

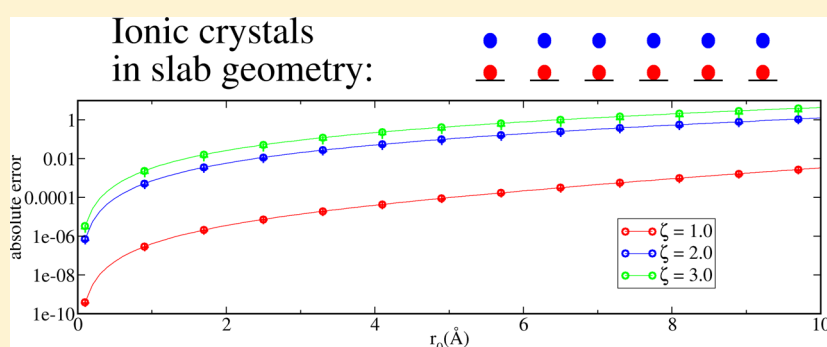
# Rigorous Error Bounds for Ewald Summation of Electrostatics at Planar Interfaces

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**ABSTRACT:** We present a rigorous Ewald summation formula to evaluate the electrostatic interactions in two-dimensionally periodic planar interfaces of three-dimensional systems. By rewriting the Fourier part of the summation formula of the original Ewald2D expression with an explicit order  $N^2$  complexity to a closed form Fourier integral, we find that both the previously developed electrostatic layer correction term and the boundary correction term naturally arise from the expression of a rigorous trapezoidal summation of the Fourier integral part. We derive the exact corrections to the trapezoidal summation in a form of contour integrals offering precise error bounds with given parameter sets of mesh size and system length. Numerical calculations of Madelung constants in model ionic crystals of slab geometry have been performed to support our analytical results.

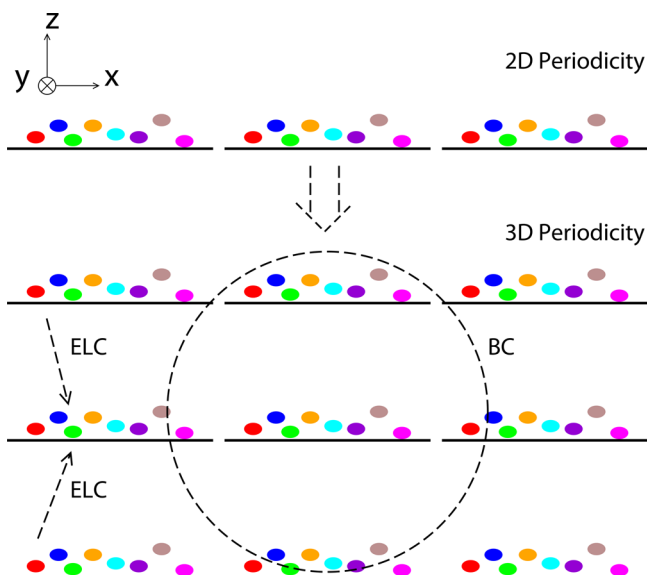
## 1. INTRODUCTION

Structural and dynamical properties of liquid–vapor, liquid–liquid, and liquid–solid interfaces are of great interest to chemists, physicists, and material scientists.<sup>1–4</sup> As atoms in the molecules generally have partial charges, determination of the interfacial properties requires an accurate treatment of the long-ranged Coulomb interactions in a slab geometry of two-dimensional (2D) periodicity. However, it remains a great challenge for theoretical physical chemists to efficiently and accurately treat the long-ranged Coulomb interaction when performing molecular dynamics or Monte Carlo simulation studies for three-dimensional (3D) charged systems with 2D (e.g.,  $x$  and  $y$  directions) periodic boundary conditions (PBC) and the other dimension (e.g.,  $z$  direction) nonperiodic (see Figure 1). More than 30 years ago, an Ewald summation method for 3D charged systems with 2D periodicity was first derived by Parry,<sup>5</sup> by Heyes et al.,<sup>6</sup> and by de Leeuw and Perram<sup>7</sup> (referred to as the Ewald2D method). For a system of  $N$  charged particles, the computational cost of the Ewald2D method carried out over distinct pairs of charges scales as  $O(N^2)$  and becomes very expensive when  $N$  is very large. Many researchers have thus proposed alternative Ewald type methods to introduce significant reduction in the computational time.<sup>8–17</sup> Hautmann and Klein developed a method based on an expansion of the Ewald2D expression to a Taylor series

which can be applied to thin layer configurations where the extension in the dimension with no periodicity (length in  $z$  direction) is much smaller than the length of the unit cell in the other two dimensions ( $x$  and  $y$  directions).<sup>8,9</sup> For a system of charges with an arbitrary length in the nonperiodic dimension, the first successful method to improve the efficiency of Ewald type computation with sufficient accuracy was proposed by Yeh and Berkowitz.<sup>11</sup> Their method relates the electrostatic potential of a 3D system of 2D PBC to that of a corresponding 3D system of 3D PBC but with a correction term accounting for the difference between the two boundary conditions (referred as Ewald3DC method).<sup>10,11</sup> Sufficient accuracy of the Ewald3DC method can always be obtained by introducing large empty space in the artificial 3D periodic system. The Ewald3DC method has been widely applied to molecular dynamics or Monte Carlo simulation studies of various interfacial systems in slab geometry (e.g., ref 18). With the same intuition of relating electrostatics in 2D periodicity to that in 3D periodicity (see Figure 1), Holm and co-workers have further added an electrostatic layer correction term to remove the effect of artificial electrostatic layers in the corresponding 3D full periodic system (referred as EwaldELC method).<sup>15</sup>

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**Figure 1.** Illustration of the systems of interest with 2D (up) and 3D (down) periodicity.  $x$ ,  $y$ , and  $z$  directions are pointing to the right, into the paper/screen, and up, respectively. When transferring the evaluation of the electrostatic interaction in a system with 2D periodicity to that of 3D periodicity, the boundary correction term and the electrostatic layer correction term are added.

Aside from extending the problem to full 3D periodicity and then correcting the effect of boundary and electrostatic layers, several researchers have sought to rewrite the Ewald2D expression in an alternative way in order to obtain better computational scaling.<sup>13,16,17</sup> Notably, recent developments by Lindbo and Tornberg have successfully developed fast and accurate Ewald2D techniques which involve a particle mesh type generalization.<sup>17</sup> The types of Ewald2D summation methods by Kawata and Mikami<sup>13</sup> or Lindbo and Tornberg<sup>17</sup> start from an equivalent Fourier integral expression of the Ewald2D formula and apply an interpolation treatment for a term purely depending on  $z$  and a fast gridding treatment for the other part of the Fourier integral. The above development has focused on the exact treatment of the long-ranged Coulomb interaction, which obviously leads to exact dynamical and structural properties of interfaces. On the other hand, Weeks and co-workers have developed a mean field treatment called local molecular field theory,<sup>19–22</sup> which directly treats the short-ranged part of the Coulomb interaction and maps the effect of the long-ranged part of the Coulomb interaction to a mean-field single particle external potential. When combined with a configuration-based linear response theory, simulations based on local molecular field theory are able to yield accurate structural and thermodynamic properties with a significant reduction in computation cost.<sup>23</sup> However, a controllable way to achieve accurate dynamics has yet to be developed for simulation studies of interfaces in the framework of local molecular field theory.

