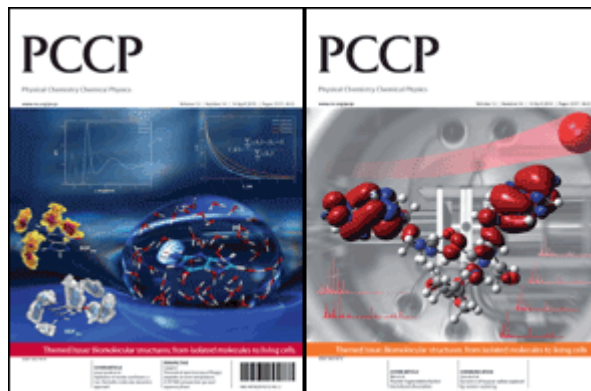


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[Biomolecular Structures: From Isolated Molecules to Living Cells](#)

**Guest Editors: Seong Keun Kim,
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Two-dimensional network stability of nucleobases and amino acids on graphite under ambient conditions: adenine, L-serine and L-tyrosine†

Ilko Bald,^a Sigrid Weigelt,^a Xiaojing Ma,^a Pengyang Xie,^b Ramesh Subramani,^a Mingdong Dong,^a Chen Wang,^c Wael Mamdouh,^a Jianguo Wang^b and Flemming Besenbacher^{*a}

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We have investigated the stability of two-dimensional self-assembled molecular networks formed upon co-adsorption of the DNA base, adenine, with each of the amino acids, L-serine and L-tyrosine, on a highly oriented pyrolytic graphite (HOPG) surface by drop-casting from a water solution. L-serine and L-tyrosine were chosen as model systems due to their different interaction with the solvent molecules and the graphite substrate, which is reflected in a high and low solubility in water, respectively, compared with adenine. Combined scanning tunneling microscopy (STM) measurements and density functional theory (DFT) calculations show that the self-assembly process is mainly driven by the formation of strong adenine–adenine hydrogen bonds. We find that pure adenine networks are energetically more stable than networks built up of either pure L-serine, pure L-tyrosine or combinations of adenine with L-serine or L-tyrosine, and that only pure adenine networks are stable enough to be observable by STM under ambient conditions.

Introduction

Proteins are very important key elements in biological systems, and among their various functions they act as enzymes in the synthesis of living materials. The interaction of proteins with DNA underlies fundamental biological processes such as gene transcription,^{1–4} DNA replication, and control of gene expression by DNA packaging in chromatin.^{5,6} It is thus of great interest to investigate the interaction of the DNA nucleobases—the essential components of DNA/RNA—with amino acids, which are the building blocks of proteins.

Scanning tunneling microscopy (STM) is the technique of choice for studying molecule–molecule interactions at the atomic and molecular scale.^{7–10} Extensive STM studies of single purine and pyrimidine bases and combinations thereof have been performed on a variety of substrates under both ambient and ultrahigh vacuum (UHV) conditions.^{11–21} In particular, the nucleobase adenine (A), one of the purine bases, has been investigated in great detail on highly oriented pyrolytic graphite (HOPG) at both the air–solid and liquid (1-octanol)–solid interface, and it has been shown both

experimentally and theoretically that A forms a homochiral and a heterochiral structure, both of which consist of rows of homochiral A dimers.^{22–26} In contrast, amino acids have, to our knowledge, not been investigated by STM under ambient conditions,²⁷ only on more reactive surfaces under UHV conditions^{28–31} and so far, only a few studies have aimed to investigate the interactions between amino acids and DNA bases by STM.³² It is well known that molecules such as the DNA nucleobase guanine form self-assembled 2D networks on Au(111), but the structure changes significantly after annealing at 400 K in UHV.¹⁷ The self-assembled structures are again different under ambient conditions in solution.¹⁶ To obtain biologically relevant information about the interplay between proteins and DNA, it is important to carry out investigations on the interaction between nucleobases and amino acids under ambient conditions.

