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A critical look at reactions in class I and II gas-expanded liquids using CO₂ and other gases

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This short review aims to give a summary of the publications on reactions in class I and II gas-expanded liquids (GXLs) (those with organic or aqueous liquid components), and to draw conclusions from the trends in the current literature.

Introduction

For many years, there has been interest in performing reactions in supercritical fluids (SCFs),1-24 one of the main advantages being their complete miscibility with gases such as H₂ or O₂. The non-toxicity of CO₂ and its low cost are also appealing when choosing environmentally acceptable replacements for traditional solvents. However, the relatively high pressures³ $(P_c(CO_2) = 73.8 \text{ bar})$ and low solvent power have discouraged their application. This lack of solvent power is a key issue preventing the use of SCFs in the pharmaceutical industry, where the molecules of interest are often strongly basic and usually not very soluble in conventional organic solvents, let alone in SCFs. Despite this, the relatively low production volumes typical of pharma are well-suited to using SCFs, and SCFs have been successfully applied to the micronisation of drugs²⁵⁻²⁹ and supercritical fluid chromatography, both for analytical and preparative purposes.30-32

Our research group at the University of Nottingham has been working hard to overcome these solubility problems by the use of appropriate co-solvents. This approach is promising, as for example in the continuous selective hydrogenation of sertraline imine using THF as a co-solvent, where it was possible to

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improve on the diastereoselectivity compared with the published Pfizer process.33

We are now developing this co-solvent approach further and this has inevitably drawn us into the field of gas-expanded liquids (GXLs). These liquids and their applications were extensively reviewed by Jessop et al. two years ago,34 and more recently by Arai et al. in a more limited sense. 35 However, our project required a more detailed survey of the recent literature on reactions in GXLs, leading to some quite interesting conclusions. We felt that our findings might be of some use to others, so the purpose of this short review is to publish these conclusions and to provide an easily accessible tabulation of the papers for those who wish to follow particular points in greater depth.

This review focuses on reactions in class I and class II GXLs (defined later) since the non-volatility of class III liquids such as CO2+ionic liquids (ILs) or polymers requires a very different approach to reactions. In addition, both CO₂+ILs³⁶⁻⁴⁰ and CO₂+polymers⁴¹ have been reviewed in their own right.

For those reading the HTML version, this review also demonstrates the power of the RSC Project Prospect to enhance the information available to the reader.

What are GXLs?

Interest in GXLs has increased rapidly in the last 10 years, but the precise definition of a GXL is still not clear-cut. As a working definition, 42 a GXL is a liquid the volume of which is increased when pressurised with a condensable gas such as CO₂. Due to the variety of different behaviours of liquids with expanding gases, a classification system has been proposed by Jessop et al. 34 A class I GXL is one where the expanding gas has a low solubility in the liquid, and which does not expand much (such as CO₂+water). A class II GXL is one where the solubility of the expanding gas is high and the expansion is large, e.g. CO₂+THF. Class III GXLs are liquids where the gas is moderately soluble but the expansion is small e.g. CO2+ILs or liquid polymers.

Several definitions have been put forward since the concept of a GXL was first suggested, with the definitions changing slightly over time. One of the more recent was proposed by Jessop et al. where a GXL was defined34 as "a mixed solvent composed of a compressible gas...dissolved in an organic solvent". This definition is probably too broad because it encapsulates an extremely large number of reactions carried out in SCFs, where only small volumes (<5 vol%) of co-solvent are used. A slightly more refined definition has been put forward by Eckert et al. who suggest that a CO₂-expanded liquid (CXL) be any mixture of CO₂ and an organic solvent at conditions below the mixture critical point.⁴³ Both of these definitions inadverdently exclude systems where the reactants are liquid at the reaction conditions without the need for additional co-solvents, so the definition should be extended to incorporate these. As will be seen in this review, there are just as many CXLs which do not use any solvent compared to those which do. The Eckert et al. definition also includes low pressure and high temperature mixtures which can best be described as a vapour, which probably should not be included in the GXL definition. In contrast to Jessop et al., 34 we believe that an enhanced fluidity liquid (EFL) (one where the gas is completely dissolved in the liquid⁴⁴) resembles a liquid more closely than an SCF since, under high pressures, the compressibility of an EFL is much less than that of an SCF. Because of these issues, we think that the definition of a GXL should be either broadened or narrowed, instead of currently occupying an ambiguously-defined middle ground.

We favour the broader definition of a GXL, which is applied in this review. In defining a GXL it is more useful to use pressure-composition diagrams at constant temperature than the more usual pressure-temperature diagrams at a constant composition, since the composition of a mixture can change when it is pressurised with CO₂. For convenience sake we also use the critical composition to describe the composition corresponding to the critical pressure and temperature.

A GXL would therefore be a mixture of a condensible gas with other components such that there are at least 2 fluid phases or, a single phase above the bubble point curve but below the critical composition, where the properties of the liquid phase(s) are substantially different from those at atmospheric pressure. This then excludes all mixtures which are adjacent to the dew point curve, but includes mixtures where the components are solid at atmospheric pressure. A clearer diagram of this is shown in Fig. 1. The separation between an EFL and an SCF at the top of the diagram is certainly an artificial one, but is perhaps the most practical way of properly defining a GXL.

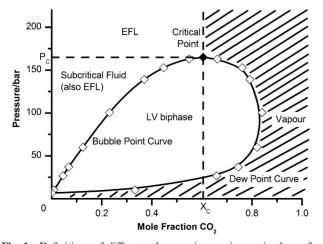


Fig. 1 Definitions of different phase regions, using an isotherm for the CO₂+methanol binary system at 121.1 °C as an example.⁴⁵ The hashed region represents the area which the GXL definition is intended to exclude. Note that the position of the critical point on the phase envelope will vary from one binary system to another.

In other words, a GXL encompasses systems with >1 fluid phase, subcritical fluids (both the pressure and composition are below their critical values) and EFLs.⁴⁶ On the other hand, a narrower and simpler definition would only require that a liquid be saturated with a gas which expands it, i.e. excluding EFLs and subcritical fluids outside of the biphasic region. Note that this would not necessarily require the presence of a separate vapour

What happens on expansion?

The typical expansion behaviour of a class II GXL is shown in Fig. 2, using CO₂+THF as the example.⁴⁷

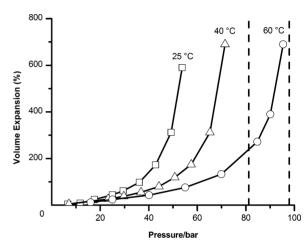


Fig. 2 Volume expansion of THF with varying CO₂ pressure at different temperatures, as measured visually in a Jerguson view cell.⁴⁷ The expansion increases rapidly towards the mixture critical point (shown with dashed lines).48

The expansion increases with pressure, rising almost exponentially towards the critical point. When the expansion is plotted against the CO₂ mole fraction in the liquid phase, it is largely independent of the pressure or temperature.⁴² This expansion changes both the solvent character and the physical properties of the liquid. When CO₂ dissolves into the liquid, it reduces both the polarity and the hydrogen-bonding abilities of the expanded liquid. 43,49-59 However, the solvent power is maintained for longer than expected, compared to simple dilution by CO₂, since the more polar solvent molecules can cluster dynamically around the solute. This leads to both an increase in the proportion of solvent molecules in the cybotactic region, but also an increase in the local density too.60-84 Although class I GXLs expand negligibly since CO₂ is not very soluble in them, when CO₂ is added, it can form carbonic acid in situ, 26,85-93 lowering the pH to less than 3.

A rarely considered point is that the maximum expansion of a GXL depends on the initial amount of liquid in the vessel. Typically, <2 mol% of the solvent is dissolved in the CO₂-rich phase. If the cell is under-filled, increasing the pressure increases the density of the CO₂-rich vapour phase enough to start dissolving significant quantities of the solvent, so that the expansion decreases instead94 until the dew point is reached. If the cell is over-filled, then increasing the pressure will increase the amount of CO2 in the liquid phase until all of the vapour will have dissolved, i.e. at the bubble point. At lower pressures where CO₂

is a much poorer solvent, the expansion level is not significantly affected by the amount of liquid initially in the cell, but at higher expansions (>500%) this can be important. The normal working definition of the critical point is defined as the merging of the coexisting liquid and vapour phases when the properties of the two phases (density, composition etc.) are identical. This means that, for a pure substance, the meniscus must be at half the volume of the vessel.95 In contrast for GXLs, the maximum possible liquid expansion can only be achieved if the critical point is observed with the meniscus at the top of the sealed vessel.

