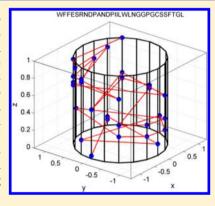
A Novel Cylindrical Representation for Characterizing Intrinsic **Properties of Protein Sequences**

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ABSTRACT: The composition and sequence order of amino acid residues are the two most important characteristics to describe a protein sequence. Graphical representations facilitate visualization of biological sequences and produce biologically useful numerical descriptors. In this paper, we propose a novel cylindrical representation by placing the 20 amino acid residue types in a circle and sequence positions along the z axis. This representation allows visualization of the composition and sequence order of amino acids at the same time. Ten numerical descriptors and one weighted numerical descriptor have been developed to quantitatively describe intrinsic properties of protein sequences on the basis of the cylindrical model. Their applications to similarity/ dissimilarity analysis of nine ND5 proteins indicated that these numerical descriptors are more effective than several classical numerical matrices. Thus, the cylindrical representation obtained here provides a new useful tool for visualizing and charactering protein sequences. An online server is available at http://biophy.dzu.edu.cn:8080/ CNumD/input.jsp.



■ INTRODUCTION

The rapid development of high-throughput sequencing techniques has led to an explosive increase in biological sequences. How to process the vast amount of sequence data efficiently and effectively has been an urgent challenge. A DNA sequence consists of four letters (A, T, C, and G), whereas a protein sequence is made of 20 letters (A, R, N, D, C, Q, E, G, I, H, L, K, M, F, P, S, T, W, Y, and V). From such an abstract sequence of letters it is difficult to produce useful information directly. Graphical approaches are increasingly favored to provide intuitive and useful insights in understanding the mechanisms of biological processes^{1–12} such as enzymatic reactions,^{1–3} protein folding kinetics,⁴ and drug metabolism.⁵ One example is the use of the elegant wenxiang graphs⁶ to analyze protein-protein interactions.⁷ The first graphical representation was proposed for DNA sequences nearly three decades ago.¹³ Since then, many different models have been developed.^{14–23} By comparison, graphical representations of protein sequences have emerged only recently^{24–39} because protein sequences have many more letters than DNA sequences. Jeffrey,²⁴ Basu et al.,²⁵ Yu et al.,²⁶ and Randić et al.²⁷ first reported the use of chaos game representation (CGR) for DNA and protein sequences. Randić outlined a two-dimensional (2D) graphical representation of proteins based on physicochemical properties of amino acids. ²⁸ This method assigns 20 amino acids to 20 fixed vertices and presents a static graphical representation. Yao et al.²⁹ introduced a dynamic 2D graphical representation of

protein sequences based on pK_a values that has an ability to recognize similarities among different proteins. Randić and coworkers further used spectral-like and zigzag representations³⁰ and starlike graphs³¹ to encode DNA or protein sequences. Li et al.³² proposed a 2D representation involving a 60D vector with five reduced amino acid types. Other representations for protein sequences (virtual genetic code and color map³³ and spherical representation³⁴) have also been proposed. One advantage of graphical representation is the transformation of a linear sequence into a zigzag curve and numerical descriptors that makes the sequence more interpretable. Several versatile models and matrices such as Chou's PseAAC, 40,41 and the L/L and $^kL/^kL$ matrices¹⁵ have been proposed to capture key protein features. Chou's PseAAC method, a mathematical model that numerically characterizes the protein sequence, was found to be useful in many aspects of computational proteomics $^{42-48}$ and has been extended to analysis of DNA sequences. $^{44-46}$ L/L and $^kL/^kL$ matrices¹⁵ were proposed to transform the zigzag curves of biological sequences into numerical matrices, and their eigenvalues can be used as numerical descriptors for corresponding sequences. These matrices have been widely used in many graphical representation works. ³⁶ Although these methods have been proved useful and are widely used, their calculation is complicated and time-consuming. Therefore, alternative numer-

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Table 1. Sequence Accession Numbers of the Nine ND5 Proteins in the Data Set

human	gorilla	common chimpanzee	pigmy chimpanzee	fin whale	blue whale	rat	mouse	opossum
YP_003024036	NP_008222	NP_008196	NP_008209	NP_006899	NP_007066	AP_004902	NP_904338	NP_007105

ical descriptors derived from the geometrical centers of the zigzag curves have been shown to be more convenient and efficient.⁴⁹

Similarity between two protein sequences is an important parameter to reveal the relation between them. As a result, it is often employed to evaluate graphical representations. Most sequence alignment tools detect DNA/protein similarities on the basis of scoring matrices. SO,51 Ray developed a graphical method (MAVL/StickWRLD) that involves wrapping a positional weight matrix around a cylinder in order to detect interpositional dependences within DNA and protein sequence alignments. S2-S4 Kultys et al. S5 proposed a technique to visualize and discover sequence motifs by aligning multiple sequences in physicochemical properties of amino acids. Sakai and Aerts 6 established a similar method based on sequence diversity diagrams for multiple sequence alignments.

