[1,4] and [5,5] Thermal Sigmatropic Rearrangements of 2-Pentadienyloxypyridine N-Oxides

David Alker, W. David Ollis,* and Hooshang Shahriari-Zavareh Department of Chemistry, The University, Sheffield S3 7HF

2-Pentadienyloxypyridine N-oxides (3) are smoothly transformed on heating into N-pentadienyloxy-2-pyridones (4) and N-hydroxy-5-pentadienyl-2-pyridones(5). These reactions are shown to be regiospecific and are believed to proceed in a concerted fashion. The [5,5) sigmatropic rearrangement (3) \rightarrow (5) takes place under moderate conditions and in good yield, although it presumably involves a ten-membered cyclic transition state.

Our general interest in the mechanism of sigmatropic rearrangements involving anionic or dipolar precursors has promoted a detailed examination^{1,2} of the thermal transformation of 2alkoxypyridine N-oxides into N-alkoxy-2-pyridones (Scheme 1; reaction a). This reaction is a [1,4] sigmatropic rearrangement and was first described by Dinan and Tieckelmann in 1964.3 At first sight, the occurrence of this reaction apparently conflicts with the generalisation put forward by Eschenmoser in 1970⁴ that endocyclic intramolecular nucleophilic substitutions would be inhibited. This generalisation was based upon the perception that there would be a stereoelectronic requirement for collinearity of three atoms when they participate in a nucleophilic substitution with inversion, but that this collinearity would be incompatible with the geometrical constraints imposed by an endocyclic transition state. The occurrence of reaction a (Scheme 1) was therefore of considerable interest but the offending reaction was satisfactorily interpreted in terms of orbital symmetry opportunities which enabled reaction a to proceed in a concerted fashion. This involved additional participation by the π -system of the pyridine N-oxide ring and reaction a could then be regarded as a 6-electron [1s, 4s] process requiring retention of configuration at the terminus of the migrating R group.4 Persuasive experimental support for this view was provided by the detailed investigation reported by Schöllkopf and Hoppe.⁵ However, further experimental enquiry^{6,7} has suggested that reaction a (Scheme 1) may proceed either by a concerted process involving a cyclic transition state, or by a non-concerted process involving a radical pair intermediate. It has also been suggested⁵ that preference for one of these two different mechanistic pathways could well be determined by the nature of the migrating R group.

In this situation, the allyl group could well be a highly informative mechanistic probe. Its participation in a concerted process would be regiospecific, whereas its participation as a free radical in a radical pair process would lead to scrambling. Thus, by the use of specifically labelled allyl substituents, it would be possible to distinguish between concerted pericyclic reactions or non-concerted radical pair processes. The allyl group needs to be specifically labelled by substitution either with deuterium or methyl groups. Using these methods, we have shown^{1,2} that 2-allyloxypyridine N-oxides are smoothly transformed by [1,4] sigmatropic rearrangements (Scheme 1; reaction a) into N-allyloxy-2-pyridones, and by [3,3] sigmatropic rearrangements (Scheme 1; reaction b) into 3-allyl-Nhydroxy-2-pyridones. The factors influencing the periselectivity between [1,4] and [3,3] sigmatropic rearrangement of 2-allyloxypyridine N-oxides have been closely examined. Complete regiospecificity has been observed² in the [1,4] and in the [3,3] sigmatropic rearrangement of 2-allyloxypyridine N-oxides.

These results^{1,2} clearly indicate that the [1,4] and the [3,3] sigmatropic rearrangements of 2-allyloxypyridine N-oxides are concerted and involve five-and six-membered cyclic transition states, respectively.

states, respectively.

$$\begin{array}{c}
a \\
\hline
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,4) \\
(1,$$

Scheme 1. Sigmatropic rearrangements of 2-alkoxy-, 2-allyloxy-, and 2-[(2E)-penta-2,4-dienyloxy]-pyridine N-oxides

We have also been rewarded by the use of penta-2,4-dienyl groups as mechanistic probes. This led to the discovery of several new types of higher order sigmatropic rearrangements including (i) the [5,4] sigmatropic rearrangement exhibited by allyl(pentadienyl)ammonium ylides at room temperature,⁸ (ii) the [5,4] sigmatropic rearrangement shown by N-pentadienyl-2-oxyanilinium ylides at 0 °C,⁹ (iii) the [5,2] sigmatropic

rearrangement shown by pentadienylammonioamidates, ¹⁰ and (iv) the [5,2] sigmatropic rearrangment of pentadienylammonium ylides. ¹¹ Furthermore, using appropriately substituted pentadienyl derivatives, it was possible to investigate the periselectivity between [1,4] and [5,4] sigmatropic rearrangements associated with reactions (i) and (ii) and the periselectivity between [1,2], [3,2], and [5,2] sigmatropic rearrangements for the reactions (iii) and (iv). This experience persuaded us to examine the thermal transformation of 2-[(2E)-penta-2,4-dienyloxy]pyridine N-oxides (3). The results are briefly summarised in Scheme 1 (reactions a and c). It will be appreciated that these results raise some interesting questions since they are not entirely in accord with our expectations.

