

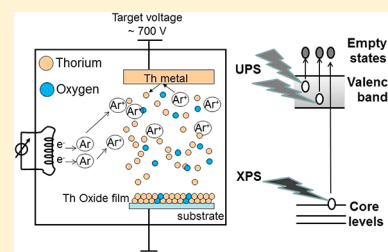
# An XPS and UPS Study on the Electronic Structure of $\text{ThO}_x$ ( $x \leq 2$ ) Thin Films

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**ABSTRACT:** Model systems are needed for surface corrosion studies of spent nuclear oxide fuels. For this purpose  $\text{ThO}_2$  films have been prepared in situ by adsorption of molecular and atomic oxygen on Th metal films and by sputter deposition of Th metal in an  $\text{Ar}/\text{O}_2$  gas mixture. Surface compositions and electronic structure were compared to the bulk oxide and oxygen substoichiometry effects investigated. X-ray and ultraviolet photoemission spectroscopy (XPS and UPS, respectively) were used to measure to Th-4f, O-1s core levels and the valence band region. The Th-4f line was analyzed in terms of the final-state screening model. The evolution of the binding energies with oxygen concentration has been studied. On Th metal, adsorption of molecular oxygen ceased after the formation of a  $\text{ThO}_2$  surface layer. In the presence of atomic oxygen, the oxidation proceeded into the underlying bulk. The formation of oxygen interstitials was shown by the broadening of the O-2p and O-1s lines and by the increase of the O-1s/Th-4f ratio. Once  $\text{ThO}_2$  is formed, all photoemission peaks from Th and O undergo a rigid shift to low binding energy.



## 1. INTRODUCTION

Thorium is a potential future nuclear fuel.<sup>1</sup> There is a high natural abundance of the fertile  $^{232}\text{Th}$  isotope. During operation, a smaller fraction of minor actinides is produced as compared to the U cycle,<sup>1</sup> so that it is quasi-inert, making it a candidate as an inert matrix to incinerate plutonium stockpiles. In this context, mixed oxides of Th with other actinides are worth being studied. Comparatively little information exists on thorium fuel, compared to uranium fuel forms,<sup>2</sup> because thorium based fuels have not been used commercially so far.

One important aspect for the application of nuclear fuels is the long-term stability of the waste. It depends on the resistance of the waste surface toward corrosion and dissolution in contact with groundwater.<sup>3</sup> To reach a better understanding and prediction of these processes,<sup>4,5</sup> surface science studies are being conducted, mainly on  $\text{UO}_2$  fuel.<sup>3,6,7</sup> Only a few spectroscopy studies have been performed on  $(\text{U,Th})\text{O}_2$ .<sup>8</sup> Because of the complexity of spent nuclear fuel, systematic studies of surface reaction mechanisms are difficult but can be realized by studying model systems, which focus on single reaction parameters. Fuel model systems can be prepared as thin films, starting with single actinide oxides, then processing to more complex systems, doping with fission products.<sup>9</sup> Such model films can be prepared by reactive sputtering from a series of elemental targets.<sup>10</sup> Thorium is the only actinide without 5f electrons. Its simplified chemistry, with only two oxidation states (0 and 4), makes it a very interesting reference material, indeed.

In this paper we discuss the preparation of  $\text{ThO}_2$  films starting from the metal. Surface characterization, focusing on surface composition and electronic structure, is provided by

photoemission spectroscopy following the core level Th-4f and O-1s by XPS and the valence band by UPS. The films are compared to bulk oxide compounds already reported in the literature<sup>11,12</sup> to ensure that there are no differences and that the films can really be used to model the bulk system. McLean et al.<sup>11</sup> performed an XPS and AES study of the surface oxidation of Th metal by  $\text{O}_2$ , CO, and  $\text{CO}_2$  while Veal et al.<sup>13</sup> looked at both uranium and thorium as metal and dioxide forms. According to their results, the O-2p valence band spectra of  $\text{UO}_2$  and  $\text{ThO}_2$  are similar, but the 5f electron occupancy in  $\text{UO}_2$  causes the difference between the two oxides, in particular electrical conductivity, color, and magnetism. Resonant photoemission spectra of the  $\text{ThO}_2$  valence band have been compared to LMTO DOS calculations.<sup>14</sup> It was shown that there is a strong hybridization between O-2p and Th-6d orbitals. Rivi ra<sup>15</sup> reported the surface potential of thorium films during the exposure of oxygen. His study shows that, once the surface of the film converted to  $\text{ThO}_2$ , oxygen does not diffuse into the metal further.