Inspired by the methods developed above, in this work we have developed a novel cylindrical representation to characterize intrinsic features of protein sequences. This representation arranges the 20 amino acid types on the bottom circle of the cylinder. Each amino acid type forms a line on the cylindrical surface. Such a geometrical construction exhibits both the composition and distribution of amino acid residue types in a protein sequence. We have further proposed several numerical descriptors based on the cylindrical model for quantitative characterization of protein sequences. The application of the model to nine NADH dehydrogenase subunit 5 (ND5) proteins indicated that this novel graphical model is a convenient and efficient way to analyze protein sequences.

METHODS AND DATA SET

Data Set. We employed nine NADH dehydrogenase subunit 5 (ND5) proteins to demonstrate the present method because the data set has been widely utilized in many other graphical representation studies. ^{29,39} The accession numbers of these nine proteins are listed in Table 1.

Construction of the Cylindrical Representation. We employed cylindrical coordinates to display protein sequences on the surface of a unit cylinder. The transformation between cylindrical coordinates and Cartesian coordinates is shown in eq

$$x_n = \cos\left(\frac{2\pi}{20}i_n\right) \qquad y_n = \sin\left(\frac{2\pi}{20}i_n\right) \qquad z_n = \frac{n}{N} \tag{1}$$

where N is the length of the protein, n=1, 2, 3, ..., N is the positional index of an amino acid along the protein sequence, and $i_n=0,1,2,...,19$ is the residue type of the nth amino acid residue. On the bottom circle, the 20 amino acid types are arranged counterclockwise according to the order of their hydropathy indices (Table 2); amino acids with same hydropathy index are sorted alphabetically. Thus, as indicated in Figure 1, the x and y coordinates of each amino acid type are fixed on the bottom circle of the cylinder. Table 2 lists the order of the 20 amino acid types and their respective x and y coordinates. In addition, z is used to demonstrate the sequence position. It could be represented in various forms such as z=n or z=1-1/n. Here the definition z=n/N was used, so each sequence is compressed to the same range $(0 < z \le 1)$. By means of eq 1, a protein sequence can be represented by a set of connected points

Table 2. Order and x and y Coordinates of the 20 Types of Amino Acids

amino acid	order	hydropathy index	x	у
I	0	4.5	1	0
V	1	4.2	0.9511	0.309
L	2	3.8	0.809	0.5878
F	3	2.8	0.5878	0.809
C	4	2.5	0.309	0.9511
M	5	1.9	0	1
Α	6	1.8	-0.309	0.9511
G	7	-0.4	-0.5878	0.809
T	8	-0.7	-0.809	0.5878
S	9	-0.8	-0.9511	0.309
W	10	-0.9	-1	0
Y	11	-1.3	-0.9511	-0.309
P	12	-1.6	-0.809	-0.5878
Н	13	-3.2	-0.5878	-0.809
D	14	-3.5	-0.309	-0.9511
E	15	-3.5	0	-1
N	16	-3.5	0.309	-0.9511
Q	17	-3.5	0.5878	-0.809
K	18	-3.9	0.809	-0.5878
R	19	-4.5	0.9511	-0.309

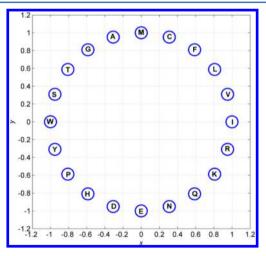


Figure 1. Arrangement of the 20 amino acid residue types on the unit circle.

 $P = \{(x_1, y_1, z_1), (x_2, y_2, z_2), ..., (x_n, y_n, z_n)\}$. In the representation, there are 20 pillarlike columns on the cylindrical surface, each of which represents one amino acid residue type.

