# Analysis of O<sub>2</sub> Adsorption on Binary-Alloy Clusters of Gold: Energetics and Correlations

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We report a B3LYP density-functional theory (DFT) analysis of  $O_2$  adsorption on 27  $Au_nM_m$  (m, n = 0-3) and m + n = 2 or 3; M = Cu, Ag, Pd, Pt, and Na) clusters. The LANL2DZ pseudopotential and corresponding double- $\zeta$  basis set was used for heavy atoms, while a 6-311+G(3df) basis set was used for Na and O. We employed basis-set superposition error (BSSE) corrections in the electronic adsorption energies at 0 K ( $\Delta E_{ads}$ ) and also calculated adsorption thermodynamics at standard conditions (298.15 K and 1 atm), i.e., internal energy of adsorption ( $\Delta U_{ads}$ ) and Gibbs free energy of adsorption ( $\Delta G_{ads}$ ). Natural Bond Orbital (NBO) analysis showed that all the clusters donated electron density to adsorbed O2 and we successfully predicted intuitive linear correlations between the NBO charge on adsorbed O<sub>2</sub>, O-O bond length, and O-O stretching frequency. Although there was no clear trend in the  $O_2$  binding energy (BE =  $-\Delta E_{ads}$ ) on pure and alloy dimers, we found the following interesting trend for trimers: BE  $(MAu_2) \le BE (M_3) \le BE (M_2Au)$ . The alloy trimers containing only one Au atom are most reactive toward O<sub>2</sub> while those with two Au atoms are least reactive. These trends are discussed in the context of the ensemble effect and coulomb interactions. We found an approximate linear correlation between the O<sub>2</sub> BE and charge transfer to O<sub>2</sub> for all 27 clusters. The clusters having strongly electropositive Na atoms (e.g., Na<sub>3</sub> and Na<sub>2</sub>Au) donated almost one full electron to adsorbed O<sub>2</sub>, and the BE is maximum on these clusters. Although O<sub>2</sub> dissociation is likely in such cases, we have restricted this study to trends in the adsorption of molecular O<sub>2</sub> only. We also found an approximate linear correlation between the charge transfer and BE versus energy difference between the bare-cluster HOMO and O<sub>2</sub> LUMOs, which we speculate to be a fundamental descriptor of the reactivity of small clusters toward O<sub>2</sub>. Part of the scatter in these correlations is attributed to the differences in the O<sub>2</sub> binding orientations on different clusters (geometric effect). Relatively higher bare-cluster HOMO energy eases the charge transfer to adsorbed O<sub>2</sub> and enhances the reactivity toward O<sub>2</sub>. The Frontier Orbital Picture (FOP) is not always useful in predicting the most favorable O<sub>2</sub> binding site on clusters. It successfully predicted the cluster—O<sub>2</sub> ground-state configurations for 10 clusters, but failed for the others. Finally, the energetics of fragmentation suggest that the bare and O<sub>2</sub>-covered clusters reported here are stable.

#### 1. Introduction

In the past decade, gold-based catalysts have captured scientific attention due to the dramatic catalytic activity exhibited by nanoscale (<5 nm) Au particles supported on various oxides such as TiO<sub>2</sub>, SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, and CeO<sub>2</sub>, which catalyze important reactions such as low-temperature CO oxidation, <sup>1-6</sup> direct propylene epoxidation with H<sub>2</sub>/O<sub>2</sub>, <sup>7-18</sup> and the water-gas shift reaction. <sup>19,20</sup> Although bulk gold is least reactive toward important molecules such as H<sub>2</sub>, <sup>21</sup> nanogold-based materials can activate a variety of reactant molecules of industrial and academic interest such as O<sub>2</sub>, CO, H<sub>2</sub>, and alkenes.

Gates and co-workers,<sup>22</sup> based on lack of Au—Au contribution in the EXAFS spectra, reported that the complexes containing cationic single Au atoms supported on NaY zeolite are active in CO oxidation at 298 K. Goodman and co-workers<sup>23</sup> reported that nanometer-sized Au islands on TiO<sub>2</sub> with two layers of gold are most effective for CO oxidation. They attributed the structure-sensitivity of Au-based CO oxidation catalysts to quantum-size effects related to the thickness of Au islands. Some researchers believe that unusually high catalytic activity of highly dispersed Au particles may be in part due to high step densities on small particles and/or strain effects at the cluster—

support interface.<sup>24</sup> Electronic interactions with oxide supports can cause charging of Au clusters<sup>25,26</sup> and such charged clusters could also be active in selective oxidation reactions such as CO oxidation and/or propylene epoxidation. In short, there are several proposals to describe the activity of Au-based catalysts and there is no consensus in the literature on which of these effects governs the catalytic activity of supported Au clusters in different reactions or under different conditions. Therefore, there is still a need for experimental and/or theoretical studies aimed at understanding the reactivity of gold nanoparticles—from clusters with few atoms to nanometer-sized particles with hundreds of atoms—and improving the catalytic activity of existing gold-based catalysts.

Although significant advances have already been made through experiments on real catalysts containing oxide-supported Au particles, due to the complexity of materials such as Au/  $TiO_2$  and/or Au/TS-1, it is hard to separate the effects of several important parameters affecting the catalytic activity such as catalyst synthesis variables and pretreatments, known or unknown promoters, Au-particle size-effects, and support-effects. Also, supported Au clusters containing only a few atoms cannot be routinely investigated due to difficulties in experimentally producing, probing, and characterizing such tiny clusters. For direct propylene epoxidation with  $H_2$  and  $O_2$  over  $Au/TiO_2$  catalysts, Haruta and co-workers reported that  $\sim 2-5$  nm Au

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particles are active in the formation of propylene oxide while smaller particles form propane. There is indirect experimental evidence, however, that small few-atom Au clusters likely to be located inside the TS-1 pores (diameter  $\sim\!5.5\,$  Å) are potentially active in direct propylene epoxidation with  $H_2$  and  $O_2.^{27}$  Although the geometry and reactivity of supported Au clusters may differ from that of gas-phase clusters, a first step in gaining fundamental insights into Au-based catalysis is to understand the chemistry of the bare (unsupported) Au clusters in the gas phase. This paper focuses on the interaction of  $O_2$  with such small gas-phase clusters since activation of  $O_2$  is a key step in both CO oxidation and propylene epoxidation.