Through an interplay of ambient STM and theoretical density functional theory (DFT) investigations, we have studied the molecular networks formed on a HOPG surface upon adsorption and co-adsorption of the purine base, A, with two amino acids by drop-casting from a water solution. The stability of 2D ordered networks is determined by (i) the stabilization energy of the bare molecular networks, and (ii) the interplay of the adsorption energy with the solvent–molecule interactions that determine whether a physisorbed molecular network is formed during the incubation period. To study the involved interactions systematically, we chose one amino acid with higher solubility in water (L-serine (Ser), 4 M³³) compared to that of A (7.23 mM³⁴), and another amino acid with a comparably lower solubility in water (L-tyrosine (Tyr), 2.8 mM³⁵). The solubility in water reflects the polarity of a molecule and its ability to form hydrogen bonds with

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† Electronic supplementary information (ESI) available: Figs. S1–S5. See DOI: 10.1039/b924098e

surrounding water molecules. A lower solubility in water is basically expected to imply a stronger interaction with the non-polar graphite substrate, which promotes the formation of physisorbed self-assembled monolayers. In the present study the influence of (i) the stabilization energy due to intermolecular hydrogen bonding and (ii) the adsorption energy on the ability to form self-assembled monolayers is investigated.

Methods

STM experiments

The molecules, A (99%, Aldrich), Ser (>99.0%, Fluka), and Tyr (>99.0%, Fluka), were dissolved in Milli-Q water to yield 5 mM concentrations for A and Ser and a saturated 2.8 mM solution for Tyr. A droplet of 15–20 μL was deposited onto a freshly cleaved HOPG surface followed by incubation for 30 min at room temperature. After incubation the excess solution was removed by blow-drying with dry nitrogen gas, leaving a monolayer of adsorbed molecules. The STM experiments were performed in air at room temperature ($21 \pm 1^\circ\text{C}$, Humidity 22%) using a Multimode SPM system with a Nanoscope IIIA controller (Veeco Instruments Inc., Santa Barbara, CA). The measurements were performed using mechanically cut Pt/Ir (80%/20%) STM tips. The molecular structures were typically scanned with bias voltages (V) between 400 mV and 1200 mV and tunneling currents (I) between 150 pA and 250 pA.

Calibration and drift compensation in the STM images was achieved by acquisition of a series of STM images of the molecular structures and the atomically resolved HOPG surface at the same spot and with the same scan speed. This was achieved by varying the bias voltage until we entered the STM manipulation regime where the molecular networks were destroyed/removed by the tip, and the underlying HOPG substrate was revealed.

All STM images were recorded in the constant current mode, and the STM images were subsequently analyzed using the Scanning Probe Image Processor (SPIP) software version 5.0.1 (Image Metrology ApS, Lyngby, Denmark). The correlation averaging method was used to display high-resolution STM images.

DFT calculations

The first-principles DFT calculations were performed both for gas-phase networks and for networks on the graphite surface with the DMol module in Materials Studio.^{36,37} The generalized gradient approximation with the PW91 functional was used to describe the exchange–correlation effects.³⁸ In DMol the physical wave function is expanded on an accurate numerical basis set, and fast convergent 3D integration is used to calculate the matrix elements occurring in the Ritz variational method. In this study a double numerical basis set with polarization functions (DNP) and a real space cut-off of 5.0 \AA was used. The sizes of the DNP basis sets are comparable with the 6-31G** basis and believed to be much more accurate than Gaussian basis sets of the same size. The k -point

sampling was done by the use of the Monkhorst–Pack scheme, and the threshold of density matrix convergence was set to 10^{-6} .

