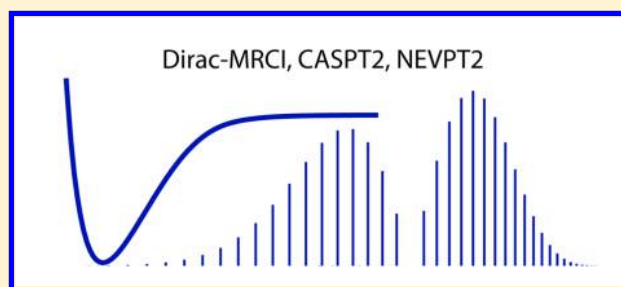


# Relativistic Internally Contracted Multireference Electron Correlation Methods

Toru Shiozaki<sup>\*,†</sup> and Wataru Mizukami<sup>‡</sup><sup>†</sup>Department of Chemistry, Northwestern University, 2145 Sheridan Rd., Evanston, Illinois 60208, United States<sup>‡</sup>Department of Energy and Material Sciences, Faculty of Engineering Sciences, Kyushu University, 6-1 Kasuga-Park, Fukuoka, 816-8580, Japan

## S Supporting Information

**ABSTRACT:** We report internally contracted relativistic multi-reference configuration interaction (ic-MRCI), complete active space second-order perturbation (CASPT2), and strongly contracted *n*-electron valence state perturbation theory (NEVPT2) on the basis of the four-component Dirac Hamiltonian, enabling accurate simulations of relativistic, quasi-degenerate electronic structure of molecules containing transition-metal and heavy elements. Our derivation and implementation of ic-MRCI and CASPT2 are based on an automatic code generator that translates second-quantized ansätze to tensor-based equations, and to efficient computer code. NEVPT2 is derived and implemented manually. The rovibrational transition energies and absorption spectra of HI and TIH are presented to demonstrate the accuracy of these methods.



## 1. INTRODUCTION

There are continued interests in accurate modeling of gas-phase thermochemistry and dynamics that involve transition-metal and heavier elements, where relativistic effects play an important role. For instance, scientists at the U.S. Air Force recently performed an experiment, aiming to use chemionization involving lanthanide atoms to alter the electron density in the ionosphere for radio frequency communication,<sup>1,2</sup> for which accurate simulations could help analyze the experimental observation. Another example is the reaction of FeO<sup>+</sup> with a hydrogen molecule,<sup>3</sup> a model reaction system for the so-called two-state reactivity,<sup>3</sup> of which accurate modeling still remains a challenge.<sup>4</sup> The spin barriers in the two-state reactivity mechanism are also ubiquitous in organometallic chemistry.<sup>5</sup> Understanding these problems requires accurate description of strongly relativistic, quasi-degenerate electronic structure. There have been, however, only a handful of theory developments to address this challenge.<sup>6–10</sup>

As a first step toward realizing predictive simulations of such processes, we develop in this work novel computational tools that combine the four-component relativistic Dirac formalism<sup>11</sup> and internally contracted multireference electron correlation methods. Our approach is based on the four-component Dirac equation for electrons

$$\hat{H} = \sum_i \left[ c^2(\beta - I_4) + c(\boldsymbol{\alpha} \cdot \hat{\mathbf{p}}_i) - \sum_A^{\text{atoms}} \frac{Z_A}{r_{iA}} \right] + \sum_{i < j} \hat{g}(i, j) \quad (1)$$

where  $\boldsymbol{\alpha}$  and  $\beta$  are Dirac's matrices,  $\hat{g}(i, j)$  is a two-electron operator, and  $c$  is the speed of light.  $Z_A$  is a charge of a nucleus

A (note, however, that we use finite-nucleus models in practice). Hereafter, atomic units are used unless otherwise stated. In this work, we use the full Breit operator for electron–electron interactions, i.e.,

$$\hat{g}(i, j) = \frac{1}{r_{ij}} - \frac{1}{2} \frac{\boldsymbol{\alpha}_i \cdot \boldsymbol{\alpha}_j}{r_{ij}} - \frac{1}{2} \frac{(\boldsymbol{\alpha}_i \cdot \mathbf{r}_{ij})(\boldsymbol{\alpha}_j \cdot \mathbf{r}_{ij})}{r_{ij}^3} \quad (2)$$

The reader may consult ref 12 for details on integral evaluation associated with this operator over Gaussian basis functions. We first perform complete active space self-consistent field (CASSCF) calculations using this Hamiltonian,<sup>13,14</sup> in which orbitals are optimized using the minimax principle, and project out the space spanned by the “negative-energy” orbitals, a procedure called no-pair projection.<sup>11</sup> An efficient Dirac-CASSCF algorithm that we have developed can be found in refs 14 and 15. After the no-pair projection procedure, the Hamiltonian in the second quantization becomes

$$\hat{H}_{\text{NP}} = \sum_{xy} h_{xy} \hat{E}_{xy} + \frac{1}{2} \sum_{xyzw} v_{xy,zw} \hat{E}_{xy,zw} \quad (3)$$

where  $x, y, z$ , and  $w$  label any electronic molecular spin orbitals (MO), and  $h_{xy}$  and  $v_{xy,zw}$  are the (complex-valued) Hamiltonian matrix elements in the MO basis in chemists' notation.  $\hat{E}_{xy}$  and  $\hat{E}_{xy,zw}$  are operators defined as

$$\hat{E}_{xy} = a_x^\dagger a_y \quad (4a)$$

Received: August 6, 2015

Published: September 14, 2015

$$\hat{E}_{xy,zw} = a_x^\dagger a_z^\dagger a_w a_y \quad (4b)$$

Since the MO Hamiltonian eq 3 is isomorphic to the nonrelativistic counterpart (and all the eigenstates are minima in the parameter space after the no-pair projection procedure), standard electron-correlation methods, such as internally contracted multireference configuration interaction (ic-MRCI),<sup>16–19</sup> can be used in conjunction with this Hamiltonian. We note in passing that, even though our numerical results are based on the four-component formalism eq 1, the multi-reference theory and programs developed in this work are equally applicable to any two-component relativistic Hamiltonians.<sup>20–22</sup>

In the nonrelativistic framework, the ic-MRCI method has been pioneered by Werner and co-workers.<sup>16–18</sup> The ability of ic-MRCI to accurately and consistently describe the potential energy surfaces of small-molecule reactions has been the key to understanding many of the gas-phase reactions studied in the past decades (for instance, see refs 23–25). Very recently, ic-MRCI has been extended to incorporate density matrix renormalization group reference functions with more than 20 orbitals in the active space by Saitow et al.<sup>19</sup> There are also parallel implementations of uncontracted MRCI,<sup>26</sup> though its computational cost is generally higher than that of ic-MRCI.

