



# Optimal vertex ranking of block graphs<sup>☆</sup>

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## ABSTRACT

A vertex ranking of an undirected graph  $G$  is a labeling of the vertices of  $G$  with integers such that every path connecting two vertices with the same label  $i$  contains an intermediate vertex with label  $j > i$ . A vertex ranking of  $G$  is called optimal if it uses the minimum number of distinct labels among all possible vertex rankings. The problem of finding an optimal vertex ranking for general graphs is NP-hard, and NP-hard even for chordal graphs which form a superclass of block graphs. In this paper, we present the first polynomial algorithm which runs in  $O(n^2 \log \Delta)$  time for finding an optimal vertex ranking of a block graph  $G$ , where  $n$  and  $\Delta$  denote the number of vertices and the maximum degree of  $G$ , respectively.

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## 1. Introduction

All graphs considered in this paper are finite and undirected, without loops or multiple edges. Let  $G = (V, E)$  be a graph with vertex set  $V$  and edge set  $E$ . Throughout this paper, let  $m$  and  $n$  denote the numbers of edges and vertices of  $G$ , respectively, and let  $\Delta$  denote the maximum degree of  $G$ . A *vertex ranking* of  $G$  is a labeling of vertices using positive integers  $1, 2, \dots, t$  such that all paths connecting two vertices with the same label  $i$  contain an intermediate vertex with label  $j > i$ . The integer labels  $1, 2, \dots, t$  are called *ranks*. The minimum number of ranks used for a vertex ranking of  $G$  is called the *vertex-ranking number* of  $G$ , and denoted by  $\chi_r(G)$ . We say that a vertex ranking is *optimal* if it uses  $\chi_r(G)$  ranks. The vertex ranking problem, also called the *ordered coloring* problem [12], is to find an optimal vertex ranking of a graph. An edge ranking of  $G$  is a labeling of its edges satisfying an analogous condition, i.e., all paths between two edges with the same label  $i$  contain an intermediate edge with label  $j > i$ . The vertex ranking problem is equivalent to the problem of finding the *minimum-height elimination tree* of a graph [2,4,6]. And the edge ranking problem is equivalent to the problem of finding the *minimum-height edge-separator tree* of a graph [16,24]. The vertex ranking problem has applications in VLSI layout and in scheduling the parallel assembly of a complex multi-part product from its components [10,19,24]. For computing Cholesky factorizations of matrices in parallel, the vertex ranking problem plays an important role [6,17]. Finding an optimal edge ranking has an interesting application that schedules the assembly steps in manufacturing a complex multi-part product [11,19].

The vertex ranking problem is NP-hard for general graphs [18,20] and even for cobipartite, bipartite graphs [3] and chordal graphs [8]. However, polynomial-time algorithms exist for cographs [23], AT-free graphs [13], trapezoid graphs, permutation graphs, interval graphs and circular-arc graphs [7]. The edge ranking problem is NP-hard in general [14].

With respect to trees, Iyer et al. proposed an  $O(n \log n)$ -time algorithm to solve the vertex ranking problem [10]. Schäffer presented an  $O(n)$ -time algorithm for solving the same problem on trees [22]. In finding optimal edge ranking of trees, de la Torre et al. proposed the first polynomial-time algorithm which runs in  $O(n^3 \log n)$  time [4]. There were some other

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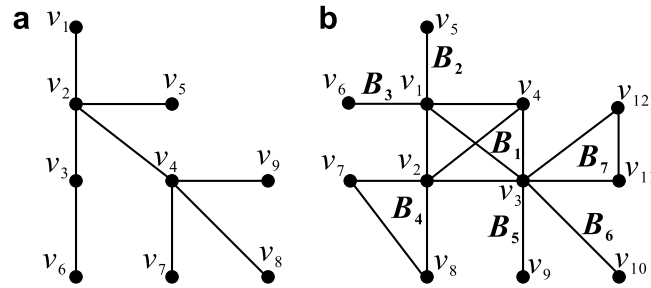


Fig. 1. (a) A tree, and (b) a block graph.

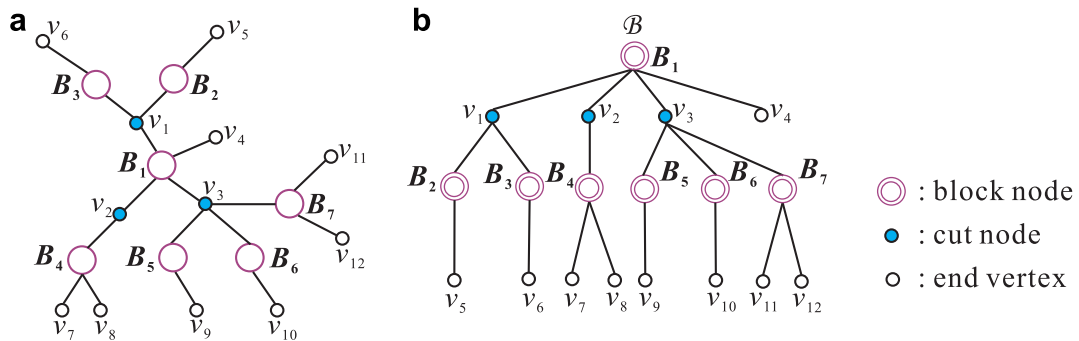


Fig. 2. (a) A representation tree constructed from a block graph shown in Fig. 1b, and (b) a block tree  $T_B$  with root  $B = B_1$  for (a).

papers in the literature on developing faster algorithms for the edge ranking problem on trees, though erroneous they are [5,27], culminating in the  $O(n^2 \log \Delta)$ -time algorithm of Zhou et al. [26]. Lam and Yue presented an  $O(n)$ -linear-time algorithm to solve the edge ranking problem of trees using a different approach [15]. Now, both the vertex ranking and edge ranking problems of trees can be solved in  $O(n)$  time. Recently, Dereniowski and Nadolski proved that the vertex ranking problem on chordal graphs is NP-hard [8]. Note that block graphs form a superclass of trees and a subclass of chordal graphs.

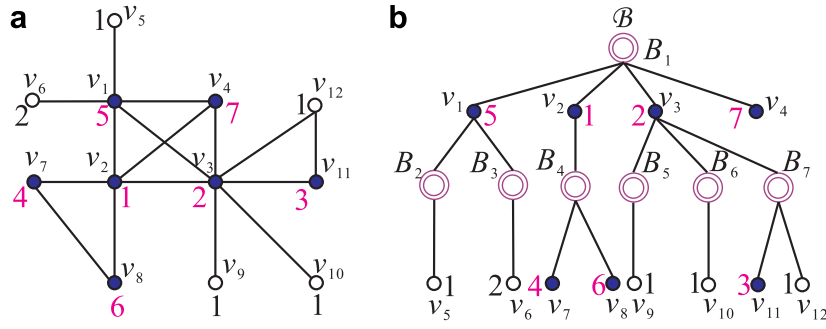
In this paper, we present the first polynomial algorithm which runs in  $O(n^2 \log \Delta)$  time to solve the vertex ranking problem on block graphs. Our idea is inspired by the algorithms given by Zhou et al. [26] for edge ranking of trees and given by Schäffer [22] for vertex ranking of trees. Note that the line graph of a tree is a block graph, but the reverse is not true. Hence, the algorithms for the edge ranking problem on trees can not be directly applied to solve the vertex ranking problem on block graphs.

## 2. Preliminaries

Let  $G = (V, E)$  be a graph. The vertex and edge sets of  $G$  are denoted by  $V(G)$  and  $E(G)$ , respectively. The union of two graphs  $G_1$  and  $G_2$ , denoted by  $G_1 + G_2$ , is graph  $(V(G_1) \cup V(G_2), E(G_1) \cup E(G_2))$ . And let  $G_1 - G_2$  denote the graph obtained from  $G_1$  by deleting all vertices and edges of  $(V(G_1) \cap V(G_2), E(G_1) \cap E(G_2))$ . For any two sets  $X$  and  $Y$ , let  $X - Y$  denote the set of elements of  $X$  that are not in  $Y$ .

Let  $G$  be a connected graph. A vertex  $v$  in  $G$  is called a *cut vertex* if the removal of  $v$  from  $G$  increases the number of connected components. In a connected graph, a *block* is a maximal connected subgraph without a cut vertex. A connected graph is a *block graph* if every block in it is a clique (complete graph). A vertex is a cut vertex in a block graph  $G$  if and only if it is the intersection of two or more blocks in  $G$ . If  $B_i$  and  $B_j$  are two distinct blocks in a block graph, then  $B_i \cap B_j$  is empty or contains at most one vertex [1,9,21,25]. For example, Fig. 1b depicts a block graph and  $v_1$  is a cut vertex which is the intersection of blocks  $B_1, B_2, B_3$ . On the other hand, Fig. 1a depicts a tree. We can find out that there are many similarities between them. In fact, trees are block graphs.

It follows from the above observations that we can construct a tree-like hierarchy, called *block tree*, from a block graph. Then, we can take the advantages of the ranking algorithms for trees while solving the vertex ranking problem on block graphs. With respect to the ranking algorithms on trees, researchers introduced the concept of *critical lists* [4,15,22,26]. In the following two subsections, we will define the *block tree* and the *critical list* of a block graph. These two structures are fundamentals and important in developing our efficient algorithm.



**Fig. 3.** A block graph and its block tree in Fig. 2b with a vertex ranking  $\varphi$ , where the visible vertices from  $B_1$  are drawn by filled circles.

### 2.1. The block tree

Let  $G = (V, E)$  be a block graph containing  $t$  blocks  $B_1, B_2, \dots, B_t$ . The *representation tree*  $T = (V_T, E_T)$  of  $G$  is constructed as follows: create  $t$  new nodes  $B_1, B_2, \dots, B_t$  standing for these  $t$  blocks in  $G$ . Let  $B_T = \{B_1, B_2, \dots, B_t\}$  and let  $V_T = B_T \cup V$ . The edge set  $E_T$  of  $T$  is defined as  $\{(v_i, B_j) | v_i \in B_j \text{ in } G \text{ for } 1 \leq i \leq |V| \text{ and } 1 \leq j \leq t\}$ . For instance, given a block graph  $G$  shown in Fig. 1b, the representation tree  $T$  of  $G$  is shown in Fig. 2a.

While picking an arbitrary block node  $B$  of  $T$  as the root, we get a rooted tree with root  $B$ . This rooted tree, denoted by  $T_B = (V^*, E^*)$ , is called the *block tree* corresponding to block graph  $G$ . Fig. 2b depicts the block tree of the block graph shown in Fig. 1b. Note that rooting a representation tree suggests a natural way to decompose the computation. On the other hand, given a block graph  $G = (V, E)$  the block tree can be constructed in  $O(|V| + |E|)$  time by the depth first search [1].

We call an element of  $V^*$  a node of block tree  $T_B$  in general. The element of  $V^*$  is called a *block node* of  $T_B$  if it is in  $B_T$ ; that is, it is not a vertex of  $V$ . A node is called an *end vertex* in  $T_B$  if it is in  $V$  and is not a cut vertex in  $G$ . The remnants of nodes in  $T_B$  are called *cut nodes*. Fig. 2b also reveals the types of nodes in  $T_B$ .

Let  $G$  be a block graph and  $T_B$  be its corresponding block tree with root  $B$ . The subtree of  $T_B$  rooted at node  $v$  is denoted by  $T_v$ , where  $v$  is either a cut node or a block node in  $T_B$ . Let  $G[T_v]$  denote the subgraph of  $G = (V, E)$  induced by the set of vertices of  $V$  which are nodes in the subtree  $T_v$  of  $T_B$ . For instance,  $G[T_{B_4}] = (\{v_7, v_8\}, \{(v_7, v_8)\})$  and  $G[T_{v_1}] = (\{v_1, v_5, v_6\}, \{(v_1, v_5), (v_1, v_6)\})$  in Fig. 2b.

Suppose that block node  $B$  has  $c$  children  $v_1, v_2, \dots, v_c$  in  $T_B$ . Then we denote  $T_B = \{B\} + T_{v_1} + T_{v_2} + \dots + T_{v_c} + \{(v_i, B) | 1 \leq i \leq c\}$ . On the other hand, suppose that cut node  $v$  has  $b$  children  $B_1, B_2, \dots, B_b$  in  $T_B$ . Then we denote  $T_v = \{v\} + T_{B_1} + T_{B_2} + \dots + T_{B_b} + \{(v, B_i) | 1 \leq i \leq b\}$ .

### 2.2. Critical lists and optimal vertex rankings

Let  $\varphi$  be a vertex ranking of a block graph  $G$  and let  $T_B$  be its corresponding block tree rooted at block node  $B$ . The label of a vertex  $v \in V(G)$  is denoted by  $\varphi(v)$ . For a vertex ranking  $\varphi$  of  $G$  and a subgraph  $G'$  of  $G$ , we denote by  $\varphi|_{G'}$  a restriction of  $\varphi$  to  $V(G')$ . Let  $\varphi' = \varphi|_{G'}$ , then  $\varphi'(v) = \varphi(v)$  for  $v \in V(G')$ .

