

# Chemical Enhancement Effects in SERS Spectra: A Quantum Chemical Study of Pyridine Interacting with Copper, Silver, Gold and Platinum Metals

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Using density functional theory, we have studied the interactions between pyridine (Py) and coinage metals (silver, copper, and gold) as well as the transition metal platinum. We present here a detailed analysis of the influence of chemical enhancement effects on the SERS signals. We analyze the differential Raman scattering cross sections of the  $a_1$  vibrational modes related to Py. The results show that the relative Raman intensities of SERS spectra depend strongly on the binding interaction between Py and the SERS active centers, the electronic property of metal materials, and the incident wavelengths. When the bonding between Py and a SERS site is very weak, analogous to physical adsorption, the Raman spectra of the adsorbed Py are similar to that of free Py. For Py interacting strongly with copper, gold, and platinum clusters, we find that the Raman intensities of the  $\nu_1$ ,  $\nu_{6a}$ ,  $\nu_{9a}$ , and  $\nu_{8a}$  modes of Py are enhanced, whereas the intensity of the  $\nu_{12}$  mode decreases. To check the enhancement effect of the charge-transfer mechanism, we calculate the preresonance Raman spectra. For Py interacting with silver, copper, and gold clusters, the low-lying charge transfer states are formed from the valence shell s orbital of metal to the unoccupied  $\pi^*$ -type orbitals ( $b_1$  and  $a_2$ ) of the Py ring; whereas for Py adsorbed on platinum clusters, the low-lying charge transfer states are formed due to the transitions from some d orbitals of the metal clusters to the two unoccupied  $\pi^*$ -type orbitals of Py. The results show that the Raman spectral property depends strongly on the property of these excited states and the electronic structures of the metal materials.

## Introduction

Surface-enhanced Raman scattering (SERS) is one of the most sensitive spectroscopic techniques for detecting surface species and for studying adsorption on metal surfaces. The spectral sensitivity is due to the great enhancement effects, which can amount to as much as several orders in magnitude with respect to that of molecules in bulk<sup>1–3</sup> or even up to  $10^{14}$  fold as found in the single-molecule SERS.<sup>4,5</sup> This giant enhancement arises mainly from two mechanisms, electromagnetic field enhancement (EM) and chemical enhancement (CE). A number of metals have been suggested as being capable of producing SERS. These include silver, gold, copper, nickel, iron, cobalt, platinum, palladium, etc.<sup>6,7</sup> Strong SERS enhancements, due to the EM mechanism, are expected for the metals with shells of  $(n - 1)d^{10}ns^1$ ; this is also true for other metals with valence shells of  $(n - 1)d^mns^l$  ( $0 < m < 10$  and  $0 < l < 2$ ). For the latter, the EM effect is strongly damped due to interband transitions.<sup>2,8</sup> It is worthy of noting that the EM enhancement is originated from the collective excitation of delocalized electrons in metal surfaces and gives rise to the same enhancement effect on the same symmetric modes of adsorbed

molecules according to the surface selection rule.<sup>2,7,8</sup> Therefore, for some vibrations with the same irreducible representation, the CE effect should be considered as the main factor to influence their relative Raman intensities, whereas the EM enhancement only magnifies the CE effect on their SERS signals.

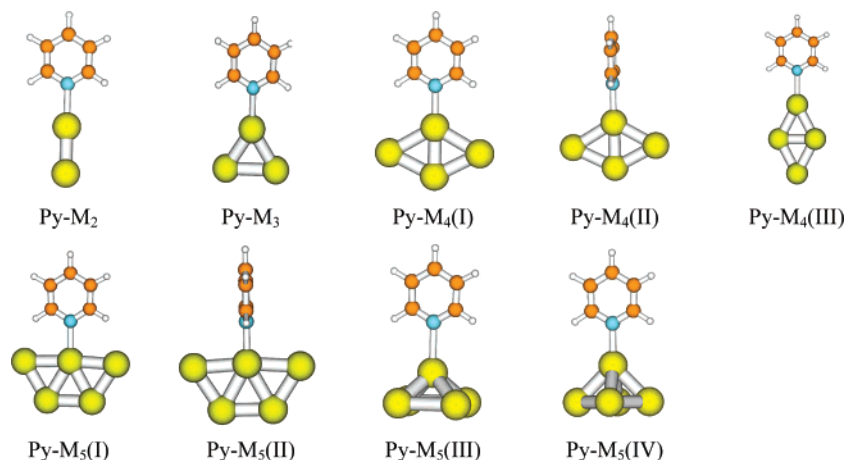
Ever since the pioneering work on SERS in the mid-1970s, pyridine (Py), as a surface sensitive probe molecule, has been studied extensively on various metal surfaces with different excitation wavelengths.<sup>6,8–11</sup> The adsorption orientation of Py was suggested to be upright or slightly tilted by the N-end of Py when the surface concentration reaches a monolayer. It has been widely accepted that pyridine molecules, adsorbed on properly roughened metal surfaces, exhibit a remarkable enhancement arising from both EM and CE enhancements.<sup>7,8,10,11</sup> Good quality SERS spectra can easily be observed from coinage metal (silver, gold, and copper) surfaces due to the significant EM enhancement by the highly effective surface plasmon resonance.<sup>10,12,13</sup> From these SERS spectra, there are five intense peaks at 624, 1010, 1035, 1218, and 1598  $\text{cm}^{-1}$ , which can be assigned to the totally symmetric modes ( $a_1$ ) under a  $C_{2v}$  point group, asymmetric ring deformation ( $\nu_{6a}$ , the Wilson notation), ring breathing mode ( $\nu_1$ ), symmetric ring deformation mode ( $\nu_{12}$ ), C–H in-plane bending mode ( $\nu_{9a}$ ), and ring C–C stretching mode ( $\nu_{8a}$ ), respectively.<sup>14</sup> In contrast to the SERS spectra,  $\nu_1$  and  $\nu_{12}$  modes are the only strong normal Raman signals among all of the fundamental modes in the gas-phase Py or pure-liquid Py.<sup>15,16</sup> For these modes, the SERS electro-

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**Figure 1.** Modeling Structures and Optimized Geometries for Py- $M_n$  ( $n = 2-5$  for  $M = \text{Cu, Ag, Au}$ ;  $n = 5$  for  $M = \text{Pt}$ ) complexes. Note that for Py-Pt complexes only two configurations of Py-Pt<sub>5</sub>(III) and Py-Pt<sub>5</sub>(IV) were considered here, because the platinum clusters preferred to adopt a 3D structure.

magnetic selection rule is not expected to provide an interpretation on the changes of their relative intensities.<sup>2,7,8,17,18</sup> As mentioned above, it is the CE mechanism that is responsible for the change in the relative Raman intensities.

For transition-metal (VIII B elements) surfaces, it is nontrivial to observe SERS signal of Py till a “borrowing SERS” strategy was independently developed by Leung and Weaver<sup>19</sup> and Fleischmann and Tian.<sup>20</sup> They deposited an ultrathin transition-metal layer over a SERS-active surface as new SERS substrates. With the aid of the long-range effect of EM enhancements created by the highly SERS-active substrate underneath, good-quality SERS spectra of adsorbates on several transition-metal films were obtained.<sup>21</sup> Recently, Tian and co-workers devised a series of methods of electrochemical treatment of electrode surfaces and chemical synthesis of nanoparticles to directly record good-quality SERS spectra from pure transition metals.<sup>6,7,22</sup> They have systematically investigated SERS of Py from transition-metal electrodes, such as iron, cobalt, nickel, ruthenium, rhodium, palladium, and platinum.<sup>23</sup> Their studies revealed that Py’s SERS spectral behavior on these metal surfaces was considerably different from that on the coinage metals. In addition to the difference in the overall SERS intensity due to different SERS activity of these metals, the relative intensities of different vibrations of Py were also found to be very sensitive to the nature of metal substrates.<sup>7,24</sup> For example, SERS spectra of Py adsorbed on these transition-metal surfaces showed that the signal of the  $\nu_{12}$  vibration was weakened, but the Raman signals of the  $\nu_{6a}$ ,  $\nu_{9a}$ , and  $\nu_{8a}$  modes were enhanced significantly. This was particularly clear for Py adsorbed on iron, cobalt, nickel, and platinum.<sup>25</sup> For these metals, the Raman intensity of the 1596  $\text{cm}^{-1}$  band of the  $\nu_{8a}$  mode was comparable with that of the  $\nu_1$  mode. Because the Fermi level of the transition metals appears at the metal d bands, the electronic structures of the surface active sites become more complex than those of the coinage metals, and Py interacts with these metals much more strongly than the typical SERS substrates.<sup>14,24</sup> Therefore, it is desirable to give detailed theoretical calculations to interpret the spectral behavior on these metal electrodes.