To efficiently reduce the computational cost and accurately determine the instantaneous potential and force, the Fourier integral expression of the Ewald2D formula derived as early as in the work by de Leeuw and Perram<sup>7</sup> has been often used as a starting point in previous developments.<sup>13,16,17,24</sup> In the present work, we will start from the same Fourier integral formula and mathematically analyze how the error can be controlled when approximating the integral formula. To the best of our

knowledge, the point of novelty in the present work is 3-fold. First, we will suggest a new formulation for the  $z$  dependent term which might be superior to the previous interpolation methods. Second, we will directly derive the boundary correction and electrostatic layer correction terms from the Fourier integral expression. Our analytical derivation will make a natural connection to the previous Ewald3DC and EwaldELC method. Third, we will show that the error bound due to the trapezoidal approximation to the Fourier integral expression in Ewald2D is a Gaussian decay function of an appropriate combination of setup parameters. The rest of this paper is organized as follows. In section 2, we provide brief background on the Ewald2D summation method. In sections 3–5, we formulate an alternative expression of the  $z$  independent term in Ewald2D summation, derive rigorous error bounds when applying trapezoidal approximation to Fourier integrals, and naturally develop the boundary correction term and the electrostatic layer correction term, respectively. In section 6, we draw conclusions from our present work.

## 2. GENERAL BACKGROUND FOR EWALD2D METHOD

Let us consider a unit cell of  $N$  charged particles located at positions  $\mathbf{r}_1, \dots, \mathbf{r}_N$ . The lengths of the unit cell in  $x$  and  $y$  direction are  $L_x$  and  $L_y$  respectively. The  $j$ th particle has its infinite periodic images in 2D located at positions  $\mathbf{r}_j + \mathbf{n}$  where the vector  $\mathbf{n}$  stands for  $(n_x L_x, n_y L_y, 0)$  and both  $n_x$  and  $n_y$  are integers (see Figure 1). The total electrostatic potential energy per unit cell is obtained by adding up all Coulomb interactions:

$$\mathcal{U} = \frac{1}{2} \sum_n \left( \sum_{i=1}^N \sum_{j=1}^N \frac{q_i q_j}{|\mathbf{n} + \mathbf{r}_{ij}|} \right) \quad (1)$$

where  $\mathbf{r}_{ij}$  stands for the relative vector between the  $i$ th and  $j$ th particles. The sum over the vector  $\mathbf{n}$  is the sum over infinite images of  $N$  points in both  $x$  and  $y$  directions. The prime indicates that the  $i = j$  term is omitted in case of  $n_x = n_y = 0$ . For simplicity of notation, we have omitted the prefactor of  $1/(4\pi\epsilon_0)$ .

It is known that the series  $\mathcal{U}$  of eq 1 is slowly convergent subject to the condition of electroneutrality:  $\sum_j q_j = 0$ . Because of the slow decay of the Coulomb interaction  $1/r$  in the series, the straightforward term-by-term summation in real space is impractical for an accurate determination of  $\mathcal{U}$ . The Ewald sum introduces a screening factor  $\alpha$  and separates the Coulomb interaction in eq 1 into a combination of short- and long-ranged components:<sup>25</sup>

$$\frac{1}{r} = \frac{\text{erfc}(\alpha r)}{r} + \frac{\text{erf}(\alpha r)}{r} \quad (2)$$

where the long-ranged component is proportional to the electrostatic potential arising from a normalized Gaussian charge distribution with width  $1/\alpha$

$$\frac{\text{erf}(\alpha r)}{r} \equiv \frac{\alpha^3}{\pi^{3/2}} \int d\mathbf{r}' e^{-\alpha^2 r'^2} \frac{1}{|\mathbf{r} - \mathbf{r}'|} \quad (3)$$

The sum over the vector  $\mathbf{n}$  for the short-ranged component decays very fast and is carried out in real space as is done for the usual Lennard-Jones potential. One can convert the sum over the vector  $\mathbf{n}$  for the long-ranged component to a sum over the reciprocal (Fourier) space vector  $\mathbf{h} = 2\pi(h_x/L_x, h_y/L_y, 0)$  via Fourier transform. It has been shown that the series  $\mathcal{U}$  of eq 1

subject to the electroneutrality condition is a combination of real space sum and reciprocal space sum:<sup>5–7,16,17</sup>

$$\mathcal{U} = \mathcal{U}_R + \mathcal{U}_F^h + \mathcal{U}_F^0 \quad (4)$$

where

$$\mathcal{U}_R = \frac{1}{2} \sum_{i,j} q_i q_j \sum_n \frac{\text{erfc}(\alpha |\mathbf{r}_{ij} + \mathbf{n}|)}{|\mathbf{r}_{ij} + \mathbf{n}|} - \frac{\alpha}{\sqrt{\pi}} \sum_i q_i^2 \quad (5)$$

$$\begin{aligned} \mathcal{U}_F^h = & \frac{\pi}{2L_x L_y} \sum_{i,j} q_i q_j \sum_{h \neq 0} \frac{e^{i\mathbf{h} \cdot \mathbf{r}_{ij}}}{h} \left[ e^{hz_{ij}} \text{erfc}\left(\frac{h}{2\alpha} + \alpha z_{ij}\right) \right. \\ & \left. + e^{-hz_{ij}} \text{erfc}\left(\frac{h}{2\alpha} - \alpha z_{ij}\right) \right] \end{aligned} \quad (6)$$

and

$$\mathcal{U}_F^0 = \frac{-\pi}{L_x L_y} \sum_{i,j} q_i q_j \left[ z_{ij} \text{erf}(\alpha z_{ij}) + \frac{1}{\alpha \sqrt{\pi}} e^{-\alpha^2 z_{ij}^2} \right] \quad (7)$$

The term  $\mathcal{U}_F^0$  is the limit of  $\mathcal{U}_F^h$  as  $h$  approaches 0. The singularity of the reciprocal space sum has been removed under the condition of electroneutrality. An alternative expression of  $\mathcal{U}_F^h$  is written as an integral form:

$$\mathcal{U}_F^h = \frac{1}{L_x L_y} \sum_{h \neq 0} \int_{-\infty}^{\infty} du \frac{e^{-(h^2 + u^2)/(4\alpha^2)}}{h^2 + u^2} \left| \sum_{j=1}^N q_j e^{i\mathbf{h} \cdot \mathbf{r}_j} e^{iu z_j} \right|^2 \quad (8)$$

Equations 5–8 are the usual Ewald2D formulas. These expressions have been derived in many different ways by Parry,<sup>5</sup> by Heyes et al.,<sup>6</sup> by de Leeuw and Perram,<sup>7</sup> by Grzybowski et al.,<sup>12</sup> by Mináry et al.,<sup>16</sup> and very recently by Lindbo and Tornberg.<sup>17</sup> Derivation of the above equations based on knowledge of elementary calculus is doable, but we are not going to show this in the content of current work as excellent derivations have been done many times in the past. Instead, we emphasize that unlike the case of 3D periodicity, Ewald2D expressions in eqs 5–8 are exact subject to neutrality conditions only irrespective of the shape of the system boundary at infinity. For a neutral system with 2D periodicity, the convergence of the series in eq 1 does not depend on how the 2D summation vector  $\mathbf{n}$  approaches infinity. On the contrary, for a neutral system with 3D periodicity, the value of the series in eq 1 depends on the behavior that the 3D summation vector approaches infinity.