The stability of the gas-phase structures was evaluated by the network stabilization energy E_g , defined by:

$$E_g = nE_{\text{Ade}} + mE_{\text{Ser/Tvr}} - E_{n\text{Ade} + m(\text{Ser/Tvr})}$$

The binding energy, E_s , of the molecules or networks on the graphite surface is defined by:

$$E_s = nE_{\text{Ade}} + mE_{\text{Ser/Tvr}} + E_{\text{Gra}} - E_{n\text{Ade} + m(\text{Ser/Tvr}) + \text{Gra}}$$

Results and discussion

Characterisation of pure adenine networks

STM investigations of the HOPG surface covered with 5 mM aqueous solutions of pure A following removal of excess solution reveal the formation of a complete A monolayer. In contrast, a similar treatment with each of the amino acids solutions, Ser and Tyr, does not leave any sign of the formation of stable amino acid structures upon imaging with the STM in air. Instead the clean HOPG surface is revealed in those cases.

In Fig. 1 STM images of the pure A structures are depicted. Large-scale images (see Fig. 1a and Fig. S1 of the ESI†) show that the molecules are arranged into striped domains with the stripes oriented perpendicular to the high-symmetry directions of the underlying atomic HOPG lattice, indicating a directional interaction between the HOPG surface and the A molecules. In accordance with previous studies^{22–26} of A adsorbed on HOPG by other preparation procedures, we find two different adsorption structures, in the following referred to as the *A* structure and the *B* structure, respectively as displayed in Fig. 1. Inspection of the structures at a large scale (Fig. 1a) reveals that the contrast between neighbouring rows is more pronounced in domains with a *B* structure than in domains with an *A* structure. A zoom-in on the domains (Fig. 1b–d) shows that the rows are built up of homochiral A dimers (Fig. 1e). In the *A* structure (Fig. 1b and c) all rows consist of dimers with the same chirality, which renders the *A* structure homochiral. In contrast to that in the *B* structure, adjacent rows consist of dimers with opposite chirality (see Fig. 1d), which renders the *B* structure overall heterochiral. In the figures, low-energy molecular network structures that resulted from DFT calculations are superimposed on the STM images.

Even under very gentle scanning conditions ($I = 180\text{--}200$ pA and $V = 0.8\text{--}1.2$ V), we often observe that the scanned domain is removed after a few scans due to strong interactions with the tip, and we find that even if only a small portion of an area is scanned, the collapse/disappearance of the molecular structure is transferred to the complete domain, as seen in the zoom-out image in Fig. S1 of the ESI.† By decreasing the bias voltage below 400 mV, we could trigger the collapse of the domains whereby atomic-scale images of the underlying substrate could be obtained. In this way, drift-corrected measurements of the *A* unit cells with very high accuracy could be obtained. From an image sequence of four (six) images at the same spot, we find unit cell dimensions of the *A* (*B*) domains to be $a = 1.14 \pm 0.03$ nm (2.38 ± 0.07 nm), $b = 0.86 \pm 0.03$ nm (0.84 ± 0.03 nm) and $\phi = 70.0 \pm 2.1^\circ$ ($69.8 \pm 2.2^\circ$)