Another class of popular multireference approaches in nonrelativistic theory is based on perturbation theory. Among others, the complete active space second-order perturbation (CASPT2) method<sup>27–29</sup> is an internally contracted, multi-reference generalization of the standard Møller–Plesset perturbation theory and has been applied to a wide variety of chemical problems.<sup>30</sup> The  $n$ -electron valence state perturbation theory (NEVPT2)<sup>31,32</sup> proposed by Angeli et al. (especially its strongly correlated variant) uses a different zeroth-order Hamiltonian and has desirable properties such as strict size extensivity and numerical robustness against so-called intruder-state problems.

Here, we report the theory and algorithms for relativistic ic-MRCI, CASPT2, and NEVPT2 based on the four-component Dirac Hamiltonians. This work realizes relativistic ic-MRCI and NEVPT2 for the first time, whereas CASPT2 has been reported in the past by Abe et al.<sup>7</sup> and by Kim and Lee.<sup>10</sup> The implementations of ic-MRCI and CASPT2 are facilitated by an automatic code generator, SMITH3.<sup>33,34</sup> The SMITH3 program was previously used to derive and implement nuclear energy gradients for fully internally contracted CASPT2<sup>33</sup> and has been extended in this work to incorporate equations with spin orbitals in complex arithmetic. Note that the automatic code generation approach has been used for relativistic single-reference coupled-cluster methods by Hirata et al.<sup>35</sup> and by Nataraj et al.<sup>36</sup> The generated code and the code generator are both publicly available.<sup>34,37</sup> The NEVPT2 code is manually implemented. In the following, we sketch the outline of the theories and implementations.

## 2. THEORY

**2.1. Relativistic MRCI with Internal Contraction.** Our ic-MRCI implementation uses fully internally contracted basis functions, which are similar to those used in the CASPT2 theory by Roos and co-workers.<sup>28</sup> The correlated wave functions are parametrized as

$$|\Psi\rangle = T_{\text{ref}}|\Phi_{\text{ref}}\rangle + \sum_{\Omega} T_{\Omega}\hat{E}_{\Omega}|\Phi_{\text{ref}}\rangle \quad (5)$$

in which  $T$ 's are the unknown amplitudes to be determined,  $\Omega$  denotes excitation manifolds in ic-MRCI, and  $\hat{E}_{\Omega}$  are associated excitation operators:

$$\hat{E}_{\Omega} = \{\hat{E}_{ai,bj}, \hat{E}_{ar,bi}, \hat{E}_{ar,bs}, \hat{E}_{ai,rj}, \hat{E}_{ri,sj}, \hat{E}_{ar,st}, \hat{E}_{ri,st}, \hat{E}_{ai,rs}\} \quad (6)$$

Hereafter,  $i$  and  $j$  label closed orbitals;  $r$ ,  $s$ , and  $t$  label active orbitals; and  $a$  and  $b$  label virtual orbitals. Note that, because spin orbitals are used,  $\hat{E}_{ai,rs}$  and  $\hat{E}_{as,ri}$  that are distinguished in nonrelativistic theories generate identical sets of excited configurations. The Kramers symmetry is not utilized in our ic-MRCI implementation except for integral compression.  $|\Phi_{\text{ref}}\rangle$  is a relativistic multideterminant reference function

$$|\Phi_{\text{ref}}\rangle = \sum_{n_+, n_- = n} C^{n_+, n_-} |\Gamma^{n_+, n_-}\rangle \quad (7)$$

where  $n_+$  and  $n_-$  are the numbers of electrons that belong to Kramers + and – spin orbitals, and  $n$  is the total number of active electrons.<sup>13,14</sup>

In the ic-MRCI method, the Dirac Hamiltonian is diagonalized in the space spanned by the parameters in eq 5, i.e.,

$$E = \min[\langle\Psi|\hat{H}_{\text{NP}}|\Psi\rangle] \quad (8)$$

under a normalization constraint. The following  $\sigma$  and  $\pi$  vectors are computed from each trial vector  $\psi_p$  in the same basis

$$(\sigma_p)_{\Omega} = \langle\Phi_{\text{ref}}|\hat{E}_{\Omega}^{\dagger}\hat{H}_{\text{NP}}|\psi_p\rangle \quad (9a)$$

$$(\sigma_p)_{\text{ref}} = \langle\Phi_{\text{ref}}|\hat{H}_{\text{NP}}|\psi_p\rangle \quad (9b)$$

$$(\pi_p)_{\Omega} = \langle\Phi_{\text{ref}}|\hat{E}_{\Omega}^{\dagger}|\psi_p\rangle \quad (9c)$$

$$(\pi_p)_{\text{ref}} = \langle\Phi_{\text{ref}}|\psi_p\rangle \quad (9d)$$

Note that we eliminate five-particle reduced density matrices from the equations by means of a well-known commutator trick, i.e., (using  $\hat{T}_{\Omega} \equiv T_{\Omega}\hat{E}_{\Omega}$ )

$$\begin{aligned} \langle\Phi_{\text{ref}}|\hat{E}_{\Omega}^{\dagger}\hat{H}_{\text{NP}}\hat{T}_{\Omega}|\Phi_{\text{ref}}\rangle \\ = \langle\Phi_{\text{ref}}|\hat{E}_{\Omega}^{\dagger}[\hat{H}_{\text{NP}}, \hat{T}_{\Omega}]|\Phi_{\text{ref}}\rangle + \langle\Phi_{\text{ref}}|\hat{E}_{\Omega}^{\dagger}\hat{T}_{\Omega}|\Phi_{\text{ref}}\rangle E_{\text{ref}} \end{aligned} \quad (10)$$

where  $\Omega$  and  $\Omega'$  belong to the same excitation class in eq 6. A Hamiltonian matrix is then constructed within the subspace spanned by the trial vectors<sup>38</sup>

$$H_{pQ} = \mathbf{T}_p^{\dagger}\sigma_Q, \quad S_{pQ} = \mathbf{T}_p^{\dagger}\pi_Q \quad (11)$$

and diagonalized to obtain the coefficients ( $c_p$ ) that constitute an optimal linear combination of the trial vectors:

$$\sum_Q H_{pQ}c_Q = E \sum_Q S_{pQ}c_Q \quad (12)$$

Using these quantities, the residual vectors are

$$\mathbf{R} = \sum_p c_p [\sigma_p - E\pi_p] \quad (13)$$

from which we generate a new set of trial vectors (see below).