**Definition 1.** Let  $\varphi$  be a vertex ranking of a graph  $G$ . A vertex  $v$  of  $G$  is *visible* from vertex  $u$  with respect to  $\varphi$  if either  $v = u$  or there exists a path from  $u$  to  $v$  such that all vertices (except  $v$ ) in this path have ranks less than  $\varphi(v)$ . A label  $\ell$  is said to be *visible* if there is a visible vertex labeled with  $\ell$ .

**Definition 2.** Let  $\varphi$  be a vertex ranking of a block graph  $G$  and let  $T_B$  be its block tree rooted at block node  $B$ . Let  $\omega$  be either a cut node or a block node of  $T_B$ . A vertex  $v$  of  $G[T_\omega]$  is said to be *visible* from  $\omega$  under  $\varphi$  if  $v$  is visible from  $\omega$  under  $\varphi|_{G[T_\omega]}$ . A label  $\ell$  is said to be *visible* if there is a visible vertex labeled with  $\ell$ . Denote by  $L_\omega(\varphi)$  the set of visible labels of  $G[T_\omega]$  under  $\varphi$ .

According to the above definitions, the label of a vertex is visible from itself. Fig. 3 shows a vertex ranking  $\varphi$  of a block tree  $T_{B_1}$  shown in Fig. 2b, where  $L_{B_1}(\varphi) = \{7, 6, 5, 4, 3, 2, 1\}$ ,  $L_{v_3}(\varphi) = \{3, 2\}$  and  $L_{B_7}(\varphi) = \{3, 1\}$ .

The following lemma can be easily verified by definition.

**Lemma 3.** A vertex labeling  $\varphi$  of a block graph  $G[T_B]$  is a vertex ranking of  $G[T_B]$  if and only if

- (1)  $\varphi|_{G[T_v]}$  is a vertex ranking of  $G[T_v]$  for every child  $v$  of the root  $B$  of  $T_B$ ; and
- (2) no more than one vertex of the same rank are visible from  $B$  under  $\varphi$ .

By the above lemma, it is easy to verify that  $\varphi$  is a vertex ranking of block graph  $G$  if and only if it is a vertex ranking of block tree  $T_B$  corresponding to  $G$ . Thus, for solving the vertex ranking problem on block graphs, we can focus on finding an optimal vertex ranking of block tree  $T_B$  constructed from the input block graph  $G$ . Note that we only rank the vertices except block nodes in block tree  $T_B$  and the paths connecting two distinct vertices can pass through some block nodes. That is, for a valid vertex ranking of  $T_B$ , every block node in  $T_B$  is assigned by dummy label '0'.

Suppose that  $p$  and  $q$  are two integers and  $p \leq q$ , then denote  $\{p, p+1, \dots, q\}$  and  $\{p, p+1, \dots, q-1\}$  by  $[p, q]$  and  $[p, q)$ , respectively. If  $p > q$ , then  $[p, q] = \emptyset$ . We define the *lexicographical order* ' $<$ ' on two sets of positive labels by examining the labels in decreasing order. For instance,  $\{5, 4, 3, 1\} < \{5, 4, 3, 2\}$  and  $\{4, 3\} < \{5, 4, 3, 1\}$ . We write  $L_1 \leq L_2$  if  $L_1 < L_2$  or  $L_1 = L_2$ . On the other hand, if one label is in two sets of positive labels then we call one *conflict* occurs in them; in other words, if two sets of positive labels are disjoint then no conflict appears in them.

**Definition 4.** Let  $\omega$  be either a cut node or a block node of  $T_B$ . A vertex ranking  $\varphi$  of  $G[T_\omega]$  is *critical* if  $L_\omega(\varphi) \leq L_\omega(\psi)$  for any vertex ranking  $\psi$  of  $G[T_\omega]$ . The list of a critical vertex ranking of  $G[T_\omega]$  is called the *critical list* of  $G[T_\omega]$  and is denoted by  $L^*(T_\omega)$ , which is the set of visible labels of a critical vertex ranking of  $G[T_\omega]$ .

de la Torre et al. [4] and Zhou et al. [26] introduced the concept of *supercritical ranking* and *subcritical ranking*. We adapt the same notation but with different meanings.

**Definition 5.** Let  $B$  be a block node of  $T_B$ . A vertex ranking  $\varphi$  of  $G[T_B]$  is *supercritical* if the restriction  $\varphi|_{G[T_{B'}]}$  is critical for every block node  $B'$  of  $T_B$ . A vertex ranking  $\varphi$  of  $G[T_B]$  is *subcritical* if the restriction  $\varphi|_{G[T_{B'}]}$  is critical for every block node  $B'$  and  $B' \neq B$ . The list of a supercritical vertex ranking  $\varphi$  of  $G[T_B]$  is called the *supercritical list* of  $G[T_B]$ , and is also denoted by  $L^*(T_B)$ .

By the above definitions, a vertex ranking  $\varphi$  of  $G[T_B]$  is supercritical if and only if  $\varphi$  is subcritical and critical. And, a vertex ranking  $\varphi$  of  $G[T_v]$  is critical if the restriction  $\varphi|_{G[T_B]}$  is supercritical for every block node  $B$  and  $L_v(\varphi)$  is critical, where  $v$  is a vertex in  $G$  and  $B$  is a child of  $v$  in  $T_B$ .

It follows from the above definitions that every block tree has a supercritical vertex ranking because this is simply an optimal vertex ranking that has the lexicographically least supercritical list at the root. However, it does not follow that every block subtree has a supercritical vertex ranking. It is plausible that to obtain a list-optimal vertex ranking at the root, some subtree may require a non-optimal vertex ranking. In next section, we will prove that every block subtree does in fact have a supercritical vertex ranking and our algorithm always finds one.

### 3. An $O(n^2 \log \Delta)$ -time algorithm

With respect to trees, researchers [4,10,11,15,22,26] considered the input tree to be rooted at an arbitrary node and use a bottom-up approach to compute an optimal (vertex or edge) ranking of the input tree. Note that the block tree is a rooted tree  $T_B$  with root block node  $B$ . Hence, we can take the advantages of the (vertex and edge) ranking algorithms for trees while solving the vertex ranking problem on block graphs.

In this section, we will propose an  $O(n^2 \log \Delta)$ -time algorithm for ranking a block graph  $G$  based upon its block tree  $T_B$ . Let  $T_B$  be the block tree of the input block graph. For simplicity, in the rest of the paper assume that for block node  $B$  in  $T_B$ , vertices  $v_1, v_2, \dots, v_c$  are the children of  $B$  in  $T_B$ , and for cut node  $v$  in  $T_B$ , block nodes  $B_1, B_2, \dots, B_b$  are the children of  $v$  in  $T_B$ .

We first sketch our algorithm as follows: The algorithm uses a bottom-up approach to compute a supercritical vertex ranking of  $G[T_B]$ . For each end vertex  $v$  in  $T_B$ , we construct its critical vertex ranking and the critical list  $L^*(T_v) = \{1\}$  of  $G[T_v]$ . For each block node  $B$  in  $T_B$ , by giving only vertices  $v_1, v_2, \dots, v_c$  new ranks, a supercritical vertex ranking and the supercritical list  $L^*(T_B)$  of  $G[T_B]$  can be obtained from critical vertex rankings of  $G[T_{v_i}]$ 's for  $1 \leq i \leq c$ . For each cut node  $v$  in  $T_B$ , suppose the supercritical vertex rankings of the subtrees  $T_{B_1}, T_{B_2}, \dots, T_{B_b}$ , as well as their supercritical lists  $L^*(T_{B_1}), L^*(T_{B_2}), \dots, L^*(T_{B_b})$ , have been computed. We focus on ranking vertex  $v$ . Then, a critical vertex ranking and the critical list  $L^*(T_v)$  of  $G[T_v]$  can be computed from  $L^*(T_{B_1}), L^*(T_{B_2}), \dots, L^*(T_{B_b})$ . By visiting the block tree  $T_B$  bottom-up, we can compute a supercritical list of  $G[T_B]$  and construct an optimal vertex ranking of  $G[T_B]$ .

#### 3.1. Ranking cut nodes of block trees

For a cut node  $v$  in  $T_B$ , assume the supercritical lists  $L^*(T_{B_1}), L^*(T_{B_2}), \dots, L^*(T_{B_b})$  of children of  $v$  have been computed. The following lemma proves that by giving  $v$  a label, a critical vertex ranking of  $G[T_v]$  and a critical list  $L^*(T_v)$  can be obtained from  $L^*(T_{B_i})$ 's for  $1 \leq i \leq b$ .

**Lemma 6.** Let  $T_v$  be a subtree of  $T_B$  rooted at cut node  $v$ . Assume that  $B_1, B_2, \dots, B_b$  are children of  $v$  in  $T_B$  and  $\varphi$  is a vertex ranking that is supercritical for each subtree  $T_{B_i}, 1 \leq i \leq b$ , but does not yet assign a label to  $v$ . Then, assigning a label  $\varphi(v)$  to  $v$  makes  $\varphi$  into a critical vertex ranking of  $G[T_v]$ .

**Proof.** Let  $\tau$  be the integer  $\max\{l | l \in L^*(T_{B_i}) \text{ for } 1 \leq i \leq b\}$ . Let  $\alpha$  be the largest rank occurring on more than one supercritical list or 0 if the lists have no conflicts. Let  $\beta$  be the smallest integer strictly larger than  $\alpha$  that does not occur on any supercritical list  $L^*(T_{B_i})$ . Let  $\varphi_i = \varphi|_{G[T_{B_i}]}$ ; that is,  $\varphi_i$  is a restriction of  $\varphi$  to  $G[T_{B_i}]$ . Then,

$$\varphi(u) = \begin{cases} \varphi_i(u), & \text{if } u \in G[T_{B_i}] \text{ for } 1 \leq i \leq b; \\ \beta, & \text{if } u = v. \end{cases}$$

We will prove that  $\varphi$  is a critical ranking of  $G[T_v]$  and  $L^*(T_v) = \cup_{1 \leq i \leq b} \{l | l \in L^*(T_{B_i}) \text{ and } l > \beta\} \cup \{\beta\}$ .

First, we prove that  $\varphi$  is a valid vertex ranking of  $G[T_v]$ . We first consider that  $\beta = \tau + 1$ . Let  $\varphi_i(x) = \varphi_j(y)$  for  $i \neq j$ . Suppose that  $\varphi_i(x)$  and  $\varphi_j(y)$  are in  $L^*(T_{B_i})$  and  $L^*(T_{B_j})$ , respectively. Then, they become invisible from  $v$  while  $\varphi(v) = \tau + 1$ . Hence,  $\varphi$  is a valid vertex ranking of  $G[T_v]$ . On the other hand, suppose that  $\beta < \tau$ . Now, we consider that  $\varphi(x) = \varphi(y) = \alpha' (\leq \alpha)$  for  $x, y \neq v$  and  $x, y \in G[T_v]$ . If both  $x$  and  $y$  are in the same subtree  $T_{B_i}$  of  $T_v$  for some  $i$ , then there exists one vertex  $z \in G[T_{B_i}]$  such that  $z$  is on the path connecting  $x$  and  $y$  and  $\varphi(z) > \alpha'$ , both  $x$  and  $y$  are invisible from  $B_i$  under  $\varphi_i$ , and, hence,  $\varphi(v) = \beta$  makes  $\varphi$  into a valid ranking of  $G[T_v]$ . Suppose that  $x \in G[T_{B_i}]$  and  $y \in G[T_{B_j}]$  for  $i \neq j$ . Since  $\alpha' \leq \alpha < \beta$  and all paths connecting  $x$  and  $y$  pass through  $v$  in  $G[T_v]$ ,  $\alpha'$  becomes invisible in  $L_v(\varphi)$ . Thus, there exists no conflict in  $L_v(\varphi)$ . This implies that  $\varphi$  is a valid vertex ranking of  $G[T_v]$ . By the above arguments,  $\varphi$  is a valid vertex ranking of  $G[T_v]$  while  $\varphi(v) = \beta$ .

