

WEIGHTED TERM SEARCH:

the approach used. The following sequence of steps has proven most effective:

1. Author known
Go directly to author folder.
2. Subject known
 - a. Visually examine subject deck, retrieve documents from author folders.
 - b. Consider alternate subjects if material retrieval in (a) is insufficient.
3. Olin Key List Compound or Project number known
 - a. Visually examine decks 2 or 3.
 - b. Use the subjects listed in 2 or 3 as a clue to the subject deck.
4. Patent information (memoranda of invention, active files, etc.)
Visually examine deck 4.
5. Correspondence with Olin subsidiary or affiliated corporations and with outside companies
 - a. Material from others to Olin will be in file folder of respective author or company.
 - b. Material to outside will be filed in Olin author folders. These are sectioned by the location of the authors as listed on the tab card.Visually examine deck 5 in fields 8-22 and 23-37 under the appropriate name (as given on letterhead of organization).
6. A "zero" deck has been created and the cards therein filed alphabetically by author and then by recipient within the author group. By means of an IBM 870 Document Writer or by use of a Xerox machine, it is possible to provide any author with a listing of his correspondence for any given period in a matter of minutes. For those with voluminous correspondence, this service has proven

valuable for checking purposes and will probably be continued only for these specific individuals rather than for all authors.

COMMENTS

As the volume of material in our center has grown, the chance of finding desired information in this centralized location has also grown. Although a few individuals were reluctant to participate at the outset, our present ability to retrieve information rapidly has converted them. We now have a total of approximately 8500 items after not quite one year of operation.

Current work load is about 1100 to 1200 items filed per month, with an average of 2.23 punched cards per item. Thus, the load on the system is roughly 50 items per day, requiring the punching of about 125 cards per day. Input is steadily increasing as acceptance grows. However, increasing operating efficiency has so far been able to keep step. We expect the value of TCC to increase as the years go by.

A fringe benefit of TCC has been reduction of space devoted to file cabinets in offices and laboratories. In fact, a surplus of used cabinets is soon going to present a problem in some areas.

Merging of individual file contents has resulted in a marked deproliferation of paper—we save only one copy of each document. Our "burn" box must be emptied frequently and stacks of accumulated and unfiled papers are disappearing from atop files, desks, and tables in the various offices and laboratories. The unofficial motto appears to be "send it to TCC, let them worry about it," and that is exactly the purpose of our service.

Weighted Term Search: A Computer Program for an Inverted Coordinate Index on Magnetic Tape*

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Ten to 15 years ago, much technical literature was accurately indexed according to strict rules of classification. Today, because of the large amount of technical data written, it is no longer practical to apply the same rigid indexing procedures. We should therefore be concerned with new search techniques which will allow us to handle input at the lower level we are forced to accept. This paper describes such a search technique.

The problem of searching a coordinate index is discussed by Fairthorn (1). The classical method is to use a logic based on Boolean algebra. Combinations of terms using

* Presented before the Division of Chemical Literature, 152nd National Meeting of the American Chemical Society, New York, N. Y., September 1966.

this logic involve a series of operations which are fully discussed by Becker and Hayes (2) and Williams (3). The argument is that the possible relevance of a document is worth investigating if a stated combination of terms is found in the document. Since in coordinate indexing

there may be little control of terminology, expressing a search concept usually involves a series of terms with their synonyms and near synonyms. Handling these requires either a series of Boolean statements or one statement in which several sets of alternate terms are used.

The first alternative may involve preparing a large number of statements which for n terms can become $(2^n - 1)$ statements. This is discussed in a recent book by Sharp (4). The second alternative gives no indication of the particular set of terms which combined to give an answer. In an attempt to overcome this difficulty Catley (5) used weights to tag the terms used to express a concept in the Boolean expression. The onus of preparing the Boolean statements is left to the searcher. In practice it is all too easy to compose statements which produce either no answers or a larger number than is practical to use.

In the search method described in this paper, the searcher selects terms to express each concept involved. He is asked to rank the concepts and the terms used to express each one. The Boolean logic is handled by the program in that all possible combinations of "and," "or," and "but not" are considered, and within limits set by the searcher presented in an ordered list which takes into consideration the preferences stated in the ranking of terms. This method of searching a coordinate file was proposed at a conference sponsored by Information for Industry (IfI) in June 1965 (6).

WEIGHTED SEARCH

A weighted search is based on selecting a group of search terms which express each concept of the inquiry, assigning to each a weight, indicative of its relative importance and computing a "score" for answers resulting from combinations of these terms. Answers with a high "score" indicate the cooccurrence of preferred terms for each concept and hence should have a high relevance to the inquiry. The search is not restricted to any one expression of terms, but considers all possible combinations. Those search statements likely to give answers with a low degree of relevance are eliminated by a screening process which takes into account a minimum score and a minimum number of concepts to be coordinated.

