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The visible transmittance and solar modulation ability of VO₂ flexible foils simultaneously improved by Ti doping: an optimization and first principle study †

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The Mott phase transition compound vanadium dioxide (VO₂) shows promise as a thermochromic smart material for the improvement of energy efficiency and comfort in a number of applications. However, the use of VO_2 has been restricted by its low visible transmittance (T_{vis}) and limited solar modulation ability (ΔT_{sol}). Many efforts have been made to improve both of these limitations, but progress towards the optimization of one aspect has always come at the expense of the other. This paper reports that Ti doping results in the improvement of both the $T_{\rm vis}$ and $\Delta T_{\rm sol}$ of VO₂-nanoparticle-derived flexible foils to the best levels yet reported. Compared with an undoped VO_2 foil, a 15% increase (from 46.1% to 53%) in $T_{\rm vis}$ and a 28% increase (from 13.4% to 17.2%) in $\Delta T_{\rm sol}$ are achieved at a Ti doping level of 1.1%, representing the best performance reported for similar foils or films prepared using various methods. Only a defined doping level of less than 3% is beneficial for simultaneous improvement in Tvis and ΔT_{sol} . First principle calculations suggest that an increase in the intrinsic band gap of VO₂ (M) and the reduced electron density at Fermi level of VO₂ (R) cooperate to result in the improvement of $\Delta T_{\rm sol}$ and that an enhancement in the optical band gap of VO_2 (M) leads to the increase of T_{vis} .

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Introduction

The Mott-phase transition material vanadium dioxide (VO₂) is a key material in thermochromic smart window applications as it exhibits a reversible transformation from an infrared-transparent semiconductive state at low temperatures to an infrared-reflective metallic state at high temperatures while maintaining visible transmittance. 1-6 Due to these properties, VO2 is the most promising material for smart windows compared with other inorganic and polymer based thermochromic materials.^{7,8} However, low visible transmittance (T_{vis} , integral transmittance between 380 nm and 780 nm) and insufficient solar modulation ability ($\Delta T_{\rm sol}$, $\Delta T_{\rm sol}$ = $T_{\rm sol,25^{\circ}C}$ – $T_{\rm sol,90^{\circ}C}$, $T_{\rm sol}$, integral transmittance between 240 nm and 2600 nm) limit the performance of VO₂ in smart windows.

To meet the requirements for practical thermochromic fenestration, VO₂ materials must satisfy the following criteria: $T_{\rm vis} > 40\%$ and $\Delta T_{\rm sol} > 10\%.^9$ The current strategies employed in hopes of improving these values primarily involve the syntheses of nanoporous films, 10 construction of composite films, 11,12 deposition of antireflective layers 13-15 and doping. 16,17 Our previous research suggests that $\Delta T_{\rm sol}$ may be increased from 7.0% to 14.1% with a slight decrease in T_{vis} , from 45.3% to 43.3%, by optimizing the porosity of the VO₂ film. The inclusion of nanopores in VO₂ films serves to decrease the refractive index and the extinction coefficient, which, in conjunction with the optimization of the material thicknesses, results in the depression of reflection in both the visible and infrared regions.¹⁰ Optical calculations performed by Granqvist et al. indicate that VO₂ nanoparticles distributed in a dielectric matrix have relatively higher T_{vis} and ΔT_{sol} than pure VO₂ films, ¹¹ which we have experimentally confirmed through the synthesis of a VO₂-ZrV₂O₇ composite film of significantly enhanced $T_{\rm vis}$ (from 32.3% at Zr-V = 0 to 53.4% at Zr-V = 0.12). The addition of antireflective layers could improve both $T_{\rm vis}$ and $\Delta T_{\rm sol}$. One example of this improvement is a five-layered film (TiO2-VO2-TiO2-VO2-TiO2) possessing a much higher $T_{\rm vis}$ = 45% and $\Delta T_{\rm sol}$ = 12.1% than found in single-layered VO₂ films ($T_{\text{vis}} = 41\%$ and $\Delta T_{\text{sol}} = 6.7\%$). ¹⁵ A TiO2-VO2 double-layered film prepared through the deposition

