Nature of Electrical Junction at the TiO₂/Substrate Interface for Particulate TiO₂ Film Electrodes in Aqueous Electrolytes

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Received: February 25, 1998; In Final Form: May 12, 1998

Particulate TiO_2 film electrodes in aqueous electrolytes show photocurrent—potential curves similar to those for single-crystal n-TiO₂ electrodes, though the TiO₂ particles are insulating and simply deposited on conductive substrates without making any ohmic contact. The reason for such an apparently curious phenomenon has been investigated by examining the effect of changing the work function of the substrate $(e\phi_w)$. The onset potential of photocurrent (U_{on}) for particulate TiO₂ film electrodes remains nearly the same, irrespective of $e\phi_w$, indicating that a simple Schottky junction model cannot be applied to the TiO₂/substrate contact. On the other hand, the deviation (ΔU) of U_{on} from the flat-band potential of single-crystal n-TiO₂ electrodes (U_{fb}) is nearly zero in the presence of ethanol, but large in the absence of it, especially in acidic solutions. The large ΔU implies that a photocurrent starts to flow by a positive shift of the electrode potential from U_{fb} or, in other words, by formation of certain band inclination in some TiO₂ particles. Two plausible models, extended Schottky junction and Bardeen-type junction, are proposed for the electrical junction at the TiO₂ particle/substrate interface, and it is suggested that the Bardeen-type junction is more plausible, explaining all the experimental results.

Introduction

It is well-known that n-TiO $_2$ semiconductor electrodes are chemically stable and can photooxidize water into oxygen and H $^+$ ions under anodic bias. 1 Recently, nanometer-sized TiO $_2$ particles and their films have attracted growing attention from viewpoints of cleaning of the earth's environment by photodecomposition of pollutants and harmful bacteria $^{2-23}$ and of solar energy conversion by dye sensitization solar cells. $^{24-31}$

We have thus far studied in detail the mechanism of photooxidation reaction of water on single-crystal n-TiO₂ (rutile) electrodes in aqueous electrolytes.^{32–34} The main conclusion is that surface Ti-OH cannot be oxidized by photogenerated holes, and thus certain active surface sites, such as Ti-OH in atomic gaps exposed to the surface^{33,34} and deprotonated Ti-O⁻ species at the surface, are necessary for the reaction to proceed efficiently. The Ti-OH in atomic gaps can act as an active site because the Ti-OH is mainly stabilized by electronic polarization of the TiO2 crystal and has a much smaller activation energy for electron transfer (oxidation) than the surface Ti-OH group which is stabilized by orientational polarization of polar solvent (water) molecules in solution.^{33–35} The above conclusion for single-crystal n-TiO₂ electrodes will be applied to photoreactions on particulate TiO₂ electrodes. Thus, we have started comparative studies of the reaction mechanism on single-crystal n-TiO₂ with that on particulate TiO_2 .

Although many studies have been reported on dye-sensitization photocurrents for particulate TiO_2 film electrodes, there are only a few reports on photocurrents caused by hole oxidation of solution species.^{3,19–23} The most surprising result for the particulate TiO_2 electrodes in aqueous electrolytes is that they

show photocurrent—potential curves quite similar to those for single-crystal n-TiO₂ electrodes, $^{32-34}$ though the TiO₂ particles are almost insulating and simply deposited on conductive substrates without making any ohmic contact, and moreover, the electrolyte solution penetrates into the particulate TiO₂ films and is in direct contact with the substrate.

The present work has thus been done with an aim at clarifying what type of electrical junction is formed at the substrate/ TiO_2 particle contact. Very recently, Gregg et al. reported³¹ that the electrical potential in the particulate TiO_2 film was effectively screened over a short range by ionic charges in the electrolyte within the film, but no study has yet been made on the nature of the electrical junction at the TiO_2 /substrate interface.

Experimental Section

Three kinds of particulate TiO_2 film electrodes, prepared from colloidal TiO_2 solutions, were used in the present work, together with single-crystal n- TiO_2 (rutile) electrodes. The colloidal TiO_2 solutions were prepared as follows.