This paper is divided into two sections. First we investigate the reaction of the surface of thorium metal with oxygen, when exposed to molecular and atomic oxygen. Then, in a second part, we study the deposition of thin films of  $\text{ThO}_2$  by argon sputtering in the presence of  $\text{O}_2$ . We follow the effect of the oxygen partial pressure on the surface oxidation and electronic structure of the sample. Possible oxygen off-stoichiometry (vacancies or interstitials) are investigated because they often

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are at the origin of enhanced reactivity. Substoichiometry oxides simulate oxygen vacancies. Their formation is tested by depositing Th in the presence of low oxygen partial pressure. Thorium cannot oxidize beyond  $\text{Th}^{4+}$  because it possesses only four valence electrons ( $[\text{Rn}] 6d^2 7s^2$ ), and supplementary surface oxygen cannot be incorporated into the lattice. We tested the accumulation of surface oxygen by chemisorption. While many surface characterization studies have been reported on  $\text{UO}_2$  thin films,<sup>16–19</sup> it was important to report the first equivalent study on  $\text{ThO}_2$  thin films, which will be used as a reference for a forthcoming study on  $(\text{U,Th})\text{O}_2$  films. The results obtained on  $\text{ThO}_x$  thin films are compared to those obtained previously on  $\text{UO}_x$  thin films.<sup>16–18</sup>

## 2. EXPERIMENTAL SECTION

The thin films of thorium metal and thorium oxide  $\text{ThO}_x$  ( $x \leq 2$ ) were prepared in situ by direct current sputtering from a thorium metal target in Ar (6 N) and in a gas mixture of Ar (6 N) and  $\text{O}_2$  (6 N), respectively. The oxygen concentration in the films was varied by adjusting the  $\text{O}_2$  partial pressure ( $10^{-8}$ – $8 \times 10^{-7}$  mbar), while the Ar pressure was maintained at  $5 \times 10^{-7}$  mbar. The thorium target voltage was fixed at  $-700$  V. The thin films were deposited at a rate of about  $1 \text{ \AA/s}$  for 120 s at room temperature on a silicon wafers (111), which have been cleaned by Ar ion sputtering (4 keV) for 10 min, and subsequently annealed at 773 K for 5 min. The plasma in the diode source was maintained by injection of electrons (50–100 eV; triode setup) to work at low Ar pressure in the absence of stabilizing magnetic fields. Atomic oxygen was produced by an electron cyclotron resonance (ECR) Plasma Source Gen I from Tectra GmbH, Frankfurt/M. The atom flux is specified as  $>10^{16}$  atoms  $\text{cm}^{-2} \text{ s}$ , corresponding to an exposure of roughly 10 langmuirs/s (i.e.,  $10^{-5}$  mbar of O). After deposition, the thin films were transferred to the XPS-UPS analysis chamber via an interlock without exposing them to air.

Photoelectron spectroscopy data were recorded using a hemispherical analyzer from Omicron (EA 125 US). The spectra were taken using Mg  $K\alpha$  (1253.6 eV) or Al  $K\alpha$  (1486.6 eV) radiation with an energy resolution of  $\sim 1$  eV. A few high-resolution XPS spectra were taken with a SPECS EA300 hemispherical analyzer using monochromated Al  $K\alpha$  radiation, yielding an energy resolution of 0.4 eV. UPS measurements were made using He II (40.81 eV) and He I (21.22 eV) radiation produced by a high-intensity windowless UV rare gas discharge source (SPECS UVS 300). The total resolution in UPS was 0.1–0.05 eV for the high-resolution scans. The background pressure in the analysis chamber was  $2 \times 10^{-10}$  mbar. The spectrometers were calibrated by using metallic Au- $4f_{7/2}$  at 83.9 eV BE and metallic Cu- $2p_{3/2}$  at 932.7 eV BE for XPS and on He I and He II Fermi edges for UPS. Photoemission spectra were taken at room temperature. The O/Th concentration ratio was determined by the ratio of the O-1s/Th- $4f_{7/2}$  surface areas, corrected by the atomic sensitivity factors.<sup>20</sup> The Th- $4f$  and O-1s spectra were fitted by simple Gaussian functions. The inelastic background was subtracted by the Shirley algorithm.<sup>21</sup> The following equation has been used:<sup>22</sup>

$$n_{\text{O}}/n_{\text{Th}} = \frac{I_{\text{O}}/S_{\text{O}}}{I_{\text{Th}}/S_{\text{Th}}} \quad (1)$$