Calculation of the Fourier part sum using eq 6 requires evaluation of pairs  $i, j$  and is thus of  $O(N^2)$  complexity. Efficient methods starting from eq 8 have been developed to introduce significant reduction of computational cost.<sup>13,17</sup> Alternative expression at the computational cost of  $O(N)$  using a power-series expansion for the term  $\mathcal{U}_F^0$  has been given by Mináry et al.<sup>16</sup> In the next section, we will show how one can rewrite the expression for  $\mathcal{U}_F^0$  to achieve a natural expansion which requires only computational cost of  $O(N)$  when the system is extended along the periodic  $x$  and  $y$  directions.

Although eq 8 carries a computational cost of  $O(N)$  for the sum over the total number of the charges, the computational cost in total from a straightforward implementation of eq 8 is not rigorously  $O(N)$ . In a typical case of extending the system in the periodic  $x$  and  $y$  directions, the computation cost for the

sum over the vector  $\mathbf{h}$  grows as the product of  $L_x$  and  $L_y$  and thus of  $O(N)$  itself at a required accuracy. The overall computational complexity of eq 8 is thus  $O(N^2)$ . Excellent developments analogous to the well established 3D PME method using grid interpolation and FFT treatment have been done recently by Lindbo and Tornberg.<sup>17</sup> The current work will not aim at providing a competitive efficient algorithm for the  $\mathcal{U}_F^h$  term but to analyze analytically the error bounds when approximating the Fourier integral. As discussed previously,<sup>17</sup> implementation of particle mesh techniques to the Fourier integral part of the Ewald2D expression will necessarily involve an approximation to the Fourier integral. Our derivation of the error bounds will show how the up limit of the accuracy is determined by the combination of appropriate parameters.

### 3. ALTERNATIVE EXPRESSION OF $\mathcal{U}_F^0$

The equivalence between the two expressions for the term  $\mathcal{U}_F^h$  in eq 8 and eq 6 was known from the mathematical identity (see mathematical book<sup>26</sup>) as early as the 1970s:<sup>6,7</sup>

$$\begin{aligned} I^h(\omega, \nu) &= \frac{\pi}{2\omega} \left[ e^{\omega\nu} \text{erfc}\left(\omega + \frac{\nu}{2}\right) + e^{-\omega\nu} \text{erfc}\left(\omega - \frac{\nu}{2}\right) \right] \\ &= e^{-\omega^2} \int_{-\infty}^{\infty} dt \frac{e^{-t^2}}{\omega^2 + t^2} e^{it\nu} \end{aligned} \quad (9)$$

where for simplicity, we have used dimensionless quantity  $t = u/(2\alpha)$ ,  $\omega = h/(2\alpha)$ , and  $\nu = 2\alpha z_{ij}$ . In line with the limit of  $\omega \rightarrow 0$  in the above eq 9 with the divergence removed, we are able to write the following mathematical identity:

$$\begin{aligned} I^0(\nu) &= \pi \left[ \nu \text{erfc}\left(\frac{\nu}{2}\right) - \nu \right] - 2\sqrt{\pi} e^{-\nu^2/4} \\ &= \int_{-\infty}^{+\infty} dt \frac{e^{-t^2} e^{it\nu} - 1}{t^2} \end{aligned} \quad (10)$$

When numerically evaluating the integral, we often apply the usual trapezoidal approximation to the integral with infinity in upper and lower limits:

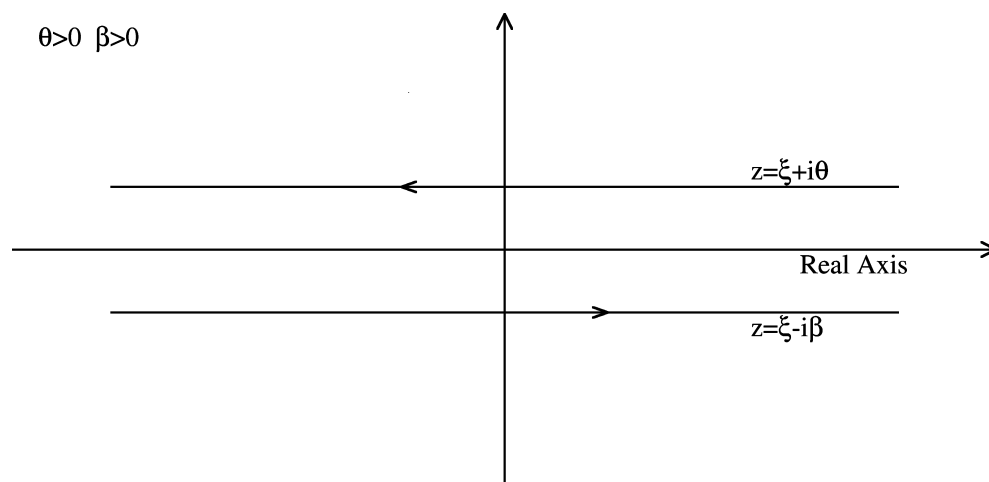
$$\begin{aligned} I &\equiv \int_{-\infty}^{\infty} dt g(t) \simeq \sum_{m=-\infty}^{\infty} \zeta g(m\zeta) = \zeta g(0) \\ &+ \sum_{m=1}^{\infty} \zeta [g(m\zeta) + g(-m\zeta)] \equiv S(\zeta) \end{aligned} \quad (11)$$

where an extra parameter of  $\zeta$  is used as a small mesh size. Therefore, the right-hand side of eq 10 can be evaluated approximately as

$$\begin{aligned} I^0(\nu) &\simeq S^0(\nu, \zeta) \\ &= -\zeta \left( 1 + \frac{\nu^2}{2} \right) + 2\zeta \sum_{m=1}^{\infty} \frac{e^{-(m\zeta)^2}}{(m\zeta)^2} \\ &\quad \cos(m\nu\zeta) - 2\zeta \sum_{m=1}^{\infty} \frac{1}{(m\zeta)^2} \end{aligned} \quad (12)$$

Note that we have computed the limit value for the  $m = 0$  term of the series in eq 11:

$$\lim_{m \rightarrow 0} \frac{e^{-(m\zeta)^2} e^{im\zeta\nu} - 1}{(m\zeta)^2} = -\left( 1 + \frac{\nu^2}{2} \right) \quad (13)$$



**Figure 2.** Illustration of the path when there is no pole in the complex plane for the integrand. Integration along the two straight lines gives the error term. See eqs 18 and 19.