Cylindrical representations of the nine ND5 proteins are shown in Figure 2. The overall map of 20 amino acid columns and the lines connecting adjacent amino acids can be investigated. A close examination indicates that human, gorilla, common chimpanzee, and pigmy chimpanzee have similar contour maps. In addition, similarities between blue whale and fin whale and between rat and mouse can also be observed.

Figure 3 shows projections of the 3D cylindrical representations onto the 2D *xy* plane. The compositions of the amino acid residues in the nine ND5 proteins have a similar pattern according to the color-coded frequency shown in Figure 3.

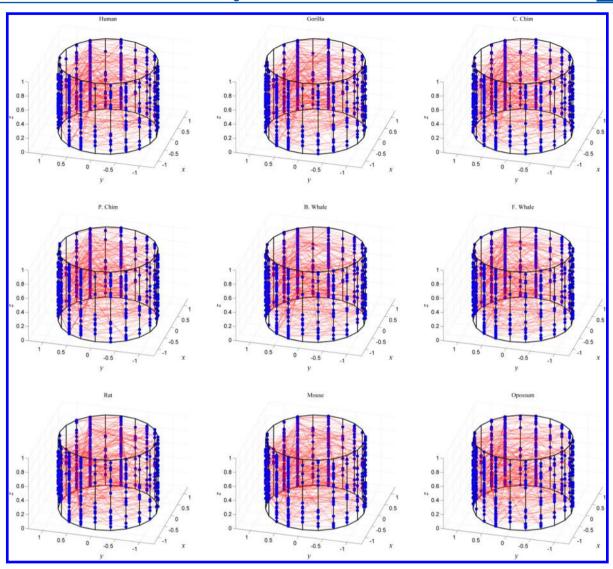


Figure 2. Cylindrical representations of the nine ND5 proteins.

Residue L has the highest frequency, while V, C, W, Y, H, D, E, Q, and R have the lowest usage. Some fine differences among different proteins can be detected from Figure 3. For example, the fractions of residue L in human, gorilla, common chimpanzee, and pigmy chimpanzee are 17.3%, 17.6%, 17.6%, and 17.6%, respectively, compared with 14.5% for opossum. Therefore, Figure 3 indicates that the cylindrical method provides a convenient tool for the visualization of amino acid compositions in protein sequences.

The sequence order of the amino acid residues is critical for a description of the intrinsic features of protein sequences. Integration of information on the sequence composition and order of amino acids has been successfully applied to many protein-related problems. In Figure 4, we demonstrate that the cylindrical representation can further exhibit how amino residues are distributed along the primary sequence by decomposing each of the 3D representations in Figure 2 into four quadrants. Quadrant I has I, V, L, F, and C. As shown in quadrant I, human, gorilla, common chimpanzee, and pigmy chimpanzee share similar patterns. The same is true for blue whale and fin whale. Similar trends can be observed in quadrants II, III, and IV. Figure 4 presents a visualization tool showing how each amino acid type is utilized in the sequence by displaying

each amino acid type along the cylindrical lines with dots and gaps.

Derivation of Numerical Descriptors Based on the Cylindrical Representation. Graphical representation methods allow the extraction of useful features. Here we define a covariance matrix \mathbf{P}_1 as

$$\mathbf{P_{1}} = \begin{bmatrix} S_{xx} & S_{xy} & S_{xz} \\ S_{xy} & S_{yy} & S_{yz} \\ S_{xz} & S_{yz} & S_{zz} \end{bmatrix}$$
(2)

where

$$S_{xx} = \sum_{n} (x_n - \overline{x})^2$$
 $S_{xy} = \sum_{n} (x_n - \overline{x})(y_n - \overline{y})$

$$S_{yy} = \sum_{n} (y_n - \overline{y})^2$$
 $S_{xz} = \sum_{n} (x_n - \overline{x})(z_n - \overline{z})$

$$S_{zz} = \sum_{n} (z_n - \overline{z})^2$$
 $S_{yz} = \sum_{n} (y_n - \overline{y})(z_n - \overline{z})$

in which \overline{x} , \overline{y} , and \overline{z} are the geometrical centers, obtained as

