

# Local Fracture Toughness of Si<sub>3</sub>N<sub>4</sub> Ceramics Measured using Single-Edge Notched Microcantilever Beam Specimens

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Local fracture toughness gives us useful and important information to understand and improve mechanical properties of bulk ceramics. In this study, the local fracture toughness of silicon nitride (Si<sub>3</sub>N<sub>4</sub>) ceramics was directly measured using single-edge notched microcantilever beam specimens prepared by the focused ion beam technique. The measured fracture toughness of grain boundary of the Si<sub>3</sub>N<sub>4</sub> ceramics is higher than the fracture toughness of SiAlON glass, which exists in the grain boundaries of Si<sub>3</sub>N<sub>4</sub> ceramics. It is also shown that the fracture toughness of grain boundary depends on the rare earth oxide added as a sintering aid, which is expected in terms of the difference in the grain-boundary structure. The fracture toughness of a single β-Si<sub>3</sub>N<sub>4</sub> grains is higher than the grainboundary fracture toughness. It was also higher than the value estimated from ab initio calculations and surface energy, which means that any dissipative energy should be included in the fracture toughness of a grain in spite of the brittle fracture in Si<sub>3</sub>N<sub>4</sub>. The fracture toughness of polycrystals of Si<sub>3</sub>N<sub>4</sub> ceramics measured using single-edge notched microcantilever beam specimens is intermediate between those of grains and grain boundaries, and it agrees with the estimated initial value of the Rcurve,  $K_{10}$ , in Si<sub>3</sub>N<sub>4</sub> ceramics.

# I. Introduction

 $\mathbf{S}_{13} \mathbf{N}_4$  ceramics are one of the most typically used engineering ceramics similar to  $Al_2O_3$  and  $ZrO_2$ . They have been applied to bearing elements, substrates, and so on because of their advantages such as high fracture toughness, high strength, and high corrosion and thermal resistance owing to their high covalent Si–N bonding and elongated  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grain structure. Si<sub>3</sub>N<sub>4</sub> ceramics are usually densified by liquid phase sintering at high temperatures. Elongated  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains are developed during densification and the liquid remains as SiAlON glass in the grain boundaries after cooling. As a result, cracks propagate to the grain boundary because they are more brittle and weaker than the elongated  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains, and therefore, the microstructure of Si<sub>3</sub>N<sub>4</sub> ceramics controls the mechanical properties.

Although the fracture toughness of grains and grain boundaries of  $\mathrm{Si}_3\mathrm{N}_4$  ceramics is an important quantitative parameter for controlling the crack propagation behavior and mechanical properties of the bulk ceramics, it has not actually been measured yet. This shortcoming is not limited to only  $\mathrm{Si}_3\mathrm{N}_4$  ceramics. There are hardly any studies on the grain-boundary fracture toughness of other ceramics as well.

In the previous studies, the fracture toughness of single crystals and bicrystals was estimated on the supposition that they regarded as a grain and grain boundary, respectively. <sup>15</sup> However, the grains and grain boundaries in the actual

However, the grains and grain boundaries in the actual ceramics are not always the same as those in bulk single crystal and the bicrystal interface because the structure and properties in actual ceramics are affected by the process conditions. Furthermore, it is difficult to obtain bulk single crystals and bicrystals in most inorganic substances such as Si<sub>3</sub>N<sub>4</sub>. Attempts have been made to estimate the bonding strength and/or toughness of the grains and grain boundaries from the crack propagation behavior in previous studies. However, these studies do not provide direct information of local mechanical properties.

