

**Table I.** Photoproduct Percentages (Corrected for Detector Response and Extrapolated to 0% Conversion) as a Function of Reaction Medium and Temperature<sup>a-c</sup>

diketone	medium	temp, °C	% 2	% 3	% 4
<b>1a</b>	crystal	20	89	10	1
	crystal	30	47	16	37
	crystal	40	17	20	63
	soln	20	22 (0.019)	35 (0.031)	43 (0.054)
	soln	40	19	30	51
<b>1b</b>	crystal	20	3	84	13
	soln	20	17 (0.015) <sup>d</sup>	42 (0.038)	41 (0.045)
<b>1c</b>	crystal	20	4	91	5
	soln	20	10 (0.009) <sup>d</sup>	34 (0.032)	56 (0.037)

<sup>a</sup>Quantum yields in parentheses. <sup>b</sup>The Pyrex-filtered output of a 450-W Hanovia medium-pressure mercury lamp was the light source in both the solid-state and the solution-phase (hexane) photolyses. At this wavelength, only the  $n, \pi^*$  absorption band ( $\lambda_{\text{max}}$  ca. 280 nm,  $\epsilon = 60$  for all three diketones in acetonitrile) was excited. The solid-state UV spectra were qualitatively similar to those measured in solution. <sup>c</sup>The range of conversion levels varied between 2% and 15%; over this range, the extrapolation to 0% conversion was linear. <sup>d</sup>Partial overlapping of peaks on GC makes these quantum yields and product percentages somewhat less precise.

Table I gives the product percentages resulting from Pyrex-filtered irradiation of diketones **1a-c** under various experimental conditions. We draw attention to three main trends that are evident in the data: (1) the proportion of type II cyclization (photoproducts **2** and **3**) is greater in the solid state than in solution; (2) the stereoselectivity of cyclobutanol formation increases in the solid state compared to solution; particularly striking is the *reversal* in cyclobutanol stereoselectivity observed in the case of diketone **1a**; and (3) for diketone **1a**, the solid-state photoproduct distribution (but not the solution-phase distribution) depends dramatically on the photolysis temperature.

The first two observations are explicable in terms of the well-established idea that solid-state chemical reactions tend to be topochemically controlled<sup>10</sup> and, in the case of unimolecular processes, conformation-specific.<sup>11</sup> Diketones **1a** and **1c** have very different conformations (Figure 1); the abstracting oxygen atoms and the *nonabstracted*  $\gamma$ -hydrogen atoms (which end up as the ring junction methine protons) are syn to one another in **1a** and anti in **1c** (and **1b**). Thus the process of hydrogen abstraction and cyclobutanol formation involving retention of configuration at both the carbonyl carbon and the  $\gamma$ -carbon leads, in a natural and topochemical fashion, to the *cis*-fused cyclobutanol **2a** from **1a** and to the *trans*-fused cyclobutanols **3c** and **3b** from **1c** and **1b**, respectively. This argument is valid regardless of which of the accessible  $\gamma$ -hydrogen atoms is abstracted in each case. Inspection of the packing diagrams does not reveal any obvious *intermolecular* contacts that might account for the stereoselectivities observed. In solution, reaction from other diketone conformers as well as possible conformational isomerization of the intermediate 1,4-biradicals leads to a greater degree of type II elimination plus a preference for formation of the presumably less strained cyclobutanols **3a-c** in each case.<sup>12</sup>

The dramatic change in the solid-state photoproduct percentages for diketone **1a** between 20 and 40 °C (Table I) deserves comment. This is due to a solid-solid phase transition that occurs in this temperature range, well below the melting point of 86 °C. This phase transition was first noted by Alvik, Borgen, and Dale,<sup>9</sup> who reported it as occurring at 28 °C; our own highly reproducible differential scanning calorimetry measurements indicate a transition temperature of 34 °C.<sup>13</sup> The nature of the high-temperature

solid phase is under active investigation at the present time. From the photochemical results, however, it appears that this phase mimics the situation in solution to a considerable degree.

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**Registry No.** **1a**, 31067-25-1; **1b**, 13747-10-9; **1c**, 38300-52-6; **2a**, 125735-63-9; **2b**, 125735-65-1; **2c**, 125735-67-3; **3a**, 125826-55-3; **3b**, 125826-56-4; **3c**, 125826-57-5; **4a**, 125735-64-0; **4b**, 125735-66-2; **4c**, 125735-68-4.