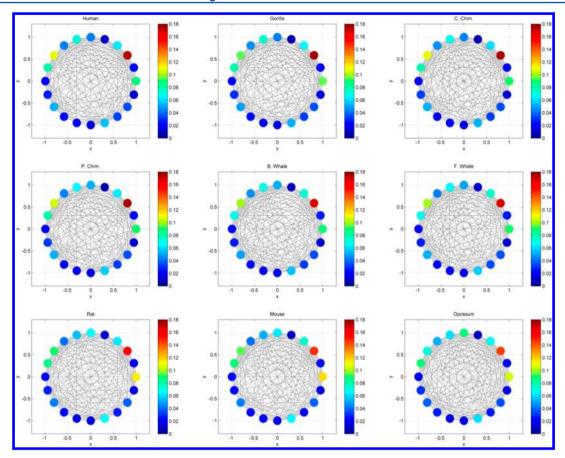


Figure 3. Projections of the cylindrical representations of the nine ND5 proteins onto the 2D xy plane.

$$\overline{x} = \frac{1}{N} \sum_{n=1}^{N} x_n \quad \overline{y} = \frac{1}{N} \sum_{n=1}^{N} y_n \quad \overline{z} = \frac{1}{N} \sum_{n=1}^{N} z_n$$
 (3)

We also define the vector $\mathbf{P_2} = [g_0, ..., g_i, ..., g_{19}]$ according to the geometrical centers of the 20 amino acid columns, given by

$$g_{i} = \frac{1}{N_{i}} \sum_{n_{i}=1}^{N_{i}} z_{n_{i}}$$
(4)

where i=0,1,2,...,19 is the index for the 20 types of amino acids and N_i is the total number of amino acids in the ith column. To remove sequence-length dependence, two additional 20D vectors $\mathbf{P}_3 = [g_0^{\mathrm{L}},...,g_1^{\mathrm{L}},...,g_{19}^{\mathrm{L}}]$ and $\mathbf{P}_4 = [g_0^{\mathrm{N}},...,g_i^{\mathrm{N}},...,g_{19}^{\mathrm{N}}]$ are obtained by different normalization schemes as follows:

$$g_i^{L} = \frac{g_i}{N} \tag{5}$$

$$g_i^{N} = \frac{g_i}{\sum_i g_i} \tag{6}$$

In addition, we characterize the deviation of the amino acid residues from the geometrical center in each column by means of the vector $\mathbf{P_5} = [\nu_0, ..., \nu_i, ..., \nu_{19}]$, whose components are the standard deviations (SDs):

$$v_i = \sqrt{\frac{1}{N_i} \sum_{n_i}^{N_i} (z_{n_i} - g_i)^2}.$$
 (7)

We can also employ the relative standard deviation (RSD) to obtain the vector $\mathbf{P_6} = [\nu_0^R, ..., \nu_i^R, ..., \nu_{19}^R]$:

$$v_i^{R} = \frac{1}{g_i} \sqrt{\frac{1}{N_i} \sum_{n_i}^{N_i} (z_{n_i} - g_i)^2}$$
(8)

The distribution disparities among the 20 amino acids can be described by the two matrices \mathbf{P}_7 and \mathbf{P}_8 based on eqs 4 and 7, respectively:

$$\mathbf{P}_{7} = \begin{bmatrix} g_{(0,0)} & \cdots & g_{(0,j)} & \cdots & g_{(0,19)} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ g_{(i,0)} & \cdots & g_{(i,j)} & \cdots & g_{(i,20)} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ g_{(19,0)} & \cdots & g_{(19,j)} & \cdots & g_{(19,19)} \end{bmatrix}$$

$$(9)$$

$$\mathbf{P_8} = \begin{bmatrix} \nu_{(0,0)} & \cdots & \nu_{(0,j)} & \cdots & \nu_{(0,19)} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \nu_{(i,0)} & \cdots & \nu_{(i,j)} & \cdots & \nu_{(i,19)} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \nu_{(19,0)} & \cdots & \nu_{(19,j)} & \cdots & \nu_{(19,19)} \end{bmatrix}$$

$$(10)$$

where $g_{(i, j)} = g_i - g_j$ and $v_{(i, j)} = v_i - v_j$ (i, j = 0, 1, 2, ..., 19). We also obtained the amino acid composition vector $\mathbf{P_9} = [f_0, f_0, f_0]$

We also obtained the amino acid composition vector $\mathbf{P_9} = [f_0, ..., f_{19}]$ according the percentages of the 20 amino acids (f_i) as well as the vector $\mathbf{P_{10}} = [f_0, ..., f_{19}, g_0, ..., g_{19}]$ by combining $\mathbf{P_9}$ with $\mathbf{P_2}$.