Experimental investigation of the interaction of gas-phase  $\mathrm{Au}_n^-$  (anion) clusters with  $\mathrm{O}_2$  indicated a marked pattern of even—odd reactivity for  $n \leq 20^{.28-31}$  The even-numbered anion clusters adsorb one molecule of  $\mathrm{O}_2$  per cluster, while the odd-numbered anions show extremely small or zero reactivity. Mills et al.  $^{32}$  studied interaction of  $\mathrm{O}_2$  with neutral and anionic clusters  $\mathrm{Au}_n$  (n = 2-5) computationally and found that  $\mathrm{O}_2$  binds more strongly to clusters having an odd number of electrons than to those with an even number of electrons. Okumara et al.  $^{33}$  used hybrid density-functional (B3LYP) with LANL2DZ pseudopotential to study binding of  $\mathrm{O}_2$  on  $\mathrm{Au}_1$  and  $\mathrm{Au}_{13}$ . On the basis of the Mulliken charge analysis, transfer of some electron density from clusters to adsorbed  $\mathrm{O}_2$  was observed.

Since  $O_2$  exhibits high electron affinity, it is likely to accept appreciable electron density from Au clusters. Salisbury et al.<sup>30</sup> have explained that the mechanism of binding involves donation of electron density from anionic Au clusters to the  $1\pi_g^*$  molecular orbital of  $O_2$ . An even-numbered cluster anion (having an odd number of electrons) can lose one electron relatively easily, forming a closed shell configuration. Adsorbed  $O_2$  with an extra electron obtained from the Au cluster forms a superoxide-like species, which can polarize the Au cluster and hence further stabilize the cluster— $O_2$  complex. Since odd-numbered cluster anions already have closed shell configurations, electron density transfer to  $O_2$  is not energetically favorable. Consistent with these findings, Wells et al.<sup>34</sup> found that the Frontier Orbital Analysis can help in predicting the strongest binding site and orientation for  $O_2$  on anionic  $Au_{10}$ .

Since there is reasonable understanding of Au-O<sub>2</sub> interaction for small Au clusters, as a next step, we recently developed the reaction mechanisms for H<sub>2</sub>O<sub>2</sub> formation from H<sub>2</sub> and O<sub>2</sub><sup>35,36</sup> and direct propylene epoxidation that may occur on Au.<sup>37</sup> Hutchings and co-workers have experimentally produced H<sub>2</sub>O<sub>2</sub> in liquid phase at low temperature from H2 and O2 using Au, Pd, and Au-Pd alloy catalysts supported on various oxides such as Al<sub>2</sub>O<sub>3</sub>,<sup>38,39</sup> ZnO,<sup>38,39</sup> Fe<sub>2</sub>O<sub>3</sub>,<sup>40</sup> and TiO<sub>2</sub>.<sup>41</sup> They found the highest turn-over frequencies (TOF<sub>H2O2</sub>) on Au-Pd alloy catalysts. Goodman and co-workers reported on promotion of Pd catalysts by Au in ethylene acetoxylation to vinyl acetate.<sup>42</sup> Barteau and co-workers have reported increased ethylene oxide (EO) selectivity by alloying Ag catalysts with Cu. 43,44 These studies have generated significant interest in alloy catalysts for important reactions involving O<sub>2</sub>. Au-alloy particles in the nanometer-size range can be probed experimentally, as demonstrated by Hutchings and co-workers, who used High-Angle Annular Dark-Field (HAADF) electron microscopy to study the Au/Pd distribution in the alloy particles. 41 Although Greeley and Nørskov<sup>45</sup> have studied O<sub>2</sub> binding on hundreds of binary transition metal alloy surfaces, studies of the reactivity of small Au-alloy clusters toward O<sub>2</sub> are rather scarce.

In this paper, we report a DFT study of binary Au-alloy dimers and trimers containing Ag, Cu, Pd, Pt, and Na as alloy

TABLE 1: Comparison of the Calculated and Experimental  $Properties^a$ 

1		
molecule	predicted IP <sup>b</sup>	experimental IP
Au <sub>2</sub>	9.73	9.16 <sup>53</sup>
$Au_3$	7.27	$7.15^{53}$
$Ag_2$	7.73	$7.60^{54}$
$Ag_3$	5.80	$6.20^{54}$
$Cu_2$	7.94	$7.89^{55}$
$Cu_3$	5.75	5.7855
$Pd_2$	7.44	
$Pd_3$	7.53	
$Pt_2$	9.42	
$Pt_3$	8.10	
$Na_2$	5.14	$4.91^{56}$
$Na_3$	4.07	$3.98^{56}$
molecule	predicted EAc	experimental EA
$O_2$	0.49	$0.45^{57}$

<sup>a</sup> Reference for the experimental data is indicated as a superscript of the value. All the reported values are in electronvolts (eV) <sup>b</sup> IP stands for the ionization potential <sup>c</sup> EA stands for the electron affinity

atoms (Au<sub>n</sub>M<sub>m</sub>): m, n = 0-3 and m + n = 2 (for dimers) or 3 (for trimers); M = Cu, Ag, Pd, Pt, and Na. For comparison, we also investigated pure dimers and trimers of Au, Ag, Cu, Pd, Pt, and Na. We present the detailed thermochemistry of  $O_2$  adsorption and draw correlations between  $O_2$  binding energy (BE), charge transfer,  $O_2$  stretching frequency, and Highest Occupied Molecular Orbital (HOMO) energies of bare clusters for all 27 clusters. The focus is on  $O_2$  adsorption and activation and does not include  $O_2$  dissociation pathways.

#### 2. Computational Methods

Our electronic DFT calculations were conducted using the Gaussian 03 suite of programs. <sup>46</sup> We used the 1993 three-parameter hybrid-functional of Becke (B3LYP). <sup>47</sup> In B3LYP, the exchange term is described by the exchange-functional of Becke, the nonlocal correlation is described by the LYP expression, <sup>48</sup> and the local correlation is described by the VWN III functional. <sup>49</sup> We used the Los Alamos LANL2DZ effective core pseudopotential (ECP)<sup>50,51</sup> and the corresponding valence double- $\zeta$  basis set for Au, Ag, Cu, Pd, and Pt. The 6-311+G-(3df) basis set was employed for all electrons in O and Na. In what follows, we briefly discuss the rationale behind our choice of DFT functional, pseudopotential, and basis sets.

Due to computer time limitations, calculations including all electrons were out of consideration for Au, Ag, Cu, Pd, and Pt, and the obvious choice was to use an ECP. The LANL2DZ ECP was chosen particularly because of the advantage of enabling faster calculations with relatively little compromise on accuracy. In addition, literature reports on heavy elements have emphasized strong relativistic effects, and the LANL2DZ pseudopotential accounts for these effects.<sup>52</sup> To further support our choice of the DFT functional and the pseudopotential, we provide benchmark calculations in Table 1. Since the clusters donate electron density to adsorbed O2, we present DFT predictions of ionization potential (IP) of clusters and electron affinity (EA) of O2. All the predicted values are in good agreement with the corresponding experimental values.<sup>53–57</sup> Since the number of electrons change in the IP calculations, we point out that the errors in the predictions of IPs are likely to represent the maximum possible errors due to approximations in the exchange-correlation functional and should not be treated as errors in the energetics reported in this paper.