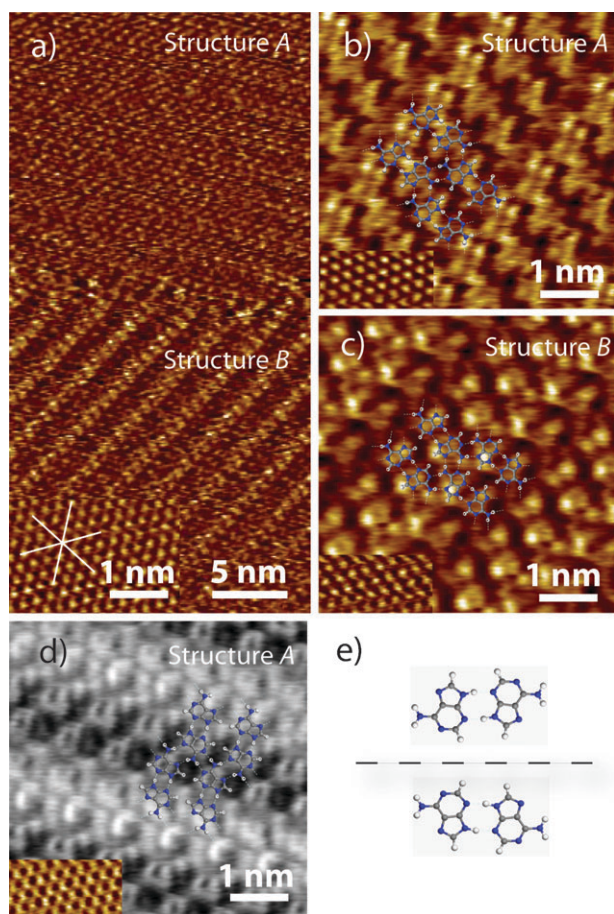


Fig. 1 Adenine on HOPG deposited from a 5 mM aqueous adenine solution. Insets show the graphite substrate that was recorded for calibration subsequent to the respective adenine imaging. Theoretically predicted network structures of adenine are superimposed on high-resolution images. (a) Large-scale image displaying a domain boundary between a homochiral *A* and a heterochiral *B* structure; $I = 200$ pA, $V = 1000$ mV (HOPG: $I = 517$ pA, $V = 350$ mV). (b) Zoom-in on a domain composed of the *A* structure; $I = 200$ pA, $V = 700$ mV (HOPG: $I = 467$ pA, $V = 296$ mV). (c) Zoom-in on a domain composed of the *B* structure; $I = 200$ pA, $V = 1100$ mV (HOPG: $I = 517$ pA, $V = 350$ mV). (d) Inverted image of an *A* structure; $I = 183$ pA, $V = 600$ mV (HOPG: $I = 713$ pA, $V = 231$ mV). (e) The two chiral forms of adenine dimers. Structure *A* is built up of one type of dimer, whereas structure *B* consists of both enantiomeric dimers.

respectively. These measurements fit well with the gas-phase DFT results,²³ $a = 1.14$ nm (2.38 nm), $b = 0.86$ nm (0.85 nm) and $\phi = 73.7^\circ$ (69.0°) for the *A* (*B*) domains and are of a significantly higher accuracy than previous measurements of the unit cell parameters under 1-octanol conditions.²³

Depending on the STM tip, the scan direction¹⁸ and the tunneling conditions, the appearance of the molecular structures is observed to change dramatically.^{39–41} In Fig. 2 a series of STM images of the same heterochiral *A* domain obtained at different bias voltages is displayed, indicating a strong bias dependence of the appearance of the *A* network. As seen, adjacent rows are often imaged at different contrast resulting in varying STM patterns. One might conclude at first sight that the networks were composed of not just *A* molecules. Images recorded at high bias ($V > 700$ mV)

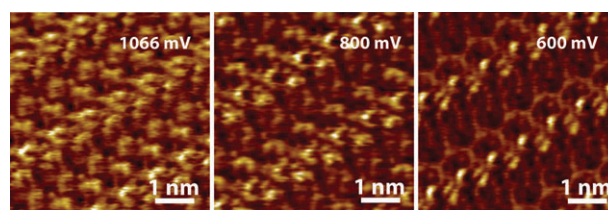


Fig. 2 Bias-dependent sequence of STM images of the heterochiral adenine structure. All the images are obtained at the same location with a current set-point $I = 200$ pA.

are often easier to interpret than images at lower bias, since the triangular shape of the *A* molecules is often found at high bias, whereas low-bias images only show parts of the molecules mixed with contributions from the underlying HOPG surface. For instance, Fig. 1c shows that the amino group and the two parts of the purine ring (the pyrimidine ring and the imidazole ring) of a single molecule can be clearly distinguished, and also the network of hydrogen bonds appears in the STM image.