(13) An analogous phase transition was observed at 86 °C for diketone **1b** (mp 96 °C), and a similar (but less dramatic) variation in the solid-state photoproduct ratios above and below the transition point was found. No phase transition was detectable in the case of diketone **1c**, however.

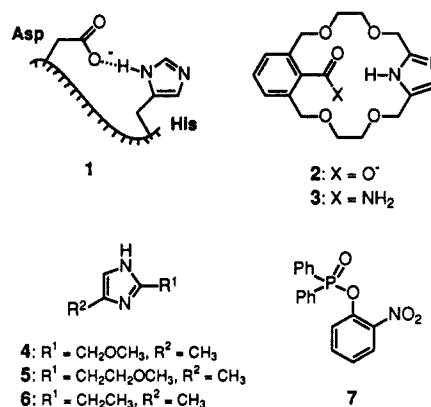
### Kinetic Effect of a Syn-Oriented Carboxylate on a Proximate Imidazole in Catalysis: A Model for the Histidine-Aspartate Couple in Enzymes

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The catalytic dyad of histidine and aspartate (glutamate), known as the His-Asp couple (**1**), has been found in the active site of zinc-containing enzymes,<sup>1a</sup> glutathione reductase,<sup>1b</sup> phospholipase A<sub>2</sub>,<sup>1c</sup> the serine proteases,<sup>1d,e</sup> malate and lactate dehydrogenase,<sup>1f</sup> and DNase I,<sup>1g</sup> and possibly in ribonuclease T<sub>1</sub>.<sup>1h,i</sup> In all but the zinc enzymes, the histidine residue has been proposed to act as a general acid/base<sup>1</sup> or, less frequently, as a nucleophile.<sup>2</sup> If convergent evolution has led to the presence of the His-Asp couple in these many enzymes, then the carboxylate must play an important structural and/or catalytic role.



The nature of this role is not known, but has been the subject of intense debate, particularly in the case of the serine proteases.<sup>3</sup>

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(12) Quenching studies indicate that diketones **1a-c**, like other aliphatic ketones, undergo the Norrish type II reaction from both singlet and triplet excited states. Since it is well established that triplets generally cyclize to a greater extent than singlets,<sup>3</sup> part of the increased degree of cyclization observed in the solid state for compounds **1a-c** may stem from enhanced intersystem crossing in this medium relative to solution.

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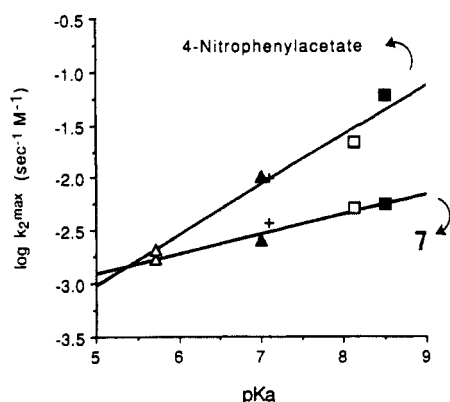


Figure 1. Brønsted plots for the reaction of imidazole 2 (+), 3 (Δ), 4 (▲), 5 (□), and 6 (■) with 4-nitrophenylacetate ( $\beta = 0.49$ ) and 7 ( $\beta = 0.18$ ). Data from Table I.

These enzymes hydrolyze specific substrates with "two proton transfer" catalysis, supporting a general base mechanism for Asp-102.<sup>4</sup> However, titration of the enzymes, either alone<sup>5</sup> or inhibited with transition-state analogues,<sup>6</sup> shows His-57 to be the more basic residue, arguing against proton transfer to Asp-102. Replacement of Asp-102 by asparagine (D102N)<sup>7a</sup> or alanine (D102A)<sup>7b,c</sup> using site-directed mutagenesis results in mutant enzymes with dramatic  $10^4$ -fold decreases in turnover number. Apparently, this factor of  $10^4$  does not represent the contribution of Asp-102 alone since its replacement in trypsin causes His-57 to shift from the active N-3(H) to the inactive N-1(H) tautomer.<sup>8</sup> In this regard, models of the His-Asp couple may provide the best opportunity to examine the role of the carboxylate without altering the role of the imidazole. The proximate carboxylate in reported models provides only a minimal rate enhancement in acyl-transfer reactions in aqueous media.<sup>9,10</sup> However, Gandour has noted that these models contain anti-oriented carboxylates, whereas the enzymes feature a syn disposition.<sup>11</sup> A syn-carboxylate is proposed to be  $10^3$ – $10^4$  times more basic than an anti-carboxylate.<sup>11</sup>