Method A. A solution of rutile-type TiO₂ particles was prepared according to a method reported by Fujishima et al.³⁶ Two milliliters (mL) of titanium tetrachloride (TiCl₄, 99.0% in purity, Wako Pure Chemical Industries, Ltd.) was added dropwise to 50 mL of aqueous 1.0 M (M = mol/dm³) sodium carbonate (Na₂CO₃) under vigorous stirring at 5 °C, followed by addition of 3 mL of 60% nitric acid (HNO₃). The mixture was kept at 75 °C for 4 h under stirring. A surfactant (called Triton X-100) was added to the obtained colloidal solution at a ratio of 1 g per 20 mL of solution in order to avoid precipitation.

Method B. A solution of mainly anatase-type TiO_2 particles was prepared according to the method of Grätzel et al.³⁷ A mixture of 25 mL of titanium tetra(isopropoxide) ($Ti(OC_3H_7)_4$, 95.0% in purity, Wako Pure Chemical Industries, Ltd.) and 8

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mL of 2-propanol was added to 150 mL of 0.4% HNO $_3$ under vigorous stirring at 5 °C, and then the solution was kept at 75 °C for 4 h under stirring. A surfactant (Triton X-100) was added to the obtained colloidal solution at a ratio of 0.5 g per 20 mL of solution.

Method C. Commercial TiO₂ powder, called JRC-TIO-4 in the Catalysis Society of Japan, which was essentially the same as Degussa P-25, was used. The powder (2 g) was ground with 4 mL of water and 0.4 mL of acetylacetone in a mortar. The obtained mixture was added to 40 mL of 1.5% HNO₃, followed by addition of Triton X-100 at a ratio of 0.5 g per 20 mL of solution.

Particulate TiO₂ film electrodes were prepared as follows: Transparent conductive F-doped SnO₂ films on glass plates (Nippon Sheet Glass Co. Ltd., sheet resistance ca. 20 Ω /square) and gold and platinum plates were used as the substrates. They were cut into pieces ca. $1.5 \times 2.0~\rm cm^2$ in area, and washed successively with boiling acetone, 30% HNO₃, and pure water. The colloidal TiO₂ solutions were coated on the substrate with a spin coater at 2000 rpm and heated at 150 °C using an electric furnace. This procedure was repeated several times, and the TiO₂ films thus obtained were finally heated at 600 °C for 2 h in air, the temperature being slowly raised initially at a rate of 100 °C/h. A copper wire was attached on an edge of the substrate with silver paste, and the whole part, except a $1.0 \times 1.0~\rm cm^2$ TiO₂ film area, was covered with epoxy resin for insulating.

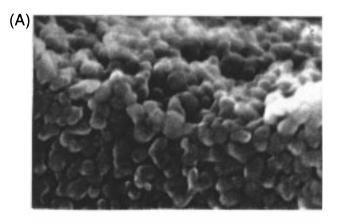
Single-crystal n-TiO₂ (rutile) electrodes were prepared by use of TiO₂ wafers ($10 \times 10 \times 1 \text{ mm}^3$) cut perpendicular to the *c*-axis and having a purity of 99.99%, obtained from Earth Chemical Co., Ltd. They were polished with alumina powder of diameters 3.0, 1.0, 0.3, and 0.06 μ m successively, etched in 97% H₂SO₄ containing 33% (NH₄)₂SO₄ at 240 °C for 30 min, washed, annealed in air at 1300 °C for 4 h, and then slightly reduced by heating at 680–700 °C for 30 min in a stream of hydrogen for getting n-type semiconductivity.

The current density (j)—potential (U) curves were obtained with a commercial potentiostat and potential programmer, using a Pt wire (0.5 mm in diameter, 20 mm long) as the counter electrode and an Ag/AgCl/KCl(sat.) electrode as the reference electrode. Electrolyte solutions were prepared using deionized water and reagent grade chemicals without further purification. Illumination was performed with a 300 or 500 W Xe lamp (Ushio) as the light source. In most cases, the light was monochromatized with a monochromator (Jarrel-Ash JE-25E) and chopped at 0.5 Hz with a chopper (Scitec Instruments Ltd., 300HRG) in order to distinguish photocurrents and dark currents clearly. The intensity of monochromatic light at 340 nm (where TiO₂ gives the highest photocurrent) was 0.38 mW/cm² as measured with a thermopile (Eppley). The illumination area was 1.0×1.0 cm², the same as the aforementioned active TiO₂ film area. Nitrogen gas was bubbled through the electrolyte before and during experiments in order to remove dissolved oxygen.