The R curve is very important for understanding the high strength and toughness of  $\mathrm{Si}_3\mathrm{N}_4$  ceramics. The increase in the R curve strongly depends not only on the grain size and shape but also on the additives. <sup>19,20</sup> The initial value of the R curve,  $K_{10}$ , is especially important for understanding the fatigue properties of  $\mathrm{Si}_3\mathrm{N}_4$  ceramics, <sup>21,22</sup> which include the effect of the crack propagation behavior and the fracture toughness of the grains and grain boundaries. In the previous studies, estimation of  $K_{10}$  was limited to crack-opening displacement of Vickers indentation or by compliance technique. <sup>23,24</sup>

Focused ion beam (FIB) technique is the method to prepare very small specimens for TEM and so on. In some previous studies, FIB method also has been applied to make a specimen or a sharp notch for fracture toughness measurement. The end of the specimen of a sharp notch for fracture toughness measurement. The end of the specimen of grain boundary, grain, and polycrystal of Si<sub>3</sub>N<sub>4</sub> ceramics using a single-edge notched microcantilever beam specimens. In particular, the fracture toughness of polycrystal of Si<sub>3</sub>N<sub>4</sub> ceramics should correspond to K<sub>10</sub>.

In this study, we show the local fracture toughness of the grains, grain boundaries, and polycrystals of  $\mathrm{Si}_3\mathrm{N}_4$  ceramics using single-edge notched microcantilever beam specimens obtained by direct sampling from bulk  $\mathrm{Si}_3\mathrm{N}_4$  ceramics through the FIB technique, followed by fracture tests using a nanoindentor. This technique is applicable to almost all

V. Sglavo—contributing editor

Manuscript No. 35351. Received July 25, 2014; approved November 21, 2014. \*Author to whom corrrespondence should be addressed. e-mail: tatami@ynu.ac.jp

materials, and we can measure not only the fracture toughness of the grains and grain boundaries but also the strength, fatigue, and so on. Furthermore, we can evaluate the mechanical properties of any region, such as the neck of grains in porous materials, irregular regions such as secondary phases, corroded and damaged areas, surfaces, thin and thick films, fibers, and particles and their interfaces, all of which are impossible to measure by conventional techniques.

# II. Experimental Procedure

# (1) Fabrication of $Si_3N_4$ Ceramics by Adding Various Kinds of Rare Earth Oxides

Si<sub>3</sub>N<sub>4</sub> ceramics were prepared by adding rare earth oxides (Y<sub>2</sub>O<sub>3</sub>, Lu<sub>2</sub>O<sub>3</sub>, Lu<sub>2</sub>O<sub>3</sub>) and Al<sub>2</sub>O<sub>3</sub>. Si<sub>3</sub>N<sub>4</sub> (SN-E-10; Ube Industries, Ltd., Tokyo, Japan), RE<sub>2</sub>O<sub>3</sub> (Y<sub>2</sub>O<sub>3</sub>, La<sub>2</sub>O<sub>3</sub>, Lu<sub>2</sub>O<sub>3</sub>) (RU; Shin-Etsu Chemical Co., Ltd., Tokyo, Japan), and Al<sub>2</sub>O<sub>3</sub> (AKP-30; Sumitomo Chemical Co., Ltd., Tokyo, Japan) powders were used as raw materials. The powders were weighed in a weight ratio of 92:5:3. The mixed powders, an organic binder [Paraffin (melting point: 46°C-48°C), Junsei Chemical Co., Ltd., Tokyo, Japan], a dispersant (Seruna E503; Chukyo Yushi Co., Ltd., Nagoya, Japan), and a lubricant [Bis(2-ethyhexyl) phthalate, Wako Pure Chemical Industries, Ltd., Osaka, Japan] were ball milled for 96 h in ethanol using SiAlON balls and a silicon nitride pot. After mixing, the powders were sieved using a #60 sieve made of nylon to obtain granules. The powder mixtures were compacted using a WC/Co die at a pressure of 50 MPa, followed by cold isostatic pressing at a pressure of 200 MPa. After dewaxing by heating at 500°C for 3 h in air, the Si<sub>3</sub>N<sub>4</sub> ceramics used to measure the fracture toughness of the grains and grain boundaries were fabricated by firing at 1900°C for 2 h in 0.9 MPa N<sub>2</sub>. The samples used to measure the fracture toughness of  $Si_3N_4$  polycrystals, the R curve, and to observe the crack propagation in situ were prepared by firing at 1800°C for 2 h in 0.9 MPa N<sub>2</sub>. After gas pressure sintering, hot isostatic pressing was carried out at 1700°C for 1 h in 100 MPa N<sub>2</sub>. Dense sintered bodies having an elongated grain microstructure were obtained, as shown in Fig. 1.