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of an antireflective layer on a nanoporous VO₂ film exhibited a relatively higher $T_{\rm vis}$ (49.5%) and $\Delta T_{\rm sol}$ (15.1%).¹³ In general, nanoporous morphologies and formation of composites with highly transparent matrices usually enhance either $T_{\rm vis}$ or $\Delta T_{\rm sol}$ but not both, while optimized antireflective layers can simultaneously increase both of these crucial parameters. However, antireflective layers inevitably increase material consumption and processing complexity, making accurate control over the refractive index and thickness particularly problematic. The challenge of simultaneously improving $T_{\rm vis}$ and $\Delta T_{\rm sol}$ to levels required for their practical application is daunting.

Doping is a strategy that is normally employed to lower the phase transition temperatures for bulk VO₂ from 68 °C to near room temperature (-23 $^{\circ}\text{C/at.}\%$ W, 18 -20 $^{\circ}\text{C/at.}\%$ F 17 and -15 °C/at.% Mo¹⁹) and to modify hysteresis loop widths (Ti, ²⁰ Nb²¹). Some doping systems also produce improvements in T_{vis} . A recent report on a foil derived from Mg-doped VO₂-nanoparticles shows a blue-shift in the absorption edge from 490 nm (2.53 eV) to 440 nm (2.82 eV) at a Mg doping level of 3.8%, resulting in an increase in T_{vis} (from 45.3% to 54.2%).²³ This observation is in agreement with a previous finding that Mg doping at 7.2% can widen the optical band gap of VO₂ from 1.67 eV to 1.95 eV, significantly decreasing luminous absorption and leading to a substantial improvement in T_{vis} (from 39% to 51%). 16,24 Doping with F at 2.0% causes a blue-shift in the absorption edge of VO₂ from 445 nm (2.79 eV) to 415 nm (2.99 eV), resulting in an increased $T_{\rm vis}$ and a film of visibly lighter color. ¹⁷ However, Mg or F doping only enhances T_{vis} ; simultaneous increases in $T_{\rm vis}$ and $\Delta T_{\rm sol}$ are still a challenge.

Among doping elements, Ti⁴⁺ possesses a similar electronic structure and ionic radius to V⁴⁺ and has attracted considerable attention as a dopant for modifying the thermochromic properties of VO2. 20,21,25-28 Most studies have focused on the ability of Ti⁴⁺ to improve the temperature coefficients of resistance (TCR, $3.00\%/^{\circ}\text{C},^{20}$ $5.12\%/^{\circ}\text{C}^{28}$ and $24.8\%/^{\circ}\text{C}^{21})$ and to decrease hysteresis loop widths (ΔT , declining from 38.2 °C to 3.5 °C²⁷ or from 6 °C to 1 °C²¹). Doping with Ti also allows for complete control of the dielectric constants, 25 $T_{\rm vis}$ and $\Delta T_{\rm sol}^{26,27}$ of a VO₂ film. Hu *et al.* reported increasing $T_{\rm vis}$ and decreasing $\Delta T_{\rm sol}$ values with increasing Ti concentrations in a Ti-doped VO₂ film, however, the minimum doping level was 28.6%.26 Our previous work showed almost no change in the near infrared switching efficiency (ΔT_{2000} , ΔT_{2000} = $T_{2000,25^{\circ}\text{C}} - T_{2000,90^{\circ}\text{C}}$, T_{2000} , transmittance at a fixed wavelength of 2000 nm) at a Ti doping level below 7.4% and significant decreases in ΔT_{2000} above 7.4% doping.²⁷ No study to date has examined the effect of Ti doping on $T_{\rm vis}$ and $\Delta T_{\rm sol}$ at doping levels below 5%, and no attempt has been made towards the simultaneous enhancement of $T_{\rm vis}$ and $\Delta T_{\rm sol}$. Moreover, the aforementioned studies are based on Ti-doped VO2 films; no investigation of Ti-doped VO₂ nanoparticles have been performed, despite the fact that the formation of VO2 flexible foils based on VO2 nanoparticles is a cost-effective alternative synthesis technique for VO₂ smart windows. 23,29,30

With these considerations in mind, we investigated Ti-doped VO₂ nanoparticles at low doping levels and monitored the effects of doping on nanoparticle-derived VO₂ polymer composites foils.