Substituting  $\nu = 2\alpha z_{ij}$  in eqs 10, 11, and 12 and realizing the fact that  $\sum_{ij} q_i q_j z_{ij}^2$  is subject to the electroneutrality condition  $\sum_j q_j = 0$ , the formula of  $\mathcal{U}_F^0$  in eq 7 can now be rewritten as a form with the computational cost of  $O(N)$ :

$$\mathcal{U}_F^0 \simeq \frac{2\zeta\alpha}{L_x L_y} \left( \sum_j q_j z_j \right)^2 + \frac{\zeta}{\alpha L_x L_y} \sum_{m=1} \frac{e^{-(m\zeta)^2}}{(m\zeta)^2} \left| \sum_j q_j e^{i2maz_j\zeta} \right|^2 \quad (14)$$

where the approximation follows from the use of trapezoidal sum in eq 12. Evaluation of the term  $\mathcal{U}_F^0$  from the above eq 14 is a natural expansion of the Fourier integral to the charge density in the reciprocal space and might be superior to the previous development based on interpolation methods which do not contain any intrinsic information from the Fourier transform.

#### 4. ERROR BOUNDS FOR THE TRAPEZOIDAL APPROXIMATION

Using the trapezoidal sum to approximately evaluate the Fourier integrals  $\mathcal{U}_F^0$  and  $\mathcal{U}_F^h$  generates errors. In general, when the chosen mesh size  $\zeta$  is small enough, excellent accuracy can be achieved given that enough terms in the trapezoidal series are computed. However, one might always want to save computational cost by using relatively larger value of  $\zeta$  at a required accuracy of computation. It is still unknown how the accuracy of eq 14 is controlled by the choice of parameter  $\zeta$ . We now proceed to discuss in general how the setup of the mesh size  $\zeta$  affects the error due to the use of the trapezoidal approximation  $S(\zeta)$  to the integral  $I$  in eq 11. We start from a rigorous mathematic formula connecting an arbitrary integral to its trapezoidal sum which states that the definite integral over infinity in the real axis can be expressed rigorously as a combination of the trapezoidal sum, a correction term, and an error term:

$$I = \int_{-\infty}^{\infty} dt g(t) = S(\zeta) + C(\zeta) + E(\zeta) \quad (15)$$

where  $S(\zeta)$  is the trapezoidal sum as in eq 11. The error term  $E(\zeta)$  is expressed as an integration along a path in the complex plane:

$$E(\zeta) = \frac{1}{2\pi i} \oint_{\text{path}} dz \Psi(z) g(z) \quad (16)$$

where  $\Psi(z)$  is the characteristic function in the complex plane defined as

$$\Psi(z) = \frac{\mp 2\pi i}{1 - e^{\mp 2\pi i z / \zeta}} \quad \text{Im}(z) \gtrless 0 \quad (17)$$

The characteristic function  $\Psi(z)$  depends on the mesh size parameter  $\zeta$  but does not depend on the form of the integrand  $g(z)$ . Depending on the nature of the integrand  $g(z)$ , the correction term  $C(\zeta)$  could be zero or proportional to the residue of the complex function  $\Psi(z) g(z)$  at the singularity point of  $g(z)$ . Equation 16 expresses the difference between the integral and the corresponding trapezoidal form to the integral along a certain path in the complex plane. This powerful equation has been used to develop the double-exponential transform in the field of mathematics.<sup>27</sup> For the ease of reading this work, we provide a brief proof of the above important eqs 15–17 in Appendix 7.1. Note that the symbol  $z$  in these equations stands for the variable in the complex plane which should not be confused with the previous notation of  $z$  used as the variable of position or length. The error term  $E(\zeta)$  is the value of the integration along the contour and thus depends on both the form of the integrand and the choice of the path. When two infinite lines parallel to the real axis are chosen as the contour (see Figure 2) and the integrand in eq 10 has no pole in the interior formed by the contour, the correction term  $C(\zeta)$  is simply zero and the error term  $E(\zeta)$  is the integral along the two straight lines (see details in Appendix 7.1). We thus obtain an exact expression for the integral  $I^0(\nu)$ :

$$I^0(\nu) = S^0(\nu, \zeta) + E^0(\nu, \zeta) \quad (18)$$

where  $S^0(\nu, \zeta)$  is the expression in eq 12 and the error term is written as



$$E^0(\nu, \zeta) = \frac{1}{2\pi i} \int_{z=\xi+i\theta} dz \Psi(z) g^0(z) + \frac{1}{2\pi i} \int_{z=\xi-i\beta} dz \Psi(z) g^0(z) \quad (19)$$

and

$$g^0(z) = \frac{e^{-z^2} e^{iz\nu} - 1}{z^2} \quad (20)$$

We now directly manipulate the complex integral such that an appropriate error bound  $\delta(\nu, \zeta)$  exists:

$$|E^0(\nu, \zeta)| \leq \delta(\nu, \zeta) \quad (21)$$

Because one can always numerically determine the difference at  $\nu = 0$  between the trapezoidal sum  $S^0(\nu, \zeta)$  and  $I^0(\nu)$  evaluated as in eq 10, it is much simpler but enough to evaluate the integration over the major part of  $g^0(z)$  which is:

$$g_m^0(z) = \frac{e^{-z^2} e^{iz\nu}}{z^2} \quad (22)$$

Each of the integrations along the two straight lines in Figure 2 have their own up limit:

$$\begin{aligned} \left| \frac{1}{2\pi i} \int_{z=\xi+i\theta} dz \Psi(z) g_m^0(z) \right| &< \delta^u(\nu, \zeta, \theta) \\ &= \frac{\sqrt{\pi} e^{[\theta - (\pi/\zeta + \nu/2)]^2}}{\theta^2 (1 - e^{-2\pi\theta/\zeta})} e^{-(\pi/\zeta + \nu/2)^2} \end{aligned} \quad (23)$$

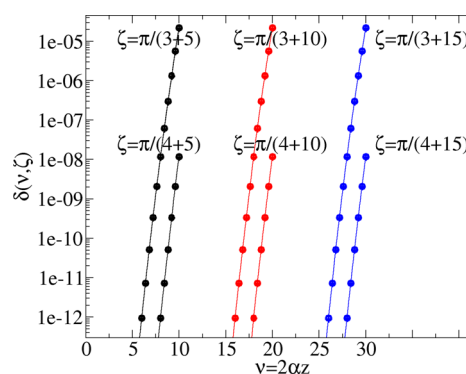
and

$$\begin{aligned} \left| \frac{1}{2\pi i} \int_{z=\xi-i\beta} dz \Psi(z) g_m^0(z) \right| &< \delta^d(\nu, \zeta, \beta) \\ &= \frac{\sqrt{\pi} e^{[\beta - (\pi/\zeta - \nu/2)]^2}}{\beta^2 (1 - e^{-2\pi\beta/\zeta})} e^{-(\pi/\zeta - \nu/2)^2} \end{aligned} \quad (24)$$