Next, we will prove that  $\varphi$  is a critical ranking of  $G[T_v]$ . Let  $\hat{L}_i = \{l | l \in L^*(T_{B_i}) \text{ and } l > \beta\}$  for  $1 \leq i \leq b$  and let  $L = \cup_{1 \leq i \leq b} \hat{L}_i \cup \{\beta\}$ . We will show that  $L$  is critical. Let  $\psi$  be a vertex ranking of  $G[T_v]$  that is different from  $\varphi$ . Let  $\psi(v) = \beta_\psi$ . Let  $\hat{L}'_i = \{l | l \in L_{B_i}(\psi) \text{ and } l > \beta_\psi\}$  for  $1 \leq i \leq b$  and let  $L' = \cup_{1 \leq i \leq b} \hat{L}'_i \cup \{\beta_\psi\}$ . By definition,  $L_v(\psi) = L'$ . Let  $\alpha_\psi$  be the largest rank that occurs in  $L_{B_i}(\psi)$ 's at least twice; that is,  $\alpha_\psi = \max\{l | l \in L_{B_i}(\psi) \cap L_{B_j}(\psi), i \neq j\}$ . Consider the following two cases:

**Case 1:**  $\alpha > \alpha_\psi$ . Let  $L_1$  and  $L_2$  denote  $\cup_{1 \leq i \leq b} \{l | l \in L_{B_i}(\varphi) \text{ and } l > \alpha\}$  and  $\cup_{1 \leq i \leq b} \{l | l \in L_{B_i}(\psi) \text{ and } l > \alpha\}$ , respectively. Since  $L_{B_i}(\varphi)$  is the supercritical list of  $G[T_{B_i}]$ ,  $\{l | l \in L_{B_i}(\varphi) \text{ and } l > \alpha\} \subseteq \{l | l \in L_{B_i}(\psi) \text{ and } l > \alpha\}$  for any  $i$ . Hence,  $L_1 \subseteq L_2$  and  $[\alpha + 1, \beta - 1] \subseteq L_1$ , but  $\beta \notin L_1$ . Let  $L_1^\beta = \{l | l \in L_1 \text{ and } l \geq \beta\}$  and  $L_2^\beta = \{l | l \in L_2 \text{ and } l \geq \beta\}$ . Since  $[\alpha + 1, \beta - 1] \subseteq L_1$ ,  $L_2 \cap [\alpha + 1, \beta - 1] \subseteq L_1 \cap [\alpha + 1, \beta - 1] = [\alpha + 1, \beta - 1]$ . If  $L_2^\beta < L_1^\beta$ , then  $L_2 = L_2^\beta \cup (L_2 \cap [\alpha + 1, \beta - 1]) < L_1^\beta \cup [\alpha + 1, \beta - 1] = L_1$ , a contradiction occurs. Thus,  $L_1^\beta \leq L_2^\beta$ . Consider that  $L_1^\beta = L_2^\beta$ . Let  $\gamma = \max\{l | l \geq \alpha, l \in L_{B_i}(\varphi), \text{ and } l \notin L_{B_i}(\psi) \text{ for } 1 \leq i \leq b\}$ . Since  $\alpha_\psi < \alpha$ ,  $\gamma \geq \alpha$  and  $\gamma$  exists (for example  $\alpha$ ). Let  $\gamma \in L_{B_s}(\varphi)$  but  $\gamma \notin L_{B_s}(\psi)$  for some  $s$ . Since  $L_{B_s}(\varphi) \subseteq L_{B_s}(\psi)$ , there exists a rank  $\delta > \gamma$  such that  $\delta \in L_{B_s}(\psi)$  but  $\delta \notin L_{B_s}(\varphi)$ . Clearly,  $\delta > \alpha$ . Since  $L_1^\beta = L_2^\beta$  and  $[\alpha + 1, \beta - 1] \subseteq L_1$ ,  $\delta \in L_1$ . In other words,  $\delta \in L_{B_t}(\varphi)$ ,  $t \neq s$ , and  $\delta \notin L_{B_t}(\psi)$ . This contradicts the definition of  $\gamma$ . Thus,  $L_1^\beta \neq L_2^\beta$ . Now, we consider  $L_1^\beta < L_2^\beta$ . Then, there exists one rank  $w = \max\{l | l > \beta, l \in L_2^\beta, \text{ and } l \notin L_1^\beta\}$ . By definition,  $\beta_\psi \neq w$ ,  $w \in L_2^\beta$ , and  $w \notin L_1^\beta$ . Let  $\ell$  be a rank larger than  $w$ . If  $\ell \in L_1^\beta$  but  $\ell \notin L_2^\beta$ , then there exists one rank  $x$  such that  $x > \ell$ ,  $x \in L_2^\beta$  but  $x \notin L_1^\beta$ , and it contradicts the definition of  $w$ . Hence,  $\{l | l > w \text{ and } l \in L_1^\beta\} = \{l | l > w \text{ and } l \in L_2^\beta\}$ . If  $\beta_\psi > w$ , then  $\beta_\psi \notin L_1^\beta$  and, hence,  $L = L_1^\beta \cup \{\beta\} < \{l | l \in L_2^\beta, l > \beta_\psi\} \cup \{\beta_\psi\} = L'$ . If  $\beta_\psi < w$ , then  $L = L_1^\beta \cup \{\beta\} < \{l | l \in L_2^\beta, l > \beta_\psi\} \cup \{\beta_\psi\} = L'$  since  $w \in L_2^\beta$ ,  $w \notin L_1^\beta$ , and  $\{l | l > w \text{ and } l \in L_1^\beta\} = \{l | l > w \text{ and } l \in L_2^\beta\}$ . In either case,  $L < L'$ .

**Case 2:**  $\alpha \leq \alpha_\psi$ . In this case,  $\alpha \leq \alpha_\psi < \beta_\psi$ . Let  $L_3$  and  $L_4$  denote  $\cup_{1 \leq i \leq b} \{l | l \in L_{B_i}(\varphi) \text{ and } l \geq \beta_\psi\}$  and  $\cup_{1 \leq i \leq b} \{l | l \in L_{B_i}(\psi) \text{ and } l \geq \beta_\psi\}$ , respectively. Since  $L_{B_i}(\varphi)$  is the supercritical list of  $G[T_{B_i}]$ ,  $\{l | l \in L_{B_i}(\varphi) \text{ and } l \geq \beta_\psi\} \subseteq \{l | l \in L_{B_i}(\psi) \text{ and } l \geq \beta_\psi\}$  for  $1 \leq i \leq b$ . Hence,  $L_3 \subseteq L_4$ . By definition,  $\beta_\psi \notin L_4$ . Then, we consider three cases of  $\beta_\psi = \beta$ ,  $\beta_\psi > \beta$ , or  $\beta_\psi < \beta$ . We first consider the case of  $\beta_\psi = \beta$ . Since  $L_3 \subseteq L_4$ ,  $L = L_3 \cup \{\beta\}$ , and  $L' = L_4 \cup \{\beta_\psi\}$ , we get that  $L \leq L'$ . Next, we consider that  $\beta_\psi > \beta$ . Suppose  $\beta_\psi \notin L_3$ . It is clear that  $L_3 < L_4 \cup \{\beta_\psi\} = L'$ . Thus,  $L_3 \cup \{\beta, \beta_\psi\} < L_4 \cup \{\beta_\psi\}$ . Since  $L \leq L_3 \cup \{\beta, \beta_\psi\}$  and  $L' = L_4 \cup \{\beta_\psi\}$ , we obtain that  $L < L'$ . Now, suppose  $\beta_\psi \in L_3$ . Then, there exists one label  $\gamma$  such that  $\gamma > \beta_\psi$ ,  $\gamma \in L_4$  but  $\gamma \notin L_3$ , and  $\{l | l \in L_3 \text{ and } l > \gamma\} = \{l | l \in L_4 \text{ and } l > \gamma\}$ . Thus,  $L \leq L_3 \cup \{\beta, \beta_\psi\} < L_4 \cup \{\beta_\psi\} = L'$ . Finally, we consider the case of  $\beta_\psi < \beta$ . Since  $L_3 \subseteq L_4$ ,  $\beta_\psi \in L_3$ , and  $\beta_\psi \notin L_4$ , there exists one label  $\gamma$  such that  $\gamma > \beta_\psi$ ,  $\gamma \in L_4$  but  $\gamma \notin L_3$ , and  $\{l | l \in L_3 \text{ and } l > \gamma\} = \{l | l \in L_4 \text{ and } l > \gamma\}$ . If  $\beta > \gamma$ , it contradicts that any rank in  $[\alpha, \beta)$  is used in  $\varphi$  since  $\gamma \notin L_3$ . Hence,  $\beta \leq \gamma$ . Since  $\{l | l \in L_3 \text{ and } l > \gamma\} = \{l | l \in L_4 \text{ and } l > \gamma\}$ ,  $\gamma \in L_4$  but  $\gamma \notin L_3$ , and  $\gamma \geq \beta > \beta_\psi$ , we get that  $L = \{l | l \in L_3 \text{ and } l > \beta\} \cup \{\beta\} < L_4 \cup \{\beta_\psi\} = L'$ .

It follows from the above cases that  $L \leq L'$  and, hence,  $L_v(\varphi) \leq L_v(\psi)$  for any vertex ranking  $\psi$  of  $G[T_v]$ . Thus,  $\varphi$  is a critical ranking of  $G[T_v]$  and  $L$  is the critical list of  $G[T_v]$ .

Let  $n_v$  and  $n_i$ ,  $1 \leq i \leq b$ , be the numbers of vertices in  $G[T_v]$  and  $G[T_{B_i}]$ , respectively. Then,  $n_v = 1 + \sum_{i=1}^b n_i$ . It follows from Lemma 6 that ranking a cut node  $v$  and constructing its critical list  $L^*(T_v)$  of  $G[T_v]$  from  $L^*(T_{B_i})$ 's can be done in  $O(n_v)$  time, since all lists are searched once [22]. Since the number of cut nodes in  $T_B$  is bounded in  $O(n)$ , ranking all cut nodes takes  $O(n^2)$  time in total. Therefore, we have the following theorem:

**Theorem 7.** Let  $G$  be a block graph with  $n$  vertices and let  $T_B$  be its corresponding block tree. The cut nodes of  $G$  can be optimally ranked in  $O(n^2)$  time if the supercritical lists of their children have been computed.

### 3.2. Processing block nodes of block trees

In the rest of this paper, we focus on processing block nodes of a block tree  $T_B$ . Assume a block node  $B$  of  $T_B$  is visited and vertices  $v_1, v_2, \dots, v_c$  are children of  $B$  in  $T_B$ . Suppose that their associated critical lists are  $L^*(T_{v_1}), L^*(T_{v_2}), \dots, L^*(T_{v_c})$



corresponding to the critical vertex rankings  $\varphi_1, \varphi_2, \dots, \varphi_c$  of  $G[T_{v_1}], G[T_{v_2}], \dots, G[T_{v_c}]$ , respectively, are given. The following lemma shows that we don't need to relabel any vertex  $v$  for  $v \in V(G[T_{v_i}]) - \{v_i\}$ ,  $1 \leq i \leq c$ , while constructing a supercritical vertex ranking of  $G[T_B]$ . Thus, by giving only vertices  $v_1, v_2, \dots, v_c$  new ranks, a supercritical vertex ranking and the supercritical list  $L^*(T_B)$  of  $G[T_B]$  can be obtained from  $\varphi_i$ 's for  $1 \leq i \leq c$ .

**Lemma 8.** *Let  $B$  be a block node of  $T_B$  with children  $v_1, v_2, \dots, v_c$ . Assume that  $\varphi_1, \varphi_2, \dots, \varphi_c$  are critical vertex rankings of  $G[T_{v_1}], G[T_{v_2}], \dots, G[T_{v_c}]$ , respectively. Then,  $G[T_B]$  has a supercritical vertex ranking  $\varphi$  such that  $\varphi(v) = \varphi_i(v)$  for  $v \in V(G[T_{v_i}]) - \{v_i\}$  and  $1 \leq i \leq c$ .*

**Proof.** Let  $r_{\max}$  be the integer  $\max\{|l| \in L^*(T_{v_i}) \text{ for } 1 \leq i \leq c\}$ . Let  $\psi$  be a vertex ranking of  $G[T_B]$  such that

$$\psi(v) = \begin{cases} r_{\max} + i, & \text{if } v = v_i \text{ for } 1 \leq i \leq c; \\ \varphi_i(v), & \text{if } v \in V(G[T_{v_i}]) - \{v_i\} \text{ for } 1 \leq i \leq c. \end{cases}$$

It is easy to see that  $\psi$  is a subcritical vertex ranking of  $G[T_B]$  extended from  $\varphi_i$ 's for  $1 \leq i \leq c$ . Thus, there exist subcritical vertex rankings of  $G[T_B]$  that are extensions of  $\varphi_1, \varphi_2, \dots, \varphi_c$  by giving only  $v_1, v_2, \dots, v_c$  new ranks. Assume that  $\varphi$  is a subcritical vertex ranking of  $G[T_B]$  such that  $L_B(\varphi)$  is the lexicographically least list among those of all subcritical vertex rankings of  $G[T_B]$  extended from  $\varphi_1, \varphi_2, \dots, \varphi_c$  by giving only  $v_1, v_2, \dots, v_c$  new ranks. We will show that  $\varphi$  is critical and hence supercritical.

Suppose that  $\eta$  is a vertex ranking of  $G[T_B]$ . Let  $\eta_i = \eta|_{G[T_{v_i}]}$ ,  $1 \leq i \leq c$ . Since  $L_{v_i}(\varphi_i) (= L^*(T_{v_i}))$  is the critical list of  $G[T_{v_i}]$ ,  $L_{v_i}(\varphi_i) \leq L_{v_i}(\eta_i)$  for  $1 \leq i \leq c$ . Let  $r_i$ ,  $1 \leq i \leq c$ , be the largest integer such that  $r_i \in L_{v_i}(\eta_i)$  but  $r_i \notin L_{v_i}(\varphi_i)$  or 0 if  $L_{v_i}(\eta_i) = L_{v_i}(\varphi_i)$ . For simplicity, denote  $\varphi_i(v_i)$  by  $\ell_i$ , for  $i = 1$  to  $c$ . Then, we define a vertex ranking  $\hat{\varphi}$  of  $G[T_B]$  extended from  $\varphi_1, \varphi_2, \dots, \varphi_c$  as follows:

$$\hat{\varphi}(v) = \begin{cases} \max\{\ell_i, r_i\}, & \text{if } v = v_i \text{ for } 1 \leq i \leq c; \\ \varphi_i(v), & \text{if } v \in V(G[T_{v_i}]) - \{v_i\} \text{ for } 1 \leq i \leq c. \end{cases}$$

Since  $L_{v_i}(\varphi_i)$  is the critical list of  $G[T_{v_i}]$ ,  $L_{v_i}(\varphi_i) \leq L_{v_i}(\eta_i)$  for  $1 \leq i \leq c$ . By definition,  $r_i = 0$  if  $L_{v_i}(\eta_i) = L_{v_i}(\varphi_i)$ ; and  $r_i = \max\{|l| \in L_{v_i}(\eta_i) \text{ but } l \notin L_{v_i}(\varphi_i)\}$  otherwise. Consider that  $r_i \neq 0$ . Let  $r$  be an integer that is greater than  $r_i$ . Suppose  $r \in L_{v_i}(\varphi_i)$  but  $r \notin L_{v_i}(\eta_i)$ . Since  $L_{v_i}(\varphi_i) < L_{v_i}(\eta_i)$ , there exists one integer  $\tilde{r}$  such that  $\tilde{r} > r$ ,  $\tilde{r} \in L_{v_i}(\eta_i)$  but  $\tilde{r} \notin L_{v_i}(\varphi_i)$ . This contradicts the definition of  $r_i$ . Thus,  $\{|l| \in L_{v_i}(\varphi_i) \text{ and } l > r_i\} = \{|l| \in L_{v_i}(\eta_i) \text{ and } l > r_i\}$ .