Inspection of electrode structure was carried out with a high-resolution scanning electron microscope (Hitachi S-5000). X-ray diffraction patterns were obtained with a Philips X'Pert diffractometer using a Cu K α radiation ($\lambda = 1.5417$ Å).

Results

Hereafter, particulate TiO₂ films (or electrodes) obtained from the colloidal TiO₂ solutions prepared by methods A, B, and C (see the Experimental Section) are called film A, B, and C (or electrode A, B, and C), respectively. Figure 1 shows scanning



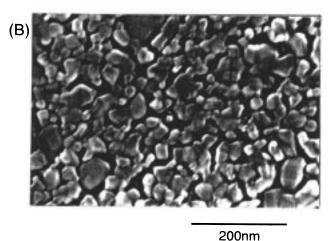


Figure 1. Scanning electron micrographs of particulate TiO₂ films: (A) inclined view of film A on a gold substrate and (B) top view of film B on an SnO₂ substrate.

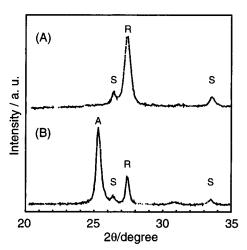


Figure 2. X-ray diffraction patterns of particulate TiO_2 films: (A) for film A and (B) for film B, both deposited on SnO_2 substrates. R = rutile peak, A = anatase peak, and S = peaks assigned to the SnO_2 substrate.

electron micrographs of film A on a gold substrate and film B on an SnO_2 substrate. Both the films consist of particles 10-30 nm in diameter. Film C also consists of particles of nearly the same size. The thickness of films A and B is 250-350 nm, and that of film C is ca. $1.2~\mu m$.

Figure 2 shows X-ray diffraction patterns for films A and B, both deposited on SnO_2 substrates. Film A shows only a rutile-type TiO_2 peak at $2\theta = 27.6^{\circ}$, apart from peaks assigned to the SnO_2 substrate, indicating that film A consists of 100% rutile-

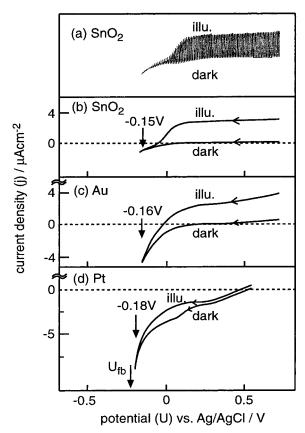


Figure 3. Current density—potential curves for particulate TiO₂ film electrodes of 100% rutile-type (electrode A) prepared on various substrates (SnO₂, Au, and Pt) in 0.1 M HClO₄ (pH 1.1): (a) an actually observed curve under chopped illumination at 340 nm on a negative scan and (b) curves in the dark and under illumination, obtained from curve a. Curves c and d are obtained similar to curve b. U_{on} is the onset potential of photocurrent. $U_{\rm fb}$ is the flat-band potential of singlecrystal n-TiO₂ (rutile) electrodes reported⁴²⁻⁴⁴ at pH 1.1.

type TiO₂ particles. On the other hand, film B shows a strong anatase-type TiO₂ peak at $2\theta = 25.4^{\circ}$ and a weak rutile-type TiO₂ peak, from which the film is calculated to consist of 83% anatase-type and 17% rutile-type particles. The particle size was calculated from the peak width and Scherrer's equation³⁸ to be 18 nm for film A and 25 nm for film B, in agreement with the size obtained from the SEM's (Figure 1). Similar experiments have shown that film C consists of 70% anatasetype and 30% rutile-type particles, the particle size being 30 nm.