Answer sets corresponding to each acceptable combination of search terms are printed in descending sequence of total score. The user is able to follow the particular combination of term-weights associated with each answer set since they are displayed in the form of a scan-column index. This feature assists in the evaluation of answers and in finding combinations of terms which on analysis prove to be particularly relevant to the search. Limiting conditions may be specified to suppress the printing of a long list of answers, in which case the total number of answers within each set is displayed.

Scores computed for answer sets are based on the cooccurrence of preferred terms, which express each concept or subject area to be considered in the search. Having derived a term combination which includes groups of several alternate synonyms and generic terms, the program selects the preferred term from each basic concept and computes a score based only on the preferred terms. Nonpreferred terms are included in the coordination but

their assigned weights do not contribute to the total "score." For this reason, the presence of several alternate synonyms does not affect the order of presentation of answer sets. This strategy provides an effective means of evaluating the relevance of answers, since relevance has been determined by the number and importance of the subject areas which are present in each answer. It is not simply based on the cooccurrence of search terms but on the cooccurrence of the concepts, taking into account the relative importance attached to words used to express each.

This weighted search system has been evaluated in its application to the retrieval of patents from the Information for Industry Patent File (7). This file contains all patents in the chemical section of the *U.S. Patent Gazette* for the years 1950-1964 plus selected patents from the electrical and mechanical sections which have significant chemical relevance. The number each year is shown in Table I. The total number for the 15 years 1950-1965 is 158,876. Each has been indexed by an average of 28 terms. While there is a variation in rate of growth of the file, the general trend is an increase in growth from 600 patents per year, to a present growth of about 2000 patents per year. Table I also shows the portion of the file selected from the mechanical and electrical sections. On the average this number is about 10% of the total, but can be as high as 26%. In 1956 the chemical section of the *U.S. Patent Gazette* consisted of 8233 patents; the IfI Patent File included 11,108 patents, of which 2875 were selected from other sections.

In indexing the patents, terms have been selected from the text without use of a control list. This has led to some rather interesting terms which might surprise a user who has not read the entire IfI vocabulary. An example of this is shown in the following list (the letter underlined shows the location of the term in the alphabetized list):

All Skin Rayon
Non Soap Detergent
B-Stage Resin
Thin Boiling Starch
Super Polyester
 Unleaded White Gasoline
Semidrying Oil
T-joint
Ready-to-Cook

Such a list emphasizes the need for structuring this vocabulary of terms in a logical manner. A move in this direction has been made by the Dow Chemical Co. who developed a permuted term index (KWIC) which lists each term and significant syllable. We also have compiled a KWIC index for terms, in which we have separated chemical and nonchemical terms into two lists. A page from the nonchemical section is shown in Table II.

When a coordinate index is available on magnetic tape, statistical information concerning the use of indexing terms may be obtained at low costs, through the use of the computer. A computer program was written which prints a frequency table of terms giving the total posting for each term as well as the frequency by year. An example from this table is shown in Table III. In the column at the extreme right, a calculation of the proportion of the references which occurred in the last two years is given. This is a measure of the recent activity of that

Table 1. U. S. Chemical and Chemically Related Patents

| Year | Chemical Patents Issued ^a | All Patents IfI File | Chemically Related Patents in File | % Added |
|------|--------------------------------------|----------------------|------------------------------------|---------|
| 1950 | | 6,777 | | |
| 1951 | | 7,273 | | |
| 1952 | | 6,848 | | |
| 1953 | 5,802 | 6,361 | 559 | 8.8 |
| 1954 | 5,588 | 6,134 | 546 | 8.9 |
| 1955 | 4,691 | 6,065 | 1,374 | 20.6 |
| 1956 | 8,233 | 11,108 | 2,875 | 25.8 |
| 1957 | 7,620 | 8,833 | 1,213 | 13.8 |
| 1958 | 9,551 | 10,633 | 1,082 | 10.2 |
| 1959 | 10,382 | 11,532 | 1,150 | 10.0 |
| 1960 | 8,866 | 9,801 | 935 | 9.6 |
| 1961 | 10,127 | 10,998 | 871 | 7.9 |
| 1962 | 11,753 | 13,521 | 1,768 | 13.1 |
| 1963 | 10,041 | 12,269 | 2,228 | 18.0 |
| 1964 | 11,333 | 14,675 | 3,342 | 22.8 |
| 1965 | 13,193 | 16,048 | 2,855 | 17.6 |
| | | 142,828 | | |

^a U. S. Patent Office (2).

term. Since computer time for a given search is largely dependent on the number of patent references associated with search terms, the frequency table often forms the basis for selecting or not selecting terms of questionable importance to a given search.