We first show that  $\hat{\varphi}$  is a valid vertex ranking of  $G[T_B]$ . It is sufficient to prove that no label is visible for two different vertices under  $\hat{\varphi}$ . Assume that vertex  $x$  is visible from  $B$  under  $\hat{\varphi}$  and  $x \in G[T_{v_i}]$ . If  $r_i = 0$ , then  $\hat{\varphi}(x) \geq \hat{\varphi}(v_i) = \ell_i$  and  $L_{v_i}(\eta_i) = L_{v_i}(\varphi_i)$ ; otherwise,  $\hat{\varphi}(x) \geq r_i \geq \eta_i(v_i)$ . Suppose  $r_i \neq 0$ . Since  $\hat{\varphi}(x)$  is visible from  $B$  under  $\hat{\varphi}$ ,  $\{|l| \in L_{v_i}(\varphi_i) \text{ and } l > r_i\} = \{|l| \in L_{v_i}(\eta_i) \text{ and } l > r_i\}$ , and  $\hat{\varphi}(x) \geq r_i \geq \eta_i(v_i)$ , we get that  $\hat{\varphi}(x)$  is also visible from  $B$  under  $\eta$ . This implies that if both  $\hat{\varphi}(x)$  and  $\hat{\varphi}(y)$  are visible from  $B$  under  $\hat{\varphi}$ , then they are also visible from  $B$  under  $\eta$ . Hence, if  $\hat{\varphi}(x) = \hat{\varphi}(y)$  and both of them are visible from  $B$  under  $\hat{\varphi}$ , then there exist two labels  $\hat{\varphi}(x)$  and  $\hat{\varphi}(y)$  which are visible from  $B$  under  $\eta$ , and, hence, it contradicts that  $\eta$  is a valid vertex ranking of  $G[T_B]$ . Therefore, there exist no distinct vertices with the same rank which are visible from  $B$  under  $\hat{\varphi}$ ; that is,  $\hat{\varphi}$  is a valid vertex ranking of  $G[T_B]$ .

Let  $\hat{\varphi}_i = \hat{\varphi}|_{G[T_{v_i}]}$ . By definition,  $\hat{\varphi}$  is a subcritical vertex ranking of  $G[T_B]$  extended from  $\varphi_i$ 's by giving only  $v_i$ ,  $1 \leq i \leq c$ , rank  $\max\{\ell_i, r_i\}$ . By definition,  $L_B(\varphi) \leq L_B(\hat{\varphi})$ . We claim that  $L_B(\hat{\varphi}) \leq L_B(\eta)$ . Since “ $\leq$ ” is transitive, we get  $L_B(\varphi) \leq L_B(\eta)$ , as desired.

In the following, we will prove the above claim that  $L_B(\hat{\varphi}) \leq L_B(\eta)$ . Since  $\hat{\varphi}$  is a valid vertex ranking of  $G[T_B]$ , lists  $L_{v_i}(\hat{\varphi}_i)$ 's are pairwise disjoint. Thus, we can only prove that  $L_{v_i}(\hat{\varphi}_i) \leq L_{v_i}(\eta_i)$  for  $1 \leq i \leq c$ . By definition,  $L_{v_i}(\hat{\varphi}_i) = \{\hat{\varphi}(v_i)\} \cup \{|l| \in L_{v_i}(\varphi_i) \text{ and } l > \hat{\varphi}(v_i)\}$  and  $L_{v_i}(\eta_i) = \{\eta(v_i)\} \cup \{|l| \in L_{v_i}(\eta_i) \text{ and } l > \eta(v_i)\}$ . By definition of  $r_i$ , we have

- (i) if  $r_i = 0$ , then  $L_{v_i}(\varphi_i) = L_{v_i}(\eta_i)$ ; and
- (ii) if  $r_i \neq 0$ , then  $L_{v_i}(\varphi_i) < L_{v_i}(\eta_i)$  and  $r_i = \max\{|l| \in L_{v_i}(\eta_i) \text{ but } l \notin L_{v_i}(\varphi_i)\}$ .

By the above cases, assume that  $r_i \neq 0$ . Then,  $\{|l| \in L_{v_i}(\varphi_i) \text{ and } l > r_i\} = \{|l| \in L_{v_i}(\eta_i) \text{ and } l > r_i\}$ . The rank  $\hat{\varphi}(v_i)$  depends on the relative values of  $\ell_i$  and  $r_i$ . There are two cases:

**Case 1:**  $\hat{\varphi}(v_i) = \ell_i$ . In this case,  $\ell_i > r_i \geq \eta(v_i)$ . Since  $\ell_i \in L_{v_i}(\varphi_i)$ ,  $\ell_i > r_i$ , and  $\{|l| \in L_{v_i}(\varphi_i) \text{ and } l > r_i\} = \{|l| \in L_{v_i}(\eta_i) \text{ and } l > r_i\}$ , we get that  $\ell_i \in L_{v_i}(\eta_i)$ . Thus,  $L_{v_i}(\hat{\varphi}_i) = \{\ell_i\} \cup \{|l| \in L_{v_i}(\varphi_i) \text{ and } l \geq \ell_i\} = \{|l| \in L_{v_i}(\eta_i) \text{ and } l \geq \ell_i\} < \{|l| \in L_{v_i}(\eta_i) \text{ and } l \geq \eta(v_i)\} \cup \{\eta(v_i)\} \leq L_{v_i}(\eta_i)$ . That is,  $L_{v_i}(\hat{\varphi}_i) < L_{v_i}(\eta_i)$ .

**Case 2:**  $\hat{\varphi}(v_i) = r_i$ . In this case,  $r_i \geq \ell_i$  and  $r_i \geq \eta(v_i)$ . Then,  $L_{v_i}(\hat{\varphi}_i) = \{r_i\} \cup \{|l| \in L_{v_i}(\varphi_i) \text{ and } l > r_i\}$ ,  $L_{v_i}(\eta_i) = \{\eta(v_i)\} \cup \{|l| \in L_{v_i}(\eta_i) \text{ and } l > \eta(v_i)\}$ , and  $r_i \in L_{v_i}(\eta_i)$ . Since  $\{|l| \in L_{v_i}(\varphi_i) \text{ and } l > r_i\} = \{|l| \in L_{v_i}(\eta_i) \text{ and } l > r_i\}$ ,  $r_i \geq \eta(v_i)$ , and  $r_i \in L_{v_i}(\eta_i)$ , we obtain that  $L_{v_i}(\hat{\varphi}_i) = \{r_i\} \cup \{|l| \in L_{v_i}(\varphi_i) \text{ and } l > r_i\} = \{r_i\} \cup \{|l| \in L_{v_i}(\eta_i) \text{ and } l > r_i\} = \{|l| \in L_{v_i}(\eta_i) \text{ and } l \geq r_i\} \leq \{|l| \in L_{v_i}(\eta_i) \text{ and } l \geq \eta(v_i)\} = L_{v_i}(\eta_i)$ . Thus,  $L_{v_i}(\hat{\varphi}_i) \leq L_{v_i}(\eta_i)$ .

Let  $v_1, v_2, \dots, v_c$  be children of a block node  $B$  in  $T_B$ . The vertex  $v_i$ ,  $1 \leq i \leq c$ , is referred to as *branch  $i$  of  $T_B$* . The set of vertices emanating (down) from a block node  $B$  is denoted by  $V_B$ , i.e.,  $V_B = \{v_1, v_2, \dots, v_c\}$ . By Lemma 8, we can only label the branches of  $T_B$  to obtain a supercritical vertex ranking of  $G[T_B]$  while the critical rankings of  $G[T_{v_1}], G[T_{v_2}], \dots, G[T_{v_c}]$ , as well as their critical lists  $L^*(T_{v_1}), L^*(T_{v_2}), \dots, L^*(T_{v_c})$ , have been computed. To identify a labeling of  $V_B$ , we often list the labels and their associated vertices as ordered pairs, so that  $(\ell_i, v_i)$  means that label  $\ell_i$  is assigned to vertex  $v_i$ . We usually sort a labeling by the order of its labels (ranks) but not by vertex names. In all of the proofs where the order matters, it is convenient to

have  $(\ell_c, v_c)$  correspond to the branch with the largest label and  $(\ell_1, v_1)$  correspond to the branch with the smallest label. This means that  $v_i$  is the branch with  $i$ -th smallest label.

In the rest of this section, we assume that  $B$  is a block node in  $T_B$  with branch set  $V_B = \{v_1, v_2, \dots, v_c\}$ ,  $\varphi$  is a partial vertex ranking of  $G[T_B]$  on  $G[T_{v_1}], G[T_{v_2}], \dots, G[T_{v_c}]$ ; that is,  $\varphi$  labels all vertices in  $G[T_B]$  except those of  $V_B$ , and the critical rankings  $\varphi_1, \varphi_2, \dots, \varphi_c$  of  $G[T_{v_1}], G[T_{v_2}], \dots, G[T_{v_c}]$ , respectively, as well as their critical lists  $L^*(T_{v_1}), L^*(T_{v_2}), \dots, L^*(T_{v_c})$ , have been computed by  $\varphi$ .

Let  $L = \{(\ell_1, v_1), (\ell_2, v_2), \dots, (\ell_c, v_c)\}$  be an arbitrary labeling of  $V_B$ . Define  $\widehat{L}_i$  to be the set  $\{l | l \in L^*(T_{v_i}) \text{ and } l > \ell_i\}$  for  $1 \leq i \leq c$ . Obviously,  $\widehat{L}_i$  includes the labels of  $L^*(T_{v_i})$  that are still visible while assigning label  $\ell_i$  to  $v_i$ . By Lemma 8, we do not need to relabel any vertex in  $V(G[T_{v_i}]) - \{v_i\}$  for  $1 \leq i \leq c$ , and we can obtain a supercritical (hence optimal) vertex ranking of  $G[T_B]$ . We can easily verify that  $\ell_i \geq \varphi_i(v_i)$  for any  $i$  if  $L$  is a valid labeling and any vertex in  $V(G[T_{v_i}]) - \{v_i\}$  is not relabeled. Then, we define the *valid labeling* of  $V_B$  as follows:

**Definition 9.**  $L = \{(\ell_1, v_1), (\ell_2, v_2), \dots, (\ell_c, v_c)\}$  is said to be a *valid labeling* of  $V_B$  if for any branch  $i$ , the following conditions hold: (1)  $\ell_i \geq \varphi_i(v_i)$ , (2)  $\ell_i \notin L^*(T_{v_i}) - \{\varphi_i(v_i)\}$ , and (3)  $(\widehat{L}_i \cup \{\ell_i\}) \cap (\widehat{L}_j \cup \{\ell_j\}) = \emptyset$  for any branch  $j \neq i$ .

It is easily verified that a valid labeling together with  $\varphi$  forms a subcritical vertex ranking of  $G[T_B]$ . A valid labeling  $L$  of  $V_B$  is called *optimal* if  $L_B(L \cup \varphi)$  forms a supercritical list of  $G[T_B]$ .

**Lemma 10.** Let  $L = \{(\ell_c, v_c), \dots, (\ell_2, v_2), (\ell_1, v_1)\}$  be a valid labeling of  $V_B$  such that  $\ell_c > \dots > \ell_2 > \ell_1$ . Then, there is no optimal labeling of  $V_B$  in which the largest label used is greater than  $\ell_c$ .

**Proof.** Let  $\widetilde{L} = \{\ell_1, \ell_2, \dots, \ell_c\}$ . Consider the visible list  $L' = \cup_{1 \leq i \leq c} \widehat{L}_i \cup \widetilde{L}$  that will be passed up the tree as a result of the labeling  $L$ . It starts with a (possible empty) prefix of ranks  $r_1, r_2, \dots, r_t$  that are all greater than  $\ell_c$ . Without loss of generality, assume that  $r_1 < r_2 < \dots < r_t$ . And set  $r_0$  equal to  $\ell_c$ .