Oxygen poses special problems. Metiu and co-workers<sup>58</sup> have shown that compared to CCSD(T) calculations, the B3LYP-

TABLE 2: Calculated Data for Adsorption of O2 on Pure and Au-Alloy Dimers

cluster	M <sub>2</sub> spin-state	$E_{\text{LUMO-Cluster}}^{a}$	$E_{ m HOMO-Cluster}^{a}$	M <sub>2</sub> -O <sub>2</sub> spin-state	$BE^b$	$\mathrm{BE}_{\mathrm{BSSE}}^{b}$	O-O bond dist <sup>c</sup>	O-O freq <sup>d</sup>	$\Delta E_{ m ads,ZPE}^{b}$	$\Delta U_{ m ads}{}^b$	$T\Delta S_{\mathrm{ads}}{}^{b}$	$\Delta G_{ m ads}{}^b$
$Au_2$	singlet	-0.144	-0.264	triplet	2.83	1.52	1.21	1574	-2.38	-1.69	-6.44	4.15
AgAu	singlet	-0.117	-0.232	triplet	1.88	1.11	1.21	1579	-1.51	-0.75	-6.31	4.97
$Ag_2$	singlet	-0.097	-0.204	triplet	0.81	0.27	1.21	1565	-0.57	0.29	-4.82	4.52
CuAu	singlet	-0.110	-0.235	triplet	10.36	7.41	1.23	1432	-9.82	-9.32	-7.27	-2.65
$Cu_2$	singlet	-0.085	-0.205	triplet	7.82	4.56	1.25	1392	-7.47	-6.87	-6.00	-1.47
PdAu	doublet	-0.153	-0.232	doublet	6.61	5.54	1.23	1404	-5.98	-5.56	-7.56	1.41
$Pd_2$	triplet	-0.127	-0.193	triplet	26.33	24.31	1.30	1160	-25.55	-25.49	-8.85	-17.23
PtAu	doublet	-0.163	-0.237	doublet	22.80	20.27	1.27	1266	-21.93	-21.70	-8.45	-13.84
$Pt_2$	triplet	-0.157	-0.241	triplet	12.86	10.41	1.28	1257	-12.11	-11.87	-8.58	-3.89
NaAu	singlet	-0.073	-0.191	triplet	4.00	3.44	1.22	1442	-3.89	-3.08	-5.31	1.64
$Na_2$	singlet	-0.055	-0.130	triplet	31.92	30.85	1.33	1223	-31.39	-31.09	-6.81	-24.88

<sup>a</sup> In hartrees. <sup>b</sup> In kcal/mol. <sup>c</sup> In Å. <sup>d</sup> In cm<sup>-1</sup>.

based calculations over-predicted the O2 binding energy on Au2and Au<sub>3</sub> by 3.50 and 2.50 kcal/mol, respectively.<sup>58</sup> They attributed these discrepancies to the fact that it is notoriously difficult to model the O2 molecule with quantum-chemical calculations, especially in this case of partially negatively charged O2. It is well-known that the prediction of O2 EA is a challenging task. 59,60 One needs to add diffuse functions in the basis set to predict the oxygen electron affinity accurately. Also, a relatively large basis set can improve the accuracy of the adsorption energies. While Metiu and co-workers<sup>58</sup> used a 6-31+G\* basis set with diffuse and polarization functions for oxygen, we chose a 6-311+G(3df) basis set, also with diffuse and polarization functions, for O and Na atoms. Using B3LYP/ 6-311+G(3df) we get excellent predictions of electron affinity of O2 with only 0.04 eV error (Table 1). The basis set convergence was also tested by recalculating O2 binding energies on a few test clusters, using a systematically larger basis set (cc-pVTZ, cc-pVQZ, and cc-pV5Z) for O atoms. We found that our results based on the 6-311+G(3df) basis set are in good agreement with the "converged" binding energies based on the cc-pV5Z basis set (Supporting Information). Therefore, the O<sub>2</sub> binding energy values reported here are practically converged to the complete basis set limit. Moreover, our objective is to extract the trends in the O<sub>2</sub> adsorption energies over the series of Au-alloy clusters and since we are studying neutral clusters and not anionic clusters, the DFT predictions are likely to be relatively better because the electron transfer to O2 is lower. Finally, we also account for the important entropic effects in O<sub>2</sub> adsorption by performing detailed thermochemical calculations as described below.

For bare clusters we considered different geometries, such as linear, symmetric-triangle, and asymmetric-triangle. For each shape, we investigated three to four possible spin states. The spin-shape combination having the lowest energy was regarded as the ground-state cluster and was considered further for O2 adsorption studies. In all the O<sub>2</sub> adsorption steps, we considered several different orientations or starting geometries, and carried out full geometry optimization at the aforementioned level of theory. We considered both in-plane as well as out-of-plane attacks, and investigated many combinations of distances, angles, and solid angles. We also considered different possible spin states for clusters with O2 adsorbed on them. Such a consideration of different spin states is crucial to obtain accurate adsorption energies especially due to the fact that the O2 molecule has a triplet ground state. Thus, we generated an ensemble of different geometries with different spin states and selected the lowest energy state as the ground state to compute the adsorption energetics. Throughout the paper, we report the binding energies calculated as follows: Binding Energy (BE)  $= E(\text{Cluster}) + E(\text{O}_2) - E(\text{Cluster-O}_2), \text{ i.e., } \Delta E_{\text{ads}} = -\text{BE}. \text{ Here}$  E is the electronic energy calculated at 0 K in a vacuum. Therefore, a positive binding energy means negative  $\Delta E_{\rm ads}$ , i.e., favorable exothermic adsorption. Similar notation is used for other thermodynamic quantities such as internal energy (U) and Gibbs free energy (G), i.e., if the change in the Gibbs free energy  $(\Delta G_{\rm ads})$  is negative then the adsorption is thermodynamically favorable. We employed the counterpoise (single-point) correction method to calculate the basis set superposition errors (BSSE). The electronic energy of adsorption modified with zero-point energy correction is denoted as  $\Delta E_{\rm ads,ZPE}$ .

