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# A Theoretical DFT Investigation of the Lysozyme Mechanism: Computational Evidence for a Covalent Intermediate Pathway

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ABSTRACT A theoretical DFT(B3LYP) investigation of the catalytic cycle of lysozyme has provided further evidence for a mechanism involving a glycosilenzyme covalent intermediate, in agreement with recent experimental data. This type of intermediate has been located along two different pathways. Along the favored path the retention of the anomeric configuration of the peptidoglycan NAM unit involved in the reaction, is the result of two subsequent inversions at the C<sub>1</sub> carbon. The other path involves the opening of the pyranose ring and a nucleophilic attack on the prochiral carbonyl group of the open aldehyde, restoring the original anomeric configuration. No evidence has been found for a pathway characterized by the formation of an oxocarbenium ion (stabilized by resonance and electrostatic interactions), as suggested in the most popular mechanistic schemes. Proteins 2005; **59:118-130.** ○ 2005 Wiley-Liss, Inc.

Key words: lysozyme; glycosidase; enzyme mechanism; DFT investigation

#### INTRODUCTION

Lysozyme, discovered by Fleming in 1922, belongs to a large class of enzymes known as glycosidases. 1-4 These enzymes are extremely efficient catalysts, capable of hydrolyzing the glycosidic bond, which is the most stable of the linkages occurring in natural biopolymers. While the half-lives for spontaneous hydrolysis of cellulose and starch are in the range of five millions of years, glycosidases can accomplish this hydrolysis with rate constants up to 1000  $s^{-1}$ . Glycosidases can be separated into two major classes: those hydrolyzing the glycosidic bond with net inversion of the anomeric configuration and those doing this task with net retention. Animal or C-type lysozymes belong to the latter of these classes and selectively cleave the glycosidic linkage between the anomeric carbon C<sub>1</sub> of N-acetylmuramic acid (NAM) and the oxygen at the C4 carbon of N-acetylglucosamine (NAG) of peptidoglycan, the polysaccharide component of bacterial cell walls.<sup>5-8</sup> The process occurs with net retention of configuration at C<sub>1</sub>. The best characterized C-type lysozyme in structural and mechanistic studies is that isolated from hen egg-white (HEWL).  $^{8-30}\,$ HEWL was also the first enzyme to have its threedimensional structure determined by X-ray diffraction techniques. 13-17 This enzyme is rather small (it comprises only 129 amino acid residues) and is characterized by a deep crevice that contains the substrate binding site and divides the molecule into two domains: one has almost entirely a  $\beta$ -sheet structure and the other is most helical in nature. Six sugar units (termed A through F) of the polysaccharide substrate can be accommodated within the enzyme active-site cleft. The cleavage of the glycosidic bond occurs between unit D and E (see Scheme 1).

Over the last three decades two main mechanistic schemes have been proposed for the lysozyme action. One of them supposes the existence of a long-lived oxocarbenium-ion intermediate and was suggested by Phillips and coworkers. 17 This mechanistic hypothesis has been considered for a long time as the paradigm of the mechanisms of the β-glycosidases, which cleave the glycosidic bonds with net retention of configuration. In this mechanism (see Scheme 2, mechanism 1) the substrate (polysaccharide) is bound by the enzyme in the active-site-cleft and the two residues Glu35 (protonated) and Asp52 (ionized) embody the glycosidic oxygen that links the NAM and NAG units at site D and E, respectively. Glu35 acts as a general acid catalyst and donates a proton to the glycosidic oxygen. This causes the cleavage of the glycosidic bond and the formation of a positively charged intermediate (oxocarbenium ion). The positive charge on this ion (and thus the transition state for the exocyclicic C-O bond cleavage) is stabilized by the lone-pair of the NAM ring oxygen and by the interaction with the negative carboxylate group of the Asp52 residue. It has been observed that an essential feature of this mechanism is the distortion of the ring at site D. This residue assumes a twist-boat conformation that makes possible a better stabilization of the transition state. A water molecule (nucleophile) attacks the NAM unit at C<sub>1</sub> on the only accessible face of the oxocarbenium ion (on the opposite side with respect to Asp52, which shields the β-face), thus retaining the anomeric configuration. A final transfer of a proton from water to Glu35 completes the catalytic cycle.

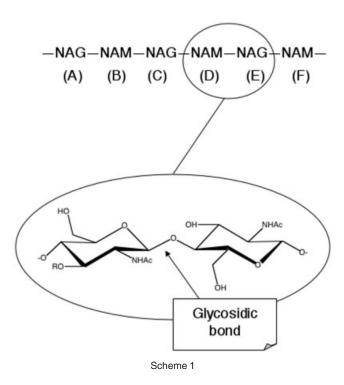
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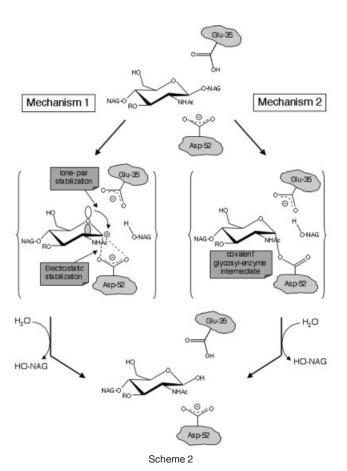
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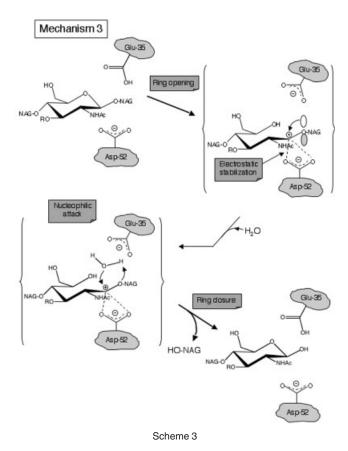


The alternative mechanism  $^{25,26,28}$  (mechanism 2 in Scheme 2) involves the formation of a glycosyl-enzyme intermediate originated by the nucleophilic attack of the Asp52 carboxylate group in a concerted  $S_{\rm N}2$ -type reaction, causing the inversion of configuration at the  $C_1$  center. In a subsequent step the Asp52 carboxylate is displaced by a water molecule, with a second inversion, which restores the original anomeric configuration.

The major criticism<sup>28,29</sup> to the first mechanistic scheme concerns the nature and stability of the ion-pair intermediate. The glicosyl cation is characterized in water by a very short life-time, about  $10^{-12}$  sec, which is much shorter than the time required for diffusion events. Thus, such a cation and, consequently, the supposed ion-pair in mechanism 1 would not exist long enough to play an active role in the catalysis. Things are expected to be different for the glycosil-enzyme intermediate proposed in mechanism 2, an acyl glycoside that should be relatively stable in solution. However, in spite of this supposed stability, the glycosil-enzyme intermediate has never been observed in the reactions of the wild-type enzyme. Thus the mechanistic scenario for the catalytic action of lysozyme has remained quite confused and the nature of the intermediate a point of contention.