# (2) Determination of Local Fracture Toughness Using Single-Edge Notched Microcantilever Beam Specimens

The surfaces of the Si<sub>3</sub>N<sub>4</sub> ceramics were mechanically polished and etched by CF<sub>4</sub> plasma. The single-edge microcantilever beam specimens were machined using the FIB technique (XVision 200TB; SII NanoTechnology Co., Ltd., Chiba, Japan).

The microcantilever beam was prepared under an acceleration voltage of 30 kV and beam currents of 27, 6.5, and 1.3 nA. After machining, a sharp notch along the targeted region, that is, grain, grain boundary, or polycrystal normal to its longitudinal direction, was carefully machined under an acceleration voltage of 30 kV and a beam current of 80 pA. Figures 2(a) and (b) show the surfaces of the Si<sub>3</sub>N<sub>4</sub> ceramics before and after machining, respectively. The target area used to measure the fracture toughness (the grain boundary in the case of Fig. 2) is located on the bottom of the microcantilever beam specimen. As shown in Figs. 2(c) and (d), the width, thickness, and length of the microcantilever specimens were 1.5-5 μm, 2-4 μm, and 15 μm, respectively. Their section profile was pentagonal. Schematic illustration of the orientation of the notch and the beam was shown in Fig. 2(e). A sharp notch of which radius was less than 15 nm was machined at the grain boundary, as shown in Fig. 2(f). Figures 3 show the specimens used to measure the fracture toughness of a grain and polycrystal, respectively. The longitudinal direction of the specimen for the fracture toughness of the grain matched the elongated direction of a  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grain. In the Si<sub>3</sub>N<sub>4</sub> polycrystal specimen, several grains exist at the notch tip.

The fracture load of the notched specimens was measured using a nanoindentor (TI-950; Hysitron, Inc., Minneapolis, MN) by loading at a point 12  $\mu m$  from the bottom of the cantilever beam. The loading point was previously decided upon by comparing the topographic image taken using atomic force microscopy equipped with the nanoindentor and the secondary scanning electron microscopy image observed before the fracture test. The load was applied to the specimens using a Bercovic-type diamond indenter under a loading rate of 30  $\mu N/s$ .

To estimate the stress intensity factor,  $K_{\rm I}$ , of the specimens, finite element method (FEM) analysis (ANSYS 13.0; ANSYS, Inc., Canonsburg, PA) was carried out using the geometry of the specimens and the critical load obtained by the fracture test. Wireframe and mesh images of the typical FEM model were shown in Fig. 4. In this study, elastic isotropy was assumed though  $Si_3N_4$  has elastic anisotropy and intergranular glassy phase exists between  $Si_3N_4$  grains. A notch in this model was regarded as a crack and very fine elements were located near the notch tip.  $K_{\rm I}$  at the plain strain state was estimated from the crack-opening displacement,  $\delta$ , at a distance from the notch tip, r, using the following equation  $^{28}$ :

$$K_{\rm I} = \sqrt{\frac{2\pi}{r}} \frac{E}{1 - v^2} \frac{\delta}{8} \tag{1}$$

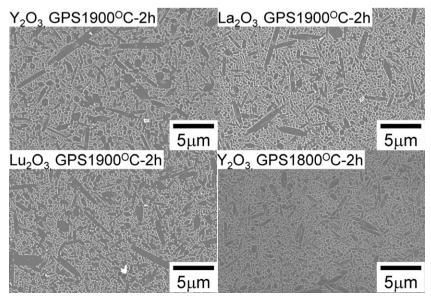


Fig. 1. SEM photographs of microstructures of the Si<sub>3</sub>N<sub>4</sub> ceramics used in this study.