SERS surface active sites, such as ad-atoms and ad-clusters of metal interacting with adsorbates, are an important concept to understand the chemical enhancement.<sup>8</sup> In contrast to the jellium<sup>26</sup> and dielectric models,<sup>27</sup> the cluster model of molecule-metal interfacial complexes can provide some key insights into the chemical bonding interaction and the charge-transfer mechanism in SERS processes.<sup>11,28</sup> The binding interaction leads to

changes in the population of lone-pair electrons of the  $\sigma$  type and the delocalized molecular orbitals of the  $\pi$  type of the Py ring.<sup>14,24,29-34</sup> This results in not only the perturbation on geometries and vibrational fundamentals but also the changes of relative Raman intensities of the SERS spectra of Py.<sup>14,32-36</sup> In previous studies, cluster models combined with the density functional theory (DFT) were employed to explore the vibrational frequency shift of Py due to binding interactions with metal surfaces.<sup>14,24,29-34</sup> Cluster models were also used recently to predict the SERS spectra of Py interacting with copper, silver, and gold clusters,<sup>18,35,36</sup> and pyrazine<sup>37</sup> or pyrimidine<sup>38</sup> interacting with silver clusters. More recently, the time-dependent density functional theory (TD-DFT) method has been used to predict the dependence of SERS spectra on the incident wavelengths for Py interacting with silver and gold clusters.<sup>11,39,40</sup> It was found that the CT enhancement was about  $10^3$  for a Py-Ag<sub>20</sub> complex, where the CT state was ascribed to a transition from a metal orbital to the  $5\pi^*$  orbital of Py. To yield the strongest enhancement, an UV light was chosen to calculate the SERS spectra of Py-Ag<sub>20</sub>.<sup>11,40</sup> Similarly, for the Py-Au<sub>20</sub> complexes, resonance enhancements at 464 and 429 nm were calculated for vertical and surface complexes, respectively.<sup>39</sup> We believe that these incident photonic energies are too high such that interband transitions can be generally excited in the silver and gold clusters. In fact, the UV-SERS was only observed on the transition metals instead of the coinage metals.<sup>23k</sup> Accordingly, we paid our attention in this work to the influence of the charge-transfer mechanism on the dependence of the relative intensities of SERS spectra on the incident wavelengths. The relative long wavelengths to predict the frequency-dependent polarizability derivatives were chosen for this study.

The goal of the present work is to obtain a deeper insight into the chemical enhancement in Py adsorbed on different metal clusters (copper, silver, gold, and platinum). These clusters are analogies to SERS active centers. Our results show that the relative SERS intensities of  $a_1$  modes of Py are sensitive to the binding interaction between Py and metal clusters, the nature of metal materials, and the incident wavelengths. Our results also demonstrate the reliability of DFT approaches to predict Raman spectra of free Py.

### Computational Details

The cluster models used in the present work are shown in Figure 1. For  $M = \text{copper, silver, gold}$ , all nine configurations

have been explored. In Py–M<sub>4</sub>(II) and Py–M<sub>5</sub>(II) complexes, the planes of the Py ring and the metal cluster are chosen to be perpendicular to each other. Because Pt<sub>5</sub> prefers a 3D geometry of the square pyramid structure, only Py–M<sub>5</sub>(III) and Py–M<sub>5</sub>(IV) types of geometries have been studied. These clusters are redefined as Py–Pt<sub>5</sub>(I) and Py–Pt<sub>5</sub>(II), respectively.

All of the quantum chemical calculations were obtained by using density functional theory (DFT) methods in the *Gaussian 03* package.<sup>41</sup> The DFT method in the flavor of B3LYP<sup>42,43</sup> was used for geometry optimizations and vibrational frequency calculations. The basis sets for carbon, nitrogen, and hydrogen atoms were 6-311+G\*\*, which included a polarization function to all three atoms and a diffuse function to the carbon and nitrogen atoms. For all of the metal atoms, the valence electrons and the internal shells were described by the basis functions, LANL2DZ,<sup>41</sup> and the corresponding relativity effective core potentials.<sup>44</sup> In particular, to check the convergence of the basis sets in the prediction of Raman scattering intensities, larger basis sets, aug-cc-pVTZ and aug-cc-pVQZ,<sup>45</sup> were used for carbon, nitrogen, and hydrogen atoms in Py in selected clusters of PyM<sub>2</sub> and PyM<sub>4</sub>. For free Py, MP2 and DFT calculations with the exchange-correlation functionals of B3PW91 (Perdew and Wang's 1991 gradient-corrected correlation functional)<sup>46</sup> and PBE1PBE (the 1997 hybrid functional of Perdew, Burke and Ernzerhof)<sup>47</sup> were also carried out.

On top of the optimized geometries, Raman intensities in on- and off-resonance Raman scattering processes were estimated in terms of the derivative of the polarizability with respect to a given normal coordinate. We employed the general formula in the harmonic approximation, where the differential Raman scattering cross section (DRSC) was given by,<sup>48,49</sup>

$$\left(\frac{d\sigma}{d\Omega}\right)_i = \frac{(2\pi)^4}{45} \cdot \frac{h}{8\pi^2 c \tilde{\nu}_i} \cdot \frac{(\tilde{\nu}_0 - \tilde{\nu}_i)^4}{1 - \exp(-hc\tilde{\nu}_i/k_B T)} S_i \quad (1)$$

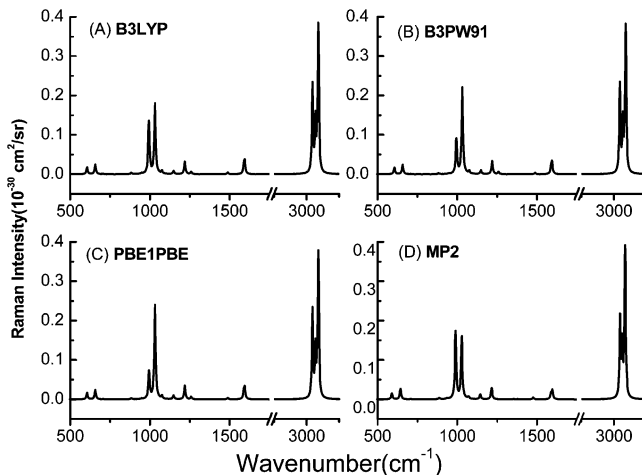
$$S_i = 45 \left( \frac{d\alpha}{dQ_i} \right)^2 + 7 \left( \frac{d\gamma}{dQ_i} \right)^2 \quad (2)$$

Here,  $h$ ,  $c$ ,  $k_B$ , and  $T$  are the Planck constant, light speed, Boltzmann constant, and Kelvin temperature, respectively.  $\tilde{\nu}_0$  and  $\tilde{\nu}_i$  are the frequencies (in  $\text{cm}^{-1}$ ) of incident light and the  $i$ th vibrational mode.  $S_i$  is the Raman scattering factor (in  $\text{\AA}^4/\text{amu}$ ) that can be directly calculated using the *Gaussian 03* program.<sup>41</sup>  $d\alpha/dQ_i$  and  $d\gamma/dQ_i$  are derivatives of isotropic and anisotropic polarizabilities with respect to a given normal coordinate, respectively. The frequency-dependent polarizabilities were calculated using the coupled perturbation methods.<sup>50</sup> Then, the polarizability derivatives were obtained by numerically differentiating the analytic polarizability with respect to nuclear coordinates.<sup>51</sup>

When the DRSC value of the Py molecule was measured in aqueous solutions,<sup>57–60</sup> the solvent effect can affect the Raman spectra of a polarizable molecule. Here, we used two models to include the solvent effect. The first one was the polarizable continuum model (PCM),<sup>52</sup> where we used the dielectric constant of water,  $\epsilon_w = 78.39$  to calculate  $S_i$ . The second model was a hydrogen-bonded Py–W<sub>3</sub> cluster, with which we analyzed the influence of the solvent effect on the Raman bands of Py.<sup>53</sup>

## Results and Discussion

**A. Absolute DRSC of Free Pyridine.** To evaluate SERS enhancement factors (EF), absolute Raman intensities of Py in gas or bulk phases have to be calculated as a reference. Figure 2 shows the simulated Raman spectra in the region of 500–



**Figure 2.** Simulated normal Raman spectra of Py at the incident wavelength of 514.5 nm with a Lorentzian line shape (a line width of  $10 \text{ cm}^{-1}$ ) calculated with different theoretical methods of (A) B3LYP, (B) B3PW91, (C) PBE1PBE, and (D) MP2 with aug-cc-pVTZ basis set.