Like SCFs, GXLs have been shown to have improved mass transfer through reduced viscosity, 64,69,71,87,96-109 increased solute diffusivity44,97,104,107-110 and decreased interfacial tension.111-131 However, studies into actual mass transfer coefficients have been limited, with only four different systems being investigated, and most studies are on CO2+H2O under conditions relevant to deep-sea CO₂ sequestration. 132-141 The mass transfer rates for H₂ and CO into CO₂-expanded 1-octene have been measured, but unfortunately they were not compared with data obtained under comparable conditions in the absence of CO₂.¹⁴¹

The use of GXLs can in principle also lead to an overall reduction in solvent usage; the usually quoted reduction 10,142 being "up to 80%". This figure comes from a comparison of e.g. 5 mL solvent versus 1 mL of solvent expanded five-fold.

More controversially, it has been suggested that gases such as H₂, O₂ and CO have an increased solubility in CXLs. Several authors have shown that the H_2 mole fraction, $^{34,117,118,124,126-128,131,143}$ concentration¹³⁰ (mol dm⁻³) or ratio of H₂: reactant¹¹¹ increases with increasing pressure, expansion^{116,122} or mole fraction CO₂ (we have ignored articles where there were no ternary VLE data to correlate the models used). There is much less data on O₂ and CO solubility, 34,115,116,121 and the solubility enhancements for these gases in CXLs are generally smaller than for H₂.^{121,131} Unfortunately, rather few authors have made true comparisons of gas solubility with or without CO₂. Complicating matters further, there are two main ways of comparing solubilities, as shown in Fig. 3.

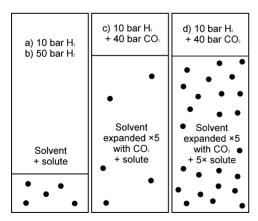


Fig. 3 A schematic of the four different ways of describing the gas solubility in a CXL compared to the solvent in the absence of CO2. Absolute values are for illustrative purposes only.

In case 1, the enhancement in the gas solubility can be compared in the absence and presence of CO₂ at the same gas fugacity—as in Fig. 3 with (a) versus (c) or (d). In case 2, one can also compare them at the same total pressure—(b) versus

(c) or (d). Most of the authors who have included solubilities of the pure gas for comparison have so far only used case 1 to compare their data, and it appears that in general, CO₂ enhances the solubility of the gas by this definition.^{34,124} Far fewer authors make direct comparisons using case 2, 118,127,143 and only in select cases does the H₂ solubility exceed that achievable with H₂ alone at the same total pressure.

A few authors also compare their H₂ solubilities by the ratio of the solvent to the H2,122 taking into account the effect of the volume expansion. It is also noteworthy that only small quantities of CO₂ are necessary to achieve a 20–30% solubility enhancement (as compared by case 2), one study requiring only a 10-20% partial pressure of CO₂ to do this. 127 Only one study has investigated the gas solubility enhancements with different expanding gases, the authors comparing the H2 solubility in CO₂- and propane-expanded iso-propanol. ¹²⁶ Under most of the conditions tested, the H2 solubility was increased substantially by propane pressurisation as compared by case 2 (up to sevenfold enhancement as by case 1). The authors also studied CO₂expanded iso-propanol and found similar enhancements 126,131 $(\sim 1.5-2.5)$ as compared by case 1.

It has been suggested that, as the solvent expands with increasing CO₂ pressure, although the H₂ mole fraction may only increase slightly, the expansion will reduce the volumetric concentration of the solute, and therefore the ratio of H_2 : solute will increase. Whether this has any effect will depend on the kinetics of the particular reaction involved. This also implies the dilution of the solute where it is not necessary. If a process runs well in 10 mL methanol, but runs slightly better in 10 mL methanol expanded five-fold, there is no overall reduction in solvent use—one of the purported benefits of using CXLs. The reduction can only be achieved if less methanol or more solute is used instead (as in Fig. 3(d)).

Selection criteria

In any review one has to be selective rather than encyclopedic. Since the terms "GXL" or "CXL" are often not used in the relevant articles, selecting papers becomes difficult. Papers have been included where they feature reactions with a CO₂ partial pressure of >15 bar and are also shown to be biphasic (excluding emulsions). If there is no information on the phase behaviour, articles are included if the CO₂ partial pressure is subcritical (<73.8 bar) or if there is >1 vol% H_2O , since H_2O rarely has a greater solubility than this under typical reaction conditions. 144 Papers are also included if the temperature is relatively low (\leq 40 °C) and the pressure is high (\geq 150 bar), *i.e.* an EFL.

The use of CO₂ as a C₁ building block¹⁴⁵⁻¹⁴⁸ has been widely investigated to make a variety of chemicals such as carboxylic acids, 149 carbonates, 150 carbamates 151 and ureas. 152 The literature on this subject is so extensive that a systematic review is beyond the scope of this article. However, if there was an accompanying systematic investigation of the phase behaviour of the system, or if the results were particularly interesting in their own right, then they have been included. Polymerisation and the modification of polymers have also been excluded for similar reasons. 153-162

All of the reactions in class I and II CXLs have been ordered alphabetically by reaction type in Table 1 and, by increasing complexity of the reactants within each reaction type. The range of temperatures and pressures studied for each example is included but are not always indicative of the number of phases, since phase behaviour data are rarely given for the whole range of reaction conditions studied. All reactions were performed in batch mode unless otherwise stated and, the reader is referred to the relevant article for more details.

The headings in Table 1 are intended to give the maximum amount of useful information without being unnecessarily lengthy. We have pointed out where comparisons have been made of the CXL with comparable supercritical operation, but only where there is a clear advantage for one type of phase behaviour over another. Frequently, one of the phase systems offers better selectivity for a reaction, with the other giving better conversion, or vice versa.

Table 2 is much shorter and contains the few examples of reactions in GXLs where expanding gases other than CO₂ have been used. Note that although N₂O has critical parameters³ similar to CO₂ ($P_C = 72.5$ bar and $T_C = 36.4$ °C), N₂O should never be used with organic compounds at high pressure because it is a potentially strong oxidising agent and severe explosions have been reported.163,164

Conclusions

Unusually, we present our conclusions before our two Tables. These Tables show that there is a now a sufficiently large number of studies for general conclusions to be drawn. There are still many questions that remain unanswered but we believe that the following points from Tables 1 and 2 are a starting point for summarising the current state of the art.

1 There are many ways of using GXLs as reaction media. For instance, the catalyst need not be in the liquid phase, but in the dense fluid phase, 165-167 or the whole reaction need not occur in the liquid phase either. 168,169

- 2 Apart from the Thomas Swan¹⁷⁰ and Idemitsu³ plants, all entries in the Tables feature laboratory-scale reactors. A wide range of reactor types and modes other than batch mode have been tested with CXLs, e.g. semi-batch where the catalyst is retained in the CO₂-rich phase and the liquid phase is removed without unnecessarily reducing the pressure. 165-167 There is one example of a continuously-fed stirred batch reactor (CSTR) where the vapour phase is continuously removed and the inlet feed carefully controlled to maintain the correct liquid level inside the vessel,171 However, no one so far appears to have used a CSTR with continuous liquid phase removal. There have also been many examples of reactions in continuous flow fixed bed reactors. 123,170,172-192
- 3 Many of the reactions involve gaseous reagents such as H_2 , O_2 or CO probably because these are the most atom economical. This may also be because of the perceived large increase in gas solubility with CO₂ pressure, but this is not borne out by experiments which usually only show a small increase in solubility. 121,131
- 4 The number of papers is overwhelmingly skewed in favour of hydrogenation, with these making up nearly half of all the entries in Table 1.
- 5 Relatively few of the reactions are multi-step/component. The current trend in organic chemistry is to perform multi-step/ component reactions in one pot,193-196 and this trend is not reflected in the CXL literature. Since the use of high pressures

increases the energy costs, being able to run multiple reactions without depressurisation would go some way to mitigating these costs.

6 The molecular complexity of the reactants is relatively low, with most substrates having only one reactive functional group, and rarely more than two. This is perhaps surprising because one could use more complex substrates with lower solubility in GXLs. One of the strengths of GXLs is that their solvent power is much higher than in the corresponding SCF—this advantage has so far mainly been exploited to dissolve homogeneous catalysts, and not as often for reactants with a low solubility.

7 Over half of the reactions in Table 1 do not use any additional solvent at all. This is partly due to the solvent being a reactant, e.g. MeOH or ethylene glycol in acetal formation, 197 but more often it is the result of the reactants already being liquids.