Very recently Vocadlo and coworkers  $^{27}$  gave for the first time a convincing evidence for the existence of such an intermediate along the lysozyme reaction pathway. These authors introduced in the enzyme active site a mutation, to slow down the reaction and increase the intermediate life-time. In this way the concentration of the intermediate in the mutant lysozyme was high enough to be detected by electrospray ionization mass spectrometry. Also, using a doubly fluorinated substrate (in position  $C_1$  and  $C_2$  of the NAM unit) they obtained a glycosil-enzyme intermediate living long enough for determining the corresponding crystal structure.

While a large number of experimental investigations on lysozyme structure and its action mechanism are available in literature, the problem has not been carefully investigated at a theoretical level. Warshel and Levitt<sup>31</sup> in 1976 studied the mechanism of lysozyme using a QM-MM approach. These authors examined the stability of the carbenium ion intermediate that is supposed to form after the cleavage of the glycosidic bond. They found that electrostatic stabilization is an important factor in increasing the rate of the catalytic step leading to the intermediate formation, while steric factors do not give a significant contribution. Ten years later Post and Karplus<sup>32</sup> carried out a molecular dynamics simulation of a complex of lysozyme with the N-acetylglucosamine hexamer. The results of the simulation suggested a new pathway where an endocyclic bond is broken in the initial step of the reaction. This mechanism (mechanism 3), which does not require the ring distortion described in mechanism 1, is schematically represented in Scheme 3. The initial step is the protonation of the ring oxygen by Glu35. The cleavage of the endocyclic C<sub>1</sub>-O bond leads to a noncyclic oxocarbenium ion intermediate stabilized by the Asp52 residue. The subsequent attack of a water molecule determines the



expulsion of the HO-NAG fragment. The ring closure leads to the final product.

More recently Karplus and coworkers found evidence for an oxocarbenium ion intermediate in the case of the uracil-DNA glycosylase UDG. For this particular glycosylase these authors carried out a theoretical investigation of the catalytic mechanism and found that a specific enzymesubstrate interaction contributes to lower the energy of the rate-determining transition state and stabilizes the oxocarbenium cation. <sup>33</sup>

Since, to our knowledge, no further investigations at a theoretical level are available in literature and many mechanistic aspects have not been elucidated yet, we have carried out a computational DFT investigation of the catalytic mechanism of lysozyme. The results are reported in the present paper where we examine the three possible mechanisms described above and we try to answer the following questions: (i) Do all three reaction channels, corresponding to mechanism 1, 2 and 3, exist on the potential energy surface? (ii) Is the formation of a covalent intermediate evident at a computational level? (iii) Is there any indication for the existence of an energetically accessible oxocarbenium cation intermediate? (iv) What is the rate-determining step of the catalytic process? (v) Do the proton transfer from the Glu-35 residue and the breaking of the glycosidic bond occur simultaneously or in two subsequent kinetic steps? (vi) What are the nature and the importance of the hydrogen bond network between the substrate and the protein residues? In the present study,

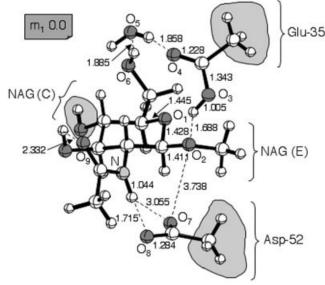


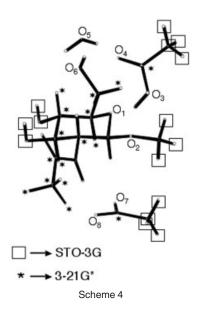
Fig. 1. A schematic representation of the critical point  $m_1$  (starting Michaelis complex) illustrating the model-system used in this paper (bond lengths are in angstroms). The contour lines mark the groups that are kept frozen during the geometry optimization.

to try to elucidate all these points, we use a model-system that includes the Glu35 and Asp52 residues, a water molecule and a  $\beta\text{-methyl-N-acetylglucoside}$  emulating the NAM unit at position D of the natural polysaccharide substrate.

# COMPUTATIONAL DETAILS AND CHOICE OF THE MODEL

The model-system used here to emulate the lysozyme active site and explore the reaction potential surface (see Fig. 1), has been assembled using the crystallographic structure available in literature (protein data bank code is 193L, 1.33-Å resolution). This system includes: (i) the Asp52 and Glu35 residues; (ii) a water molecule; (iii) a substrate molecule. To reduce the size of the model-system an acetate molecule and an acetic acid molecule have been used to emulate the Asp52 and the Glu35 residues, respectively. A six-membered  $\beta$ -methylglucoside with an acetamido group bonded at the  $C_2$  carbon has been used as a substrate. This glucopyranose ring mimics the NAM unit at position D while the methyl group emulates the NAG unit at the subsequent position E.

To preserve the geometry of the active-site cavity and thus emulate the partially constraining effect of the protein environment, during the geometry optimization procedure we have fixed the positions of the atoms not directly involved in the reaction or in the formation of hydrogen bonds. These atoms are marked by contour lines in Figure 1. The methyl carbons of the Glu-35 and Asp-52 residues have been anchored to their crystallographic coordinates and one hydrogen atom of each methyl group has been maintained along the direction of the protein backbone. In addition, the OH group bonded at  $\mathrm{C}_4$  has been frozen in the position obtained from PM3 computations where a larger



model-substrate formed by the NAM and NAG units at position D and E, respectively, has been fully relaxed within the active site. The protonation state of the Glu35 residue and the position of the water molecule correspond to the lowest energy arrangement obtained at the DFT level. This method has been used to explore the whole potential surfaces corresponding to the various mechanistic hypothesis.

All the reported DFT computations have been carried out with the Gaussian 98 series of programs<sup>34</sup> using the B3LYP<sup>35</sup> functional that has been demonstrated to be suitable for systems involving hydrogen bond interactions36-42 and to provide a satisfactory description of enzymatic reactivity. 42,43 A locally dense basis set (LDBS)44 approach has been adopted. According to this method, the system has been partitioned into three different regions, which were assigned basis sets of different accuracy. The assignment is indicated in Scheme 4. The atoms contained in the squares are described by the minimal STO-3G basis<sup>34</sup> and those marked by an asterisk by the 3-21G basis.34 For all the remaining atoms (i.e. the atoms directly involved in the reaction or in the formation of hydrogen bonds) the DZVP<sup>46</sup> basis has been chosen. This basis, which is a Local Spin Density (LSD)-optimized basis set of double-zeta quality and includes polarization functions, is suitable to describe hydrogen interactions such as those occurring in the system investigated here. The transition vector of the various transition states has been analyzed by means of frequency computations. Furthermore, to validate the DFT results, the energy of some important critical points have been recomputed at the MP2 level.

