

Enantioselective Insertion of a Carbenoid Carbon into a C-C Bond To **Expand Cyclobutanols to Cyclopentanols**

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Supporting Information

ABSTRACT: When a carbenoid species generated from a tosylhydrazone is reacted with a cyclobutanol in the presence of a chiral rhodium catalyst, a C-C single bond of the cyclobutanol is cleaved, and the carbenoid carbon is inserted therein to furnish a ring-expanded cyclopentanol in an enantioselective manner.

C traightforward methods to construct carbon frameworks with fewer steps are increasingly important. This goal has largely driven the recent rise of new chemistry to activate nonpolar $C-H^1$ and $C-C^2$ σ -bonds. It would significantly streamline a synthetic pathway if a C-C single bond is cleaved and an unsaturated organic compound is inserted therein to directly extend the carbon skeleton with two C-C single bonds newly formed. There have appeared in the past decade such examples that incorporate alkenes, alkynes, and carbon monoxide^{5,6} in inter- and intramolecular fashions. They may be called as "cut-and-sew" protocols. 2a We now report a new reaction which expands cyclobutanols to cyclopentanols with control of stereochemistry through insertion of a carbenoid carbon⁷ into a C-C single bond⁸ of the four-membered ring.9,10

We took the *N*-tosylhydrazone **2a** as the carbenoid precursor and reacted it with cyclobutanol 1a in the presence of an alkali metal tertiary butoxide and catalytic amounts of [RhOH(cod)], and rac-BINAP. A diastereomeric mixture of cyclopentanols 3a and $4a^{11}$ was obtained along with ring-opened product 5 (Table 1). When NaOtBu was used as the base to generate a carbenoid species from 2a, the cyclopentanol 3a in which the hydroxy and p-tolyl substituents were trans was produced in preference to the other diastereomer 4a (86:14) in 82% yield and 5 was formed only in 9% (entry 2).12

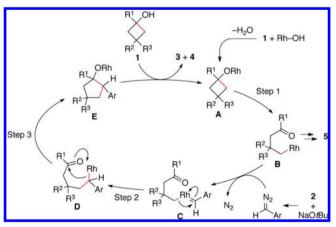
A possible reaction mechanism is illustrated in Scheme 1. The substrate 1 is transferred onto rhodium(I) to generate rhodium alkoxide A. The four-membered ring is opened by β carbon elimination, giving γ-keto alkylrhodium intermediate B (Step 1). The diazo compound, generated from 2 and the base NaOtBu, reacts with B to furnish (alkyl)(carbene)rhodium complex C.¹³ The alkyl group migrates onto the carbenoid carbon to form D (Step 2),^{7d,e} which further undergoes intramolecular addition to the carbonyl group in a five-exo mode (Step 3). A diastereoselectivity arises during Step 3 upon differentiation of the π -faces of the carbonyl group. The resulting cyclopentoxyrhodium E is protonated with 1 to furnish the products 3 and 4 with regeneration of A.

Table 1. Rhodium-Catalyzed Reaction of Cyclobutanol 1a with N-Tosylhydrazone 2a^a

		yield, % ^b		yield, % ^b		
entry	MOtBu	3a + 4a	3a:4a ^c	5		
1	LiOtBu	59	81:19	32		
2	NaOtBu	82	86:14	9		
3	KOtBu	31	85:15	56		

^a1a (25 μ mol), 2a (37.5 μ mol), MOtBu (62.5 μ mol), [RhOH(cod)]₂ (5.0 mol %), rac-BINAP (11.0 mol %). ^bNMR yield. ^cEstimated by

Scheme 1. Possible Reaction Mechanism



Induction of enantioselectivity in the ring-expanded products by chiral ligands on rhodium was also investigated (Table 2). (R)-BINAP favored the production of the diastereomer 3a over 4a and induced moderate enantioselectivities with the both diastereomers (entry 1). Although biphenyl-type ligands, e.g., (R)-SEGPHOS (L1) and (R)-DIFLUORPHOS exhibited

Received: March 4, 2014 Published: April 25, 2014

Table 2. Enantioselective Syntheses of Cyclopentanols via Carbene Insertion a

		yield, % ^b		% ee
entry	chiral ligand	3a + 4a	3a:4a ^c	3a/4a
1	(R)-BINAP	76	86:14	80/65
2	(R)-SEGPHOS (L1)	86	84:16	95/93
3	(R)-DIFLUORPHOS	84	84:16	96/90
4	(R,S)-PPF-P t Bu ₂ $(L2)$	89	12:88	96/99

^a1a (25 μmol), 2a (37.5 μmol), NaOtBu (62.5 μmol), [RhOH(cod)]₂ (5.0 mol %), chiral ligand (11.0 mol %), toluene, 50 °C. ^bNMR yield. ^cEstimated by GC.

similar diastereoselectivities favoring 3a, the enantioselectivities observed for the both diastereomers were considerably higher (entries 2 and 3). On the other hand, L2 having a ferrocene backbone exhibited an opposite preference for 4a versus 3a (3a:4a = 12:88), and in particular, 99% ee was attained with the major diastereomer 4a (entry 4).