8 CO₂ can reduce the lower temperature limit for performing reactions; reactions of naphthalene, ¹⁹⁸ 2-vinylnaphthalene, methyl 2-acetamidoacrylate¹⁹⁹ and 2-butyne-1,4-diol²⁰⁰ involve solid reactants which are only liquid at the reaction temperature when pressurised with CO2-CO2 is crucial in reducing the melting point of the solid phase so that a reaction takes place without solvent. The concept can also work for reactions in which the product is a solid at the reaction temperature.²⁰¹

9 CO₂ can also increase the upper temperature limit; butadiene is a gas at ambient pressure and temperature and the CO₂ pressure allows liquid operation with a dissolved homogeneous catalyst at higher temperatures.202

10 The range of catalysts used is very broad, and very few of the reactions use stoichiometric reagents—nicely adhering to the 9th principle of green chemistry.²⁰³ For ease of scale-up, heterogeneous catalysts are to be preferred, but homogeneous ones may be more selective.

11 The separation of the catalyst from GXL reaction mixtures has not been considered enough where homogeneous catalysts have been used. Two general approaches have been identified to separate the catalyst from the reaction mixture after the reaction is complete: (a) increase the pressure and hence expand the liquid to precipitate the catalyst, whether the reaction was carried out either in a GXL¹¹⁵ or at ambient pressure,²⁰⁴ or (b) release the pressure and expand the gas to separate the phases.²⁰⁵ This lack of proven catalyst separation strategies is a serious shortcoming which needs to be remedied since precious metalcatalysed processes will rarely be economically viable unless the catalyst can be properly recycled.206

12 Where conventional solvents are used, they are often polar, e.g. water, alcohols or acetonitrile (MeCN). This strategy is a sensible one, with CO2 being used to tune the solvent power across the largest possible polarity range.

- 13 The pressure range is quite varied, but too few researchers cover a wide enough pressure range within their experiments, e.g. 30-300 bar.
- 14 A large number of the reactions in Table 1 do not include comparable experiments with a single phase—be it supercritical or otherwise. This is often because the poor solvent power of scCO₂ means that it is not practical to run the reaction in an SCF.
- 15 Where satisfactory comparisons have been made, there is no general consensus, even for a particular reaction type, on whether it is better to run the reactions in two phases or a single phase. This is perhaps because of the wide continuum of

properties that is achievable as a liquid-like single phase through to a vapour-like single phase.

16 There are surprisingly few control experiments at ambient conditions or in the absence of CO2—less than half of the papers included these types of experiments. It would be good to have more comparisons in the future. Those that do include comparisons with ambient conditions nearly always find that CXLs are superior. Those that report otherwise do not always give a plausible reason for the difference in performance.

17 One of the oft-cited advantages of SCFs is that the absence of phase boundaries increases the rate of mass transfer in the solvent.4 However, it is not always necessary to remove the phase boundaries since mass transfer (particularly at higher expansions) is not necessarily the rate-limiting process in a reaction. 128,207,208 In some cases it may be that the rate of the reaction is the limiting factor.

18 In many cases, expansion with CO₂ decreases (rather than increases) the miscibility of two liquids. This occurs typically when one liquid is water and the other is a water-miscible organic (e.g. THF); expansion with CO₂ promotes the separation of the organic- and water-rich phases. Sometimes two (or more) liquid phases are present, in addition to the usual CO₂-rich vapour phase. CO₂ can also increase the miscibility of certain liquids normally immiscible at ambient conditions, e.g. glycerol monostearate and MeOH.209 In one particular case involving alkenes and aqueous H2O2, it was possible to render the two phases completely miscible.210

19 Where the phase behaviour changes drastically over the course of the reaction, particularly in the condensation of small molecules, e.g. propylene oxide + CO_2^{211} or $H_2 + O_2^{168,169}$ it is difficult to draw firm conclusions about the role of GXLs in that reaction.

20 Those reactions in the Tables which have been scaledup, 111,170,172,178,202,212 have always had more thorough investigations into the phase behaviour.

21 There is great potential in combining product separation with the chemical reaction while under pressure, e.g. liquidliquid separation with levulinic acid hydrogenation, 188 or in the particle formation of Cu(indomethacin) using the anti-solvent properties of CO2.213

22 CO₂ cannot always be considered as an inert solvent—it can form carbonic acid which may deactivate enzyme catalysts,214 carbamates which can either help or hinder reactions, 151,215-219 or even CO via the reverse water gas shift reaction at higher temperatures. 220-222

23 The use of CO₂ as an *in situ* acid catalyst has considerable potential when weakly acidic catalysis is required. When the reaction mixture is depressurised there is no residual salt waste that needs to be removed, as in conventional acid-catalysed reactions. 57,197,223-226

24 It is not always necessary to use large amounts of CO₂ to improve a reaction. 123,127 In the hydrogenation of tetralin in a continuous flow fixed bed reactor, it was found that the optimum conditions were achieved with only 25 wt% CO₂ in the H₂ feed. 123 This has interesting implications for hydroprocessing worldwide, where unit operations are already run at high pressure and the addition of relatively small amounts of CO₂ to the feed might help improve performance. Similarly, the optimum performance in the selective hydrogenation of p-chloronitrobenzene required a CO₂ partial pressure of only 17% of the total pressure. 127

25 In the majority of papers, the expanding gas is CO_2 , despite the lower solvent power of CO₂, and the higher pressures required to achieve a particular level of expansion. For example, 440% expansion of ethyl acetate at 25 °C with CO₂ needs 53.4 bar, but with ethane only 31.7 bar is required. 42 This preference for CO₂ amongst the authors is probably the result of its low toxicity, non-flammability, cost and the fact that explosion-proof electrical systems are not necessarily required.

26 Different expanding gases have usually been chosen for chemical reasons, e.g. the use of CO2 to form carbonic acid to accelerate the reaction,197 the use of ethane to avoid the formation of CO which can poison the catalysts.^{227,228} However, another important reason is for solubility where the low polarity of CO₂ is not enough to dissolve large molecules like fatty acid methyl esters²²⁹ or more polar ones like carboxylic acids.²³⁰ Other expanding gases, such as propane or fluoroform, are much better solvents than CO₂. This means that the pressures needed to reach single phase conditions with the pure fluid are accessible enough to negate the low pressure advantage normally associate with GXLs.

Table 1 Reactions in class I and II CXLs

| Reaction | Substrate(s) | Added solvent(s) | Catalyst(s) | T/°C | P/bar | CXL> SCF? ^a | >ambient P ? b | Comment(s) | Ref. |
|--|---|------------------|---|-------|--------|---------------------------|---------------------|---|---------|
| Acetal formation | Cyclohexanone + MeOH or ethylene glycol | _ | In situ carbonic acid | 25–50 | 1–60 | _ | Yes | Increasing the pressure too high reduces the liquid phase polarity, which hinders the carbonic acid dissociation equilibrium and therefore the reaction rate. | 197 |
| Acylation | Anisole + acetic anhydride | _ | Various solid acids | 50–90 | 50–155 | _ | No | Continuous-mode slurry reactor, catalyst could be reactivated completely by treating with boiling 40% HNO _{3(aq)} . | 231 |
| Aldol Condensation | Propionaldehyde | _ | None, MgO, or MgO with H ₂ O or dilute HCl _(aq) | 80 | 1–170 | _ | _ | Single phase operation favours the enal product and CXL operation the aldol. | 232 |
| Aldol Condensation + Hydrogenation | Crotonaldehyde + H ₂ | _ | 1 wt% Pd/Amberlyst- 15 | 60 | 40–160 | No | _ | Continuous flow fixed bed, catalyst deactivated over time. | 189,191 |
| Alkylation | 1-Butene + isobutene | _ | SiO ₂ -supported Nafions or a zeolite | 75–95 | 79–166 | No | _ | Continuous-mode slurry reactor; best performance was with periodic pressure increases to extract coke from the catalyst. | 233 |

Table 1 (Contd.)