**TABLE 1: Calculated Frequencies (in  $\text{cm}^{-1}$ ) and Raman Activity (in  $\text{\AA}^4/\text{amu}$ ) of the Ring Breathing Mode ( $\nu_1$ ) and the Triangle Bending Mode ( $\nu_{12}$ ) for Free Pyridine**

Method	6-311+G(d,p)		Aug-cc-pVTZ	
	$\nu_1(\omega_i/S_i)$	$\nu_{12}(\omega_i/S_i)$	$\nu_1(\omega_i/S_i)$	$\nu_{12}(\omega_i/S_i)$
MP2	1007.1/38.2	1043.2/29.8	1007.1/40.2	1046.5/36.7
B3LYP	1010.2/30.7	1046.6/34.4	1012.1/31.4	1051.3/41.6
B3PW91	1013.7/19.6	1049.0/43.8	1014.0/20.2	1052.7/51.4
PBE1PBE	1019.7/15.5	1055.3/48.4	1019.0/16.1	1058.3/55.9
B3LYP	1026.2/56.3	1049.1/17.0	1028.4/58.9	1053.5/21.9

$3100 \text{ cm}^{-1}$ . It is noted that Raman intensities of the C–H vibrations ( $\sim 3100 \text{ cm}^{-1}$ ) were calculated to be stronger than those of the  $\nu_1$  and  $\nu_{12}$  modes ( $\sim 1000 \text{ cm}^{-1}$ ). This is, however, different from the Raman measurements, in which the Raman signals of the C–H stretching modes are slightly weaker than those of the  $\nu_1$  and  $\nu_{12}$  bands.<sup>15,16</sup> The discrepancy between measured and simulated spectra was mainly from the lower response efficiency of the charge-coupled device and the light grating of Raman instruments in the high-frequency region rather than that in the middle frequency region.<sup>54</sup> For the  $\nu_1$  and  $\nu_{12}$  modes, both MP2 and B3LYP results agree reasonably well with the observed Raman spectra. B3PW91 and PBE1PBE, on the other hand, predict the relative intensities of these two modes, which are in poor agreement with the experimental observations.<sup>16,53</sup>

Table 1 presents the vibrational frequencies and the corresponding  $S_i$  values calculated by using different methods. From Table 1, we see that the  $\nu_{12}$  mode is more sensitive to the basis set quality than the  $\nu_1$  mode. Increasing the basis set from 6-311+G\*\* to aug-cc-pVTZ results in average frequency shifts of 0.4 and  $3.6 \text{ cm}^{-1}$ , accompanied by a neat increase in the Raman activity by  $\sim 1.0$  and  $7.03 \text{ \AA}^4/\text{amu}$  for the  $\nu_1$  and  $\nu_{12}$  modes, respectively. Hence, for B3LYP the 6-311+G\*\* set may present an adequate basis set for the description of the  $\nu_1$  mode, though it is not yet converged for the  $\nu_{12}$  mode. As we are more interested in the ratio of change of the Raman activity upon Py interaction with the metal, the basis set effect is expected to be smaller. 6-311+G\*\* will be our basis set of choice for the study of the Py interaction with the metal clusters.

Table 2 summarizes the vibrational frequencies and DRSC values for the  $a_1$  modes of free Py. In our previous study, we found that the SQMF-B3LYP method with the basis set of 6-311+G\*\* reproduced well the vibrational frequencies of the



**TABLE 2: Calculated and Experimental Differential Raman Scattering Cross-Section (in  $10^{-30}$  cm<sup>2</sup>/sr) and Scattering Factors (in Å<sup>4</sup>/amu; in Parenthesis) with the Incident Wavelength of 514.5 nm for Selected a<sub>1</sub> Vibrational Modes of Pyridine**

	Frequencies/cm <sup>-1</sup>		Raman Intensity				expt. <sup>a</sup>
	expt.	B3LYP	B3LYP 6-311+G**	B3LYP aug-cc-pVTZ	B3LYP aug-cc-pVQZ	MP2 aug-cc-pVTZ	
$\nu_{6a}$	601.2	605.8	0.45(3.9)	0.27(2.3)	0.27(2.3)	0.23(2.0)	
$\nu_1$	991.4	998.5	2.09(30.7)	2.14(31.4)	2.26(33.1)	2.74(40.2)	(44)
$\nu_{12}$	1031.7	1040.5	2.33(34.4)	2.81(41.6)	2.70(40.0)	2.48(36.7)	(35)
$\nu_{9a}$	1218.0	1219.4	0.50(7.8)	0.51(7.9)	0.51(7.8)	0.46(7.1)	
$\nu_{8a}$	1583.9	1594.4	0.41(14.0)	0.46(15.7)	0.47(15.8)	0.34(11.4)	

<sup>a</sup> Raman scattering factors of the  $\nu_1$  and  $\nu_{12}$  modes were derived from ref 59.

**TABLE 3: Calculated Raman Scattering Factors (Å<sup>4</sup>/amu) and Differential Raman Scattering Cross Sections ( $10^{-30}$  cm<sup>2</sup>/sr) of Selected a<sub>1</sub> Vibrational Modes of Pyridine and Py-(H<sub>2</sub>O)<sub>3</sub> Using Different Solvation Models Combined with the B3LYP Method at an Incident Wavelength of 514.5 nm**

Species	Py <sup>a</sup>				Py-W <sub>3</sub>					
	6-311+G**		aug-cc-pVTZ		6-311+G**		aug-cc-pVTZ		aug-cc-pVTZ <sup>a</sup>	
mode	S <sub>i</sub>	dσ/dΩ	S <sub>i</sub>	dσ/dΩ	S <sub>i</sub>	dσ/dΩ	S <sub>i</sub>	dσ/dΩ	S <sub>i</sub>	dσ/dΩ
$\nu_{6a}$	10.8	1.26	5.7	0.66	2.4	0.28	1.5	0.17	4.3	0.50
$\nu_1$	135.1	9.22	168.0	11.48	56.3	3.83	58.9	4.00	192.0	13.08
$\nu_{12}$	114.1	7.72	118.1	8.00	17.0	1.15	21.9	1.48	76.1	5.15
$\nu_{9a}$	13.5	0.88	12.9	0.83	7.2	0.46	7.14	0.46	15.4	1.00
$\nu_{8a}$	68.2	2.02	75.7	2.25	15.2	0.37	12.9	0.38	67.0	1.98

<sup>a</sup> The results were calculated on the basis of the polarized continuum model.

a<sub>1</sub> modes for Py in the Ar matrix.<sup>14,24,31</sup> Hence, here we will only focus on DRSC and scattering factors. The corresponding DRSC values at different levels of theory are in concord with each other. All of the methods point out that the  $\nu_1$  and  $\nu_{12}$  modes have the strongest Raman signals among others. We notice that B3LYP with three different basis sets all predicted that the  $\nu_{12}$  mode possesses a higher Raman activity than the  $\nu_1$  mode. This is, however, contradictory to the result predicted by the MP2/aug-cc-pVTZ method. It seems that the B3LYP method has a tendency to slightly overestimate the Raman intensities of the low-intensity bands, like the  $\nu_{6a}$ ,  $\nu_{9a}$ , and  $\nu_{8a}$  bands. If the DRSC value of the  $\nu_1$  mode is taken as a reference, we can estimate that the ratios of the  $\nu_{6a}$ ,  $\nu_{9a}$ , and  $\nu_{8a}$  modes are about four times larger than the observed ratios.<sup>56</sup> Such a tendency is not alleviated by increasing the basis set from 6-311+G\*\* to aug-cc-pVTZ. This may be attributed to the shortcoming of the B3LYP method, which underestimates the  $S_i$  value of the  $\nu_1$  mode. In terms of the Raman intensity of Py, MP2 is clearly superior to B3LYP, albeit it is much more expensive at the basis set of aug-cc-pVTZ.