Compared with an undoped VO₂ foil, 15% (from 46.1% to 53%) and 28% (from 13.4% to 17.2%) increases in $T_{\rm vis}$ and $\Delta T_{\rm sol}$, respectively, are achieved at 1.1% Ti doping, representing the most promising values of $T_{\rm vis}$ and $\Delta T_{\rm sol}$ in VO₂ foils or films prepared using available methods. The results also suggest that only a defined doping level of less than 3% is effective in the simultaneous improvement of $T_{\rm vis}$ and $\Delta T_{\rm sol}$. To explain this phenomenon, the crystalline structures, element valence states and phase transitions of Ti-doped VO₂ nanoparticles were analyzed experimentally and a first-principles calculation was performed to theoretically explain the effects of Ti doping on the optical properties.

Experimental and theoretical methods

Preparation of Ti-doped VO_2 nanoparticles and $\mathrm{VO}_2\text{-PU}$ composite foils

All reagents were of analytical grade and were used without further purification. In a typical hydrothermal synthesis, 0.125 g V₂O₅ powder and the requisite quantity of TiCl₄ dopant were added into 40 mL of 0.15 M aqueous H₂C₂O₄·2H₂O to form a yellowish slurry. The slurry was stirred for 10 min and transferred to a 50 mL Teflon-lined stainless-steel autoclave. The autoclave was maintained at 240 °C for 24 h and then aircooled to room temperature. The final product was collected via centrifugation, washed three times with deionized water and dried in a vacuum oven at 50 °C for 12 h. The VO₂-polyurethane (PU) thermochromic composite foils were prepared according to the following step. 23,29,30 The as-prepared Ti-doped VO₂ nanoparticles were dispersed in deionised water with continuous stirring for 10 min and an appropriate quantity of the silane coupling agent KH-570 was added with ultrasonic treatment for approximately 30 min. Then, PU was added gradually with stirring over 10 min. Finally, the suspension was uniformly cast onto a polyethylene terephthalate (PET) substrate using an automatic coating machine and dried at 80 °C for 1 min. The VO2-PU composite foils exhibited excellent flexibility in Fig. S1 (ESI⁺).

Characterization

The morphologies and element distributions of the resulting powders were analysed via transmission electron microscopy (TEM, JEM2010, JEOL, Japan) with an energy-dispersive spectrometer (EDS) attachment. The crystalline structures of the resultant products were characterized with a Rigaku D/max 2550 V X-ray diffractometer (Japan) with Cu K α radiation (λ = 0.15406 nm). The final doping ratios were confirmed by inductively coupled plasma measurements (ICP, Thermoelectric Corporation, IRIS Intrepid). X-ray photoelectron spectrometry (XPS) was performed with an Axis ultra DLD instrument using monochromatic Al Kα radiation after 1 keV argon-ion etching for 10 s. The phase transition temperatures of the products were measured via differential scanning calorimetry (DSC, DSC200F3, NETZSCH) in nitrogen flow in the temperature range from 0 °C to 100 °C at a heating rate of 10 °C min⁻¹. The spectrum characteristics of the VO₂-PU composite foils were monitored in the range of 240-2600 nm on a Hitachi U-4100 UV visible-near-IR

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spectrophotometer equipped with a film heating unit. For all samples, the integral visible transmittance ($T_{\rm vis}$, 380–780 nm), near infrared transmittance ($T_{\rm ni}$, 750-1500 nm) and solar transmittance ($T_{\rm sol}$, 240–2600 nm) were obtained based on the measured spectra using the following equation:

$$T_{i} = \left[\varphi_{i}(\lambda) T(\lambda) d\lambda / \left[\varphi_{i}(\lambda) d\lambda \right] \right]$$
 (1)

$$\Delta T_{\rm sol} = T_{\rm sol}(T < T_{\rm c}) - T_{\rm sol}(T > T_{\rm c}) \tag{2}$$

$$\Delta T_{\rm ni} = T_{\rm ni}(T < T_{\rm c}) - T_{\rm ni}(T > T_{\rm c}) \tag{3}$$

where $T(\lambda)$ denotes the transmittance at wavelength λ , i denotes 'vis', 'ni' or 'sol' for the calculations, T and $\Delta T_{\rm sol}$ are the temperature and solar modulation ability, φ_{vis} is the standard luminous efficiency function for photopic vision, and φ_{sol} and $\varphi_{\rm ni}$ are the solar irradiance spectra for the air mass 1.5 (corresponding to the sun standing 37° above the horizon).