| Reaction | Substrate(s) | Added solvent(s) | Catalyst(s) | T/°C | P/bar | CXL> SCF? ^a | >ambient <i>P?</i> ^b | Comment(s) | Ref. |
|-----------------------------|---|--|---|---------|----------|---------------------------|---------------------------------|---|---------|
| Alkylation | Phenol + cyclohexanol or cyclohexene | _ | γ-Al ₂ O ₃ | 275–300 | 100-150 | No | _ | Continuous fixed bed, second phase formed from water by-product probably responsible for catalyst deactivation | 190 |
| Alkylation | Anisole + ⁿ PrOH | _ | Various solid acids | 100-250 | 200–400 | _ | _ | Continuous flow fixed bed; pressure dependence depended on the catalyst support. | 181 |
| Alkylation | Triphenylmethanol + anisole | _ | TFA or AcOH | 23–118 | 1–346.7 | Yes | No | Solventless operation at ambient pressure gave the highest conversion but reaction was very exothermic so unsuitable for scale-up. | 234 |
| Carboxylation | Pyrrole + CO ₂ (+ NH ₄ OAc to deprotonate the product) | KH ₂ PO ₄ aqueous buffer | Bacillus megaterium PYR 2910 decarboxylase | 40 | 65 | _ | _ | Conducted both in batch and in continuous upflow. | 192 |
| Carboxylation | Range of ketones + CO ₂ | Neat (no added solvent), THF or MeOH | DBU | 0–40 | 20–60 | _ | _ | Competing decarboxylation is less in the absence of solvent; one-pot carboxylation-hydrogenation also attempted. | 235 |
| Complexation | Indomethacin + Cu(OAc) ₂ | DMF | _ | 25 | 59 | _ | _ | Precipitation of product drives equilibrium and simultane- ously micronises the product for pharmaceutical application. | 213 |
| Condensation | 2,3,6- Trimethylhydroquinone + isophytol | _ | CO ₂ -soluble fluorinated Brønsted acids, or solid acids | 100 | 1–350 | No | Yes | Presence of a (polar) liquid phase leads to production of undesired side-products. | 236 |
| Coupling | Butadiene + CO ₂ | _ | [$(\eta^5-C_5H_5)Pd(\eta^3-C_3H_5)$] + nitrile substituted phosphine ligands + BTD | 60–85 | 46.3–143 | Yes | _ | Scaled up to 1 L reactor size; reaction could not be run as a single phase due to low catalyst solubility. | 202 |
| Coupling | Diazodiphenylmethane + H ₂ O or various alcohols or glycols | Acetone | In situ carbonic acid | 23–40 | 15–100 | _ | _ | Used to infer the presence of carbonic or alkylcarbonic acids formed <i>in situ</i> from CO ₂ + H ₂ O. | 57,223 |
| Coupling | Phenyl iodide + diphenylacetylene + phenylboronic acid + CsOAc | МеОН | PdCl ₂ | 100 | 0–100 | _ | Yes | Increasing pressure increased the yield up to a threshold pressure of 60 bar after which no further changes were observed. | 237 |
| Coupling (Heck) | Styrene or butyl acrylate + iodobenzene | H ₂ O or ethylene glycol | Pd(OAc) ₂ with TPPTS and NEt ₃ | 60 | 1–140 | Yes | _ | Higher conversions at ambient pressure but less leaching as a CXL than without CO ₂ ; on depressurisation catalyst is in a separate liquid phase to the product. | 238 |
| Coupling (Heck) | Methyl acrylate + aryl bromides + NEt ₃ | Toluene | $Pd(OAc)_2 + PPh_3$ | 130 | 1-130 | _ | _ | Pressure effect was highly substrate-dependent. | 239 |
| Dehydration | 1,4-Butanediol | H_2O | - | 250–300 | 97–112 | _ | Yes | Includes mechanistic study using other solid acid catalysts to determine the role of CO ₂ . | 240 |
| Dehydration | Diols or triols | H_2O | In situ carbonic acid | 300 | 177–255 | _ | Yes | Presence of CO ₂ substantially accelerated the reaction. | 241,242 |
| Dehydrogenation | 1-Phenylethanol | _ | 0.5 wt% Pd/Al ₂ O ₃ | 80–165 | 25–190 | Yes | _ | Continuous flow fixed bed reactor, no catalyst deactivation over 300 hours. | 182 |
| Diazotisation + Coupling | N-heterocycle + substituted aminobenzene + sodium or isoamyl nitrite | H ₂ O | In situ carbonic acid | 35–40 | 5–65 | _ | _ | Searly example of intentional <i>in situ</i> acid catalysis with pressurised CO ₂ . | 243 |
| Diazotisation + Coupling | N,N-diethylaniline + p-nitroaniline + NaNO ₂ | H ₂ O | In situ carbonic acid | 10-80 | 1–214 | _ | Yes | In situ acid catalysis meant that the use of the usual high concentrations of mineral acids could be avoided. | 244 |
| Diazotisation + Coupling | Aniline + isoamyl nitrite or NaNO ₂ , then + N,N-dimethylaniline or KI (one-pot synthesis) | MeOH, THF or neat | In situ carbonic acid | 5–50 | 10–47 | _ | _ | Higher temperatures reduced the product yield; presumably due to decomposition of the intermediate diazobenzene. | 225 |
| Diels-Alder | Isoprene + methyl acrylate, methyl vinyl ketone or acrolein | Toluene or EtOH | SiO ₂ -Al ₂ O ₃ | 80 | 1–160 | Yes | No | Authors suggest that the change in reactivity is due to changes in the interactions of the reactants with CO ₂ as observed <i>via</i> FTIR. | 239 |

Table 1 (Contd.)

| Reaction | Substrate(s) | Added solvent(s) | Catalyst(s) | T/°C | P/bar | CXL> SCF? ^a | >ambient <i>P</i> ? ^b | Comment(s) | Ref. |
|---------------------------|---|--|--|-------|----------|---------------------------|----------------------------------|---|-----------------|
| Direct reaction | H ₂ + O ₂ | Aqueous stabilisers | Homogeneous CO ₂ -soluble Pd(0) or (II) | 22–25 | 172 | _ | _ | Reaction takes place in the vapour phase with H ₂ O ₂ condensing into the aqueous phase; dilution of vapour phase by CO ₂ reduces explosion risks; see also cyclohexene epoxidation below. | 168,169 |
| Electro- carboxylation | Butadiene or 1,4-dibromobut-2-ene (Bu ₄ NBr or Bu ₄ NBF ₄ as supporting electrolytes) | DMF | _ | 25 | 1–40 | _ | Yes | Poor yields due to competing reactions; different cathode materials (Pb, Cu or stainless steel) gave significantly different | 245 |
| Electro- carboxylation | 1,4-Dibromoperfluoro- butane, trifluoromethyl iodide or perfluorobutyl iodide (Bu ₄ NBF ₄ as supporting electrolyte) | МеОН | _ | 25–50 | 30–50 | _ | _ | product distributions. Increased CO ₂ pressure did not improve yields much since the rate of addition of CO ₂ to the fluorinated anion intermediate was too slow. | 246 |
| Electro- carboxylation | Benzyl chloride (BuN ₄ ClO ₄ or (decyl) ₄ NBPh ₄ as supporting electrolytes) | DMF or neat | _ | 40 | 1–120 | Yes | Yes | Yields and selectivities much poorer when performed without DMF. | 247 |
| Epoxidation | Cyclohexene + O ₂ or PhIO | MeCN | TPPFeCl or PFTPPFeCl homogenous, or MCM-41- immobilised PFTPPFeCl | 25–80 | 1–127 | Yes | Yes | Leaching was less with the CXL than in the absence of CO_2 . | 115,142,248,249 |
| Epoxidation | Propene + H ₂ O ₂ | MeOH, MeCN, ⁱ PrOH or ^t BuOH | MeReO ₃ , optionally with <i>N</i> -bases | 25–70 | 2.7–49.3 | _ | No | Pressurisation with N_2 was more effective than with CO_2 . | 125,129 |
| Epoxidation | Cyclohexene + H_2O_2 (formed in situ from H_2 + O_2) | Neat or | Homogeneous Pd(0) or (II) or 1 wt% Pd/C + | 25 | 131 | _ | _ | H ₂ O ₂ forms in CO ₂ -rich phase and then condenses into the aqueous phase. | 168,169 |
| Epoxidation | Cyclohexene + H ₂ O ₂ , optionally with NaHCO ₃ or hydroxy-1,1-ethanephosphinic acid (HEDP) | Neat, H ₂ O, DMF, DMA, MeOH, PC or MeCN | zeolite TS-1 None or NaHCO ₃ | 40 | 120 | _ | _ | Peroxycarbonic acid formed <i>in situ</i> catalyses reaction. | 250 |
| Epoxidation | 3-Cyclohexen-1- carbxylate sodium salt + H ₂ O ₂ + hydroquinone as stabiliser | H ₂ O, optionally with DMF | None or NaHCO ₃ | 40 | 120 | | _ | Peroxycarbonic acid formed in situ catalyses reaction—used to show reaction takes place in aqueous phase. | 250 |
| Epoxidation | Alkene + H ₂ O ₂ | EtOH + NaOH _(aq) buffer, with or without an ionic surfactant | None or tetraheptyl ammonium bromide | 23–24 | 241 | _ | _ | Reaction believed to go <i>via</i> a peroxycarbonate intermediate. | 169 |
| Epoxidation | Alkene + H ₂ O ₂ | H ₂ O, optionally with MeCN, THF or ^t BuOH | Pyridine or pyridine- <i>N</i> -oxide | 30–40 | 48–60 | Yes | _ | CO ₂ used to homogenise organic and aqueous components; <i>in situ</i> peroxycarbonic acid formation cited as the reason for the good performance. | 210 |
| Epoxidation | Cyclohexene + pivaldehyde + O ₂ | FC-75–90% fluorinated butyltetra- hydrofuran | Co(II) carboxylate | 25 | 0–70 | _ | _ | (fluorous) and reactant (organic) phases miscible for reaction, and then depressurised to separate the phases for catalyst recycling | 251 |
| Esterification | AcOH + EtOH | _ | In situ carbonic acid | 60 | 58.6 | _ | _ | Shifts equilibrium due to CO ₂ extraction of EtOAc from liquid into vapour phase | 252 |
| Esterification | AcOH + EtOH | _ | p-TsOH | 60 | 60–160 | Yes | Yes | Shifts equilibrium due to CO ₂ extraction of EtOAc from liquid into vapour phase. | 253 |