In addition to a frequency table in term sequence, a table was prepared which lists terms in descending sequence of total frequency count. These data were used to prepare a graph of a percentage frequency count for terms (Figure 1). The graph shows that 50% of all terms have a total posting of 100. About 30% of the terms have a frequency count of less than 10, while 10% of the terms have a frequency count of over 1000. About 1% have a posting of over 10,000, while about 2% of the terms have a frequency count of 1.

For additional assistance in phrasing an inquiry, the user should also consult a technical thesaurus, such as the Engineers Joint Council Thesaurus (8), for possible alternate synonyms, generic, and related terms which may be suitable for search.

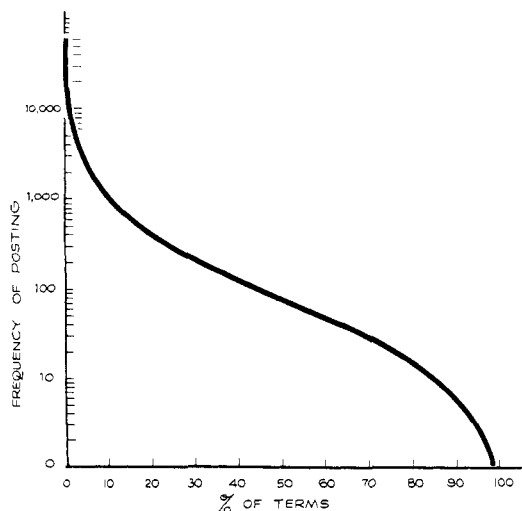


Figure 1. Frequency count for terms.

As an illustration of the steps involved in preparing for a computer search, consider the following example: Find patents concerning acrylic ester polymers which are soluble in natural hydrocarbons. As prepared for search it could be expressed by the following terms selected from the IfI vocabulary as shown in Table IV.

The inquiry has been broken down into three main concepts—*i.e.*, (A) acrylic polymer, (B) solubility, (C) natural hydrocarbon. Each concept is expressed by a number of terms indicated by the alphabetic code. Synonyms and related terms falling within the same concept have thus been identified. The concepts have been ordered according to their importance in the inquiry and within the concepts by importance of terms. The weighting affects only the order of presentation, not the selection; hence, it cannot lead to the rejection of pertinent items. The cooccurrence of a number of concepts may be specified in this case; at least two of the three concepts is required for an acceptable answer. Coordinations within a single concept have a low degree of relevance. A minimum score is used to eliminate irrelevant answers. In this case the minimum score is that associated with term 14. This assures that the A concept is included in all answers.

The integration of all three concepts which have been expressed by the preferred terms would give the highest scoring answers. The presence of alternate synonyms within each concept would then determine the order of presentation of answers within each set having the same score. The answer to the inquiry would consist of a series of sets of patent references, each set having a lower score, and hence a poorer match with the question.

The first 10 answer sets obtained from this inquiry are shown in Table V.

The left side of Table V gives the references expressed as IfI accession numbers, along with the conversion to U.S. patent numbers. The term-weights associated with each answer set are shown on the right in the form of a type of scan-column index (9).

Consider Set Number 1. It consists of one patent reference, Access No. 2726-1951, U.S. Patent No. 2552775. This reference had the highest score and hence represents the best match between the inquiry and all of the patents in the file. The term-weights associated with it are 9, 13, and 18. These weights had been assigned to the terms "Polyacrylate," "Solubility," and "Hydrocarbon Oil." This combination of terms is the best since it uses the preferred terms found for expressing all concepts. The terms "Polyacrylate" and "Solubility" represent the preferred terms for concepts A and B, respectively; the term "Hydrocarbon Oil" with a weight of 9 is the second best term of the C concept which will coordinate with "Polyacrylate" and "Solubility" to give an answer. The preferred terms of the C concept, which is (C8-C24) Hydrocarbon with a weight of 10, had been coordinated with the terms "Polyacrylate" and "Solubility," but none of the patents in the file had this combination of terms.

The order of presentation of answers is not markedly affected by the presence of several alternate synonyms. This point is illustrated in Set Number 5. It consists of four patent numbers, retrieved from different combinations of search terms. The term-weight combination of 18, 13, and 1 is basic to each of the four search statements. These term-weights are the highest within each of the