The working eqs 9–10 for  $\sigma$ -vector formation can be expressed in terms of reduced density matrices; therefore, it is essentially identical to the nonrelativistic counterpart except for spin symmetry in the latter. The explicit formulas consist of ca.

750 tasks, most of which are tensor contractions. They can be found in the [Supporting Information](#).<sup>39</sup> The equations were implemented into efficient computer code using the automatic code generator SMITH3.<sup>33,34</sup> First, SMITH3 performs Wick's theorem to convert second-quantized expressions to a list of diagrams represented by tensors and their contractions. Next, it factorizes the diagrams to a tree of binary tensor contractions. Finally, the tree is translated to computer code that is compiled and linked to the BAGEL package.<sup>37</sup> See refs 40–42 for further information on automatic code generation.

At the end of each ic-MRCI calculation, the Davidson correction is added to the total energy to approximately account for size-extensivity errors.<sup>43</sup> The correction is

$$\Delta E_{+Q} = \left( \frac{1 - T_{\text{ref}}^2}{T_{\text{ref}}^2} \right) E_{\text{corr}} \quad (14)$$

where  $T_{\text{ref}}$  is the weight of the reference configuration in the correlated wave function [see eq 5], and  $E_{\text{corr}}$  is the correlation energy from ic-MRCI calculations.

**2.2. Relativistic CASPT2 and NEVPT2.** The second-order perturbation methods, CASPT2 and NEVPT2, are defined as minimization of the so-called Hylleraas functional

$$E = \min[\langle \Psi^{(1)} | \hat{H}^{(0)} - E^{(0)} | \Psi^{(1)} \rangle + 2\text{Re} \langle \Psi^{(1)} | \hat{H}_{\text{NP}} | \Phi_{\text{ref}} \rangle] \quad (15)$$

In CASPT2, the zeroth-order Hamiltonian  $\hat{H}^{(0)}$  is chosen to be a projected Fock operator

$$\hat{H}^{(0)} = \hat{P} \hat{f} \hat{P} + \hat{Q} \hat{f} \hat{Q} \quad (16)$$

where  $\hat{P}$  is a projector to the reference configuration and  $\hat{Q}$  is its orthogonal complement. The first-order wave function  $\Psi^{(1)}$  is parametrized as in eq 5. The minimization is performed by solving a set of linear equations using a subspace algorithm. The construction of residual vectors

$$R_{\Omega} = 2[\langle \Omega | \hat{H}^{(0)} - E^{(0)} | \psi_p \rangle + \langle \Omega | \hat{H}_{\text{NP}} | \Phi_{\text{ref}} \rangle] \quad (17)$$

is akin to (but simpler than) that in ic-MRCI. Here, we used  $\langle \Omega | \equiv \langle \Phi_{\text{ref}} | \hat{E}_{\Omega}^{\dagger}$ . For details on the relativistic CASPT2 equations, see earlier reports by Abe et al.<sup>7</sup> and by Kim and Lee.<sup>10</sup>

In NEVPT2, the zeroth-order Hamiltonian is defined using Dyall's Hamiltonian<sup>44</sup> as

$$\hat{H}^{(0)} = \hat{P} \hat{H}_{\text{NP}} \hat{P} + \sum_{\omega} |\Phi_{\omega}\rangle E_{\omega} \langle \Phi_{\omega}| \quad (18)$$

where  $\omega$  is the excitation class in eq 6 and  $\Phi_{\omega}$  is defined as

$$|\Phi_{\omega}\rangle = \frac{\hat{P}_{\omega} \hat{H}_{\text{NP}} |\Phi_{\text{ref}}\rangle}{\sqrt{\langle \Phi_{\text{ref}} | \hat{H}_{\text{NP}} \hat{P}_{\omega} \hat{H}_{\text{NP}} | \Phi_{\text{ref}} \rangle}} \quad (19)$$

$\hat{P}_{\omega}$  is a projector onto  $\omega$ , and the denominator accounts for normalization. The  $E_{\omega}$  that appears in eq 18 is

$$E_{\omega} = \langle \Phi_{\omega} | \hat{H}_{\text{NP}} | \Phi_{\omega} \rangle \quad (20)$$

The wave function is parametrized using the so-called strong contraction scheme, i.e.,

$$|\Psi\rangle = T_{\text{ref}} |\Phi_{\text{ref}}\rangle + \sum_{\omega} T_{\omega} |\Phi_{\omega}\rangle \quad (21)$$

Since  $\hat{H}^{(0)}$  of NEVPT2 does not include off-diagonal couplings between different  $\omega$ , the equations can be solved without iterative procedures. The working equations for relativistic NEVPT2 can be obtained by dropping the factors of 2 that stem from spin summations in the nonrelativistic equations in ref 32. The explicit formulas are provided in the [Supporting Information](#).<sup>39</sup>

