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A Facile Access to [60]Fullerene-Fused 1,3-Dioxolanes: Reaction of [60]Fullerene with Aldehydes/Ketones Promoted by Ferric Perchlorate

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ABSTRACT

$$+ R^{1} \xrightarrow{\text{Fe}(\text{CIO}_{4})_{3} \bullet \text{xH}_{2}\text{O}} \xrightarrow{\text{O}} R^{1}$$

21 examples, 9-38% yield (24-91% based on converted C_{60})

The facile reaction of [60]fullerene (C_{60}) with various aldehydes or ketones in the presence of ferric perchlorate successfully afforded the rare C_{60} -fused 1,3-dioxolanes. A possible reaction mechanism for the formation of the C_{60} -fused 1,3-dioxolanes is proposed.

Since the availability of fullerenes in a macroscopic amount, various types of reactions for the functionalization of fullerenes have been discovered, and numerous fullerene products with wide structural diversities have been prepared. However, fullerene-fused 1,3-dioxolane derivatives²⁻⁴ have been relatively rare until now. Elemes et al. reported the

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synthesis of the first fullerene-fused 1,3-dioxolane as one of the two products via the reaction of [60] fullerene (C_{60}) with dimethyldioxirane.^{2a} Wang et al. described the preparation of another C₆₀-fused 1,3-dioxolane by the reaction of C₆₀ with PhCH₂ONa-PhCH₂OH under aerobic conditions. 2b It should be noted that the latter methodology was only limited to benzoxide as the reaction of C₆₀ with other alkoxides gave fullerene products with a C₆₀-fused tetrahydrofuran ring skeleton and an acetal moiety.5 The research groups of Tajima^{3a} and Gan^{3b} realized the synthesis of C₆₀-fused 1,3dioxolane derivatives from fullerene epoxides through the reactions with aldehydes or acetone in the presence of a Lewis acid. Two orthoester-type 1,3-dioxolanofullerenes were also reported.⁴ Among the reported C₆₀-fused 1,3dioxolane derivatives, only four were prepared directly from C₆₀. 2,4 Therefore, it is still demanding to develop new protocol to obtain C₆₀-fused 1,3-dioxolane derivatives directly from C₆₀ in a straightforward and efficient way with a broad substrate scope.

Recently, our group has been interested in reactions of C_{60} mediated by metal salts such as $Mn(OAc)_3$, 6,7 $Cu(OAc)_2$, 7c $Pb(OAc)_4$, 7h or $Pd(OAc)_2$ 8 to prepare a series

of novel fullerene derivatives. We also reported the synthesis of rare fullerooxazoles by the $Fe(ClO_4)_3$ -mediated reaction of C_{60} with a variety of nitriles, which is the first example for the direct reaction of C_{60} with the unsaturated carbon—nitrogen triple bond moiety of nitriles in the presence of water. In continuation of our interest in $Fe(ClO_4)_3$ -mediated reactions of C_{60} , herein we describe the $Fe(ClO_4)_3$ -mediated one-step reaction of C_{60} with aldehydes or ketones to afford a variety of scarce C_{60} -fused 1,3-dioxolane derivatives.

In our previous study, we found that the direct dissolution 10 of Fe(ClO₄)₃ by nitriles played a crucial role for the successful synthesis of fullerooxazoles. Similarly, we explored the Fe(ClO₄)₃-promoted reaction of C₆₀ with various aldehydes by adopting the direct dissolution method, i.e., Fe(ClO₄)₃ was first dissolved in a chosen aldehyde, and then the dichlorobenzene (ODCB) solution of C₆₀ was added. Much to our satisfaction, we found that the Fe(ClO₄)₃-mediated reaction of C₆₀ with aldehydes 1a-k, that is, benzaldehyde (1a), p-tolualdehyde (1b), 4-methoxybenzaldehyde (1c), 3,4-dimethylbenzaldehyde (1d), 4-chlorobenzaldehyde (1e), 2-chlorobenzaldehyde (1f), p-phthalaldehyde (1g), 4-nitrobenzaldehyde (1h), 4-cyanobenzaldehyde (1h), cinnamaldehyde (1h), and propionaldehyde (1h), afforded C₆₀-fused 1,3-dioxolane derivatives 2a-k.