The right-hand sides of the above two equations have minimum values at  $\theta_0 \simeq \pi/\zeta + \nu/2$  and  $\beta_0 \simeq \pi/\zeta - \nu/2$ , respectively. The total error bound for the evaluation of  $I^0(\nu)$  using the trapezoidal approximation is simply the sum of the above two error bounds at their minima:

$$\delta(\nu, \zeta) = \delta^u(\nu, \zeta, \theta_0) + \delta^d(\nu, \zeta, \beta_0) \quad (25)$$

A proof of eqs 23 and 24 can be found in Appendix 7.2. Figure 3 shows direct calculations of the error (plus symbols) introduced when using trapezoidal approximation in eq 12 to the integral  $I^0(\nu)$  in eq 10 as well as the total error bound (circle symbols) as a function of the chosen parameter  $\nu$  for the largest  $\nu$  values taken to be 10, 20, and 30, respectively. The overlap between the errors and the error bounds shown in Figure 3 indicates that using eq 25 for the error bound is pretty rigorous. Because the error bound is a Gaussian function of  $\pi/\zeta - \nu/2$ , strong error control can be achieved by simply adjusting the value of  $\zeta$  when the system length scale  $z$  becomes large. When plotting in the logarithm scale, the Gaussian form of the error bounds behaves linearly as in Figure 3.



**Figure 3.** Analytical error bounds ( $\delta(\nu, \zeta)$ :  $\circ$ ) compared to the computed error ( $E^0(\nu, \zeta)$ :  $+$ ) at different values of  $\zeta$ . The exact value of  $I^0(\nu)$  is calculated from the expression with complementary error function in eq 10, and  $E^0(\nu, \zeta)$  is thus evaluated as  $E^0(\nu, \zeta) = I^0(\nu) - S^0(\nu, \zeta)$  (see eq 18). The plus symbols overlap with the circle symbols indicating that the evaluation of error bounds using eq 25 is almost exact. Note that when comparing the error and its error bound, we have removed a constant accounting for the difference at  $\nu = 0$ .

For the case of more complicated integral  $I^h(\omega, \nu)$  in eq 9, the integrand in the complex plane has two symmetric first order poles (simple poles) at points  $z = \pm i\omega$ :

$$g^h(z) = \frac{e^{-(\omega^2 + z^2)} e^{iz\nu}}{\omega^2 + z^2} \quad (26)$$

Now we proceed to see how we can choose appropriate contour lines such that the error term is minimized. Similar to the case of  $I^0(\nu)$ , the integral in eq 9 can be written as (see details in Appendix 7.2):

$$I^h(\omega, \nu) \equiv S^h(\omega, \nu, \zeta) + C^h(\omega, \nu, \zeta) + E^h(\omega, \nu, \zeta) \quad (27)$$

where the trapezoidal sum is

$$\begin{aligned} S^h(\omega, \nu, \zeta) &= \zeta e^{-\omega^2} \left[ \frac{1}{\omega^2} + \sum_{m=1}^{\infty} \frac{2e^{-(m\zeta)^2} \cos(m\zeta\nu)}{\omega^2 + (m\zeta)^2} \right] \\ &= \zeta e^{-\omega^2} \sum_{m=-\infty}^{\infty} \frac{e^{-(m\zeta)^2} e^{im\zeta\nu}}{\omega^2 + (m\zeta)^2} \end{aligned} \quad (28)$$

and the error term is an integration along the two straight lines shown in Figure 4:

$$E^h(\omega, \nu, \zeta) = \frac{1}{2\pi i} \int_{z=\xi+i\theta} dz \Psi(z) g^h(z) + \frac{1}{2\pi i} \int_{z=\xi-i\beta} dz \Psi(z) g^h(z) \quad (29)$$

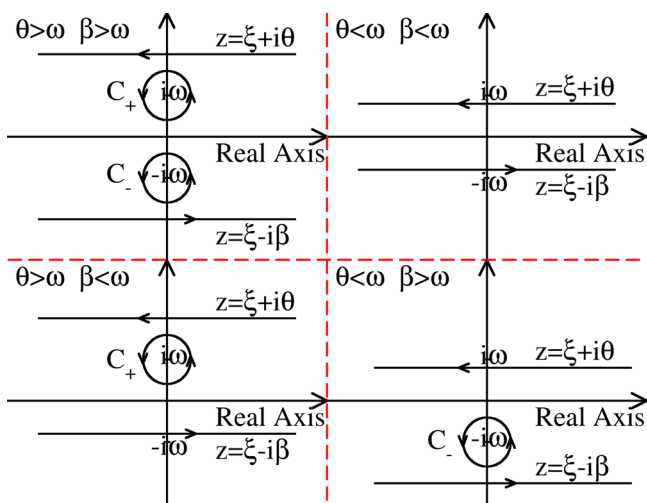
The correction term is related to the negative residue of the pole in the complex plane. According to residue theorem, we have

$$\frac{-1}{2\pi i} \oint_{C_+} dz \Psi(z) g^h(z) = \frac{\pi}{\omega} \frac{e^{-\omega\nu}}{1 - e^{2\pi\omega/\zeta}} \quad (30)$$

and

$$\frac{-1}{2\pi i} \oint_{C_-} dz \Psi(z) g^h(z) = \frac{\pi}{\omega} \frac{e^{\omega\nu}}{1 - e^{2\pi\omega/\zeta}} \quad (31)$$

When either pole is inside the interior formed by the two straight lines, its corresponding residue will be included in the



**Figure 4.** Illustration of the path when there are two simple poles ( $\pm i\omega$ ) for the integrand  $g^h(z)$ . The expression of the correction term  $C^h(\omega, \nu, \zeta)$  depends on the relative position of  $\omega$  to  $\theta$  or  $\beta$ . When the pole is inside the interior formed by the two straight lines, the corresponding integral around the pole (residue) is then included in the correction term. When the pole is outside the region, there is no need to perform the integral along the contour ( $C_+$  or  $C_-$ ).

correction term  $C^h(\omega, \nu, \zeta)$ . Otherwise, the pole makes no contribution to the correction term. For example, in the cases of  $\theta > \omega$  and  $\beta > \omega$ , both poles are inside the region (see the top-left part of Figure 4). A rigorous expression for the correction term is written as

$$C^h(\omega, \nu, \zeta) = \frac{\pi}{\omega} \frac{e^{-\omega\nu}}{1 - e^{2\pi\omega/\zeta}} + \frac{\pi}{\omega} \frac{e^{\omega\nu}}{1 - e^{2\pi\omega/\zeta}} \quad (32)$$

When both poles are outside the two straight lines ( $\theta < \omega$  and  $\beta < \omega$ , see the top-right part of Figure 4), the correction term  $C^h(\omega, \nu, \zeta)$  is thus simply zero.