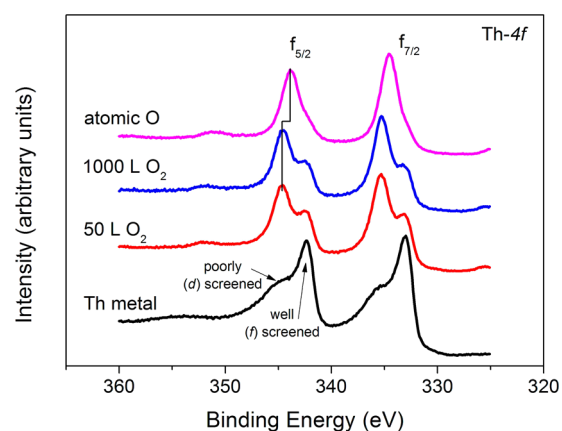
where  $n$  is the atomic concentration,  $I$  is the surface area of the main peak, O-1s and Th- $4f_{7/2}$ , and  $S$  is the atomic sensitivity factor of 0.66 and 7.8 for O-1s and Th- $4f_{7/2}$ , respectively.

An uncertainty of  $\pm 10\%$  must be expected using tabulated values instead of internal references.<sup>22</sup>

The X-ray diffraction analyses were made on a conventional Phillips PW3830 powder diffractometer with a Cu anode ( $K\alpha_1 = 0.1540560 \text{ nm}$ ). Films of about 360 nm thicknesses were deposited ( $1 \text{ \AA/s}$ ) at  $100^\circ\text{C}$  on a Si (111) wafer and at room temperature on a Si (100) wafer.

## 3. RESULTS AND DISCUSSION

**3.1. Oxygen Adsorption on Th Metal Film.** Initial Th metal films were produced by sputtering Th onto a Si (111) substrate. The films were then exposed at room temperature to molecular oxygen until saturation and then to atomic oxygen. Figure 1 shows the corresponding Th- $4f$  core level spectra.



**Figure 1.** Th- $4f$  spectra for Th metal film and after exposure to molecular and atomic oxygen.

They are split into the  $4f_{5/2}$  and  $4f_{7/2}$  components with a BE in the metal of 342.4 and 333.1 eV, respectively. Each peak has two components, associated with two different final states.<sup>23,24</sup> The well-screened peak (at low binding energy) corresponds to the final state where the  $4f$  core hole of the ionized atom is screened by the population of a  $5f$ -state, called  $f$ -screening. The poorly screened peak (at high binding energy) corresponds to the  $d$ -screened final state.<sup>19</sup> The well-screened peak occurs in the metal, while in  $\text{ThO}_2$  oxide, the  $f$ -states become so high in energy that they no longer participate in the screening.<sup>19,25</sup>

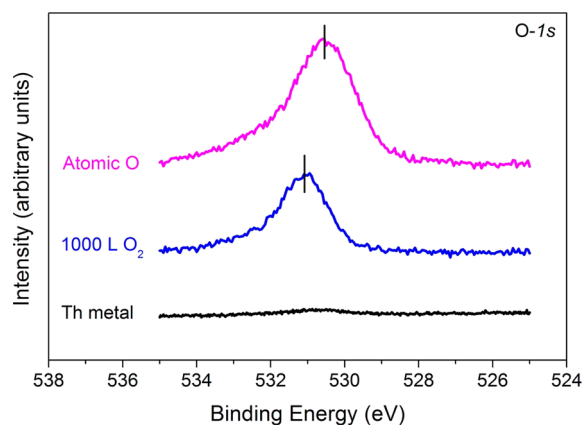
After an exposure of 50 langmuirs of molecular oxygen ( $1 \text{ langmuir} = 1 \text{ s} \times 10^{-6} \text{ Torr}$  would provide one monolayer of gas particles, if the sticking probability is one) the poorly screened peak becomes dominant at the expense of the well-screened peak, the intensity of which decreases (Figure 1). The spectra are the same after exposure of 50 and 1000 langmuirs of  $\text{O}_2$ , showing the surface to be saturated. The presence of the well-screened peak indicates the contribution of the metal underneath the oxide layer. Indeed, the  $4f$  spectrum of  $\text{ThO}_2$  has only one component: the poorly ( $d$ ) screened peak.<sup>19</sup> Quite remarkably, the  $4f$  level of  $\text{ThO}_2$  (in the presence of the metal) has the same binding energy as the poorly screened peak of the metal: as displayed in Figure 1, there is no shift to higher binding energy, which one would expect after oxidation.<sup>26</sup> The chemical shift links the binding energy to the oxidation state,<sup>21</sup> but this relation is not universal, and notably in the heavier actinides Am<sup>27</sup> and Cm,<sup>12,28</sup> the oxides have the same or even

lower core level binding energies than the metal. In all these cases, metal and oxide have the screening type (d-screening), and f-screening is minor either because the f-peak is too high in energy (Th) or because it is localized (Am, Cm).<sup>12</sup>

