemical structural information. These linear notations, which represent structures of chemical compounds by compact linear sequences of alphameric symbols, are not only useful for computerized handling of structural information, but can also be utilized for preparation of printed indexes which are quite useful to anyone who is knowledgeable about the notations.

The simplest form of such an index is an alphameric listing of the notations. Portions of such listings for the two notations of major interest—the Wiswesser Line Notation (WLN) and the IUPAC Notation—are shown in Figure 1. They are of limited use, however, because the rules for constructing the notation cause some structural features to be put into indexing prominence, while others may be scattered throughout the index. The indexing performance of these two notation systems has been reviewed by Bonnett.²

Permuted notation indexes, which are similar in principle to KWIC indexes of titles, sentences, or phrases, enable one to circumvent problems resulting from hierarchical ordering rules. Preparation of such permuted indexes for WLN's (Figure 2) has been described by Granito and coworkers,³⁻⁵ and the use of such indexes for support of computerized structure handling systems based on both WLN⁶⁻⁸ and IUPAC⁹ notations has also been described. The technique has also been applied to fragments (or screens) derived

from WLN^7 and the Mechanical Chemical Code (MCC) of Lefkovitz¹⁰ (Figure 3).

Recently, we described a new type of automated index known as the Double-KWIC Coordinate Index. 11 12 To provide the necessary perspective for later discussions, construction of Double-KWIC coordinate index entries is

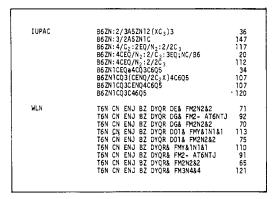


Figure 1. Simple alphameric listings of IUPAC and WLN chemical notations

L55 A CYTJ C U1 DSWR L6TJ AO 2PS&52 U1R	97749 98622 98682 97357 97478 97796 97765 97713 98557 98617 96017 96017 96132 98584 98584 98737	
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Figure 2. Permuted (KWIC) index entries for WLN's

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<u>WLN</u>			MCC	
+R MVQ +A MVR +R MVR +R MVZ +A MVZ +AMYM MYMA +A MYMMYAM +A MYMMYAM +A MYSNYMA +A MYSNYMA +A MYSNYMA +A MYZM +R MYSSYSNAA +AWYM MYZM +R WZ +R WZ +R WZ	791 570 135 059 476 456 693 476 032 312 680 032 259 123 234 468	Za ₂ SXb (*a/L (* C/O (* (* (* (* (* (* (* (* (* (* (* (* (*	*a/lc c c c C/ab C/b*a C/Oc Lc N/ba N/bc	77 8 77 22 33 11 22 74 65 62

Figure 3. Permuted (KWIC) list of WLN and MCC fragments (or screens)

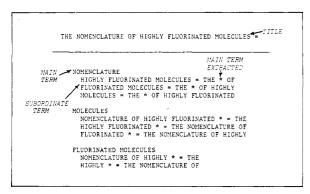


Figure 4. Construction of Double KWIC Coordinate Index entries

illustrated in Figure 4, and a comparison of KWIC and Double-KWIC entries for searching under a particular concept of primary interest is illustrated in Figure 5. Thus, in contrast to the KWIC index, which allows one to gain ready access to information on the basis of a single concept at a time, the Double-KWIC coordinate index facilitates coordination of secondary concepts with the concept of primary interest. (Granito^{4,5} describes the use of a QUICK-SCAN column in his permuted line notation index to facilitate coordination of secondary concepts; but, as will be shown later, it does not enable one to do so as easily as does the Double-KWIC Coordinate Index.)

In view of the preponderant number of instances where coordination of two or more functional groups is desirable for a search of chemical structural information, we decided to explore the use of the double-KWIC coordinate indexing technique on chemical line notations. The study was performed on three notations-WLN, IUPAC, and MCCto determine if any particular notation offered any unusual advantage over the others as far as the use of this indexing technique is concerned.