Furthermore, we sometimes find that the molecules are imaged as depressions instead of protrusions. An example of an STM image of the homochiral *A* domain obtained in such an inverted-tip imaging mode in which the molecules appear dark instead of bright is displayed in Fig. 1d. To help the reader, the colour scale has been inverted by the image processing software. In such inverted images, single molecules are often easier to distinguish than in images obtained in the conventional non-inverted mode.

Co-deposition of adenine with L-serine/L-tyrosine

Fig. 3 shows a collection of STM images of the molecular networks formed on an HOPG surface after incubation of the substrate in an aqueous solution containing 5 mM *A* and 5 mM Ser/Tyr respectively for 30 min following blow-drying with nitrogen. A thorough inspection of several samples at different STM biases and under different imaging conditions (see Figs. S2 and S3 of the ESI†) revealed that all the stable molecular structures consist exclusively of *A* molecules, and no signs of any new co-adsorption structures are revealed. In all cases we find both homochiral *A* domains (Fig. 3b and e) and heterochiral *B* domains (Fig. 3c and f) on the HOPG surface. Measurements of the unit cells formed upon A-Ser (A-Tyr) sample treatment yield $a = 1.18 \pm 0.03$ nm, $b = 0.82 \pm 0.04$ nm and $\phi = 70.2 \pm 2.1^\circ$ ($a = 1.07 \pm 0.06$ nm, $b = 0.84 \pm 0.03$ nm, $\phi = 70 \pm 3^\circ$) for the homochiral *A* structures and $a = 2.38 \pm 0.07$ nm, $b = 0.85 \pm 0.03$ nm and $\phi = 69.9 \pm 2.1^\circ$ ($a = 2.36 \pm 0.03$ nm, $b = 0.87 \pm 0.01$ nm, $\phi = 65 \pm 2^\circ$) for the heterochiral *B* structures. This is in good agreement both with the numbers obtained from the DFT calculations and observed in the measurements of the pure *A* samples. Sometimes small highly unstable structures are also found on the surface. However, such domains could also be found on samples treated only with *A*. We therefore conclude that only stable *A* networks are formed, whereas the amino acids remain dissolved in solution.

To further elucidate these findings, gas-phase DFT calculations for molecular dimers and networks were performed (see Fig. 4 and 5 and Figs. S4 and S5 of the ESI†).