The spectrum after exposure to molecular oxygen (Figure 1, red and blue curve) still shows some metal left (at the position of the (f) screened peak). Oxidation is not complete in the region probed by XPS, which obtains a signal not only from the surface but also from deeper layers, which remain still metallic. The top surface, roughly estimated to 4.7 monolayers in our experimental conditions, is completely oxidized, and on this surface, the dissociation of molecular oxygen is inhibited slowing down the diffusion through the oxide layer. Similar saturation after fast initial reaction has been observed for U metal.<sup>11,29</sup> After exposure to atomic oxygen (Figure 1, purple curve), the f-screened peak disappears completely, indicating all metal is oxidized to the depth probed by XPS. Atomic oxygen adsorbs on the oxide and subsequently diffuses through the oxide layer and reacts with the metal below. Thus, the rate-limiting step in the formation of the thin ThO<sub>2</sub> layers is the dissociation of molecular oxygen, not the bulk diffusion. After reaction with atomic oxygen the (d screened) 4f peak shifts to lower binding energy. We will discuss this below.

In the study by Rivière<sup>15</sup> on the surface potential of oxygen on thorium using the Kelvin method, it was reported that oxygen incorporation in the metallic lattice takes place before transformation of the saturated surface layers into ThO<sub>2</sub>. Further oxygen cannot dissolve in ThO<sub>2</sub> as it does in UO<sub>2</sub> and it does not chemisorb on the ThO<sub>2</sub> surface at room temperature, so that, once the surface is saturated, oxygen diffusion into the bulk stops. The oxygen can reach the underlying metal by a mechanism of exchange with vacancies and not by interstitial diffusion. Part of this analysis is supported by the present study. Indeed O<sub>2</sub> adsorbs on the Th metal surface transforming it into ThO<sub>2</sub>, covering the Th metal underneath. Then O<sub>2</sub> adsorption stops. Atomic oxygen continues to adsorb and diffuse into the bulk, oxidizing the metal into ThO<sub>2</sub>.

The O-1s spectra after exposure to molecular and atomic oxygen are shown in Figure 2. Exposure to molecular oxygen leads to a sharp O-1s peak with a maximum at 531 eV BE and a weak shoulder at 532.5 eV. After exposure to atomic oxygen, the peak broadens considerably and higher BE component increases, which indicate chemisorption of oxygen at the surface

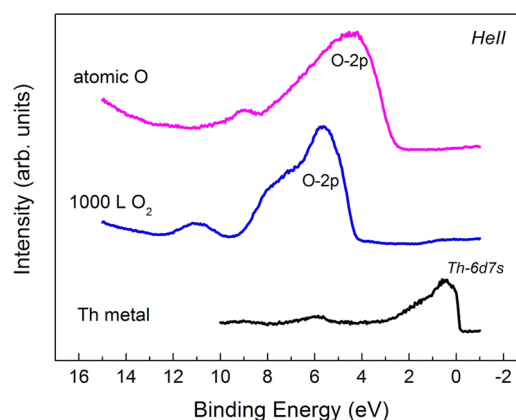


**Figure 2.** O-1s spectra of Th metal film and after exposure to molecular (bottom) and atomic oxygen (top).

because in this chemical form solid state relaxation is less strong.<sup>30,31</sup> This does not mean further oxidation of Th because similar adsorption was observed for MgO,<sup>32–34</sup> which cannot oxidize beyond Mg<sup>2+</sup> and where the oxygen atoms bond to surface oxygen anions (in O–O<sup>–</sup> entities). The broadening of the peak, too, may be explained by oxygen build-up, resulting in nonequivalent surface oxygen atoms. The O-1s/Th-4f intensity ratio increases from 0.147 after molecular oxygen exposure to 0.201 after atomic oxygen. This increase indicates accumulation of oxygen, going from oxygen-deficient stoichiometry, formally (ThO<sub>1.73</sub>), to oxygen-rich stoichiometry, formally (ThO<sub>2.37</sub>), thorium oxide. ThO<sub>2.37</sub> is not a stable oxide, but this overstoichiometry is due to atomic oxygen chemisorbed on the surface. In addition, the film composition has been determined using general XPS sensitivity factors. Thus, an uncertainty of not better than 10% should be considered.<sup>35</sup>