The effect of the whole protein environment has been evaluated with the solvent continuous model approach  $COSMO^{48}$  as implemented in the Turbomole package.<sup>50</sup> Single-point computations have been performed on the DFT-optimized structures using the dielectric constant of nitromethane ( $\epsilon = 38.2$ ). It has been demonstrated that

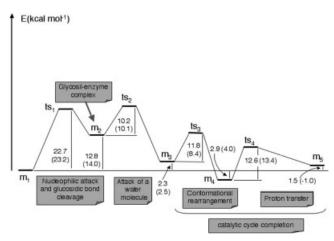


Fig. 2. Energy profiles obtained for the various steps of mechanism 2. The values in parenthesis are obtained with the solvent COSMO model.

the solvation energies obtained from single-point PCM calculations using gas-phase DFT structures are in satisfactory agreement with the values obtained after geometry optimization in the presence of solvent effects.  $^{51,52}$  The value of 38.2 for the dielectric constant has been chosen to take into account the simultaneous presence of hydrophilic and hydrophobic groups around the active site. A value of about 40 has been suggested elsewhere to take into account the effect of charge—charge interactions in proteins.  $^{53}$  Several papers are available in the literature where the COSMO approach has been used in calculations on enzymatic models involving hydrogen bonds and proton transfer.  $^{41-43,54}$ 

### RESULTS AND DISCUSSION

In this section we examine in detail the singlet potential energy surfaces that we have obtained in the investigation of the mechanistic hypothesis of Scheme 2 and 3. The corresponding energy profiles are reported in Figure 2 and Figure 7. The structures (with the corresponding energies) of the various critical points located along these profiles are schematically represented in Figures 3–6 and Figures 8–14.

# Mechanisms 1 and 2: The Nucleophilic Attack and the Glucosidic Bond Cleavage

A complex network of hydrogen bonds characterizes the intermediate  $m_1$ , which forms upon insertion of the substrate in the enzyme active site (Michaelis complex, see Fig. 1). A fairly strong hydrogen bond engages the glutamate hydrogen and the glycosidic oxygen  $O_2$ . This interaction is characterized by a short  $O_2...H\text{-}O_3$  distance (1.688 Å) and prepares the proton transfer from  $O_3$  to  $O_2$ , as postulated in both mechanisms 1 and 2. The water molecule is kept in its position by two hydrogen bonds, one involving the water hydrogen and the Glu35 oxygen  $O_4$  ( $O_4...H\text{-}O_5$  distance = 1.858 Å) and the other the water oxygen  $O_5$  and the OH group bonded at carbon  $C_6$  ( $O_5...H\text{-}O_6$  distance = 1.885 Å). Another hydrogen bond can be detected between the NH group of the acetamido

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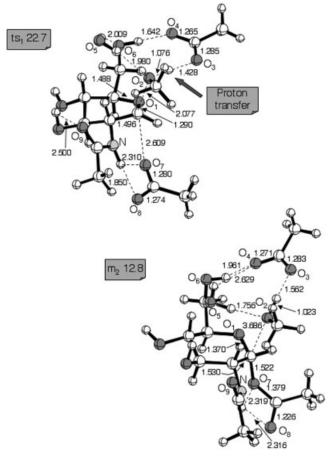


Fig. 3. Schematic representation of the structures of the critical points  $ts_{\scriptscriptstyle 1}$  and  $m_{\scriptscriptstyle 2}$  (bond lengths are in angstroms). The energy values (kcal  $mol^{-1}$ ) are relative to  $m_{\scriptscriptstyle 1}$ .

fragment and the oxygen atom  $\rm O_8$  of the aspartate residue ( $\rm O_8...H\text{-}N$  distance = 1.715 Å). This bond determines the relative orientation of the Asp52 and NH(CO)CH $_3$  groups. The position of the acetamido group, which can rotate around the  $\rm C_2\text{-}N$  bond, is also determined by the hydrogen bond between the carbonyl group of NH(CO)CH $_3$  and the OH bonded at  $\rm C_3$  ( $\rm O_9...H\text{-}O_{10}$  = 2.332 Å).

The first step of the catalytic process, following mechanism 1 or 2, could be either concerted or not concerted. In the former case the proton transfer from O<sub>3</sub> to O<sub>2</sub> (acidic catalysis) and the breaking of the glycosidic bond with the expulsion of the methanol molecule should occur in the same kinetic step. In the latter the formation of an intermediate where the glycosidic oxygen is protonated, should be observed. A careful examination of the potential surface has demonstrated that such an intermediate does not exist, because the protonation of the O2 oxygen immediately causes the expulsion of the methanol molecule. The search for a concerted channel has lead to transition state ts<sub>1</sub> (see Fig. 3) where the proton transfer and the breaking of the glycosidic bond C<sub>1</sub>-O<sub>2</sub> occur simultaneously. In ts<sub>1</sub> the proton has been almost completely transferred from  ${\rm O_3}$ to  $O_2$  (the H- $O_2$  and H- $O_3$  distances are 1.076 and 1.428 Å, respectively) and the breaking C<sub>1</sub>-O<sub>2</sub> bond is 2.077 Å.

However, the concerted character of ts<sub>1</sub> does not concern only the proton transfer and the expulsion of methanol. The breaking of the glycosidic linkage is accompanied by a rotation of the Asp52 residue around the C-C bond. This rotation moves the oxygen O<sub>7</sub> much closer to the anomeric center and the C<sub>1</sub>-O<sub>7</sub> distance becomes 2.609 Å (an incipient carbon-oxygen bond). A simultaneous structural rearrangement of the ring is observed, the anomeric center being now approximately planar. Thus ts<sub>1</sub> resembles very closely a  $S_N 2$  transition state, characterized by a configuration inversion, where the incoming group is the Asp52 carboxylate oxygen and the leaving group is the methanol molecule. This nucleophilic attack, which has an energy barrier of 22.7 kcal  $\mathrm{mol}^{-1}$ , is assisted by the  $\mathrm{O_3} \rightarrow \mathrm{O_2}$ proton transfer and by a complex network of hydrogen bonds. In particular the hydrogen bonds involving the N-H bond of the acetamido group and the two oxygen atoms of the Asp52 residue are interesting. On passing from m<sub>1</sub> to ts<sub>1</sub> the N-H...O<sub>7</sub> interaction becomes significantly stronger (the H...O<sub>7</sub> distance varies from 3.055 to 2.310 Å) and contributes to the stabilization of the transition state. In the absence of these interactions (for instance, in case of removal of the acetamido group) a higher activation barrier is certainly expected. This finding is in agreement with the suggested participation of the substrate C<sub>2</sub>bonded acetamido group in the catalysis.<sup>3</sup> This hypothesis was based on the experimental evidence obtained in the study of the hydrolysis by hen egg white lysozyme of N-acetyl-chitobioside substrates with either a C<sub>2</sub> hydroxyl or  $\mathrm{C}_2$  acetamido group. After removal of the  $\mathrm{C}_2$  acetamido group a reduction of about 100-fold in k<sub>cat</sub> was observed. It is worth to point out that these observations agree with the hypothesis that the step involving the acetamido group is the rate-determining step of the process.