Table 1 (Contd.)

| Reaction | Substrate(s) | Added solvent(s) | Catalyst(s) | T/°C | P/bar | CXL> SCF? ^a | >ambient <i>P?b</i> | Comment(s) | Ref. |
|--------------------------------|---|---|---|---------|---------|---------------------------|---------------------|---|---------|
| Esterification | Ethylene glycol + | _ | p-TsOH | 50 | 0-200 | _ | _ | Phase behaviour dictates | 254 |
| Esterification | propionic acid Oleic acid + 1-dodecanol | _ | Lipozyme RM IM (immobilised lipase from Rhizomucor | 60 | 60–410 | Yes | _ | equilibrium concentrations. Phase behaviour of reaction investigated thoroughly. | 255 |
| Esterification | Glycerol + acetic acid | _ | miehei) Amberlyst 15 | 100-150 | 65–300 | _ | _ | Continuous flow fixed bed reactor; catalyst stable over 25 h | 256 |
| Esterification | Citronellol + lauric acid | Acetone, <i>n</i> -heptane, or 2-methyl-2-butanol | Immobilised lipase Novozym 435 (<i>Candida</i> <i>Antarctica</i>) | 50-70 | 80–200 | _ | _ | on stream. Water immiscibility of acetone (under CO ₂ pressure) blamed for poorer performance compared to other co-solvents. | 257 |
| Esterification | Glucose + palmitic acid | | Novozym 435 | 40–60 | 1–105 | _ | Yes | Suggested mechanism has the reaction taking place in the vapour phase (where there is less H ₂ O) to allow the equilibrium to be shifted. | 258 |
| Esterification | Caffeic acid + sucrose | K ₃ PO _{4(aq)} | Sucrose | 42 | 0-250 | _ | No | In situ carbonic acid believed to deactivate enzyme catalyst. | 214 |
| Etherification | 1,6-Hexanediol or 1,4-propandiol + MeOH, EtOH or ⁿ PrOH | buffer — | phosphorylase Amberlyst 15 | 110–170 | 40–425 | _ | _ | Continuous flow fixed bed reactor; CXL favours di-etherification and scCO ₂ mono-etherification. | 183 |
| Etherification (cyclisation) | 1,4-Butandiol | MeOH | Deloxan ASP | 100-200 | 60–200 | _ | _ | Continuous flow fixed bed reactor. | 174 |
| Formylation | Morpholine + CO ₂ + H ₂ | Neat, H ₂ O or NEt ₃ | RuCl ₂ (dppe) ₂ | 100 | 215 | _ | _ | Initial part of reaction not a CXL as only solid and vapour phases present—increasing quantities of product result in | 259 |
| Hydration | Cyclohexene + H ₂ O | _ | In situ carbonic | 300 | 0-55 | _ | Yes | an additional liquid phase. CO ₂ enhances reaction rate. | 260 |
| Hydroformylation | 1-Hexene + CO + H ₂ | Toluene | acid Homogeneous or SiO ₂ - immobilised Rh(acac) ₃ + PEtPh ₂ | 75 | 181.6 | Yes | Yes | n/iso ratio largely unchanged in the presence of CO_2 . | 261 |
| Hydroformyl- ation | 1-Octene + CO + H ₂ | Acetone or neat | Various homogeneous Rh | 30–90 | 6–210 | Yes | Yes | Increasing the CO ₂ pressure at the end of the reaction precipitates the catalyst so it | 124,262 |
| Hydroformyl- ation | 1-Octene + CO + H ₂ | _ | Rh(acac)(CO) ₂ + ionic tri- alkylphosphine ligands | 100 | 125–140 | _ | _ | can be separated and reused. Continuously fed batch vessel with CO ₂ -assisted extraction of products from catalyst- containing liquid phase; Rh leaching could be kept very low. | 171 |
| Hydroformyl- | Cyclohexene + CO ₂ + | Neat or | Ru ₃ (CO) ₁₂ with | 50-150 | 20-80 | _ | _ | CO formed in situ from CO ₂ | 221 |
| ation Hydroformyl- ation | H ₂ Styrene or 2-vinylnapthalene + CO + H ₂ | NMP — | or without LiCl [Rh(cod) ₄](BF ₄) + (R,S)-3-H ² F ⁶ -BINAPHOS | 45 | 205–220 | _ | _ | hydrogenation. CO ₂ reduces melting point of 2-vinylnaphthalene; ligand designed to reduce the required fluorination. | 199 |
| Hydroformyl- ation | Functionalised bicyclic internal alkene + CO + $\rm H_2$ | H ₂ O with or without MOPS buffer | Rh(acac)(CO) ₂ with and without P(C ₆ H ₄ - <i>p</i> - (CH ₂) ₂ C ₆ F ₁₃) ₃ , or | 60 | n/a | _ | _ | Semi-batch operation with catalyst retained in CO ₂ -rich phase; H ₂ O-rich liquid phase could be periodically replaced with fresh reactants. | 165 |
| Hydrogenation | Cyclohexene + H ₂ | _ | Rh(hfac)(CO) ₂ 5 wt% Pd + 5% wt% Pt/Deloxan | >100 | 60–80 | _ | _ | Continuous flow fixed bed reactor. | 173 |
| Hydrogenation | Cyclohexene + H ₂ | _ | APII 1 wt% Pd/Amberlyst | 60 | 40–180 | No | _ | Catalyst activity good long-term. | 191 |
| Hydrogenation | Cyclohexene + H ₂ | _ | 15 1 wt% Pd/SiO ₂ | 40 | 0-140 | No | Yes | Catalyst leaching during CXL operation was comparable to | 263 |
| Hydrogenation | Tetralin + H ₂ | _ | 5 wt% Rh/C or Al ₂ O ₃ | 60 | 60-340 | _ | _ | conventional organic solvents. Single phase favours higher conversion but lower selectivity. | 264 |

Table 1 (Contd.)