The frequency calculations were performed on all the geometries, and we verified that all the frequencies are positive indicating a minimum energy configuration for both bare and O<sub>2</sub>-covered clusters. These frequency calculations also provided us with the thermochemical analysis at a pressure of 1 atm and a temperature of 298.15 K, using the ideal gas approximation. We report the  $\Delta U_{\rm ads}$ ,  $T\Delta S_{\rm ads}$ , and  $\Delta G_{\rm ads}$  values calculated at these standard conditions. We note that the heat of adsorption  $(\Delta H_{\rm ads})$  can be easily calculated by using the  $\Delta U_{\rm ads}$  and the ideal gas law or by using the  $\Delta G_{ads}$  and  $T\Delta S_{ads}$ , and hence we do not separately report it in the paper. We also point out that we did not scale the calculated frequencies and report them as calculated. The Natural Bond Orbital (NBO)<sup>61</sup> charge analysis of the electron population and the Mulliken spin density analysis on each atomic center were performed with the Gaussian 03 suite.46 We also note that in Gaussian nomenclature, a spin density of 1.0 represents one unpaired electron (doublet) and so on. Annihilation of spin contaminants was carried out by default in Gaussian 03.46

### 3. Results

Since alloying with Cu improved the selectivity of Ag-based EO catalysts, 44 we investigated the effect of alloying Au clusters with Ag and Cu; all three elements are group IB metals. Similarly, following the proven importance of Au–Pd catalysts, 41 we investigated Au–Pd and Au–Pt alloy clusters. Since the electronic configuration of Au is [Xe].4f<sup>14</sup>5d<sup>10</sup>6s<sup>1</sup>, we wanted to study the effect of alloying Au with Na ([Ne]3s<sup>1</sup>) which also has one unpaired electron in the outermost "s" shell. Combining strongly electropositive Na with strongly electronegative Au is likely to cause significant charge-polarization of the alloy cluster(s). Therefore, we also investigated Au–Na alloy clusters.

Since we found many different stable configurations of adsorbed  $O_2$  on each cluster, we not only report the ground-state configuration of cluster— $O_2$  complex but also those configurations which are less than 3.00 kcal/mol higher in energy than the ground-state configuration. This is necessary for two reasons: (1) at standard conditions (298.15 K and 1

TABLE 3: Calculated Data for Adsorption of O<sub>2</sub> on Pure and Au-Alloy Trimers

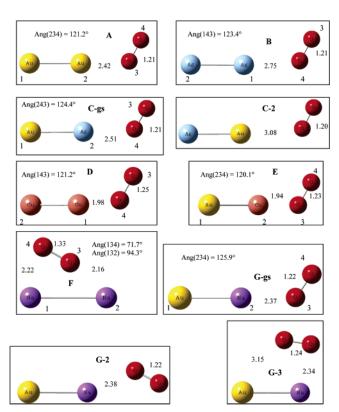
cluster	M <sub>3</sub> spin-state	E <sub>LUMO</sub> -Cluster <sup>a</sup>	E <sub>HOMO</sub> -Cluster <sup>a</sup>	M <sub>3</sub> -O <sub>2</sub> spin-state	$BE^b$	$\mathrm{BE}_{\mathrm{BSSE}}^{b}$	O-O bond dist <sup>c</sup>	O-O freq <sup>d</sup>	$\Delta E_{ m ads,ZPE}^{b}$	$\Delta U_{ m ads}{}^b$	$T\Delta S_{\mathrm{ads}}{}^{b}$	$\Delta G_{ m ads}{}^b$
$Au_3$	doublet	-0.193	-0.242	doublet	6.99	5.72	1.28	1203	-6.17	-5.83	-11.06	4.64
$AgAu_2$	doublet	-0.132	-0.186	doublet	12.19	10.88	1.30	1187	-11.27	-11.01	-11.03	-0.58
$Ag_2Au$	doublet	-0.118	-0.167	doublet	21.14	19.63	1.31	1189	-20.27	-19.95	-10.65	-9.89
$Ag_3$	doublet	-0.138	-0.185	doublet	20.08	18.45	1.30	1191	-19.25	-18.96	-11.45	-8.11
$CuAu_2$	doublet	-0.137	-0.191	doublet	19.41	16.03	1.29	1210	-18.77	-18.30	-10.07	-8.82
$Cu_2Au$	doublet	-0.114	-0.168	doublet	42.35	37.07	1.33	1151	-41.18	-41.08	-11.17	-30.51
$Cu_3$	doublet	-0.098	-0.148	doublet	42.58	37.2	1.33	1157	-41.40	-41.35	-11.53	-30.42
$PdAu_2$	singlet	-0.133	-0.214	triplet	11.17	9.68	1.25	1347	-10.64	-9.93	-8.12	-2.40
$Pd_2Au$	doublet	-0.132	-0.219	doublet	18.13	16.64	1.28	1215	-16.57	-16.65	-11.74	-5.51
$Pd_3$	triplet	-0.144	-0.198	triplet	17.61	11.34	1.38	888	-16.65	-16.63	-11.39	-5.83
$PtAu_2$	singlet	-0.141	-0.215	triplet	17.65	16.24	1.26	1281	-17.11	-16.46	-8.58	-8.47
$Pt_2Au$	doublet	-0.137	-0.210	doublet	29.70	28.2	1.32	1042	-28.17	-28.30	-11.77	-17.13
$Pt_3$	triplet	-0.151	-0.210	triplet	18.08	16.48	1.33	1004	-16.89	-16.87	-11.29	-6.18
$NaAu_2$	doublet	-0.112	-0.170	doublet	20.05	19.03	1.31	1185	-19.26	-18.88	-9.82	-9.66
$Na_2Au$	doublet	-0.069	-0.121	doublet	58.83	57.25	1.35	1173	-57.97	-57.73	-10.70	-47.63
$Na_3$	doublet	-0.068	-0.110	doublet	52.07	50.66	1.35	1172	-51.24	-50.99	-11.20	-40.39

<sup>&</sup>lt;sup>a</sup> In hartrees. <sup>b</sup> In kcal/mol. <sup>c</sup> In Å. <sup>d</sup> In cm<sup>-1</sup>.

atm), configurations slightly higher in energy than the ground state can have nontrivial population and (2) to acknowledge the occasional ambiguity in identifying the true ground state out of two or more almost degenerate configurations by using DFT calculations. However, all the extensive details of calculated quantities and related analysis presented below pertain only to the ground-state configurations.

All the BE values discussed below are without any BSSE correction. The BSSE-corrected BE (BE<sub>BSSE</sub>) values and other details can be found in Tables 2 (dimers) and 3 (trimers). We also note that the HOMO ( $E_{\text{HOMO-Cluster}}$ ) and LUMO  $(E_{LUMO-Cluster})$  energies reported in Tables 2 and 3 are for bare clusters. All the geometries with atom numbers (1, 2, etc.) and important bond lengths/angles are reported in Figures 1 and 2 (dimers) and in Figures 3-7 (trimers). The NBO charges on all the atoms in different geometries (atom numbers correspond to Figures 1 to 7) are listed in Tables 4 (dimers) and 5 (trimers), and the Mulliken spin density values are reported in the Supporting Information. For reference, we note that the calculated gas-phase O<sub>2</sub> (triplet) bond length is 1.20 Å and the calculated (unscaled) O<sub>2</sub> stretching frequency is 1645.6 cm<sup>-1</sup>. Finally, since there is a lot of published literature on geometries of small clusters, we only refer to the most relevant studies rather than doing a thorough literature survey.