The analysis of the transition vector confirms the nature of the transition state  $ts_1$ , since the dominant components are the breaking  $C_1$ - $O_2$  and forming  $C_1$ - $O_7$  bonds and the H- $O_2$  and H- $O_3$  distances.

We move from ts<sub>1</sub> to the intermediate m<sub>2</sub>, where the new  $C_1$ - $O_7$  bond is completed (1.522 Å). This species (schematically represented in Fig. 3) corresponds to the postulated glycosyl-enzyme intermediate of mechanism 2, where the configuration of the anomeric center  $C_1$  is inverted. The methanol molecule is now far away from the ring, the O<sub>2</sub>-C<sub>1</sub> distance being 3.686 Å. The position of this molecule within the active site is determined by two strong hydrogen bonds: one between the methanol hydrogen and the glutamate oxygen  $O_3$  ( $O_3$ ...H- $O_2$  distance = 1.562 Å) and the other involving the methanol oxygen and one water hydrogen  $(O_2...H-O_5 \text{ distance} = 1.756 \text{ Å})$ . The water molecule, in turn, is hydrogen-bonded to the glutamic oxygen O<sub>4</sub>. Also, two additional hydrogen bonds (N-H...O<sub>7</sub> and N-H...O<sub>8</sub>) fix the orientation of the NH(CO)CH<sub>3</sub> group and contribute to stabilize  $m_2$ .

We outline once again that all the attempts to locate a planar oxocarbenium intermediate (planar positively charged anomeric center stabilized by the oxygen lone-pair and the electrostatic interaction with the Asp52 residue, as postulated in mechanism 1) failed. In all cases the

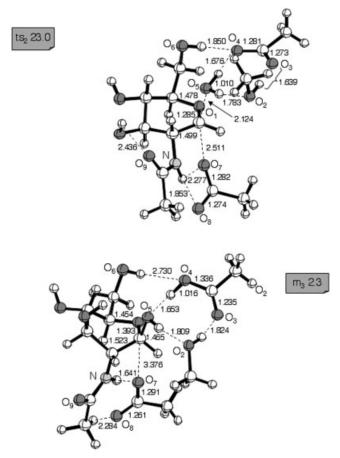


Fig. 4. Schematic representation of the structures of the critical points  $ts_2$  and  $m_3$  (bond lengths are in angstroms). The energy values (kcal  $mol^{-1}$ ) are relative to  $m_1$ .

search algorithm collapsed to the critical point  $m_2$ , enforcing the hypothesis of mechanism 2. It is important to stress that the structural features of the lysozyme-substrate complex are significantly different from those of the glycosylase-substrate complex investigated by Karplus. In that case phosphate groups of the DNA substrate provide stabilizing interactions that make possible the existence of an oxocarbenium ion intermediate. In the lysozyme the key-interaction is that between the negatively charged Asp52 residue and the incipient positive charge at the anomeric center  $C_1$ . Because of the proximity of the two charged centers a slight distortion of the system easily leads to the formation of the stabilizing carbonoxygen bond in  $m_2$ .

#### Mechanisms 2: The Attack of the Water Molecule

In the second step the water molecule undergoes a nucleophilick attack on carbon  $C_1$ . This requires a barrier of 10.2 kcal  $\mathrm{mol}^{-1}$  (transition state  $\mathrm{ts}_2$ ) and causes a second configuration inversion, thus restoring the original configuration of the anomeric center. The structure of  $\mathrm{ts}_2$  (see Fig. 4) is similar to that of  $\mathrm{ts}_1$ . The anomeric carbon is again approximately planar (as expected in a  $\mathrm{S_N}2$ -type transition state), the breaking  $\mathrm{C_1\text{-}O_7}$  and forming  $\mathrm{C_1\text{-}O_5}$ 

bonds are 2.551 and 2.124 Å (2.609 and 2.077 Å in  $ts_1$ , respectively) and the orientation of the glutamate residue and acetamido group is almost identical to that described in  $ts_1$ . It is interesting to note that the two hydrogen bonds N-H...O<sub>7</sub> and N-H...O<sub>8</sub> are again important in stabilizing the transition state since they become stronger on passing from  $m_2$  to  $ts_2$ , as evidenced by the H...O<sub>7</sub> and H...O<sub>8</sub> distances.

Among the most important components of the transition vector obtained from frequency computation there are, as expected, the C<sub>1</sub>-O<sub>7</sub> and C<sub>1</sub>-O<sub>5</sub> bonds, i.e., the two bonds involved in the  $S_N$ 2-like process. However important contributions are also associated with the  $H...O_4$  and  $H...O_5$ distances, indicating that a proton transfer simultaneously occurs from the water oxygen  $O_5$  to the glutamate oxygen O<sub>4</sub>. This transfer process is at the beginning since only a slight lengthening of the  $H...O_5$  bond (1.010 Å) is observed. However, the hydrogen strongly interacts with the  $O_4$  oxygen  $(H...O_4$  distance = 1.676 Å) and this interaction probably contributes to further stabilize ts2 and certainly increases the nucleophilic character of the water oxygen. Both these factors contribute to make the corresponding activation barrier much lower than that found for ts1. The transition state ts2 results in the formation of the intermediate  $m_3$  (Fig. 4), which is only 2.3 kcal  $mol^{-1}$  higher than  $m_1$ . Here the new  $C_1$ - $O_5$  bond is completed (1.465 Å) and the  $O_7$ - $C_1$  bond definitely broken (the  $O_7$ - $C_1$  distance is 3.376 Å). The proton transfer from the water molecule to the glutamate residue Glu-35 is also achieved, so the original protonation of this residue is restored. Three hydrogen bonds (O<sub>4</sub>-H...O<sub>5</sub>, O<sub>5</sub>-H...O<sub>2</sub> and  $O_2$ -H... $O_3$ ) involve the OH group bonded to the anomeric center, the Glu-35 residue and the expelled methanol molecule and are arranged to form a cyclic structure. Another interesting structural feature of m3 is the following: after the breaking of the C<sub>1</sub>-aspartate linkage, the pyranose ring has not recovered yet the original chair form found in m<sub>1</sub>, but is now characterized by a distorted boat conformation.