After exposure to atomic oxygen, the O-1s shifts to lower BE. Th-4f undergoes the same shift (see Figure 1). So it is not a true chemical shift of one species in the crystal. A similar rigid shift has been observed in UO<sub>2</sub> upon surface oxidation, when the Fermi energy decreases due to charge carrier depletion<sup>36,37</sup> or to adsorbate induced band bending at the surface.<sup>38</sup> Since all photoemission lines in the (conductive) solid are referenced to the Fermi energy, a shift of the Fermi-level toward the core levels is seen as a coherent shift of all core-level lines to lower binding energy. ThO<sub>2</sub> is an insulator, but the thickness of the oxide layer (~120 Å) seems small enough to allow electrons to tunnel through. Therefore, the Fermi level is still defined and the thin surface oxide film does not experience charging upon photoemission.<sup>31</sup>

The He II valence band spectra (Figure 3) allow studying the electrons levels participating in the oxidation process. The



**Figure 3.** He II spectra for Th metal film and after exposure to molecular (bottom) and atomic oxygen (top).

metal film has a peak ranging from the Fermi level to 3 eV BE and corresponding to the Th-6d7s conduction band. After 1000 langmuirs of O<sub>2</sub>, this peak totally disappears, and the O-2p valence band appears between 4 and 10 eV. As Th oxidizes to ThO<sub>2</sub>, the 6d7s electrons are transferred into the O-2p band, leaving no states at the Fermi level. The high BE shoulder of the O-2p (8–9 eV) corresponds to the bonding part of the band, formed by Th-6d states hybridized with O-2p states. The maximum at low BE (5–6 eV) corresponds to the nonbonding part of the band.<sup>39</sup> In contrast to the Th-4f spectra, the oxidation observed in He II is complete and no trace of underlying metal is observed. The He II spectra are more

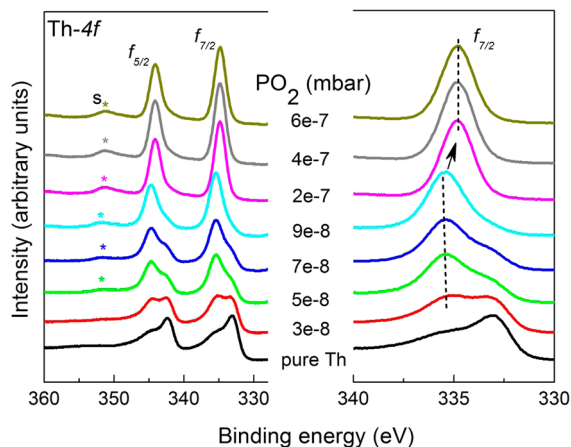


surface sensitive than the 4f (the inelastic mean free path is 1 instead of 5 layers<sup>40</sup>), and this confirms that the residual metal signal in the 4f data indeed came from deeper levels, and not from an incomplete reaction in the upper layers. The small signal at 11 eV comes from a small OH contamination, likely to be produced by water desorbed from the chamber walls. A shift of about 1.2 eV to lower binding energy is observed after exposure of the surface to atomic oxygen. As for the core level, it is attributed to the decrease of the Fermi energy concomitant with slight increase of the oxygen concentration. The O-2p is broadened, with supplementary intensity appearing at the high BE side, which is explained by supplementary oxygen, chemisorbed on the surface. It appears at high BE because of the lower relaxation energy available for chemisorbed species (less coordination).

Such oxygen is more visible in the surface sensitive UPS-He II spectra than in the bulk spectra, therefore the buildup of intensity at the high BE side is more pronounced than for the O-1s line.

**3.2. Reactive DC Sputtering of ThO<sub>x</sub> (0 ≤ x ≤ 2) Thin Films.** Sputter deposition has been also used to prepare thin oxide films. In contrast to the previous method, there is no concentration gradient between the reacted surface and the bulk, but the films are homogeneous in composition. Thorium is sputtered from a thorium metal target in an Ar/O<sub>2</sub> gas mixture. Oxygen reacts with the metal atoms on the target, in the plasma and on the substrate. The oxygen composition of the film depends on the oxygen partial pressure in the chamber.