To get the error bound for the error term  $E^h(\omega, \nu, \zeta)$  such that

$$|E^h(\omega, \nu, \zeta)| \leq \varepsilon(\omega, \nu, \zeta) \quad (33)$$

we further find that (see details in Appendix 7.2):

$$\begin{aligned} & \left| \frac{1}{2\pi i} \int_{z=\xi+i\theta} dz \Psi(z) g^h(z) \right| \\ & < \varepsilon^u(\omega, \nu, \zeta, \theta) \\ & = \frac{e^{-\omega^2} \sqrt{\pi} e^{[\theta - (\pi/\zeta + \nu/2)]^2}}{|\theta^2 - \omega^2| (1 - e^{-2\pi\theta/\zeta})} e^{-(\pi/\zeta + \nu/2)^2} \end{aligned} \quad (34)$$

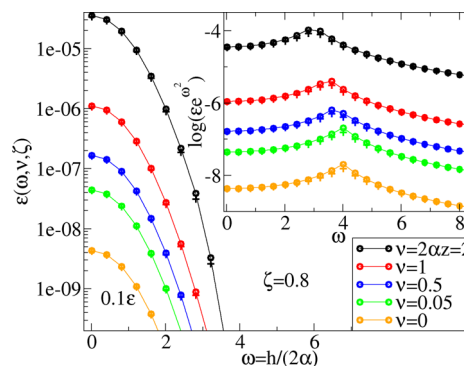
and

$$\begin{aligned} & \left| \frac{1}{2\pi i} \int_{z=\xi-i\beta} dz \Psi(z) g^h(z) \right| \\ & < \varepsilon^d(\omega, \nu, \zeta, \beta) \\ & = \frac{e^{-\omega^2} \sqrt{\pi} e^{[\beta - (\pi/\zeta - \nu/2)]^2}}{|\beta^2 - \omega^2| (1 - e^{-2\pi\beta/\zeta})} e^{-(\pi/\zeta - \nu/2)^2} \end{aligned} \quad (35)$$

Assuming  $\theta = \theta_0$  and  $\beta = \beta_0$  are to minimize the right-hand side of eqs 34 and 35, respectively, the total error bound is taken to be the sum of the minima:

$$\varepsilon(\omega, \nu, \zeta) = \varepsilon^u(\omega, \nu, \zeta, \theta_0) + \varepsilon^d(\omega, \nu, \zeta, \beta_0) \quad (36)$$

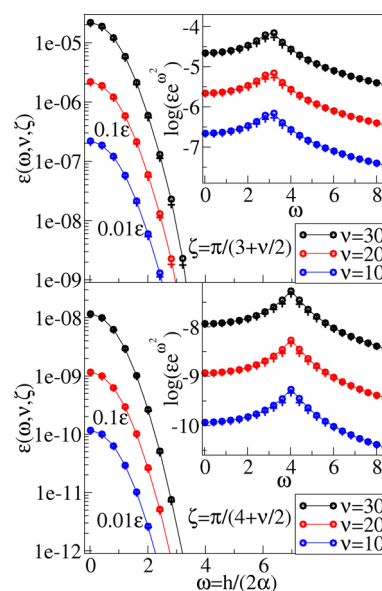
A comparison between  $\varepsilon(\omega, \nu, \zeta)$  and  $E^h(\omega, \nu, \zeta)$  at given mesh size  $\zeta = 0.8$  is shown as circle symbols and plus symbols, respectively, in Figure 5. Clearly, the error bounds are always in



**Figure 5.** Error bounds ( $\varepsilon(\omega, \nu, \zeta)$ : ○) compared to the computed error ( $E^h(\omega, \nu, \zeta)$ : +) as a function of  $\omega$  for a given value of  $\zeta = 0.8$  and a series of values of  $\nu$ . Insets show the same data but multiplied by a factor of  $e^{\omega^2}$ . The line for  $\nu = 0$  is very close to the line at  $\nu = 0.05$  and is thus multiplied by 0.1 for easy view. See eq 36 for the evaluation of error bounds and eqs 9 and 27 for the numerical error of using the trapezoidal sum and the correction term to approximate the integral  $I^h(\omega, \nu)$ .

the same magnitude as the exact errors, indicating that eq 36 is rigorous when used to control the errors generated from the trapezoidal approximation.

Because the error bound is proportional to a Gaussian function of  $\pi/\zeta - \nu/2$ , adjusting the mesh size  $\zeta$  will dramatically change the accuracy. Figure 6 shows how the change of  $\zeta$  affects the value of the error bound  $\varepsilon(\omega, \nu, \zeta)$  with comparison to the exact error computed numerically.



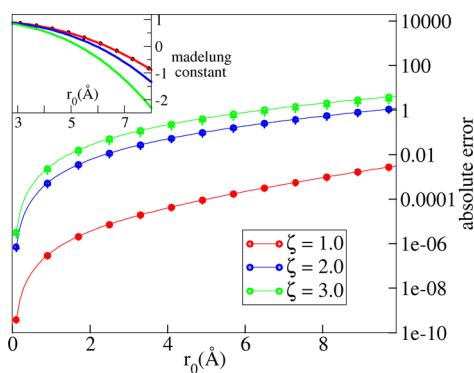
**Figure 6.** Same as in the Figure 5 but different values of  $\zeta$ :  $\pi/\zeta - \nu/2 = 3$  (top) and  $\pi/\zeta - \nu/2 = 4$  (bottom). Insets show the same data but multiplied by a factor of  $e^{\omega^2}$ . For easier view,  $\varepsilon$  values at  $\nu = 20$  and  $\nu = 10$  have been multiplied by 0.1 and 0.01, respectively. Be aware that the error is shrunk by 3 orders of magnitude when  $\zeta$  becomes slightly smaller.

Clearly for both cases of Fourier integrals  $\mathcal{U}_F^h$  and  $\mathcal{U}_F^0$ , we have shown that numerical analysis of the errors generated from trapezoidal approximation to  $I^h(\omega, \nu)$  and  $I^0(\nu)$  supports our rigorous analytical error bounds of Gaussian functions of  $\pi/\zeta - \nu/2$ .  $\mathcal{U}_F^h$  and  $\mathcal{U}_F^0$  are combinations of pairs of products of charges and thus much more complicated. However, the error control through the Gaussian decay function should still work well. In a word, we have started from the integral expression eq 8 and analytically proved that the error bounds generated from the trapezoidal approximation to eq 8 and eq 7 scale as Gaussian functions of the appropriate combination of system parameters and can thus be well controlled.

To check the validity of the present formula of the error bounds in a realistic example, we compute the Madelung constants in model ionic crystals. The Madelung constant of the  $i$ th charge in the slab geometry ( $M_i^s$ ) is defined as the total electrostatic potential felt by the  $i$ th particle in the infinite 2D periodic system normalized to the electrostatic potential between the nearest neighbor pair of charges:

$$M_i^s = \left[ \sum_{\mathbf{n}}' \left( \sum_{i=1}^N \sum_{j=1}^N \frac{q_i q_j}{|\mathbf{n} + \mathbf{r}_{ij}|} \right) \right] \frac{r_0}{q_1 q_2} \quad (37)$$

where  $r_0$  is the distance between the nearest neighbor charges  $q_1$  and  $q_2$ . The prime indicates that the  $i = j$  term is omitted in case of  $n_x = n_y = 0$ . Notations in the above equation are the same as in eq 1. We set up a simple toy model consisting of one cation and one anion both with unit charge and located at the  $z$  axis. The unit cell lengths in  $x$  and  $y$  directions are chosen as  $L_x = L_y = 10$  Å. Figure 7 compares the computed error and the



**Figure 7.** Error bounds (○) compared to the computed errors (+) for the evaluation of Madelung constants in a series of model ionic crystals in slab geometry. Inset shows the values of Madelung constants computed at the given parameters  $\zeta$  with the exact values shown as black circles. The screening factor  $\alpha$  is set to  $0.1 \text{ Å}^{-1}$ . See eqs 25, 36, and 37.

error bounds as well as the evaluation of the Madelung constant as a function of the lattice constant ( $r_0$ ). Clearly, the analytical formula of the error bounds work for an arbitrary range of accuracy control (up to machine accuracy) for the computation of Madelung constants of the model crystals.