Figure 3 shows current density (j)—potential (U) curves for particulate TiO₂ electrodes of 100% rutile-type (electrode A) prepared on various substrates. The electrolyte is 0.1 M HClO₄ (pH 1.1). For the SnO₂ substrate (Figure 3a,b), the dark cathodic current starts to flow at ca. +0.05 V vs Ag/AgCl, but for the Au and Pt substrates (Figure 3c,d), it starts at more positive potentials (ca. +0.20 V for Au and ca. +0.50 V for Pt). This is most probably because the electrolyte penetrates into the particulate TiO₂ films and is in direct contact with the substrate, and consequently the dark cathodic current includes a current flowing at the substrate/electrolyte interface. The most positive onset of the cathodic current for the Pt substrate can be attributed to effective reduction of a small amount of molecular oxygen remaining in the electrolyte. Sharp increases in the cathodic current near -0.20 V for the Pt (and Au) substrates are attributed to efficient hydrogen evolution on the substrates. The dark current-potential curve for the Pt substrate shows another cathodic-current shoulder around +0.2 V, the origin of which

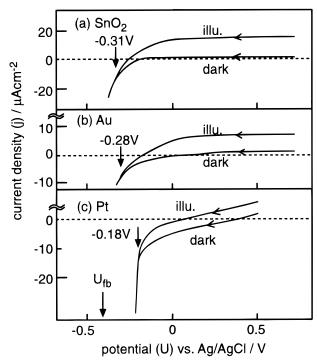


Figure 4. Current density-potential curves for particulate TiO₂ electrodes of mainly anatase-type (electrode B) on various substrates (SnO₂, Au, and Pt) in 0.1 M HClO₄ (pH 1.1). U_{fb} is the flat-band potential of single-crystal n-TiO₂ (anatase) electrodes reported⁴¹ at pH

is unknown yet. Anyway, the photocurrent can be distinguished from the dark current by use of chopped illumination, and the onset potential of photocurrents (U_{on}) is determined precisely.

From Figure 3, it is clear that the $U_{\rm on}$ is nearly the same among the SnO2, Au, and Pt substrates, though the work functions $(e\phi_{\rm w})$ of the substrates, which are reported to be 4.85, 5.10, and 5.60 eV, respectively, ^{39,40} are largely different from each other. Figure 3 shows the j-U curve on negative scans for simplicity, but positive scans give nearly the same j-Ucurve, the $U_{\rm on}$ for both scans agreeing within a range of ± 0.02

Similar experiments were done for other particulate TiO₂ electrodes (electrodes B and C) of mainly anatase type in order to confirm the generality of the above conclusion. The results are shown in Figures 4 and 5. The $U_{\rm on}$ values for the SnO₂ and Au substrates are nearly the same as each other, similar to the case of Figure 3. It is to be noted that the $U_{\rm on}$ for the SnO₂ and Au substrates in Figures 4 and 5 are ca. 0.15 V more negative than the $U_{\rm on}$ in Figure 3. This is most probably attributed to the fact that the reported flat-band potential $(U_{\rm fb})$ for anatase-type TiO_2^{41} (-0.16 V vs NHE at pH 0) is ca. 0.15 V more negative than that for rutile-type $^{42-44}$ (0.00–0.05 V vs NHE at pH 0). The $U_{\rm on}$ for the Pt substrate in Figures 4 and 5 lies more positive than those for the SnO₂ and Au substrates, which is attributed to sharply increasing hydrogen evolution cathodic currents on the Pt substrate at around -0.20 V.

Table 1 summarizes the $U_{\rm on}$ values for various electrodes, various substrates, and various solutions. The $U_{\rm on}$ values for a single-crystal n-TiO2 (rutile) electrode are also included for comparison. The $U_{\rm on}$ is independent of the work function of the substrate, irrespective of whether ethanol is present or not in the solutions. The more positive $U_{\rm on}$ for the Pt substrate than those for the SnO2 and Au substrates, observed for electrode A in a solution with ethanol and for electrodes B and C, are

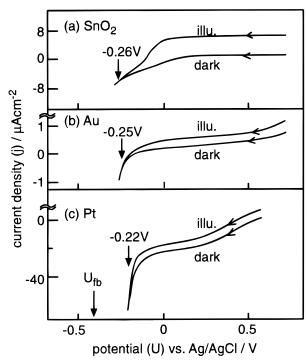


Figure 5. Current density—potential curves for particulate TiO_2 (P-25) electrodes of mainly anatase-type (electrode C) on various substrates (SnO₂, Au, and Pt) in 0.1 M HClO₄ (pH 1.1). $U_{\rm fb}$ is the same as in Figure 4.