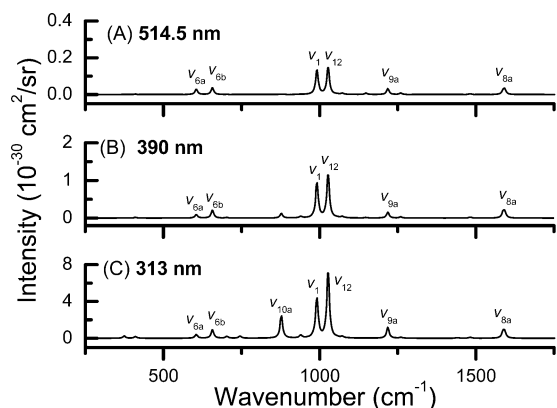
Now, we will turn to a comparison of the calculated DRSC values with the experimental data to check the reliability of the MP2 and B3LYP methods. For Py, its Raman spectra have been measured in gas phases<sup>57</sup> and in the pure-liquid<sup>58–60</sup> and aqueous solutions.<sup>59,60</sup> These reported Raman spectra showed that the absolute and relative intensities depend on the excitation wavelengths, concentrations of aqueous solutions, and the chemical environments. The DRSC value of the  $\nu_1$  mode of Py measured at an excitation wavelength of 488.0 nm is about  $1.51 \times 10^{-29}$  cm<sup>2</sup>/sr in its pure liquid.<sup>58</sup> According to the fourth power of the excitation frequency dependence, DRSC of the  $\nu_1$  mode decreases to  $1.21 \times 10^{-29}$  and  $4.6 \times 10^{-30}$  cm<sup>2</sup>/sr at the incident wavelengths of 514.5 and 647.1 nm, respectively. These values are very close to  $1.25 \times 10^{-29}$  cm<sup>2</sup>/sr for the excitation line at 514.5 nm in pure liquid<sup>59</sup> or  $1.1 \times 10^{-29}$  cm<sup>2</sup>/sr for 514.5 nm and  $4.2 \times 10^{-30}$  cm<sup>2</sup>/sr for 647.1 nm in aqueous solution.<sup>60</sup> This suggests that the Raman polarizability derivatives of the Py molecule have only a weak dependence on the incident wavelength in the long wavelength region of visible light. We also notice that these DRSC values are slightly larger than  $6.4$

$\times 10^{-30}$  cm<sup>2</sup>/sr measured at the incident wavelength of 514.5 nm in gaseous phases.<sup>57</sup> Such a difference is probably due to the intermolecular interaction and the solvent effect. Our calculated numbers are  $2.14 \times 10^{-30}$  and  $2.74 \times 10^{-30}$  cm<sup>2</sup>/sr at the levels of B3LYP/aug-cc-pVTZ and MP2/aug-cc-pVTZ, respectively, in general agreement with the experimental data. We also noted that a recently reported value ( $\sim 10^{-31}$  cm<sup>2</sup>/sr), calculated at the density functional level of BP86 (the gradient-corrected Becke–Perdew exchange–correlation functional) and adopted the incident wavelength of 514.5 nm,<sup>11</sup> is an order of magnitude smaller than our numbers reported here and, hence, is too small as compared to the experimental values.

Table 3 lists the Raman scattering factors ( $S_i$ ) calculated with the PCM model to include the solvation effect. We used B3LYP combined with 6-311+G\*\* and aug-cc-pVTZ basis sets. The PCM model effectively increases the DRSC value of the  $\nu_1$  model from  $2.14 \times 10^{-30}$  (gas phase) to  $1.15 \times 10^{-29}$  cm<sup>2</sup>/sr (aqueous solutions) at the latter basis set. This aqueous number is in excellent agreement with the experimental data of  $1.10 \times 10^{-29}$ <sup>59</sup> or  $1.25 \times 10^{-29}$  cm<sup>2</sup>/sr.<sup>60</sup> On the basis of the classical Onsager model, the solvent effect can be estimated from the factor  $EF = [(n^2 + 2)/3]^4$ , where  $n$  is the refractive index of the solvent. For pure Py liquids,  $n$  may be taken as 1.51, which leads to a 4.14-fold increase of EF due to the solvent effect.<sup>61</sup> Hence, B3LYP gives the DRSC value of the  $\nu_1$  model in the range of  $8.7\text{--}9.4 \times 10^{-30}$  cm<sup>2</sup>/sr for pure Py liquids. The corresponding MP2 value is  $1.13 \times 10^{-29}$  cm<sup>2</sup>/sr. All of these numbers can be compared favorably with the observed value.<sup>58–60</sup>

To study how the hydrogen-bonded interaction between Py and water molecules will influence the Raman intensity, a Py-W<sub>3</sub> complex is used to calculate the  $S_i$  values. The results in Table 3 clearly show that the Raman intensity is not sensitive to the direct hydrogen bonding between Py and solvent. Instead, the long-range electrostatic interaction, as included in a PCM or Onsager model, plays an important role.

Figure 3 presents variations of the simulated Raman spectra of Py with the incident wavelengths. As can be seen, when the excitation wavelength moves from visible light to UV light, there are not only increases for the DRSC values of the a<sub>1</sub> modes, but also an enhanced Raman signal of the  $\nu_{10a}$  mode. This  $\nu_{10a}$



**Figure 3.** Dependence of the simulated preresonance Raman spectra of free Py upon the incident wavelengths. The incident wavelengths are (A) 514.5 nm, (B) 390 nm, and (C) 313 nm.

mode is an out-of-plane C–H bending vibration with  $a_2$  symmetry, which can be interpreted by using the preresonance Raman scattering.<sup>62</sup> For free Py molecules, the first ( $S_1:1B_1$ ) and the second ( $S_2:1B_2$ ) singlet excited states have transition energies of 4.31 and 4.7 eV, which correspond to  $n \rightarrow \pi^*$  and  $\pi \rightarrow \pi^*$  transitions, respectively.<sup>63</sup> When the excitation line 325 nm (i.e., the photonic energy of 3.81 eV) is used, the preresonance Raman spectra can be observed.<sup>62</sup> The  $\nu_{10a}$  mode is enhanced due to the vibronic coupling between the lowest two singlet excited states. This indicates that the preresonance Raman spectra should be predicted well on top of the DFT-coupled perturbation calculations. This encourages us to calculate the charge transfer state contributing to the CE enhancement when Py is adsorbed on metallic clusters.

**B. Pyridine Interacting with the Copper, Silver, and Gold Clusters.** On rough surfaces of coinage metals, the SERS signals can obtain a million-fold enhancement with respect to that of Py in the gas phase or the bulk.<sup>10</sup> In many cases, the EM enhancement plays an important role.<sup>8</sup> However, on smooth or low-index single-crystal surfaces, the EM enhancement is so small that it can be neglected. The DRSC values of the SERS vibrational mode of Py are enhanced mainly because of the CE mechanism. For Py adsorbed on a smooth Ag(100) surface in ultrahigh vacuum, Udagawa et al. reported a DRSC value of about  $2.8 \times 10^{-27}$  cm<sup>2</sup>/sr for the  $\nu_1$  mode at an incident wavelength of 514.5 nm.<sup>57</sup> In this case, the EF was about  $4.2 \times 10^2$  over the gaseous species. This was in contrast with an early result of Rowe et al., who claimed that the EF was less than  $10^2$ .<sup>64</sup> Recently, Campion and Mullins obtained a DRSC value of only  $5 \times 10^{-29}$  cm<sup>2</sup>/sr for the  $\nu_1$  mode of Py adsorbed on the low-index single-crystal surface of silver with an excitation wavelength of 514.5 nm in ultrahigh vacuum.<sup>65</sup> More recently, the SER spectra of Py adsorbed on copper and gold single-crystal surfaces have been obtained at the incident wavelength of 632.8 nm.<sup>66,67</sup> These studies showed that the EFs were not large, having numbers of 3 for Cu(100), 19 for Cu(111), 40 for Cu(110), and 1.0 to  $\sim 3.5$  for Au(210), etc. To summarize these results, we conclude that the Raman intensities of Py are sensitive to the variation of the binding interaction between Py and different SERS substrates as well as the incident wavelength. To understand the influence of the two effects on Raman scattering intensity, we will calculate the static and preresonance Raman spectra. For static Raman spectra, we discuss the changes of  $S_i$  values with different complexes. Because the excitation by using the green laser at 514.5 nm will cause interband transitions, the SERS signals of Py adsorbed on copper or gold surfaces generally become very weak.<sup>13,68–70</sup>

Therefore, we will consider the Raman intensity for the preresonance Raman spectra in the yellow light close to 632.8 nm.