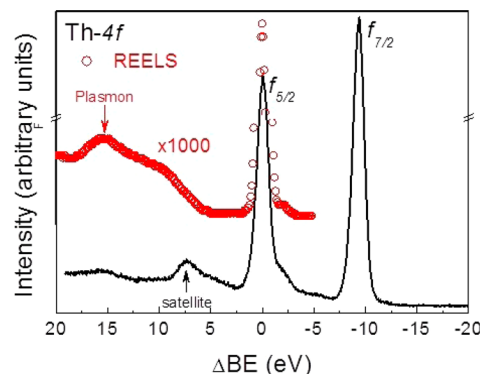
Figure 4 shows the Th-4f core level spectra of ThO<sub>x</sub> at different O<sub>2</sub> partial pressures. In Figure 4 left, the spin-orbit



**Figure 4.** Left: Th-4f core level spectra of ThO<sub>x</sub> (0 ≤ x ≤ 2) thin films versus O<sub>2</sub> partial pressure. Right: zoom on corresponding Th-4f<sub>7/2</sub> core level spectra.

split 4f<sub>7/2</sub> and 4f<sub>5/2</sub> peaks can be followed, together with the appearance of a shakeup satellite peak(s) at 7.1 eV higher binding energy than the main peaks. Figure 4 right focuses on the Th-4f<sub>7/2</sub> component to emphasize the evolution of the peak shape and binding energy with oxygen partial pressure. As for O<sub>2</sub> adsorption, the f-screened component at low binding energy decreases with increasing oxygen pressure, as the Th metal consumes. The high BE component, consisting of the d-screened metal and oxide peak increases in intensity, replacing the f-screened peak completely at  $9 \times 10^{-8}$  mbar of O<sub>2</sub>. Once all metal has reacted, the oxide line shifts to lower binding energy by about 0.7 eV (from 335.4 to 334.7 eV).

Figure 5 shows the spectrum of ThO<sub>2</sub> thin films obtained with a monochromated Al Kα source. The main lines are

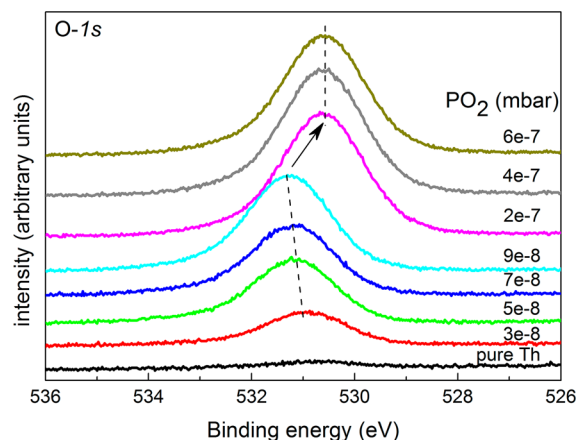


**Figure 5.** Th-4f core level spectra of ThO<sub>2</sub> thin film and superposition of corresponding plasmon signal measured by REELS. For better comparison, the spectra of Th-4f, normalized on the 4f<sub>5/2</sub> component, and REELS are superimposed at same binding energy.

accompanied by two satellites at 7.5 and 15 eV, appearing at higher binding energy than the f<sub>5/2</sub> and f<sub>7/2</sub> peaks. The f<sub>5/2</sub> component is compared to a REELS (reflection electron energy loss spectroscopy) spectrum obtained with a primary electron beam of 1000 eV. The REELS spectrum indicates a band gap in the ThO<sub>2</sub> thin film of about 5.2 eV, which lies in the energy range reported for ThO<sub>2</sub> bulk (3.4–5.7 eV).<sup>15</sup> It also shows a plasmon loss feature at 15 eV and a weaker loss at 10 eV (associated with a surface plasmon:  $\bar{\omega}_s = \bar{\omega}_b/\sqrt{2}$ ). The main Th-4f satellite at 7.4 eV higher BE than the main line is not a plasmon feature, but either a shake-up or a final-state satellite.<sup>41</sup>

The Fermi level shift of 0.7 eV observed at the formation of ThO<sub>2</sub> is smaller than the band gap measured by REELS. A similar observation has been reported for UO<sub>2</sub> thin film.<sup>17</sup> This has been related to the defects present in the layers produced by dc sputtering, pinning the Fermi level between the valence band and the conduction band. Thus, the Fermi level shift and the band gap cannot be correlated. The band gap for UO<sub>2</sub> was determined to be 2.1–2.7 eV,<sup>13,42</sup> which is smaller than the value found in this study for ThO<sub>2</sub> film and would be expected by the stronger insulator properties of ThO<sub>2</sub>.