Ab initio calculations

Periodic DFT calculations were performed using the VASP code. 31,32 The exchange and correlation items were described using a generalized gradient approximation (PBE-GGA). 33,34 To improve the treatment of electronic correlations in our DFT calculations, the Hubbard on-site repulsion U was taken into account within the so-called GGA + U approximation.³⁵ The effective strength of the interaction $(U_{\text{eff}}, U_{\text{eff}} = U - J)$ was set at 4.6 eV, in which U (5.3 eV) is the on-site Hubbard repulsion and J (0.7 eV) is Hund's exchange interaction. ^{36,37} The electron-ion interactions were described using the projector augmented wave (PAW) method, and valence electrons were treated explicitly with a plane-wave basis set at a cut-off energy of 400 eV.

Results and discussion

Solar energy between 250 nm and 1500 nm accounts for nearly 90% of the total energy in the solar irradiance spectra. 38,39 This fact suggests that transmittance differences across the Mott phase transition in this wavelength region dominate the solar modulation ability of VO2 foils. Before and after the phase transition, the VO2 foils exhibited distinct transmittance differences in the near infrared region between 750 nm and 1500 nm, while their visible transmittance between 250 nm and 750 nm remained unchanged (Fig. 1a). Thus, the integral transmittance difference in the near infrared region ($\Delta T_{\rm ni} = T_{\rm ni,25^{\circ}C} - T_{\rm ni,90^{\circ}C}$; $T_{\rm ni}$, integral transmittance between 750 nm and 1500 nm) dominates the $\Delta T_{\rm sol}$ of VO₂ foils. As shown in Fig. 1b, compared to an undoped VO_2 foil, T_{ni} at both 25 °C and at 90 °C increased at Ti doping levels below 3%, with a larger increase 25 °C than at 90 °C, resulting in an increase of $\Delta T_{\rm ni}$ and an enhanced $\Delta T_{\rm sol}$ (Fig. 1c). From Fig. 1c, the maximum values for $T_{\rm vis}$ and $\Delta T_{\rm sol}$ were obtained with 1.1% Ti doping, exhibiting a 15% increase (from 46.1% to 53%) in T_{vis} and a 28% increase (from 13.4% to 17.2%) in ΔT_{sol} compared to an undoped VO₂ foil. Amazingly, Ti doping at defined levels can simultaneously enhance the $T_{\rm vis}$ and $\Delta T_{\rm sol}$ of VO₂ foils, achieving the best values ($T_{\rm vis}$ = 53.0%, $\Delta T_{\rm sol}$ = 17.2%) measured for VO₂ foils or films prepared using

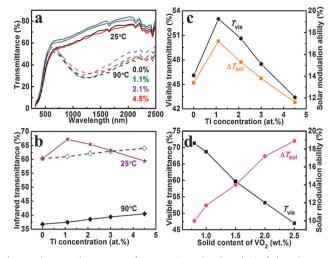


Fig. 1 The optical properties of a pure VO₂ and Ti-doped VO₂ foils with various Ti doping levels. (a) The transmittance spectra at 25 °C and 90 °C. (b) The near-infrared transmittance of the foils. The dotted line is the translated line of near-infrared transmittance at 90 °C. (c) The visible transmittance and solar modulation ability. (d) The visible transmittance and solar modulation ability of the 1.1% Ti-doped VO₂ foil with different solid contents of VO2.