Assume by contradiction that there exists an optimal labeling  $M$  of  $V_B$  in which the highest label,  $h$ , used is greater than  $\ell_c$ . Suppose  $r_k \leq h \leq r_{k+1}$  for  $k \geq 0$ . Then, the ranks  $r_{k+1}, r_{k+2}, \dots, r_t$  will be in  $L_B(M \cup \varphi)$ . Since  $L$  is valid and each of the  $r_i$ 's is visible, each  $r_i$  is on  $L_j$  of exactly one child of  $B$ . Thus, if  $h = r_k$  or  $h = r_{k+1}$ , then the label  $h$  will also be in  $\widehat{L}_i$ ,  $1 \leq i \leq c$ ; that is,  $M$  is an invalid labeling. Thus,  $r_k < h < r_{k+1}$ . Then,  $L_B(M \cup \varphi)$  will start with  $h, r_{k+1}, \dots, r_{t-1}, r_t$ , which is lexicographically greater than  $L_B(L \cup \varphi)$  that starts with  $r_k, r_{k+1}, \dots, r_{t-1}, r_t$ . This contradicts that  $M$  is an optimal labeling of  $V_B$ . Thus, there exists no optimal labeling of  $V_B$  in which the highest label used is greater than  $\ell_c$ .

**Lemma 11.** Let  $L = \{(\ell_c, v_c), \dots, (\ell_2, v_2), (\ell_1, v_1)\}$  be an optimal labeling of  $V_B$  such that  $\ell_c > \dots > \ell_2 > \ell_1$ . Let  $S_k = \{v_k, v_{k-1}, \dots, v_1\}$  for  $1 \leq k \leq c$ , where  $S_k$  is a set comprising a suffix of the branch set when sorted by labels in  $L$ . Then, the labeling  $L$  restricted to the branch set  $S_k$  is optimal for  $G[T_B - \cup_{k+1 \leq i \leq c} T_{v_i}]$ .

**Proof.** Let  $L_{S_k}$  be a restriction of  $L$  to  $S_k$ . Since  $L \cup \varphi$  is a valid vertex ranking of  $G[T_B]$ ,  $L_{S_k} \cup \varphi$  is also a valid vertex ranking of  $G[T_B - \cup_{k+1 \leq i \leq c} T_{v_i}]$ . By Lemma 10, there is no optimal labeling of  $S_k$  that uses labels larger than  $\ell_k$  since  $L_{S_k}$  is a valid labeling of  $S_k$ . Suppose that  $M$  is a valid labeling of  $S_k$  which is better than  $L_{S_k}$ . We can replace  $L_{S_k}$  with  $M$  and get a better overall labeling of  $V_B$ . This contradicts the assumption that  $L$  is optimal. Thus,  $L_{S_k}$  is an optimal labeling of  $S_k$ .

For simplicity, we denote  $\{l | l \in L^*(T_{v_i}) \text{ and } l \leq x\}$  by  $L_{i|x}$ . Then, we define the *greedy-cover labeling* given in [4] as follows:

**Definition 12.** Let  $L = \{(\ell_c, v_c), \dots, (\ell_2, v_2), (\ell_1, v_1)\}$  be a valid labeling of  $V_B$ . A branch  $i$  is said to satisfy the *greedy-cover* (abbreviated as *gc*) property if for any branch  $j$  with  $\ell_j < \ell_i$ ,  $L_{i|\ell_i} \geq L_{j|\ell_i}$ .  $L$  is called a *gc labeling* if every branch satisfies the *gc* property.

Intuitively, a *gc* labeling assigns the largest label to a branch so as to cover the lexicographically biggest set of labels. For instance, the labelings shown in Fig. 4b and c are *gc* labelings. The following lemma implies that there is always an optimal labeling that is also *gc* labeling, but the reverse may not be true.

**Lemma 13.** Let  $L = \{(\ell_c, v_c), \dots, (\ell_2, v_2), (\ell_1, v_1)\}$  be a valid labeling of  $V_B$  such that  $\ell_c > \dots > \ell_2 > \ell_1$ . Then, there is a valid *gc* labeling whose largest label is also  $\ell_c$ . Moreover, if  $L$  is optimal, then the corresponding *gc* labeling can be made optimal too.

**Proof.** We will prove the lemma by induction on the number of branch vertices. Initially, any labeling on a single branch vertex is a *gc* labeling. Assume that the lemma is true if there are strictly fewer than  $k$  branch vertices to be labeled for  $k \leq c$ . That is, there exists a *gc* labeling on  $i$  branches that is optimal for  $i < k$ . We will show that the lemma is true when the number of branches is  $k$ . Let  $L = \{(\ell_1, v_1), (\ell_2, v_2), \dots, (\ell_{k-1}, v_{k-1}), (\ell_k, v_k)\}$  be a valid labeling on branch set  $V_B = \{v_1, v_2, \dots, v_{k-1}, v_k\}$  such that  $\ell_1 < \ell_2 < \dots < \ell_{k-1} < \ell_k$ .

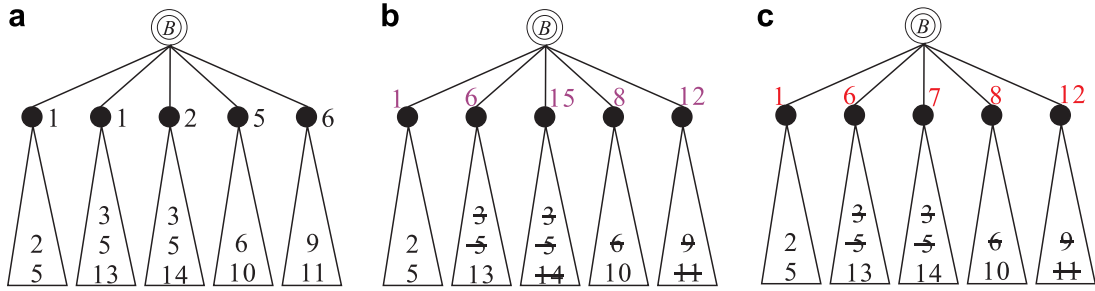


Fig. 4. (a) An initial status of  $T_B$ , (b) a gc labeling, and (c) an optimal gc labeling.

Consider that for every  $j < k$ ,  $L_{k|\ell_k} \geq L_{j|\ell_k}$ . Then, assigning  $\ell_k$  to  $v_k$  makes a valid labeling of  $V_B$ . By the induction hypothesis, we can find a gc labeling of  $V_B - \{v_k\}$  using no label greater than  $\ell_{k-1}$ , and combining with the labeling  $(\ell_k, v_k)$  makes a gc labeling for the entire branch set of  $V_B$  with the largest label  $\ell_k$ . Furthermore, if  $L$  is optimal, then the labeling  $L - \{(\ell_k, v_k)\}$  is optimal on  $V_B - \{v_k\}$  by Lemma 11. By the induction hypothesis, we can find an optimal gc labeling of  $V_B - \{v_k\}$ , and combining it with  $(\ell_k, v_k)$  gives an optimal gc labeling of  $V_B$ .

Next, we consider that there exists a branch  $j$  such that  $L_{j|\ell_k} > L_{k|\ell_k}$ . Choose the  $j$  that lexicographically maximizes  $L_{j|\ell_k}$ . We construct a modification of the labeling  $L$  that is still valid and as required by the definition of gc labeling, assigns its maximum label  $\ell_k$  to  $v_j$ . We can then use the induction hypothesis, exactly as above, to fill out a gc labeling for  $V_B - \{v_j\}$ . Since  $L_{j|\ell_k} > L_{k|\ell_k}$ , there exists a largest integer  $\gamma$  such that  $\gamma \in L_{j|\ell_k}$  but  $\gamma \notin L_{k|\ell_k}$ . There are two cases:

**Case 1:**  $\gamma < \ell_j$ . In this case, we modify  $L$  to  $L'$  by swapping the labels  $\ell_j$  and  $\ell_k$ , so that  $v_j$  gets label  $\ell_k$  and  $v_k$  gets label  $\ell_j$ ; all the other labels stay the same. Observe that by the definition of  $\gamma$ , each integer in the interval between  $\gamma$  and  $\ell_k$  is on both  $L^*(T_{v_j})$  and  $L^*(T_{v_k})$  or on neither of them. Since  $\gamma < \ell_j$  and  $\gamma < \ell_k$ , every integer less than  $\gamma$  is invisible on both lists in  $L$  and  $L'$ . Thus, the visible labels from the union of  $L^*(T_{v_j})$  and  $L^*(T_{v_k})$  are the same in  $L$  and  $L'$ . Thus, swapping the labels  $\ell_j$  and  $\ell_k$  has no impact on the other labels.

**Case 2:**  $\gamma \geq \ell_j$ . In this case, we modify  $L$  to  $L''$  by labeling  $v_j$  with  $\ell_k$  and labeling  $v_k$  with  $\gamma$ ; all the other labels stay the same. Since  $\gamma \geq \ell_j$  and  $L$  is a valid labeling,  $\gamma$  cannot occur as a visible label on  $\hat{L}_i$ ,  $i \neq j$ , or as a label in  $L$ . When  $v_j$  gets label  $\ell_k$ ,  $\gamma$  becomes invisible. Since in  $L''$  we rank  $v_j$  with  $\ell_k$  greater than  $\gamma$ , we can now reuse  $\gamma$  to rank  $v_k$  and still has a valid labeling. By the induction hypothesis, we can find a gc labeling of  $V_B - \{v_j\}$ , and combining it with  $(\ell_k, v_j)$  gives a gc labeling of  $V_B$  since  $L_{j|\ell_k} > L_{i|\ell_k}$  for  $v_i \in V_B - \{v_j\}$ . On the other hand, suppose that  $L$  is optimal. As shown in Case 1, the definition of  $\gamma$  ensures that the integers in the interval between  $\gamma$  and  $\ell_k$  that are left visible in  $L$  are the same as the integers left visible in  $L''$ . All integers less than  $\gamma$  on  $L^*(T_{v_j})$  and  $L^*(T_{v_k})$  are invisible in  $L''$ , but they might be visible on  $\hat{L}^*(T_{v_j})$  and  $\hat{L}^*(T_{v_k})$  in  $L$ . So in terms of optimality,  $L''$  is at least as good as  $L$ . Hence,  $L''$  is also optimal since  $L$  is optimal. Let  $\tilde{L}'' = L'' - \{(\ell_k, v_j)\}$ . By Lemma 11,  $\tilde{L}''$  is an optimal labeling of  $V_B - \{v_j\}$ . By the induction hypothesis, we can find a gc labeling of  $V_B - \{v_j\}$  that is optimal, and combining it with  $(\ell_k, v_j)$  gives an optimal gc labeling of  $V_B$ .

It follows immediately from the above lemma that we have the following corollary:

**Corollary 14.** *There is always an optimal labeling of  $V_B$  that is also gc labeling.*

Based on the above corollary, we can restrict our search for labelings to the class of gc labelings. The following lemma gives us which gc labeling we are searching for.

**Lemma 15.** *Among all valid gc labelings of  $V_B = \{v_1, v_2, \dots, v_{c-1}, v_c\}$ , the labeling that has the lexicographically smallest list of labels is optimal.*

**Proof.** Consider two distinct valid gc labelings of  $V_B$  as follows:

$$L = \{(\ell_c, v_c), (\ell_{c-1}, v_{c-1}), \dots, (\ell_1, v_1)\},$$

$$L' = \{(\ell'_c, v'_c), (\ell'_{c-1}, v'_{c-1}), \dots, (\ell'_1, v'_1)\},$$

that are both sorted in decreasing order of labels; that is,  $\ell_c > \ell_{c-1} > \dots > \ell_1$  and  $\ell'_c > \ell'_{c-1} > \dots > \ell'_1$ .

Without loss of generality, assume that  $L$  has a lexicographically smaller label list than  $L'$ ; and in particular, that  $j$  is the highest index at which  $\ell_j$  is less than  $\ell'_j$ . All the labels larger than  $\ell_j$  agree that  $\ell_c = \ell'_c, \ell_{c-1} = \ell'_{c-1}, \dots, \ell_{j+1} = \ell'_{j+1}$ . Now consider the definition of gc labeling. Given that the largest label is fixed at  $\ell_c$ , the choice of which branch vertex gets that label is deterministic. Since both  $L$  and  $L'$  are gc labelings, we can easy to see that  $v_c = v'_c, v_{c-1} = v'_{c-1}, \dots, v_{j+1} = v'_{j+1}$ .



Let  $\tilde{L} = \cup_{j+1 \leq i \leq c} \{l \in L^*(T_{v_i}) | l > \ell_j\} \cup \{\ell_j\}$  and  $\tilde{L}' = \cup_{j+1 \leq i \leq c} \{l \in L^*(T_{v_i}) | l > \ell'_j\} \cup \{\ell'_j\}$ . Since  $\ell'_j > \ell_j$  and  $\ell_i = \ell'_i$  for  $j+1 \leq i \leq c$ , we get that  $\{l \in \tilde{L} | l > \ell'_j\} = \{l \in \tilde{L}' | l > \ell'_j\}$ ; that is, each such integer is either on both  $\tilde{L}$  and  $\tilde{L}'$  or on neither of them. On the other hand, every label in  $L^*(T_{v_i})$ ,  $1 \leq i \leq j$ , larger than  $\ell'_j$  will be on both of  $L_B(L \cup \varphi)$  and  $L_B(L' \cup \varphi)$  since  $\ell'_j > \ell_j > \ell_{j-1} > \dots > \ell_1$ . Furthermore, since  $\ell'_j$  is used as a label in  $L'$ , it will be in  $L_B(L' \cup \varphi)$  and it cannot be in any visible list  $\hat{L}_i$  for  $1 \leq i \leq j$ . So the integer  $\ell'_j$  is not in  $L_B(L \cup \varphi)$  induced by the labeling  $L$ , and, hence,  $L$  is a better labeling than  $L'$ .