# Mechanism 2: The Catalytic Cycle Completion

It is evident that, to restore the starting situation of the enzyme (m<sub>1</sub> complex) a conformational rearrangement of the ring is needed. We have located a transition state ts<sub>3</sub> (see Fig. 5) connecting the complex m<sub>3</sub> to a new intermediate  $m_4$  (2.9 kcal mol  $^{-1}$  lower than  $m_1$ ) where the substrate has completely recovered the more stable chair conformation. In ts<sub>3</sub> the anomeric center is planar, the dihedral angle O<sub>1</sub>C<sub>1</sub>C<sub>2</sub>C<sub>3</sub> being 0.3°. The ring distortion required by the boat → chair transformation does not modify significantly the stabilizing network of hydrogen bonds that characterizes the preceding critical point so that the resulting energy barrier is only 11.8 kcal mol<sup>-1</sup>. Also, two hydrogen bonds (O<sub>6</sub>-H...O<sub>4</sub> and O<sub>5</sub>-H...O<sub>4</sub>) become stronger on passing from m<sub>3</sub> to ts<sub>3</sub> and contribute to stabilize the transition state and lower the barrier. In the resulting intermediate m4 (Fig. 5) a modification of the position of the expelled H<sub>3</sub>COH molecule is observed. Here the methanol remains anchored to the glutamate residue and the OH

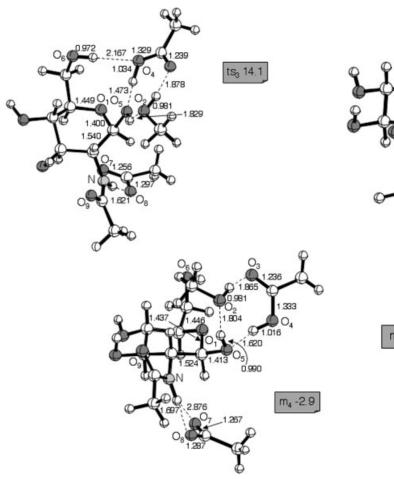


Fig. 5. Schematic representation of the structures of the critical points  $ts_3$  and  $m_4$  (bond lengths are in angstroms). The energy values (kcal mol<sup>-1</sup>) are relative to  $m_4$ .

group at  $C_1$  by means of two hydrogen bonds  $(O_5\text{-H}...O_2$  and  $O_2\text{-H}...O_3)$ , but its position is modified by the new orientation of the OH bond in the chair conformation.

A further aspect is interesting. After the conformational rearrangement  $m_3 \rightarrow ts_3 \rightarrow m_4$ , a change of the protonated oxygen of the glutamate residue could be necessary. This point is important since the protonation state found in m<sub>4</sub> does not necessarily correspond to that needed to start a new catalytic cycle when a new substrate and a new water molecule enter the active site. The transition structure that we have located (ts<sub>4</sub> in Fig. 6), shows that, to lower the activation barrier, this proton transfer does not occur directly from O<sub>4</sub> to O<sub>3</sub>, but takes place within the cyclic structure of hydrogen bonds described in the previous section. Actually, the process corresponds to three simultaneous proton transfers: from  $O_4$  to  $O_5$ , from  $O_5$  to  $O_2$  and from O<sub>2</sub> to O<sub>3</sub>. This process results in a net proton transfer from O4 to O3, and requires the overcoming of an activation barrier of 12.6 kcal mol<sup>-1</sup>. At the same time the glutamate residue rotates to form the hydrogen bond O<sub>6</sub>-H...O<sub>4</sub>. It is interesting to note that our model indicates that the leaving substrate (the methanol molecule) plays an important role in this transformation acting as a proton

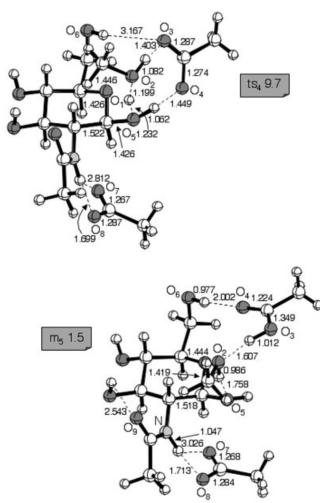


Fig. 6. Schematic representation of the structures of the critical points  $ts_4$  and  $m_5$  (bond lengths are in angstroms). The energy values (kcal  $\mbox{mol}^{-1}$ ) are relative to  $m_1.$ 

carrier. The resulting complex  $m_5$  is 4.4 kcal  $mol^{-1}$  higher than  $m_3$ . Here the rotation of the glutamate residue is completed and the  $O_6$ -H... $O_4$  bond is well established (H... $O_4 = 2.002$  Å). A further point of interest concerns the structural features of  $m_5$  that are very similar to those of the starting complex  $m_1$ . In particular the orientation of the glutamate residue is now suitable to accept a new water molecule bridging the  $O_6$ -H group and the  $O_4$  oxygen, as found in  $m_1$ .

#### Mechanism 3: Ring Opening

We examine now the energy profile obtained in the investigation of mechanism 3 and reported in Figure 7. Our computations have demonstrated that the proton transfer from the Glu35 group to the endocyclic oxygen  $O_1$  (see Scheme 3) is preceded by a rotation of this residue around the C-C bond (transition state  $ts_5$ , see Fig. 8). The rotation has the effect of moving the glutamate hydrogen closer to  $O_1$ , leading to the formation of the hydrogen bond  $O_1...H-O_3$  that replaces the  $O_2...H-O_3$  interaction found in the initial complex  $m_1$ . This transformation has a barrier

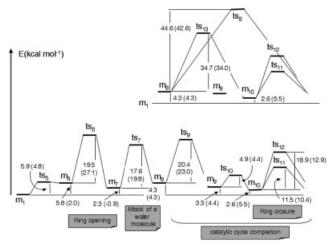


Fig. 7. Energy profiles obtained for the various steps of mechanism 3. The values in parenthesis are obtained with the solvent COSMO model.

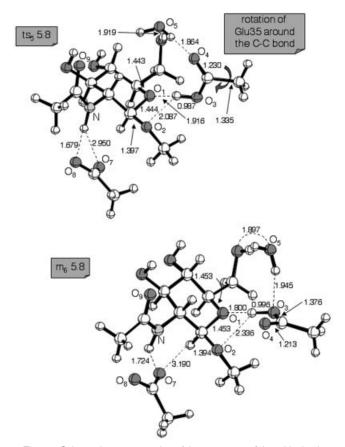


Fig. 8. Schematic representation of the structures of the critical points  $ts_5$  and  $m_6$  (bond lengths are in angstroms). The energy values (kcal  $mol^{-1}$ ) are relative to  $m_1$ .

of 5.9 kcal  $\mathrm{mol}^{-1}$  and the resulting intermediate  $\mathrm{m_6}$  is almost degenerate to the transition state. The new hydrogen bond  $\mathrm{O_1...H}$ - $\mathrm{O_3}$  in  $\mathrm{m_6}$  is characterized by a  $\mathrm{O_1...H}$  distance of 1.800 Å. The subsequent reaction step is rather complicated. A proton is transferred from  $\mathrm{O_3}$  to  $\mathrm{O_1}$  and, at the same time, the Asp52 oxygen  $\mathrm{O_7}$  attacks the anomeric