TABLE 1: Onset Potentials of Photocurrents $(U_{\rm on})$, in Units of V vs Ag/AgCl, for Various Particulate TiO₂ Film Electrodes on Various Substrates in 0.1 M HClO₄ (pH 1.1) with and without Ethanol, Together with Those for a Single-Crystal n-TiO₂ (rutile) Electrode

		substrate		
electrode	solution 0.1 M HClO ₄ with	SnO ₂ (4.85 eV) ^a	Au (5.1 eV) ^a	Pt (5.6 eV) ^a
electrode A	no EtOH 0.34 M EtOH	-0.15 -0.30	-0.16 -0.29	-0.18 -0.19
electrode B	no EtOH 0.34 M EtOH	-0.31 -0.36	-0.28 -0.37	-0.18 -0.23
electrode C	no EtOH 0.34 M EtOH	-0.26 -0.35	-0.25 -0.33	-0.22 -0.25
single crystal n-TiO ₂ (rutile)	no EtOH 0.34 M EtOH		-0.13 -0.27	

 $[^]a$ The work functions ($e\phi_{\rm w}$) of the substrates (SnO₂, Au, and Pt) reported. 39,40

attributed to the effect of efficient hydrogen evolution on the Pt substrate as already mentioned.

Figure 6 shows the effect of solution pH on the j-U curves for electrodes A, B, and C in the absence and presence of ethanol, together that for a single-crystal n-TiO₂ (rutile) electrode.^{32–34} The particulate TiO₂ electrodes show the j-U curves similar to those for single-crystal n-TiO₂. It is to be noted that, in the absence of ethanol (solid lines), the deviation (ΔU) of $U_{\rm on}$ from $U_{\rm fb}$ ($\Delta U = U_{\rm on} - U_{\rm fb}$) in acidic solution is considerably larger than that in alkaline solution. The ΔU becomes nearly zero when ethanol is added to the solutions (broken curves). The saturated photocurrent ($j_{\rm sat}$) for the particulate TiO₂ electrodes in alkaline solution is in general higher than that in acidic solution. The $j_{\rm sat}$ is much enhanced by addition of ethanol.

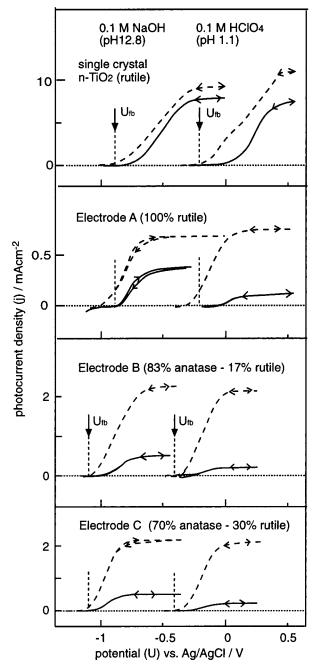


Figure 6. Effect of solution pH on the j-U curves for electrodes A, B, and C prepared on the SnO₂ substrates in the absence (solid curves) and the presence (broken curves) of 0.31 M ethanol, together with those for a single-crystal n-TiO₂ (rutile) electrode, obtained under continuous white light illumination with a 500 W Xe lamp (0.94 W cm⁻²). $U_{\rm fb}$ is the flat-band potentials of single-crystal n-TiO₂ electrodes of rutile⁴²⁻⁴⁴ (for electrode A) and anatase type⁴¹ (electrodes B and C) reported.

Discussion

We can conclude from Figures 3–5 and Table 1 that the onset potentials of photocurrents $(U_{\rm on})$ for particulate ${\rm TiO_2}$ film electrodes remain nearly the same, irrespective of the work function $(e\phi_{\rm w})$ of the substrate. The conclusion holds for all the particulate ${\rm TiO_2}$ electrodes and all the solutions irrespective of whether ethanol is added or not, if the effect of the sharply increasing hydrogen-evolution cathodic current on the Pt substrate at around $-0.20~{\rm V}$ is taken into account as mentioned before.