SAMPLES CHOSEN FOR STUDY

A sample of about 250 WLN's was obtained from an Index Chemicus Registry System (ICRS) tape (Institute for Scientific Information, Philadelphia, Pa.) by extracting every 50th notation. (Compounds not coded in WLN were excluded from consideration.) For comparative studies a subset of about 50 notations, corresponding to every 250th notation in the original ICRS file, was decoded into structural formulas13 and encoded into the corresponding

IUPAC14 and MCC15 notations as illustrated in Figure 6. Since these last two notation systems require upper- and lower-case letters as well as other special characters not available on standard card punch equipment, appropriate substitution symbols and lower-case flags had to be used for these notations in order to perform the study. [For the IUPAC notation a period (.) preceding a capital letter was used to denote the corresponding lower-case letter, a period preceding a number to denote a subscript, and a comma (,) preceding a number to denote underlining. These flags have been used by Dyson¹⁶ for similar purposes. Superscripts plus (+) and minus (-) were represented without flags. Also, the less than sign (<) was used as a replacement symbol for brackets. For the MCC notation the period was used as a flag for subscripts and for the lower-case letters of two-character element symbols as in the IUPAC notation, but the upper-case letters A, B, and U were used as replacement symbols for the lower-case letters a, b, and c when they represented the carbon fragments CH, CH₂, and CH₃ respectively.¹⁷] For the most part, the mechanics of the study are illustrated on the Wiswesser notation to maintain coherence. However, some examples from IUPAC and MCC are also included for comparative purposes.

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KWIC INDEX
         CARBOHYDRATE NOMENCLATURE =
SOME PROBLEMS IN POLYMER NOMENCLATURE =
UNCTIONING OF BIOCHEMICAL NOMENCLATURE =
UNCTIONING OF BIOCHEMICAL NOMENCLATURE =
THE NOMENCLATURE IN 1966: PROGRESS AND PROB
CULES =
THE NOMENCLATURE OF HIGHLY FLUORINATED MOLE
                                                   67
82
                THE NOMENCLATURE OF ORGANIC CHEMISTRY =
             DOUBLE KWIC COORDINATE INDEX
NOMENCLATURE
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Figure 5. Comparison of conventional KWIC entries and Double KWIC Coordinate Index entries for a given index term derived from the same set of titles

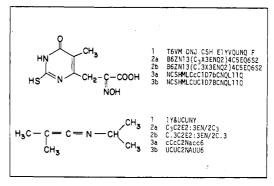


Figure 6. Chemical structures and corresponding line notations: 1-WLN, 2a-IUPAC, 2b-Modified IUPAC with flags and/or replacement symbols, 3a-MCC, 3b-Modifed MCC with flags and/or replacement symbols

ZVMV3 NOTATION TERM Z SVMV3 = MV3 = SV V3 = SV MAIN TERM V Z\$M\$3 = SVMS3 = SVMS3 = Z\$M\$3 = Z TERM Z\$M\$3 = Z TERM

Figure 7. Construction of double-KWIC coordinate index entries from linear notations

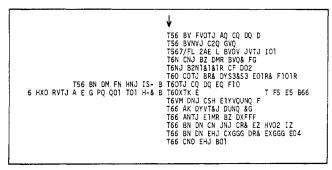


Figure 8. KWIC index entries illustrating randomized ordering of secondary concepts (M and N) under primary concept T

CONSTRUCTION OF THE INDEX

Construction of a Double-KWIC coordinate index from linear notations is similar to construction from word strings—i.e., titles, sentences, phrases—except that the operations are performed on individual characters rather than words. The steps involved (Figure 7) are as follows:

Each significant character or group of characters of the notation is extracted as a main term and replaced by a dollar sign (\$) to indicate its position in the notation.