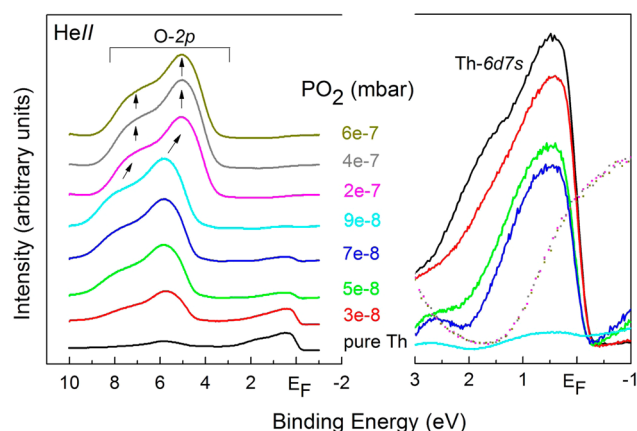
The O-1s spectra of the ThO<sub>x</sub> (0 ≤ x ≤ 2) thin films are displayed in Figure 6. For pure Th metal, the oxygen peak can



**Figure 6.** O-1s core level spectra as a function of O<sub>2</sub> partial pressure.

be hardly seen, which demonstrates the good sample purity. With increasing oxygen partial pressure the O-1s intensity increases. It first shifts to higher binding energy by 1.4 eV, and then at  $9 \times 10^{-8}$  mbar of  $O_2$ , it suddenly shifts back to low binding energy (by 0.7 eV), just as the Th-4f oxide peak (see above). The low BE shift occurs at the same oxygen pressure, i.e., once all metal contribution has disappeared. The shape of the peaks is highly symmetric and can be related to the good homogeneity of the films produced by dc sputtering.

Figure 7 shows He II valence band spectra of  $ThO_x$  ( $x \leq 2$ ) thin films deposited at increasing  $O_2$  partial pressure. Th metal



**Figure 7.** Left: He II spectra  $ThO_x$  ( $0 \leq x \leq 2$ ) thin films versus  $O_2$  partial pressure. Right: superposition of density of states of  $ThO_x$  ( $0 \leq x \leq 2$ ) at Fermi level.

has a broad peak from 3 to 0 eV, attributed to the 6d7s conduction band. The small peak around 6 eV is due to the O-2p emission of a small oxygen contamination at the surface. With increasing  $O_2$  pressure the conduction band peak decreases while the O-2p valence band peak grows between 5 and 9 eV. At  $9 \times 10^{-8}$  mbar of  $O_2$ , when all metal disappears (the emission at the Fermi level vanishes) the O-2p peak shifts to lower BE. This is the same shift as for the Th-4f and O-1s core level spectra, discussed earlier in this paper. The valence band spectra prove it to be associated with the disappearance of the metal.

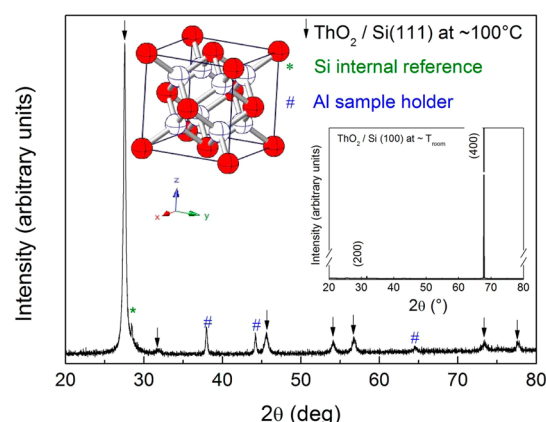
Upon increasing oxygen concentration, the Th6d7s conduction band narrows (Figure 7, right). A similar narrowing has been observed for other valence and conduction band peaks upon dilution of metals in a host matrix, e.g., for U diluted in the weakly interacting Ag matrix,<sup>43</sup> of Au diluted in Ag.<sup>44</sup> Conversely, the O-2p line width of oxygen chemisorbed on metal surfaces increases with surface oxygen concentration. In all these case decrease of the spectral line width seems to reflect the narrowing of the bandwidth, due to spatial restriction or atomic isolation. In the present case a similar isolation of Th in the  $ThO_2$  matrix would lead to a narrowing of the Th6d7s band. Oxide formation does not proceed via island growth (leaving large areas of metal unchanged) but proceeds homogeneously on the entire surface, disrupting the metal–metal bond.

Table 1 compares the binding energy of the thorium and oxygen core level obtained for  $ThO_2$  thin film to the bulk values, obtained in this study and in the literature.<sup>13,45</sup> The values are in a good agreement emphasizing the interest and the capacity of using thin films as model for bulk samples.

