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2003 Vol. 5, No. 19 3411-3414

Efficient Synthesis of Biindenylidene Derivatives via a Domino-Heck-Type Double Cyclization of Diaryldienynes

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Received June 18, 2003 (Revised Manuscript Received August 20, 2003)

ABSTRACT

Synthesis of aromatic ring substituted (E)-1,1'-biindenylidene derivatives was achieved by a domino-Heck type double cyclization of (Z,Z)-1,6-diaryl-1,5-hexadien-3-ynes.

Extensively conjugated molecular and polymeric materials are critical components for a large number of advanced technologies utilizing nonlinear optical (NLO),¹ photo- and electroluminescent,² and molecule-based sensory devices.³ As a part of our research program directed toward the synthesis of these materials, we are investigating the development of a general and versatile method for constructing highly extended π -systems composed by chrysene or indenylidene units. We designed a general synthetic scheme (Scheme 1), which is based on the tandem 6-endo or 5-exo cyclization of (Z,Z)-diaryldienynes to give chrysene (2) and indenylidene (3) derivatives, respectively.⁴

Because of their structural planarity, rigidity, and centro-

symmetric nature, biindenylidene derivatives and some other benzo-fused fulvalenes are of considerable interest both as ligands in the preparation of organometallic complexes⁵ and as precursors to materials with novel electronic and conductive properties.⁶ Although (*E*)-1,1'-biindenylidene and some functionalized derivatives were accessed via mostly oxidative coupling of indene or indenyl derivatives,⁷ no biindenylidene derivative with a functionalized aromatic ring(s) has been synthesized to date. We herein, report the first synthesis of aromatic ring-substituted biindenylidene derivatives via tandem cyclization of diaryldienynes.

[†] On leave from the University of Dhaka, Bangladesh.

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1,6-Diphenyl-1,5-hexadien-3-yne (**1a**)⁴ was chosen for the optimization process of the tandem cyclization reaction (Table 1). Although we investigated a number of palladium

Table 1. Optimization of the Reaction Conditions

	Pd(OAc)2 ^b	PPh_3	base	DMF concen-	yield	(%)
entry	(mol %)	(mol %)	(equiv)	tration (M)	3a	4a
1	10	20	none	0.05	no rea	ction
2	30	none	K_2CO_3 (2)	0.05	trace	0
3	10	20	K_2CO_3 (2)	0.05	21	0
4	20	40	K_2CO_3 (2)	0.05	28	3
5	20	40	K_2CO_3 (3)	0.05	31	4
6	20	40	K_2CO_3 (3)	0.01	54	15
7	20	40	K_2CO_3 (6)	0.01	31	12
8	20	40 ^c	K_2CO_3 (3)	0.01	24	5

^a Optimum temperture was found to be 80 °C. While an elevated temperature accelerates decomposition of **3a**, below temperatures of 80 °C, a substantial amount of starting material remains unreacted. ^b Among the catalyst system, only Pd(OAc)₂ gave the desired product. ^c Tri-o-tolylphosphine was used as a ligand instead of PPh₃.

catalysts, ⁸ only Pd(OAc)₂ furnished the desired product (E)-1,1'-biindenylidene ($\bf{3a}$), ⁹ associated with a mixture of partly cyclized side-products $\bf{4a}$ ((E)- and (Z)-isomers). ¹³ We found that the reaction did not proceed at all in the absence of a base (entry 1), and exclusion of the ligand triphenylphosphine produced only a trace amount of $\bf{3a}$ (entry 2). Abrupt yield

(8) We found that Pd(PPh₃)₄, Pd(PPh₃)₂Cl₂, Pd(dppf)Cl₂, Pd(PhCN)₂Cl₂, and Pd₂(dba)₃·CHCl₃ were ineffective.

enhancement was not found by an increased catalyst loading from 10 to 20 mol % (entries 3 and 4). When the amount of base was increased to 3 equiv (entry 5), the yield improvement was not so significant.¹¹ However, a nearly 2-fold increase of yield was noted when the molar concentration of the substrate in DMF was reduced to 0.01 M, affording **3a** and **4a** in 54 and 15% yields, respectively (entry 6). Further increase of base (entry 7) provided a lower yield. When tri-*o*-tolylphosphine was employed as a ligand, yields of the products were reduced.