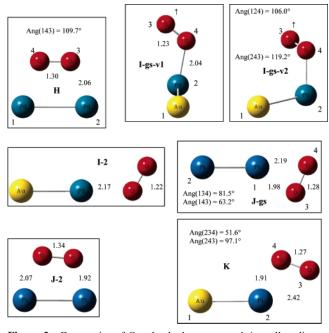
3.1. O<sub>2</sub> Adsorption on Pure and Binary-Alloy Dimers. 3.1.1. Au<sub>2</sub> (Figure 1, A). Our calculated Au-Au bond length (2.57 Å) in ground-state (singlet) Au<sub>2</sub> is in excellent agreement with other DFT studies, 32,62 but differs from the experimental value<sup>63</sup> (2.47 Å). Improved predictions can be obtained by employing computationally very expensive counterpoise-corrected CCSD(T) calculations with the PJHN-4f2g1h1i basis set.<sup>64</sup> The ground state for Au<sub>2</sub>-O<sub>2</sub> (bent end-on) is a triplet state and the O<sub>2</sub> BE is only 2.83 kcal/mol, while the PW91based DFT calculations predict higher values.<sup>32,62</sup> However, it is well-known that the PW91-based DFT calculations overpredict the O<sub>2</sub> BE significantly.<sup>62</sup> Our calculated  $\Delta G_{ads}$  is 4.15 kcal/mol, indicating thermodynamically unfavorable adsorption. We observed a minor change in the O2 bond length upon adsorption (1.21 Å) implying lack of activation (negligible electron-transfer to O2) due to the shell-closing effect responsible for the quite high ionization potential (IP) of even-electron, neutral Au<sub>2</sub>.<sup>53</sup> Also, most of the Mulliken spin density in triplet Au<sub>2</sub>-O<sub>2</sub> is located on the adsorbed O<sub>2</sub> molecule. This particular finding is also applicable to Ag<sub>2</sub>–O<sub>2</sub>, AgAu–O<sub>2</sub>, Cu<sub>2</sub>–O<sub>2</sub>, and CuAu-O<sub>2</sub>.



**Figure 1.** Geometries of  $O_2$  adsorbed on pure and alloy dimers of Ag, Cu, and Na. In all the figures in this paper out-of-plane atoms are indicated by an arrow ( $\uparrow$ ) on them. All the geometries without any  $\uparrow$  on atom(s) are planar geometries.

3.1.2.  $Ag_2$  (Figure 1, B). Similar to the case for Au<sub>2</sub>, our calculations over-predict the Ag-Ag (singlet) bond length. While the calculated value (2.61 Å) agrees well with the DFT literature, <sup>65</sup> the experimentally observed value is somewhat smaller (2.53 Å). <sup>66</sup> O<sub>2</sub> adsorption on Ag<sub>2</sub> is unfavorable with BE = 0.81 kcal/mol and  $\Delta G_{\rm ads} = 4.52$  kcal/mol. Again, the O-O bond is only slightly activated (1.21 Å) because of the closed shell configuration of Ag<sub>2</sub>.

3.1.3. AgAu (Figure 1, C). Significant polarization of the Ag—Au (singlet state) bond occurs due to the much stronger electronegative Au atom withdrawing electron density from the Ag atom (NBO charge on Au is -0.29). The Au—Au bond is significantly shorter (and stronger) than Au—Ag (2.60 Å) and Ag—Ag bonds due to much stronger relativistic effects in Au.<sup>52,67</sup> The O<sub>2</sub> BE on AgAu (1.88 kcal/mol) is between that



**Figure 2.** Geometries of O<sub>2</sub> adsorbed on pure and Au—alloy dimers of Pd and Pt. A geometry name X-gs means ground state, X-2/3 means second-/third-most stable state, and X-gs-v1/2 means view no. 1/2 for X-gs.

TABLE 4: NBO Charge Analysis for Adsorption of O<sub>2</sub> on Pure and Au–Alloy Dimers<sup>a</sup>

	NBO				
cluster	atom 1	atom 2	atom 3	atom 4	charge O <sub>2</sub>
$Au_2-O_2$	-0.06	0.081	-0.06	0.039	-0.021
$Ag_2-O_2$	0.052	-0.017	0.006	-0.042	-0.036
AgAu-O <sub>2</sub>	-0.313	0.331	0.039	-0.057	-0.018
$Cu_2-O_2$	0.281	-0.054	-0.042	-0.185	-0.227
$CuAu-O_2$	-0.364	0.529	-0.171	0.006	-0.165
$Na_2-O_2$	0.606	0.369	-0.591	-0.385	-0.976
NaAu-O <sub>2</sub>	-0.599	0.769	-0.156	-0.013	-0.169
$Pd_2-O_2$	0.271	0.271	-0.271	-0.271	-0.542
$PdAu-O_2$	-0.181	0.354	-0.042	-0.132	-0.174
$Pt_2-O_2$	0.428	-0.143	-0.130	-0.155	-0.285
$PtAu-O_2$	-0.052	0.329	-0.164	-0.113	-0.277

<sup>&</sup>lt;sup>a</sup> The atom numbers correspond to Figures 1 and 2.

on  $Au_2$  and  $Ag_2$ , and negligible electron transfer and minor O–O elongation were also observed. The second-most stable state (C-2: BE = 0.41 kcal/mol) is a triplet state in which  $O_2$  adsorbs on the Au atom while in the ground state (C-gs) it adsorbs on the Ag atom.

3.1.4.  $Cu_2$  (Figure 1, D). The ground state of  $Cu_2$  is a singlet with a Cu-Cu bond distance of 2.26 Å, which is in good agreement with the experimental value (2.22 Å)<sup>63</sup> and calculated values.<sup>68,69</sup> The ground state of  $Cu_2-O_2$  is triplet, and we found significant activation of the adsorbed  $O_2$  (O-O bond distance, 1.25 Å) consistent with the relatively higher BE (7.82 kcal/mol) and thermodynamically favorable adsorption ( $\Delta G_{\rm ads} = -1.47$  kcal/mol). The calculated NBO charge (-0.23) on adsorbed  $O_2$  indicates easier electron donation by relatively less electronegative  $Cu_2$ .