## 5. THE BOUNDARY CORRECTION AND ELECTROSTATIC LAYER CORRECTION TERMS

The above derivation followed the Fourier integral expressions and then employed trapezoidal approximation. Alternative methodologies relating the 2D electrostatics to the well-known

Ewald expression for 3D periodicity (Ewald3D) have been developed and widely used.<sup>11,14</sup> In their development, the nonperiodic  $z$  dimension is extended to periodicity with a chosen unit length of  $L_z$  (see Figure 1). To correct the effect of modified boundary condition and added extra layers in the  $z$  direction, two terms are added to the usual Ewald3D expression. One is the boundary correction term (BC) in the Ewald3Dc method,<sup>11</sup> and the other is the electrostatic layer correction (ELC) term<sup>15</sup> (see Figure 1). Using our notation, the BC and ELC terms (see eq 10 of ref 11 and eq 11 of ref 15) are written as

$$U_{\text{BC}} = \frac{2\pi}{L_x L_y L_z} \left| \sum_{j=1}^N q_j z_j \right|^2 \quad (38)$$

and

$$U_{\text{ELC}} = \frac{2\pi}{L_x L_y} \sum_{i,j} q_i q_j \sum_{h \neq 0} \frac{e^{i\mathbf{h} \cdot \mathbf{r}_{ij}} \cosh(hz_{ij})}{h (1 - e^{hL_z})} \quad (39)$$

respectively. We now proceed to see how our rigorous development is related to the previous physical intuitions. Combining eqs 8, 9, 27, 28, and 32, the major part including the trapezoidal term and the correction terms of the Fourier integral  $\mathcal{U}_F^h$  is written as

$$\begin{aligned} U_F^h &= \frac{1}{2\alpha L_x L_y} \sum_{i,j} q_i q_j \sum_{h \neq 0} e^{i\mathbf{h} \cdot \mathbf{r}_{ij}} I^h(\omega, \nu) \\ &\simeq \frac{1}{2\alpha L_x L_y} \sum_{i,j} q_i q_j \sum_{h \neq 0} e^{i\mathbf{h} \cdot \mathbf{r}_{ij}} \\ &\quad (S^h(\omega, \nu, \zeta) + C^h(\omega, \nu, \zeta)) \\ &= \frac{\zeta}{2\alpha L_x L_y} \sum_m \sum_{h \neq 0} \frac{e^{-(m\zeta)^2} e^{-h^2/(4\alpha^2)}}{(m\zeta)^2 + h^2/(4\alpha^2)} \\ &\quad \left| \sum_j q_j e^{i(\mathbf{h} \cdot \mathbf{r}_j + 2m\alpha\zeta z_j)} \right|^2 + \frac{2\pi}{L_x L_y} \\ &\quad \sum_{i,j} \sum_{h \neq 0} \frac{q_i q_j}{h} \frac{\cosh(hz_{ij})}{1 - e^{\pi h/(\alpha\zeta)}} e^{i\mathbf{h} \cdot \mathbf{r}_{ij}} \end{aligned} \quad (40)$$

where we have considered the correction term corresponding to the top-left part of Figure 4. Similarly, we have written the major part of the Fourier integral  $\mathcal{U}_F^0$  as in eq 14:

$$\begin{aligned} U_F^0 &\simeq \frac{2\zeta\alpha}{L_x L_y} \left( \sum_j q_j z_j \right)^2 + \frac{\zeta}{\alpha L_x L_y} \\ &\quad \sum_{m=1} \frac{e^{-(m\zeta)^2}}{(m\zeta)^2} \left| \sum_j q_j e^{i2m\alpha\zeta z_j} \right|^2 \end{aligned} \quad (41)$$

The error introduced by the trapezoidal approximation has been discussed as combinations of Gaussian functions. Let us set the dimensionless mesh size  $\zeta = \pi/(\alpha L_z)$  and define a Fourier space vector  $\mathbf{k} = 2\pi(h_x/L_x, h_y/L_y, m/L_z)$ ; we have

$$U_F^h + U_F^0 \simeq \frac{2\pi}{L_x L_y L_z} \sum_{k \neq 0} \frac{e^{-k^2/(4\alpha^2)}}{k^2} \left| \sum_j q_j e^{ik \cdot r_j} \right|^2 + \frac{2\pi}{L_x L_y L_z} \left( \sum_j q_j z_j \right)^2 + \frac{2\pi}{L_x L_y} \sum_{i,j} q_i q_j \sum_{h \neq 0} \left[ \frac{e^{ih \cdot r_{ij}} \cosh(hz_{ij})}{h} \frac{1}{1 - e^{hL_z}} \right] \quad (42)$$

where the first, second, and third terms on the right-hand side of eq 42 are the Fourier part of the regular Ewald3D expression, the BC term (see eq 38), and the ELC term (see eq 39), respectively. Therefore, in connection with the previous developments, our analytical formulation rigorously shows that both ELC<sup>15</sup> and BC<sup>11</sup> terms naturally arise from the trapezoidal expressions to the Fourier integral terms  $\mathcal{U}_F^h$  and  $\mathcal{U}_F^0$ , respectively.

## 6. CONCLUSION

We have systematically described how the error of using trapezoidal approximation to the Fourier integrals in Ewald2D expression can be controlled through Gaussian functions of the appropriate parameters. Our analytical derivation illustrated the intrinsic relation between the previous developed Ewald3DC/EwaldELC methods and the methodologies of using trapezoidal sum to Fourier integrals. The formulation of the singularity term in the Fourier integral as a natural expansion to charge densities in the reciprocal space might be useful to replace the previous interpolation methods.