Table 4 presents  $S_i$  values of Py– $M_n$  ( $M$  = copper, silver, and gold;  $n = 2–5$ ) complexes calculated at the B3LYP/6-311+G\*\* (C, N, H)/LANL2DZ (Cu, Ag, Au) level. Also presented in the parentheses are the ratios of the  $S_i$  values between Py– $M_n$  complexes and free Py. We may classify these complexes into three groups, depending on the interacting strengths between Py and  $M_n$ . Previously, we calculated that the binding energies for Py–Ag, Py–Ag<sub>4</sub>(III), Py–Au, and Py–Au<sub>4</sub>(III) were around 4.7, 5.4, 9.1, and 10.9 kcal/mol, respectively.<sup>71</sup> Such a weak binding interaction between Py and metal clusters may be considered as a physical adsorption state of Py on these metal clusters. This is mainly observed in the complexes of Py interacting with silver clusters and some nonactive sites of gold clusters. This kind of complex is labeled as the A-type. The A-type is characterized by a decrease in the ratio of the  $S_i$  values of the  $\nu_{6a}$  mode, with one exception for complex Py–Au<sub>5</sub>(II). There accompanies an increase of the ratios of the  $S_i$  values of the other modes. However, the weak binding interaction only leads to small changes in the Raman spectra. The largest ratio of the  $S_i$  value is 4.4 as found in the  $\nu_{9a}$  mode of Py–Ag<sub>4</sub>(I).

Recently, Schatz and co-workers have also studied the influence of the binding interaction on the Raman intensities of Py interacting with Ag<sub>2</sub> and Ag<sub>4</sub> clusters.<sup>40</sup> For Py–Ag<sub>2</sub> and Py–Ag<sub>4</sub>(III), similar optimized structures were adopted. They calculated the Raman intensities of the  $\nu_{8a}$  mode to be comparable to that of the  $\nu_1$  mode, whereas our predicted values are generally smaller for the former mode. This difference is probably due to the difference in the exchange-correlation functionals used, that is, B3LYP versus BP86.

The binding interaction increases in the second B-type, as compared to the A-type. In this case, the Raman activity of the  $\nu_{12}$  mode obviously decreases. Their ratios of  $S_i$  values of  $\nu_{12}$  are in a range within 0.0 to  $\sim 0.9$ . Meanwhile, the ratios of the  $S_i$  values between these complexes and free Py are generally smaller than 10, and there are three exception cases, which have larger ratios of 14.5 and 16.3 for the  $\nu_{8a}$  mode of Py–Cu<sub>2</sub> and Py–Cu<sub>4</sub>(III), respectively, as well as 15.9 for the  $\nu_{9a}$  mode of Py–Cu<sub>5</sub>(I). The enhancements in Raman activities of  $\nu_{6a}$ ,  $\nu_{9a}$ , and  $\nu_{8a}$  modes depend on adsorption configurations of Py interacting with different metal clusters.

The third type, C-type, is characterized by a strong binding interaction between Py and metal clusters, and the significant enhancement of Raman signals of  $\nu_{6a}$ ,  $\nu_1$ ,  $\nu_{9a}$ , and  $\nu_{8a}$  modes can be observed in the this type of complexes. As compared to free Py, the  $S_i$  values of these modes increase significantly as shown in Table 4. On the basis of these static  $S_i$  values, the simulated normal Raman spectra are presented in Figure 4. For convenient comparisons, the simulated Raman spectra of selected complexes of other types, such as Py–Ag<sub>4</sub>(I), Py–Cu<sub>4</sub>(I), and Py–Au<sub>4</sub>(I), are also presented in Figure 4. These results show that Raman intensities of these vibrations of Py are very sensitive to the adsorption configuration. Such an adsorption configuration can lead to the strongest peaks in a number of SERS spectra when Py adsorbs on these metal surfaces.<sup>72–75</sup>

The photon-induced charge-transfer mechanism is thought to contribute to the SERS spectral signal, which may change the relative Raman intensities of the different vibrational modes. Recently, TD–DFT studies have been used to predict the SERS spectra of Py interacting with silver and gold clusters.<sup>11,39,40</sup> They

**TABLE 4: Raman Scattering Factors (in Units of  $\text{\AA}^4/\text{amu}$ ) and the Relative Values (in Parentheses) with Respect to the Raman Scattering Factors of the Corresponding Vibrational Modes of Free Pyridine for  $\text{Py-M}_n$  ( $\text{M} = \text{Cu, Ag, and Au}$ ;  $n = 2-5$ ) Complexes Calculated at the B3LYP/6-311+G\*\*( $\text{C, N, H}$ )/LANL2DZ( $\text{Cu, Ag, Au}$ ) Level**

metal	type	species	$\nu_{6a}$	$\nu_1$	$\nu_{12}$	$\nu_{9a}$	$\nu_{8a}$
$\text{Ag}_n$	A	Py-Ag	2.5(0.6)	48.6(1.6)	41.7(1.2)	19.2(2.5)	21.8(1.6)
	A	Py-Ag <sub>2</sub>	1.4(0.4)	50.1(1.6)	46.2(1.3)	11.6(1.5)	27.9(2.0)
	A	Py-Ag <sub>3</sub>	3.1(0.8)	68.4(2.2)	37.0(1.1)	22.9(2.9)	28.3(2.0)
	A	Py-Ag <sub>4</sub> (I)	1.2(0.3)	70.7(2.3)	34.5(1.0)	34.4(4.4)	19.8(1.4)
	C	Py-Ag <sub>4</sub> (II)	157.0(40.4)	549.5(17.9)	20.6(0.6)	325.3(41.8)	1300.5(93.2)
	A	Py-Ag <sub>4</sub> (III)	0.5(0.1)	43.5(1.4)	72.9(2.1)	23.4(3.0)	49.4(3.5)
	B	Py-Ag <sub>5</sub> (I)	7.3(1.9)	83.6(2.7)	28.3(0.8)	76.8(9.9)	20.9(1.5)
	B	Py-Ag <sub>5</sub> (II)	17.9(4.6)	108.8(3.5)	30.8(0.9)	43.5(5.6)	86.3(6.2)
	C	Py-Ag <sub>5</sub> (III)	66.5(17.1)	297.5(9.7)	20.0(0.6)	107.2(13.8)	506.2(36.3)
	C	Py-Ag <sub>5</sub> (IV)	44.4(11.4)	248.4(8.1)	18.7(0.5)	69.9(9.0)	327.3(23.4)
	B	Py-Cu	13.7(3.5)	113.7(3.7)	13.8(0.4)	35.3(4.5)	96.2(6.9)
	B	Py-Cu <sub>2</sub>	18.4(4.7)	164.8(5.4)	8.4(0.2)	29.6(3.8)	202.2(14.5)
	B	Py-Cu <sub>3</sub>	18.1(4.6)	160.1(5.2)	1.5(0.0)	42.9(5.5)	128.7(9.2)
	B	Py-Cu <sub>4</sub> (I)	11.8(3.0)	136.4(4.4)	3.0(0.1)	43.1(5.5)	80.3(5.7)
	C	Py-Cu <sub>4</sub> (II)	348.4(89.6)	1322.9(43.1)	21.0(0.6)	956.3(122.8)	3415.4(244.7)
$\text{Cu}_n$	B	Py-Cu <sub>4</sub> (III)	15.7(4.0)	146.9(4.8)	18.4(0.5)	19.5(2.5)	227.5(16.3)
	B	Py-Cu <sub>5</sub> (I)	13.8(3.5)	116.9(3.8)	8.2(0.2)	123.8(15.9)	43.5(3.1)
	C	Py-Cu <sub>5</sub> (II)	58.4(15.0)	267.7(8.7)	5.6(0.2)	101.1(13.0)	390.2(27.9)
	C	Py-Cu <sub>5</sub> (III)	194.2(49.9)	721.6(23.5)	18.7(0.5)	430.2(55.2)	1634.5(117.1)
	C	Py-Cu <sub>5</sub> (IV)	254.8(65.5)	936.5(30.5)	29.9(0.9)	624.4(80.2)	2306.7(165.2)
	A	Py-Au	1.3(0.3)	37.6(1.2)	57.4(1.7)	10.3(1.3)	17.7(1.3)
	B	Py-Au <sub>2</sub>	4.5(1.1)	117.4(3.8)	21.3(0.6)	13.8(1.8)	84.2(6.0)
	B	Py-Au <sub>3</sub>	8.4(2.2)	122.9(4.0)	18.2(0.5)	10.9(1.4)	64.1(4.6)
	B	Py-Au <sub>4</sub> (I)	4.0(1.0)	116.6(3.8)	16.7(0.5)	12.5(1.6)	41.6(3.0)
	C	Py-Au <sub>4</sub> (II)	46.3(11.9)	293.1(9.6)	4.1(0.1)	95.9(12.3)	332.9(23.8)
	A	Py-Au <sub>4</sub> (III)	2.8(0.7)	44.4(1.4)	104.2(3.0)	0.8(0.1)	43.5(3.1)
	A	Py-Au <sub>5</sub> (I)	0.2(0.1)	69.7(2.3)	52.0(1.5)	24.5(3.1)	14.9(1.1)
	A	Py-Au <sub>5</sub> (II)	4.8(1.2)	85.4(2.8)	43.7(1.3)	18.5(2.4)	38.6(2.8)
	C	Py-Au <sub>5</sub> (III)	45.0(11.6)	290.9(9.5)	7.4(0.2)	79.9(10.3)	332.9(23.8)
	C	Py-Au <sub>5</sub> (IV)	91.8(23.6)	491.7(16.0)	2.1(0.1)	210.6(27.0)	785.1(56.2)

