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Luminescent Ce(III) Complexes as Stoichiometric and Catalytic Photo-reductants for Halogen Atom Abstraction Reactions

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Supporting Information Placeholder

ABSTRACT: Luminescent Ce(III) complexes, Ce[N(SiMe₃)₂]₃ (1) and [(Me₃Si)₂NC(RN)₂]Ce[N(SiMe₃)₂]₂ ($R = {}^{i}Pr$, $1 - {}^{i}Pr$, R = Cy, 1 - Cy), with C_{3v} and C_{2v} solution symmetries display absorptive $4f \rightarrow 5d$ electronic transitions in the visible region. Emission bands are observed at 553, 518 and 523 nm for 1, $1 - {}^{i}Pr$ and $1 - {}^{i}Cy$ with lifetimes of 24, 67 and 61 ns, respectively. Time-dependent density functional theory (TD-DFT) studies on 1 and $1 - {}^{i}Pr$ revealed the ${}^{2}A_{1}$ excited states corresponded to singly occupied $5dz^{2}$ orbitals. The strongly reducing metalloradical character of 1, $1 - {}^{i}Pr$ and $1 - {}^{i}Cy$ in their ${}^{2}A_{1}$ excited states afforded photochemical halogen atom abstraction reactions from sp³ and sp² C–X (X = Cl, Br, I) bonds for the first time with a lanthanide cation. The dehalogenation reactions could be turned over with catalytic amounts of photosensitizers by coupling salt metathesis and reduction to the photo-promoted atom abstraction reactions.

In photoredox chemistry the energy of visible light, especially of high energy blue light (440 nm, 2.8 eV), is absorbed by photosensitizers and converted into redox power for reactivity. Current molecular photosensitizers including Ru^{II}(bpy)²⁺ (bpy = 2,2'bipyridine), fac-Ir^{IV}(ppy) (ppy = 2,2'-phenylpyridine), tungsten(0) isocyanides,3 Cu(I) complexes4 and organic dyes,5 accomplish photoredox reactions exclusively through outer sphere electron transfer processes. Photoreductants activate substrates under mild conditions and have drawn interests in catalysis.⁶ For example, in catalytic oxidative quenching cycles, 6d electrons are transferred from excited-state photosensitizers to acceptors to effect chemical changes. Anti-bonding orbitals of C-X (X = Cl, Br, I) bonds are one such target, leading to reductive C-X bond cleavage. In such cases, the scope of accessible substrates containing C-X bonds depends solely on the excited state reduction potential of the photosensitizer.⁷

In contrast to outer sphere processes, we hypothesized that favourable M–X bond formation enthalpies could be coupled with metal redox power in an inner sphere electron transfer process, providing additional driving force for the activation of substrates. An inner sphere photosensitizer would require the association of C–X bonds to a metal cation in its excited state. As such, highly electrophilic f-block cations are excellent candidates for such reactivity. Herein, we report the use of luminescent Ce(III) complexes as inner sphere photoreductants for C–X bond activation. Cerium(III) is a redox active cation. With its single 4f electron, Ce(III) complexes have simple, well-defined electronic structures featuring $^2F_{5/2}$ ground states. In fact, reported luminescence of

 Ce^{3+} cations originates from 5d \rightarrow 4f transitions, ⁹ distinct from most other Ln^{3+} cations where 4f \rightarrow 4f transitions underlie optical properties. The inter-configurational transition shows greater emission intensity but shorter lifetimes compared to other lanthanide cations; the 5d \rightarrow 4f transition is allowed both by parity and spin selection rules. ¹⁰