Table II. Permuted Term Index

| | |
|--|--------|
| *SEMI-DRYING OIL | 062000 |
| *SESAME OIL | 062260 |
| *SHALE OIL | 062430 |
| *RICE BRAN OIL | 060090 |
| *RICE OIL | 060100 |
| *SYNTHETIC OIL | 068370 |
| *SPRAY OIL | 065755 |
| *SOY BEAN OIL | 065250 |
| *SPICE OIL | 065493 |
| *SPINDLE OIL | 065530 |
| *DISTILLATE, DISTILLATE OIL | 023720 |
| *RESIDUAL OIL | 059650 |
| *RE CYCLE OIL | 058950 |
| *DIESEL FUEL, DIESEL OIL | 021990 |
| *GEAR,-GEAR OIL | 030300 |
| *FOOTS,-FOOTS OIL | 029070 |
| *FLUSHING,-FLUSHING OIL | 028900 |
| *FUEL OIL | 029660 |
| *ESSENTIAL OIL | 026160 |
| *OITICICA OIL | 048190 |
| *OLIVE OIL | 048330 |
| *OIL | 048030 |
| *NON DRYING OIL | 046560 |
| *PETROLEUM OIL | 051520 |
| *PINE OIL | 053230 |
| *BABASSU OIL | 006795 |
| *ANIMAL OIL | 004280 |
| *ANTHRACENE, ANTHRACENE OIL | 004470 |
| *COAL TAR OIL | 015250 |
| *COCO NUT OIL | 015530 |
| *BUNKER OIL | 010450 |
| *CALSOLENE OIL | 011820 |
| *CASTOR OIL | 012840 |
| *INDUSTRIAL OIL | 036145 |
| *ACID OIL | 000550 |
| *LINSEED OIL | 039640 |
| *CRUDE OIL | 017960 |
| *CYCLE OIL | 018630 |
| *CUTTING OIL | 018440 |
| *CRACKED NAPHTHA, CRACKED OIL | 017528 |
| *CRANK CASE OIL | 017600 |
| *TALL OIL FATTY ACID, TALL OIL ACID | 068580 |
| *HYDROGENATED FISH OIL ACID | 034170 |
| *CASTOR OIL ACIDS SA-RICINOLEIC-ACID | 012850 |
| *SOY BEAN OIL ACIDS, SOYBEAN OIL FATTY ACIDS | 065260 |
| *DRYING OIL AND ACID | 024480 |
| *SUNFLOWER OIL AND FATTY ACID | 067770 |
| *PALM OIL AND FATTY ACID | 049840 |
| *DEHYDRATED CASTOR OIL AND FATTY ACID | 019890 |
| *COTTON SEED OIL AND FATTY ACID | 017350 |
| *RAPESEED OIL AND-FATTY-ACID | 058530 |
| *OIL BURNER | 04800 |
| *OIL CLOTH | 048055 |
| *WATER-IN- OIL EMULSION | 075800 |
| *COCO NUT OIL FATTY ACID | 015540 |

three concepts, and therefore contribute to the score for this set. Alternate synonyms—for example, 12 for the B concept, and 14 and 15 for the A concept—were included in the coordination and determine the order within the set.

An effective screen is provided to eliminate answers with a low degree of relevance by the use of both the minimum number of concepts and the minimum score

as limiting conditions. The elimination of answers which fall below these limits during the coordination or logic phase results in a considerable reduction of computer time in the sort and print phases which follow.

Restrictions can also be set to control the number of answers printed in reply to particular inquiry. This is accomplished by means of one or both of the following limiting conditions: (1) a print minimum score limit can