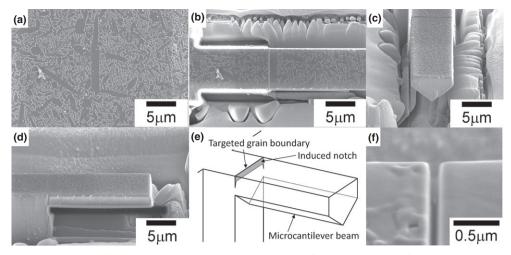


Fig. 2. Single-edge notched microcantilever beam specimen used to measure the fracture toughness of grain boundaries: (a) and (b) are the surface of  $Si_3N_4$  ceramics before and after machining, respectively, and (c) and (d) are front and side views of the specimens, respectively. (e) Schematic illustration of the specimen. (f) The notch induced along the targeted grain boundary.

where E is the elastic modulus and v is the Poisson ratio. 320 GPa and 0.25 as E and v were used for the FEM analysis in this study, respectively. The value of  $K_I$  was plotted against the distance from the notch tip. A typical example of the relationship between  $K_I$  calculated from using the crack-opening displacement and the distance from the notch tip in the FEM analysis is shown in Fig. 4(d).  $K_I$  initially increased and then decreased with increasing distance from the crack tip. Since the value of the calculated  $K_I$  near the crack tip is inaccurate because of the singularity of the stress at the crack tip, the facture toughness was estimated by interpolating the value at a distance more than 25 nm from the notch tip, namely, at r=0. Validation of the testing method was determined using single-crystal Si (see Appendix).

# (3) In Situ Observation of Crack Propagation Behavior

In order to estimate the fracture behavior of  $Si_3N_4$  ceramics, in situ observation of crack propagation was carried out using a very small testing machine equipped with a scanning probe microscope. The samples were cut to  $4 \text{ mm} \times 1.5 \text{ mm} \times 20 \text{ mm}$  and a half-chevron notch was machined at the center of the specimens to facilitate stable crack growth. The surfaces of the specimens were polished using a diamond slurry followed by plasma etching. The plasma etching was carried out in  $CF_4$  gas for 2 s. The height of the remaining grain boundary was less than 2 nm. Crack propagation behavior was observed under loading in the dynamic force microcopy mode.

# (4) Measurement of R Curve Over a Short Crack Length

The R curve over a short crack length was measured by surface cracks in flexure testing<sup>29</sup> to compare the fracture toughness measured using bulk specimens and with that of the microcantilever beam specimens.  $Si_3N_4$  ceramics were cut into 3 mm  $\times$  4 mm  $\times$  30 mm samples and the surfaces were mechanically polished using a diamond slurry. A crack was induced using a Knoop indentor under loads of 19.6, 49, 98, and 196 N. The surface layer was removed to eliminate residual stress around the indentation and to control the size of the crack by grinding using a diamond slurry. The fracture stress was measured using a three-point bending test with a span of 30 mm and a crosshead speed of 0.5 mm/min. The fracture toughness was calculated using the following equations<sup>30</sup>:

$$K_{\rm Ic} = Y \cdot \sigma_f \cdot \sqrt{a} \tag{2}$$

$$Y = \frac{\sqrt{\pi} \cdot M \cdot H_2}{\sqrt{Q}} \tag{3}$$

$$M = [1.13 - 0.09[a/c]] + \left[ -0.54 + \frac{0.89}{[0.2 + [a/c]]} + 14[1 - a/c]^{24} \right] \cdot [a/W]^4$$
(4)

$$H_2 = 1 - [1.22 + 0.12[a/c]] \cdot [a/W] + \left[0.55 - 1.05[a/c]^{0.75} + 0.47[a/c]^{1.5}\right] \cdot [a/W]^2$$
 (5)

$$Q = 1 + 1.464[a/c]^{1.65} (6)$$

where a is the crack depth, 2c is the crack width, W is the specimen height, and  $\sigma_f$  is the fracture stress. The values of a and c were measured by SEM observation.

# III. Results and Discussion

# (1) Fracture Toughness of Grain Boundary of $Si_3N_4$ Ceramics

Figure 5 shows the facture surfaces of the specimens to evaluate the fracture toughness of grain boundary. In Fig. 5(a), it is observed that a crack propagated along the targeted grain boundary. On the other hand, the fracture surface is rough in the sample shown in Fig. 5(b), which means that a crack did not propagate along the targeted grain boundary. In this study, the fracture toughness of the grain boundary was calculated using only samples in which cracks propagated along the targeted grain boundary, as shown in Fig. 5(a).