The $U_{\rm on}$ value in the present work, determined from the point of divergence of the j-U curves in the dark and under

illumination, may be affected by flow of a dark cathodic current as mentioned above for the Pt substrate. The $U_{\rm on}$ may also be affected by a change in the photocurrent density (or illumination intensity) and by charge-carrier recombination at the TiO₂ surface. However, the aim of the present work is not to determine the $U_{\rm on}$ itself precisely but to determine the relative shift in $U_{\rm on}$ by the change of the work function $(e\phi_{\rm w})$ of the substrate. Thus, the present experiments were done under the same illumination condition and the same current density scale together with the same optical arrangement of the cell and TiO₂ electrode in order to minimize a scattering of the $U_{\rm on}$ value. In fact, the observed scattering of $U_{\rm on}$ among various substrates is less than 0.04 V, except for relatively large differences of 0.10-0.14 V between the SnO₂ and Pt substrates (Table 1). This is much smaller than the difference in the work function $(e\phi_{\rm w})$ of the substrate, which reaches 0.25 eV between SnO2 and Au and 0.75 eV between SnO₂ and Pt.^{39,40} Thus, the above conclusion that the $U_{\rm on}$ is independent of the work function $(e\phi_{\rm w})$ of the substrate is unambiguous.

Now let us consider some plausible models for the electrical junction at the TiO₂ particle/substrate interface in order to explain the above conclusion. Figure 7A shows a conventional Schottky junction model, which is most frequently assumed for metal/metal oxide semiconductor contacts. In this model, the TiO₂ particle and substrate are in contact with each other with no change in the surface chemical structure by contact, and thus the barrier height at the interface $(e\Phi_B)$ is given by the energy difference between the conduction band edge of the TiO₂ particle at the surface, E_c ^s(TiO₂), and the Fermi level of the substrate, $E_{\rm F}$ (subs), before contact. Since $E_{\rm F}$ (subs) is equal to $-e\phi_{\rm w}$ (negative of the work function),

$$e\Phi_{\rm B} = E_{\rm c}^{\rm s}({\rm TiO_2}) - E_{\rm F}({\rm subs}) = E_{\rm c}^{\rm s}({\rm TiO_2}) + e\phi_{\rm w}$$
 (1)

In the case where the TiO₂/substrate contact (particulate TiO₂ electrode) is in an aqueous electrolyte, the conduction-band edge of the TiO_2 particle at the TiO_2 /solution interface, E_c (TiO_2 ,sol) (cf. Figure 7A), is determined by solution pH⁴⁵ and remains constant for a given solution. The Fermi level of the substrate, $E_{\rm F}$ (subs), is determined by the electrode potential (U) and given

$$E_{\rm F}(\rm subs) = -eU + E_{\rm Fref} \tag{2}$$

where $E_{F,ref}$ is the Fermi level of the reference electrode which can be regarded as a constant quantity. Since the barrier height $e\Phi_{B}$ is also constant for a Schottky junction model, independent of U, the conduction-band edge of the TiO_2 particle at the TiO_2 substrate interface, E_c ^s(TiO₂,subs) (cf. Figure 7A), changes with U by the following equation:

$$E_{\rm c}^{\rm s}({\rm TiO_2, subs}) = E_{\rm F}({\rm subs}) + e\Phi_{\rm B} = -eU + E_{\rm ref} + e\Phi_{\rm B}$$
(3)

Thus, $E_c^s(TiO_2,subs)$ change with U, whereas $E_c^s(TiO_2,sol)$ remains constant as mentioned above, and band inclination is induced in the TiO_2 particle with the shift in U. This band inclination is different in nature from a so-called "band bending" for a semiconductor electrode, because the TiO₂ particle in the present work is an insulator.