Various cyclobutanols 1 were subjected to the reaction with 2a under the two different conditions using L1 and L2 (Table 3). Those bearing 4-methoxyphenyl, 4-trifluoromethylphenyl, thienyl, and styryl groups successfully participated in the ring-expansion reaction (entries 3-10). When the ligand L1 was used, the diastereomers 3 were preferentially produced with good enantioselectivities over 94% ee. On the other hand, the ligand L2 furnished the other diastereomers 4 in preference to 3 with excellent enantioselectivities ranging 95–99% ee. However, cyclobutanols having a phenyl group at the 3-position gave indanols rather than cyclopentanols as the major product. The reactions of cyclobutanols having one or no alkyl (or aryl) substituent at the 3-position also failed, probably due to a competitive process of β -hydride elimination.

The use of *N*-tosylhydrazones derived from various aryl aldehydes was also examined in the reaction with **1a** (Table 4). Methoxy, fluoro, and chloro substituents were all tolerated at the 4-position of the aryl group, giving the corresponding products in good yields with high enantioselectivities (entries 3–8). The results of Table 4 show an analogous stereochemical dichotomy depending on the employed ligand (**L1** or **L2**) to those of Table 3. On the other hand, *N*-tosylhydrazones derived from aliphatic aldehydes failed to give the desired cyclopentanols, presumably because of the instability of alkyl substituted carbenoid intermediates. The reaction with *N*-tosylhydrazones derived from ketones was also sluggish due to the lower reactivity of sterically hindered carbenoid species and formed **5** predominantly.

In the case of symmetrical cyclobutanol cis-1f having a tertiary carbon with two different substituents at the 3-position, the mechanistic pathway contains three steps, each of which creates a new chiral center. The ring-opening step (Step 1 in Scheme 1) makes the all-carbon tertiary center at the 3-position chiral. The second chiral center is created upon intramolecular migratory insertion of a ring-opened alkyl group onto the neighboring prochiral carbenoid carbon (Step 2). The third chiral center is created upon cyclization by intramolecular

Table 3. Reaction of Various Cyclobutanols 1 with 2a^a

R OH cat. [RhOH(cod)]₂/L NaO
$$t$$
Bu (2.5 equiv) toluene, 50 °C 24–48 h Et 3 H Et 4

R = 4-MeO-C₆H₄ (1b), 4-CF₃-C₆H₄ (1c), 2-thienyl (1d), (E)-styryl (1e)

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entry	1	L	3 ^b	4 ^b
			Ph. OH H Et p-tol	PhOH Et P-tol
1 2	1a	L1 L2	3a 60%, 97% ee 15%, 97% ee	12%, 95% ee 71%, 99% ee
			MeO OH H	MeO OH H
3 4	1b	L1 L2	3b 59%, 95% ee 6%, 97% ee	4b 10%, 93% ee 77%, 99% ee
			F ₃ C OH H	F ₃ C OH H Et p-tol
5 6	1c	L1 L2	3c 67%, 99% ee 9%, 94% ee	4c 11%, 93% ee 59%, 99% ee
			S OH H Et p-tol	S OHH
7 8	1d	L1 L2	3d 47%, 94% ee 17%, 97% ee	9%, 92% ee 52%, 99% ee
			Ph OH H	Ph OH H
9 10	1e	L1 L2	3e 60%, 96% ee 23%, 96% ee	4e 10%, 93% ee 52%, 95% ee

^a1 (0.2 mmol), 2a (0.3 mmol), NaOtBu (0.5 mmol), [RhOH(cod)]₂ (5.0 mol %), L1 or L2 (11.0 mol %). ^bIsolated yield.