### 2.3. Wave Function Updates in ic-MRCI and CASPT2.

Internally contracted basis functions ( $\hat{E}_{\Omega} |\Phi_{\text{ref}}\rangle$ ) are not orthogonal with each other and sometimes are linearly dependent;<sup>17</sup> therefore, one has to take into account the overlap matrix when updating the amplitudes. The generation of trial vectors is performed as follows. Let us consider as an example the amplitudes associated with  $\hat{E}_{ar,bs}$ . In this case, the overlap and (approximate) diagonal Hamiltonian matrix elements,  $S$  and  $F$ , respectively, are

$$S_{rs,r's'} = \langle \Phi_{\text{ref}} | \hat{E}_{rr',ss'} | \Phi_{\text{ref}} \rangle \quad (22a)$$

$$F_{rs,r's'} = \sum_{tt'} \langle \Phi_{\text{ref}} | \hat{E}_{rr',ss',tt'} | \Phi_{\text{ref}} \rangle f_{tt'} \quad (22b)$$

where  $\hat{E}_{rr',ss',tt'} = a_r^{\dagger} \hat{E}_{ss',tt'} a_{r'}$ . We calculate  $S^{-1/2}$  while projecting out the linearly dependent part so that  $(S^{-1/2})^{\dagger} S S^{-1/2}$  is a unit matrix (the eigenvalues that are smaller than  $1.0 \times 10^{-8}$  are discarded), which is then used to form

$$\tilde{F} = (S^{-1/2})^{\dagger} F S^{-1/2} \quad (23)$$

Next,  $\tilde{F}$  is diagonalized to yield a transformation matrix  $U$

$$\tilde{F} = U \lambda U^{\dagger} \quad (24)$$

with a diagonal matrix  $\lambda$ . Defining  $X = U^{\dagger} S^{-1/2}$ , we arrive at the formula for generating new trial vectors from residual vectors:

$$(\psi_{p+1})_{ar,bs} = \sum_D \left[ \sum_{r's'} \frac{R_{ar',bs} X_{D,r's'}}{E^{(0)} - \lambda_D - \epsilon_a - \epsilon_b} \right] X_{D,rs}^* \quad (25)$$

where  $\epsilon_a$  is an orbital energy (i.e.,  $\epsilon_a = f_{aa}$ ) and  $D$  labels the eigenvalues in eq 24, the number of which is equal to or smaller than the numbers of rows and columns of the overlap matrix [eq 22]. This formula implies that in ic-MRCI updates the inverse of  $\hat{H}_{\text{NP}} - E$  is approximated by that of the diagonal part of the CASPT2 equation.<sup>27</sup>

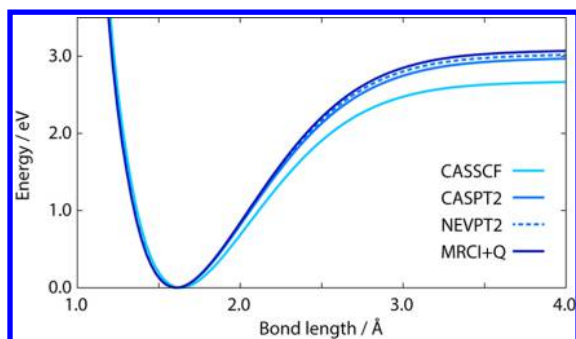
**2.4. Computation of Rovibrational Spectra.** Rovibrational energy levels of diatomic molecules in their  $\Sigma$  states can be calculated by solving an effective one-dimensional Schrödinger equation (in this section, we avoid use of atomic units for clarity)

$$\left[ -\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} + V(r) + \frac{\hbar^2}{2\mu r^2} J(J+1) \right] \Psi_{\nu,J}(r) = E_{\nu,J} \Psi_{\nu,J}(r) \quad (26)$$

in which  $\nu$  and  $J$  are the vibrational and rotational quantum numbers, respectively, and  $\mu$  is the reduced mass. The third term of the Hamiltonian accounts for the Coriolis coupling. The rotation–vibration coupling is, therefore, variationally included in the calculations.

The line intensity  $I_{\tilde{\nu}}$  associated with the transition energy  $\tilde{\nu}$  can be computed as<sup>45</sup>

$$I_{\tilde{\nu}} = \frac{(2J_f + 1)}{8\pi c Q \tilde{\nu}^2} \mathcal{A}_{\nu_i, J_i \rightarrow \nu_f, J_f} e^{-E_i/kT} (1 - e^{-hc\tilde{\nu}/kT}) \quad (27)$$



**Figure 1.** Potential energy curves of HI computed by four-component CASSCF, CASPT2, NEVPT2, and ic-MRCI+Q. The experimental bond length and dissociation energy are 1.609 Å and 3.20 eV, respectively.

in which  $E_i$  is the energy of the initial state and  $k$  is the Boltzmann constant. The partition function  $Q$  at a temperature  $T$  is evaluated using

$$Q = \sum_l (2J_l + 1) e^{-E_l/kT} \quad (28)$$

where  $l$  runs over rovibrational states. We used  $T = 296$  K. The quantum numbers of initial (final) states are labeled by  $\nu_i$  and  $J_i$  ( $\nu_f$  and  $J_f$ ). Using the rovibrational wave functions ( $\Psi_{\nu_i, J_i}$  and  $\Psi_{\nu_f, J_f}$ ) and the dipole-moment function  $M(r)$ , the Einstein coefficient  $\mathcal{A}_{\nu_i, J_i \rightarrow \nu_f, J_f}$  is

$$\mathcal{A}_{\nu_i, J_i \rightarrow \nu_f, J_f} = \frac{8\pi^2 \nu^3}{3\epsilon_0 c^3 \hbar} \frac{S_{J_i, J_f}}{2J_i + 1} \left| \langle \Psi_{\nu_i, J_i} | M(r) | \Psi_{\nu_f, J_f} \rangle \right|^2 \quad (29)$$

where  $\epsilon_0$  is the vacuum permittivity and  $S_{J_i, J_f}$  is the Hönl–London factor,<sup>46</sup> which is  $\max(J_i, J_f)$  for the electronic ground states of HI and TIH.