found that the CT enhancement was about  $10^3$ , in agreement with the experimental observation.<sup>76</sup> For Py-adsorbed silver surfaces, the EF in a magnitude of about  $10^3$  was also predicted.<sup>77</sup> In a special case of *p*-mercaptoaniline adsorbed on gold bowtie surfaces with a nanometer size, the EF due to the CT enhancement mechanism was found even up to  $10^7$ .<sup>78</sup> Here, we pay our attention to the influence of the charge-transfer mechanism on the relative intensities of SERS spectra. Therefore, in our cases, the relatively long wavelengths are used in our calculations.

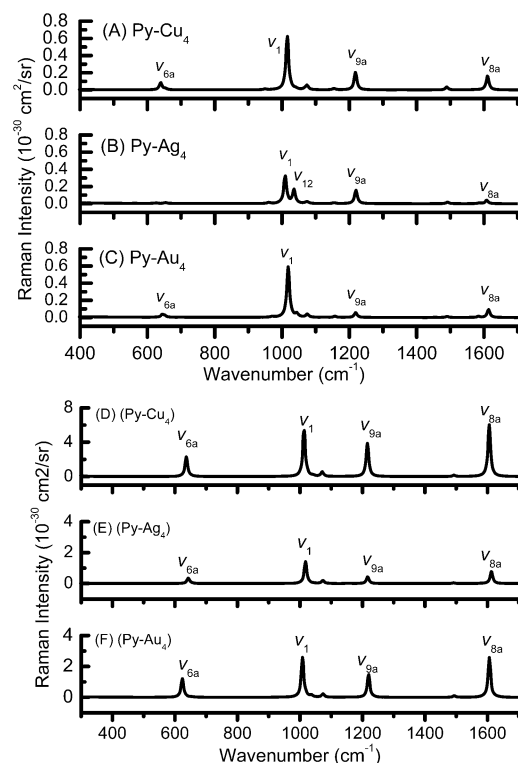
Figure 5 presents the simulated SERS spectra of Py-Ag<sub>2</sub> at the three different incident wavelengths. For this complex, we calculated that it has three lowest singlet excited states, such as  $S_1$ ,  $S_2$ , and  $S_3$  with transition energies of 2.09, 2.60, and 3.23 eV, respectively. The  $S_1$  and  $S_2$  states correspond to the CT excited states, that is, a symmetry-allowed transition from the highest occupied molecular orbital (HOMO) consisting of a silver  $5s + 5s$  bonding orbital to the Py  $4\pi^*$  orbital with  $b_1$  symmetry and a symmetry-forbidden transition from the HOMO to a Py  $5\pi^*$  orbital with  $a_2$  symmetry. The third excited state having a large oscillator of 0.94 corresponds to a transition from the HOMO to an unoccupied orbital mainly consisting of the silver  $p_z$  orbital.

The calculated transition energy (2.09 eV) for  $S_1$  is in agreement with the experimental observations.<sup>76,79-81</sup> For example, a similar value of 2.06 eV has also been determined from Py adsorbed on an evaporated silver film.<sup>76</sup> According to the Stokes and anti-Stokes Raman line intensity ratio in resonance Raman scattering, the charge transfer absorption band was determined to be 1.92 eV.<sup>81</sup> CT excitations of Py adsorbed on a Ag(111) surface have been observed by electron energy loss spectroscopy to be around 1.4 to  $\sim 2.4$  eV.<sup>79,80</sup> An inverse photoemission experiment showed that the unoccupied  $4\pi^*$  and  $5\pi^*$  levels were around  $2.9 \pm 0.2$  eV above the Fermi level on silver surfaces.<sup>82</sup>

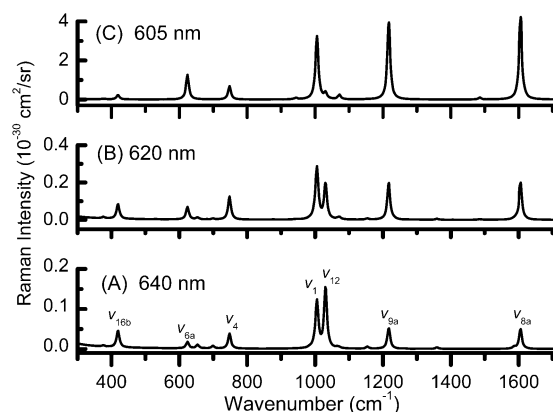
To consider the influence of the CT mechanism in the Py-Ag<sub>2</sub> complex, three incident wavelengths, 640, 620, and 605 nm, have been used, which have energy gaps of 0.15, 0.09, and 0.04 eV, respectively, with respect to the  $S_1$  transition energy of 2.09 eV, respectively. As seen in Figure 4, the relative Raman intensities of the simulated Raman spectra are sensitive to the incident wavelengths. Raman intensities of the  $\nu_{8a}$  and  $\nu_{9a}$  modes increase obviously with the incident photonic energy approaching the  $S_1$  energy. In particular, the Raman intensities of these two modes surpass that of the  $\nu_1$  mode at 605 nm. On the contrary, the  $\nu_{12}$  mode significantly decreases its Raman intensity. This is in line with our previous results and the other reported works.<sup>18,83,84</sup> In the  $S_1$  state, the  $\nu_{12}$  mode only has a small displacement of the equilibrium geometry with respect to that of the ground state, whereas the corresponding displacements of the  $\nu_1$ ,  $\nu_{8a}$ , and  $\nu_{9a}$  modes are more significant. Because there are no other states coupling to the  $S_1$  state for Py-Ag<sub>2</sub>, this simulated Raman spectrum is a typical one of Py.

The recent theoretical results calculated by Jensen et al. were quite different from ours reported here. They used a short incident wavelength of 376 nm (3.30 eV), which is close to the excitation to the  $S_3$  excited state. As the  $S_3$  state has a large oscillator strength, large electromagnetic resonance enhancement can be anticipated.<sup>40</sup> We are surprised to see that the 376 nm incident wavelength resulted in a large change in the relative Raman intensities in the SERS spectra. We suspect that their simulated Raman spectra were sensitive to the internal excitation of the metallic clusters. This idea will be further demonstrated in the later part of the present work.