## 7. APPENDIX

### 7.1. Exact Correction to the Trapezoidal Approximation of an Integral

In this appendix, we prove a rigorous formula to write the integral in eq 11 as a sum of the trapezoidal term  $S(\zeta)$ , the correction term  $C(\zeta)$ , and the error term  $E(\zeta)$ :

$$I \equiv \int_{-\infty}^{\infty} dt g(t) \equiv S(\zeta) + C(\zeta) + E(\zeta) \quad (A1)$$

where the error term  $E(\zeta)$  is written as a contour integral over the integrand  $\Psi(z) g(z)$ :

$$E(\zeta) = \oint_C dz \Psi(z) g(z) \quad (A2)$$

and the trapezoidal sum  $S(\zeta)$  is written as in eq 11:

$$S(\zeta) = \sum_{n=-\infty}^{\infty} \zeta g(n\zeta) \quad (A3)$$

Let's first take a look at the case  $g(z)$  that has no poles in the complex plane and  $C(\zeta) = 0$ . Using Cauchy's residue theorem and choosing a path  $C$  such that all real numbers in the real axis are included in its interior, the left hand side of eq A1 can be written as

$$I = \int_{-\infty}^{\infty} dt \left[ \frac{1}{2\pi i} \oint_C dz g(z) \frac{1}{z-t} \right] = \frac{1}{2\pi i} \int_C dz g(z) \int_{-\infty}^{\infty} dt \frac{1}{z-t} \quad (A4)$$

where we have assumed the validity of exchange of integration order. Similarly, we write the trapezoidal term as follows:

$$S(\zeta) = \sum_{n=-\infty}^{\infty} \left[ \frac{1}{2\pi i} \oint_C dz g(z) \frac{\zeta}{z-n\zeta} \right] = \frac{1}{2\pi i} \oint_C dz g(z) \sum_{n=-\infty}^{\infty} \frac{\zeta}{z-n\zeta} = \frac{1}{2\pi i} \oint_C dz g(z) \pi \cot(\pi z/\zeta) \quad (A5)$$

We have used a mathematical identity called Euler's partial fraction expression of the cotangent function:

$$\pi \cot(\pi x) \equiv \frac{1}{x} + \sum_{n=1}^{\infty} \left( \frac{1}{x+n} + \frac{1}{x-n} \right) = \sum_{n=-\infty}^{\infty} \frac{1}{x-n} \quad (A6)$$

Recalling another mathematical identity:

$$\int_{-\infty}^{\infty} dt \frac{1}{z-t} = \begin{cases} -\pi i & \text{Im}(z) > 0 \\ \pi i & \text{Im}(z) < 0 \end{cases} \quad (A7)$$

and subtracting  $S(\zeta)$  from  $I$ , we have

$$E(\zeta) = I - S(\zeta) = \frac{1}{2\pi i} \oint_C dz g(z) \Psi(z) \quad (A8)$$

where

$$\Psi(z) = \frac{\mp 2\pi i}{1 - e^{\mp 2\pi i z/\zeta}} \quad \text{Im}(z) \gtrless 0 \quad (A9)$$

which is eq 17 in the main text. Direct evaluation of eq A8 along the two straight lines in Figure 2 will yield an error bound for the trapezoidal approximation to the integral. If there is a pole  $z = i\omega$  on the positive imaginary axis for the integrand  $g(z)$  as shown in the bottom-left part of Figure 4, we choose the contour line to be  $C - C_+$  instead of  $C$ . Following steps from eqs A4–A8, we have

$$E(\zeta) + C(\zeta) = I - S(\zeta) = \frac{1}{2\pi i} \oint_{C-C_+} dz g(z) \Psi(z) = \frac{1}{2\pi i} \oint_C dz g(z) \Psi(z) - \frac{1}{2\pi i} \oint_{C_+} dz g(z) \Psi(z) = E(\zeta) - \text{Res}[\Psi(z) g(z), i\omega] \quad (A10)$$

where  $\text{Res}[\Psi(z) g(z), i\omega]$  denotes the residue of  $\Psi(z) g(z)$  at the point  $z = i\omega$ . When

$$g(z) = \frac{e^{-(\omega^2+z^2)} e^{iz\nu}}{\omega^2 + z^2} \quad (A11)$$

by choosing the contour as in the bottom-left part of Figure 4, we obtain the expression for the correction term:

$$C(\zeta) = -\text{Res}[\Psi(z) g(z), i\omega] = \frac{\pi}{\omega} \frac{e^{-\omega\nu}}{1 - e^{2\pi\omega/\zeta}} \quad (A12)$$

which is eq 30 in the main text.

### 7.2. Error Bounds for the Trapezoidal Approximation to the Integral

In this appendix, we discuss how to obtain eqs 23 and 24 and eqs 34 and 35. Because the major part of  $g^0(z)$  in eq 20 is the limit of  $\omega \rightarrow 0$  of  $g^h(z)$  in eq 26, it is enough to consider the general case in eqs 34 and 35. We first consider the error bound in eq 34:



$$E^u = \left| \frac{1}{2\pi i} \int_{z=\xi+i\theta} dz \Psi(z) g^h(z) \right| \quad (\text{B1})$$

Substituting  $z = \xi + i\theta$ ,  $\Psi(z)$  of eq 17, and  $g^h(z)$  of eq 26, the left hand side of eq 34 can be evaluated as

$$\begin{aligned} E^u &= \left| \int_{-\infty}^{\infty} d\xi \frac{e^{-(\omega^2 + (\xi + i\theta)^2) + i(\xi + i\theta)\nu}}{(1 - e^{2\pi(\theta - i\xi)/\zeta})(\omega^2 + (\xi + i\theta)^2)} \right| \\ &\leq \int_{-\infty}^{\infty} d\xi \frac{e^{-(\omega^2 + \xi^2)} e^{\theta^2 - \theta\nu} |e^{i(\xi\nu - 2\xi\theta)}|}{|1 - e^{2\pi(\theta - i\xi)/\zeta}| |\omega^2 + (\xi + i\theta)^2|} \\ &= \int_{-\infty}^{\infty} d\xi \frac{e^{-(\omega^2 + \xi^2)} e^{\theta^2 - \theta\nu}}{|1 - e^{2\pi(\theta - i\xi)/\zeta}| |\omega^2 + (\xi + i\theta)^2|} \\ &< \int_{-\infty}^{\infty} d\xi \frac{e^{-(\omega^2 + \xi^2)} e^{\theta^2 - \theta\nu}}{|1 - e^{2\pi(\theta - i\xi)/\zeta}| |\theta^2 - \omega^2|} \\ &< \int_{-\infty}^{\infty} d\xi \frac{e^{-(\omega^2 + \xi^2)} e^{\theta^2 - \theta\nu}}{(e^{2\pi\theta/\zeta} - 1) |\theta^2 - \omega^2|} \\ &= \frac{e^{-\omega^2} e^{(\theta - (\pi/\zeta + \nu/2))^2} e^{-(\pi/\zeta + \nu/2)^2}}{|\theta^2 - \omega^2| (1 - e^{-2\pi\theta/\zeta})} \int_{-\infty}^{\infty} d\xi e^{-\xi^2} \\ &= \frac{e^{-\omega^2} \sqrt{\pi} e^{(\theta - (\pi/\zeta + \nu/2))^2} e^{-(\pi/\zeta + \nu/2)^2}}{|\theta^2 - \omega^2| (1 - e^{-2\pi\theta/\zeta})} \quad (\text{B2}) \end{aligned}$$

which is the right hand side of eq 34. Following the detailed steps in eq 2 for the case of

$$E^d = \left| \frac{1}{2\pi i} \int_{z=\xi-i\theta} dz \Psi(z) g^h(z) \right| \quad (\text{B3})$$

we are able to easily prove eq 35. By taking the limit of  $\omega \rightarrow 0$  in eqs 34 and 35, we then obtain eqs 23 and 24.

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### Notes

The authors declare no competing financial interest.

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