| Reaction | Substrate(s) | Added solvent(s) | Catalyst(s) | T/°C | P/bar | CXL> SCF? ^a | >ambient <i>P</i> ? ^b | Comment(s) | Ref. |
|---------------|--|---|--|---------|-----------|---------------------------|----------------------------------|--|--------|
| Hydrogenation | Tetralin + H ₂ | Decane | 0.5 wt% Pt/γ-Al ₂ O ₃ | 260–320 | 34.5–89.6 | Yes | Yes | Continuous fixed bed reactor; also run with CO ₂ in the absence of a vapour phase in upflow ("liquid-full mode") to decouple mass transfer effects from kinetics; a relatively small amount of CO ₂ is required in the gas feed for optimum benefits (25 | 123 |
| Hydrogenation | Naphthalene + H ₂ | _ | 5 wt% Rh/C | 60 | 110–280 | _ | _ | wt% CO ₂). Single phase favours higher conversion but lower selectivity; CO ₂ lowers melting point of naphthalene so that it is a liquid | 198 |
| Hydrogenation | Styrene + H ₂ | H_2O | RhCl(TPPDS) ₃ | 40 | 275.9 | _ | Yes | under reaction conditions. CXL was not as good as a | 265 |
| Hydrogenation | Styrene, citral or nitrobenzene + H ₂ | _ | 5 wt% Pd/C | 50 | 0-130 | _ | Yes | CO ₂ +H ₂ O emulsion. Conversion did not change significantly between CO ₂ , N ₂ or | 266 |
| Hydrogenation | Styrene + H ₂ | Cyclohex- ane | [RhCl(P(C_6H_4 - p -CH ₂ CH ₂ (CF ₂) ₂ F) ₃) ₃] + fluorous silica | 40 | 90 | _ | _ | H ₂ vapour phases. CO ₂ pressure also used to precipitate catalyst so that it sticks to the fluorous silica, ready for re-use in further reactions. | 204 |
| Hydrogenation | α -Methylstyrene + H ₂ 2 | _ | 1 wt% Pd/C | 50 | 70–130 | _ | _ | Improved rate of reaction believed to be due to increased H ₂ solubility in liquid phase. | 267 |
| Hydrogenation | Limonene + H ₂ | _ | 1 wt% Pd or Pt/C | 50 | 125–160 | Yes | _ | Batch vessel with liquid phase continuously recirculated through a fixed bed reactor; limonene concentration in liquid phase a more important factor than the H ₂ concentration in the liquid phase. | 128,13 |
| Hydrogenation | α-Pinene | _ | 1 or 10 wt% Pt/C | 50 | 70–170 | Yes | _ | Batch vessel with liquid phase continuously recirculated through a fixed bed reactor; α-pinene adsorption on to the catalyst is the rate-determining step at single-phase conditions—not the availability | 207,20 |
| Hydrogenation | Phenylacetylene + H ₂ | _ | $\begin{array}{c} Amorphous \\ Pd_{81}Si_{19} \end{array}$ | 55–85 | 50–200 | No | _ | of H ₂ . Continuous flow fixed bed reactor; H ₂ excess needed to be minimised for single-phase conditions to be reasonably accessible. | 175 |
| Hydrogenation | Dehydroisophytol + H ₂ | _ | Amorphous Pd ₈₁ Si ₁₉ | 42–120 | 50-250 | No | _ | Continuous flow fixed bed reactor. | 178 |
| Hydrogenation | Benzaldehyde + H_2 | _ | 5 wt% Pt/C | 50 | 100-200 | _ | No | FTIR studies on interactions of benzaldehyde with CO ₂ . | 119 |
| Iydrogenation | Acetophenone + H ₂ | _ | 5 wt% Pd/Deloxan | 240 | 40–140 | _ | _ | Different pressures favoured different products | 173 |
| Hydrogenation | 1- or 2-phenylethanol + H_2 | _ | APII 5 wt% Pt, Pd, Rh or Ru/C or | 50 | 80–230 | No | _ | 5 wt% Ru/C had the highest selectivity to the desired | 268 |
| Hydrogenation | Allyl alcohol + H ₂ | FC-40 (95% perfluorin- ated tributyl- amine) | γ-Al ₂ O ₃ Fluorous- soluble dendrimer encapsulated Pd ⁰ nanoparticles | 25 | 0–70 | _ | Yes | cyclohexylethanols. CO ₂ used to make catalyst (fluorous) and reactant (organic) phases miscible for reaction, then depressurised to separate the phases for catalyst recycling. | 251 |
| Hydrogenation | Maleic anhydride + H ₂ | —————————————————————————————————————— | RuCl ₂ (PPh ₃) ₃ | 140–200 | 0–160 | No | Yes | CO ₂ extracted out product from the H ₂ O produced in | 269 |
| Hydrogenation | Maleic anhydride + H ₂ | _ | 1 wt% | 100-225 | 42–141 | No | Yes | reaction—reducing hydrolysis. Catalyst loses selectivity after recycling more than 7 times. | 270,2 |
| Hydrogenation | Levulinic acid + H ₂ | H_2O | Pd/Al ₂ O ₃ 5 wt% Pd/Al ₂ O ₃ | 180–200 | 100 | _ | _ | recycling more than / times. Continuous flow fixed bed reactor; γ-valerolactone can be separated conveniently from the H ₂ O via phase separation post- reactor without depressurisation. | 188 |
| Hydrogenation | 2-Butyne-1,4-diol + H ₂ | _ | Ni and Mn contained in SS 316 reactor wall | 50 | 100–200 | _ | _ | CO ₂ lowers melting point of 2-butyne-1,4 diol so that it can be a liquid at the reaction conditions. | 200 |

Table 1 (Contd.)

| Reaction | Substrate(s) | Added solvent(s) | Catalyst(s) | T/°C | P/bar | CXL> SCF? ^a | >ambient <i>P?b</i> | Comment(s) | Ref. |
|---------------|---|-------------------------------|---|--------|-----------|---------------------------|---------------------|--|-------------|
| Hydrogenation | 2-Butylphenol | _ | 5 wt% Rh/C with or without HCl | 40–80 | 0–220 | No | Yes | Presence of HCl improves selectivity for the <i>cis</i> product. | 272 |
| Hydrogenation | Benzophenone imine + H ₂ | THF | [Rh(cod)- (PPh ₃) ₂] ⁺ [PF ₆] ⁻ | 23–25 | 0-30 | Yes | _ | CO ₂ protects amines <i>in situ</i> from further reaction to produce secondary amines. | 216 |
| Hydrogenation | Benzonitrile or phenylacetonitrile + H ₂ | THF | $RhH(P^iPr_3)_3$ | 23–25 | 0–25 | _ | _ | CO ₂ presented as an easily removable alternative protecting group to di- <i>tert</i> -butyl carbonate. | 216 |
| Hydrogenation | Nitrobenzene + H ₂ | _ | 5 wt% Pt, Pd, Ru or Rh/C, SiO ₂ or Al ₂ O ₃ | 35 | 100–180 | _ | _ | Single phase improves selectivity but reduces conversion. | 273,274 |
| Hydrogenation | p -Chloronitrobenzene + H_2 | МеОН | Ni-B nanoparticles | 70–90 | 35.4–70.9 | _ | Yes | CO ₂ shown to enhance reactivity by comparing the reaction with different partial pressures of CO ₂ (the balance being H ₂) at the same <i>total</i> pressure. | 127 |
| Hydrogenation | <i>o</i> -Chloronitrobenzene + H ₂ | _ | 5 wt% Pd/C | 35 | 0–200 | _ | Yes | Selectivity to <i>o</i> -chloroaniline increased when performed in a | 275 |
| Hydrogenation | <i>o</i> -Chloronitrobenzene + H ₂ | _ | 1 wt% Pt/C | 40 | 0–161 | No | Yes | single-phase. Presence of CO ₂ suppressed dechlorination. | 276 |
| Hydrogenation | Substituted nitrobenzenes + H ₂ | _ | 5 wt% Pt/C | 50 | 90–180 | No | Yes | Selectivity and conversion improved under single phase conditions. | 277 |
| Hydrogenation | 2-Cyclohexen-1-one | _ | 1 wt% Pt/MCM-48 | 40 | 90–160 | No | _ | Selectivity significantly improves under single phase conditions. | 278 |
| Hydrogenation | Isophorone + H ₂ | _ | 2 wt% supported Pd or 5 wt% Pd/Deloxan | 70–116 | ~120 | Yes | _ | Continuous flow fixed bed—reaction successfully scaled-up to 1000 tonnes per annum. | 170,279 |
| Hydrogenation | 4-Oxoisophorone + H ₂ | _ | 1 wt% Pd/Al ₂ O ₃ | 50-200 | 99–212 | No | Yes | Catalyst deactivated more slowly in the presence of CO ₂ | 280 |
| Hydrogenation | Cinnamaldehyde + H ₂ | H_2O | RuCl ₃ + PPh ₃ , Pd(OAc) ₂ or | 40–50 | 40–140 | Yes | Yes | than in MeOH. Different products could be formed selectively with different | 281 |
| Hydrogenation | Cinnamaldehyde + H_2 | Neat, H ₂ O or DMF | RhCl ₃ + TPPTS RuCl ₃ + PPh ₃ , PPh ₂ (C ₆ F ₅), PPh(C ₆ F ₅) ₂ or TPPTS | 50–70 | 60–220 | Yes | Yes | catalysts. Reaction run in different configurations with 1, 2 or 3 fluid phases. | 120 |
| Hydrogenation | Cinnamaldehyde + H ₂ | H_2O | Ru(H)(Cl) (TPPTS) ₃ or Ru(H) ₂ (TPPTS) ₄ | 70 | 120-200 | Yes | _ | Reaction run in either 2 or 3 fluid phases. | 282 |
| Hydrogenation | Cinnamaldehyde + H ₂ | _ | $Ru(H)_2(HHHS)_4$ $RuCl_3 +$ $PPh(C_6F_5)_2$ | 50 | 125–200 | Yes | Yes | Both conversion and selectivity superior in a CXL. | 283 |
| Hydrogenation | Cinnamaldehyde + H ₂ | _ | 5 wt% Pt/C | 50 | 100-200 | No | Yes | FTIR studies on interactions of cinnamaldehyde with CO ₂ . | 119 |
| Hydrogenation | Cinnamaldehyde + H ₂ | _ | Pt/Al, Si or Ti-modified MCM-48 (or unmodified) | 20–80 | 7–210 | _ | _ | Different catalysts performed better in different phase systems, and all catalysts could be easily recycled with minimal deactivation. | 284– 286 |
| Hydrogenation | Cinnamaldehyde + H ₂ | _ | 10 wt% Pd/C, optionally with KOAc or K ₂ CO ₃ | 50 | 40–180 | _ | Yes | Conversion good but selectivity moderate. | 287 |
| Hydrogenation | Cinnamaldehyde + H ₂ | _ | Ru–Pt/MCM- 48 | 50 | 100–210 | Yes | Yes | Catalyst very selective for formation of the unsaturated | 288 |
| Hydrogenation | α,β -Unsaturated aldehyde + H ₂ | _ | $1~wt\%~Pt/Al_2O_3$ | 50 | 70–220 | No | _ | alcohol. Selectivity showed strong pressure dependence. | 289,290 |
| Hydrogenation | Citral + H ₂ | _ | RuCl ₃ , RhCl ₃ , Pd(OAc) ₂ or Ni(OAc) ₂ with PPh ₃ | 65 | 60–160 | No | _ | RuCl ₃ + PPh ₃ particularly selective for the unsaturated alcohols. | 291 |
| Hydrogenation | Citral + H ₂ | _ | 1 wt% Pt/MCM-41 | 35–80 | 40–170 | _ | _ | Possible to tune product selectivity between <i>cis</i> - and <i>trans</i> -products by changing the CO ₂ density. | 292 |
| Hydrogenation | Citral + H ₂ | _ | 1 wt% Pd/MCM-48 | 50 | 110–210 | _ | Yes | Complete hydrogenation of both of the C=C bonds in citral possible. | 293 |