Reaction between the (2E)-penta-2,4-dienols (1a—c) and sodium hydride in tetrahydrofuran followed by the addition of 2-chloropyridine N-oxide (2a) yielded the corresponding 2-[(2E)-penta-2,4-dienyloxy]pyridine N-oxides (3a—c). Thermal rearrangement of the 2-[(2E)-penta-2,4-dienyloxy]pyridine N-oxides (3a—c) occurred when they were heated (100 °C; 90 min) in dimethylformamide. In each case only two products were formed: they were identified as the corresponding N-[(2E)-penta-2,4-dienyloxy]-2-pyridones (4a—c; yields 27, 43, and 42%, respectively) and the corresponding N-hydroxy-5-[(2E)-penta-2,4-dienyl]-2-pyridones (5a—c; yields 65, 55, and 55%, respectively). The total yields of the products (4) and (5) are almost quantitative.

$$\begin{array}{c}
X \\
\downarrow \\
0
\end{array}$$

$$\begin{array}{c}
X \\
0
\end{array}$$

$$\begin{array}{c}
X \\
0
\end{array}$$

$$\begin{array}{c}
R^1 \\
R^2
\end{array}$$

$$\begin{array}{c}
X \\
0
\end{array}$$

$$\begin{array}{c}
X \\
0$$

$$\begin{array}{c}
X \\
0$$

$$\begin{array}{c}
X \\
0$$

$$\begin{array}{c}
X \\
0$$

$$X \\
0$$

$$\begin{array}{c}
X \\
0$$

$$X \\$$

In formulae (3), (4), and (5):

Various mechanistic possibilities could be considered for the transformation $(3)\rightarrow(4)+(5)$, but the most attractive hypothesis was that the products (4) are produced by [1,4] sigmatropic rearrangement and the products (5) by [5,5]

sigmatropic rearrangement. This proposal was put to the test by examining the thermal rearrangement of the specifically labelled 1,1-dideuteriopentadienyl derivative (6a). Its thermal rearrangement was carried out in exactly the same manner as that of the undeuteriated compound (3a). This yielded N-[(2E)-1,1-dideuteriopenta-2,4-dienyloxy]-2-pyridone (7a) (50% yield) and N-hydroxy-5-[(2E)-5,5-dideuteriopenta-2,4-dienyl]-2-pyridone (8a) (44% yield). It was established by examination of the mass spectra and NMR spectra of the products that the rearrangement (6a)→(7a) + (8a) proceeded regiospecifically with complete retention of deuterium. This demonstrated that the rearrangements $(3)\rightarrow (4)$ and $(6)\rightarrow (7)$ proceeds solely by a concerted [1,4] sigmatropic rearrangement and that a dissociation-recombination mechanism involving a radical pair intermediate could not be involved. It also firmly established that the rearrangements $(3)\rightarrow(5)$ and $(6)\rightarrow(8)$ proceeds solely by a concerted [5,5] sigmatropic rearrangement involving a tenmembered cyclic transition state. This is a remarkable result. In principle, the generation of the [5,5] sigmatropic rearrangement product could have involved a sequence of two [3,3] sigmatropic rearrangements. However, this possibility is definitely excluded because such a sequence of two [3,3] sigmatropic rearrangements would necessarily have given a product (8) in which the deuterium label was equally distributed between position 1 and position 5 of the penta-2,4-dienyl group. It is particularly noteworthy that the thermal rearrangement of 2-allyloxypyridine N-oxides^{1,2} does give rise to the products of [3,3] sigmatropic rearrangements (Scheme 1; reaction b), whereas 2-pentadienyloxypyridine N-oxides (3) when heated do not participate in [3,3] sigmatropic rearrangements to give either intermediates or isolable products. This exclusive periselectivity for a [5,5] in preference to a [3,3] sigmatropic rearrangement is even more striking when it is recalled that the thermal rearrangement of (2E)-penta-2,4-dienyl phenyl ether (9a) gives two products (10a) and (11a) formed by concurrent [3,3] and [5,5] sigmatropic rearrangements. 12-14

In formulae (6), (7), and (8): $a, X = H; b, X = NO_2$

The influence of a 4-nitro substituent upon the thermal rearrangement of 2-pentadienyloxypyridine N-oxides has been superficially examined. The attempted synthesis of the compound (3d) did not lead to its isolation, but its [1,4] sigmatropic rearrangement product (4d) was isolated instead. Similarly, the attempted synthesis of the 1,1-dideuteriopenta-2,4-dienyl derivative (6b) led only to the isolation of its [1,4] sigmatropic rearrangement product (7b). It is noteworthy that, in these two cases, the presence of the 4-nitro substituent apparently

inhibited the formation of the [5,5] sigmatropic rearrangement product (8b). This result provides an interesting contrast with the thermal rearrangement of 2-allyloxy-4-nitropyridine N-oxide when the formation of products from the [1,4] (46% yield) as well as the [3,3] sigmatropic rearrangement (50 % yield) are observed.

The important work by Frátar and Schmid¹² which led to the discovery of the [5,5] sigmatropic rearrangement of (2E)-penta-2,4-dienyl phenyl ethers rested upon the labelling of the pentadienyl group by methyl substituents. Thus, thermal rearrangement (diethylaniline; 186 °C) of the ether (9b) gave the [3,3] product (10b) (27% yield) and the [5,5] product (10c) (56% yield). Similarly, rearrangement of the ether (9c) gave the [3,3] product (10c) (58% yield) and the [5,5] product (11c) (3% yield). The product ratios are markedly dependent upon the position of the methyl substituent on the (2E)-penta-2,4-dienyl group. Clearly this perturbation could be removed using a pentadienyl substituent specifically labelled with deuterium. The availability to us of (2E)-1, 1-dideuteriopenta-2,4-dien-1-ol encouraged us to re-investigate the regioselectivity of the [5,5] sigmatropic rearrangement of the pentadienyl phenyl ethers (9a) and (12).