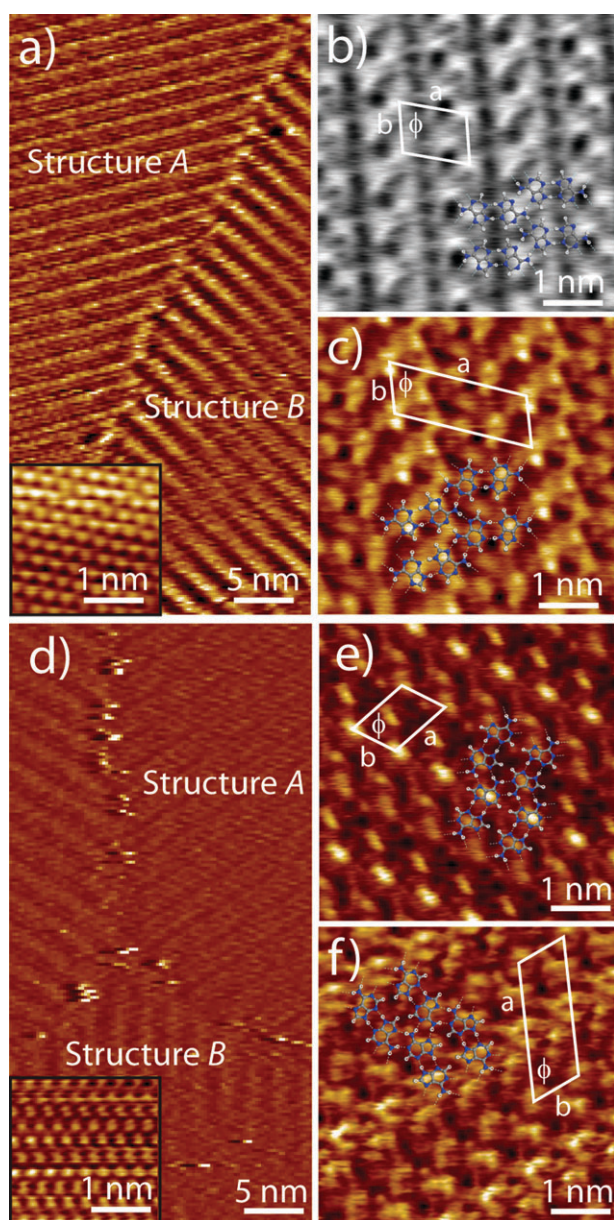


Fig. 3 Co-adsorption of adenine with L-serine (a–c) and with L-tyrosine (d–f). In both cases only pure adenine domains are found. (a, d) Large-scale current STM images showing neighboring homochiral A and heterochiral B domains. Note that the image in (d) is obtained in the inverted imaging mode (the unprocessed image can be seen in Fig. S2a of the ESI†). (b, e) Zoom-in on homochiral A domains. The STM image in (b) was obtained in the inverted imaging mode. (c, f) Zoom-in on heterochiral B domains. Imaging parameters: (a) $I = 284$ pA, $V = 825$ mV (HOPG: $I = 511$ pA, $V = 563$ mV); (b) $I = 200$ pA, $V = 800$ mV; (c) $I = 190$ pA, $V = 900$ mV; (d) $I = 200$ pA, $V = 800$ mV (HOPG: $I = 927$ pA, $V = 415$ mV); (e) $I = 343$ pA, $V = 700$ mV; (f) $I = 200$ pA, $V = 1066$ mV.

Fig. 4a–c shows the structure and stabilization energy of the most stable homodimers of A, Ser and Tyr, which all exhibit two intermolecular hydrogen bonds. Of all three species, the A dimer is the most stable complex due to strong $\text{NH}\cdots\text{N}$ hydrogen bonds. For the binding of the two amino acids to A several possibilities for hydrogen bonding exist, the most

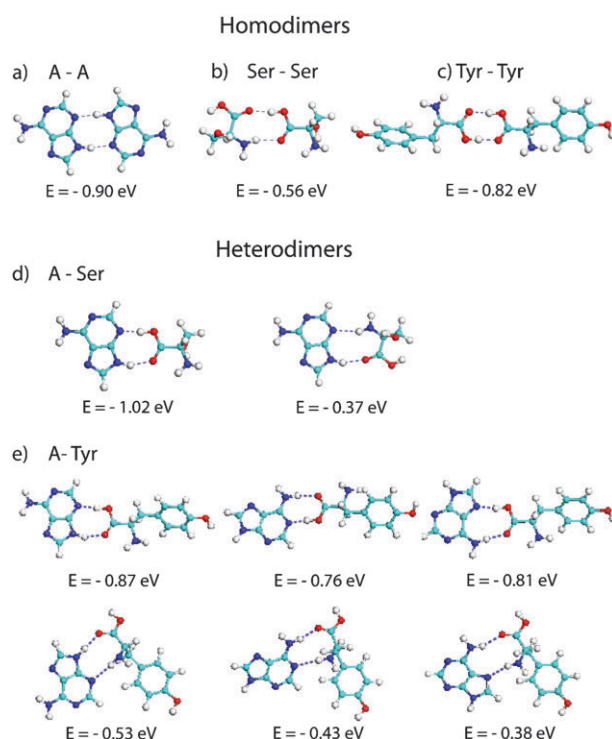


Fig. 4 (a–c) Structures and stabilization energies of homodimers of adenine, L-serine and L-tyrosine resulting from DFT calculations; (d, e) Calculated structures and stabilization energies of heterodimers of A and Tyr, and A and Ser.