Table 1 (Contd.)

| Reaction | Substrate(s) | Added solvent(s) | Catalyst(s) | T/°C | P/bar | CXL> SCF? ^a | >ambient <i>P</i> ? ^b | Comment(s) | Ref. |
|---------------|---|---|---|---------------|----------|---------------------------|----------------------------------|--|---------------------|
| Hydrogenation | Citral + H ₂ | _ | 1 wt% Pt or 3.5 wt% Pt + Ru/MCM-48 | 35–70 | 100–210 | _ | _ | Negligible catalyst deactivation; different catalysts preferred different | 294 |
| Hydrogenation | Citral + H ₂ | _ | $\begin{array}{c} 5~wt\% \\ Pd/Al_2O_3 \end{array}$ | 40 | 30–190 | No | _ | numbers of phases. Performed in batch and continuous flow fixed bed reactors; the batch reaction resulted in much less hydrogenation of the isolated C=C bond in citral. | 179 |
| Hydrogenation | Unsaturated aldehyde + H_2 | _ | 0.5 or 1 wt% Pd/Al ₂ O ₃ (3 mm egg-shell pellets) | 50–300 | 120–200 | _ | | Kinetics from a Berty-type differential internal recycle reactor; applied to a pilot scale 2 m long (4 L volume) continuous flow fixed bed reactor (downflow). | 111,172,212 |
| Hydrogenation | $\label{eq:methyl} \begin{array}{ll} Methyl \\ 2\text{-acetamidoacrylate} + \\ H_2 \end{array}$ | _ | $[Rh(cod)_2](BF_4) + (R,S)-3-H^2F^6-BINAPHOS$ | 40 | n/a | _ | _ | Asymmetric hydrogenation; CO ₂ reduces melting point of solid substrate; ligand designed to reduce necessary fluorination. | 199 |
| Hydrogenation | Polar alkenes + H ₂ | H ₂ O | [Rh(cod) ₂](BF ₄) optionally with fluorinated phosphines | 40–58 | ~260 | _ | _ | Catalyst in CO ₂ -rich phase and reactants in aqueous phase; catalyst could be recycled up to 9 times without depressurisation. | 166,167 |
| Hydrogenation | 2-(6'-Methoxy-2'- napthyl)acrylic acid + H ₂ | МеОН | [(S)- Ru(BINAP)Cl]Cl + p-cymene + NEt ₃ | 5–45 | 95–128 | _ | _ | Catalyst believed to have deactivated with the trace O ₂ present in the CO ₂ . | 295,296 |
| Hydrogenation | rac-Sertraline imine + H ₂ | THF | 5 wt% Pd/CaCO ₃ , 5 wt% Pd or Pt/C | 25–120 | 0–175 | _ | Yes | Very high diastereoselectivity; performance better than | 33 |
| Hydrolysis | β -Pinene + H_2O | MeOH, EtOH or acetone | In situ carbonic acid | 25–75 | 14–21 | _ | Yes | published Pfizer process. Probably LLV phase system with CO ₂ enhancing miscibility of the two liquid phases. | 224 |
| Hydrolysis | Sunflower or soybean oil + H_2O | Phosphate buffer | Lipase 100T (from Aspergillus niger) | 30–60 | 0–200 | _ | Yes | Continuous flow membrane reactor; membranes used to retain enzyme inside reactor. | 297 |
| Hydrolysis | $ { Carboxymethylcellulose } \\ + H_2O $ | Phosphate buffer | Cellulase from Humicola insolens immobilised on polysulfon membrane | 45 | 0–100 | _ | Yes | Continuous flow tubular membrane reactor; catalyst immobilised on membrane which simultaneously separates the products from unreacted substrates. | 297 |
| Oxidation | Cyclohexane + O ₂ | _ | PFTPPFeCl | 32–70 | 1–190 | Yes | Yes | Best reaction outcome is close to the critical pressure. | 298 |
| Oxidation | Cyclohexane + O ₂ | _ | MnAPO-5 molecular sieve | 125 | N/A | _ | _ | Conversion increased in a CXL, but selectivity better when a single phase. | 299 |
| Oxidation | Cyclohexane or various cyclic alkenes or aromatics $+ O_2$ | АсОН | Co(OAc) ₂ or Co(salen) + N- hydroxysuccinam | 21–125 ide | 8.3–65.8 | _ | Yes | CO ₂ reduces induction period, some unexpected yet selective products formed. | 300 |
| Oxidation | Xylenes + O ₂ | $\begin{array}{l} {\rm AcOH} + \\ {\rm H_2O} \end{array}$ | Co(OAc) ₂ + Mn(OAc) ₂ + HBr | 185–195 | 28 | _ | Yes | O ₂ + CO ₂ believed to form a peroxycarbonate complex in situ. | 301–303 |
| Oxidation | Toluene or <i>p</i> -toluic acid + O ₂ | АсОН | Co(OAc) ₂ and mixtures with other metal acetates and ketones | 80 | 60 | _ | Yes | CO ₂ reduced the induction period substantially. | 304 |
| Oxidation | DTBP + O_2 | MeCN or CH ₂ Cl ₂ | Co ^{II} (salen), Co ^{II} (salen*) or Co ^{II} (acacen), with optional basic axial ligands | 25–90 | 1–207 | Yes | Yes | Increased polarity of CXL versus scCO ₂ improves conversion by stabilising the transition state; includes mechanistic studies. | 115,116,142,248,305 |

Table 1 (Contd.)