We have investigated the catalytic properties of 2, our previously reported syn-oriented model of the His-Asp couple.<sup>12,13</sup> Comparison with amide 3 and 2,4(5)-disubstituted imidazoles 4–6 has

Table I. Equilibrium  $pK_a$  Values of Imidazolium Compounds 2–6 and Second-Order Rate Constants for Their Reaction with 4-Nitrophenylacetate and 2-Nitrophenyl Diphenylphosphinate<sup>a</sup>

imidazole	$pK_a$	solvent	4-nitrophenylacetate: $k_2^{\max} \times 10^3 \text{ M}^{-1} \text{ s}^{-1}$	2-nitrophenyl diphenylphosphinate: $k_2^{\max} \times 10^3 \text{ M}^{-1} \text{ s}^{-1}$
2	$7.05 \pm 0.05$	H <sub>2</sub> O	$10.0 \pm 0.4$	$3.74 \pm 0.04$
2	$7.50 \pm 0.08^b$	D <sub>2</sub> O	$8.0 \pm 0.4$	$1.80 \pm 0.02$
3	$5.72 \pm 0.02$	H <sub>2</sub> O	$2.0 \pm 0.1$	$1.79 \pm 0.08$
3	$6.15 \pm 0.06^b$	D <sub>2</sub> O		
4	$7.03 \pm 0.01$	H <sub>2</sub> O	$10.2 \pm 0.6$	$2.6 \pm 0.2$
5	$8.13 \pm 0.02$		$21.4 \pm 0.1$	$5.0 \pm 0.3$
6	$8.50 \pm 0.02$		$60.0 \pm 1.0$	$5.6 \pm 0.4$
6	$8.62 \pm 0.04$	D <sub>2</sub> O	$52.0 \pm 6.0$	$2.9 \pm 0.1$

<sup>a</sup>  $pK_a$  values were determined by potentiometric titration at 25 °C,  $\mu = 0.5$  (KCl). Second-order rate constants ( $k_2$ ) at 25 °C,  $\mu = 0.5$  (KCl), were determined from plots of  $k_{\text{obsd}}$  against imidazole concentration. Maximal second-order rate constants were calculated from  $k_2 = k_2^{\max} K_a / ([H^+] + K_a)$ . <sup>b</sup> Reference 12.

allowed the catalytic role of the carboxylate to be determined.<sup>14</sup> Since the natural substrates are too unreactive for model studies, and since enzymes containing the His-Asp couple catalyze several different processes, the exact reaction examined is less important than its mechanism. Thus, we have examined two reactions, one proceeding by a nucleophilic and the other by a general base mechanism. Acyl transfer to imidazole (nucleophilic catalysis) was examined with 4-nitrophenylacetate as substrate.<sup>15</sup> As seen in Figure 1, a linear Brønsted plot can be constructed with compounds 2–6. His-Asp model 2 fits well on the plot. Indeed 2 and 4, which have nearly identical  $pK_a$  values, react with 4-nitrophenylacetate at the same rate (Table I) even though the latter compound lacks the additional catalytic group. This argues against a general base role for the carboxylate. Further support for this conclusion comes from the identical solvent isotope effect for 2 ( $k_{\text{H}_2\text{O}}/k_{\text{D}_2\text{O}} = 1.25 \pm 0.08$ ) and 6 ( $k_{\text{H}_2\text{O}}/k_{\text{D}_2\text{O}} = 1.2 \pm 0.1$ ), both of which indicate a predominantly nucleophilic mechanism.<sup>16</sup>