Table I lists the fracture toughness values of grain boundaries of  $Si_3N_4$  ceramics measured using single-edge notched microcantilever beam specimens. The fracture toughness of the grain boundaries varied from 1.5 to 2.3 MPa m<sup>1/2</sup>. It is well-known that there is SiAION glass containing rare earth ions, which were added as sintering aids, in the grain boundaries of  $Si_3N_4$  ceramics.<sup>6,7</sup> The fracture toughness of the SiAION glass has been reported to be less than

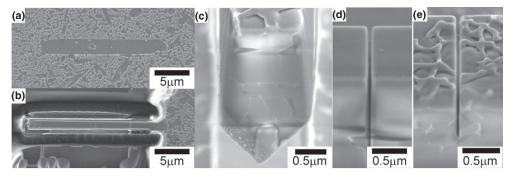


Fig. 3. Single-edge notched microcantilever beam specimen used to measure the fracture toughness of (a)–(d) a single  $Si_3N_4$  grain and (e) polycrystal: (a) and (b) are the surface of  $Si_3N_4$  ceramics before and after machining, respectively, and (c) is a front view of the specimen. (d) and (e) The notch induced in a  $Si_3N_4$  grain and polycrystals, respectively.

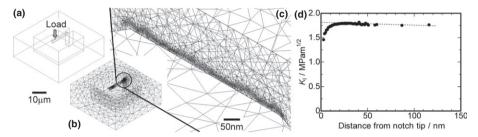


Fig. 4. (a) Wireframe and (b) mesh images for FEM analysis of single-edge notched microcantilever beam specimen. (c) is the enlarged view of the mesh image near the notch tip. (d) Relationship between the stress intensity factor and the distance from the notch tip estimated by FEM analysis. A dashed line indicates the regression line used to estimate  $K_{\rm I}$ .

 $1~MPa~m^{1/2}.^{31,32}~It$  was shown that the fracture toughness of the grain boundaries of  $Si_3N_4$  ceramics measured in this study is higher than that of bulk SiAlON glass. This means that there is a possibility that the glassy phase in  $Si_3N_4$  ceramics has a different amorphous structure from the bulk SiAlON glass.

The fracture toughness of the grain boundaries also depended on the added rare earth oxides. The fracture toughness resulting from the addition of  $Lu_2O_3$  was higher than that of  $Y_2O_3$ , whereas that of  $La_2O_3$  was lower. The debonding angle has been measured to qualitatively evaluate the bonding strength of grain boundaries in the  $Si_3N_4$  ceramics prepared by adding MgO and rare earth oxides. If It was found that the critical angle for crack debonding depends on the rare earth oxide added, and thus the grain boundary bonding of  $Lu_2O_3$  is stronger than that of  $La_2O_3$ . This is the same tendency as the grain-boundary fracture toughness directly measured in the present study, in spite of using  $Al_2O_3$  as a sintering aid. The fracture toughness or strength of the grain boundaries in  $Si_3N_4$  ceramics is related to the residual stress resulting from differences in the coefficient of

thermal expansion of SiAlON glass existing at the grain boundaries and that of  $\mathrm{Si}_3\mathrm{N}_4$ . The coefficient of thermal expansion of SiAlON glass is higher than that of  $\mathrm{Si}_3\mathrm{N}_4$ , and the coefficient increases with increasing ionic radius of the added rare earth ion. The added rare earth ion. As a result, the higher residual stress that occurs at grain boundaries containing rare earth ions with large radii degrades the bonding strength of the grain boundary. This explanation implies that the grain boundary is weaker than SiAlON glass. In consideration of the fact that the measured fracture toughness of the grain boundary was higher than the fracture toughness of SiAlON glass, the fracture toughness of the grain boundaries of Si3N4 ceramics can be controlled not only by the coefficient of thermal expansion but also by other factors.