The above descriptors except $\mathbf{P_1}$ depend on the z coordinate only. That is, they are independent of how the 20 amino acids are arranged on the circle. In addition, these descriptors are uniquely

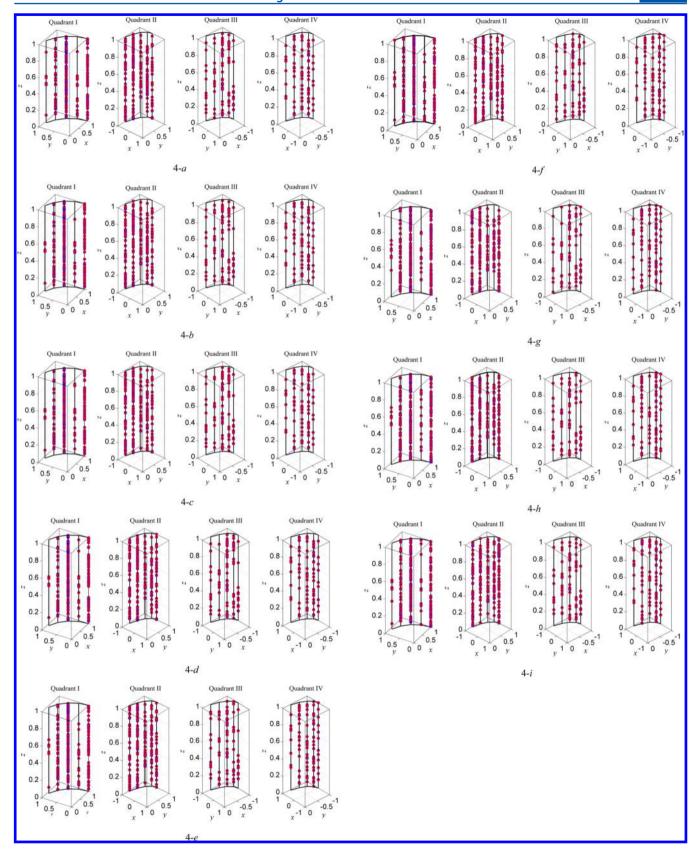


Figure 4. 3D representations of the nine ND5 proteins in four separate quadrants: (a) human; (b) gorilla; (c) common chimpanzee; (d) pigmy chimpanzee; (e) fin whale; (f) blue whale; (g) rat; (h) mouse; (i) opossum.

derived from the geometrical centers of the cylindrical representation and combine the compositions of the amino acid residues with their sequence position information. Therefore, they are simple and elegant.

Measurement of Protein Sequence Similarity. Protein sequence similarity is used here to illlustrate the usefulness of the descriptors proposed above. The difference between two vectors can be evaluated in terms of the correlation angle and the Euclidean distance. The correlation angle θ between two sequences S_m and S_n is written as

$$\theta(S_m, S_n) = \arccos \frac{\sum_{t=1}^{20} v_t^{S_m} v_t^{S_n}}{\sqrt{\sum_{t=1}^{20} (v_t^{S_m})^2} \sqrt{\sum_{t=1}^{20} (v_t^{S_n})^2}}$$
(11)

where v^{S_m} and v^{S_n} are the numerical descriptors of S_m and S_n respectively. The Euclidean distance D is obtained as

$$D(S_m, S_n) = \sqrt{\sum_{t=1}^{20} (v_t^{S_m} - v_t^{S_n})^2}$$
(12)

A smaller value for either θ or D indicates a higher similarity between the two sequences.

APPLICATION CASES AND DISCUSSIONS

Mutation Analyses. We illustrate the usefulness of the cylindrical representation for mutation analysis using two short protein segments of the yeast Saccharomyces cerevisiae: "WTFESRNDPA" and "WFFESRNDPA". They have 10 residues with a mismatching amino acid at position 2. To facilitate visualization, the z coordinate is represented by z = nrather than z = n/N. Figure 5 shows the cylindrical

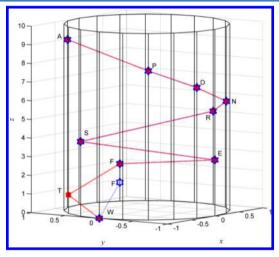


Figure 5. Cylindrical representations of two protein segments with a point mutation.

representations of the two segments. The mismatched amino acids in proteins I (red) and II (blue) are easy to detect. Figure 5 suggests that the cylindrical representation provides a convenient tool for visually inspecting similarity or dissimilarity among different sequences.