In formulae (9), (10), and (11): a, $R^1 = R^2 = H$; b, $R^1 = H$, $R^2 = Me$; c, $R^1 = Me$, $R^2 = H$

The aryl ethers (9a) and (12) were synthesised by a method developed by Goering and Kimoto. ¹⁵ (2E)-Penta-2,4-dien-1-ol and 2,4-dinitrofluorobenzene in triethylamine gave the corresponding 2,4-dinitrophenyl ether which by reaction with sodium phenoxide in diglyme gave (2E)-penta-2,4-dienyl

phenyl ether (9a). (2E)-1,1-Dideuteriopenta-2,4-dienyl phenyl ether (12) was similarly prepared. Thermal rearrangement (diethylaniline; 186 °C; 5 h) of the ether (9a) gave 2-(3-penta-1,4-dienyl)phenol (10a) (25% yield) and 4-[(2E)-penta-2,4-dienyl]phenol (11a) (40% yield). The dideuterio-derivative (12) similarly yielded the products (13) (23% yield) and (14) (38% yield). It was established by examination of the mass spectra and NMR spectra of the products that the rearrangement (12) \rightarrow (14) proceeded regiospecifically with complete retention of deuterium. This result requires that (i) the product (14) is formed directly by a [5,5] sigmatropic rearrangement (12) \rightarrow (14) and (ii) a sequence of two [3,3] sigmatropic rearrangements involving the dideuterio derivative (13) as an intermediate cannot be involved.

Scheme 2. Regiospecific [1,4] and [5,5] sigmatropic rearrangements of 2-pentadienyloxypyridine N-oxide (3a)

The regiospecificity of the [1,4] and [5,5] sigmatropic rearrangements of 2-pentadienyloxypyridine N-oxides (3) provides excellent support for the view summarised in Scheme 2 that the thermal transformation (3) \rightarrow (4) + (5) involves concerted [1,4] and [5,5] sigmatropic rearrangements, respectively, associated with the transition states (15) and (16a) or (16b). Although transition state (16a) is included in Scheme 2, this does not

Table. Thermal rearrangement (100 °C; 90 min) of 2-[(2E)-penta-2,4-dienyloxy]pyridine N-oxide (3a) in various solvents.

Solvent	Product composition (%)	
	[1,4] Rearrangement product (4a)	[5,5] Rearrangement product (5a)
Tetrachloroethylene	95	5
Toluene	83	17
Dioxane	47	53
Diethyl ketone	44	56
Aniline	34	66
Dimethyl sulphoxide	32	68
Dimethylformamide	30	70
Formamide	3	97
Water	2	98

imply that we have any experimental basis for preferring transition state (16a) to transition state (16b). However, it must be emphasised that the *E*-stereochemistry of the pentadienyl residue in both the precursor (3) and in the product (5) is good evidence for the transition state (16a) or (16b) proposed for the [5,5] sigmatropic rearrangement (Scheme 2). This corresponds to the type-B stereochemistry proposed for the [5,4] sigmatropic rearrangements of allyl[(2E)-penta-2,4-dienyl]ammonium ylides. In addition, it may be noted that there is an appealing correspondence between the transition state (16) and the transition state (18) proposed for the [5,4] sigmatropic rearrangement of N-pentadienyl-2-oxyanilinium ylides (19).

The influence of solvent polarity upon the periselectivity between [1,4] and [5,5] sigmatropic rearrangements of 2-[(2E)penta-2,4-dienyloxy]pyridine N-oxide (3a) has been investigated. The results (Table) show that the [1,4] sigmatropic rearrangement is favoured in solvents of low polarity, whereas the [5,5] sigmatropic rearrangement is favoured in solvents of high polarity. These observations are in accord with the views summarised in Scheme 2. The [1,4] sigmatropic rearrangement proceeds from a dipolar precursor (3a), via the transition state (15) which is accompanied by charge dissipation, to the product (4a). This sequence is associated with a reduction of charge separation and could therefore operate satisfactorily in a solvent of low polarity. In contrast, there is a maintenance of charge separation during the [5,5] sigmatropic rearrangement $(3a) \rightarrow (17) \rightarrow (5a)$ until the last step $(17) \rightarrow (5a)$ occurs in which there is a prototropic change. It follows that the [5,5] sigmatropic rearrangement would be encouraged by solvents of high polarity. Finally, it may be mentioned that the [1,4] sigmatropic rearrangement (3c) \rightarrow (4c) occurs exclusively and quantitatively when the crystalline solid is stored (26 months) at -15 °C.

There is obviously a close mechanistic similarity between the [5,5] sigmatropic rearrangements of pentadienyl phenyl ethers and 2-pentadienyloxypyridine N-oxides. It is interesting that the latter rearrangement takes place under milder conditions. Furthermore, this investigation has revealed that there is a striking influence of structure upon the periselectivity between [3,3] and [5,5] sigmatropic rearrangements which could not have been expected on the basis of current theoretical treatments of pericyclic reactions. Pentadienyl phenyl ethers exhibit [3,3] and [5,5] sigmatropic rearrangements. In contrast, 2-pentadienyloxypyridine N-oxides do exhibit [5,5] but do not exhibit [3,3] sigmatropic rearrangements.

Experimental

The general methods are those recorded in reference 1.

(2E)-Penta-2,4-dienyl-1-ol (1a).—(2E)-Penta-2,4-dienoic acid ¹⁶ (98.1 g), toluene-p-sulphonic acid (5.9 g), benzene (600 ml), and ethanol (295 ml) were heated under reflux (50 h) and water was removed azeotropically using a Dean-Stark separator. Addition of water, ether extraction, and fractional distillation yielded ethyl (2E)-penta-2,4-dienoate (80.7 g, 64%) as a colourless liquid, b.p. 59–60 °C at 10 Torr (lit., ¹⁷ 57–57.5 °C at 13 Torr); v_{max} (liquid film) 2 970, 1 715, 1 640, 1 600, 1 300, 1 260, 1 200, and 1 140 cm⁻¹; δ ABCDE system, δ_A 5.58, δ_B 5.46, δ_C 6.44, δ_D 7.25, δ_E 5.90 [J_{AB} 1, J_{AC} 17, J_{BC} 10, J_{CD} 10, J_{DE} 15 Hz; $H_AH_BC=CH_C-CH_D=CH_ECO$], δ 4.19 (q, J 7 Hz, OCH_2CH_3], and δ 1.28 (t, J 7 Hz, OCH_2CH_3).