Initially, the Fe(ClO₄)₃-promoted reaction of C_{60} with ${\bf 1a}$ was chosen to screen the reaction conditions. The details are listed in Table S1 in the Supporting Information. Screening experiments indicated that the best molar ratio of C_{60} :Fe(ClO₄)₃·xH₂O: ${\bf 1a}$ was 1:2:5, and the reaction temperature was 80 °C. These optimized reaction conditions could be extended to other aldehydes except that higher temperature was required for the melting of ${\bf 1g}$ — ${\bf i}$ and subsequent dissolution of Fe(ClO₄)₃, and more propionaldehyde was demanded due to its lower boiling point and thus easier evaporation. The reaction conditions and yields for the Fe(ClO₄)₃-mediated reaction of C_{60} with aldehydes ${\bf 1a}$ — ${\bf k}$ are summarized in Table 1. The progress of the reactions should be carefully monitored by TLC to prevent overreaction.

As can be seen from Table 1, aromatic aldehydes bearing either electron-donating or electron-withdrawing groups $(1\mathbf{a}-\mathbf{i})$, cinnamaldehyde $(1\mathbf{j})$, as well as aliphatic aldehyde $(1\mathbf{k})$ could be successfully employed to prepare the C_{60} -fused 1,3-dioxolane derivatives in 14–38% yields (30-91% based on consumed C_{60}). For the synthesis of compound $2\mathbf{a}$, the

Table 1. Reaction Conditions and Yields for the Reaction of C_{60} with Aldehydes 1a-k in the Presence of $Fe(ClO_4)_3:xH_2O^a$

$$+ \underset{1}{\overset{O}{\bigcap}} + \underset{1}{\overset{Fe(CIO_4)_3 \bullet \times H_2O}{\triangle}} - \underset{2}{\overset{O}{\bigcap}} - R$$

| aldehyde 1 | reaction | reaction | yield of 2 (%) ^c |
|-----------------------|-----------|------------|------------------------------------|
| <u> </u> | temp (°C) | time (min) | , |
| CHO 1a | 80 | 100 | 34 (85) |
| H ₃ C—CHO | 80 | 70 | 28 (90) |
| H ₃ CO-CHO | 80 | 70 | 29 (88) |
| H_3C H_3C CHO | 80 | 40 | 38 (91) |
| СІ—СНО 1e | 80 | 50 | 31 (91) |
| CI CHO | 80 | 150 | 32 (76) |
| ОНС-СНО 1g | 120 | 30 | 18 (47) |
| O_2N —CHO | 120 | 35 | 19 (30) |
| NC-CHO | 120 | 90 | 23 (77) |
| 1j CHO | 80 | 35 | 30 (81) |
| CH₃CH₂CHO 1k | 80^b | 150 | 14 (52) |

^a All reactions were performed under protection of nitrogen with a molar ratio of C_{60} :Fe(ClO₄)₃:xH₂O:1 = 1:2:5 unless otherwise indicated. ^b Molar ratio of C_{60} :Fe(ClO₄)₃:xH₂O:1k = 1:2:50. ^c Isolated yield; the number in parentheses was based on consumed C_{60} .

current Fe(ClO₄)₃-mediated reaction of C_{60} with benzaldehyde is preferable to the reaction of C_{60} with PhCH₂ONa-PhCH₂OH, which generated product **2a** in 15% yield (68% based on consumed C_{60}). The cyano group is known to react with C_{60} in the presence of Fe(ClO₄)₃. Nevertheless, no corresponding fullerooxazole could be identified from the Fe(ClO₄)₃-mediated reaction of C_{60} with substrate **1i**, demonstrating the superior reactivity of the aldehyde group over the cyano group.

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Product $2a^{2b,3a}$ is a known compound, and its identity was confirmed by comparison of its spectral data with those reported in the literature. New compounds 2b-k were unambiguously characterized by their HRMS, ¹H NMR, ¹³C NMR, FT-IR, and UV—vis spectra. In the ¹H NMR spectra of compounds 2b-k, the methine proton on the heterocycle ring appeared in very downfield region (6.3-7.6 ppm). In their ¹³C NMR spectra, the observation of no more than 30 lines for the sp²-carbons of the C_{60} skeleton was consistent with their C_s molecular symmetry, and the signals for the sp³-carbons of the acetal and fullerene moiety were located at 99.2–104.1 and 93.5–94.6 ppm, close to those of reported C_{60} -fused 1,3-dioxolane derivatives in the previous literature. ^{2,3}

To expand the scope of the reaction, the substrates were extended from aldehydes to ketones. Acetophenone (**3a**), 4-methylacetophenone (**3b**), 4-methoxyacetophenone (**3c**), 4-chloroacetophenone (**3d**), 4-nitroacetophenone (**3e**), benzophenone (**3f**), 9-fluorenone (**3g**), cyclopentanone (**3h**), acetone (**3i**), and 5-methyl-2-hexanone (**3j**) were chosen to react with C_{60} in the presence of $Fe(ClO_4)_3$ by the direct dissolution method, and were found to generate C_{60} -fused 1,3-dioxolane derivatives $\mathbf{4a} - \mathbf{j}$.