Since  $L$  and  $L'$  are arbitrary gc labelings, the above argument shows that any gc labeling that is not the lexicographically smallest will not be optimal. By Corollary 14, there is a gc labeling that is optimal. Therefore, the optimal gc labeling must be the gc labeling with the lexicographically smallest label list.

Based on the above lemma, our algorithm will search for a gc labeling with a lexicographically smallest label list. The good news is that given a fixed label list, there is at most one gc labeling using that list and it can be found in polynomial time. The bad news is that there appear, at first glance, to be exponentially many label lists to consider. We need a search strategy to narrow down the exponential search space in polynomial time. Our strategy is based on the idea of Zhou et al. [26]. We will pin down the label list one value at a time from largest to smallest. That is, for a given prefix of label list  $\ell_c, \ell_{c-1}, \dots, \ell_{j+1}$ , we will determine in polynomial time whether there is a gc labeling whose label list starts with this prefix.

### 3.3. The algorithm

In this subsection, we will propose an algorithm to find an optimal gc labeling of branch set  $V_B$ . We first define the following notation:

**Definition 16.** For a vertex ranking  $\psi$  of block graph  $G[T_B]$ , define  $M_\psi$  to be equal to  $\max\{\psi(v_i) | 1 \leq i \leq c\}$ , where  $v_1, v_2, \dots, v_c$  are branch vertices of  $T_B$ .

**Lemma 17.** Let  $\psi_1$  and  $\psi_2$  be two subcritical vertex rankings of  $G[T_B]$ . If  $M_{\psi_1} < M_{\psi_2}$ , then  $L_B(\psi_1) < L_B(\psi_2)$ .

**Proof.** Since  $\psi_1$  and  $\psi_2$  are two subcritical rankings of  $G[T_B]$ , we have the following equations:

$$L_B(\psi_1) = \cup_{1 \leq i \leq c} \{l \in L^*(T_{v_i}) | l > \psi_1(v_i)\} \cup \{\psi_1(v_1), \psi_1(v_2), \dots, \psi_1(v_c)\}, \quad (1)$$

$$L_B(\psi_2) = \cup_{1 \leq i \leq c} \{l \in L^*(T_{v_i}) | l > \psi_2(v_i)\} \cup \{\psi_2(v_1), \psi_2(v_2), \dots, \psi_2(v_c)\}. \quad (2)$$

By Eqs. (1)–(2) and  $M_{\psi_1} < M_{\psi_2}$ , we have

$$L_B(\psi_1) - [1, M_{\psi_2}] = \cup_{1 \leq i \leq c} L^*(T_{v_i}) - [1, M_{\psi_2}],$$

$$L_B(\psi_2) - [1, M_{\psi_2}] = \cup_{1 \leq i \leq c} L^*(T_{v_i}) - [1, M_{\psi_2}].$$

Hence,  $L_B(\psi_1) - [1, M_{\psi_2}] = L_B(\psi_2) - [1, M_{\psi_2}]$ .

Since  $\psi_2$  is a valid ranking and  $M_{\psi_2} \notin \cup_{1 \leq i \leq c} L^*(T_{v_i})$ , we get that  $M_{\psi_2} \notin L_B(\psi_1)$  and  $M_{\psi_2} \in L_B(\psi_2)$ . Therefore,  $L_B(\psi_1) < L_B(\psi_2)$ .

It follows immediately from Lemma 17 that the following corollary holds:

**Corollary 18.** The following two statements hold:

- (1) if  $\psi$  is supercritical and  $\hat{\psi}$  is subcritical of  $G[T_B]$ , then  $M_\psi \leq M_{\hat{\psi}}$ ;
- (2) every supercritical vertex ranking  $\psi$  of  $G[T_B]$  has the same value  $M_\psi$  of  $G[T_B]$ .

We denote by  $\beta_{sup}$  the same value  $M_\psi$  for all supercritical vertex rankings  $\psi$  of  $G[T_B]$ , and call  $\beta_{sup}$  the *super rank* of  $G[T_B]$ . Then, Corollary 18 immediately implies the following result.

**Corollary 19.** The super rank  $\beta_{sup}$  of  $G[T_B]$  is equal to the minimum integer  $\beta$  for which  $G[T_B]$  has a subcritical vertex ranking  $\hat{\psi}$  with  $M_{\hat{\psi}} = \beta$ .

We will later give Algorithm SuperRank to find the super rank  $\beta_{sup}$  of  $G[T_B]$  using Corollary 19.

Let  $\beta$  be a positive integer and  $\kappa$  be a branch of  $T_B$  such that  $L_{\kappa|\beta}$  is the lexicographically largest among all  $L_{i|\beta}$ 's,  $1 \leq i \leq c$ ; that is, if  $v_\kappa$  is labeled by  $\beta$  then the list of ranks in  $L^*(T_{v_\kappa})$  covered by  $\beta$  will be lexicographically largest ( $v_\kappa$  satisfies the gc property). Then, Lemma 13 implies the following result.

**Lemma 20.** Let  $\beta$  be a positive integer and let  $v_\kappa$  be a branch vertex of  $T_B$ . Assume that  $G[T_B]$  has a subcritical vertex ranking  $\widehat{\psi}$  with  $M_{\widehat{\psi}} = \beta$ . Then,  $G[T_B]$  has a subcritical vertex ranking  $\psi$  such that  $\psi(v_\kappa) = M_\psi = \beta$ ,  $v_\kappa$  satisfies the gc property, and  $L_B(\psi) \preceq L_B(\widehat{\psi})$ .

The following corollary is an immediate consequence of Lemma 20.

**Corollary 21.**  $G[T_B]$  has a supercritical vertex ranking  $\psi$  such that  $\psi(v_\kappa) = \beta_{\text{sup}}$ , where  $v_\kappa$  is a branch vertex of  $T_B$  for which  $L_{\kappa|\beta_{\text{sup}}}$  is the lexicographically largest among  $L_{i|\beta_{\text{sup}}}$ 's for  $1 \leq i \leq c$ .

By the above corollary, we can decide the branch vertex  $v_\kappa$  labeled by  $\beta_{\text{sup}}$  if  $\beta_{\text{sup}}$  is given; that is,  $L_{\kappa|\beta_{\text{sup}}} \succeq L_{i|\beta_{\text{sup}}}$  for  $i \neq \kappa$ . The following lemma will show that the supercritical vertex ranking  $\psi$  of  $G[T_B]$  can be extended by any supercritical vertex ranking  $\psi'$  of  $G[T_B - T_{v_\kappa}]$ . Recall that  $\varphi_i$  is a critical vertex ranking of  $G[T_{v_i}]$  for  $1 \leq i \leq c$  and  $\varphi$  is a partial vertex ranking of  $G[T_B]$  that labels all vertices of  $G[T_B]$  except those of branch set  $V_B$ .

**Lemma 22.** Assume that  $\psi$  is a supercritical vertex ranking of  $G[T_B]$ . Let  $v_\kappa$  be the branch vertex of  $T_B$  such that  $\psi(v_\kappa) = \beta_{\text{sup}}$  and  $v_\kappa$  satisfies the gc property, and let  $T' = T_B - T_{v_\kappa}$ . Then, the following statements hold:

- (1) the vertex ranking  $\psi' = \psi|_{G[T']}$  is supercritical of  $G[T']$ ;
- (2) any supercritical vertex ranking  $\psi'$  of  $G[T']$  can be extended to a supercritical vertex ranking  $\psi$  of  $G[T_B]$  as follows:

$$\psi(v) = \begin{cases} \beta_{\text{sup}}, & \text{if } v = v_\kappa; \\ \psi'(v), & \text{if } v \in \{v_1, v_2, \dots, v_c\} - \{v_\kappa\}; \\ \varphi(v), & \text{if } v \in V(G[T_{v_i}]) - \{v_i\} \text{ for } 1 \leq i \leq c. \end{cases}$$

**Proof.** By Lemma 11, Statement (1) immediately holds. By Lemma 8 and Statement (1), Statement (2) holds.

Using Lemma 15, Corollary 21, and Statement (2) of Lemma 22, it is easy to verify that the following algorithm named GC correctly decides the ranks of  $v_1, v_2, \dots, v_c$  if Algorithm SuperRank presented later correctly finds the super rank of  $G[T_B]$ .

#### Algorithm GC

**Input:** The branch set  $V_B = \{v_1, v_2, \dots, v_c\}$  of  $T_B$  and the critical lists  $L^*(T_{v_1}), L^*(T_{v_2}), \dots, L^*(T_{v_c})$  of  $G[T_{v_1}], G[T_{v_2}], \dots, G[T_{v_c}]$ , respectively.

**Output:**  $L_{gc} = \{(\ell_1, v_1), (\ell_2, v_2), \dots, (\ell_c, v_c)\}$ , the optimal greedy cover labeling of  $V_B$  and  $L^*(T_B)$ , the supercritical list of  $G[T_B]$ .

**Method:**

1.  $T' \leftarrow T_B$ ;  $L_{gc} \leftarrow \emptyset$ ;  $L^*(T_B) \leftarrow \emptyset$ ;
2. **for**  $i = c$  **downto** 1 **do**
3.   let  $v_1, v_2, \dots, v_i$  be the children of  $B$  in  $T'$ ;
4.   find the super rank  $\beta_{\text{sup}}$  of  $T'$  by Algorithm SuperRank presented later;
5.   find a branch  $\kappa$ ,  $1 \leq \kappa \leq i$ , such that  $L_{\kappa|\beta_{\text{sup}}}$  is the lexicographically largest and  $\beta_{\text{sup}} \geq \varphi_\kappa(v_\kappa)$ ;
6.   label  $v_\kappa$  with  $\beta_{\text{sup}}$ ;
7.    $L_{gc} \leftarrow L_{gc} \cup \{(\beta_{\text{sup}}, v_\kappa)\}$ ;
8.    $L^*(T_B) \leftarrow L^*(T_B) \cup \{l \in L^*(T_{v_\kappa}) | l > \beta_{\text{sup}}\} \cup \{\beta_{\text{sup}}\}$ ;
9.    $T' \leftarrow T' - T_{v_\kappa}$ ;
10. **Output**  $L_{gc}$  and  $L^*(T_B)$ .

Obviously, line 1 of Algorithm GC can be done in  $O(1)$  time. Lines 3–9 are iterated  $c$  times. One execution of lines 3 and 5–9 can be done in  $O(n)$  time, where  $n$  is the number of vertices of  $G[T_B]$ . Therefore, Algorithm GC runs in  $O(cn \log c)$  time if Algorithm SuperRank takes  $O(n \log c)$  time. In the following, we will give Algorithm SuperRank for finding  $\beta_{\text{sup}}$  of a block tree in  $O(n \log c)$  time.

For simplicity, we define some notation as follows:

**Definition 23.** Let  $L$  be a list of labels and let  $l$  be an integer. Define  $\text{count}(L, l)$  as follows:

$$\text{count}(L, l) = \begin{cases} 1, & \text{if } l \in L; \\ 0, & \text{otherwise.} \end{cases}$$

**Definition 24.** Define the maximum conflict  $\alpha_{\text{max}}$  on  $L^*(T_{v_i})$ 's,  $1 \leq i \leq c$ , as follows:  $\alpha_{\text{max}} = 0$  if  $L^*(T_{v_i}) \cap L^*(T_{v_j}) = \emptyset$  for  $i \neq j$ ; and  $\alpha_{\text{max}} = \max\{|l| l \in L^*(T_{v_i}) \cap L^*(T_{v_j}) \text{ for } i \neq j \text{ and } 1 \leq i, j \leq c\}$  otherwise.

By Corollary 19, in order to find  $\beta_{sup}$ , we need to inspect the existence of a subcritical vertex ranking  $\psi$  with  $M_\psi = \beta$  for a given integer  $\beta$ . A necessary and sufficient condition for the existence will be given in Lemma 27. Before giving Lemma 27, we first show the following two lemmas.

**Lemma 25.** Assume that  $G[T_B]$  has a subcritical vertex ranking  $\psi$ . Let  $\beta$  be any integer such that  $\beta \geq M_\psi$  and  $\beta \notin \cup_{1 \leq i \leq c} L^*(T_{v_i})$ . Then,  $G[T_B]$  has a subcritical vertex ranking  $\eta$  with  $M_\eta = \beta$ .

**Proof.** Let  $M_\psi = \psi(v_j)$  for some  $j$ ,  $1 \leq j \leq c$ . We modify  $\psi$  to  $\eta$  by labeling  $v_j$  with  $\beta$ ; all the other labels stay the same. Since  $\sum_{1 \leq i \leq c} \text{count}(L^*(T_{v_i}), \beta) = 0$  and  $\beta \geq M_\psi$ , we get that  $\text{count}(L_B(\eta), l) \leq \text{count}(L_B(\psi), l) \leq 1$  for  $l \neq \beta$  and  $\text{count}(L_B(\eta), \beta) = \sum_{1 \leq i \leq c} \text{count}(L^*(T_{v_i}), \beta) + 1 = 1$ . That is,  $M_\eta = \beta$  and  $\eta$  is a required subcritical vertex ranking of  $G[T_B]$ .