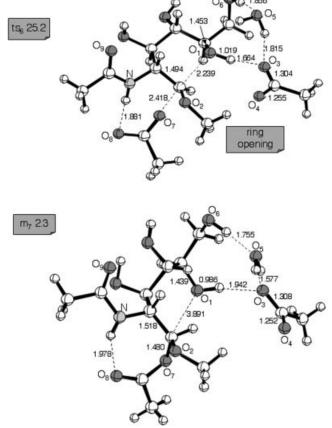


Fig. 9. Schematic representation of the structures of the critical points  $ts_6$  and  $m_7$  (bond lengths are in angstroms). The energy values (kcal mol<sup>-1</sup>) are relative to  $m_4$ .

carbon C<sub>1</sub> and causes the opening of the cycle (breaking of the C<sub>1</sub>-O<sub>1</sub> bond). Thus, once again, the process, which has a barrier of 19.5 kcal  $\mathrm{mol^{-1}}$ , can be considered a  $\mathrm{S_{N}2\text{-type}}$ reaction (with inversion of the anomeric configuration). The proton shift weakens the  $C_1$ - $O_1$  bond and catalyzes the reaction. The corresponding transition state (ts<sub>6</sub>, see Fig. 9) resembles ts<sub>1</sub> of mechanism 2: the proton is almost completely transferred ( $O_1$ -H = 1.019 Å), while the  $O_7$ - $C_1$ and  $C_1$ - $O_1$  bonds (2.418 and 2.239 Å, respectively) are broken and formed approximately to the same extent. Also, as observed in ts<sub>1</sub>, the Asp52 residue rotates around the C-C bond to move O<sub>7</sub> closer to C<sub>1</sub> and make easier the nucleophilic attack. The resulting intermediate m<sub>7</sub> is only 2.3 kcal  $\text{mol}^{-1}$  above  $\text{m}_1$  (the starting Michaelis complex). Here the new C<sub>1</sub>-O<sub>7</sub> bond is completed (1.480 Å) and the  $C_1$ - $O_1$  distance is quite large (3.891 Å), but the methanol (emulating the leaving NAG fragment) is still bonded to the anomeric carbon. It is interesting to point out that also this species (i.e. m<sub>7</sub>) can be thought to correspond to the postulated glycosyl-enzyme intermediate of mechanism 2 where the configuration of the anomeric center  $C_1$  has been inverted. Thus the mechanism that we are illustrating has the main features of both mechanism 2 (formation of the glycosil-enzyme intermediate) and mechanism 3 (ring opening catalyzed by the protonation of the endocy-

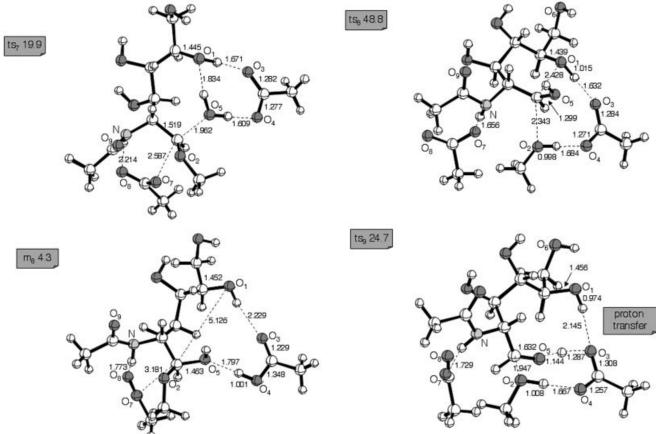


Fig. 10. Schematic representation of the structures of the critical points  $\rm ts_7$  and  $\rm m_8$  (bond lengths are in angstroms). The energy values (kcal  $\rm mol^{-1}$ ) are relative to  $\rm m_1$ .

clic oxygen as shown in Scheme 3). Another important aspect concerns the water molecule. This molecule has not been involved in the reaction yet and is still approximately in the initial position.

#### Mechanism 3: The Attack of the Water Molecule

The subsequent step  $(m_7 \rightarrow ts_7 \rightarrow m_8)$  is similar to the second step of mechanism 2  $(m_2 \rightarrow ts_2 \rightarrow m_3)$ . The water molecule acts as a nucleophile and attacks the anomeric carbon. This causes the expulsion of the Asp52 residue and a new inversion of the anomeric configuration. Thus, as observed for mechanism 2, there is a new  $S_N$ 2-like process that restores the original configuration. At the same time the water transfers a proton to the glutamate residue (oxygen  $O_4$ ). However, even if concerted, the two events are highly asynchronous: first the  $S_N2$  attack and then the proton transfer. The activation barrier found for this process (transition state ts<sub>7</sub> in Fig. 10) is 17.6 kcal mol<sup>-1</sup>. The most important components of the computed transition vector confirm the nature of ts<sub>7</sub>. These components are the  $O_5$ - $C_1$  and  $C_1$ - $O_7$  distances (1.962 and 2.587 Å, respectively) and the H...O<sub>4</sub> and H...O<sub>5</sub> distances describing the proton transfer from  $O_5$  to  $O_4$ .

The resulting intermediate  $m_8$  (Fig. 10) is 4.3 kcal mol<sup>-1</sup> higher than  $m_1$ . Here the new C-O<sub>5</sub> bond (1.463 Å) and the

Fig. 11. Schematic representation of the structures of the critical points  $ts_8$  and  $ts_9$  (bond lengths are in angstroms). The energy values (kcal  $mol^{-1}$ ) are relative to  $m_1$ .

 $O_5 \rightarrow O_4$  proton transfer are completed and two hydrogen bonds  $(O_4\text{-H}...O_5)$  and  $O_1\text{-H}...O_3)$  engage the glutamate residue and the two ends of the original ring, which are quite far away  $(C_1\text{-}O_1=5.126~\text{Å})$ . Thus, the final effect of the transformation  $\mathbf{m}_1 \rightarrow \mathbf{m}_8$  is the opening of the ring and the insertion of the OH group on the original anomeric carbon  $C_1$ . This group has replaced the endocylic oxygen atom  $O_1$  and the OCH $_3$  (O-NAG) group is still bonded to the anomeric center. It is evident that, to complete the catalytic cycle, we must find a way to form again the pyranose ring and to expel the OCH $_3$  fragment.