| Reaction | Substrate(s) | Added solvent(s) | Catalyst(s) | T/°C | P/bar | CXL> SCF? ^a | >ambient <i>P?</i> ^b | Comment(s) | Ref. |
|--------------------------------|--|--------------------------------------|--|----------|-----------------|---------------------------|------------------------------------|--|----------------|
| Oxidation | DTBP + O_2 | MeCN | Co ^{II} (salen)- based, co-polymerised into PMMA | 35–80 | 1–125 | No | _ | Catalyst nature meant that the superior mass transport properties of scCO ₂ were necessary for good conversions. | 306 |
| Oxidation | 2- or 1-octanol + O ₂ | _ | 0.5 wt% Pd/Al ₂ O ₃ | 80–140 | 75–125 | _ | Yes | Continuous flow fixed bed; all reactions performed under a biphasic system; no deactivation observed. | 176 |
| Oxidation | Benzyl alcohol + O_2 | Neat or toluene | 0.5 wt% Pd/Al_2O_3 (optionally with 0.05 wt\% Pb) or C, or 0.5% Pt or Ru/Al_2O_3 | 60–100 | 65–170 | No | _ | Continuous flow fixed bed; no catalyst deactivation observed; in situ XAS and ATR-IR of catalyst; transmission IR of fluid phases. | 177,180,184,18 |
| Oxidation | Cinnamyl alcohol + O ₂ | Toluene, THF or acetone | 0.5 wt% Pd, Pt or Ru/Al ₂ O ₃ | 80 | 90–170 | Yes | _ | Continuous flow fixed bed; <i>in situ</i> ATR-IR, transmission IR, XANES and EXAFS. | 185 |
| Oxidation | Geraniol + O ₂ | | 5 wt% Pd/Al ₂ O ₃ or SiO ₂ | 80 | 30–195 | Yes | _ | Continuous flow fixed bed, <i>in situ</i> ATR-IR and transmission IR; significant coking meant conversion and selectivity never stabilised properly. | 186 |
| Oxidation | Benzyl alcohol, geraniol or 1-octanol + O_2 | _ | 1 wt% Au/TiO ₂ , C or Fe ₂ O ₃ | 80–120 | 133–167 | Yes | Yes | Base not required for reaction; observation of reaction by transmission IR. | 307 |
| Oxidation | Cyclohexane + H ₂ O ₂ | Neat, AcOH, EtOH or acetone | Zeolite TS-1 | 60–80 | 0–180 | _ | _ | Increasing CO ₂ pressure increases yield in three-phase region until transition to two phases where further influence is limited. | 94 |
| Oxidation | Cyclohexene + NaIO ₄ , Ce(IV) with AcOOH, or NaOCl | H ₂ O | RuO ₄ | 40 | 166.5– 275.9 | _ | _ | Bicarbonate produced from CO ₂ dissolution in aqueous phase believed to be responsible for poor catalyst activity. | 308 |
| Oxybromin-ation | Phenols or anilines + NaBr, KBr, NH ₄ Br or Bu ₄ NBr + H ₂ O ₂ (optionally with NaHCO ₃) | H_2O | _ | 40 | 100–110 | _ | Yes | In situ formation of peroxycarbonic acid ³⁰⁹ believed to be responsible for the improvement in performance. | 250 |
| Reduction | Aryl alcohols + Fe | H ₂ O | _ | 130–150 | 0–220 | _ | _ | Addition of buffer solution used to show <i>in situ</i> carbonic acid did not affect reaction outcome. | 310 |
| Reduction | Benzonitrile or phenylacetonitrile + NaBH ₄ | EtOH | NiCl ₂ | 30 | 30 | _ | _ | CO ₂ protects amines <i>in situ</i> from further reaction to produce secondary amines. | 216 |
| Sequential | Alkyne + $CO + H_2O$ | 1,4- dioxane | $PdI_2 + KI$ | 80 | 40–50 | _ | _ | CO ₂ interferes in the catalytic cycle by acting as an H-acceptor. | 311 |
| Synthesis of carbonates | MeOH + MeI + CO ₂ | _ | K ₂ CO ₃ | 80–120 | ~113–146 | _ | _ | Reaction rate is higher in the critical region (<i>i.e.</i> either side of the critical point). | 312 |
| Synthesis of carbonates | MeOH or a dimethyl acetal $+ CO_2$ | _ | Bu ₂ Sn(OMe) ₃ | 180 | 15.2–2027 | No | Yes | Rate continues to increase linearly with increasing pressure after the critical point (304 bar). | 313 |
| Synthesis of carbonates | Alcohol + MeI or EtI + CO ₂ | _ | Inorganic carbonate base | 70 | 0-150 | Yes | Yes | Increasing pressure reduces formation of Me ₂ O by-product. | 314 |
| | Ethylene oxide + CO ₂ | _ | (salen)AIX (X = Cl, Et or OMe) with TBAB, TBACl or TBAI | 10–120 | 40–160 | _ | _ | Temperature drastically changes partitioning of reactants between liquid and vapour phases; phase transitions as a function of conversion result in different rates of reaction at different stages. | 315 |
| Synthesis of cyclic carbonates | Propylene oxide + CO ₂ | _ | SiO ₂ - immobilised Zn ₂ Br ₂ (py) ₂ | 64–149 | 110 | Yes | Yes | Phase behaviour changes significantly as propylene carbonate is formed. | 211 |
| Synthesis of cyclic carbonates | Propylene or styrene epoxides + CO ₂ | _ | Mn ^{III} (substituted salen) homogeneous or immobilised | 1 50–200 | N/A | Yes | _ | Extensive IR and XAS study on liquid phase and catalyst. | 316,317 |
| Synthesis of cyclic carbonates | Styrene + O_2 + CO_2 | THF or toluene | Rh ¹ homogeneous | ~40–80 | 1-50 | _ | No | Styrene oxide formed <i>in situ</i> then coupled with CO ₂ . | 318 |
| | Styrene + ^t BuOOH or H ₂ O ₂ + CO ₂ | | TBAB | 60–90 | 0–180 | _ | _ | Complicated dependence on pressure due to the way the phase behaviour alters with conversion. | 319 |

Table 1 (Contd.)

| Reaction | Substrate(s) | Added solvent(s) | Catalyst(s) | T/°C | P/bar | CXL> SCF? ^a | >ambient P?b | Comment(s) | Ref. |
|--|--|------------------|--|-------|---------|---------------------------|--------------|---|------|
| Synthesis of 2-oxa-zolidinones | Various aminoalcohols + CO ₂ , DCC as dehydrating agent | _ | _ | 20–40 | 150 | No | | Solid carbamic acid/carbamate phase usually present. | 215 |
| Synthesis of alkyl-carbamates | 3,4-Dimethoxy- phenethylamine + DMC or DBC | _ | _ | 1–190 | 1–190 | _ | Yes | Yields were moderate. | 320 |
| Synthesis of alkyl-carbamates + Acyl-Pictet- Spengler | 3,4-Dimethoxy- phenethylamine + DMC or DBC, then aldehyde + 9 mol dm ⁻³ H ₂ SO ₄ or 50 v/v % TFA _(aq) | _ | _ | 130 | 140–160 | _ | _ | Three phases present: H ₂ O-rich liquid, DMC-rich liquid, and CO ₂ -rich vapour phase. | 320 |
| Synthesis of methyl- carbamates | DMC + various primary amines (some hydroxy-substituted) | _ | _ | 5–200 | 130 | Yes | Yes | Three phases present: DMC-rich liquid, molten carbamate-rich liquid, and CO ₂ -rich vapour phase: mechanistic study. | 217 |
| Trans- esterification | Glycerol monostearate + MeOH | _ | $\begin{array}{c} Concentrated \\ H_2SO_{4(aq)} \end{array}$ | 60–70 | 65–105 | _ | Yes | CO ₂ improves mutual miscibility of the 2-liquid phases compared to ambient. | 209 |

^a Does the reaction run better in 2 phases (CXL) than as a single phase with or without the added solvent? ^b Does the reaction work better in 2 phases than under "ambient" conditions (i.e. open to atmosphere, 1 bar CO₂, or a high pressure of an inert gas)?

Table 2 Reactions in class I and II GXLs using gases other than CO₂

| Reaction | Substrate(s) | Added solvent(s) | Catalyst(s) | T/°C | P/bar | GXL> SCF? ^a | >ambient P? ^b | Comment(s) | Ref. |
|------------------|---|---|---|--------|-------------|---------------------------|-----------------------------|--|---------|
| Acetal formation | Cyclohexanone + MeOH | CO ₂ or ethane | In situ carbonic acid | 25 | 40 | _ | _ | Reaction does not occur in the absence of CO ₂ as it is needed to form the acid catalyst <i>in situ</i> . | 197 |
| Epoxidation | Ethylene + 50% $H_2O_{2(aq)}$ | MeOH | MeReO ₃ + pyridine <i>N</i> -oxide | 20–40 | 16–50 | _ | _ | Lower temperatures meant no decomposition of H ₂ O ₂ to form O ₂ as in the conventional process—this would lead to hazardous flammable gas mixtures | 321 |
| Hydration | 1- and 2-butene mixture + H ₂ O | _ | _ | 200 | 200 | _ | _ | Butene in vapour phase strips out 2-butanol from aqueous phase to shift equilibrium. | 3 |
| Hydrogenation | CO ₂ +H ₂ | Neat, ethane, or CHF ₃ with MeOH + NEt ₃ | [RuCl(OAc) (PMe ₃) ₄] | 50 | 0–60 | _ | _ | Ethane reduced TON relative to neat CO ₂ , but fluoroform increased it by ~15%; other solvent additives tried with limited success. | 149 |
| Hydrogenation | Ethyl pyruvate + H ₂ | Ethane or CO ₂ | Cinchonidine- modified 5 wt% Pt/Al ₂ O ₃ | 25–140 | 40–125 | No | _ | Continuous flow fixed bed reactor; CO ₂ a poorer choice of solvent due to formation of poisoning CO from CO ₂ hydrogenation | 227,228 |
| Hydrogenation | Fatty acid methyl esters + H_2 | Propane | Cu-based (Cu-1985 T) then 2 wt% Pd/SiO ₂ - Al ₂ O ₃ zeolite (2 steps) | 280 | 150 | No | _ | Rapid drop in reaction rate with condensation of a liquid phase. | 229 |
| Protonation | Sodium acetate, propionate or butanoate | H ₂ O with CO ₂ + Me ₂ O | — (2 steps) | 60–90 | 120– 220 | _ | _ | In situ carbonic acid protonates the acid salt so it is soluble enough in the vapour phase to be extracted out. | 230 |

^a Does the reaction run better in 2 phases (GXL) than as a single phase with or without the added solvent? ^b Does the reaction work better in 2 phases than under "ambient" conditions (i.e. open to atmosphere, 1 bar CO₂, or a high pressure of an inert gas)?

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