After the first step is performed on each of the notations to be processed, the KWOC-type entries are sorted on the main terms. If the number of notations containing a particular main term is less than or equal to an arbitrarily chosen threshold value (3 for our studies), the nonpermuted notations are posted as subordinate entries. If the number of notations is greater than the threshold value, the notations are rotated so that the remaining significant symbols in each notation appear in turn in the subordinate index column as part of wrap-around subordinate entries.

The resulting entries are then sorted both with regard to main terms (primary sort) and subordinate terms (secondary sort). If the extracted main term immediately precedes the subordinate index term, the dollar sign is placed in the left margin (at print time).

Significance of main terms and subordinate terms is established on the basis of appropriate edit programs, stoplists, and other methods of vocabulary control to be discussed later. The index generating programs were written in PL/1 and were executed on an IBM 360/75 computer operating under OS 360/MVT at The Ohio State University.

COMPARISON OF KWIC AND DOUBLE-KWIC

In an ordinary KWIC index of linear notations, random ordering of subordinate symbols requires examination of the entire notation to allow coordination of a second symbol with a first (except for a few combinations of symbols which are always contiguous to each other). For high occurrence symbols, the search time can become quite significant. This is illustrated on a smaller scale for a KWIC index of WLN's in Figure 8 where such a search would be required to locate all nitrogen heterocycles under the KWIC entries for the heterocyclic ring symbol T. (Granito's QUICK-SCAN area 4.5 alleviates this problem somewhat by providing an auxiliary compact string of all of the significant symbols for which index entries are created. The compactness is achieved by exclusion of locants and by listing each significant symbol only once, regardless of the number of times it occurs in the notation. Admittedly it is easier to locate a second symbol in this compact nonredundant linear string than it would be to locate it in the KWIC index entry itself, but the secondary symbols in the QUICK-SCAN area are still randomly ordered.) In the corresponding Double-KWIC index (Figure 9), all of the M and N symbols for nitrogen can easily be located in an ordered list of subordinate entries under the main index term T. False drops can easily be ascertained by examination of the surrounding context (in this case by checking to see if the M or N symbols are enclosed within the T-J ringenclosing symbols). To keep the size of the double-KWIC coordinate index within reasonable limits, the subordinate entries are not permuted when the number of postings under the main index term is low. This treatment (Figure 10) is based on the premise that tradeoffs in the scanning movements required by the human eye to locate a secondary concept with the appropriate relationships under these circumstances favor the use of nonpermuted KWOC-type subordinate entries rather than permuted double-KWIC subordinate entries.

T*************************************	97965 28
M DNJ CSH EIYVQUNQ F\$6V	97370 1
M FN HNJ IS- B\$60\$J CQ DQ EQ F1Q\$56 BN D	97346 9
MR BVQ& FG	98134 11
MR BZ DXFFF\$66 AN\$J E1	96798 9
MVOX E2/ &711/- D\$5VNV\$J BOVY4MVO1R&	97804 9
MV01R&MV0X E2/ &711/- D\$5VNV\$J BOVY4	97804 9
N CNJ BZ DMR BVQ& FG\$6	98134 11
N DHJ D3 L\$ B566 BNV EVN H	97713 14
N DM FN HNJ IS- B\$60\$J CQ DQ EQ F1Q\$56 B	97346 9
N DN EHJ CXGGG DR& EXGGG EO4\$66 B	98422 3
N DN FNVNVJ B CNZ2OV1 F H2U1 &222\$56 B	98050 36
N DN GN JNJ CR& EZ HVO2 IZ\$66 B	97361 34
N EHJ CXGGG DR& EXGGG EO4	98422 3
N EN 37 MY 6668 2 EUD DIS COL HIGHT VZ 1	
N EN JV MV\$\$\$&J EVR BI& GOT HTOVZ KZ LT D3 B556 B	98017 15
N FNVNVJ B CNZ20VI F H2U1 &222\$56 BN D	98050 36
N GN JNJ CR& EZ HVO2 IZ\$66 BN D	97361 34
N HN DHJ D3 L\$ B566 BNV EV	97713 14