What j-U curve is expected from the above Schottky junction model? Let us first define the critical electrode potential U_{cr} at which $E_c^s(TiO_2,subs)$ equals $E_c^s(TiO_2,sol)$ (cf. Figure 7A). When $U \ge U_{cr}$, upward band inclination $(E_c^s(TiO_2, subs) \le E_c^s$ (TiO₂,sol)) is induced in the TiO₂ particle, and an anodic

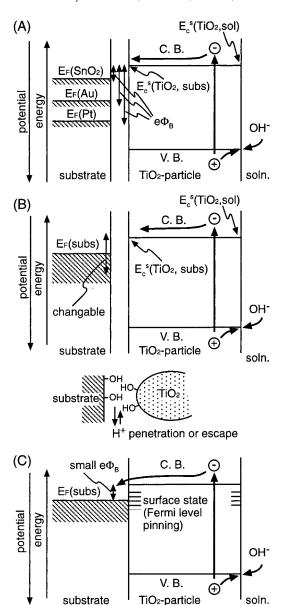


Figure 7. Three plausible models for the electrical junction at the TiO₂ particle/substrate interface: (A) a Schottky junction model, (B) an extended Schottky junction model, and (C) a Bardeen-type junction model. C. B. = conduction band, V. B. = valence band, (-) = electron, and (+) = hole. See text for other details.

photocurrent flows under illumination. Thus, we can expect that a photoanodic current starts at $U = U_{cr}$ and becomes constant at $U \gg U_{cr}$. For the Schottky junction model of Figure 7A, $U_{\rm cr}$ (and hence $U_{\rm on}$) depend on $e\Phi_{\rm B}$, namely $e\phi_{\rm w}$, which is in disagreement with the experiments (Table 1).

Next, let us consider another model such as shown in Figure 7B, which can explain the experimental result that $U_{\rm on}$ does not depend on $e\phi_{\rm w}$ (Table 1). In the above Schottky junction model, it is assumed that no change in the surface chemical structure occurs at the TiO₂/substrate interface, and thus $e\Phi_{\rm B}$ is kept constant, independent of U. If we assume that ions such as H⁺ and OH⁻ can penetrate into (or escape from) the TiO₂/ substrate interface as shown in the lower part of Figure 7B, electrical double layers are formed at the TiO2 and substrate surfaces, and E_F (subs) can change freely, independent of E_c ^s-(TiO₂,subs), which is equal to E_c ^s(TiO₂,sol) in the present model. A similar free change of E_F (subs) with respect to E_c s(TiO₂,subs) may be expected if the area of direct contact between the TiO_2 particles and the substrate is very small, say, $\leq 1-2$ nm²,

because an electrical screening effect similar to that mentioned above will be achieved by ions in the electrolyte surrounding the direct-contact area. In this model, which may be called an "extended Schottky junction" model, the bands of the TiO_2 particle are always flat, independent of U, and an anodic photocurrent can flow when $E_F(\mathrm{subs})$ is below $E_c{}^s(TiO_2,\mathrm{subs})$. Namely, the photocurrent onset (U_{on}) is determined by $E_c{}^s(TiO_2,\mathrm{subs}) = E_c{}^s(TiO_2,\mathrm{sol})$ and becomes independent of $e\phi_w$.

However, there is another experimental result that is difficult to explain by the model of Figure 7B. As shown in Figure 6, in the absence of ethanol (solid lines), the deviation (ΔU) of $U_{\rm on}$ from $U_{\rm fb}$ in acidic solution (ca. 0.3 V) is considerably larger than that in alkaline solution (ca. 0.1 V). The deviations in both solutions become nearly zero when ethanol is added to the solutions. Though the saturated photocurrent ($j_{\rm sat}$) in the j-U curve is different in different solutions and this difference in $j_{\rm sat}$ may affect the ΔU to some extent, the observed differences in ΔU between the acidic and alkaline solutions (or between the presence and absence of ethanol) are larger than expected from the difference in $j_{\rm sat}$, as confirmed experimentally by changing the illumination intensity.