Becher *et al.* reported that a crack in  $Si_3N_4$  ceramics propagates at the interface between the  $Si_3N_4$  grain and the intergranular glassy film or inside the intergranular glassy film.<sup>35</sup> In this study, we directly and stably observed the crack propagation behavior of  $Si_3N_4$  ceramics using a scanning probe microscope on a nanoscale, which is similar to the method used in our previous study.<sup>36</sup> Figure 6 shows the topographic images

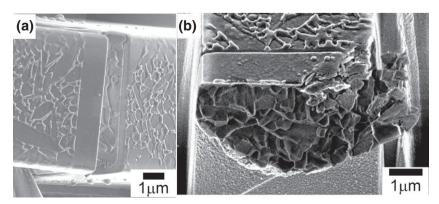


Fig. 5. Fracture surfaces of single-edge notched microcantilever beam specimens used to measure the fracture toughness of grain boundaries: (a) crack propagated along the targeted grain boundary and (b) crack that failed to propagate along the targeted grain boundary.

Table I. Fracture Toughness of Grain Boundary, Grain, and Polycrystal of Si<sub>3</sub>N<sub>4</sub> Ceramics Measured Using Single-Edge Notched Microcantilever Beam Specimens

Target	Sintering aids	GPS condition	Fracture toughness	The number of the specimens
Grain boundary	$\begin{array}{c} Y_2O_3Al_2O_3\\ La_2O_3Al_2O_3 \end{array}$	1900°C-2 h 1900°C-2 h	$1.73 \pm 0.52 \text{ MPam}^{1/2}$ $1.63 \pm 0.60 \text{ MPam}^{1/2}$	5 7
Grain Polycrystal	Lu <sub>2</sub> O <sub>3</sub> -Al <sub>2</sub> O <sub>3</sub> Y <sub>2</sub> O <sub>3</sub> -Al <sub>2</sub> O <sub>3</sub> Y <sub>2</sub> O <sub>3</sub> -Al <sub>2</sub> O <sub>3</sub>	1900°C-2 h 1900°C-2 h 1800°C-2 h	$2.28 \pm 0.37 \text{ MPam}^{1/2}$ $2.77 \pm 0.54 \text{ MPam}^{1/2}$ $1.92 \pm 0.37 \text{ MPam}^{1/2}$	12 8 10

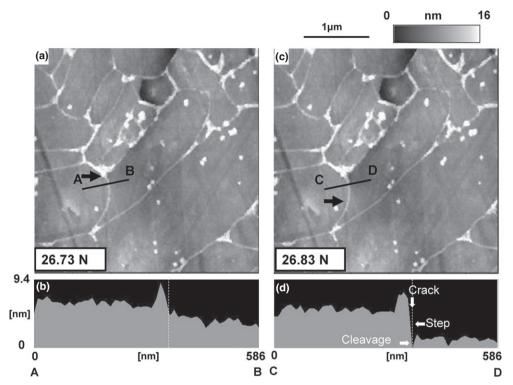


Fig. 6. Scanning probe microscopic images of *in situ* observation of crack propagation in  $Si_3N_4$  ceramics. Arrows indicate the crack tips; (a) and (c) are topographic images before and after crack propagation, respectively, and the bottom-left numbers in (a) and (c) are applied loads to the specimens; (b) and (d) indicate the surface profiles of A–B and C–D in the topographic images, respectively.

and the cross-sectional view of the Si<sub>3</sub>N<sub>4</sub> ceramics in the *in situ* observation of crack propagation. These images were taken using plasma-etched surfaces so that grain boundaries can be detected using the convex portion, which results from differences in the etching rate between the Si<sub>3</sub>N<sub>4</sub> itself and the intergranular glassy film [Fig. 6(b)]. The height of the convex portion measured in this study was approximately 2 nm. Before crack propagation in the grain boundary [Fig. 6(a)], a symmetrical profile across the grain boundary was observed [Fig. 6(b)]. As increasing the applied load, a small cleavage was

observed, which means that the crack propagated and opened by loading. Furthermore, after crack propagation, a small step of about 3 nm also formed on one side of the grain boundary [Figs 6(c) and (d)]. This indicates that the grain boundary fracture occurred not in the intergranular glassy film but in the interface between the glass phase and the Si<sub>3</sub>N<sub>4</sub> grain. Shibata *et al.* investigated the interfacial structure in Si<sub>3</sub>N<sub>4</sub> ceramics by high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) analysis. <sup>37,38</sup> They found that rare-earth ions exist in the boundary between Si<sub>3</sub>N<sub>4</sub> and glassy