Figure 9. Double-KWIC index entries illustrating alphabetically ordered list of secondary concepts (M and N) under primary concept T

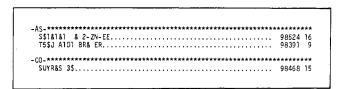


Figure 10. Some main terms with nonpermuted subordinate entries

VQ************************************	
L67 GV HU&TJ C01\$ H	98750 1
M DNJ CSH E1Y\$UNO F	
MR B\$& FGT6N CNJ B	Z D 98134 1
N CNJ BZ DMR B\$& FG	
NJ BZ DMR B\$& FG	
NJ CSH E1Y\$UNQ F	M D 97370
NO F	Y\$U 97370
NVJ C2Q G\$T56	BV 98584
01\$ HL67 GV HU&T	J C 98750 1
Q F	SUN 97370
Q G\$	C2 98584
R B\$& FGT6N CNJ BZ	DM 98134 1
SH ETY\$UNQ F	J C 97370
TJ C01\$ HL67 GV H	HU& 98750 1
T56 BVNVJ C2Q G\$	98584
T6N CNJ BZ DMR B\$& FG	98134 1
T6VM DNJ CSH E1Y\$UNQ F	97370
U&TJ CO1\$ HL67 G	V H 98750 1
\$UNO FT6VM DNJ CSH !	ElY 97370

Figure 11. A main term for a functional group represented by contiguous WLN symbols

**************************************	97965 28
\$M DNJ CSH E1Y\$QUNQ F	97370
M\$OX E2/ &711/- DT5\$N\$TJ B0\$Y4M\$01R&	97804
M\$R D-SI-1&1&14	97326 2
N CNJ BZ DMR B\$Q& FG	98134 1
\$N HN DHJ D3 L B566 BN\$ E	97713 14
N JNJ CR8 EZ H\$02 IZ	97361 34
N\$ E\$N HN DHJ D3 L B566 B	97713 14
\$N\$J B CNZ20\$1 F H2U1 &222	98050 36
N\$N\$J B CNZ20\$1 F H2U1 &222	98050 36
NJ BZ DMR B\$Q& FG	98134 1
,	
Z DMR B\$Q& FGT6N CNJ B	98134 1
\$Z KZ L T D3 B556 BN EN JS MSTTI&J ESR BI& GUI HIU	98017 1
Z\$MNUYR&101XQR&R	97956
720\$1 F H2UL 8222 T56 BN DN FN\$N\$J B CN	98050 3

Figure 12. Double-KWIC index entries for amides. (Only the subordinate entries M, N, and Z which are preceded or followed by \$ have the requisite syntactic relationships with the main term V)

The present program for generating the main terms allows us to extract character strings of any desired length. This permits extraction of meaningful combinations such as VQ for carboxylic acids in the Wiswesser notation, a feature that offers definite advantages for coordinating a functional group of this type with other functional groups (see Figure 11). However, because the notation rules allow such combinations to occur in the reverse order, a significant amount of scattering might occur depending on how the viewpoint of the searcher coincides with the viewpoint from which the index is created. For example, amides can be represented by the combinations, VM, VN, VZ, and their converses in the Wiswesser notation. If one is interested in all amides, this information would be scattered in six different locations under those headings; however, the double-KWIC index that we have generated brings all of them together in an ordered list of subordinate entries under the main term V. Some representative examples are illustrated in Figure 12. Note that the amide linkages can be differentiated easily from the nonamides by the occurrence of the \$ immediately before or immediately after the subordinate symbol for nitrogen (M, N, or Z).