Table 4. Reactions of Various Hydrazones 2 with 1a^a

1a + H	NaO NaO				+ Et R	Ph. OH Et R
entry	2	L	3^b		4^{b}	
1 ^c 2	R = H (2b)	L1 L2	3f	59%, 96% ee 12%, 97% ee	4f	15%, 94% ee 68%, 99% ee
3 4	R = OMe(2c)	L1 L2	3g	66%, 97% ee 9%, 96% ee	4g	9%, 95% ee 65%, 99% ee
5 6	R = F(2d)	L1 L2	3h	42%, 96% ee 15%, 97% ee	4h	3%, 95% ee 79%, 99% ee
7 ^d 8	R = Cl (2e)	L1 L2	3i	63%, 96% ee 9%, 97% ee	4i	11%, 93% ee 70%, 99% ee

 $^a\mathbf{1a}$ (0.2 mmol), 2 (0.3 mmol), NaOtBu (0.5 mmol), [RhOH(cod)]_2 (5.0 mol %), L1 or L2 (11.0 mol %). $^b\mathbf{Isolated}$ yield. $^c\mathbf{NaOMe}$ was used. dN -Benzenesulfonylhydrazone was used.

nucleophilic addition to the carbonyl group (Step 3). When L1 was used as the ligand, a mixture of all four possible diastereomers 3j, 3k, 4j, and 4k (ca. 69:12:15:4) was formed. In contrast, the use of (*R*)-DTBM-SEGPHOS gave only 3j (50%) and 4j (9%), ¹⁷ although the starting cyclobutanol *cis*-1f was not fully converted (Scheme 2a). ¹⁸ Of particular note was

Scheme 2. Reactions of *cis-* and *trans-*3-Butyl-3-ethyl-1-phenylcyclobutanols 1f

that an excellent enantioselectivity of 99% ee was observed for the both diastereomers. This stereochemical outcome is explained by assuming the following scenario. In Step 1, the chiral ligand (*R*)-DTBM-SEGPHOS directs exclusive cleavage of one of the enantiotopic C–C bonds. ^{10d} In Step 2, the chiral phosphine ligand and the existing tertiary chiral center induce a moderate stereoselectivity (ca. 85:15) to bring about two diastereomers. ¹⁹ In Step 3, the chiral ligand rather than the existing two chiral centers dominates differentiation of the two faces of the carbonyl group with almost complete selectivity. As a result, only the two diastereomers are formed both with 99% ee.

The other diastereomer *trans*-1f was also subjected to the reaction with 2a using (R)-DTBM-SEGPHOS as the chiral ligand. Only the two diastereomers 3k and 4k were produced again, and their enantioselectivities were both 99% ee (Scheme 2b). The same scenario with that assumed for *cis*-1f accounts for this result as well, and thus only the two diastereomers 3k and 4k are formed both with 99% ee from *trans*-1f.

We finally tried to synthesize cyclopentanols in one pot starting from *p*-tolualdehyde 6 (Scheme 3). Initially, a mixture of 6 and tosylhydrazide in toluene was stirred at room temperature for 1 h. Then, the cyclobutanol 1a, [RhOH-(cod)]₂, chiral ligand (L1 or L2), and NaOtBu were added to

Scheme 3. One-Pot Synthesis Starting from p-Tolualdehyde

^a6 (0.32 mmol), TsNHNH₂ (0.32 mmol); ^b1a (0.2 mmol), [RhOH(cod)]₂ (5.0 mol %), L1 or L2 (11.0 mol %), NaOtBu (0.52 mmol).

the reaction mixture, which was further stirred at 50 °C for 24 h. Chromatographic purification furnished the cyclopentanols 3a and 4a. Their yields and enantioselectivities were comparable to those obtained with the isolated 2a.

In summary, cyclobutanols are expanded to cyclopentanols by insertion of a carbenoid carbon with control of stereochemistries. Up to three chiral centers can be created in a stereoselective way by the single reaction involving C—C bond cleavage.

ASSOCIATED CONTENT

S Supporting Information

Experimental procedures and spectra data. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes

The authors declare no competing financial interest.

ACKNOWLEDGMENTS

This manuscript is dedicated to Professor Armin de Meijere in celebration of his 75th birthday. This work was supported in part by a Grant-in-Aid for Scientific Research on Innovative Areas "Molecular Activation Directed toward Straightforward Synthesis" and a Grant-in-Aid for Young Scientists (B) from MEXT, and the ACT-C program of the JST.

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- (14) An attempted reaction of 1-butyl-3,3-diethylcyclobutanol gave the desired products in low yields and the starting cyclobutanol was mostly recovered. This is probably due to slower ring-opening of the 1-alkylcyclobutanol.
- (15) The reaction of 3-phenyloxetan-3-ol afforded the products in <10% yield and the starting oxetanol was mostly recovered. Likewise, N-protected 3-pehnylazetidin-3-ols failed to give the desired products.
- (16) The reaction of *cis*-1f using L2 as the ligand afforded a mixture of all four diastereomers (3j:3k:4j:4k = 5:4:53:38).
- (17) The absolute stereochemistries were assigned by analogy with the results reported in ref 10d. See SI for details.
- (18) The ring-opened product was isolated in 5-7% yield.
- (19) Stereoselective insertion of trimethylsilyldiazomethane into Pthalogen and Pthalo