**Table 1.** Binding Energy of Th-4f and O-1s, Peak Width, and Satellite Position Relatively to Main Peak Th-4f<sub>7/2</sub> Measured for  $ThO_2$  Film and Bulk of This Study and Compared to Literature Data

	this study		literature
	thin film	bulk	bulk
Th-4f <sub>7/2</sub>	334.8	334.5	334.6, <sup>13</sup> 334.9 <sup>45</sup>
fwhm	1.85	2.32	1.8 <sup>13</sup>
Th-4f <sub>5/2</sub>	344.0	343.7	343.9 <sup>13</sup>
satellite	7.3	7.6	7.3, <sup>13</sup> 6.9 <sup>45</sup>
O-1s	530.6	530.4	

In Figure 8, we report the X-ray diffraction pattern of a  $ThO_2$  film obtained by dc sputtering and deposited on Si (111) at 100



**Figure 8.** X-ray diffraction pattern of  $ThO_2$  thin film deposited on Si(111) wafer at 100 °C and in inset on Si(100) at room temperature. Crystallographic structure of  $ThO_2$ .

°C. The (111) peak of Si is used as internal reference and despite the film thickness; we consider the sample height relatively to the Si surface negligible. The lattice parameter is calculated from the peak positions adjusted after the (111) Si peak was corrected to  $2\theta$  28.44°<sup>46</sup> and obtained after extrapolation of  $a = f(\sin^2 \theta)$ . The pattern shows a polycrystalline structure corresponding to the cubic crystal structure expected for  $ThO_2$  with a lattice parameter of  $a = 5.61$  (1) Å, which is very close with the bulk lattice parameter,  $a = 5.598$  (9) Å.<sup>47</sup> In the inset in Figure 8 the deposition of  $ThO_2$  on Si (100) at room temperature is reported, and here we can see an epitaxial growth of the film along the direction (400). As reported for the deposition of UN films,<sup>48</sup> the microstructure of the sample is depending on the conditions of deposition, for instance the gas partial pressure, the temperature, and time of deposition. This has been the subject of complete experiments presented in the literature,<sup>41,49</sup> and this is out of the frame of the present study on the electronic structure, which is independent of the microstructure. However, this aspect is important due to its influence on the electrochemical behavior. Before to study the corrosion properties of  $ThO_2$  and (U,Th) $O_2$  films, it is important to characterize their polycrystalline character to be representative as model of the fuel. The use of thin films as model for bulk fuel has the advantages that a large range of microstructure can be obtained going from single crystal to polycrystal, simulating different aspect of the nuclear fuel properties.

#### 4. CONCLUSION

We have studied the formation and electronic structure of thin films of Th oxides using X-ray and ultraviolet photoemission spectroscopy. Thin films were prepared by exposing metal surfaces to oxygen or by depositing Th in the presence of oxygen (reactive sputtering).

When exposed to molecular oxygen, the thorium metal surface oxidizes quickly reaching saturation, while the subsurface stays metallic, with a characteristic Th-4f signal. The saturated surface reacts further with atomic oxygen, and eventually the bulk metal is transformed into oxide at room temperature. It is concluded that molecular oxygen does not dissociate on the oxide while atomic oxygen is still capable of adsorbing and diffusing into the bulk. Atomic oxygen adsorption broadens the O-2p valence band. All photoemission peaks underwent a rigid shift to low binding energy, which is consistent with the formation of O<sup>2-</sup> surface atoms.

Thin films of ThO<sub>x</sub> (0 ≤ x ≤ 2) were also produced by dc sputtering in Ar plasma with the presence of O<sub>2</sub>. The film was continuously oxidized during formation, and there was no oxygen concentration gradient between surface and bulk. The Th-4f core level spectrum could be fitted by two peaks corresponding to f-screened and d-screened peak. While the f-screened peak is the main peak for the thorium metal, its BE shifts and its intensity decreases at the expense of the d-screened peak which is the main peak in ThO<sub>2</sub>. The Th-4f and O-1s peaks both increase to higher binding energy until an O/Th ratio of about 2 and then drop suddenly to a lower and constant energy corresponding to the formation of ThO<sub>2</sub> which cannot further oxidized. The sudden drop of the binding energy observed for ThO<sub>2</sub> thin film is also attributed to the decrease of the Fermi energy. The advantage of working with thin films is that it avoids the charging effect which is observed on isolating bulk material.

Deposition of ThO<sub>2</sub> thin film on Si(111) wafer at 100 °C enable to produce a polycrystalline film with a preferential orientation along the ThO<sub>2</sub> (111) direction as demonstrated by X-ray diffraction. When the deposition is made at room temperature, an epitaxial growth takes place along the orientation of the support. It is apparent from these results that this method of using of actinide oxide thin films can be used for surface characterization and analysis without having pellets and bulk materials.

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##### Notes

The authors declare no competing financial interest.

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