An additional problem occurs with regard to other meaningful structural units that one might be tempted to extract as main terms for WLN's. Some cases in point are benzene derivatives such as benzoic acids, halobenzenes, etc., where the R symbol for benzene is not always contiguous to the symbol for the functional group. Some examples are illustrated in Figure 13 for chlorobenzene derivatives (G

· .				•																						***		- :
G																								D		979		
G	F١	13	DS	SΖ	₩.	 	٠,			 										 	 . 7	25	W\$	5 B	;	975	520	6
G:	\$ (NI.	(F	٠.	2	 	٠.			 		٠.						٠.		 	 		٠.	WS		971	34	
G.	\$ [G.				 				 										 	 		. 2	2Y		983	366	1
G						 				 										 	 22	٧	GŚ	D	1	983	366	1
N'	A F		2.	Ċ		 				 						 				 	 ٠,	١S	GŚ	C	:	971	34	
																								D		961	32	
																								YM		987	789	1
																								В		979	agn	
																								Н		973	861	:
																								11&		973	15.7	•
																								В		979	65	-
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	D)																									967		
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Figure 13. Some examples of meaningful structural units for which the component symbols are not always contiguous to each other in the notation

SEQ 1 2 3 4 5 6 7 8 9 10 11 12	CT TERM 5 - 2 - A 2 - AS 2 - AS 2 - AS - 1 - CO 1 - CO - 1 - E 1 - G 1 - G 1 - GE 1 - GE 1 - GE	SEQ 53 54 55 56 57 58 60 61 62 63 64 65	CT TERM 10 L 1 L5 1 L7 12 M 1 MM 1 MN 1 MP 3 MR 4 MV 1 MVR 1
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Figure 14. Portion of the potential-mainterm list generated in the first processing phase

subordinate entries), nitrobenzene derivatives (NW and WN subordinate entries), benzoic acid derivatives (VQ and VO subordinate entries), and aniline derivatives (Z subordinate entries). Because of relationships such as the above, most of the main terms we extracted were one-character terms. The only exceptions were the notations for those elements requiring more than one character—e.g., -AS-, -CO-, etc.—and some selected examples of structural units such as those discussed earlier—e.g., VQ, VM, VZ, etc.

MAIN TERM SELECTION, STOP-LISTS, AND OTHER METHODS OF VOCABULARY CONTROL

With the present version of the programs, generation of double-KWIC coordinate indexes of the type described herein requires two processing phases and two human-interface steps. In the first processing phase, the human interface is needed to supply the input data and the program processing specifications to generate a list of potential main terms such as those illustrated in Figure 14. The processing program also generates sequence numbers for these terms and counts the number of notations in which each potential main term occurs. At this point, the second human interface is required for selection of the actual main terms desired in the printed index. For example, in an index generated from the potential main terms illustrated

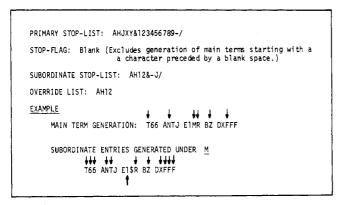


Figure 15. Control parameters and their effects on generation of main terms and subordinate entries in the double-KWIC coordinate index

in Figure 14, some of the actual main terms selected were #4 (-AS-), #7 (-CO-), #12 (-GE-), #53 (L), #57 (M), and others. A conventional KWIC index of the notations (generated by another program) is helpful, but not necessary, for making some of the selections. Once the selections have been made they are input via their sequence numbers together with other processing specifications required to obtain the desired output from the second processing phase.

Some of the processing specifications which have to be supplied (these may vary for different applications) will now be discussed. As mentioned earlier one can specify a range of lengths for the character strings one may wish to extract as potential main terms. This is done by specifying the lower bound and the upper bound of the desired range as input parameters. Some of the terms shown in Figure 14 resulted from our experimentation with a range of 1-4 on part of the input and a range of 1-2 on the remainder. We experimented with the 1-4 range to compare the results of listing the two-character WLN symbols as main terms both with and without their hyphen delimiters. We subsequently opted in favor of listing them without delimiters for practical reasons, one of which was that we only had to generate potential main terms of length 1-2.