# Mechanism 3: Ring Closure and Catalytic Cycle Completion

Different reaction channels can be followed to complete the catalytic cycle. This can take place in one single step (see the profile on the top right side of Fig. 7) through transition state  $ts_8$  (see Fig. 11) that leads directly from the intermediate  $m_8$  to the final product through a rather complex set of simultaneous transformations. A proton is transferred from  $O_1$  to the glutamate oxygen  $O_3$ . At the same time another proton moves from the glutamate  $(O_4)$  to the  $O_2$  oxygen. These two proton transfers have the effect of increasing the nucleophilic power of  $O_1$  and that of making the  ${\rm OCH}_3$  fragment a better leaving group. The

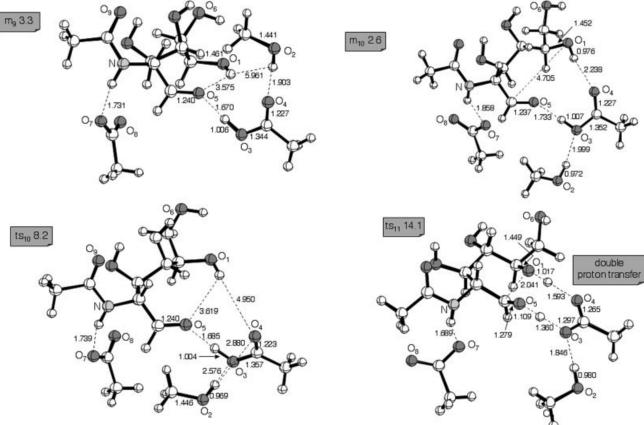


Fig. 12. Schematic representation of the structures of the critical points  $m_9$  and  $ts_{10}$  (bond lengths are in angstroms). The energy values (kcal  $\mbox{mol}^{-1})$  are relative to  $\mbox{m}_1.$ 

Fig. 13. Schematic representation of the structures of the critical points  $m_{10}$  and  $ts_{11}$  (bond lengths are in angstroms). The energy values (kcal  $mol^{-1}$ ) are relative to  $m_1$ .

nucleophilic oxygen  $O_1$  attacks the anomeric carbon (ring closure) and causes the expulsion of the methanol molecule. However, since a large activation barrier must be overcome (44.6 kcal mol $^{-1}$ ), this path is quite unlikely. The large barrier is probably due to the unusual value of the  $O_1$ - $C_1$ - $O_2$  angle. This angle, which is expected to be close to 180° for a nucleophilic substitution, is here 141.4°. The effect is that of lowering the overlap between the HOMO of the nucleophile and the LUMO of the substrate and increasing the energy required by the transformation.

An alternative and more interesting path, with a much lower energy barrier (20.4 kcal mol<sup>-1</sup>), goes through transition state ts<sub>9</sub> (see Fig. 11). This transition structure describes a double proton transfer (one from  ${\rm O}_5$  to  ${\rm O}_3$  and the other from  $O_4$  to  $O_2$ ) and the simultaneous breaking of the  $C_1$ - $O_2$  bond (1.947 Å). The final result is the release of the methanol and the formation of the C<sub>1</sub>-O<sub>5</sub> carbonyl bond as shown in the resulting intermediate  $m_9$  (Fig. 12). In m<sub>9</sub>, which is 3.3 kcal mol<sup>-1</sup> above m<sub>1</sub>, the methanol, as observed in mechanism 2, remains anchored to the  $O_4$ oxygen of the glutamate residue (O<sub>2</sub>-H...O<sub>4</sub> hydrogen bond,  $H...O_4 = 1.903$  Å). Also, a strong hydrogen bond between the carbonyl and the glutamate (O<sub>3</sub>-H...O<sub>5</sub>) contributes to stabilize m<sub>9</sub>. In this case the hydrogen atom is almost shared between the two oxygen atoms, the O3-H and  $\mathrm{O}_5\text{-H}$  distances being 1.006 and 1.670 Å, respectively.

An alternative and slightly more stable arrangement of the expelled methanol molecule is possible.  $\rm H_3C\text{-}OH$  moves to the other side of the glutamate residue (transition state  $\rm ts_{10}$  with activation barrier of 4.9 kcal  $\rm mol^{-1}$ ) and forms a hydrogen bond with the  $\rm O_3$  oxygen ( $\rm O_2\text{-}H...O_3$  hydrogen bond,  $\rm H...O_3 = 1.999$  Å). The resulting intermediate  $\rm m_{10}$  (see Fig.13) is only 1.7 kcal  $\rm mol^{-1}$  more stable than  $\rm m_9$ . Even if the overall structural features of  $\rm m_9$  and  $\rm m_{10}$  are very similar, an interesting difference must be outlined. In  $\rm m_{10}$  the  $\rm O_4$  glutamate is conveniently oriented to form a hydrogen bond ( $\rm O_1\text{-}H...O_4$ ) with the  $\rm O_1\text{-}H$  group that will be involved in the ring closure. This bond prepares a subsequent proton transfer that will occur simultaneously to the nucleophilic attack on the carbonyl group C-O<sub>5</sub>.

It is important to point out that, since  $C_1$  is now a pro-chiral center, the ring closure from  $m_{10}$  can follow two different paths corresponding to the attacks of the nucleophile  $O_1$  on the two sides of the carbonyl plane. Only one, of course, leads to the correct final configuration characterized by a  $\beta$   $C_1$ -OH bond. We have examined both reaction channels and we have located the corresponding transition states:  $ts_{11}$  and  $ts_{12}$  (see Figs. 13 and 14, respectively). They are both characterized by a double proton transfer: one from  $O_1$  to  $O_4$  (this makes  $O_1$  a better nucleophile) and the other from  $O_3$  to  $O_5$ .  $ts_{11}$ , which leads to the original

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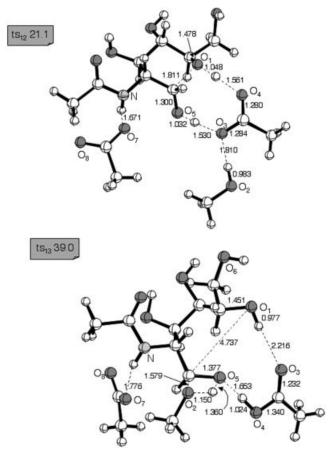


Fig. 14. Schematic representation of the structures of the critical points  $ts_{12}$  and  $ts_{13}$  (bond lengths are in angstroms). The energy values (kcal  $mol^{-1}$ ) are relative to  $m_1$ .

anomeric configuration, has an activation energy of  $11.5~\rm kcal~mol^{-1}$ , while the diastereotopic transition state  $ts_{12}$  has a significantly higher barrier (18.8 kcal  $\rm mol^{-1}$ ). Thus, since the path corresponding to  $ts_{12}$  can be ruled out on energy ground, this mechanism leads to a retention of the anomeric configuration in agreement with the experimental evidence.

The existence of a third reaction channel, originating from the intermediate  $m_8$  and leading directly to  $m_{10}$ , has been demonstrated. The corresponding transition state  $ts_{13}$  is represented in Figure 14. However, since the activation barrier is quite large (34.7 kcal mol<sup>-1</sup>), this path also is very unlikely and can be discarded.

In conclusion our results suggest that a mechanism involving a ring opening (similar to mechanism 3 of Scheme 3), exists on the potential surface. Since the higher in energy transition state involved in mechanism 3 (i.e.  $ts_6$ ) is 25.3 kcal  $mol^{-1}$  above  $m_1$  (initial complex), this mechanism, in principle, can be competitive with mechanism 2 where the higher in energy transition state ( $ts_2$ ) is 23.0 kcal  $mol^{-1}$  above the Michaelis complex.