A solution of ethyl (2E)-penta-2,4-dienoate (12.61 g) in ether (50 ml) was added dropwise to a stirred suspension of lithium aluminium hydride (3.79 g) in ether (200 ml) at -25 °C. The mixture was stirred at -10 °C for 3 h, then moist ether was cautiously added. The mixture was then acidified with 1M sulphuric acid and extracted with ether. After washing (10% aqueous sodium hydrogencarbonate) and drying (anhydrous potassium carbonate), evaporation at room temperature yielded a residue which was distilled at 0.05 Torr without external heating directly into a cold-trap receiver cooled in liquid nitrogen. This yielded (2E)-penta-2,4-dien-1-ol as a colourless oil (6.64 g, 79%); v_{max} (liquid film) 3 300, 2 850, 1 603, 1 080, 955, and 895 cm⁻¹; δ ABCDEX₂ system, δ _A 5.16, δ _B 5.06, δ _C 6.15–6.40, δ _D 6.15–6.40, δ _E 5.78, δ _X 4.10 [J_{AC} 16, J_{BC} 8, J_{DE} 15, J_{EX} 6 Hz; $CH_AH_B=CH_CCH_D=CH_EC(H_X)_2O$], and δ 3.61 (s, OH).

(2E)-1,1-Dideuteriopenta-2,4-dien-1-ol[cf. (1a)].—Reduction of ethyl (2E)-penta-2,4-dienoate (12.61 g) with lithium aluminium deuteride (4.19 g) as in the preceding experiment gave (2E)-1,1-dideuteriopenta-2,4-dien-1-ol (7.23 g, 86%) (Found: M^{+} , 86. $C_5H_6D_2O$ requires M, 86). Its NMR spectrum corresponded exactly with that of (2E)-penta-2,4-dien-1-ol (1a) except for simplification due to the absence of H_E,H_X coupling and the absence of the signal at δ_X 4.10. This established the incorporation of deuterium (> 98 atom %D) at C-1.

2-[(2E)-Penta-2,4-dienyloxy]pyridine N-oxides (3).—The following procedure is typical. A solution of (2E)-penta-2,4-dien-1-ol (1.26 g) in tetrahydrofuran (10 ml) was added to a stirred suspension of sodium hydride (380 mg) in tetrahydrofuran (25 ml). After 15 min, a solution of 2-chloropyridine N-oxide (2a) (1.55 g) in tetrahydrofuran (15 ml) was added. After 2 h, the mixture was filtered (Hyflo Supercel), evaporated, and the residue purified by short-path column chromatography (silica

gel; chloroform-methanol, 94:6). Crystallisation from ethyl acetate-pentane yielded 2-[(2E)-penta-2,4-dienyloxy]pyridine N-oxide (3a) (1.73 g, 72%) as colourless rhombs, m.p. 69-71 °C (Found: C, 67.5; H, 6.1; N, 7.9%; M^+ , 177. $C_{10}H_{11}NO_2$ requires C, 67.8; H, 6.2; N, 7.9%; M, 177); v_{max} 2 970, 1 608, 1 565, 1 500, 1 438, 1 311, 1 115, and 1 000 cm⁻¹; δ 6.86-7.02 (m, 3-H), 7.22 (t, J 8 Hz, 4-H), 6.86-7.02 (m, 5-H), and 8.26 (dd, J 7 and 2 Hz, 6-H), ABCDEX₂ system, δ_A 5.26, δ_B 5.17, δ_C 6.23-6.47, δ_D 6.23-6.47, δ_E 5.92, δ_X 4.91 [J_{AC} 16, J_{BC} 14, J_{DE} 14, J_{EX} 7 Hz; OC(H_X)₂-CH_E=CH_DCH_C=CH_AH_B].

The pyridine N-oxides (3b) and (3c) were prepared by a similar procedure from (2E)-2-methylpenta-2,4-dien-1-ol (1b)⁸ and (2E)-4-methylpenta-2,4-dien-1-ol (1c).⁸ Their properties and characterisation are summarised below.

2-[(2E)-2-Methylpenta-2,4-dienyloxy]pyridine N-oxide (3b) (65% yield), colourless rhombs, m.p. 72–73 °C, from ethyl acetate–light petroleum (Found: C, 68.9; H, 7.0; N, 7.2%; M^{++} , 191. C₁₁H₁₃NO₂ requires C, 69.1; H, 6.9; N, 7.3%; M, 191); v_{max} 2 970, 1 610, 1 565, 1 500, 1 435, 1 305, 1 270, 1 118, 985, and 912 cm⁻¹; δ 6.88–6.98 (m, 3-H), 7.26 (t, J 7 Hz, 4-H), 6.88–6.98 (m, 5-H), 8.28 (d, J 7 Hz, 6-H), ABCDX₂ system, δ_A 5.26, δ_B 5.19, δ_C 6.57, δ_D 6.18, δ_X 4.82 [J_{AC} 18, J_{BC} 11, J_{CD} 11 Hz; OC(H_X)₂CMe=CH_DCH_C=CH_AH_B], and δ 1.88 (s, CH₃).