Next, different solvents and additives were investigated. Among the solvents investigated (CH₃CN, DMSO, DMA, DMI), only DMA gave the desired product, albeit in a slightly lower yield than that in DMF. ¹² As shown in Table 2, changing the phase transfer catalyst from Bu₄NBr to

Table 2. Investigation on Bases and Additives

			yie	ld (%)
entry	base	additive	3a	4a
1	K ₂ CO ₃	Bu ₄ NBr	54	15
2	K_2CO_3	Pr_4NBr	36	trace
3	K_2CO_3	Bu_4NBF_4	47	nd
4	KOAC	Bu ₄ NBr	40	15
5	Na_2CO_3	Bu ₄ NBr	25	12
6	$CsCO_3$	Bu_4NBr	36	nd

Pr₄NBr/Bu₄NBF₄ or the base from K₂CO₃ to KOAc/Na₂CO₃/CsCO₃ produced various results with no increase of the yield of the desired product.¹³

With this optimization result in hand, we assumed that introduction of a substituent at an aromatic ring might increase or decrease the yield depending on its nature (electron withdrawing or electron releasing). Therefore, we synthesized a number of (Z,Z)-diaryldienynes as shown in Scheme 2. (Z)-Bromostyrenes $\mathbf{6b-e}$, were synthesized selectively by a procedure similar to that of Uenishi. ¹⁴ The Sonogashira coupling of $\mathbf{6}$ with enyne $\mathbf{7}^4$ gave the corresponding (Z,Z)-diaryldienynes $\mathbf{1b-e}$ in very good yields with a small amount of (E,Z)-isomers. ¹⁵ Similarly, $\mathbf{1f}$ was prepared from 1-naphthaldehyde via steps with very good yields (67% yield for three steps). However, a remarkable amount of (E,Z)-isomer of $\mathbf{1f}$ was produced (ZZ/EZ = 77/23). Pure (Z,Z)-isomers of $\mathbf{1a-f}$ were obtained by recyclable preparative HPLC.

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⁽⁷⁾ For the synthesis of hitherto reported biindenylidene derivatives and other related dibenzofulvalenes, see: (a) Tani, H.; Toda, F. Chem. Ind. (London) 1963, 1083. (b) Toda, F.; Tani, H. Bull. Chem. Soc. Jpn. 1964, 37, 915. (c) Anastassiuo, A. G.; Setlife, F. L.; Griffin, G. W. J. Org. Chem. 1966, 31, 2705. (d) Lacy, P. H.; Smith, D. C. J. Chem. Soc. C 1971, 41. (e) Bergamasco, R.; Porter, Q. N. Aust. J. Chem. 1977, 30, 1051. (f) Escher, A.; Rutsch, W.; Neuenschwander, M. Helv. Chim. Acta 1986, 69, 1644. (g) Escher, A.; Neuenschwander, M.; Engel, P. Helv. Chim. Acta 1987, 70, 1623. (h) Priebsch, W.; Hoch, M.; Rehdar, D. Chem. Ber. 1988, 121, 1971. (i) Kelley, T. R.; Meghani, P. J. Org. Chem. 1990, 55, 3684. (j) Kerber, R. C.; Waldbaum, B. Organometallics 1995, 14, 4742. (k) Capparelli, M. V.; Machado, R.; De Sanctis, Y.; Arce, A. J. Acta Cryst. 1996, C52, 947. (1) Taylor, B. M.; Joullie, M. M. Tetrahedron 1998, 54, 15121. (m) Stradiotto, M.; Hazendonk, P.; Bain, A. D.; Brook, M. A.; McGlinchey, M. J. Organometallics 2000, 19, 590. (n) Jonczyk, A.; Szymanek, P.; Juszczuk, C. Pol. J. Chem. 2000, 74, 985.

⁽⁹⁾ Similar type of domino-Heck double cyclization reaction was reported recently by Tietze and co-workers; see: (a) Tietze, L. F.; Heitmann, K.; Raschke, T. *Synlett* **1997**, 35. (b) Tietze, L. F.; Kahle, K.; Raschke, T. *Chem. Eur. J.* **2002**, 8, 401.

⁽¹⁰⁾ Compound 4a consisted of an inseparable mixture (variable ratio) of two stereoisomers, which showed two methyl and two sets of transcoupled vinyl proton signals in the 1H NMR spectrum.