relevant of which are displayed in Fig. 4d and e. The calculations show that the most stable heterodimers are formed by intermolecular hydrogen bonding of the carboxylic group of the amino acids with N1 and N9–H of the A molecule. The stabilization energy of these heterodimers (1.02 eV for Ser, and 0.87 eV for Tyr) is similar to the stabilization energy of the A dimer (0.90 eV). However, the situation changes when considering 2D network structures. In Fig. 5 the structures and stabilization energies (per four molecules) of A networks and intermixed networks comprised of A and Ser respectively Tyr are depicted. The most stable intermixed structures were found to consist of consecutive rows of A and amino acid dimers (Fig. 5b and c), respectively, having stabilization energies of 3.46 eV and 2.97 eV, respectively. In comparison, calculations of the homochiral and heterochiral A structures (Fig. 5a) yield stabilization energies of 3.84 eV and 3.87 eV respectively, as also found in previous studies.^{23,42} The higher stability of A networks is a consequence of the larger number of intermolecular hydrogen bonds that are formed compared to intermixed networks.

The formation of 2D self-assembled nanostructures is not only governed by molecule–molecule interactions, but also by molecule–surface interactions. To reveal the influence of the adsorption energy on the ability of A, Ser and Tyr to form self-assembled monolayers on graphite we conducted DFT calculations on adsorbed molecules and molecular networks. In Fig. 6 structures of the single A, Ser and Tyr molecules and the most stable network structures adsorbed on graphite as calculated with DFT are displayed. The results show that a

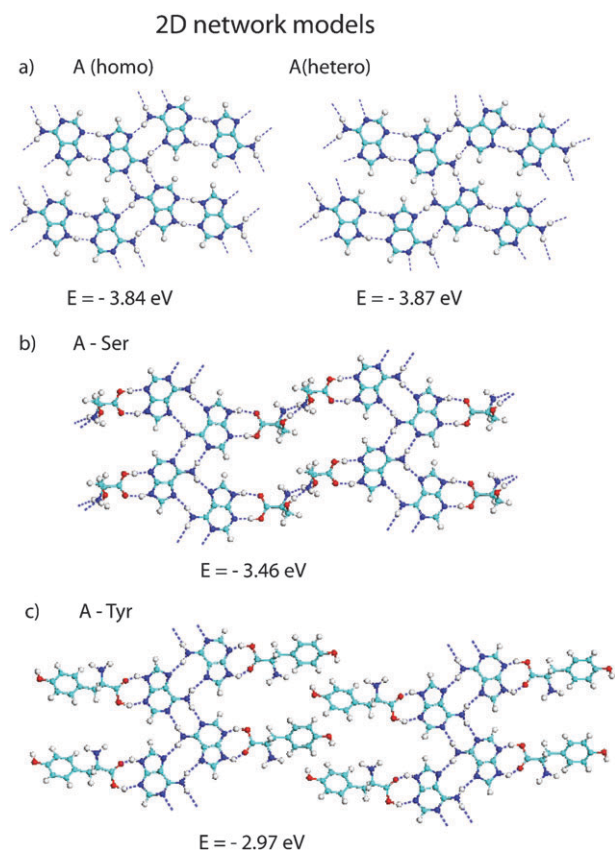


Fig. 5 Structures and stabilization energies (per 4 molecules) of the 2D molecular networks resulting from DFT calculations. (a) Homochiral and heterochiral A networks, (b, c) mixed A-Ser/Tyr networks. For the intermixed structures only the most stable networks are displayed.