To reduce the number of potential main terms generated, two other control parameters are available (Figure 15). One is a primary stop-list which will prevent generation of main terms beginning with any character on that list. The primary stop-list used for our WLN indexes is shown in Figure 15. The other control parameter, which we call a "stop-flag," enables us to define a character which will exclude generation of main terms beginning with the stopflag and also excludes generation of terms beginning with the character immediately following the stop-flag. The stop-flag also excludes generation of permuted subordinate entries beginning with those characters as well. The use of the blank character as a stop-flag for WLN's enabled us to eliminate automatically all locants and multipliers from consideration as main terms or subordinate terms in the index. Without such a feature, the utility of both KWIC and double-KWIC indexes of WLN's would be significantly impaired owing to creation of many ambiguous index entries which would require considerable visual scanning of the context to isolate those entries having the desired meaning.

There are two additional control parameters which apply to generation of permuted subordinate entries (also illustrated in Figure 15). One of these is a subordinate stoplist which excludes generation of subordinate entries beginning with the characters on that list. The other is an override list which identifies certain symbols on the subordinate stop-list for which subordinate entries are to be generated only if the subordinate symbol immediately precedes or follows the main symbol(s) extracted from the original notation. The net effect from the use of all of these control parameters is illustrated on a sample notation in Figure 15. The resulting WLN indexes contained approximately 45 entries per notation. However, detailed analysis and evaluation of the index entries suggested that some modifications in program design (to be discussed later) coupled with some justifiable changes in stop-lists and other control parameters would easily reduce that figure to approximately 20 entries per notation and actually improve the over-all quality of the index in the process.

COMPARISON OF WLN, IUPAC, AND MCC NOTATIONS

All of the techniques described above for the WLN's were applied in similar fashion to the IUPAC and the MCC notations but, obviously, with different values for the control parameters. A portion of the double-KWIC coordinate index prepared for the IUPAC notations is illustrated in Figure 16, and a portion of the index prepared for the MCC notations is illustrated in Figure 17.

As to the effectiveness of the indexes produced from the three notations, there is little doubt about the greater ease with which one can coordinate more than one concept from

Figure 16. Some double-KWIC coordinate index entries generated from the modified IUPAC notation

Figure 17. Some double-KWIC coordinate index entries generated from the modified MCC notation

an index of this type for any one of the notations. However, the usefulness of the MCC for such indexes seems to be limited by its inability to provide any readily identifiable index entries on ring systems as do the Wiswesser and IUPAC notations. Also, the larger character set required by the IUPAC notation, while seemingly a handicap at present because of current computer hardware and software constraints, may offer definite advantages when these constraints no longer exist because fewer context-sensitive uses of each symbol in the notation will be required as compared to the other notations. These are the only meaningful comparisons that can be made on the basis of the studies we have performed thus far.

CONCLUSIONS

The double-KWIC coordinate indexing technique has been shown to be applicable to chemical line notations with the same effectiveness as observed earlier for word indexes derived from titles. Our study has shown also, however, that the symbols for these notations require more syntactic analysis than we had anticipated. Although our present programs for generating double-KWIC indexes of these notations handle a limited number of syntactic relationships-e.g., the "stop-flag" treatment described earlier—a closer look at the notations suggests that other syntactic relationships can be handled with little additional effort. For example, two-character symbols such as -GE- in the Wiswesser notation can easily be identified by the hyphen immediately preceding and following the symbol, and appropriate algorithms can be developed to recognize such relationships. It should be possible also to differentiate between the heterocyclic T symbol and the T used for ring saturation, and between the use of numbers for ring sizes and for alkyl chains. Finally, it should be possible to generate an authority list that could be used to post subordinate entries under a preferred term to eliminate scattering between two headings such as VQ and QV, etc., as discussed earlier. These are some of the activities we hope to pursue to improve the quality of these indexes.

We feel that double-KWIC coordinate indexes of chemical line notations can provide a greater degree of accessibility to the type of structural information that can be derived from such notations. Consequently, they should represent a welcome addition to the tools available for retrieval of such information by organizations which have chemical structural information stored in linear notation form.

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