In order to determine the role played by the syn-carboxylate of 2 in a general base catalyzed process, the hydrolysis of 2-nitrophenyl diphenylphosphinate (7) was investigated. Aryl diphenylphosphinates have been shown to undergo general base catalyzed hydrolysis by imidazole, and they are substrates for chymotrypsin.<sup>17</sup> As seen in Figure 1, 2 fits on a Brønsted plot with 3–6, all points deviating by less than 21% in their  $k_2^{\max}$  values. The solvent isotope effect for 2 ( $k_{\text{H}_2\text{O}}/k_{\text{D}_2\text{O}} = 2.08 \pm 0.03$ ) is within experimental error of that for 6 ( $k_{\text{H}_2\text{O}}/k_{\text{D}_2\text{O}} = 1.9 \pm 0.2$ ) and in the range expected for general base catalyzed hydrolysis with proton transfer from water to the imidazole.<sup>16,17</sup> As in nucleophilic catalysis, the syn-carboxylate does not enhance the reactivity of the imidazole by acting as a base.

With one exception, enzymes containing the His-Asp couple have syn-oriented carboxylates.<sup>12</sup> While a syn-oriented carboxylate may provide a more basic lone pair and/or greater electrostatic stabilization than an anti-carboxylate, the corresponding increase in basicity of a proximate imidazole is small.<sup>12,13</sup> The results reported herein show that the catalytic benefit of a syn-carboxylate is minimal and limited to this small  $pK_a$  increase, consistent with the Brønsted catalysis law. Thus, 7 is hydrolyzed by His-Asp model 2 only 2 times faster than it is by amide 3. While the amide could donate a hydrogen bond to the imidazole in 3, producing an unproductive imidazole tautomer, it is not constrained to do so as it is in D102N trypsin.<sup>8</sup> In this sense the model and mutagenesis studies are complementary. The former show that the

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carboxylate provides only a small direct catalytic advantage, while the latter reveal an essential, and predicted,<sup>5a</sup> role of Asp-102, providing the correct tautomeric form of His-57.

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## Real-Time Measurements of Interfacial Charge Transfer Rates at Silicon/Liquid Junctions

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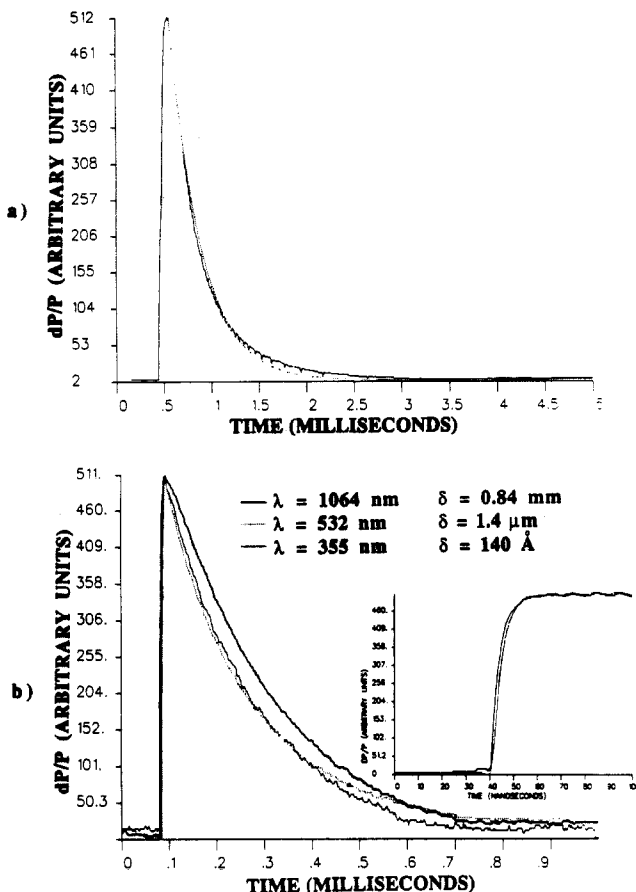
The rates of interfacial charge transfer from photogenerated carriers in semiconductor electrodes to solution donors and acceptors are fundamentally important in the area of semiconductor electrochemistry.<sup>1-3</sup> However, to date there have been no real-time measurements of the charge-transfer rates between semiconductors and outer sphere redox couples. Previous steady-state current-voltage experiments have led to the suggestion that such rates might be extremely fast ( $>10^{11} \text{ s}^{-1}$ ), because evidence has been obtained for interfacial charge transfer events competing with thermalization of the photoexcited carriers (i.e. "hot carrier injection processes").<sup>4</sup> Additionally, luminescence decay measurements on CdS surfaces in contact with strongly adsorbing, inner sphere redox reagents such as 1.0 M KOH/1.0 M  $\text{S}^{2-}$  have indicated high surface recombination velocities ( $>10^5 \text{ cm/s}$ ) and have yielded photoexcited carrier lifetimes of less than 100 ps.<sup>5</sup> In contrast, simple theoretical estimates of the interfacial charge transfer rate constants for outer sphere redox systems<sup>1,2</sup> can be used to predict carrier lifetimes between  $10^{-6}$  and  $10^{-3} \text{ s}$  under common experimental conditions. To address these issues, we report herein the first real-time kinetic rate measurements from carriers in a semiconductor to outer sphere redox donors and acceptors in the liquid phase.