**Lemma 26.** Assume that  $\alpha_{\max} = 0$ . Then,  $G[T_B]$  has a supercritical vertex ranking  $\varphi$  that is the union of  $\varphi_1, \varphi_2, \dots, \varphi_c$  and  $\beta_{sup} = \max\{\varphi_i(v_i) | 1 \leq i \leq c\}$ .

**Proof.** Let  $\varphi$  be the union of  $\varphi_1, \varphi_2, \dots, \varphi_c$ . Since  $\alpha_{\max} = 0$ ,  $L^*(T_{v_i}) \cap L^*(T_{v_j}) = \emptyset$  for  $i \neq j$ . Thus,  $\varphi$  is a valid vertex ranking of  $G[T_B]$ . Since  $L^*(T_{v_i})$  is the critical list of  $G[T_{v_i}]$  for  $1 \leq i \leq c$ ,  $L^*(T_{v_i}) \leq L_{v_i}(\eta)$  for any vertex ranking  $\eta$  of  $G[T_B]$ . Thus,  $L_B(\varphi) = \cup_{1 \leq i \leq c} L^*(T_{v_i}) \leq \cup_{1 \leq i \leq c} L_{v_i}(\eta) = L_B(\eta)$ . That is,  $\varphi$  is a supercritical vertex ranking of  $G[T_B]$ . By definition,  $\beta_{sup} = M_\varphi = \max\{\varphi_i(v_i) | 1 \leq i \leq c\}$ .

**Lemma 27.** Assume that  $\alpha_{\max} > 0$ ,  $\beta$  is a positive integer, and that  $v_\kappa$  is a branch vertex of  $T_B$ . Then,  $G[T_B]$  has a subcritical vertex ranking  $\psi$  such that  $\psi(v_\kappa) = M_\psi = \beta$  if and only if the following conditions hold:

- (1)  $\alpha_{\max} < \beta$ ;
- (2) either  $(\varphi_\kappa(v_\kappa) \neq \beta$  and  $\sum_{i=1}^c \text{count}(L^*(T_{v_i}), \beta) = 0$ ) or  $(\varphi_\kappa(v_\kappa) = \beta$  and  $\sum_{i=1; i \neq \kappa}^c \text{count}(L^*(T_{v_i}), \beta) = 0)$ ; and
- (3)  $G[T'] = G[T_B - T_{v_\kappa}]$  has a subcritical vertex ranking  $\psi'$  with  $M_{\psi'} < \beta$ .

**Proof.** Only if part: Let  $\psi$  be a subcritical vertex ranking of  $G[T_B]$  such that  $\psi(v_\kappa) = M_\psi = \beta$ . We will prove Conditions (1)–(3) hold. Since  $\alpha_{\max} > 0$ , we have that  $c \geq 2$  and there exist two branches  $s, t$  such that  $L^*(T_{v_s}) \cap L^*(T_{v_t}) \neq \emptyset$ .

Assume by contradiction that  $\beta \leq \alpha_{\max}$ . Then, we have that  $\text{count}(L_B(\psi), \alpha_{\max}) = \sum_{i=1}^c \text{count}(L_{v_i}(\psi), \alpha_{\max}) \geq 2$ . This contradicts that  $\psi$  is a valid vertex ranking of  $G[T_B]$ . Thus, Condition (1) holds.

Next, we prove that Condition (2) holds. Assume by contradiction that  $\varphi_\kappa(v_\kappa) \neq \beta$  and  $\sum_{i=1}^c \text{count}(L^*(T_{v_i}), \beta) \geq 1$ . Then,  $\beta \in L^*(T_{v_j})$  for some  $j \neq \kappa$ . Since  $\psi(v_\kappa) = M_\psi = \beta$ , we get that  $\text{count}(L_B(\psi), \beta) = \sum_{i=1}^c \text{count}(L_{v_i}(\psi), \beta) = \text{count}(L_{v_\kappa}(\psi), \beta) + \sum_{i=1; i \neq \kappa}^c \text{count}(L_{v_i}(\psi), \beta) \geq 2$ . This contradicts  $\psi$  is a valid vertex ranking of  $G[T_B]$ . Thus,  $\varphi_\kappa(v_\kappa) = \beta$  or  $\sum_{i=1}^c \text{count}(L^*(T_{v_i}), \beta) = 0$ . On the other hand, suppose that  $\varphi_\kappa(v_\kappa) = M_\psi = \beta$ . Then,  $\text{count}(L^*(T_{v_\kappa}), \beta) = 1$  and  $\text{count}(L_B(\psi), \beta) = \sum_{i=1}^c \text{count}(L^*(T_{v_i}), \beta) = \text{count}(L^*(T_{v_\kappa}), \beta) + \sum_{i=1; i \neq \kappa}^c \text{count}(L^*(T_{v_i}), \beta) \leq 1$ . Thus,  $\sum_{i=1; i \neq \kappa}^c \text{count}(L^*(T_{v_i}), \beta) = 0$ . By the above arguments, Condition (2) immediately holds.

By the definition of subcritical rankings,  $\psi|_{G[T']} = \psi'$  is a subcritical ranking of  $G[T']$ . Moreover,  $M_{\psi'} < M_\psi = \beta$ . Therefore, Condition (3) holds.

If part:

Suppose that Conditions (1)–(3) hold. Let  $\psi'$  be a subcritical vertex ranking of  $G[T']$  with  $M_{\psi'} < \beta$ . Define  $\psi$  to be a vertex ranking of  $G[T_B]$  as follows:

$$\psi(v) = \begin{cases} \beta, & \text{if } v = v_\kappa; \\ \varphi_\kappa(v), & \text{if } v \in V(G[T_{v_\kappa}]) - \{v_\kappa\}; \\ \psi'(v), & \text{otherwise.} \end{cases}$$

Let  $\tilde{L}_\kappa = \{\beta\} \cup \{l \in L^*(T_{v_\kappa}) | l > \beta\}$  and  $L' = \cup_{1 \leq i \leq c; i \neq \kappa} (\{l \in L_{v_i}(\psi) | l > \psi(v_i)\} \cup \{\psi(v_i)\})$ . Then,  $\psi(v_\kappa) = M_\psi = \beta$  and

$$L_B(\psi) = L' \cup \tilde{L}_\kappa. \quad (3)$$

Now, we will prove that for every  $l \geq 1$  the following equation is satisfied:

$$\text{count}(L_B(\psi), l) \leq 1. \quad (4)$$

That is,  $\psi$  is a valid subcritical vertex ranking of  $G[T_B]$ . If  $l \notin \tilde{L}_\kappa$ , then by Eq. (3),  $\text{count}(L_B(\psi), l) = \text{count}(L', l) \leq 1$ . If  $l \in \tilde{L}_\kappa - \{\beta\}$ , then by Eq. (3),  $l > \beta > \alpha_{\max}$  and  $\text{count}(L_B(\psi), l) = \sum_{i=1}^c \text{count}(L_{v_i}(\psi), l) = \text{count}(L^*(T_{v_\kappa}), l) \leq 1$ . On the other hand,  $\text{count}(L_B(\psi), \beta) = \sum_{i=1}^c \text{count}(L^*(T_{v_i}), \beta) + 1 = 1$  if  $\sum_{i=1}^c \text{count}(L^*(T_{v_i}), \beta) = 0$ ; and  $\text{count}(L_B(\psi), \beta) = \sum_{i=1; i \neq \kappa}^c \text{count}(L^*(T_{v_i}), \beta) + \text{count}(L^*(T_{v_\kappa}), \beta) = 1$  if  $\sum_{i=1; i \neq \kappa}^c \text{count}(L^*(T_{v_i}), \beta) = 0$ . By the above arguments, Eq. (4) is true. Hence,  $\psi$  is a valid vertex ranking of  $G[T_B]$  and is subcritical.

By Lemma 26, if  $\alpha_{\max} = 0$ , then a supercritical vertex ranking  $\varphi$  of  $G[T_B]$  can be obtained by uniting  $\varphi_1, \varphi_2, \dots, \varphi_c$  and  $\beta_{\sup} = \max\{\varphi_i(v_i) \mid 1 \leq i \leq c\}$ . By Lemma 27, if  $\alpha_{\max} \geq 1$ , then  $\beta_{\sup} > \alpha_{\max}$ . Let  $\beta_0 = \max\{\varphi_1(v_1), \varphi_2(v_2), \dots, \varphi_c(v_c)\}$  and  $\beta_1 < \beta_2 < \dots < \beta_{c-1}$  be the smallest  $c-1$  integers that are greater than  $\alpha_{\max}$  and appear in none of the lists  $L^*(T_{v_i})$  for  $1 \leq i \leq c$ . Then, we have the following two lemmas.

**Lemma 28.** *The block graph  $G[T_B]$  has a subcritical vertex ranking  $\eta$  with  $M_\eta \leq \max\{\beta_0, \beta_{c-1}\}$ , and  $G[T_B]$  has no subcritical vertex ranking  $\psi$  with  $M_\psi < \min\{\beta_0, \beta_1\}$ .*

**Proof.** Let  $v_\kappa$  be the branch vertex of  $T_B$  such that  $\varphi_\kappa(v_\kappa) = \beta_0$ . Let  $\eta$  be defined as follows:

$$\eta(v) = \begin{cases} \varphi_i(v), & \text{if } v \in V(G(T_{v_i})) - \{v_i\} \text{ for } 1 \leq i \leq c; \\ \beta_0, & \text{if } v = v_\kappa; \\ \beta_i, & \text{if } v = v_i \text{ for } i \neq \kappa. \end{cases}$$

Note that  $\eta$  assigns  $\beta_i, 1 \leq i \leq c-1$ , to an arbitrary branch vertex except  $v_\kappa$ . Then,  $\text{count}(L_B(\eta), \alpha_{\max}) \leq 1$  and  $\text{count}(L_B(\eta), l) \leq 1$  for  $l \in [1, n]$ , where  $n$  is the number of vertices of  $G[T_B]$ . That is, the vertex ranking  $\eta$  of  $G[T_B]$  is valid and subcritical. In addition,  $M_\eta = \beta_{c-1}$  if  $\beta_0 < \beta_{c-1}$  and  $M_\eta = \beta_0$  if  $\beta_{c-1} < \beta_0$ .

Let  $\psi$  be any subcritical vertex ranking of  $G[T_B]$ . By Lemmas 26 and 27, we get that (1) if  $\alpha_{\max} = 0$ , then  $M_\psi = \beta_0$ ; and (2) if  $\alpha_{\max} > 0$ , then  $M_\psi > \alpha_{\max}$  and  $\sum_{i=1}^c \text{count}(L^*(T_{v_i}), M_\psi) = 0$ . By definition of  $\beta_1, \beta_1 \leq M_\psi$ . Thus,  $M_\psi \geq \min\{\beta_0, \beta_1\}$ .

Corollary 18 and Lemmas 25–28 immediately imply the following lemma.

**Lemma 29.** *The following two statements hold:*

- (1)  $\beta_{\sup} \in \{\beta_0\} \cup \{\beta_1, \beta_2, \dots, \beta_{c-1}\}$ ; and
- (2) let  $\gamma$  be an integer such that  $1 \leq \gamma \leq c-1$ , then  $\beta_{\sup} \in \{\beta_0\} \cup \{\beta_1, \beta_2, \dots, \beta_\gamma\}$  if and only if  $G[T_B]$  has a subcritical ranking  $\eta$  with  $M_\eta = \beta_\gamma$ .

If  $G[T_B]$  has a subcritical vertex ranking  $\eta$  with  $M_\eta = \beta$ , then  $\beta \in \{\beta_0, \beta_1, \dots, \beta_{c-1}\}$ . By Lemmas 26 and 27, we can easily derive the following recursive procedure, named Check, to determine whether or not  $G[T_B]$  has a subcritical vertex ranking  $\eta$  with  $M_\eta = \beta$  by inspecting Conditions (1)–(3) of Lemma 27.