⁽¹¹⁾ Low yield of 3a is due to a gradual decomposition of the product under the reaction conditions. This phenomenon was understood by subjection of pure 3a to the reaction conditions.

⁽¹²⁾ While employment of CH₃CN as a solvent furnished a 2:1 mixture of **1a** and its (*Z*,*E*)-isomer, DMSO gave a complex mixture, and using DMA afforded **3a** and **4a** in 43 and 5% yields, respectively.

⁽¹³⁾ Exactly the same reaction conditions utilized by Tietze were also applied for 1a. While the reaction conditions using Pd₂(dba)₃·CHCl₃/PPh₃/Ag₂O/DMF described by Tietze⁹a were completely ineffective, utilization of Pd(OAc)₂/PPh₃/KOAc/Pr₄Br/DMF⁹b yielded 3a in a relatively lower yield. Most probably, the substrates used by Tietze contained a free alkene with a terminal trimethylsilyl group, which facilitated the second step of cyclization compared to the aromatic ring present in 1a.

⁽¹⁴⁾ Uenishi, J.; Kawahama, R.; Yonemitsu, O.; Tsuji, J. *J. Org. Chem.* **1998**, *63*, 8965.

⁽¹⁵⁾ Minor isomer formed was the (E,Z)-isomer. This assignment was accomplished by measuring the coupling constant of the vinyl proton signals in the 1 H NMR spectra and by comparing the change of the chemical shift values of the corresponding vinyl protons for (E)- and (Z)-isomerization. The (E,Z)-isomer was characterized by a set of trans-coupled $(J\approx 16~\text{Hz})$ and a cis-coupled $(J\approx 12~\text{Hz})$ vinyl proton signals.

The results of the domino-Heck-type tandem cyclization reaction of (Z,Z)-diaryldienynes to form biindenylidene derivatives are summarized in Table 3. A relatively larger scale reaction of 1a yielded 3a and 4a (mixture) in 47 and 15% yields, respectively. Electron-releasing substituents such as methyl and methoxy groups did not cause any significant alteration of yields (entries 2 and 3). A substrate bearing an electron-withdrawing CN group at the para position only gave the undesired acetates in 22% yield, and desired product 3d was not accessible. Possibly, coordination

Table 3. Tandem Cyclization of (*Z*,*Z*)-Diaryldienynes

ontn.		П		(' 0()
entry	substrate	R	products	(yields %)
1	1a	Н	3a (47)	4a (15)
2	1b	Me	3b (45)	4b (15)
3	1c	OMe	3c (43)	4c (19)
4	1d	CN	3d (0)	4d (22)
5	1e	CF ₃	3e (38)	4e (15)
6	1f	-		
			3f (27)	4f (8)

of the cyano nucleophile with the Pd species discouraged the formation of **3d**. Introduction of a trifluoromethyl substituent afforded **3e**, albeit in a relatively lower yield. An extended π -system **3f** was also synthesized from **1f**, albeit in a lower yield (entry 6).¹⁷

To explore the scope of this double-cyclization reaction and to understand the mechanistic clues, the (Z,E)-isomer of **1c**, compound **9**, was prepared²⁰ and subjected to the reaction conditions (Scheme 3). The desired product **3c** and

 $^{\it a}$ Reaction conditions: Pd(OAc)_2, PPh_3, K_2CO_3, Bu_4NBr, DMF, 80 °C.

the corresponding acetates 4c were obtained in 15 and 26% yields, respectively. In contrast, the (E,Z)-isomer of 1f, i.e., 10, did not undergo this cyclization reaction and the starting material remained unchanged.

The reaction and the selective formation of (E)-1,1′-biindenylidene derivatives can be explained by a typical mechanism of Pd-catalyzed reactions (Scheme 4).²¹ Oxidative addition of Pd⁰ to **1** gave the aryl palladium species **11**, which was transformed to the intermediate **12** by the syn addition of the triple bond into the aryl palladium bond via a 5-exodig mode.²² The second phase of cyclization would generate

(18) Morita, N.; Asao, T. Chem. Lett. **1975**, 71.

(19) See the coupling constant values for the pentacyclic olefinic protons in **3b-e** in Supporting Information. See also: Launikonis, A.; Sasse, W. H. F.; Willing, I. R. *Aust. J. Chem.* **1993**, *46*, 427.