Table 1 Current and previously reported results of the visible transmittance and solar modulation ability of VO2 films or foils

| System | T_{vis} (%) | $\Delta T_{\rm sol} \left(\%\right)$ |
|--|------------------------|--------------------------------------|
| Singer-layered VO ₂ film ¹⁴ | 41 | 6.7 |
| TiO ₂ -VO ₂ -TiO ₂ -VO ₂ -TiO ₂ five-layered film ¹⁵ TiO ₂ -VO ₂ double-layered film ¹³ Mg-doped VO ₂ film ¹⁶ | 39 | 13.0 |
| TiO ₂ -VO ₂ double-layered film ¹³ | 49 | 15.1 |
| Mg-doped VO ₂ film ¹⁶ | 51 | 4.0 |
| SiO ₂ –VO ₂ core–shell composite foil ²⁹ | 29 | 13.6 |
| VO ₂ –ATO–polymer composite foil ³⁰ | 53 | 11.7 |
| 1.1% Ti doped VO ₂ foil (this work) | 53 | 17.2 |

available methods (Table 1). When the Ti content was increased to 3.0%, the foil showed a slightly higher $T_{\rm vis}$ (47.5%) and $\Delta T_{\rm sol}$ (13.8%) than the $T_{\rm vis}$ (46.1%) and $\Delta T_{\rm sol}$ (13.4%) for an undoped VO_2 foil. The $T_{
m vis}$ and $\Delta T_{
m sol}$ further declined to 43.4% and 11.6%, respectively, at 4.5% Ti. This trend indicated that lowlevel Ti doping below 3% was beneficial for simultaneous improvement of $T_{\rm vis}$ and $\Delta T_{\rm sol}$.

The response of $T_{\rm vis}$ and $\Delta T_{\rm sol}$ of the 1.1% Ti-doped VO₂ foil to the variation in the solid content of VO₂ nanoparticles (Fig. 1d) suggested that several mixtures could meet the requirement of $T_{\rm vis} > 40\%$ and $\Delta T_{\rm sol} > 10\%$. $T_{\rm vis}$ reached a maximum value of 71.3% with $\Delta T_{\rm sol}$ at 10%; while the $\Delta T_{\rm sol}$ maximum of 18.9% was achieved as T_{vis} was still 47%, suggesting a good balance between $T_{\rm vis}$ and $\Delta T_{\rm sol}$. A comparison of the $T_{\rm vis}$ and $\Delta T_{\rm sol}$ of VO₂ films prepared using different methods (Fig. 2) clearly shows that our results are the closest to theoretical values. The experimental $T_{\rm vis}$ and $\Delta T_{\rm sol}$ values of the 1.1% Ti doped VO₂ foil constructed with VO2 nanoparticles were superior to those of single-layered films, 40 porous films 41 and multi-layered films, 13,14 and they approached the calculated values for composite films.¹¹

To understand the effects of Ti doping on the optical properties of the Ti-doped VO2 composite foils, the crystalline

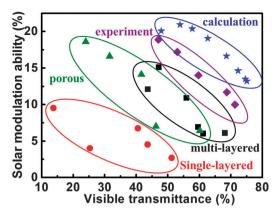


Fig. 2 Visible transmittance and solar modulation ability of VO₂ films prepared using different methods. Calculated results were obtained with effective medium theory. Experimental results were obtained from this study. The results for single-layered films, porous films, multi-layered films and calculation were reprinted with permission (Y. Gao, H. Luo, Z. Zhang, L. Kang, Z. Chen, J. Du and M. Kanehira, *Nano Energy*, 2012, **1**, 221–226). Copyright (2012) Elsevier.

structures, element valence states and phase transitions were analyzed experimentally and theoretically *via* first principles calculations.