# The Effect of the Protein Environment

The values of the activation energies obtained in the presence of solvent effects are reported in parenthesis in Figures 2 and 7. For mechanism 2 the barriers for the first  $(m_1 \rightarrow ts_1)$  and second  $(m_2 \rightarrow ts_2)$  step change only slightly  $(23.2 \text{ and } 10.1 \text{ kcal mol}^{-1}, \text{respectively}) \text{ and } \text{ts}_2 \text{ is now } 24.1$ kcal  $mol^{-1}$  above  $m_1$ . For mechanism 3, a more significant variation is observed in the barrier  $m_6 \mathop{\rightarrow}\nolimits ts_6$  that becomes  $27.1 \text{ kcal mol}^{-1}$ . Thus  $ts_2$  and  $ts_6$  still correspond to the rate determining step along mechanism 2 and mechanism 3, respectively. However, since  $m_6$  is stabilized by the solvent effect,  $ts_6$  is 29.1 kcal  $mol^{-1}$  above  $m_1$ . Thus, we can suppose that in the real enzyme, mechanism 2 is favored. Less important variations are observed in the activation barriers of the subsequent steps for both mechanisms 2 and 3. An exception is found in the activation energies of the two diastereotopic transition states  $ts_{11}$ and ts<sub>12</sub>. While the activation barrier only slightly decreases in the former case  $(10.4 \text{ kcal mol}^{-1})$ , it becomes significantly smaller in the latter (12.9 kcal  $\mathrm{mol}^{-1}$ ). Thus, even if ts<sub>11</sub> remains favored, the difference with respect to  $ts_{12}$  is only 2.5 kcal mol<sup>-1</sup>.

# **MP2** Computations

To validate the B3LYP results we have recomputed the energy of  $m_1$  (reactants) and that of the two main transition states  $ts_1$  and  $ts_6$  at the MP2 level. We have found that  $ts_1$  and  $ts_6$  are 27.3 and 29.2 kcal  $mol^{-1}$  higher than reactants, respectively. Even if these values are larger than the corresponding B3LYP values (22.7 and 25.3 kcal  $mol^{-1}$ , respectively), the variation is not dramatic and, more important, the relative energy of  $ts_1$  and  $ts_6$  does not vary significantly. This leaves unchanged the previously discussed mechanistic scenario.

# CONCLUSIONS

In this paper a theoretical investigation of the catalytic mechanism of lysozyme. has been carried at the DFT level using the B3LYP functional. The most significant results can be summarized as follows:

(i) The reaction can proceed along two different paths, roughly represented as mechanism 2 and mechanism 3 in Schemes 2 and 3, respectively. Even if mechanism 2 is favored in the case of the simple model-system used here, the difference between the values of the main activation barriers found along the two paths is not too large (ts<sub>1</sub> and ts<sub>6</sub> are 22.7 and 25.3 kcal mol $^{-1}$  higher than reactants, respectively). Thus, in principle, it is reasonable to believe that in the real enzyme the two mechanisms can become competitive.

(ii) The present results are in very good agreement with the recent experimental evidence achieved by Vocadio et al. <sup>27</sup> and enforce the hypothesis of a mechanism involving a glycosil-enzyme intermediate. This type of intermediate has been located both along mechanism 2 and mechanism 3. Also, the features of mechanism 3, leading to the opening of the pyranose ring, are in agreement with the data obtained by Karplus<sup>33</sup> by means of a molecular dynamics simulation that suggest the breaking of the endocyclic C<sub>1</sub>-O bond.

(iii) For mechanism 2 the rate-determining step is the nuclephilic attack ( $S_N$ 2-type) of one Asp52 oxygen atom at

the anomeric carbon (glycosidic bond cleavage and formation of the glycosil-enzyme intermediate). The same type of nucleophilic attack characterizes the rate determining step of mechanism 3, this time causing the breaking of the endocyclic C-O bond (ring opening) and leading again to a glycosil-enzyme intermediate. An acidic catalysis operated by the Glu35 residue has been observed in both mechanisms: a proton is transferred from the glutamic acid (Glu35) to the glycosidic oxygen in mechanism 2 or to the endocyclic oxygen in mechanism 3.

- (iv) The transition states  $ts_1$  and  $ts_2$  (rate-determining step) along the favored mechanism (mechanism 2) are stabilized by a network of hydrogen bonds involving the  $C_2$ -bonded acetamido group and the Asp52 residue. This explains the experimental observation indicating a significant reduction of the catalytic constant after removal of the acetamido group in the substrate.
- (v) The process proceeds with net retention of the anomeric configuration. In the case of mechanism 2 this is the result of a double inversion of configuration: the first occurring along the first step (glycosidic bond cleavage and formation of the glycosil-enzyme intermediate) and the second caused by the subsequent nucleophilic attack of the water. In the case of mechanism 3 the final anomeric configuration is determined by the nucleophilic attack (leading to ring closure) on the carbonyl group of the open aldehyde species. Two diastereotopic transition states have been determined in this case and that leading to the experimentally observed configuration ( $\beta$  C1-OH bond) has the lower energy barrier.
- (vi) The computations carried out with the inclusion of solvent effects, emulating the protein environment, do not change significantly the mechanistic scenario obtained with the gas-phase model. The most significant change is observed in the energy of  $\rm ts_2$  and  $\rm ts_6$  with respect to the initial Michaelis complex. Since the difference between the energies of these two transitions state becomes about 5 kcal  $\rm mol^{-1}$ , mechanism 2 seems to be further favored by the protein environment.

(vii) No evidence has been found for the formation of the oxocarbenium ion that would be originated by the expulsion of the NAG unit at position E after protonation of the glycosidic oxygen (mechanism 1 of Scheme 2). It is interesting to compare these results to those obtained by Karplus and coworkers<sup>33</sup> in the study of the uracil-DNA glycosylase. In that case it was demonstrated that a keyinteraction involving the DNA substrate phosphate groups makes possible the existence of the oxocarbenium ion intermediate. It is conceivable that, when these interaction are neglected and only the solvent dielectric effects are taken into account, the oxocarbenium ion is destabilized and the mechanism becomes concerted, as found by these authors. This is not the case for the lysozyme. Here we do not have such a type of interaction between charged groups (like phosphates) of substrate and enzyme that would be neglected in our model-system that only considers the solvent dielectric effect. The important interaction here is that between the incipient positive charge at C<sub>1</sub> (anomeric center) and the negatively charged Asp52 residue and this interaction leads finally to the formation of the covalent intermediate. These results suggest that a step-wise mechanism involving an oxocarbenium cation intermediate cannot be discarded a priori. Its existence can depend on particular structural features of the substrate-enzyme system and the effects of specific substrate-enzyme interactions, as pointed out in Karplus and coworkers.<sup>33</sup>

#### **ACKNOWLEDGMENTS**

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