3.1.5. CuAu (Figure 1, E). The Cu—Au bond is polarized due to the electronegative Au atom, which acquires significant negative NBO charge (-0.32). Also, the Cu—Au bond length (2.40 Å) in the singlet ground state is between the Cu—Cu and Au—Au bond lengths. Surprisingly, O<sub>2</sub> BE on CuAu (10.36 kcal/mol) is not between that calculated on Cu<sub>2</sub> and Au<sub>2</sub>, but is

TABLE 5: NBO Charge Analysis for Adsorption of O<sub>2</sub> on Pure and Au–Alloy Trimers<sup>a</sup>

		NBO				
cluster	atom 1	atom 2	atom 3	atom 4	atom 5	charge O <sub>2</sub>
				atom <del>+</del>	atom 5	
$Au_3$	0.036	0.036	-0.072			
$Au_3-O_2$	0.300	0.300	-0.108	-0.246	-0.246	-0.492
$Ag_3$	0.068	0.068	-0.136			
$Ag_3-O_2$	0.415	-0.138	0.415	-0.346	-0.346	-0.692
$Ag_2Au$	0.202	0.202	-0.404			
$Ag_2Au-O_2$	0.560	0.560	-0.414	-0.353	-0.353	-0.706
$AgAu_2$	0.458	-0.229	-0.229			
$AgAu_2-O_2$	0.585	0.285	-0.262	-0.314	-0.293	-0.607
$Cu_3$	0.148	-0.074	-0.074			
$Cu_3-O_2$	-0.175	0.474	0.474	-0.387	-0.387	-0.774
$Cu_2Au$	-0.428	0.214	0.214			
$Cu_2Au-O_2$	-0.442	0.608	0.608	-0.387	-0.387	-0.774
$CuAu_2$	0.454	-0.227	-0.227			
$CuAu_2-O_2$	0.72	-0.113	-0.113	-0.247	-0.247	-0.494
Na <sub>3</sub>	0.009	0.009	-0.018			
$Na_3-O_2$	-0.336	0.643	0.643	-0.475	-0.475	-0.950
Na <sub>2</sub> Au	-0.802	0.401	0.401			
$Na_2Au-O_2$	-0.830	0.884	0.884	-0.469	-0.469	-0.938
NaAu <sub>2</sub>	0.830	-0.415	-0.415			
$NaAu_2 - O_2$	0.901	0.175	-0.363	-0.328	-0.386	-0.714
Pd <sub>3</sub>	0.032	0.032	-0.064			
$Pd_3-O_2$	0.282	0.263	0.269	-0.416	-0.399	-0.815
Pd <sub>2</sub> Au	-0.244	0.122	0.122			
$Pd_2Au-O_2$	-0.400	0.390	0.390	-0.190	-0.190	-0.380
PdAu <sub>2</sub>	0.080	-0.040	-0.040			
$PdAu_2-O_2$	0.226	0.031	0.013	-0.183	-0.087	-0.270
Pt <sub>3</sub>	-0.044	0.022	0.022			
$Pt_3-O_2$	0.381	-0.217	0.381	-0.274	-0.274	-0.548
Pt <sub>2</sub> Au	0.100	-0.050	-0.050			
$Pt_2Au-O_2$	-0.216	0.358	0.358	-0.249	-0.249	-0.498
PtAu <sub>2</sub>	-0.166	0.083	0.083	0.27	0.27	0.170
$PtAu_2-O_2$	0.125	0.106	0.072	-0.217	-0.086	-0.303

<sup>&</sup>lt;sup>a</sup> The atom numbers correspond to the Figures 3−7.

significantly larger, despite significant BSSE correction for  $\text{CuAu-O}_2$ . The  $\Delta G_{\text{ads}}$  is -2.65 kcal/mol and the O-O bond is marginally activated (1.23 Å).

3.1.6. Na<sub>2</sub> (Figure 1, F). Our calculated Na-Na bond length (3.04 Å) in the singlet ground state of Na<sub>2</sub> is in good agreement with the experimental value (3.08 Å), but pioneering computational studies published many years ago under-predicted the Na-Na bond length (2.91 Å).<sup>70,71</sup> Since Na is strongly electropositive, it is not surprising that the adsorbed  $O_2$  withdraws almost one full electron from Na<sub>2</sub>, the BE is 31.92 kcal/mol, and  $\Delta G_{\rm ads}$  is -24.88 kcal/mol. The O-O bond length in this adsorbed superoxide state (1.33 Å) is close to the calculated value of 1.34 Å for  $O_2^-$ . Also, due to strong coulomb attraction, the O atoms stay close to the Na atoms and the adsorbed Na<sub>2</sub>-O<sub>2</sub> (triplet) configuration is quite different from that for other dimers. Interestingly, spin density values indicate that one unpaired electron is located on O2 and the other is located on the Na<sub>2</sub>. This means that due to donation of almost one full electron to adsorbed O2, one electron in Na2 became unpaired, and the additional electron in O2 paired with one of the two unpaired electrons (in gas-phase triplet O<sub>2</sub>), thus leaving only one unpaired electron on the adsorbed  $O_2$ .

3.1.7. NaAu (Figure 1, G). Alloying Na with Au results in significant charge-polarization (NBO charge on Au = -0.70) and the Na—Au bond length (2.60 Å) in the singlet ground state is significantly shorter than the Na—Na bond length. Also, we predict a dramatic reduction in the  $O_2$  BE (4.00 kcal/mol) due to alloying with Au. This poisoning of the reactivity of Na toward  $O_2$  by competition of the electronegative Au for the Na 3s electron is accompanied by the negligible elongation of the

O–O bond upon adsorption in the triplet NaAu– $O_2$  complex and the calculated NBO charge on the adsorbed  $O_2$  is only -0.17. Consistent with this reduced charge transfer, the spin density values for NaAu– $O_2$  are more similar to those for CuAu– $O_2$  than for Na<sub>2</sub>– $O_2$ . We also report two high-energy configurations G-2 (BE = 3.77 kcal/mol) and G-3 (BE = 2.19 kcal/mol) that are also in the triplet state.

3.1.8.  $Pd_2$  (Figure 2, H). In agreement with other DFT studies,  $^{72}$  we predict a Pd-Pd bond length of 2.53 Å, which, however, is slightly longer than that predicted by using computationally expensive self-consistent-field-configuration-interaction (SCF-CI) calculations (2.46 Å). In agreement with previous studies,  $^{72,74}$  we found a triplet ground state for Pd<sub>2</sub> where each Pd atom hosts one unpaired electron, i.e., the Mulliken spin density on each Pd atom is 1.00. O<sub>2</sub> adsorbs quite strongly (BE = 26.33 kcal/mol) in a side-on manner to form a triplet Pd<sub>2</sub>-O<sub>2</sub> complex. Interestingly, the Mulliken spin density on O and Pd atoms is 0.64 and 0.36, respectively, indicating that even upon O<sub>2</sub> adsorption, Pd<sub>2</sub> prefers to retain significant spin density, and that the spin density on O<sub>2</sub> is probably reduced due to electron transfer from Pd<sub>2</sub>.

3.1.9. PdAu (Figure 2, I). We found a doublet ground state for this cluster and its bond length (2.56 Å) is only slightly shorter than that calculated for  $Au_2$ . The plane-wave-based calculations employing generalized-gradient approximation (GGA) predicted a slightly shorter bond length (2.51 Å).<sup>75</sup> As expected, the Au atom (NBO charge = -0.26) withdraws some electron density from the less electronegative Pd atom. Similar to NaAu, the  $O_2$  BE (6.61 kcal/mol) on PdAu is significantly lower than that calculated for Pd<sub>2</sub>. We also note that in the doublet ground state of the PdAu $-O_2$  complex the total Mulliken spin density on  $O_2$  is almost 1.60 and that on PdAu is -0.60. We note that the PdAu $-O_2$  ground state is not planar, but the second-most stable state I-2 (BE = 5.59 kcal/mol) is planar.