The carrier lifetime measurements in this work were obtained by microwave and radio-frequency (rf) conductivity techniques.<sup>6,7</sup> Previous studies on semiconductors (either in contact with air or with aqueous acids) have shown that, in proper experimental geometries, the intensity of the reflected microwave<sup>6</sup> (38.3-GHz, 180-mW source in our work) or rf power (450 MHz, 1 W),  $P_{\text{dark}}$ , is proportional to the conductivity ( $\sigma$ ) of the sample. Accordingly,

$$\Delta P/P_{\text{dark}} \propto \Delta\sigma = (\mu_n \Delta n + \mu_p \Delta p)q \quad (1)$$

where  $\mu_n$  and  $\mu_p$  are the electron and hole mobilities, respectively,  $\Delta n$  and  $\Delta p$  are the excess electron and hole concentrations,  $q$  is the electronic charge, and  $\Delta P$  is  $P_{\text{light}} - P_{\text{dark}}$ . Thus, if the mobilities are known, the time dependence of  $\Delta P/P_{\text{dark}}$  allows measurement of the time dependence of the photoexcited carrier concentration in the semiconductor.<sup>6,7</sup> The advantages of this method are that it is contactless, has fast time resolution, allows collection of data from a single excitation pulse, and is feasible in a variety of electrolytes. High-injection conditions have been utilized in our work in order to minimize effects of the electric field in the semiconductor,<sup>7-9</sup> thus, only carrier diffusion to the surface of the sample must be considered as an alternative rate-limiting step.

Figure 1a shows the time dependence of the reflected microwave power for a 130  $\Omega \text{ cm}$  resistivity (donor density =  $3.4 \times 10^{13} \text{ cm}^{-3}$ ),



**Figure 1.** (a) Microwave conductivity decay (solid curve) under high injection ( $10^{15}$  injected carriers/ $\text{cm}^2$  in a 190- $\mu\text{m}$ -thick sample of n-Si) in contact with concentrated sulfuric acid. A simulation with a surface recombination velocity of  $25 \text{ cm s}^{-1}$  and a bulk lifetime of 2 ms is shown (dashed line) for comparison. Similar decay curves were obtained with a 450-MHz rf conductivity apparatus. (b) Microwave conductivity decays for the same material as in Figure 1a, but in contact with a methanol solution containing 0.34 M  $\text{Me}_2\text{Fc}$ , 5 mM  $\text{Me}_2\text{Fc}^+$ , and 0.10 M  $\text{LiClO}_4$ . Decays are displayed for three separate excitation wavelengths of penetration depth  $\delta$ . The ratio of absorbed photons- $\text{cm}^{-2}(\text{Si})$  to maximum signal intensity is the same for all three curves. Absorbed photons per square centimeter (Si) were  $2.2 \times 10^{13} \text{ photons cm}^{-2}$  at 1064 nm,  $9.1 \times 10^{12} \text{ photons cm}^{-2}$  at 532 nm, and  $1.7 \times 10^{13} \text{ photons cm}^{-2}$  at 355 nm. The light pulses were obtained from a Nd:YAG laser (Spectra-Physics Model DCR-1) with a 9-ns pulse width (fwhm). The inset shows the early time signals for all three wavelengths, and the rise time in these traces is preamplifier response limited.

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