Table III. Frequency Table

| Term | Code | Total | Year Totals | | | | | | | | | | | | %, of | | | | |
|----------------------|-------|-------|-------------|------|------|------|-----|-----|------|-----|-----|-----|-----|-----|-------|-----|-----|-----|--------------------|
| | | | 65 | 64 | 63 | 62 | 61 | 60 | 59 | 58 | 57 | 56 | 55 | 54 | 53 | 52 | 51 | 50 | 65 64 Reference |
| COAGULATION, CLOTTIN | 15200 | 1778 | | 115 | 156 | 145 | 127 | 121 | 122 | 96 | 87 | 107 | 51 | 72 | 87 | 212 | 156 | 124 | 15. |
| COAL | 15210 | 1494 | | 79 | 66 | 104 | 61 | 85 | 99 | 107 | 115 | 106 | 75 | 114 | 114 | 251 | 79 | 39 | 9. |
| COALESCENCE | 15220 | 281 | | 26 | 50 | 31 | 25 | 30 | 13 | 11 | 9 | 19 | 7 | 7 | 10 | 23 | 9 | 11 | 27. |
| COAL TAR | 15230 | 364 | | 24 | 24 | 38 | 23 | 27 | 26 | 16 | 14 | 32 | 12 | 16 | 9 | 46 | 33 | 24 | 13. |
| COAL TAR DISTILLATE | 15240 | 27 | | 0 | 0 | 1 | 6 | 11 | 3 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 3 | 0 | . |
| COAL TAR OIL | 15250 | 42 | | 2 | 2 | 1 | 4 | 10 | 6 | 3 | 2 | 0 | 1 | 0 | 2 | 2 | 4 | 3 | 9. |
| COAL TAR PITCH | 15260 | 111 | | 7 | 5 | 6 | 15 | 6 | 12 | 12 | 11 | 10 | 3 | 4 | 6 | 5 | 6 | 3 | 10. |
| COARSENESS, COARSE | 15272 | 347 | | 19 | 47 | 38 | 18 | 28 | 29 | 9 | 7 | 20 | 11 | 12 | 24 | 44 | 16 | 25 | 19. |
| COATING SA-ENAMEL, P | 15280 | 13432 | | 1634 | 1384 | 1125 | 884 | 922 | 1048 | 855 | 663 | 879 | 652 | 525 | 515 | 945 | 783 | 618 | 22. |
| COAXIL | 15290 | 237 | | 81 | 67 | 21 | 10 | 10 | 9 | 2 | 6 | 0 | 10 | 3 | 2 | 7 | 7 | 2 | 62. |
| COB | 15295 | 22 | | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 7 | 4 | 0 | 0 | 3 | 0 | 0 | 4. |
| COBALT | 15300 | 2906 | | 354 | 180 | 253 | 193 | 187 | 203 | 222 | 216 | 202 | 138 | 125 | 131 | 254 | 124 | 124 | 18. |
| COBALT-56 | 15305 | 01 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | . |
| COBALT-60 | 15310 | 126 | | 18 | 17 | 17 | 14 | 24 | 16 | 8 | 5 | 3 | 3 | 0 | 0 | 0 | 1 | 0 | 27. |
| COBALT ACETATE | 15320 | 208 | | 26 | 7 | 23 | 15 | 22 | 8 | 16 | 11 | 19 | 6 | 11 | 6 | 18 | 13 | 7 | 15. |
| COBALT CARBONATE | 15330 | 93 | | 4 | 7 | 7 | 9 | 8 | 14 | 10 | 8 | 6 | 3 | 6 | 2 | 3 | 5 | 1 | 11. |
| COBALT CARBONYL | 15340 | 216 | | 4 | 6 | 8 | 10 | 16 | 13 | 30 | 18 | 27 | 13 | 20 | 11 | 23 | 12 | 5 | 4. |
| COBALT CHLORIDE | 15350 | 249 | | 24 | 19 | 29 | 14 | 27 | 20 | 17 | 17 | 17 | 8 | 11 | 14 | 19 | 7 | 6 | 17. |
| COBALT HALIDE | 15357 | 42 | | 4 | 1 | 5 | 3 | 1 | 3 | 0 | 1 | 11 | 12 | 0 | 0 | 0 | 0 | 1 | 11. |
| COBALT-COMPOUND, COB | 15360 | 441 | | 10 | 61 | 92 | 22 | 23 | 41 | 41 | 27 | 28 | 0 | 30 | 17 | 31 | 13 | 5 | 16. |
| COBALT DYE | 15370 | 114 | | 0 | 2 | 0 | 3 | 21 | 22 | 36 | 12 | 0 | 0 | 5 | 5 | 8 | 0 | 0 | 1. |
| COBALT FLUORIDE | 15375 | 26 | | 3 | 1 | 0 | 0 | 2 | 1 | 0 | 1 | 0 | 1 | 1 | 3 | 0 | 12 | 1 | 15. |
| COBALT MOLYBDATE | 15380 | 338 | | 24 | 22 | 23 | 29 | 54 | 66 | 26 | 9 | 33 | 8 | 9 | 11 | 13 | 10 | 1 | 13. |
| COBALT NAPHTHENATE | 15390 | 526 | | 30 | 17 | 43 | 44 | 38 | 59 | 37 | 36 | 41 | 11 | 25 | 27 | 56 | 38 | 24 | 8. |
| COBALT NITRATE | 15400 | 179 | | 15 | 6 | 22 | 18 | 17 | 17 | 10 | 8 | 7 | 0 | 9 | 12 | 21 | 9 | 8 | 11. |
| COBALT OCTANOATE | 15403 | 27 | | 0 | 3 | 2 | 11 | 1 | 0 | 3 | 0 | 2 | 0 | 0 | 0 | 0 | 5 | 0 | 11. |
| COBALT OLEATE | 15405 | 44 | | 4 | 2 | 3 | 5 | 3 | 2 | 6 | 0 | 12 | 0 | 3 | 2 | 0 | 0 | 2 | 13. |
| COBALTOUS ACETATE | 15407 | 47 | | 4 | 0 | 0 | 8 | 3 | 8 | 5 | 4 | 4 | 0 | 2 | 3 | 3 | 1 | 2 | 8. |
| COBALTOUS CHLORIDE | 15410 | 100 | | 7 | 2 | 6 | 18 | 4 | 6 | 12 | 7 | 5 | 1 | 2 | 5 | 9 | 8 | 9 | 9. |
| COBALT OXIDE | 15420 | 712 | | 54 | 66 | 78 | 71 | 54 | 71 | 55 | 33 | 55 | 21 | 31 | 25 | 43 | 24 | 28 | 16. |
| COBALT SULFATE | 15430 | 212 | | 11 | 5 | 17 | 13 | 17 | 18 | 27 | 32 | 21 | 5 | 5 | 11 | 19 | 5 | 6 | 7. |
| COBALT SULFIDE | 15440 | 107 | | 15 | 11 | 12 | 11 | 11 | 4 | 0 | 12 | 11 | 5 | 5 | 3 | 0 | 4 | 3 | 24. |
| CO CATALYST | 15450 | 45 | | 21 | 3 | 5 | 5 | 10 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 53. |
| COCCIDIOSIS | 15460 | 108 | | 9 | 7 | 17 | 5 | 6 | 10 | 11 | 5 | 8 | 6 | 5 | 2 | 3 | 7 | 7 | 14. |
| COCCOMYCES AND-SPECI | 15470 | 02 | | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | . |
| COCKPIT | 15480 | 06 | | 1 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 16. |
| COCKROACH SA-ROACH | 15490 | 124 | | 4 | 9 | 9 | 24 | 9 | 9 | 1 | 1 | 1 | 0 | 32 | 3 | 11 | 8 | 3 | 10. |
| COCOA | 15500 | 122 | | 6 | 6 | 12 | 16 | 8 | 16 | 8 | 11 | 5 | 2 | 5 | 2 | 5 | 5 | 14 | 9. |
| COCOA BUTTER | 15510 | 63 | | 3 | 4 | 6 | 13 | 4 | 5 | 4 | 6 | 3 | 1 | 4 | 1 | 3 | 2 | 4 | 11. |
| COCONDENSATION | 15515 | 12 | | 0 | 0 | 0 | 0 | 2 | 0 | 1 | 4 | 0 | 4 | 0 | 1 | 0 | 0 | 0 | . |
| COCO NUT | 15520 | 59 | | 7 | 2 | 8 | 7 | 0 | 0 | 2 | 8 | 2 | 4 | 5 | 0 | 4 | 1 | 9 | 15. |
| COCO NUT OIL | 15530 | 369 | | 2 | 23 | 46 | 25 | 19 | 44 | 27 | 28 | 16 | 21 | 18 | 13 | 52 | 16 | 19 | 6. |
| COCO NUT OIL FATTY A | 15540 | 385 | | 44 | 17 | 10 | 41 | 28 | 36 | 27 | 19 | 38 | 5 | 16 | 19 | 47 | 22 | 16 | 15. |
| COCO NUT SHELL | 15545 | 05 | | 0 | 0 | 0 | 0 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | . |
| CO CRYSTALLIZATION | 15550 | 09 | | 4 | 1 | 2 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 55. |
| CO CURRENT | 15555 | 18 | | 3 | 3 | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 33. |
| COD | 15560 | 21 | | 2 | 3 | 0 | 2 | 4 | 3 | 0 | 0 | 0 | 0 | 4 | 0 | 1 | 2 | 0 | 23. |
| CODE | 15570 | 36 | | 15 | 10 | 0 | 9 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 69. |
| CODEPOSITION | 15580 | 09 | | 0 | 1 | 1 | 1 | 0 | 0 | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11. |
| CO DIMER | 15585 | 18 | | 1 | 0 | 2 | 0 | 1 | 0 | 1 | 4 | 0 | 0 | 2 | 3 | 0 | 3 | 1 | 3. |