3.1.10.  $Pt_2$  (Figure 2, J). Similar to  $Pd_2$  we predict a triplet ground state for  $Pt_2$  and our calculated bond length (2.37 Å) is in excellent agreement with previous DFT studies.<sup>72</sup> We, however, predict that  $Pt_2$  is much less reactive (BE = 12.86 kcal/mol) toward O2 than Pd2 due to relatively less electron transfer to adsorbed O2, consistent with the relatively more electronegative nature of Pt. Although the ground state of Pt<sub>2</sub>- $O_2$  is triplet (like  $Pd_2-O_2$ ) the adsorbed configuration is quite different from that of Pd<sub>2</sub>-O<sub>2</sub>. This different behavior of Pt<sub>2</sub> is also reflected in the Mulliken spin densities. In the Pt<sub>2</sub>-O<sub>2</sub> complex both Pt2 and O2 have a total spin density of almost 1.0. While both the O atoms share the spin density almost equally, the Pt atom proximal to the O atoms exhibits a spin density of only 0.26 indicating a concentration of spin density (0.78) on the distal Pt atom. We also found a second most stable (BE = 10.11 kcal/mol) state J-2, with side-on adsorbed O<sub>2</sub>.

 $3.1.11.\ PtAu$  (Figure 2, K). Consistent with large relativistic contraction in Au and Pt<sup>52</sup> and the resulting high electronegative character, we found very little charge polarization of the PtAu cluster in its doublet ground state. Our calculated bond length (2.54 Å) is in excellent agreement with the multireference singles + doubles configuration interaction (MRSDCI) calculations. In contrast to the case for PdAu, O<sub>2</sub> interacts quite strongly with PtAu (BE = 22.80 kcal/mol) indicating that the PtAu cluster is more reactive than Pt<sub>2</sub> and Au<sub>2</sub>. The Mulliken spin density analysis suggested that one unpaired electron in the doublet PtAu-O<sub>2</sub> complex is almost entirely situated on the adsorbed O<sub>2</sub>. Surprisingly, although the O<sub>2</sub> binding is significantly stronger on PtAu than that on Pt<sub>2</sub>, the extent of

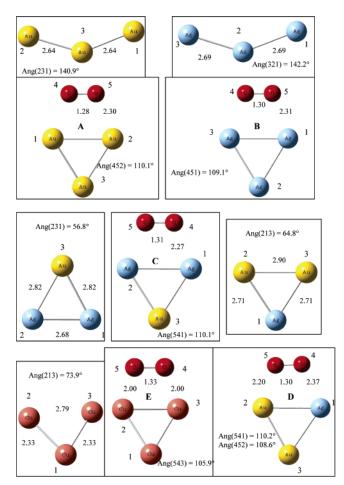


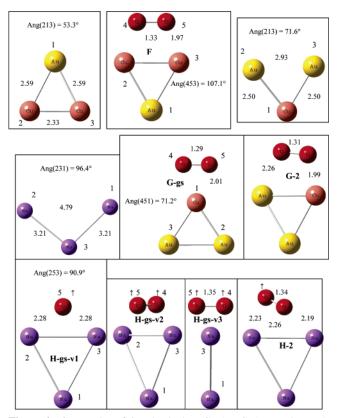
Figure 3. Geometries of  $O_2$  adsorbed on  $Au_3$ ,  $Ag_3$ ,  $Ag_2Au$ ,  $AgAu_2$ , and  $Cu_3$ .

charge transfer to adsorbed  $O_2$  and O-O elongation are very similar for PtAu and PdAu. Since both the (negatively charged) O atoms are located close to the positively charged Pt atom, we believe that the coulomb attraction contributes significantly to the BE.

3.2.  $O_2$  Adsorption on Pure and Binary—Alloy Trimers. 3.2.1.  $Au_3$  (Figure 3, A). In agreement with both computational  $^{77.78}$  and experimental studies,  $^{79}$  we found that the ground state of  $Au_3$  is an obtuse angle (140.9°) triangular geometry (asymmetry due to Jahn—Teller distortions) in the doublet state. Upon  $O_2$  adsorption, in agreement with the DFT literature,  $^{32.62}$  we found a doublet ground state (BE = 6.99 kcal/mol), which is a planar geometry with side-on bound  $O_2$  hosting one unpaired electron. It should also be noted that the obtuse angle in  $Au_3$  reduces significantly upon  $O_2$  adsorption (63.8°). We found almost -0.50 charge on adsorbed  $O_2$  and also observed corresponding elongation of the O-O bond.

 $3.2.2.~Ag_3$  (Figure 3, B). There is controversy in the literature on whether the value of the Ag-Ag-Ag obtuse angle in the ground-state configuration is close to  $70^{\circ}$  or exceeding  $140^{\circ}$ , as discussed by Matulis et al.<sup>65</sup> We found this angle to be  $142^{\circ}$  in the doublet ground state. However, irrespective of the starting Ag<sub>3</sub> geometry ( $70^{\circ}$  or  $142^{\circ}$ ), upon O<sub>2</sub> adsorption, we arrived at the same Ag<sub>3</sub>-O<sub>2</sub> geometry in the doublet ground state. The O<sub>2</sub> BE (20.08 kcal/mol) is significantly higher than that on Au<sub>3</sub>, consistent with the increased electron transfer to O<sub>2</sub> adsorbed on Ag<sub>3</sub>. We again found that the unpaired electron is located on adsorbed O<sub>2</sub>.

3.2.3.  $Ag_2Au$  (Figure 3, C). We found a doublet ground state for  $Ag_2Au$  with an Ag-Au-Ag angle of  $56.8^{\circ}$ , which is in



**Figure 4.** Geometries of  $O_2$  adsorbed on  $Cu_2Au$ ,  $CuAu_2$ , and  $Na_3$ . A geometry name X-gs means ground state, X-2 means second-most stable state, and X-gs-v1/2/3 means view no. 1/2/3 for X-gs.

reasonable agreement with a previous report.<sup>80</sup> The Au atom exhibits -0.40 NBO charge indicating significant charge polarization. Also, while the Au atom exhibits a Mulliken spin density of almost 0.50, the remaining 0.50 spin density is equally shared by two Ag atoms. Similar to Au<sub>3</sub>, the doublet ground state of the Ag<sub>2</sub>Au $-O_2$  complex contains side-on bound  $O_2$ . Interestingly, the  $O_2$  BE (21.14 kcal/mol) is slightly increased compared to that of Ag<sub>3</sub>.