For Py-Cu<sub>2</sub> and Py-Au<sub>2</sub>, we do not present their simulated Raman spectra here. But we note that Py-Cu<sub>2</sub> has the  $S_1$  CT state with the transition energy of 1.79 eV and a small oscillator strength of 0.0003. This calculated transition energy is in excellent agreement with 1.77 eV from the resonance Raman scattering,<sup>82</sup> but it is obviously too low as compared to 3.15



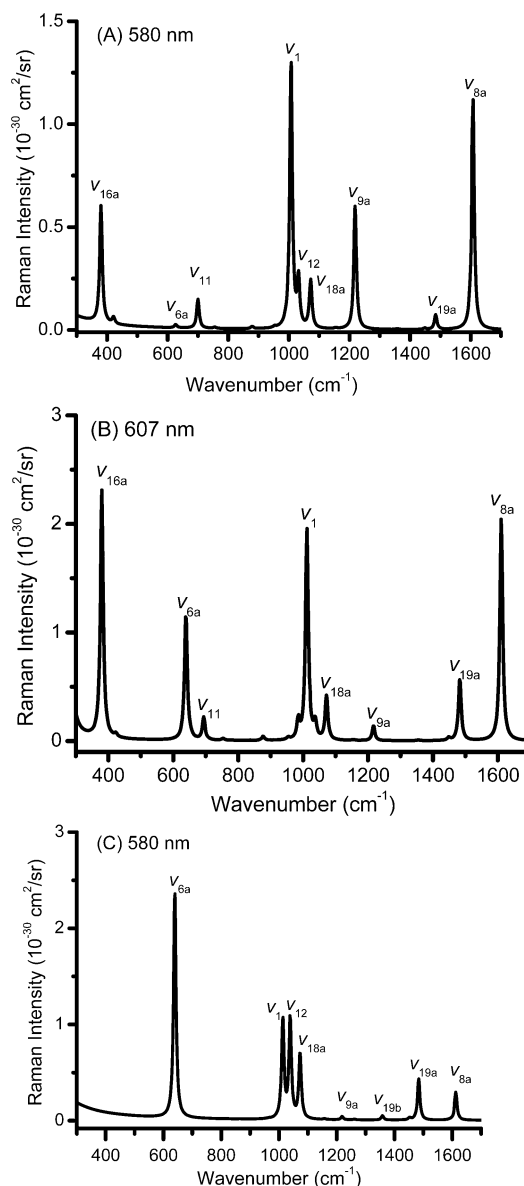
**Figure 4.** Simulated static Raman spectra of Py-M<sub>4</sub>(I) and Py-M<sub>4</sub>(II) complexes (M = Cu, Ag, Au) at the B3LYP/aug-cc-pVTZ(C, N, H)/LANL2DZ (Cu, Ag, Au) level at the excitation line 514.5 nm for differential Raman scattering cross section in units 10<sup>-30</sup> cm<sup>2</sup>/sr and wavenumber in cm<sup>-1</sup>. (A) Py-Cu<sub>4</sub>(I), (B) Py-Ag<sub>4</sub>(I), (C) Py-Au<sub>4</sub>(I), (D) Py-Cu<sub>4</sub>(II), (E) Py-Ag<sub>4</sub>(II), and (F) Py-Au<sub>4</sub>(II).



**Figure 5.** Simulated preresonance charge-transfer Raman spectra of Py-Ag<sub>2</sub> calculated with B3LYP/6-311+G(d,p) (C, N, H)/LANL2DZ-(Ag) at different excitation wavelengths of (A) 640 nm, (B) 620 nm, and (C) 605 nm.

and 3.75 eV from the two-photon photoemission spectroscopy.<sup>85</sup> For Py-Au<sub>2</sub>, we calculate the transition energy to the S<sub>1</sub> state to be 2.87 eV. This is obviously too large as compared to 1.55 eV measured for the CT state of adsorbed Py on gold surfaces.<sup>82</sup> The TDDFT calculations predicted 2.0 eV for the S<sub>1</sub> CT state of Py-Au<sub>20</sub>.<sup>39</sup> It is plausible that a too strong bonding HOMO orbital is formed in the small gold dimer, leading a too high transition energy for the S<sub>1</sub> state.

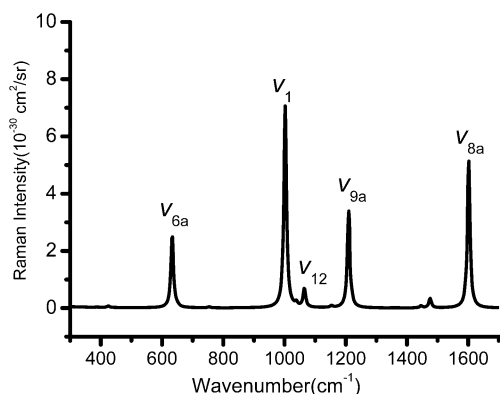
For Py-Cu<sub>4</sub> and Py-Ag<sub>4</sub>, there are low-lying CT excited states, S<sub>1</sub> and S<sub>2</sub>. The S<sub>1</sub> states, <sup>1</sup>A<sub>2</sub>, with transition energies of 1.50 and 1.67 eV for Py-Cu<sub>4</sub> and Py-Ag<sub>4</sub>, respectively, are symmetry-forbidden. The S<sub>1</sub> states correspond to the transition from the HOMO orbital mainly consisting of the metal s orbital featured with a b<sub>2</sub> symmetry to the 4π\*(b<sub>1</sub>) orbital of Py. The



**Figure 6.** Simulated Raman spectra of Py interacting with the metal tetramer along a direction of the active site in a planar configuration. (A) Py-Ag<sub>4</sub>(I), λ = 580 nm; (B) Py-Cu<sub>4</sub>(I), λ = 607 nm; (C) Py-Cu<sub>4</sub>(I), λ = 580 nm. Spectra have been broadened by a Lorentzian have a width of 10 cm<sup>-1</sup>.

transition energies and oscillator strengths of the S<sub>2</sub> states (<sup>1</sup>B<sub>1</sub>) are 2.12 eV and 0.0008, and 2.22 eV and 0.0006 for Py-Cu<sub>4</sub> and Py-Ag<sub>4</sub>, respectively.<sup>71</sup> The incident wavelengths we chose are 607 nm (2.04 eV) and 580 nm (2.14 eV), for Py-Cu<sub>4</sub> and Py-Ag<sub>4</sub>, respectively. Hence, for both clusters, the gaps between the incident wavelengths and the transition energy state of S<sub>2</sub> are the same (0.08 eV). For Py-Au<sub>4</sub>, there are five low-lying excited states (ΔE<sub>S1</sub> = 2.25 eV, f<sub>S1</sub> = 0.0145; ΔE<sub>S2</sub> = 2.45 eV, f<sub>S2</sub> = 0.0; ΔE<sub>S3</sub> = 2.80 eV, f<sub>S3</sub> = 0.0011; ΔE<sub>S4</sub> = 3.09 eV, f<sub>S4</sub> = 0.4639; and ΔE<sub>S5</sub> = 3.10 eV, f<sub>S5</sub> = 0.0003). Among them, only S<sub>2</sub> and S<sub>5</sub> belong to the CT excited states. Because the S<sub>2</sub> state is symmetry-forbidden and the S<sub>5</sub> state has a too large transition energy, we decided to check the influence of the excited state on the Raman spectrum of Py-Au<sub>4</sub> by considering the incident wavelength of 580 nm (2.14 eV) close to the S<sub>1</sub> state. The S<sub>1</sub> state is mainly from a transition within the Au<sub>4</sub> cluster itself. Its transition energy (2.25 eV) is close to the plasmon resonance peak at about 520 nm (2.38 eV) from isolated golden nanoparticles.<sup>86</sup>





**Figure 7.** Simulated static Raman spectrum of the Py–Pt<sub>5</sub> complex calculated at the B3LYP/6-311+G(d,p) (C, N, H)/Lanl2DZ level with an incident wavelength of 514.5 nm.

Figure 6 presents the simulated Raman spectra for the Py–M<sub>4</sub>(I) complexes (M = Cu, Ag, Au). As seen in part A of Figure 6 for Py–Ag<sub>4</sub>(I), the Raman bands of  $\nu_1$ ,  $\nu_{8a}$ , and  $\nu_{9a}$  modes exhibit a significant enhancement effect due to the charge-transfer mechanism. In contrast to the Raman spectra in part A of Figure 4, the Raman intensities of the  $\nu_{8a}$  and  $\nu_{9a}$  modes become comparable to the  $\nu_1$  mode, whereas the enhancement effect is small for the  $\nu_{6a}$  mode. This can be understood using the Raman Franck–Condon factors of these three vibrational modes. It is well known that a large normal mode displacement causes a significant enhancement in Raman signals. For the three modes, their displacements are  $\nu_{8a} > \nu_{9a} > \nu_{6a}$ ,<sup>18</sup> in agreement with the results based on the free Py and its anionic radical.<sup>84</sup> It shall be noted that the ring twist mode ( $\nu_{16a}$ ) with a Raman band at 380 cm<sup>-1</sup> also exhibits a significant enhancement when the CT state is excited. This mode has an  $a_2$  symmetry, and there exists a vibronic coupling between the S<sub>2</sub> (B<sub>1</sub>) and S<sub>3</sub> (B<sub>2</sub>) states through the  $\nu_{16a}$  mode. Additionally, the  $\nu_{16a}$  mode involves motions of the atoms closely associated with the  $\pi^*$  ( $a_2$ ) orbital, similar to the Raman intensity enhancement of the  $\nu_{10a}$  in free Py.<sup>11,62</sup> Although Zhao et al. have also considered the CT state,<sup>11</sup> the relative Raman intensities in their simulated Raman spectrum are slightly different from ours present here. The difference comes possibly from two aspects. First, Zhao et al.<sup>11</sup> calculated the resonance Raman spectra, whereas we calculate the preresonance Raman. Second, the different size of the metallic clusters and different theoretical methods were used.