Scheme 1. Synthesis of 1-ⁱPr and 1-Cy from 1

$$Ce[N(SiMe_3)_2]_3 \xrightarrow{RN=C=NR \\ excess} \xrightarrow{Me_3Si} \xrightarrow{N} \xrightarrow{N} (SiMe_3)_2$$

$$R = {}^{i}Pr \ 1-{}^{i}Pr \ \Phi_{PL} = 0.46 \ \tau = 67 \ ns$$

$$Cy \ 1-Cy \ \Phi_{PL} = 0.54 \ \tau = 61 \ ns$$

We noted that the common Ce(III) protonolysis reagent, Ce[N(SiMe₃)₂]₃ (1), emitted yellow light weakly under UV irradiation (365 nm). However, treatment of 1 with excess carbodiimides, R-N=C=N-R (R = ⁱPr, Cy) afforded isolation of their mono-insertion products, 1-ⁱPr and 1-Cy (Scheme 1), which were found to be bright green emitters. X-ray studies confirmed the yellow compounds 1-ⁱPr and 1-Cy as the mono-guanidinate insertion products (Figure S3–S4). No further insertion was observed for 1-ⁱPr or 1-Cy with excess carbodiimide to 80 °C.

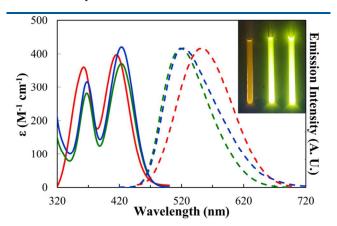


Figure 1. UV-vis electronic absorption spectra (solid lines) and normalized emission spectra (dashed lines) of 1 (red), - 1 Pr (green), 1-Cy (blue) recorded in toluene. Pictures of C_6H_6 solution containing 1(left), 1- 1 Pr(middle) and 1-Cy(right) in Pyrex NMR tubes (1.0 mM) under UV irradiation (365 nm) (inset).

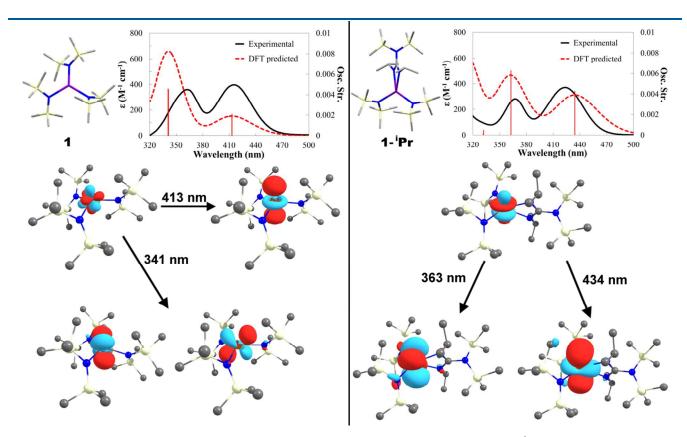


Figure 2. Experimental (black solid lines) and TD-DFT predicted (red dashed lines) absorption spectra of 1 (left) and 1-ⁱPr (right). The predicted spectra were rendered with FWHM 3000 cm⁻¹. Oscillator strengths for the electronic transitions are shown as red vertical lines. Stick representations of the crystal structures of 1 and 1-ⁱPr are shown to the left of the spectra. Natural transition orbitals (NTOs) are shown for the donor/acceptor orbitals of each transition.

Electronic absorption spectra of 1-'Pr, 1-Cy resembled the spectrum of 1, displaying two bands with $\varepsilon \sim 300-400 \text{ M}^{-1} \text{ cm}^{-1}$ in the visible range attributed to $4f\rightarrow 5d$ transitions (Figure 1). The emission energies of 1-iPr and 1-Cy were blue shifted by ca. 30 nm compared to 1, resulting in green luminescence. The guanidinate complexes 1-iPr and 1-Cy exhibited relatively high photoluminescence quantum yields: $\Phi_{PL} = 0.46$ and 0.54 for 1- i Pr and 1-Cy, respectively, compared to 1: $\Phi_{PL} = 0.03$. Consistent with the observation of low quantum yield is the short measured lifetime for 1 (24 ns) compared to 1^{-i} Pr (67 ns) and 1-Cv (61 ns). No variance of the lifetime was observed across the emission profiles for all complexes, suggesting the emissions originated from a single excited electronic state. 10c All emission spectra were deconvoluted into pairs of overlapping Gaussian bands (Figure S48-S50), consistent with the transitions from their emissive states to the ²F ground manifold. The ²F ground manifold is split by spin-orbital coupling into the J = 5/2 ground state and J = 7/2excited states. 96 Excitation spectra collected at the emission maxima for 1, 1-iPr, 1-Cy showed intense, overlapping bands with their lowest energy 4f-5d absorption bands at ca. 420 nm (Figure S45-S47), supporting the associated excited state as the longlived emissive state.