Table IV. Search of Ifl Index to U.S. Chemical Patents

Inquiry No. 03
Requested by
No. of Search Terms 18

Hydrocarbon Soluble Acrylic Ester Polymers
Dr. Strangelove of the Bond Institute
Minimum Score 0000016384

Question No. 32
August 32, 1988.
Max. No. Answers Printed 0050

Term Codes

| | | | |
|-------|-------------------------|----|---|
| 54170 | POLYACRYLATE | 18 | A |
| 54680 | POLYMETHYL ACRYLATE | 17 | A |
| 54430 | POLYETHYL ACRYLATE | 16 | A |
| 54660 | POLYMETHACRYLATE | 15 | A |
| 54710 | POLYMETHYL METHACRYLATE | 14 | A |
| 65010 | SOLUBILITY | 13 | B |
| 65030 | SOLVENT | 12 | B |
| 65020 | SOLUTION | 11 | B |
| 11185 | C-8 C-24 HYDROCARBON | 10 | C |
| 33960 | HYDROCARBON OIL | 09 | C |
| 65060 | SOLVENT NAPHTHA | 08 | C |
| 44790 | NAPHTHA | 07 | C |
| 37950 | KEROSENE | 06 | C |
| 43590 | MINERAL OIL | 05 | C |
| 15250 | COAL TAR OIL | 04 | C |
| 39470 | LIGROIN | 03 | C |
| 30230 | GASOLINE | 02 | C |
| 33923 | HYDROCARBON | 01 | C |

be specified, so that answers whose score is below this limit are not printed; and (2) the maximum number of answers printed within a particular set can be specified. When the second limit is reached the total number of answers in that particular set is given.