All XRD peaks for the $Ti_xV_{1-x}O_2$ powders could be indexed to VO_2 (M1) phase and no other phases were detected (Fig. 3a). Because the radius of Ti^{4+} (60.5 pm) is close to that of V^{4+} (58 pm), no significant migration in the diffraction peaks was observed at Ti doping levels below 4.5%. No obvious broadening of the diffraction peaks was observed, suggesting that the grain size was nearly unchanged. The lattice parameters in

Table 2 were calculated from interplanar distances using the following equation:

$$\frac{1}{d^2} = \frac{h^2}{a^2 \sin^2 \beta} + \frac{k^2}{b^2} + \frac{l^2}{c^2 \sin^2 \beta} - \frac{2lh \cos \beta}{ca \sin^2 \beta} \tag{4}$$

At a doping level of 4.5%, the lattice parameters for a and c increased from 5.742 to 5.768 Å and from 5.344 to 5.371 Å, respectively; meanwhile, that for b decreased from 4.528 to 4.510 Å, representing a \sim 0.7% expansion in the overall volume. The 1.1%, 2.1% and 3.0% Ti doped samples experienced volume expansions of 0.02%, 0.06% and 0.3%, respectively (Fig. 3b). A smaller lattice distortion was observed at Ti doping levels below 2.1% than 4.5%, which was likely beneficial for the thermochromic performance of the VO₂ nanoparticles.

For 1.1% Ti-doped VO_2 , the binding energy of the V $2p_{3/2}$ peaks, centered at 516.0 eV (Fig. 3c), was in good agreement with the 515.8 eV value of pure VO_2 . The difference in the binding energies between O1s and V $2p_{3/2}$ was 14.5 eV, consistent with the literature value of 14.2 eV. The binding energies of Ti $2p_{3/2}$ and Ti $2p_{1/2}$ were observed at approximately 459 eV and 464.9 eV (Fig. 3d), showing good agreement with the difference in these binding energies previously reported for Ti in particles (5.7 eV), implying that the carrier concentration experienced no significant change in the system. Moreover, the electronegativity of Ti (1.63) was close to that of V (1.54), indicating no distinct charge transfer from Ti ions weakening the V–V pairs, in contrast to materials doped with W⁶⁺ or Mg²⁺. These results indicated that Ti doping maintained the electronic structure stability of VO₂.

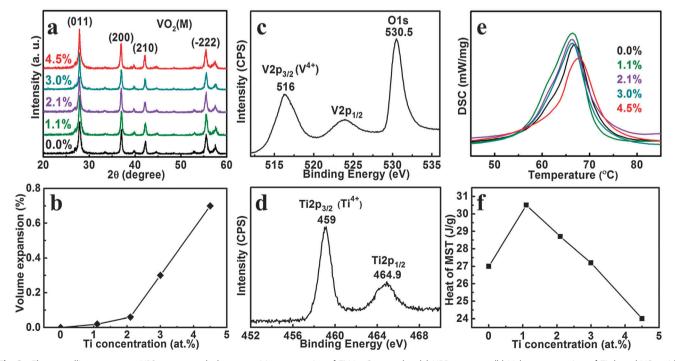


Fig. 3 The crystalline structures, XPS spectra and phase transition properties of $Ti_xV_{1-x}O_2$ samples. (a) XRD patterns. (b) Volume expansion of Ti-doped VO₂ with different concentrations. Core level spectra of V 2p (c) and Ti 2p (d) for 1.1% Ti-doped VO₂. (e) DSC curves. (f) Heat of metal–semiconductor transition (MST) of Ti-doped VO₂ with different concentrations.

Table 2 Lattice parameters experimentally obtained for Ti_{1-x}V_xO₂ samples

| Ti content (%) | a (Å) | b (Å) | c (Å) | α (deg) | β (deg) | γ (deg) | $V(\mathring{A}^3)$ |
|----------------|-------|-------|-------|---------|---------------|---------|---------------------|
| 0.0 | 5.742 | 4.528 | 5.344 | 90.0 | 122.4 | 90.0 | 117.31 |
| 1.1 | 5.745 | 4.523 | 5.354 | 90.0 | 122.5 | 90.0 | 117.33 |
| 2.1 | 5.746 | 4.519 | 5.366 | 90.0 | 122.6 | 90.0 | 117.38 |
| 3.0 | 5.752 | 4.515 | 5.368 | 90.0 | 122.4 | 90.0 | 117.71 |
| 4.5 | 5.768 | 4.510 | 5.371 | 90.0 | 122.3 | 90.0 | 118.10 |