Part B of Figure 6 clearly shows the metallic effect in the simulated Raman spectrum of Py–Cu<sub>4</sub>(I). In contrast to that of Py–Ag<sub>4</sub>, the  $\nu_{9a}$  mode in Py–Cu<sub>4</sub> is not enhanced, whereas the  $\nu_{6a}$  mode exhibits a strong Raman peak. Here, the vibronic coupling results in a significant enhancement effect on the  $\nu_{16a}$  mode, although it is likely that the Raman intensity of the  $\nu_{16a}$  mode is overestimated.

The simulated Raman spectrum presented in part C of Figure 6 gives rise from an internal excitation within the Au<sub>4</sub> cluster itself. The predicted spectrum is different from the static Raman spectrum shown in part C of Figure 4. A comparison of both predicted spectra shows that the relative Raman intensities of different  $a_1$  vibrational modes are very sensitive to the metal electron excitation. The  $\nu_{6a}$  mode exhibits a large enhancement effect as compared with the  $\nu_1$ ,  $\nu_{8a}$ , and  $\nu_{9a}$  modes. This is understandable, as the electronic excitation of a metal active SERS site can directly influence the relative Raman intensities. This is different from the surface plasmon resonance of collective excitations of delocalized electrons, which yields the same enhancement effect on the vibrational modes with the same irreducible representation.

**C. Pyridine Interacting with the Pt<sub>5</sub> Clusters.** The EM enhancement is unexpected to change the relative Raman intensities of the  $a_1$  modes of Py.<sup>2</sup> Additionally, the EM enhancement is not too sensitive to the excitation wavelengths for the same transition-metal substrate.<sup>87,88</sup> The change in relative intensities for different  $a_1$  modes of Py adsorbed on platinum surfaces is anticipated to arise from the chemical bonding interaction and the charge-transfer mechanism.<sup>23,89–91</sup> Figure 7 presents the static Raman spectrum at the incident wavelength of 514.5 nm. We find that the Raman peaks of the  $\nu_{8a}$  and  $\nu_{9a}$  modes are enhanced as a result of the chemical bonding interaction, whereas the Raman peak of the  $\nu_{12}$  mode is decreased with respect to the intensity of the  $\nu_1$  mode. Taking the Raman intensities of free Py as references, we can obtain the EFs for the selected  $a_1$  modes as shown in Table 5. Significantly, the chemical bonding causes an enhancement on the  $\nu_{8a}$  and  $\nu_{9a}$  modes larger than 100 folds of free Py. This enhancement is comparable with the CT enhancement, which is often considered as the major contribution of the CE enhancement. In contrast to the CT enhancements of Py adsorbed on coinage metals<sup>66,67,76,77</sup> and cobalt,<sup>7,92</sup> we conclude that the binding interaction can be a very important factor in analyzing the SERS enhancement for Py binding to platinum.

Experiments show that the CT mechanism takes effect as the incident radiation energy matches the energy of an excited CT state for Py adsorbed on the platinum electrodes. For the Py–Pt<sub>5</sub>(I) complex, the TD-B3LYP calculation predicted 140 vertical excited states, which are in a narrow range of transition energies from 0.2 to 3.0 eV with respect to that of the ground electronic state. Among all of these excited states, we found three excited states with oscillator strengths larger than 0.03. The other excited states have an oscillator strength smaller than 0.01. The TD-B3LYP calculations predict that the first CT state lies at 2.09 eV with  $f = 0.0417$ . Such an excited state is attributed to a transition from a platinum d orbital to the  $4\pi^*$  ( $b_1$ ) HOMO of the Py ring. The second state, consisting of two main components ( $\sim 20\%$  from the CT excitation and  $\sim 80\%$  from metal internal excitations), is at 2.34 eV with  $f = 0.0309$ . The third

**TABLE 5: Calculated Frequencies (Units in cm<sup>-1</sup>), Raman Scattering Factors ( $S_i$ , Units in Å<sup>4</sup>/amu), Differential Raman Scattering Cross-Section ( $d\sigma/d\Omega$ , Units in 10<sup>-30</sup> cm<sup>2</sup>/sr), Enhancement Factors (EF) of Total Symmetric Vibrations of Py–Pt<sub>5</sub> Complexes at the Level of B3LYP/6-311+G\*(C, N, H)/LANL2DZ(Pt)<sup>a</sup>**

Mode	Frequency		Py–Pt <sub>5</sub> (I)		Py–Pt <sub>5</sub> (II)		EF
	expt. <sup>b</sup>	theory	$S_i$	$d\sigma/d\Omega$	$S_i$	$d\sigma/d\Omega$	
$\nu_{6a}$	636	633.4	348.11	40.04	361.83	41.61	~90
$\nu_1$	1011	1002.0	1625.12	110.57	1733.08	117.92	~50
$\nu_{12}$	1037	1038.5	28.47	1.92	31.75	2.14	~1
$\nu_{9a}$	1208	1209.3	831.77	53.82	899.57	58.21	>100
$\nu_{8a}$	1591	1601.2	2746.17	81.24	2934.85	86.83	~200

<sup>a</sup> The DRSC values are calculated at the incident wavelength of 514.5. The enhanced factor is estimated as a ratio between the DRSC values of the same vibrational modes in Py–Pt<sub>5</sub> and free pyridine. <sup>b</sup> Observed frequencies are extracted from ref 91.



state is a CT excited state, which is contributed by a transition with a component more than 80%. Besides the above three excited states, there are many transition-allowed states, which more or less contain some CT components.

We note that the chemical enhancement is generally larger from the interaction of Py with transition metals than coinage metals. However, an intense Raman signal is only observed for Py adsorbed on coinage metals. This is due to the fact that the electromagnetic enhancement makes a dominant contribution for coinage metals. However, the relative SERS intensities depend on the binding interaction rather than the electromagnetic enhancement, suggesting that there exists a metal-dependent behavior of SERS spectra for the  $a_1$  modes on metal surfaces. Therefore, to estimate the chemical effect, due to chemical bondings, on the Raman signals of various modes is very important for a deep understanding of SERS spectra for Py adsorbed on transition-metal electrodes.

## Conclusions

We have investigated the influence of the binding interaction and the charge-transfer mechanism on the Raman intensities of several  $a_1$  vibrational modes of Py interacting with copper, silver, gold, and platinum metals. In the present work, we present DFT results for understanding the dependence of metals and excitation wavelengths on the SERS intensities. The analysis is on the basis of a well-known concept that the electromagnetic enhancement yields the same enhancement effect on the vibrational modes with the same irreducible representation, for example, the  $a_1$  mode of adsorbed Py molecules.

The chemical enhancement can be divided in detail into two enhancement mechanisms, that is, the chemical bonding effect and the charge-transfer mechanisms. Both chemical enhancement mechanisms have a synergetic effect to the relative intensities of the  $\nu_{6a}$ ,  $\nu_{8a}$ , and  $\nu_{9a}$  modes comparable to that of the  $\nu_1$  mode for Py adsorbed on metal surfaces. This could be a reliable interpretation for SERS observations that the four normal modes generally have strong Raman signals. In contrast to coinage metals, the chemical bonding interaction is stronger in the Py/Pt system, suggesting that the SERS enhancement caused by chemical bonding is essential in comprehensively analyzing the SERS spectra of Py adsorbed on transition-metal surfaces. The charge-transfer mechanism depends on the electronic structure of SERS active centers and the metal materials, the property of charge transfer states, and the excitation wavelengths. The intensities of some other vibrational modes are enhanced and are closely associated with the large displacement at two related potential energy surfaces. To gain a clear understanding of the changes of the relative SERS intensities of Py adsorbed on different metals, it is necessary to experimentally characterize the electronic structure of the surface adsorption site and to further analyze theoretically the surface excited states. On the basis of the preresonance Raman scattering processes, useful information can be obtained for the CT mechanism of Py adsorbed on the metal surfaces.

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