### 3. NUMERICAL RESULTS

First, to benchmark the accuracy, we applied four-component CASSCF, CASPT2, NEVPT2, and ic-MRCI+Q to an HI molecule, for which there are reliable experimental reference data.<sup>47</sup> Uncontracted Dyall's cv3z<sup>49</sup> and uncontracted cc-pVTZ<sup>50</sup> basis sets were used for I and H, respectively. Gaussian-type nuclear charge distributions were used.<sup>51</sup> The 4s, 4p, 4d, 5s, and 5p electrons of I and the 1s electron of H were correlated (i.e., 26 correlated electrons; 28 electrons were frozen), among which 5s and 5p of I and 1s of H were treated in the active space. In correlated calculations, virtual orbitals were truncated at 55  $E_h$ . The total number of correlated spin orbitals was 206. The computed potential energy curves relative to their minima are shown in Figure 1. The equilibrium bond lengths obtained by CASPT2, NEVPT2, and ic-MRCI+Q were 1.608, 1.609, and 1.606 Å, respectively, which are in good agreement with the experimental value (1.609 Å).<sup>52</sup> The dissociation energies  $D_e$  were estimated via extrapolation to be 3.0, 3.0, and 3.1 eV, respectively. The experimental value is 3.20 eV.<sup>52</sup>

We then simulated the absorption spectra based on these potential energy curves interpolated by five-point piece-wise polynomials. Dipole moments were computed at each point as electric-field derivatives [ $M(r) = \partial E(r) / \partial \mathcal{E}_z$  where  $\mathcal{E}_z$  is an external electric field along the molecular axis] using finite difference formulas. The Level 8.2 program<sup>53</sup> was used to solve

**Table 1.** Root-Mean-Square Deviations of the Rovibrational Transition Energies of  $\text{H}^{127}\text{I}$  and  $^{205}\text{TIH}$  in  $\text{cm}^{-1}$  Computed by the Four-Component Methods<sup>a</sup>

	CASSCF	CASPT2	NEVPT2	MRCI+Q	origin
HI					
$\nu = 0 \rightarrow 1$	120	36	21	8	2230
$\nu = 0 \rightarrow 2$	245	73	43	15	4379
$\nu = 0 \rightarrow 3$	378	117	68	24	6448
$\nu = 0 \rightarrow 4$	519	163	96	34	8435
TIH					
$\nu = 0 \rightarrow 1$	92	34	47	17	1345
$\nu = 1 \rightarrow 2$	93	33	46	15	1300
$\nu = 2 \rightarrow 3$	92	33	46	13	1255

<sup>a</sup>The HITRAN database<sup>47</sup> and experimental data<sup>48</sup> were used as references.

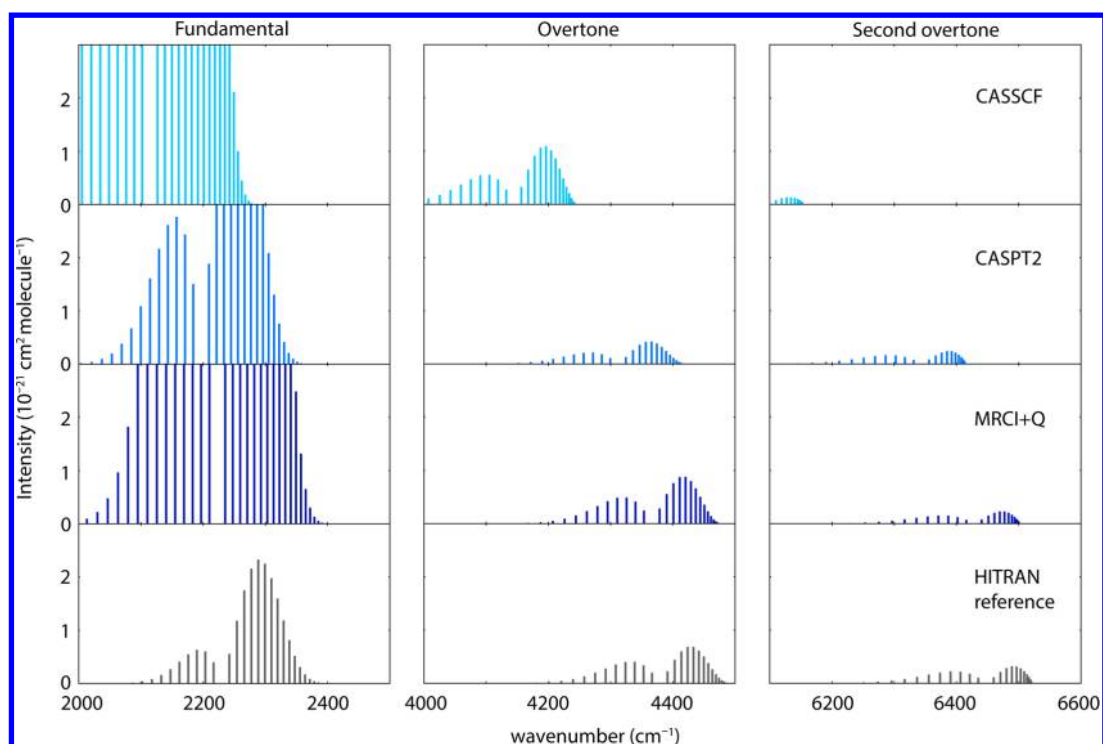
the radial Schrödinger eq 26 and to evaluate  $\mathcal{A}_{\nu_i, J_i \rightarrow \nu_f, J_f}$ , eq 29.

The partition function and absorption spectra were computed using a program of Yorke et al.<sup>54</sup> The computed spectra for the fundamental, overtone, and second overtone transitions are presented in Figure 2, in which the HITRAN reference spectra<sup>47</sup> are also shown. Overall, the line positions were accurately reproduced by ic-MRCI+Q within 0.5% (8  $\text{cm}^{-1}$  for the fundamental transitions and 34  $\text{cm}^{-1}$  for the third overtone transitions, Table 1), attesting to the consistent accuracy of ic-MRCI+Q throughout potential energy surfaces. The line intensity of the overtone and second overtones agreed well. Our results overestimated the intensity of the fundamental transitions, which is mainly because the intensity is largely suppressed by the almost flat dipole-moment curve around the equilibrium geometry; therefore, the intensity is highly sensitive to the accuracy of the computed dipole moments.<sup>55</sup> The errors in the line positions computed by CASPT2 and NEVPT2 were found to be three or four times larger than those by ic-MRCI+Q.