Similarity Analysis of Protein Sequences. Here we show that the descriptors P_1-P_{10} can be used to measure the similarity among the nine ND5 proteins without pairwise alignment. We will demonstrate this by comparison with the results from the classical ClustalW2 program.⁵⁸ Our new descriptors are compared to previously employed descriptors: the versatile L/L and ${}^kL/{}^kL$ matrices. In this work, the top-10 leading eigenvalues of the L/L matrix and the top leading values of the ${}^kL/{}^kL$ matrix (k = 1, 2, 5, 10, 50) were used as numerical descriptors. Figure 6 compares the Pearson's correlation coefficients (PCCs) between

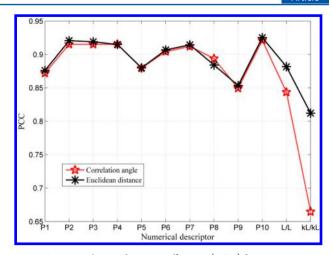


Figure 6. Pearson's correlation coefficients (PCC) between sequence similarities measured by ClustalW2 and those by various descriptors from this work (P_1 to P_{10}) and previous studies (L/L and ${}^{\bar{k}}L/{}^{k}L$ matrices).

the results from ClustalW2 and those from various descriptors. The PCC is a measure of the correlation between two variables or matrices X and Y and gives a value between +1 and -1. The PCCs of P₁-P₈ are above 0.87 for both correlation angle and Euclidean distance. In particular, P2, P3, P4, P6, and P7 have PCC > 0.90 in terms of either the correlation angle or the Euclidean distance. The highest PCC is 0.92 for P_2 based on either the correlation angle or the Euclidean distance. By comparison, the PCCs based on the L/L matrix are 0.84 for the correlation angle and 0.88 for the Euclidean distance. Even lower values are obtained for the ${}^kL/{}^kL$ matrix. P values of $\ll 0.01$ for all of the numerical descriptors indicate results that are significantly consistent with those from ClustalW2. As an example, Tables 3 and 4 show the similarity/dissimilarity matrices of P2 for the nine ND5 protein sequences. As one can see from either table, there are higher similarities among the ND5 proteins of human, gorilla, common chimpanzee, and pigmy chimpanzee, between those of blue whale and fin whale, and between those of rat and mouse. The ND5 protein of opossum is not as similar to other the ND5 proteins. The results are consistent with evolutionary evidence.

Amino acid composition is one of the most efficient numerical parameters in many studies.⁵⁹ As shown in Figure 6, the PCCs of P₉ are around 0.85 for both correlation angle and Euclidean distance, while those for P2 are 0.915 and 0.921, respectively. Interestingly, P_{10} , the combination of P_2 and P_9 , further improves the PCCs for both measurements to 0.921 and 0.925, respectively. This suggests that P2 and P9 contain complementary information. It is possible that a different weight might further improve the correlation. We define the descriptor P_{11} by

$$\mathbf{P_{11}} = \begin{cases} \alpha \mathbf{P_9} & 0 < \alpha \le 1 \\ \beta \mathbf{P_2} & 0 < \beta \le 1 \end{cases}$$
 (13)

where α and β are the weighting coefficients. Figure 7 shows the PCCs between the results from ClustalW2 and P_{11} with different weighting coefficients varied with a step size of 0.1. The optimal values are 0.6 for α and 0.2 for β , with PCCs values of 0.941 for the correlation angle and 0.941 for the Euclidean distance. This illustrates the usefulness of the combined descriptors.

Biological Significance of the Cylindrical Representation. The above analyses illustrate that the proposed numerical descriptors based on our cylindrical representation are bio-

Table 3. Similarity/Dissimilarity Matrix of P2 Based on the Correlation Angle

	gorilla	c. chimp.	p. chimp.	f. whale	b. whale	rat	mouse	opossum
human	0.0607	0.0613	0.0729	0.0809	0.0829	0.117	0.1036	0.1289
gorilla	1	0.0459	0.0697	0.0833	0.0975	0.1317	0.1136	0.1125
c. chimp.			0.0373	0.0946	0.1031	0.1169	0.1015	0.0943
p. chimp.	1			0.1046	0.1174	0.117	0.1003	0.095
b. whale					0.0485	0.1125	0.0993	0.1308
f. whale						0.112	0.0986	0.1341
rat	1						0.0756	0.1456
mouse	1							0.1299