2-[(2E)-4-Methylpenta-2,4-dienyloxy]pyridine N-oxide (3c) (75% yield), colourless rhombs, m.p. 68–69 °C, from ethyl acetate-light petroleum (Found: C,69.1; H, 7.1; N 7.4%; M^+ , 191. C₁₁H₁₃NO₂ requires C, 69.1; H, 6.9; N, 7.3%; M, 191); ν_{max} 2 970, 1 610, 1 500, 1 440, 1 310, 1 270, 1 118, and 965 cm⁻¹; δ 6.97–6.88 (m, 3-H), 7.27 (dt, J9 and 2 Hz, 4-H), 6.97–6.88 (m, 5-H), 8.28 (dd, J7 and 1.5 Hz, 6-H), ABDEX₂ system, δ_A 5.00–5.10, δ_B 5.00–5.10, δ_D 6.46, δ_E 5.88, δ_X 4.94 [J_{DE} 16 Hz, J_{EX} 7 Hz; other coupling constants could not be determined by first order analysis due to overlap of signals; OC(H_X)₂CH_E=CH_DCMe=C-H_AH_B], and δ 1.85 (s, CH₃).

2-[(2E)-1,1-Dideuteriopenta-2,4-dienyloxy]pyridine N-oxide (6a), colourless rhombs, m.p. 73-74 °C, from ethyl acetate-pentane (Found: M^+ , 179. $C_{10}H_9D_2NO_2$ requires M, 179). Its NMR spectrum corresponded exactly with that of 2-[(2E)-penta-2,4-dienyloxy]pyridine N-oxide (3a) except for simplification due to the absence of H_E, H_X coupling and the absence of the signal at δ_X 4.91. This established the incorporation of deuterium (> 98 atom %D) at C-1 of the pentadienyloxy group.

Thermal Rearrangement of 2-[(2E)-Penta-2,4-dienyloxy]pyridine N-Oxides (3): Formation of N-[(2E)-Penta-2,4-dienyloxy]-2-pyridones (4) and N-Hydroxy-5-[(2E)-penta-2,4-dienyl]-2-pyridones (5).— The following procedure is typical. A solution of 2-[(2E)-penta-2,4-dienyloxy] pyridine N-oxide (3a) (140 mg) in dimethylformamide was heated (100 °C; 90 min) and then evaporated. The residue was dissolved in chloroform and extracted with 0.5M aqueous sodium hydroxide. The chloroform extract gave N-[(2E)-penta-2,4-dienyloxy]-2-pyridone (4a) (38 mg, 27%) as a colourless oil (Found: M^+ , 177.0776. $C_{10}H_{11}NO_2$ requires M, 177.0790); v_{max} 3 000, 1 665, 1 590, 1 535, 1 275, and 1 145 cm⁻¹; δ 6.67 (d, J 9 Hz, 3-H), 7.31 (dd, J 9 and 7 Hz, 4-H), 6.10 (t, J 7 Hz, 5-H), 7.45 (d, J 7 Hz, 6-H), ABCDEX₂ system, δ_A 5.16–5.42, δ_B 5.16–5.42, δ_C 6.20–6.44, δ_D 6.20-6.44, δ_E 5.88, δ_X 4.82 [J_{DE} 15, J_{EX} 7 Hz; other coupling constants could not be determined by first order analysis due to overlap of signals; OC(H_X)₂CH_E=CH_DCH_C=CH_AH_B]. The alkaline extracts were acidified with 5M hydrochloric acid and extracted with chloroform to yield N-hydroxy-5-\(\(\gamma\)(2E)-penta-2,4-dienyl]-2-pyridone (5a) (91 mg, 65%) as colourless oil (Found: M^+ , 177.0796. $C_{10}H_{11}NO_2$ requires M, 177.0790); v_{max} 2 925, 1 725, 1 665, 1 600, 1 525, 1 365, and 1 000 cm⁻¹; δ 6.67 (d, J 9 Hz; 3-H), 7.24 (d, J 9 Hz, 4-H), 7.59 (s, 6-H), ABCDEX₂ system, δ_A 5.18, δ_B 5.07, δ_C 6.33, δ_D 6.10, δ_E 5.75,

 δ_{X} 3.21 [J_{AC} 17, J_{BC} 10, J_{CD} 10, J_{DE} 15, J_{EX} 7 Hz; $C(H_{X})_{2}CH_{E}$ = $CH_{D}CH_{C}$ = $CH_{A}H_{B}$].

The thermal rearrangements of the pyridine N-oxides (3b), (3c), and (3d) were similarly examined. The properties, characterisation, and relative yields of the corresponding products (4) and (5) are summarised below.

N-[(2E)-2-Methylpenta-2,4-dienyloxy]-2-pyridone (**4b**) (43% yield), a colourless oil (Found: M^+ , 191.0949. $C_{11}H_{13}NO_2$ requires M, 191.094 6); v_{max} 2 990, 2 760, 1 665, 1 590, 1 535, 1 275, 1 142, 1 100, and 915 cm⁻¹; δ 6.67 (d, J 9 Hz, 3-H), 7.32 (dt, J 7 and 1.5 Hz, 4-H), 6.00–6.20 (m, 5-H), 7.42 (dd, J 7 and 1.5 Hz, 6-H), ABCDX₂ system, δ_A 5.23, δ_B 5.19, δ_C 6.55, δ_D 6.67, δ_X 4.70 [J_{AC} 16, J_{BC} 10, J_{CD} 9 Hz; OC(H_X)₂CMe=CH_DCH_C=CH_AH_B], and 1.95 (s, CH₃).