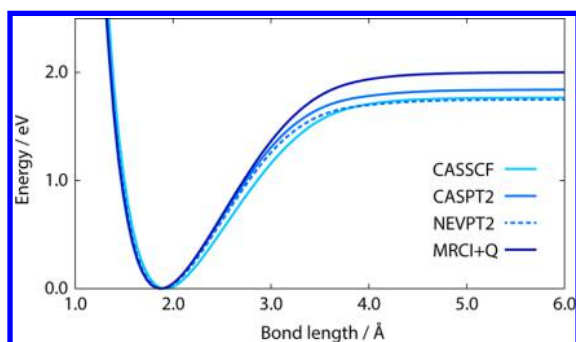
Next, we calculated the potential energy curve of TIH using CASSCF, CASPT2, NEVPT2, and ic-MRCI+Q. The electronic structure of TIH around the equilibrium geometry has been studied by many authors.<sup>56–58</sup> We used uncontracted Dyall's cv3z<sup>49</sup> and uncontracted cc-pVTZ<sup>50</sup> basis sets for TI and H, respectively, in conjunction with Gaussian-type nuclear charge distributions.<sup>51</sup> The full-valence active space (four electrons in the 6s and 6p orbitals of TI and the 1s orbital of H) was used. The 5s, 5p, 4f, 5d, 6s, and 6p electrons of TI and the 1s electron of H were correlated (i.e., 36 correlated electrons). The virtual orbitals were again truncated at 55  $E_h$ , resulting in 248 correlated spin orbitals. The potential energy curves of TIH computed by four-component CASSCF, CASPT2, NEVPT2, and ic-MRCI+Q are shown in Figure 3. The dissociation energy  $D_e$  from ic-MRCI+Q (2.00 eV) was in excellent agreement with the experimental value (2.06 eV),<sup>52</sup> while CASPT2 underestimated it by 0.2 eV (1.84 eV). The equilibrium bond length (1.872 Å) was also accurately reproduced by ic-MRCI+Q (1.872 Å). Those by CASPT2 and NEVPT2 were 1.870 and 1.885 Å, respectively. NEVPT2 was found to be less accurate than CASPT2 for this molecule, and its accuracy deteriorated as the bond is stretched.

The absorption spectra of TIH were likewise computed using the energies at 20 grid points between 1.3 and 6.0 Å. The computed spectra are presented in Figure 4. The experimental line intensity was not found in the literature. The mean-root-square errors in the computed rovibrational transition energies





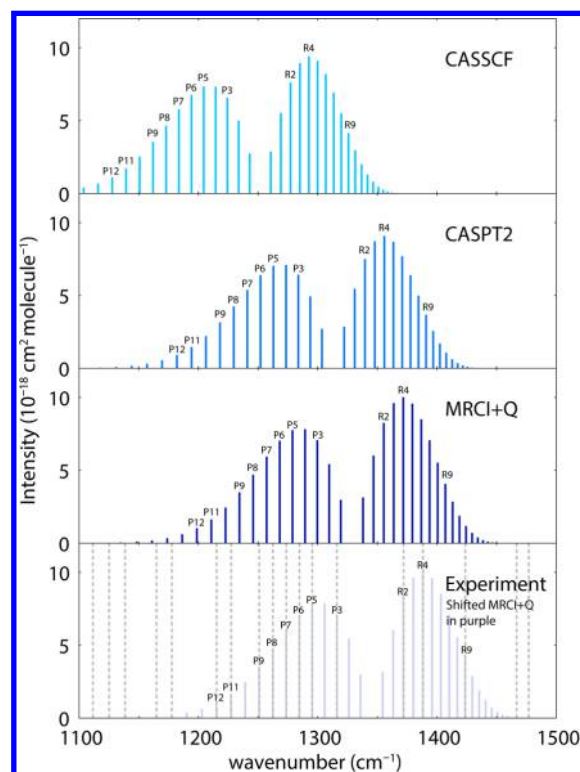
**Figure 2.** Simulated rovibrational absorption spectra of  $\text{H}^{127}\text{I}$  at 296 K using four-component CASSCF, CASPT2, and ic-MRCI+Q. The bottom panels are the observed lines from the HITRAN database (hyperfine-split lines are averaged for comparison).



**Figure 3.** Potential energy curves of TIH computed by four-component CASSCF, CASPT2, NEVPT2, and ic-MRCI+Q. The experimental bond length and dissociation energy are 1.872 Å and 2.06 eV, respectively.

are also listed in Table 1, in which the experimental results from ref 48 are used as reference values. The errors in the transition energies were around 35, 45, and 15  $\text{cm}^{-1}$  for CASPT2, NEVPT2, and ic-MRCI+Q. Apart from the shift, the line positions computed by ic-MRCI+Q agree perfectly with the experimental results. The remaining errors include incomplete treatment of dynamical correlation in the ic-MRCI+Q model, the effects of the higher-order quantum-electrodynamics interactions, and the non-Born–Oppenheimer contributions.

The wall times for one iteration of relativistic CASPT2 and ic-MRCI on TIH were roughly 2 and 80 min using two Xeon E5-2650 CPUs (2.0 GHz, 8 cores each) on a single node. The wall time for nonrelativistic ic-MRCI per iteration is about 16 s; therefore, relativistic ic-MRCI is roughly 300 times more expensive than the nonrelativistic counterpart. A factor of  $2^6 = 64$  stems from the fact that relativistic ic-MRCI does not use spin symmetry. An additional factor of 3 should be ascribed to matrix multiplication in complex arithmetic that is three times



**Figure 4.** Simulated rovibrational absorption spectra of  $^{205}\text{TIH}$  at 296 K using four-component CASSCF, CASPT2, and ic-MRCI+Q. Dotted lines in the bottom panel are the experimental line positions taken from ref 48 superimposed by shifted ic-MRCI+Q spectra.

as expensive as that in real arithmetic. The rest is due to other factors such as caching and optimized libraries.