In an effort to identify the frontier orbitals involved in the electronic transitions of 1, $1^{-i}Pr$ and $1^{-i}Cy$, time-dependent density functional theory (TD-DFT) calculations were performed for complexes 1 and $1^{-i}Pr$. The predicted vertical excitations and intensities were in reasonably good agreement with the experimental data, indicating two metal based $4f \rightarrow 5d$ transitions (Figure 2). Natural transition orbitals (NTOs)¹¹ analyses revealed the lowest energy transitions at ca. 420 nm for 1 and $1^{-i}Pr$ were both

from ground states of primarily 4f character to $5dz^2$ orbital-based excited states: 2A_1 in both the C_{3v} and C_{2v} point groups. The results are readily rationalized as the $5dz^2$ orbitals are essentially non-bonding in both cases and therefore of the lowest in energy within the 5d-manifold. 12 The acceptor orbitals of the transition at 341 nm were found to be a degenerate set of $5d_{xz}$ and $5d_{yz}$ orbitals for 1; such degeneracy is lost for the lower symmetry complex, 1- i Pr. The $^{2}A_{1}$ states were therefore assigned as the long-lived excited states for all three complexes. Additionally, a ligand-to-metal charge transfer (LMCT) absorption tail located below 320 nm for $1-{}^{i}$ Pr was attributed by the TD-DFT result to a guanidinate non-bonding π_n orbital to 4f-orbital CT transition (Figure S78).

To evaluate the reduction potential of the Ce(III) upon photo-excitation, the excited state potentials $(E^*_{I/2})$ for the 2A_1 states were approximated using the ground state potentials $(E_{I/2})$ and emission band energies following the Rehn-Weller formalism: 13

$$E^*_{1/2} = E_{1/2} - E_{\theta,\theta}$$

Cyclic voltammetry experiments on $1^{-1}Pr$ and $1^{-1}Cy$ in CH_2CI_2 with 0.1 M [$^{n}Pr_4N$][BAr $^{F}_4$] as supporting electrolyte revealed quasi-reversible $Ce^{IV/III}$ couples with $E_{1/2} = +0.03$ V and +0.13 V versus $Cp_2Fe^{+/0}$, respectively (Figure S54–S55). The electrochemical data of 1 in THF ($E_{1/2} = +0.35$ V) 14 was applied for its estimate of the excited state reduction potential because no feature

Table 1. Estimation of reduction potential for Ce(III) ²A₁ excited states

	$\mathrm{E}_{1/2}{}^{a}/\mathrm{eV}$	$E_{0,0}$ /eV	E _{1/2} * /eV
1	$+0.35^{b}$	+ 2.24	- 1.89
1- ⁱ Pr	+ 0.03	+2.39	-2.36
1-Cy	+ 0.13	+ 2.37	-2.24

^aCyclic voltammetry in 0.1 M ["Pr₄N][BAr^F₄]/CH₂Cl₂. ^bIn THF.

Scheme 2. Photo-induced oxidation of 1, 1-iPr and 1-Cy with PhCH₂Cl

was observed for **1** in CH₂Cl₂. The $E_{I/2}$ * values were estimated to be -1.89, -2.36 and -2.24 V versus Cp₂Fe^{+/0} for **1**, **1-**^{*i*}Pr and **1-**Cy, respectively (Table 1), providing opportunities for accessing photo-reduction chemistry with Ce(III) complexes.