N-Hydroxy-5-[(2E)-4-methylpenta-2,4-dienyl]-2-pyridone (**5b**) (55% yield), a colourless oil (Found: M^+ , 191.0944. $C_{11}H_{13}NO_2$ requires M, 191.0946); v_{max} 3 000, 1 660, 1 550, 1 495, 1 425, 1 328, 968, and 890 cm⁻¹; δ 6.67 (d, J 9 Hz, 3-H), 7.26 (dd, J 9 and 2.5 Hz, 4-H), 7.59 (d, J 2.5 Hz, 6-H), ABDEX₂ system δ_A 4.94, δ_B 4.94, δ_D 6.18, δ_E 5.65, δ_X 3.23 [J_{DE} 16, J_{EX} 7 Hz; $C(H_X)_2CH_E$ = CH_DCMe = CH_AH_B], and 1.82 (s, CH_3).

N-[(2E)-4-Methylpenta-2,4-dienyloxy]-2-pyridone (4c) (42% yield), a colourless oil (Found: M^+ , 191.0944. $C_{11}H_{13}NO_2$ requires M, 191.0946); v_{max} 3 000, 1 665, 1 590, 1 535, 1 455, 1 375, 1 275, 1 140, 1 100, 970, 940, 900, and 870 cm⁻¹; δ 6.68 (d, J 9 Hz, 3-H), 7.28 (ddd, J 9, 7, and 2 Hz, 4-H), 6.08 (t, J 7 Hz, 5-H), 7.44 (dd, J 7 and 2 Hz, 6-H), ABDEX₂ system, δ_A 5.07, δ_B 5.02, δ_D 6.39, δ_E 5.84, δ_X 4.83 [J_{AB} 1, J_{DE} 15, J_{EX} 7 Hz; OC(H_X)₂CH_E=CH_DCMe=CH_AH_B], and 1.85 (s, CH₃). Compound (4c) was also produced (100% yield) when crystalline 2-[(2E)-4-methylpenta-2,4-dienyloxy]pyridine N-oxide (3c) was stored in the refrigerator (–15 °C; 26 months).

N-Hydroxy-5-[(2E)-2-methylpenta-2,4-dieny Γ]-2-pyridone (5c) (55% yield), a colourless oil (Found: M^+ , 191.0947. $C_{11}H_{13}NO_2$ requires M, 191.0946). v_{max} 3 000, 1 655, 1 550, 1 420, 1 358, 1 260, 1 142, 1 115, 985, and 905 cm⁻¹; δ 6.66 (d, J 9 Hz, 3-H), 7.24 (dd, J 9 and 3 Hz, 4-H), 7.60 (d, J 3 Hz, 6-H), ABCDX₂ system, δ_A 5.17, δ_B 5.08, δ_C 6.55, δ_D 5.90, δ_X 3.13 [J_{AC} 17, J_{BC} 10, J_{CD} 10 Hz; $C(H_X)_2$ CMe= CH_DCH_C = CH_AH_B], 1.70 (s, CH_3), and 9.1 (br s, OH).

4-Nitro-N-[(2E)-penta-2,4-dienyloxy]-2-pyridone (4d). This compound was formed by rearrangement at room temperature of the pyridine N-oxide (3d) produced by, but not isolated from, the reaction between 2-chloro-4-nitropyridine N-oxide (2b) and (2E)-penta-2,4-dien-1-ol (1a). The rearrangement product (4d) was obtained (29% yield) as yellow crystals, m.p. 79–80 °C, from ethyl acetate-pentane (Found: C, 53.9; H, 4.4; N, 12.3%; M^+ ; 222. $C_{10}H_{10}N_2O_4$ requires C, 54.05; H, 4.5; N, 12.6%; M, 222); v_{max} 3 010, 1 675, 1 610, 1 530, 1 345, 1 105, 1 000, and 910 cm⁻¹; δ 7.42 (d, J 3 Hz, 3-H), δ .74 (dd, J 8 and 3 Hz, 5-H), 7.58 (d, J 8 Hz, 6-H), ABCDEX₂ system, δ_A 5.05–5.50, δ_B 5.05–5.50, δ_C 6.16–6.45, δ_D 6.16–6.45, δ_E 5.69–5.99, δ_X 4.80 [J_{EX} 8 Hz; the other coupling constants could not be determined by first-order analysis due to overlap of the signals; $OC(H_X)_2CH_E$ = CH_DCH_C = CH_AH_B].

Thermal Rearrangement of 2-[(2E)-1,1-Dideuteriopenta-2,4-dienyloxy]pyridine N-Oxide (6a): Formation of N-[(2E)-1,1-Dideuteriopenta-2,4-dienyloxy]-2-pyridone (7a) and N-Hydroxy-5-[(2E)-5,5-dideuteriopenta-2,4-dienyl]-2-pyridone (8a).—The experiment was carried out and the products isolated exactly as described for the thermal rearrangement of the undeuteriated compound (3a). The neutral fraction gave N-[(2E)-1,1-dideuteriopenta-2,4-dienyloxy]-2-pyridone (7a) (50% yield) as a colourless oil (Found: M^+ , 179. $C_{10}H_9D_2NO_2$ requires M, 179). Its NMR spectrum corresponded exactly with that of the undeuteriated compound (4a) except for simplification due to the absence of H_E, H_X coupling and the absence of the signal at

 δ_X 4.82. This established the incorporation of deuterium (>98 atom %D) at C-1 of the pentadienyloxy group.

The basic fraction after acidification and extraction gave N-hydroxy-5-[(2E)-5,5-dideuteriopenta-2,4-dienyI]-2-pyridone (8a) (44% yield) as a colourless oil (Found: M^+ , 179. $C_{10}H_9D_2NO_2$ requires M, 179). Its NMR spectrum corresponded exactly with that of the undeuteriated compound (5a) except for simplification due to the absence of H_C , H_A and H_C , H_B coupling and the absence of signals at δ_A 5.18 and δ_B 5.07. This established the incorporation of deuterium (>98 atom % D) at C-5 of the pentadienyl group.