(20) Compound 9 was prepared via the Sonogashira coupling of 7 and bromoalkene 17.

(21) Tsuji, J. Palladium Reagents and Catalysts; John Wiley & Sons, Ltd.: Chichester, UK, 1995.

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⁽¹⁶⁾ **General Procedure.** A flame-dried flask was loaded with palladium acetate (20 mol %), triphenylphosphine (40 mol %), anhydrous potassium carbonate (3 eqiuv), and tetrabutylammonium bromide (1 equiv). A dilute solution (0.01 M) of diaryldieneyne was then added to the flask; the resulting mixture was heated to 80 °C for 20 min, and the reaction temperature was maintained at 80 °C until the reaction was complete. The reaction was cooled to room temperature, and water and ether were added sequentially. The mixture was extracted with ether three times. Organic layers were combined and washed with brine, dried over MgSO₄, and filtered. The filtrate was evaporated to give a dark residue, which was purified by column chromatography to yield the corresponding products.

⁽¹⁷⁾ Formation of compound **3f** rather than the product with the phenafulvene substructure was judged by comparing the coupling constant of the olefinic proton signal of **3f** with those of the reported compounds. While the usual coupling constant for the olefinic protons of phenafulvenes occurs around 10 Hz, ¹⁸ that of indenylidenes occurs between 5 and 6 Hz. ¹⁹

Scheme 4

R
$$= 13$$

Twist angle 10.6° when R = H

R $= 11$

R $= 12$

R $= 14$
 $= 14$
 $= 14$
 $= 15$

R $= 15$

R $= 15$

Twist angle 0° for 3a

the cis product 13. However, this was not t the case and the trans isomer 3 was obtained selectively. Formation of 3 can be explained by the isomerization of the vinyl palladium species 12 to 15 via the zwitterionic resonance form 14.23 Selective generation of 3 was due to the fact that the

activation energy for the cyclization of the vinyl palladium species **15** to **3** is lower than that of **12** to **13**. This is well understood by the twist angle of the central double bond of **3** and **13**. Whereas compound **3a** is calculated to be completely planar (twist angle of 0°), **13** is nonplaner (twist angle of 10° when R = H).²⁴

Further isomerization of the pendant disubstituted double bonds of 12 and 15 might take place to give 16, which can be transformed to 4 (mixture) by the attack of an acetate ligand. Isomerization of 12 or 15 to 16 is a reversible process, which is evident from the formation of 3c from 9 in Scheme 3.

In conclusion, we have developed a general method for the synthesis of hitherto unknown aromatic substituted (E)-1,1-biindenylidene derivatives through a domino-Heck-type double-cyclization method. Further elaboration of this method toward the synthesis of more extended π -electronic and heterocyclic systems and subsequent measurement of photonic and electronic properties will be the subjects of future investigations.

Supporting Information Available: Experimental procedures and spectral data for 1b-f, 3a-f, 4a-f, 9, and 10 and ¹H NMR spectra of all new compounds. This material is available free of charge via the Internet at http://pubs.acs.org.

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(23) This type of zwitterionic resonance form might be generated from the formal donation of an electron pair by a d(8) palladium center. Resonance stabilization of the negative charge in the indene fragment of 14 might be responsible for the participation of 14 in the transformation of 12 to 15. For isomerization via a zwitterionic resonance form, see: (a) Zargarian, D.; Alper, H. Organometallics 1991, 10, 2914. (b) de Vall, P.; Dedieu, A. J. Organomet. Chem. 1994, 478, 121. (c) Cacchi, S.; Fabrizi, G.; Marinelli, F.; Moro, L.; Pace, P. Tetrahedron 1996, 52, 10225. (d) Cacchi, S. J. Organomet. Chem. 1999, 576, 42. Participation of the zwitterionic species 14 in the cis—trans isomerization may be supported by the absence of such isomerization in the reaction of the related substrate with saturated chains reported by Tietze. In addition, although we performed the reaction with a saturated system, 1-(2-bromophenyl)-6-phenyl-3-hexyn-2-ol, under similar conditions, neither the double-cyclization product nor the acetate was formed.

(24) Lee-Ruff, E.; Grant, A.; Stynes, D. V.; Vernik, I. Struct. Chem. **2000**, 11, 245.

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⁽²²⁾ Formation of a six-membered ring was not observed. This could be explained by the low probability of a 6-endo-dig process.