## 4. CONCLUSIONS

In summary, we have developed four-component relativistic ic-MRCI, CASPT2, and NEVPT2 based on the Dirac Hamiltonian and full internal contraction. The relativistic ic-MRCI and CASPT2 programs have been implemented using automatic code generation. The programs are interfaced to the open-source BAGEL package.<sup>37</sup> The code generator SMITH3 is also publicly available.<sup>34</sup> The accuracy of these methods has been presented by computing the entire potential energy curves of HI and TIH and directly comparing calculated rovibrational transition energies with the experimental data. It has been shown that ic-MRCI+Q can reproduce experimental transition energies with 0.5% and 1% accuracy for HI and TIH, respectively, up to high-lying rovibrational transitions using uncontracted triple- $\zeta$  basis sets without any corrections or extrapolations.

Currently, the size of ic-MRCI and CASPT2 calculations is limited by the memory requirement for two-electron MO integrals that are stored in core memory, which is somewhat problematic, especially because uncontracted one-electron basis functions (with energy cut-offs) have to be used for heavy elements. Furthermore, wall times for multistate ic-MRCI calculations scale cubically with respect to the number of states included in the calculation, which become prohibitively long when several states are included in the calculations. To address these problems, the parallelization of the programs based on the TILEDARRAY library of Calvin and Valeev<sup>59</sup> is under development in our group. Our relativistic NEVPT2 code does not store 4-index intermediates and is heavily parallelized (to be presented elsewhere); therefore, it is ready for use in chemical applications.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jctc.5b00754.

The working equations for relativistic NEVPT2, the rovibrational transition energies and absorption spectra of HI and TIH, and the computer-generated ic-MRCI equations (PDF)

## ■ AUTHOR INFORMATION

### Corresponding Author

\*E-mail: shiozaki@northwestern.edu.

### Notes

The authors declare no competing financial interest.

## ■ ACKNOWLEDGMENTS

T.S. has been supported by the Air Force Office of Scientific Research Young Investigator Program (AFOSR Grant No. FA9550-15-1-0031). The development of the relativistic CASSCF program, on which this work is based, has been supported by the National Science Foundation CAREER Award (CHE-1351598). W.M. has been supported by Grant-in-Aid for Young Scientists (B) (Grant No. 15K17815) from the Ministry of Education, Culture, Sports, Science and Technology Japan (MEXT).

## ■ REFERENCES

- (1) Shuman, N. S.; Hunton, D. E.; Viggiano, A. A. *Chem. Rev.* **2015**, *115*, 4542–4570.
- (2) Cox, R. M.; Kim, J.-S.; Armentrout, P. B.; Bartlett, J.; VanGundy, R. A.; Heaven, M. C.; Ard, S. G.; Melko, J. J.; Shuman, N. S.; Viggiano, A. A. *J. Chem. Phys.* **2015**, *142*, 134307.
- (3) Schroder, D.; Shaik, S.; Schwarz, H. *Acc. Chem. Res.* **2000**, *33*, 139–145.
- (4) Ard, S. G.; Melko, J. J.; Martinez, O., Jr.; Ushakov, V. G.; Li, A.; Johnson, R. S.; Shuman, N. S.; Guo, H.; Troe, J.; Viggiano, A. A. *J. Phys. Chem. A* **2014**, *118*, 6789–6797.
- (5) Harvey, J. N. *Phys. Chem. Chem. Phys.* **2007**, *9*, 331–343.
- (6) Malmqvist, P.-Å.; Roos, B. O.; Schimmelpfennig, B. *Chem. Phys. Lett.* **2002**, *357*, 230–240.
- (7) Abe, M.; Nakajima, T.; Hirao, K. *J. Chem. Phys.* **2006**, *125*, 234110.
- (8) Fleig, T.; Sørensen, L. K.; Olsen, J. *Theor. Chem. Acc.* **2007**, *118*, 347–356.
- (9) Fleig, T. *Chem. Phys.* **2012**, *395*, 2–15.
- (10) Kim, I.; Lee, Y. S. *J. Chem. Phys.* **2014**, *141*, 164104.
- (11) Reiher, M.; Wolf, A. *Relativistic Quantum Chemistry*; Wiley-VCH: Germany, 2009.
- (12) Shiozaki, T. *J. Chem. Phys.* **2013**, *138*, 111101.
- (13) Aa. Jensen, H. J.; Dyall, K. G.; Saue, T.; Fægri, K., Jr. *J. Chem. Phys.* **1996**, *104*, 4083–4097.
- (14) Bates, J. E.; Shiozaki, T. *J. Chem. Phys.* **2015**, *142*, 044112.
- (15) Kelley, M. S.; Shiozaki, T. *J. Chem. Phys.* **2013**, *138*, 204113.
- (16) Werner, H.-J.; Reinsch, E.-A. *J. Chem. Phys.* **1982**, *76*, 3144–3156.
- (17) Werner, H.-J.; Knowles, P. J. *J. Chem. Phys.* **1988**, *89*, 5803–5814.
- (18) Shamasundar, K. R.; Knizia, G.; Werner, H.-J. *J. Chem. Phys.* **2011**, *135*, 054101.
- (19) Saitow, M.; Kurashige, Y.; Yanai, T. *J. Chem. Phys.* **2013**, *139*, 044118.
- (20) Liu, W. *Mol. Phys.* **2010**, *108*, 1679–1706.
- (21) Saue, T. *ChemPhysChem* **2011**, *12*, 3077–3094.
- (22) Nakajima, T.; Hirao, K. *Chem. Rev.* **2012**, *112*, 385–402.
- (23) Manolopoulos, D. E.; Stark, K.; Werner, H.-J.; Arnold, D. W.; Bradforth, S. E.; Neumark, D. M. *Science* **1993**, *262*, 1852–1855.
- (24) Alexander, M. H.; Capecchi, G.; Werner, H.-J. *Science* **2002**, *296*, 715–718.
- (25) Wu, T.; Werner, H.-J.; Manthe, U. *Science* **2004**, *306*, 2227–2229.
- (26) Lischka, H.; Müller, T.; Szalay, P. G.; Shavitt, I.; Pitzer, R. M.; Shepard, R. *WIREs Comput. Mol. Sci.* **2011**, *1*, 191–199.
- (27) Andersson, K.; Malmqvist, P.-Å.; Roos, B. O.; Sadlej, A. J.; Wolinski, K. *J. Phys. Chem.* **1990**, *94*, 5483–5488.
- (28) Andersson, K.; Malmqvist, P.-Å.; Roos, B. O. *J. Chem. Phys.* **1992**, *96*, 1218–1226.
- (29) Aquilante, F.; Malmqvist, P.-Å.; Pedersen, T. B.; Ghosh, A.; Roos, B. O. *J. Chem. Theory Comput.* **2008**, *4*, 694–702.
- (30) Pulay, P. *Int. J. Quantum Chem.* **2011**, *111*, 3273–3279.
- (31) Angeli, C.; Cimiraglia, R.; Evangelisti, S.; Leininger, T.; Malrieu, J.-P. *J. Chem. Phys.* **2001**, *114*, 10252–10264.
- (32) Angeli, C.; Cimiraglia, R.; Malrieu, J.-P. *J. Chem. Phys.* **2002**, *117*, 9138–9153.
- (33) MacLeod, M. K.; Shiozaki, T. *J. Chem. Phys.* **2015**, *142*, 051103.
- (34) SMITH3, Symbolic Manipulation Interpreter for Theoretical Chemistry, version 3.0. <http://www.nubakery.org> under the GNU General Public License (accessed September 15, 2015).
- (35) Hirata, S.; Yanai, T.; Harrison, R. J.; Kamiya, M.; Fan, P.-D. *J. Chem. Phys.* **2007**, *126*, 024104.
- (36) Nataraj, H. S.; Kállay, M.; Visscher, L. *J. Chem. Phys.* **2010**, *133*, 234109.
- (37) BAGEL, Brilliantly Advanced General Electronic-structure Library. <http://www.nubakery.org> under the GNU Public License (accessed September 15, 2015).
- (38) Davidson, E. R. *J. Comput. Phys.* **1975**, *17*, 87–94.
- (39) See the Supporting Information for the working equations for relativistic NEVPT2 and ic-MRCI and the rovibrational transition energies and absorption spectra of HI and TIH.