Synthesis and Thermal Rearrangement of 4-Nitro-2-[(2E)-1,-1-dideuteriopenta-2,4-dienyloxy]pyridine N-Oxide (6b): Formation of 4-Nitro-N-[(2E)-1,1-dideuteriopenta-2,4-dienyloxy]-2-pyridone (7b).—Following the usual procedure, the reaction between 2-chloro-4-nitropyridine N-oxide (2b) and (2E)-1,1-dideuteriopenta-2,4-dien-1-ol [cf. (1a)] yielded 4-nitro-N-[(2E)-1,1-dideuteriopenta-2,4-dienyloxy]-2-pyridone (7b). Its NMR spectrum corresponded exactly with that of the undeuteriated compound (4d) except for simplification due to the absence of H_E, H_X coupling and the absence of the signal δ_X 4.80. This established the incorporation of deuterium (>98 atom % D) at C-1 of the pentadienyloxy group.

Solvent Effects upon the Thermal Transformation of 2-[(2E)-Penta-2,4-dienyloxy]pyridine N-Oxide (3a).—Solutions of 2-[(2E)-penta-2,4-dienyloxy]pyridine N-oxide (15 mg) were heated (100 °C; 90 min) in selected solvents (10 ml). The relative proportions of the two thermal rearrangement products were determined from the integrated intensities of the signals δ_x 4.82 and δ_x 3.21. These signals correspond to $(H_x)_2$ of the pentadienyl group of N-[2E-penta-2,4-dienyloxy]-2-pyridone (4a) (δ_x 4.82) and N-hydroxy-5-[(2E)-penta-2,4-dienyl]-2-pyridone (5a) (δ_x 3.21). The product ratios are summarised in the Table. The possibility of thermal interconversion between the rearrangement products (4a-c) and (5a-c) was firmly excluded by showing that these compounds were unchanged after being heated (154 °C; 2 h) in dimethylformamide solution.

(2E)-Penta-2,4-dienyl Phenyl Ether (9a).—A mixture of (2E)penta-2,4-dien-1-ol (1.68 g), 2,4-dinitrofluorobenzene (3.72 g), and triethylamine (2.02 g) was heated (100 °C; 10 min). After cooling, addition of ether (100 ml), followed by washing with hydrochloric acid (5%), aqueous sodium carbonate (5%), and water, and drying, evaporation yielded an oil. This oil was dissolved in diglyme (20 ml) and the solution was added to a stirred solution of sodium phenoxide prepared from phenol (2.82 g) and sodium hydride (0.72 g) in diglyme (20 ml). The mixture was heated (50-60 °C; 49 h) and then cooled, diluted with water, and extracted with pentane. This extract was washed with aqueous sodium hydroxide (10%), water, and saturated brine, and dried. Evaporation yielded a residue which was purified by MPLC (Spherisorb 11µ column; light petroleum) to give (2E)-penta-2,4-dienyl phenyl ether as a colourless oil (1.34 g; 42%); v_{max} (liquid film) 3 020, 2 910, 1 600, 1 500, 1 370, 1 300, 1 240, 1 170, 1 000, and 900 cm⁻¹; δ 6.80 (d, J 8 Hz, 2-H and 6-H), 6.83 (t, J 8 Hz, 4-H), 7.16 (t, J 8 Hz, 3-H and 5-H), ABCDEX₂ system, δ_A 5.14, δ_B 5.03, δ_C 6.17–6.38, δ_D 6.17–6.38, δ_E 5.78, $\delta_{\rm X}$ 4.50 [$J_{\rm AC}$ 14, $J_{\rm BC}$ 10, $J_{\rm DE}$ 14, $J_{\rm EX}$ 7 Hz; OC(H_X)₂- $CH_E = CH_DCH_C = CH_AH_B$].

(2E)-1,1-Dideuteriopenta-2,4-dienyl Phenyl Ether (12).—1,1-Dideuterio-(2E)-penta-2,4-dien-1-ol gave as in the preceding experiment (2E)-1,1-dideuteriopenta-2,4-dienyl phenyl ether (39% yield) as a colourless oil (Found: M^+ , 162. $C_{11}H_{10}D_2O$ requires M, 162). Its NMR spectrum corresponded exactly with

that of the undeuteriated compound (9a) except for simplification due to the absence of H_E , H_X coupling and the absence of the signal at δ_X 4.50. This established the incorporation of deuterium (>98 atom % D) at C-1 of the pentadienyloxy grouping.