Single-crystal n-TiO₂ electrodes show similar differences in ΔU among various solutions (uppermost curves in Figure 6), which were explained in previous papers^{33,34} as follows: The surface Ti-OH group on a TiO2 crystal cannot be oxidized by photogenerated holes, and thus, in acidic solutions, Ti-OH in certain active sites such as atomic gaps exposed to the surface is oxidized by holes. The resultant Ti•OH radicals in the atomic gaps, present more or less deep below the surface, induce efficient electron-hole recombination. Thus, a photocurrent can flow only when certain band bending is formed by a positive shift of the electrode potential. This leads to a large deviation (ΔU) . In alkaline solutions, deprotonated surface species such as Ti-O are produced and oxidized by holes. The resultant surface radicals (Ti-O•) are more mobile than the Ti•OH radicals in the atomic gaps and hence easily removed from the surface through formation of hydrogen peroxide and oxygen evolution, resulting in a low density of surface intermediate radicals and hence less surface carrier recombination. In the presence of ethanol, adsorbed ethanol (Ti-OC₂H₅) is formed and oxidized efficiently by holes by a current-doubling mechanism⁴⁹ (electron injection into the conduction band of n-TiO₂ from intermediate ethoxy radicals produced by hole oxidation). This mechanism leads to a further low density of surface intermediate radicals and hence much less surface carrier recombination. In short, the deviation ΔU is determined by surface carrier recombination via surface intermediate radicals produced by hole oxidation.

A similar mechanism to the above for single-crystal n-TiO₂ is expected for particulate TiO₂ electrodes. It is to be noted first that almost no deviation ΔU for the particulate TiO₂ electrodes in the presence of ethanol (broken lines in Figure 6), which will be caused by a low density of surface intermediate radicals and hence a small rate of surface carrier recombination, indicates that the conduction-band edge at the TiO2 particle surface, E_c ^s(TiO₂), lies nearly the same as that for single-crystal n-TiO₂ electrodes. The $E_c^s(TiO_2)$ is solely determined by solution pH and independent of whether ethanol is present or not, as mentioned before. Thus, the large deviation ΔU in acidic solution without ethanol (Figure 6) implies that a photocurrent starts to flow when the electrode potential (or the Fermi level of the substrate) is shifted downward to some extent from U_{fb} (or E_c ^s(TiO₂)). This can be explained by considering that certain band inclination (or band bending) is formed in some TiO2

particles by the shift of the electrode potential, and surface carrier recombination is suppressed. The formation of band inclination cannot be explained by the model of Figure 7B.

Thus, we finally propose a model of Figure 7C that can explain all the experimental results. In this model, we assume that a certain reductive surface state such as $\mathrm{Ti^{3+}}$ is formed near the conduction-band edge of $\mathrm{TiO_2}$, $E_c{}^s(\mathrm{TiO_2})$, under illumination, as reported. Such a reductive surface state will cause Fermi level pinning (the agreement of the Fermi level of the surface state and that of the substrate) at the $\mathrm{TiO_2}$ particle/substrate interface. The junction of such a type is sometimes called Bardeen-type junction. He Fermi level of the surface state lies near $E_c{}^s(\mathrm{TiO_2})$ as mentioned above, the barrier height ($e\Phi_{\mathrm{B}}$) of the junction is low. Thus, an electrical junction like "ohmic contact with an n-TiO₂ semiconductor" is formed at the $\mathrm{TiO_2}$ particle/substrate interface (see Figure 7C), and we can expect that the particulate $\mathrm{TiO_2}$ electrode behaves like a single-crystal n-TiO₂ electrode, in agreement with the experiments.

In conclusion, we have considered three models for the electrical junction at the TiO_2 particle/substrate interface. Of these, the models of Figure 7B,C can explain the $U_{\rm on}$ values independent of the work function of the substrate, but only the model of Figure 7C can explain the changes in the deviation (ΔU) by change of solution pH and addition of alcohol. However, the model of Figure 7B cannot be excluded at present because the observed changes in ΔU may not necessarily be large enough to derive a definite conclusion. Moreover, there remains a possibility that the models of Figure 7B,C are mixed at actual interfaces even though the latter may be dominant. Further studies are necessary to clarify more details on the nature of the electrical junction at the interface.

Acknowledgment. This work was partly supported by Grantin-Aid for Scientific Research on Priority Area of "Electrochemistry of Ordered Interfaces" (No. 09237105) from the Ministry of Education, Science, Sports and Culture, Japan.

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