**Procedure Check**( $T_B, \beta$ )

**Input:**  $T_B, L^*(T_{v_i})$ 's for  $1 \leq i \leq c$ , and  $\beta$ .

**Output:** True, if  $G[T_B]$  has a subcritical vertex ranking  $\eta$  with  $M_\eta = \beta$ ; and False, otherwise.

**Method:**

1. if the root  $B$  of  $G[T_B]$  has exactly one child, **then return true**;
2. let  $v_1, v_2, \dots, v_c$  be the children of  $B$  in  $T_B$ ;
3. if  $\sum_{i=1}^c \text{count}(L^*(T_{v_i}), l) \leq 1$  for  $1 \leq l \leq |V(G(T_B))|$ , **then return true**;
4.  $\alpha_{\max} \leftarrow \max\{|l| \mid l \in L^*(T_{v_i}) \cap L^*(T_{v_j}) \text{ for } i \neq j\}$ ;
5. if  $\alpha_{\max} \geq \beta$ , **then return false**;
6. let  $\kappa$  be the branch of  $T_B$  for which  $L_{\kappa|\beta}$  is the lexicographically largest among all  $L_{i|\beta}$ 's for  $1 \leq i \leq c$ ;
7. if  $(\varphi_\kappa(v_\kappa) \neq \beta$  and  $\sum_{i=1}^c \text{count}(L^*(T_{v_i}), \beta) \neq 0$ ) or  $(\varphi_\kappa(v_\kappa) = \beta$  and  $\sum_{i=1; i \neq \kappa}^c \text{count}(L^*(T_{v_i}), \beta) \neq 0)$ , **then return false**;
8.  $T'_B \leftarrow T_B - T_{v_\kappa}$ ;
9. let  $\beta'$  be the largest integer such that  $\beta' < \beta$  and  $\beta' \notin \cup_{1 \leq i \leq c; i \neq \kappa} L^*(T_{v_i})$ ;
10. call **Check**( $T'_B, \beta'$ );

By Corollary 19 and Lemmas 26–27,  $\beta_{\sup}$  is the smallest integer satisfying Conditions (1)–(3) of Lemma 27. Therefore, we have the following algorithm called SuperRank to find  $\beta_{\sup}$  of  $G[T_B]$ .

**Algorithm SuperRank**

**Input:**  $T_B$  and  $L^*(T_{v_i})$ 's for  $1 \leq i \leq c$ .

**Output:**  $\beta_{\sup}$ , the super rank of  $G[T_B]$ .

**Method:**

1.  $\mathcal{K} \leftarrow \{\beta_0, \beta_1, \dots, \beta_{c-1}\}$ ;
2. choose the smallest integer  $\beta \in \mathcal{K}$  satisfying the subcritical conditions in Lemma 27 by calling Procedure Check;
3.  $\beta_{\sup} \leftarrow \beta$ ;
4. **Output**  $\beta_{\sup}$ .

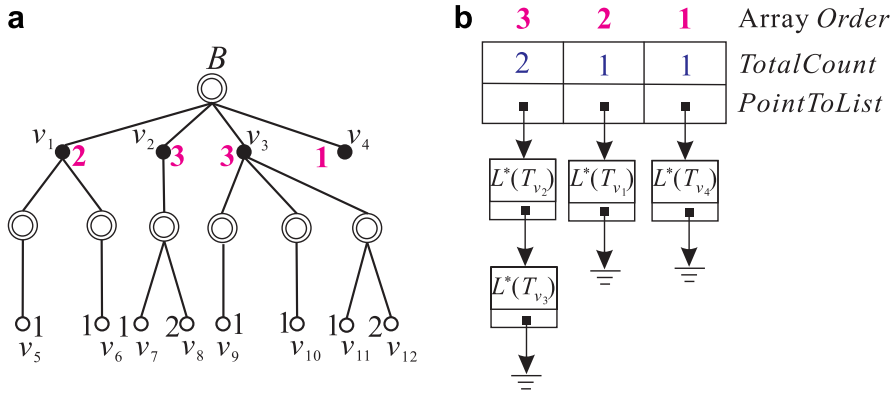


Fig. 5. (a) A subcritical vertex ranking  $\varphi$  of  $G[T_B]$ , and (b) the data structure of array Order under  $\varphi$  [26].

Zhou et al. [26] proposed an efficient approach for searching an index  $\kappa$  such that  $L^*(T_{v_\kappa}) \cap [1, \beta]$  is the lexicographically largest among all  $L^*(T_{v_i}) \cap [1, \beta]$  for  $1 \leq i \leq c$ . They define a data structure called *Order* to support their method. The array *Order* consists of records, each of which contains two items of data: *TotalCount* and *PointerToList*. The length  $\omega$  of *Order* is the largest integer in  $\cup_{1 \leq i \leq c} L^*(T_{v_i})$ . For each integer  $l$ ,  $1 \leq l \leq \omega$ , the item *TotalCount*[ $l$ ] =  $\sum_{i=1}^c \text{count}(L^*(T_{v_i}), l)$ . The item *PointToList*[ $l$ ] stores the lists  $L^*(T_{v_i})$  for  $l \in L^*(T_{v_i})$ . For example, Fig. 5b illustrates the data structure *Order* of the subcritical vertex ranking  $\varphi$  on Fig. 5a ranking all nodes except the branch vertices of  $T_B$ . Then, they use the technique of radix sorting to sort  $L^*(T_{v_i})$ 's in array *Order*, and it can be done in  $O(n)$  time [1]. Then all  $L^*(T_{v_\kappa})$ 's can be decreasingly picked from array *Order* in  $O(n)$  time [26]. We then have the following lemma:

**Lemma 30** ([26]). Procedure  $\text{Check}(T_B, \beta)$  takes  $O(n)$  time, where  $n$  is the number of vertices in  $G[T_B]$ .

We can use the binary search technique to find the smallest integer  $\beta_{\text{sup}}$ . Hence, Procedure  $\text{Check}$  is called at most  $\log c$  times. By Lemma 30, Algorithm SuperRank runs in  $O(n \log c)$  time. Since the block node  $B$  has  $c$  children, we totally need to find  $\beta_{\text{sup}}$   $c$  times. Thus, we label the branches of  $T_B$  in  $O(cn \log c)$  time. Note that  $c \leq \Delta$ , where  $\Delta$  represents the maximum degree of the input block graph  $G[T_B]$ . Therefore, an optimal vertex ranking (supercritical ranking) of  $G[T_B]$  can be found in  $O((c_1 + c_2 + \dots + c_k)n \log \Delta)$  time, where  $k$  is the number of block nodes in  $T_B$  and  $c_i$ ,  $1 \leq i \leq k$ , is the number of children of block node  $B_i$  in  $G[T_B]$ . Obviously,  $c_1 + c_2 + \dots + c_k = O(n)$ . Thus, processing all block nodes in  $G[T_B]$  needs  $O(n^2 \log \Delta)$  time. By the result and Theorem 7, we conclude the following theorem:

**Theorem 31.** Given a block graph with  $n$  vertices and maximum degree  $\Delta$ , the vertex ranking problem can be solved in  $O(n^2 \log \Delta)$  time.

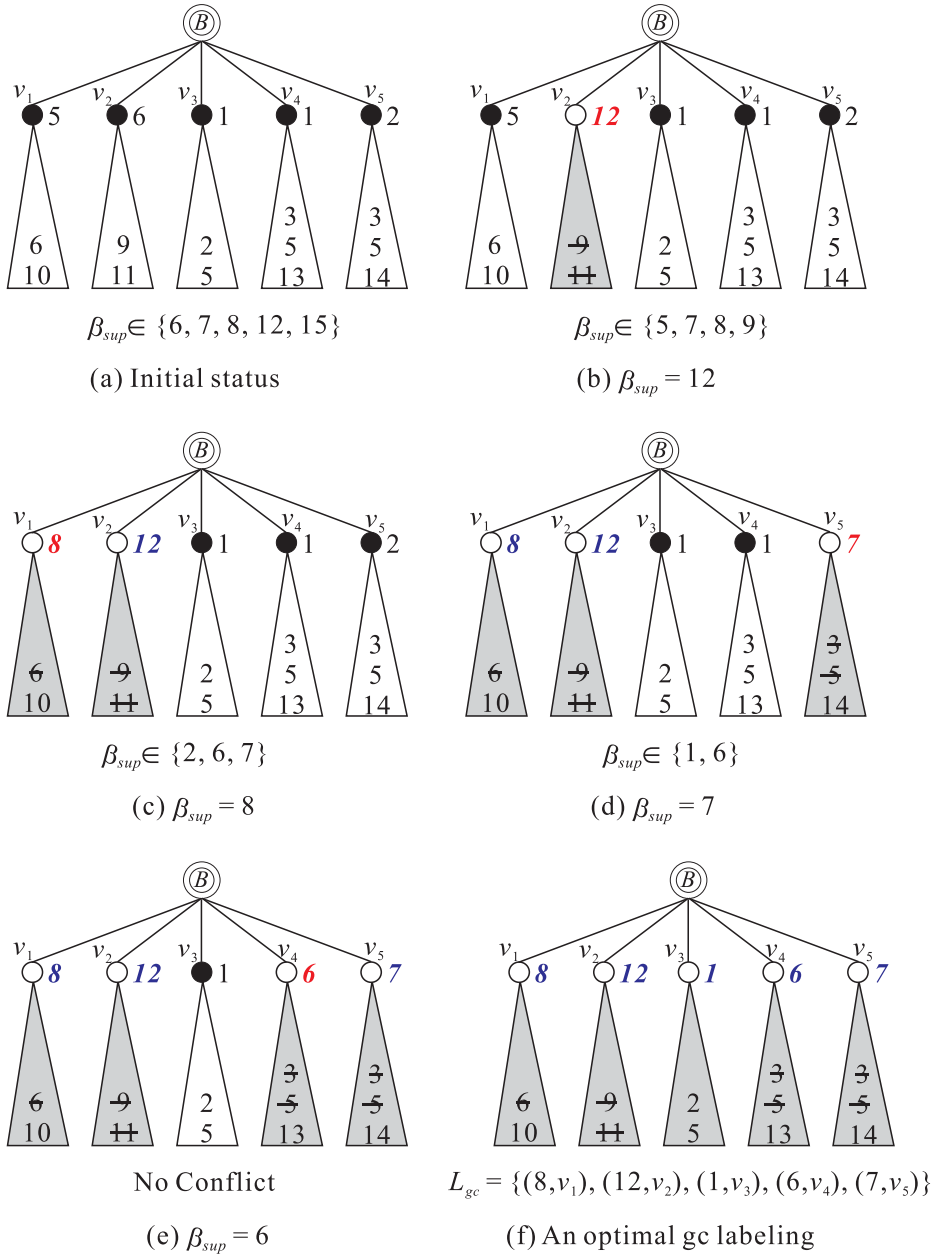
The following example shows how to construct an optimal gc labeling by Algorithm GC.

**Example.** Given an initial subcritical ranking of  $T_B$  shown in Fig. 6a. In Fig. 6a,  $\mathcal{K} = \{6, 7, 8, 12, 15\}$ . Using binary search strategy, we first pick  $\beta$  to be 8. Then, call procedure  $\text{Check}(T_B, 8)$ . In  $\text{Check}(T_B, 8)$ ,  $|V_B| = 5$ ,  $\alpha_{\text{max}} = 6$ ,  $\kappa = 1$  (branch 1 has the largest  $L_{i|8}$ ) and  $\beta' = 7$ . Then,  $T_B = T_B - T_{v_1}$  and call  $\text{Check}(T_B, 7)$ . In  $\text{Check}(T_B, 7)$ ,  $|V_B| = 4$ ,  $\alpha_{\text{max}} = 5$ ,  $\kappa = 2$ , and  $\beta' = 4$ . Then,  $T_B = T_B - T_{v_2}$  and call  $\text{Check}(T_B, 4)$ . In  $\text{Check}(T_B, 4)$ ,  $|V_B| = 3$  and  $\alpha_{\text{max}} = 5$ . Since  $\alpha_{\text{max}} > 4 = \beta$ ,  $\text{Check}(T_B, 4)$  returns false. Hence,  $\beta_{\text{sup}} \neq 8$ . We then select  $\beta$  to be 12. Following the above checking, we can find  $\text{Check}(T_B, 12)$  returns true. Therefore,  $\beta_{\text{sup}} = 12$ . Consequently, branch 2 gets label 12 since  $\ell_2 \leq 12$  and  $L_{2|12}$  is the lexicographically largest among all  $L_{i|12}$ 's. Then,  $L_{gc} = \{(12, v_2)\}$ ,  $L^*(T_B) = \{12\}$ , and  $T' = T_B - T_{v_2}$ . The resultant labeling is shown in Fig. 6b. Continue to find the other super ranks in Fig. 6c–e, we finally get an optimal gc labeling  $L_{gc} = \{(8, v_1), (12, v_2), (1, v_3), (6, v_4), (7, v_5)\}$  and  $L^*(T_B) = \{1, 2, 5, 6, 7, 8, 10, 12, 13, 14\}$  shown in Fig. 6f.

#### 4. Concluding remarks

In this paper, we present an  $O(n^2 \log \Delta)$ -time algorithm to solve the vertex ranking problem on block graphs. We use the tree structure, called block tree  $T_B$ , of a block graph to investigate the problem. We traverse  $T_B$  in a bottom-up manner. When the cut node is visited, we assign a new rank to it. If one block node  $B$  is visited, we apply the edge ranking algorithm of trees in [26] to rank the branches of  $T_B$  and obtain a supercritical vertex ranking of  $G[T_B]$ . It is interesting to see if the structure of block tree can be applied to the other problems on block graphs. On the other hand, whether there exists an efficient





**Fig. 6.** (a) Initial subcritical vertex ranking, (b) the first super rank is 12, (c) the second super rank is 8, (d) the third super rank is 7, (e) the fourth super rank is 6, and (f) an optimal gc labeling  $L_{gc} = \{(8, v_1), (12, v_2), (1, v_3), (6, v_4), (7, v_5)\}$  and the supercritical list  $L^+(T_B) = \{1, 2, 5, 6, 7, 8, 10, 12, 13, 14\}$ .

algorithm whose time-complexity is better than  $O(n^2 \log \Delta)$  for solving the vertex ranking problem on block graphs remains open.

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