Thermal Rearrangement of (2E)-Penta-2,4-dienvl Phenvl Ether (9a): Formation of 2-(3-Penta-1,4-dienvl)phenol (10a) and 4-[(2E)-Penta-2,4-dienyl]phenol (11a).—A solution of the ether (9a) (269 mg) in N,N-diethylaniline (1.5 ml) was heated (186 °C; 5 h) in a sealed, evacuated, thick-walled, glass tube. After cooling, the product was dissolved in pentane, and the solution washed with 1M sulphuric acid and then extracted with aqueous sodium hydroxide (10%). The alkaline extract was acidified and extracted with pentane. Evaporation of the pentane gave a phenolic residue (184 mg) which was fractionated into two compounds by MPLC [Spherisorb 11µ column; light petroleum (b.p. 60-80 °C-ethyl acetate, 95:5]. 2-(3-Penta-1,4-dienyl)phenol (67 mg, 25%) was obtained as a colourless oil; v_{max} 3 310, 2 930, 1 485, 1 455, 1 265, 1 000, and 920 cm⁻¹; δ 7.04–7.22 (m, 3-H and 5-H), 6.90 (t, J 8 Hz, 4-H), 6.83 (d, J 8 Hz, 6-H), AA'BB'CC'X system, $\delta_A = \delta_{A'}$, 5.00-5.30, $\delta_B = \delta_{B'}$, 5.00-5.30, $\delta_{\rm C} = \delta_{\rm C}$, 6.00, $\delta_{\rm X}$ 4.20–4.35 [$J_{\rm AC}$ 18, $J_{\rm BC}$ 10, $J_{\rm CX}$ 7 Hz; $CH_X(CH_C=CH_AH_B)_2$]. 4-[(2E)-Penta-2,4-dienyl]phenol (108) mg, 40%) was obtained as a colourless oil; v_{max} 3 600, 3 320, 2 930, 1 515, 1 170, 1 005, and 905 cm⁻¹; δ 6.75 (d, J 9 Hz, 2-H and 6-H), 7.04 (d, J 9 Hz, 3-H and 5-H), ABCDEX₂ system, δ_A 5.12, $\delta_{\rm B}$ 4.98, $\delta_{\rm C}$ 6.32, $\delta_{\rm D}$ 6.08 $\delta_{\rm E}$ 5.81, $\delta_{\rm X}$ 3.33 [$J_{\rm AC}$ 17, $J_{\rm BC}$ 11, $J_{\rm CD}$ 11, J_{DE} 15, J_{EX} 7 Hz; $C(H_X)_2CH_E=CH_DCH_C=CH_AH_B$].

Thermal Rearrangement of (2E)-1,1-Dideuteriopenta-2,4dienyl Phenyl Ether (12); Formation of 2-(1,1-Dideuteriopenta-1,4-dien-3-yl)phenol (13) and 4-[(2E)-5,5-Dideuteriopenta-2,4-dienyl]phenol (14).—(2E)-1,1-Dideuteriopenta-2,4-dienyl phenyl ether gave as in the preceding experiment two phenolic products. 2-(1,1-Dideuteriopenta-1,4-dienyl)phenol (23% yield) was obtained as a colourless oil (Found: M^+ , 162. $C_{11}H_{10}D_2O$ requires M, 162). Its NMR spectrum corresponded exactly with that of the undeuteriated compound (10a) except that the signals (δ 5.30–5.00) had an integrated intensity corresponding to only two H. This established the presence of 50 ± 2 atom % D on the two terminal olefinic methylene groups. 4-[(2E)-5,5-Dideuteriopenta-2,4-dienyl]phenol(38% yield) was obtained as a colourless oil (Found: M^+ , 162. $C_{11}H_{10}D_2O$ requires M, 162). Its NMR spectrum corresponded exactly with that of the undeuteriated compound except for simplification due to the absence of H_CH_A and H_CH_B coupling and the absence of signals δ_A 5.12 and δ_B 4.98. This established the incorporation of deuterium (>98 atom % D) at C-5 of the pentadienyl group.

References

- D. Alker, W. D. Ollis, and H. Shahriari-Zavareh, J. Chem. Soc., Perkin Trans. 1, 1990, 1623.
- 2 D. Alker, W. D. Ollis, and H. Shahriari-Zavareh, J. Chem. Soc., 1990, preceding paper.
- F. J. Dinan and H. Tieckelmann, J. Org. Chem., 1964, 29, 1650; J.
 E. Litster and H. Tieckelmann, J. Am. Chem. Soc., 1968, 90, 4361.
- 4 L. Tenud, S. Farooq, J. Seibl, and A. Eschenmoser, Helv. Chim. Acta, 1970, 53, 2059.
- U. Schöllkopf and I. Hoppe, Tetrahedron Lett., 1970, 4527; Liebigs Ann. Chem., 1972, 765, 153.
- 6 F. Gerhart and L. Wilde, Tetrahedron Lett., 1974, 475.
- 7 W. J. le Noble and M. R. Daka, J. Am. Chem. Soc., 1978, 100, 5961.
- T. Laird and W. D. Ollis, J. Chem. Soc., Chem. Commun., 1973, 658;
 T. Laird, W. D. Ollis, and I. O. Sutherland, J. Chem. Soc., Perkin Trans. 1, 1980, 2033.
- W. D. Ollis, R. Somanathan, and I. O. Sutherland, J. Chem. Soc., Chem. Commun., 1973, 661; J. Chem. Soc., Perkin Trans. 1, 1981, 2930.

- 11 K. Chantrapromma, W. D. Ollis, and I. O. Sutherland, J. Chem. Soc., Chem. Commun., 1978, 673.
- 12 G. Fráter and H. Schmid, Helv. Chim. Acta, 1968, 51, 190; ibid., 1970, 53, 269.
- 13 A. Jefferson and F. Scheinmann, Q. Rev., 1968, 22, 391.
- 14 H.-J. Hansen and H. Schmid, Chem. Br., 1969, 5, 111; Chima, 1970, 24, 89; Tetrahedron, 1974, 30, 1959.
- 15 H. L. Goering and W. I. Kimoto, J. Am. Chem. Soc., 1965, 87, 1748
- 16 E. Schjanberg, Chem. Ber., 1937, 70, 2385; H. O. House and G. H. Rasmusson, J. Org. Chem., 1961, 26, 4278; R. B. Woodward, F. E. Bader, H. Bickel, A. J. Frey, and R. W. Kierstead, Tetrahedron, 1958, 2, 1
- 17 J. D. R. Thomas and H. B. Watson, J. Chem. Soc., 1956, 3958.

Paper 8/04403A Received 12th October 1988 Accepted 27th July 1989