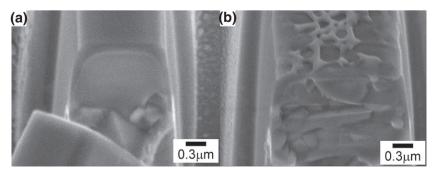
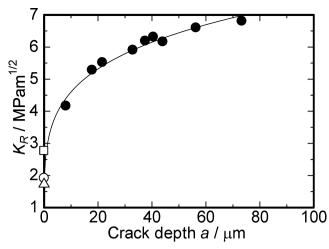


Fig. 7. Fracture surface of single-edge notched microcantilever beam specimens used to measure the fracture toughness of (a) a single Si<sub>3</sub>N<sub>4</sub> grain and (b) polycrystals.



**Fig. 8.** R curve in short crack length of  $Si_3N_4$  ceramics prepared by adding  $Y_2O_3$  measured by SCF method. •: Fracture toughness measured by SCF method, o: Fracture toughness of polycrystal,  $\Box$ : Fracture toughness of grain, and  $\triangle$ : Fracture toughness of grain boundary. A solid line is a result of regression analysis by power function  $(K_R = Aa^m)$ .

phase and a larger amount of  $La^{3+}$  ions are located on the interface than  $Lu^{3+}$  ions, which means that the segregation of rare earth ions increases with increasing ionic radius. When the number of segregated ions increases on a  $Si_3N_4$  grain, O (N)–Si–N bonding at the interface, which should have higher covalent bonding than rare earth ions and O or N, decreased. Although the  $Si_3N_4$  ceramics used in this study contained  $Al_2O_3$ , which means that the grain is not exactly pure  $\beta$ - $Si_3N_4$  but rather  $\beta$ - $Si_3ION$ , thus having a very small of Al and O, and a glass phase that includes Al, Becher *et al.* also reported that the tendency of the segregation of rare-earth ions is the same as that of  $Si_3N_4$  ceramics prepared by adding  $MgO.^{39}$  Consequently, the highest grain-boundary fracture toughness was shown in the  $Si_3N_4$  ceramics prepared by adding  $Lu_2O_3$ , followed by  $Y_2O_3$  and  $La_2O_3$  in descending order.

### (2) Fracture Toughness of a Grain of $Si_3N_4$ Ceramics

Figure 7(a) shows the fracture surface of the single-edge notched microcantilever beam specimen used to measure the fracture toughness of a single grain after the bending test. The fracture surface was very flat, which indicates mode I fracture toughness of  $\beta$ -Si<sub>3</sub>N<sub>4</sub>.

Table I lists the fracture toughness of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains. The average fracture toughness of the grain was 2.77 MPa m<sup>1/2</sup>, which is higher than that of the grain boundary. By applying *t*-test at 95% confidence, the difference between the fracture toughness of grain and grain boundary prepared by adding Lu<sub>2</sub>O<sub>3</sub> was found to be statistically significant (*P*-value for two-side test was 0.0497). Hirosaki *et al.* reported that the fracture toughness of β-Si<sub>3</sub>N<sub>4</sub> estimated by molecular

dynamics simulations was 0.7 MPa m<sup>1/2</sup> and its calculated value from the surface energy and elastic constant was 0.89 MPa m<sup>1/2,40</sup> The value directly measured in this study is higher than those reported in previous studies, indicating that some energy dissipation other than surface formation possibly occurred during the fracture of  $\beta\text{-Si}_3N_4$ . Furthermore, the current result was slightly higher than that of  $\alpha\text{-Si}_3N_4$ , which probably resulted from differences in the crystal structure and crystal stability.<sup>42</sup>

# The sintering aids used in the sample to measure the fracture toughness of polycrystals were Y<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>. As shown in Fig. 7(b), the fracture surface was composed of several grains located at the notch tip in the single-edge notched microcantilever beam specimens. Fracture of the specimens occurred along the grain boundaries with crack deflection. The fracture toughness of a polycrystal of Si<sub>3</sub>N<sub>4</sub> measured using a single-edge notched microcantilever beam specimen is also listed in Table I. The value was 1.92 MPa m<sup>1/2</sup>, which