Based on the spectroscopic and electrochemical data, we hypothesized that combining the reducing power of the ${}^{2}A_{1}$ excited state and Ce(III)-X bond enthalpy would allow us to activate challenging C-X bonds photo-chemically through an inner sphere atom abstraction pathway. We choose PhCH₂Cl as a first target for activation since the cathodic reduction wave of PhCH2Cl was reported at $E_{pc} = -2.66 \text{ V}$ versus $Cp_2Fe^{+/0}$ in DMF, ¹⁵ lower than the -1.89 V estimated reduction potential for 1*. Thus, an outer sphere electron transfer process would not be expected to occur between 1* and PhCH₂Cl on thermodynamic grounds. Combinations of 1 and PhCH2Cl showed no reaction in the absence of light. Irradiation of a C₆D₆ solution containing 1 with excess of PhCH₂Cl in a photoreactor equipped with 420 nm narrow band lamps led to a color change of the solution from yellow to dark red/purple within 5 min. The reaction also proceeded with commercially available compact fluorescent lamps (CFLs) as light sources. An ¹H NMR experiment showed the formation of Ce^{IV}Cl[N(SiMe₃)₂]₃ (2), concomitant with the generation of PhCH₂CH₂Ph (Scheme 2) through radical homo-coupling.

Similarly, photo-reactions of 1-iPr (or 1-Cy) with excess PhCH₂Cl led to color changes from yellow to black (Scheme 2) and the production of PhCH₂CH₂Ph was confirmed by ¹H NMR spectroscopy. Also noted in the solution ¹H NMR spectra were sets of resonances for the Ce(IV)–Cl products, 2-'Pr (or 2-Cy). To confirm the assessments of the oxidation products, 2-iPr and 2-Cy, were independently prepared from reactions of Ph₃C-Cl with 1-'Pr and 1-Cy. X-ray crystallography studies confirmed their identities as the Ce(IV)-Cl products (Figure S5-6). The black complexes 2^{-i} Pr and 2-Cy are the first examples of κ^2 -guanidinate moieties coordinated to Ce(IV) cations; related cerium(III/IV) amidates and formamidinates have been reported. 16 The black appearances of 2-iPr and 2-Cy were evident in their UV-vis spectra, where both compounds were found to absorb evenly in the visible range, 400-800 nm (3.1-1.5 eV, Figure S42). TD-DFT calculations performed on 2-iPr afforded assignment of bands in the visible region as LMCT (Figure S89). The computed HOMO→LUMO excitation at 725 nm (1.71 eV) corresponded to a guanidinate non-bonding π orbital (π_n) to a 4f-orbital.

In all cases, the Ce(IV)-Cl products, 2, 2-iPr and 2-Cy were stronger absorbers than their Ce(III) congeners. As such, the Ce(IV) products in the reaction mixture inhibited the absorption of light by Ce(III) species and resulted in incomplete reactions. To avoid accumulation of Ce(IV) species we found NaN(SiMe₃)₂ could effectively reduce the Ce(IV)-Cl products to Ce(III) species with precipitation of NaCl and formation of aminyl radical: •N(SiMe₃)₂. However, under catalytic conditions with stoichiometric NaN(SiMe₃)₂, only a low yield (22% in Et₂O) of PhCH₂CH₂Ph was achieved, due to side-reactions of the aminyl radical with the starting material, PhCH₂Cl. Byproducts, including PhCH₂N(SiMe₃)₂ and PhCH₂CHClPh, were identified by ¹H NMR spectroscopy and GC-MS (See Figure S22, S30-34). To address these problems, Zn or Ce metal powders were introduced to the reaction mixtures as additives to quench the aminyl radical and afforded bibenzyl products in reasonable yields; control experiments showed slow background reactions of the metal powders with PhCH₂Cl (Scheme 3 and Table S1). Further controls demonstrated the metal powders reacted slowly with 2; direction reaction of NaN(SiMe₃)₂ with 2 proceeded instantaneously.

The dehalogenation of PhCH₂Cl proceeded much slower with 1-ⁱPr and 1-Cy despite their longer lifetimes than 1. This difference was attributed to steric congestion from the guanidinate ligands which disfavored coordination at the Ce(III) cation.