DSC analysis (Fig. 3e) exhibited the phase transition temperature (T_c) of 66.9 °C for the undoped VO₂, which was close to previously reported values. 44,45 The T_c remained essentially unchanged with increasing Ti content, which may have been expected from the small volumetric expansion (<0.7%) and relatively unchanged electronic structure found at these doping levels. The heat of the metal-semiconductor transition (MST) (Fig. 3f) increased from 27 J g^{-1} to 30.5 J g^{-1} at 1.1% doping, but it decreased to 27.2 J g⁻¹ at 3.0% doping and further to 24 J g⁻¹ at 4.5% doping. The value at 4.5% doping is smaller than that measured for pure VO2. The heat of MST reflected the capability of VO₂ nanoparticles to undergo this phase transition and, to a large extent, determined the $\Delta T_{\rm sol}$ of the VO₂-nanoparticle-derived foil. As such, the increased values of the heat of MST below 3.0% Ti doping supported the increase in the $\Delta T_{\rm sol}$ at the same doping levels.

Whereas the heat of MST can be used to characterize the crystallinity of pure VO2,46 this value in doped VO2 depends on both the crystallinity and the doping-induced retardation of the phase transition. The crystallinity of VO2 nanoparticles was fine according to the XRD pattern and TEM images, but the calculated heat of MST (51 J g⁻¹) indicated the possibility of improvement.⁴⁷ Ti doping below 3.0% resulted in a relatively small retardation of the phase transition, likely as a result of the low doping level and the similar ionic properties (radius and valence state) of V⁴⁺ and Ti⁴⁺. This low-level doping promoted the formation of VO2 (M) and enhanced the crystallinity of the material, in agreement with our previous work, 48 leading to an increase in the heat of MST. However, when Ti doping approached 4.5%, the resultant retardation of the phase transition became more important than the improvement in crystallinity, resulting in a decrease in the heat of MST known for heavily doped VO2 systems. 23,44 This analysis may help to explain the increase in the heat of MST below 3% Ti doping.

The morphology of the Ti-doped VO₂ nanoparticles (1.1%) doping) is primarily spherical with a small number of observed nanorods (Fig. 4a). The SAED analysis (the inset in Fig. 4a) confirmed the formation of single crystal VO2 (M1), which is in agreement with the XRD pattern. The high-resolution TEM images (Fig. 4b) verified the high degree of crystallinity. EDS results (Fig. 3c) confirmed the existence of Ti with a relatively uniform distribution in the VO₂ crystalline structure (Fig. 4d).

Density Functional Theory (DFT) calculations were performed to understand the effects of Ti doping on the optical properties of Ti-doped VO₂. Two large supercells (TiV₉₅O₁₉₂ and TiV₃₁O₉₆) were constructed with 1:1 (Ti:V) ionic substitution and Ti concentrations corresponding to doping levels of 1.0%

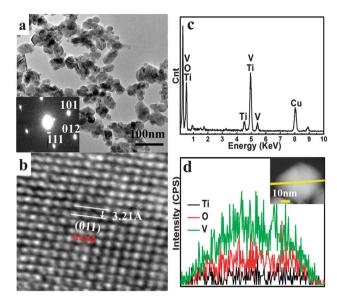


Fig. 4 TEM, HRTEM, SAED patterns and EDS results of 1.1% Ti-doped VO₂. (a) TEM (inset, SAED pattern). (b) HRTEM. (c) EDS results. (d) The compositional scanning TEM curves of a Ti-doped VO2 nanoparticle (inset).

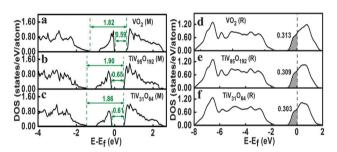


Fig. 5 Theoretical calculations. The total density of states for (a) VO₂ (M), (b) $TiV_{95}O_{192}$ (M), (c) $TiV_{31}O_{64}$ (M), (d) VO_2 (R), (e) $TiV_{95}O_{192}$ (R) and (f) $TiV_{31}O_{64}$ (R), TiV₉₅O₁₉₂ and TiV₃₁O₉₆ corresponding to 1.0% and 3.1% Ti. The shadow area means the electron density at Fermi level of VO2 (R).