(3) Fracture Toughness of Polycrystals of Si<sub>3</sub>N<sub>4</sub> Ceramics

using a single-edge notched microcantilever beam specimen is also listed in Table I. The value was 1.92 MPa m<sup>1/2</sup>, which is intermediate between the fracture toughness of the grain and that of the grain boundary. As a result of *t*-test, it was found that the difference between the fracture toughness of the grain boundary resulting from addition of Lu<sub>2</sub>O<sub>3</sub> and that of the polycrystals was statistically significant (*P*-value for two-side test was 0.0029). This sequence is very reasonable in consideration of the fact that the fracture mode of the Si<sub>3</sub>N<sub>4</sub> ceramics was intergranular fracture.

Figure 8 shows the R curve for a short crack length of the  $\mathrm{Si}_3\mathrm{N}_4$  ceramics prepared by adding  $\mathrm{Y}_2\mathrm{O}_3$  measured by surface cracks using the flexure method. The value of the fracture toughness measured using single-edge notched microcantilever beam specimens is also plotted in the figure. The regression curve by power low  $[K_R = Aa^m$  (a: crack depth, A and m: constants)] is also shown in the figure. It is shown that the interpolated value of the R curve at a crack depth of 0  $\mu$ m roughly agrees with the fracture toughness of a polycrystal measured using single-edge notched microcantilever specimens. The initial value of the R curve,  $K_{10}$ , is the fracture toughness without any grain bridging or pullout. The reason for the good agreement was because of the very small size of the microcantilever beam specimens.

## IV. Conclusions

The fracture toughness of grains, grain boundaries, and polycrystals of  $\mathrm{Si}_3\mathrm{N}_4$  ceramics was successfully evaluated using single-edge notched microcantilever beam specimens. The fracture toughness of grain boundary depends on the rare-earth oxide added as a sintering aid. The fracture toughness of grain boundary was higher than the fracture toughness of the SiAlON glass that usually exists as the intergranular glassy film, which suggests that the intergranular glassy film structure should be different from that of the bulk SiAlON

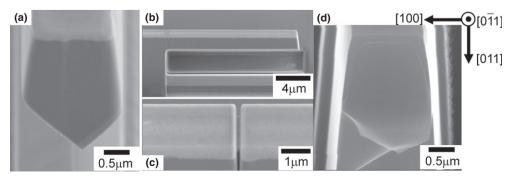


Fig. A1. (a), (b) and (c) Single-edge notched microcantilever beam specimens of single-crystal Si and (d) fracture surface of single-crystal Si.

glass. The fracture toughness of Si<sub>3</sub>N<sub>4</sub> grains was higher than the value estimated from ab initio calculations. The fracture toughness of a polycrystal of Si<sub>3</sub>N<sub>4</sub> ceramics was intermediate between those of the grain and grain boundary, and it agreed with the initial value of the R curve.

# Appendix Validation of the testing method

To validate the testing method, the fracture toughness of Si was measured using single-edge notched microcantilever beam specimens machined by FIB technique. Figure A1(a)-(c) shows the single-edge notched microcantilever beam specimens of single-crystal Si. The surface of the single crystal was (011) and the notch was induced along (011), which means that the fracture surface was (011) and the crack propagating direction was <011>. As shown in Fig. A1 (d), the cleavage fracture occurred on the surface of (011). The measured fracture toughness  $K_{IC}$  of single-crystal Si is  $1.17 \pm 0.13$  MPa m<sup>1/2</sup>, which agrees quite well with the results of a previous study.<sup>43</sup> It was confirmed that measuring the fracture toughness using single-edge notched microcantilever beam specimens is valid. Although we have to minimize the influence of Ga ions induced during the machining process on the experimental value, it was also confirmed that the effect is very small.

### Acknowledgment

This work was partially supported by Industrial Technology Research Grant Program in 2011 from New Energy and Industrial Technology Development Organization (NEDO) of Japan, and Research Program for Strategic Seeds from Kanagawa Academy of Science and Technology (KAST) of Japan, and JSPS KAKENHI Grant Number 21686062.

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