Scheme 3. $PhCH_2Cl$ coupling reactions with Ce-metal as external reductant

Entry	[Ce ^{III}]	Solvent	Yield ^a /%
1	1	Et_2O	68
2	1- ⁱ Pr	Et_2O	17
3	1-Cy	Et_2O	10
4	-	Et_2O	5
5	1	C_6H_6	45
6	1	TMS_2O	23
7	1	CPME	44
8	1	<i>n</i> -pentane	12
9	1	1,4-dioxane	19

a. Determined by ¹H NMR integration against internal standard CH₂Br₂.

We also reasoned that the aminyl radical could be harnessed for hydrogen atom abstraction reactions. This objective was achieved using more challenging substrates containing C(sp²)-X bonds. Irradiation of a 20 mol% solution of 1 with 4-F-C₆H₄X (X = I, Br) and NaN(SiMe₃)₂ in benzene at room temperature for 6 d afforded 1-(4-fluorophenyl)benzene in 68% and 43% isolated yields for X = I and Br, respectively (Scheme 4), while no reaction was observed for X = C1 (Figure S27). The identity of the biphenyl product was also confirmed by ¹⁹F NMR spectroscopy as well as high resolution mass spectroscopy (HRMS). The origin of the phenyl group was confirmed with the use of deuterobenzene as the reaction solvent; the molecular mass corresponding to 1-(4fluorophenyl)-2,3,4,5,6-deuterobenzene was detected by HRMS (see Figure S29). Direct C(sp²)-H and C(sp²)-X coupling in the presence of catalytic 1,10-phenanthroline derivatives at elevated temperatures was reported recently by Shi and Hayashi, 17 and were proposed to proceed through a single electron transfer (SET) mechanism. In our case, NMR-scale reactions of 1 with excess 4-F-C₆H₄Br in C_6D_6 revealed the formation Ce^{IV}Br[N(SiMe₃)₂]₃. Therefore, the catalytic generation of the biphenyl products was rationalized through an S_{RN}1-type mechanism comprising: 1) photo-induced halogen abstraction from 4-F- C_6H_4Br by 1 leading to $Ce^{IV}Br[N(SiMe_3)_2]_3$ and 4-F- $C_6H_4e^*$; 2) addition of 4-F-C₆H₄• to benzene forming the radical adduct; 3) salt-metathesis and reduction of Ce^{IV}Br[N(SiMe₃)₂]₃ with NaN(SiMe₃)₂ to regenerate 1 and form •N(SiMe₃)₂; 4) hydrogen atom abstraction of the radical adduct by •N(SiMe₃)₂ to give the biphenyl product (See Scheme S2 for proposed catalytic cycle). These reactions were catalytic in 1 and demonstrated for the first time that an f-block complex could serve as an effective molecular photoredox catalyst.

Scheme 4. Catalytic arylations of benzene with ArX (X = I, Br)

$$F - X + NaN(SiMe_3)_2 \xrightarrow{20 \text{ mol} \% 1} F - X = I, 68\% \\ Br, 43\%$$

Through combined spectroscopic and computational studies, we demonstrated luminescent Ce(III) complexes 1, 1-iPr and 1-Cy possess singly occupied 5dz² orbitals in their long lived excited states. The metalloradical nature of the excited states allowed electrophilic cerium(III) complexes to act as photosensitizers that activated challenging substrates through inner sphere processes, taking advantage of the enthalpy gain in the formation of Ce(IV)–X bonds. A drawback in the current system is the relatively low absorptivity of Ce(III) complexes, which limits the catalytic turnover rates. Development of new sensitized cerium(III) photo-redox catalysts, expanded reactivity studies and physicochemical studies on cerium(III) luminescence characteristics are currently underway in our laboratory.

ASSOCIATED CONTENT

Supporting Information

Crystallographic data (CIF), electrochemical data, electronic absorption data, excitation and emission data, computational details and optimization data for catalysis. This material is available free of charge via the Internet at http://pubs.acs.org.

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