As well as providing controls to limit the number of answers printed, provision is made in the print program for documentation of the inquiry. The title of the search, the inquirer, date, and print controls are displayed along with the list of search terms (Table IV).

The weighted search program has been written in "COBOL" specifically for use on IBM 1410 equipment and IBM 360 series and other units for which a "COBOL" compiler is available. The computer system flow chart is shown in Figure 2.

The search routine consists of several phases, which, however, may be run as a series under control of the computer operating system. The first phase is the search of the inverted master tape file for terms entered on inquiry cards, ordered in sequence of terms. This phase is essentially an extract of the list of patent references for the terms specified in the search. The output is a tape containing patent references which are in order of terms and random with respect to inquiry number. The tape records are sorted in sequence of Ifl accession number for the logic phase which follows.

The logic phase coordinates patent numbers, attempting all possible combinations of terms within an inquiry, and accumulates a score. The program selects concepts, disregarding synonyms associated with each concept in computing scores. The minimum number of concepts required for a logical statement and the cutoff score for each inquiry are specified by the use of control cards. Answers which do not satisfy these limits are eliminated. An additional number which is the sum of all weights converted to a power-of-two is also developed to provide the mechanism for the playback of terms in the print phase. The output of this phase is a tape containing answers, described by

an Ifl accession number, its conversion to U.S. patent number, and a score and playback number. Answers are then sorted in descending sequence of score for the print phase to follow. The sorted tape serves as the "save-tape" for the computer search, and may be rerun through the print phase if the limits were set too high.

Several inquiries are usually processed together in any one computer search, providing for more economical use of the computer. The computer time for a given search is largely dependent on the number of patent references associated with the search terms. It is dependent to a lesser extent on the total number of search terms used. A typical question with 10 terms having an average frequency of posting would require 12 minutes on an IBM 1410 (40K) computer, for which current charges are \$15.00. This time assumes that the inquiry is one of about five such inquiries which have been processed together. The sort efficiency is the major factor in determining the over-all computer time. As a rule, over 80% of the references listed in the highest scoring answer sets are relevant; if the inquirer scans the first few answer sets, he will have the majority of the pertinent answers.

The user's selection and preference of terms is determined by his interpretation of the inquiry and his knowledge of the vocabulary of the index. The particular group of terms which were selected from the text of a patent at the time of indexing is unknown to him; he can only speculate on the probability of finding relevant patents using his selected group of terms. If the user wants all of the relevant answers that had been retrieved from the inquiry, he should scan the remaining answer sets, using the scan-column index of terms, as a guide. Often, he will find answers which satisfy the inquiry, even though they had not been indexed by the preferred terms of the search.

Frequently the user fails to consider all of the synonyms and generic and related terms which have been used in the index to express one of the concepts of the inquiry.

WEIGHTED TERM SEARCH:

Table V. First Ten Answer Sets from Inquiry

| INQ SET NO IF | NO ACC NO | 03 YR | US PATENT NO | C | B | A |
|------------------|--------------|----------|--------------|---------|----------|------------|
| | | | | | TERMS | |
| 1 | 002726 | 51 | 2552775 | | 09 | 13 18 |
| 2 | 003453 | 53 | 2647101 | | 07 | 1213 18 |
| 3 | 005503 | 54 | 2695270 | 01 05 | | 13 18 |
| 4 | 001534 | 53 | 2634260 | 0102 | | 1213 15 18 |
| | 004355 | 55 | 2719833 | 0102 | | 13 18 |
| 5 | 006436 | 63 | 3095388 | 01 | | 131415 18 |
| | 010631 | 59 | 2915482 | 01 | | 1213 15 18 |
| | 003337 | 53 | 2646416 | 01 | | 1213 18 |
| | 007484 | 63 | 3098835 | 01 | | 13 18 |
| 6 | 001752 | 60 | 2928797 | | | 131415 18 |
| | 010656 | 59 | 2915507 | | | 13 15 18 |
| | 003889 | 63 | 3085885 | | | |
| | 000591 | 52 | 2583991 | | | |
| | 004167 | 60 | 2940952 | | 111213 | 18 |
| | 001593 | 60 | 2927906 | | 1213 | 18 |
| | 005562 | 55 | 2727015 | | | |
| | 001667 | 57 | 2784184 | | | |
| | 001462 | 58 | 2825711 | | | |
| | 003335 | 53 | 2646414 | | | |
| | 001073 | 54 | 2671065 | | 11 13 | 18 |
| | 007403 | 64 | 3140204 | | | |
| | 005937 | 64 | 3136636 | | | |
| | 009698 | 63 | 3107464 | | 13 | 18 |
| | 003873 | 59 | 2885271 | | | |
| | 004487 | 64 | 3131630 | | | |
| | 009277 | 60 | 2964487 | | | |
| | 000556 | 55 | 2701391 | | | |
| | 012265 | 56 | 2751368 | | | |
| | 007490 | 57 | 2812314 | | | |
| | 006110 | 58 | 284703 | | | |
| | 001260 | 57 | 2782183 | | | |
| | 013047 | 56 | 2754240 | | | |
| 7 | 003298 | 60 | 2936300 | 03 0506 | 09 12 15 | 18 |
| | 003112 | 60 | 293584 | 01 | 09 12 | 18 |
| | 002056 | 53 | 2637718 | | | |
| 8 | 005643 | 57 | 2803611 | | 07 | 12 15 18 |
| 9 | 000747 | 53 | 2628923 | | 06 | 12 15 18 |
| 10 | 009008 | 60 | 2963388 | 02 | | 1112 15 18 |
| | 002165 | 60 | 2930768 | 0102 | | 12 18 |
| | 007664 | 57 | 2813083 | 02 | | 12 18 |