The reaction conditions and yields for the Fe(ClO₄)₃-mediated reaction of C₆₀ with ketones $3\mathbf{a}-\mathbf{j}$ are summarized in Table 2.

As can be seen from Table 2, both aromatic ketones $(3\mathbf{a}-\mathbf{g})$ and aliphatic ketones $(3\mathbf{h}-\mathbf{j})$ could be successfully utilized to prepare the C_{60} -fused 1,3-dioxolane derivatives $4\mathbf{a}-\mathbf{j}$ in 9–29% yields (24-68%) based on consumed C_{60}). The acyclic aromatic ketones $(3\mathbf{a}-\mathbf{f})$ gave reasonably good isolated yields except 4-nitroacetophenone $(3\mathbf{e})$, which afforded some byproducts. 9-Fluorenone also generated low yield probably due to the steric interactions from the two hydrogens at the C_1 and C_8 positions in the rigid ring system. Aliphatic ketones $(3\mathbf{h}-\mathbf{j})$ generally afforded good product yields. The isolated yield of C_{60} -fused 1,3-dioxolane derivative $4\mathbf{i}$ by our current protocol (18%), 44% based on consumed C_{60}) was much higher than that by the previously reported procedure (5%), 7% based on consumed C_{60}). 2a

The structures of C₆₀-fused dioxolanes **4a**-**j** were fully established by HRMS, ¹H NMR, ¹³C NMR, FT-IR, and UV-vis spectra. In their ¹³C NMR spectra, dioxolanes **4a**-**e** together with 4j showed similar spectral patterns with those from aldehydes 1a-k. No more than 29 peaks including some overlapped ones due to the 58 sp²-carbons of the fullerene moiety were observed in the range of 137.3-149.4 ppm, consistent with the C_s symmetry of the molecular structures, and the peaks for the two sp³-carbons of the C_{60} cage and ketal carbon appeared at 94.4-95.1 and 110.6-113.8 ppm. However, the remaining dioxolanes 4f-i exhibited different spectral patterns with the above-mentioned dioxolanes. The observation of only 14–16 lines for the sp²carbons of the C_{60} skeleton agreed well with the $C_{2\nu}$ molecular symmetry, and the two sp³-carbons and ketal carbon were located at 93.5-95.6 and 111.7-120.7 ppm. Interestingly, the chemical shifts for the ketal carbons (110.6-120.7 ppm) in the dioxolanes $4\mathbf{a}-\mathbf{j}$ were shifted

Table 2. Reaction Conditions and Yields for the Reaction of C_{60} with Ketones 3a-j in the Presence of $Fe(ClO_4)_3 xH_2O^a$

| | | | • |
|--|-----------------------------|------------------------|--------------------|
| ketone 3 | molar ratio ^b | reaction time (min) | yield of 4 (%)° |
| 3a | 1:2:5 | 35 | 19 (37) |
| H ₃ C — 3b | 1:2:5 | 45 | 20 (38) |
| H_3CO \longrightarrow $3c$ | 1:2:5 | 70 | 17 (33) |
| CI———————————————————————————————————— | 1:2:5 | 35 | 27 (68) |
| O_2N \bigcirc | 1:2:20 | 90 | 9 (53) |
| | 1:2:5 | 90 | 21 (60) |
| 3g | 1:2:50 | 45 | 12 (24) |
| o 3h | 1:2:5 | 45 | 29 (58) |
|) 3i | 1:2:50 | 140 | 18 (44) |
| ○ 3j | 1:2:5 | 40 | 28 (51) |

 $[^]a$ All reactions were performed at 80 °C under protection of nitrogen. b Molar ratio refers to C_{60} :Fe(ClO₄)₃·xH₂O:3. c Isolated yield; the number in parentheses was based on consumed C_{60} .