and 3.1%. Comparisons of the total densities of states (DOSs) of M/R-phase VO₂, TiV₉₅O₁₉₂ and TiV₃₁O₉₆ (Fig. 5) suggested several findings. First, as shown in Fig. 5a-c, the optical band gap (E_{π} , band gap between O_{2p} and π^*), corresponding to visible absorption, was 1.82 eV for VO2 (M), in agreement with reported data. ^{24,49-51} However, the E_{π} slightly increased to 1.90 eV and 1.86 eV for $TiV_{95}O_{192}$ (M) and $TiV_{31}O_{96}$ (M), respectively. These increases implied a reduction of visible absorption (enhancement of T_{vis}) consistent with the experiment (Fig. 1c).

Second, the calculated intrinsic band gap (E_g) for pure VO₂ (M) was 0.59 eV, which was comparable to previous experimental and computational results. 52,53 Calculated $E_{\rm g}$ values of 0.65 and 0.61 eV were ascribed to TiV95O192 (M) and TiV31O96 (M), respectively. According to previous reports on the optical transmittance of VO2 films, absorption in the near infrared region (750-1500 nm) is due to optical transitions across the intrinsic band gap. 54,55 Compared to pure VO2, the increase in $E_{\rm g}$ for the doped materials indicates a decrease in their near infrared absorption and, as such, enhanced near infrared

transmittance ($T_{\rm ni}$, integral transmittance between 750 nm and 1500 nm) consistent with the increased $T_{\rm ni}$ at 25 °C (Fig. 1b).

Third, the electron density of VO₂ (R), TiV₉₅O₁₉₂ (R) and TiV₃₁O₉₆ (R) at the Fermi level ($E_{\rm f}$) were 0.313, 0.309 and 0.303 states per atom (Fig. 5d–f), respectively, indicating that the electron density of VO₂ (R) gradually declined with increasing Ti doping. The plasma frequency of VO₂ (R) in polycrystalline thin films was reported to be 1.0 eV (\sim 1240 nm)⁵⁶ or 1.6 eV (\sim 775 nm),⁵⁷ considered proportional to the electron concentration in the material. With the increase of Ti doping, the decreased plasma frequency due to reduced electron density resulted in a reduction of the plasma resonance absorption between 775 nm and 1240 nm, as expected from the gradual increase of $T_{\rm ni}$ at 90 °C (Fig. 1b).

In summary, the increase in the optical band gap of VO₂ (M) resulted in an improvement of $T_{\rm vis}$. The enhanced intrinsic band gap of VO₂ (M) led to a distinct increase of $T_{\rm ni}$ at 25 °C and the reduced electron density at the Fermi level of VO₂ (R) brought about a gradual improvement of $T_{\rm ni}$ at 90 °C. However the increase of $T_{\rm ni}$ at 25 °C was larger than that at 90 °C (Fig. 1b), thus the increased $\Delta T_{\rm ni}$ resulted in the enhancement of $\Delta T_{\rm sol}$. Calculations well explained the change of transmittance spectra and supported the increase of $T_{\rm vis}$ and $\Delta T_{\rm sol}$.

Conclusions

Ti-doped VO₂ nanoparticles were successfully prepared via hydrothermal synthesis and were further used to prepare flexible solar modulation foils. EDS data and compositional scanning TEM curves confirmed the inclusion of Ti in the VO₂ lattice. The 1.1% Ti-doped foil exhibited a $T_{\rm vis}$ of 53% and a $\Delta T_{\rm sol}$ of 17.2%, representing the best performance thus far reported for VO₂ foils or films prepared using available methods. The results also suggested that only a defined doping level of less than 3% was beneficial for simultaneous improvement of $T_{\rm vis}$ and $\Delta T_{\rm sol}$. The XPS results indicated that Ti⁴⁺ doping maintained the electronic structure stability of VO₂. The increased values of the heat of MST below 3% Ti doping support the increase in the $\Delta T_{\rm sol}$ at the same doping levels. First principle calculations well explained the change of transmittance spectra and supported the increase of $T_{\rm vis}$ and $\Delta T_{\rm sol}$.

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