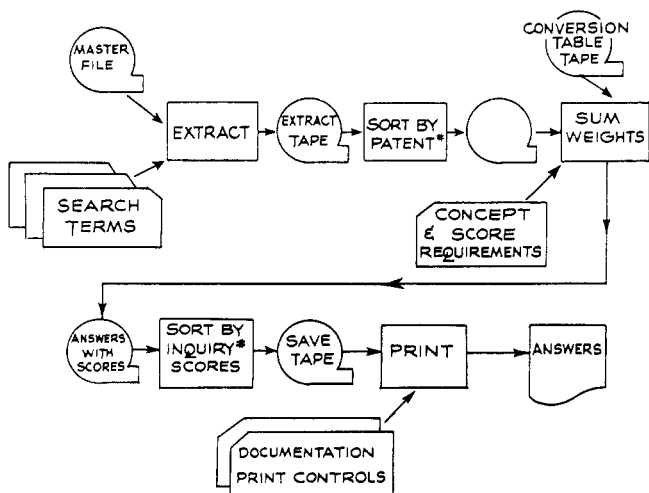


Figure 2. Flow chart.

The flexibility of this technique allows him to retrieve answers resulting from the coordination of terms in which some of the search concepts had not been expressed. These answers would have low scores and would not be printed near the top of the list. The user is, however, able to consider the answers which only partially satisfy his search expression. It is important to note that these answers would not in many cases be available if the Boolean technique of specifying a particular term combination had been applied.

To illustrate this point consider an item from one of the answer sets obtained for the example discussed previously, concerning "Acrylic Polymers Soluble in Natural Hydrocarbons." The term-weights associated with this set were 18 and 12—that is, "Polyacrylate" and "Solubility." The "Natural Hydrocarbon" concept is missing in this term combination. The first claim from one of the patent references in this set, U.S. Patent Number 3050484, is

Bituminous Protective Coating and Method of Use - James Q. Wood. "A bituminous *coating* composition comprising a normally liquid polymerized conjugated diene having from 4 to 8 carbon atoms per molecule, a *polymer of acrylic acid* selected from the group consisting of polyacrylic acid and a copolymer of acrylic acid and a conjugated diene having from 4 to 8 carbon atoms per molecule, and from 50 to 90 weight percent asphalt."

In listing terms which cover the concepts of "Natural Hydrocarbon," the searcher did not consider "asphalt," the final fraction in the distillation of petroleum. If the search technique had required the presence of one of the specified "Hydrocarbon" terms, this pertinent reference would not have been retrieved. This example points out the risk of suppressing relevant answers by restricting the search to specific term combinations.

The search technique described combines efficient search of an inverted file with an ease and flexibility in expression of the search. The output is ranked in probable order of relevance and provides a further aid to retrieval: a scan-column index of terms coordinated in each answer.

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CORRECTION

**Quality Control and Auditing Procedures in the
Chemical Abstracts Service Compound Registry**

**The Computer-Based Subject Index Support System at
Chemical Abstracts Service**

In the above articles by D. P. Leiter, Jr., and H. L. Morgan [J. CHEM. DOC. 6, 226-9 (1966)] and D. J. Whittingham, F. R. Wetsel, and H. L. Morgan [J. CHEM. DOC. 6, 230-4 (1966)], respectively, Figure 2 has been interchanged. The captions should remain with the respective articles, but the location of the illustrations should be reversed.