downfield about 11-17 ppm from those of the acetal carbons in the dioxolanes $2\mathbf{b}-\mathbf{k}$ (99.2–104.1 ppm). In addition, the identity of $4\mathbf{i}$ was further confirmed by comparison of its spectral data with those reported previously.^{2a}

We previously reported that the reaction of methyl ketones with C₆₀ promoted by Mn(OAc)₃/Cu(OAc)₂ gave C₆₀-fused dihydrofurans and/or methanofullerenes. However, no evidence for the formation of C₆₀-fused dihydrofuran and/

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Scheme 1. Proposed Reaction Mechanism for the Formation of C_{60} -Fused 1,3-Dioxolanes

or methanofullerene could be obtained from the current $Fe(ClO_4)_3$ -mediated reaction of C_{60} with methyl ketones. Obviously, different reaction mechanisms are operating for the reaction of C₆₀ with methyl ketones mediated by Fe(ClO₄)₃ and Mn(OAc)₃/Cu(OAc)₂. On the basis of the previously suggested mechanism for the reaction of C₆₀ with various nitriles in the presence of Fe(ClO₄)₃ to afford the fullerooxazoles, we propose a possible mechanism for the formation of C₆₀-fused 1,3-dioxolanes 2/4 from the Fe-(ClO₄)₃-mediated reaction of C₆₀ with aldehydes/ketones, as shown in Scheme 1. A chosen aldehyde or ketone reacts with the hydrated H₂O in Fe(ClO₄)₃•xH₂O to produce Fe(III)complex 5 accompanied by the loss of HClO₄. The observed reddening of the mixtures of aldehydes/ketones and Fe(ClO₄)₃•xH₂O hints the formation of complexes between Fe(ClO₄)₃•xH₂O and aldehydes/ketones.¹¹ Homolytical addition of 5 to C₆₀ generates fullerenyl radical 6 with an elimination of Fe(ClO₄)₂•(x-1)H₂O, and then coordination with another molecule of Fe(ClO₄)₃•xH₂O to form Fe(III)complex 7, which undergoes intramolecular cyclization with the loss of a Fe(II) species to afford C₆₀-fused 1,3-dioxolanes 2/4.

The C_{60} -fused 1,3-dioxolane derivatives can be valuable precursors for further functionalization. Preliminary results showed that treatment of C_{60} -fused 1,3-dioxolane derivative **2a** with AlCl₃ in chlorobenzene and 1,2-dichlorobenzene at 90 °C afforded 4-chlorophenyl- and 3,4-dichlorophenyl-substituted fullerenols in 35% and 14% yield, respectively

Scheme 2. Reaction of C₆₀-Fused Dioxolane **2a** with Chlorobenzene and 1,2-Dichlorobenzene in the Presence of Aluminum Chloride

(Scheme 2). Tajima et al. 12 reported the BF₃·Et₂O-assisted nucleophilic substitution of C₆₀O. They found that the progress of the reaction depended considerably on the nucleophilicity of the aromatic compound, and chlorobenzene failed to react with C₆₀O. Even though the reaction mechanism for the formation of fullerenols **8** is not quite clear now, the reaction should occur via a carbocationic intermediate generated with the assistance of AlCl₃. It is intriguing that electron-deficient chlorobenzene and 1,2-dichlorobenzene could react in our case.

In summary, the synthesis of scarce C_{60} -fused 1,3-dioxolanes has been achieved by the $Fe(ClO_4)_3$ -promoted reaction of C_{60} with aldehydes or ketones. It is noteworthy that functional groups such as Cl, CHO, NO_2 , and CN could be tolerated under our reaction conditions and can be further transformed to other moieties. The current one-step approach to the preparation of C_{60} -fused 1,3-dioxolanes from cheap and easily accessible aldehydes/ketones and $Fe(ClO_4)_3$ was obviously more straightforward and practical than the previous protocols. 2,3a The direct dissolution of aldehydes/ketones and $Fe(ClO_4)_3$ proved to be crucial for the efficient synthesis of C_{60} -fused 1,3-dioxolanes. A plausible reaction mechanism for the formation of C_{60} -fused 1,3-dioxolanes is suggested.

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Supporting Information Available: Experimental procedures, optimization results, and characterization data, as well as the ¹H NMR and ¹³C NMR spectra of products **2a**–**k**, **4a**–**j**, and **8b**. This material is available free of charge via the Internet at http://pubs.acs.org.

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