- (40) Hirata, S. *J. Phys. Chem. A* **2003**, *107*, 9887–9897.
- (41) Hirata, S. *Theor. Chem. Acc.* **2006**, *116*, 2–17.
- (42) Shiozaki, T.; Kamiya, M.; Hirata, S.; Valeev, E. F. *Phys. Chem. Chem. Phys.* **2008**, *10*, 3358–3370.
- (43) Langhoff, S. R.; Davidson, E. R. *Int. J. Quantum Chem.* **1974**, *8*, 61–72.
- (44) Dyall, K. G. *J. Chem. Phys.* **1995**, *102*, 4909–4918.
- (45) Bernath, P. F. *Spectra of Atoms and Molecules*, 2nd ed.; Oxford University Press: New York, 2005; p 275.
- (46) Hansson, A.; Watson, J. K. G. *J. Mol. Spectrosc.* **2005**, *233*, 169–173.
- (47) Rothman, L. S.; Gordon, I. E.; Babikov, Y.; Barbe, A.; Benner, D. C.; Bernath, P. F.; Birk, M.; Bizzocchi, L.; Boudon, V.; Brown, L. R.; Campargue, A.; Chance, K.; Cohen, E. A.; Coudert, L. H.; Devi, V. M.; Drouin, B. J.; Fayt, A.; Flaud, J. M.; Gamache, R. R.; Harrison, J. J.; Hartmann, J. M.; Hill, C.; Hodges, J. T.; Jacquemart, D.; Jolly, A.; Lamouroux, J.; Le Roy, R. J.; Li, G.; Long, D. A.; Lyulin, O. M.; Mackie, C. J.; Massie, S. T.; Mikhailenko, S.; Mueller, H. S. P.; Naumenko, O. V.; Nikitin, A. V.; Orphal, J.; Perevalov, V.; Perrin, A.; Polovtseva, E. R.; Richard, C.; Smith, M. A. H.; Starikova, E.; Sung, K.; Tashkun, S.; Tennyson, J.; Toon, G. C.; Tyuterev, V. G.; Wagner, G. J. *Quant. Spectrosc. Radiat. Transfer* **2013**, *130*, 4–50.
- (48) Urban, R.-D.; Bahnmaier, A. H.; Magg, U.; Jones, H. *Chem. Phys. Lett.* **1989**, *158*, 443–446.
- (49) Dyall, K. G. *Theor. Chem. Acc.* **2006**, *115*, 441–447.
- (50) Dunning, T. H. *J. Chem. Phys.* **1989**, *90*, 1007–1023.
- (51) Visscher, L.; Dyall, K. G. *At. Data Nucl. Data Tables* **1997**, *67*, 207–224.
- (52) Huber, K. P.; Herzberg, G. *Constants of Diatomic Molecules; Molecular Spectra and Molecular Structure*; Van Nostrand Reinhold: New York, 1979; Vol. IV, p 324, 652.
- (53) Le Roy, R. J. Level 8.2: A Computer Program for Solving the Radial Schrödinger Equation for Bound and Quasibound Levels, University of Waterloo, Chemical Physics Research Report CP-668 (2014).
- (54) Yorke, L.; Yurchenko, S. N.; Lodi, L.; Tennyson, J. *Mon. Not. R. Astron. Soc.* **2014**, *445*, 1383–1391.
- (55) Li, G.; Gordon, I. E.; Le Roy, R. J.; Hajigeorgiou, P. G.; Coxon, J. A.; Bernath, P. F.; Rothman, L. S. *J. Quant. Spectrosc. Radiat. Transfer* **2013**, *121*, 78–90.
- (56) Fægri, K., Jr.; Visscher, L. *Theor. Chem. Acc.* **2001**, *105*, 265–267.
- (57) Zeng, T.; Fedorov, D. G.; Klobukowski, M. *J. Chem. Phys.* **2010**, *132*, 074102.
- (58) Knecht, S.; Legeza, Ö.; Reiher, M. *J. Chem. Phys.* **2014**, *140*, 041101.
- (59) Calvin, J. A.; Valeev, E. F. TiledArray: A massively-parallel, block-sparse tensor library written in C++. <https://github.com/valeevgroup/tiledarray/> (accessed September 15, 2015).