single Tyr possesses the highest adsorption energy (0.419 eV) compared to A (0.225 eV) and Ser (0.228 eV). In accordance with other studies^{43,44} this is caused by attractive π - π interactions between the benzene ring of Tyr and the HOPG surface. Since we observed the formation of A self-assembled monolayers the calculated adsorption energies of A, Ser and Tyr indicate that also the interaction of the amino acids with the graphite surface is basically large enough to render the formation of intermixed A/amino acid networks feasible. However, DFT calculations of molecular networks adsorbed on the graphite (Fig. 6d-f) reveal that adsorbed A networks are considerably more stable than intermixed networks. A comparison of the network stabilization energies in the gas phase (Fig. 5) with the adsorbed state (Fig. 6) suggests that the molecule-substrate interactions are very small compared to the intermolecular interactions. This is also evident from the molecule-substrate distance, which increases from 0.23 nm for the single adsorbed molecules to 0.42 nm for the molecular networks. The driving force for formation of nucleobase/amino acid self-assembled monolayers on the HOPG surface is thus the stabilization energy of the molecular networks.

The DFT calculations and experimental findings reveal that the formation of hydrogen bonded self-assembled monolayers under ambient conditions requires a large network stabilization energy, and in the case of graphite the substrate only acts as a template. Despite strong hydrogen bonding between A and

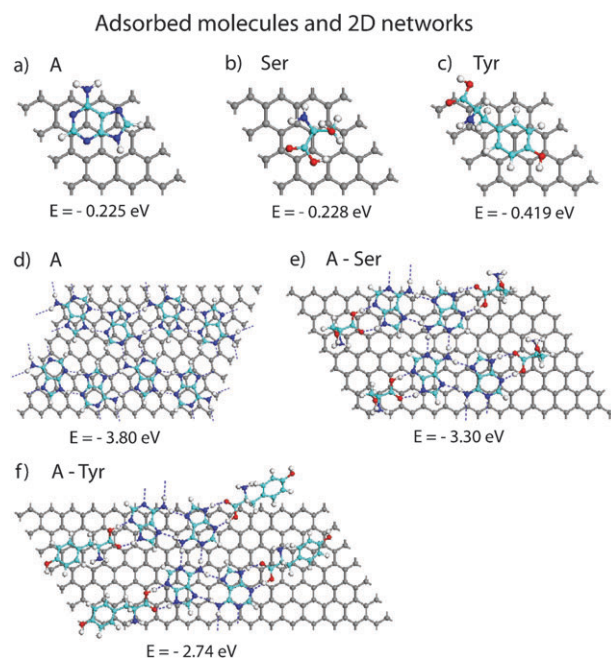


Fig. 6 DFT calculations of molecules adsorbed on graphite. Stabilization energies for a single (a) adenine, (b) L-serine, and (c) L-tyrosine molecule on HOPG. Structures and stabilization energies (per 4 molecules) on HOPG for (d) the homochiral adenine network, (e) the intermixed adenine-L-serine network and (f) the intermixed adenine-L-tyrosine network.

Tyr and a high adsorption energy of Tyr on graphite no intermixed networks can be observed due to a low total network stabilization energy.

Gas-phase DFT calculations and STM measurements were also performed on a range of samples incubated with aqueous solutions of A combined with other amino acids. In no cases did we find clear evidence of the formation of intermixed co-adsorbed networks.

Conclusions

The formation of 2D molecular nanostructures is governed by a complex interplay between molecule-molecule and molecule-surface interactions. STM experiments and DFT calculations reveal that pure A networks are energetically more favourable than networks built up of either Ser, Tyr or combinations of A with Ser or Tyr. Our theoretical results suggest that the interaction of the self-assembled molecular network with a graphite surface is rather small compared to the intermolecular interactions. The amino acids Ser and Tyr can form strong hydrogen bonds with the nucleobase A (close to 1 eV binding energy), however, the total number of hydrogen bonds in a 2D intermixed network is smaller than in pure A networks. A comparatively strong binding of Tyr to graphite is not sufficient to form stable intermixed A-Tyr networks under ambient conditions. This implies that a sample incubated in a solution containing an excess of A molecules would be covered only by homomolecular A networks. The present results suggest that the interaction between amino acids and nucleobases in true biological processes might require 3D conformations for molecular recognition.

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