Table 4. Similarity/Dissimilarity Matrix of P2 Based on the Euclidean Distance

	gorilla	c. chimp.	p. chimp.	f. whale	b. whale	rat	mouse	opossum
human	0.1326	0.134	0.1595	0.1781	0.1829	0.2643	0.2338	0.2922
gorilla		0.1003	0.1526	0.1834	0.2151	0.2967	0.2561	0.2576
c. chimp.			0.0823	0.2083	0.2276	0.2652	0.2308	0.22
p. chimp.				0.2299	0.2584	0.2638	0.2263	0.2186
b. whale					0.1069	0.2528	0.2227	0.2945
f. whale						0.2513	0.2221	0.3015
rat							0.1747	0.3267
mouse								0.2914

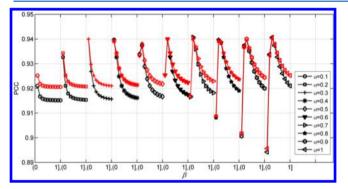


Figure 7. PCCs of P_{11} with different values of the weighting parameters. The black and red curves represent the results using the correlation angle and Euclidean distance, respectively.

logically meaningful. According to eqs 4 and 7, P_2 indicates the distribution center of each amino acid type and P_5 measures the

deviation of each amino acid type from its distribution center in the protein sequence. Figure 8 shows the compositions of the amino acids in the nine ND5 proteins. There is no significance difference between the compositions of nine ND5 proteins. By comparison, the geometrical centers (\mathbf{P}_2) and the standard deviations (\mathbf{P}_5) (Figure 9) reveal the characteristic differences between different proteins signaled by W in the \mathbf{P}_2 curves and M and Y in the \mathbf{P}_5 curves. Some fine differences can also be observed among homologous species, such as residue M in the \mathbf{P}_2 curves of common chimpanzee and pigmy chimpanzee and residue P in the \mathbf{P}_5 curves of fin whale and blue whale. Thus, \mathbf{P}_2 and \mathbf{P}_5 provide effective and complementary features to discriminate highly homologous protein sequences.

CONCLUSION

Sequence analysis is one of the most important problems in bioinformatics. Graphical representations provide simple and convenient tools for qualitatively and quantitatively describing

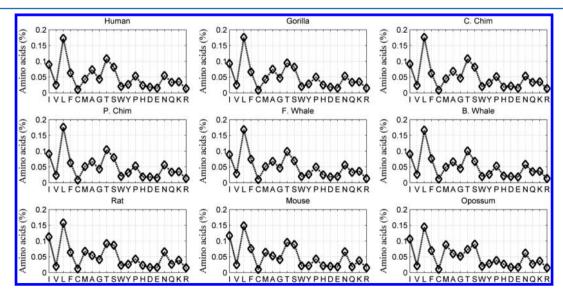


Figure 8. Compositions of the amino acid residues of the nine ND5 proteins.

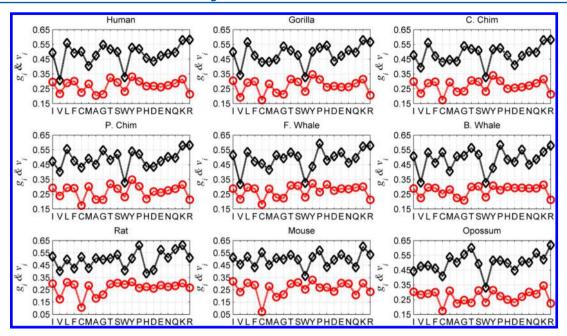


Figure 9. Geometrical centers (P2, black) and standard deviations (P5, red) of the 20 amino acid residues of the nine ND5 proteins.

intrinsic features of biological sequences. 60–64 In this paper, we have proposed a novel cylindrical representation by arranging the 20 amino acid types on a cylindrical surface according to their respective sequence positions. Its application to nine ND5 proteins indicates that this graphical model is effective for describing intrinsic properties of protein sequences. Numerical descriptors derived from the cylindrical representation can quantitatively describe the intrinsic characteristics of the composition and sequence order of the amino acid residues. These descriptors have been shown to be effective for detecting similarity and dissimilarity between two proteins without sequence alignment. Thus, the cylindrical representation is a useful new tool for protein sequence analysis. An online server has been set up to calculate the values for all of the numerical descriptors at http://biophy.dzu.edu.cn:8080/CNumD/input. isp.

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Notes

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