3.2.4.  $AgAu_2$  (Figure 3, D). Again, our prediction of Au–Ag–Au angle (64.8°) is consistent with the literature reports. The Ag atom (NBO charge 0.46) loses significant electron density to electronegative Au atoms. The Mulliken spin density on each Au atom is almost 0.50 indicating the delocalization of unpaired electron over two Au atoms. It is interesting to note that the reactivity of AgAu<sub>2</sub> is significantly reduced (O<sub>2</sub> BE = 12.19 kcal/mol) compared to that of Ag<sub>2</sub>Au and Ag<sub>3</sub>, although the extent of charge transfer changes only marginally. The unpaired electron is again located on adsorbed O<sub>2</sub>.

3.2.5.  $Cu_3$  (Figure 3, E). In agreement with other DFT studies,  $^{68,81}$  we predict a doublet obtuse angle  $Cu_3$  (73.7°) as the ground state, although there is no consensus in the literature on the exact value of bond lengths and bond angles due to the existence of different, almost degenerate, triangular geometries. As expected, the  $Cu_3$  cluster is very reactive toward  $O_2$  (BE = 42.58 kcal/mol), and forms a doublet  $Cu_3$ – $O_2$  complex with side-on, significantly negatively charged  $O_2$  (NBO charge –0.77), which also hosts one unpaired electron. Again, we arrived at the same  $Cu_3$ – $O_2$  geometry, irrespective of the starting  $Cu_3$  geometry.

3.2.6.  $Cu_2Au$  (Figure 4, F). Analogous to  $Ag_2Au$ , there is a significant charge polarization of the  $Cu_2Au$  cluster where the Au atom acquires -0.43 NBO charge and the Cu-Au-Cu bond angle is  $53.3^{\circ}$  in the doublet ground state. We note that the  $O_2$ 

adsorption energetics, charge transfer, spin state, and spin density distribution, associated with the Cu<sub>2</sub>Au-O<sub>2</sub> complex, are almost same as those for Cu<sub>3</sub>.

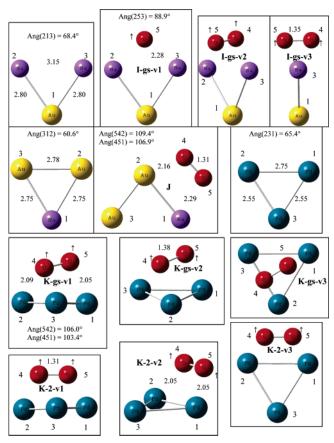
3.2.7. CuAu<sub>2</sub> (Figure 4, G). The CuAu<sub>2</sub> cluster is an analogue of the AgAu<sub>2</sub> cluster; the Au-Cu-Au obtuse angle is about 71.6° and the Cu atom exhibits 0.45 NBO charge in the doublet ground state. Again, due to two Au atoms, the reactivity toward  $O_2$  is substantially poisoned (BE = 19.41 kcal/mol); nevertheless, there is almost half electron-transfer to adsorbed O2 and the adsorption is thermodynamically favorable ( $\Delta G_{\rm ads} = -8.82$ kcal/mol). Interestingly, we found two different CuAu<sub>2</sub>-O<sub>2</sub> geometries in the doublet state which differ by 2.00 kcal/mol. The ground-state configuration consists of a side-on O<sub>2</sub>, bound on the single Cu atom, rather than on one of the two-atom sides. The Mulliken spin density distribution is also unusual with almost 1.40 spin density on the adsorbed  $O_2$  and -0.40 spin density on the metal cluster. The second-most stable state (G-2) contains side-on O<sub>2</sub> bound on the Cu-Au side with the Cu-O distance shorter than the Au-O distance due to stronger coulomb interaction between the Cu atom and the closest O

3.2.8. Na<sub>3</sub> (Figure 4, H). We found an obtuse angle (96.4°) doublet ground state for Na<sub>3</sub>. Although our predicted longest Na-Na bond length (4.79 Å) matches exactly with the configuration interaction (CI) calculations,82 there is almost 0.2 Å difference in the (two) shorter Na-Na distances; our DFT method over-predicts this bond length, probably due to electroncorrelation effects which are better modeled by the computationally costly CI calculations. Due to the strong electropositive character of Na, we predict almost one full electron transfer to adsorbed O2 (superoxide). The BE is very high (52.07 kcal/ mol), and each negatively charged O atom is equidistant from both positively charged Na atoms, maximizing the coulomb attraction. The O-O bond is perpendicular to the Na-Na bond and both the O atoms are outside the Na<sub>3</sub> plane. However, the spin density distribution is not unusual and the unpaired electron is situated on the adsorbed O2. We also identified another doublet Na<sub>3</sub>-O<sub>2</sub> state (H-2) that is only 1.30 kcal/mol higher in energy than the ground-state Na<sub>3</sub>-O<sub>2</sub>.

3.2.9.  $Na_2Au$  (Figure 5, I). We found an obtuse angle (68.6°) ground state (doublet) for  $Na_2Au$  where the Au atom exhibits -0.80 NBO charge due to its strong electronegative character. Similar to the trend observed for  $Ag_2Au/Ag_3$ , the  $O_2$  adsorption (BE = 58.83 kcal/mol) on  $Na_2Au$  is stronger compared to that for  $Na_3$  and the orientation of adsorbed  $O_2$  is similar to that on  $Na_3-O_2$ . In the series of 27 clusters reported here, the  $O_2$  adsorption is most favorable ( $\Delta G_{ads} = -47.63$  kcal/mol) on  $Na_2Au$ .

3.2.10. NaAu<sub>2</sub> (Figure 5, J). This cluster is almost an equilateral triangle (Au–Na–Au angle = 60.6°) and the Na atom exhibits +0.83 NBO charge. Although the NBO charge on adsorbed O<sub>2</sub> is -0.71, the O<sub>2</sub> BE (20.5 kcal/mol) is reduced significantly, manifesting the poisoning effect due to two Au atoms also observed for AgAu<sub>2</sub> and CuAu<sub>2</sub>. The O<sub>2</sub> molecule is adsorbed on the Na–Au side in the doublet state NaAu<sub>2</sub>–O<sub>2</sub> complex and surprisingly, the Au–O distance is shorter than the Na–O distance indicating that in this case the effects of overlapping orbitals overrides the coulomb attraction effects in determining the bond lengths.

3.2.11.  $Pd_3$  (Figure 5, K). In excellent agreement with previous DFT studies, <sup>74,83</sup> we found that the ground state of Pd<sub>3</sub> is an obtuse angle (65.4°) geometry in a triplet state. In the triplet ground state of the Pd<sub>3</sub> $-O_2$  complex, the  $O_2$  molecule is located outside the Pd<sub>3</sub> plane. Although the NBO charge on



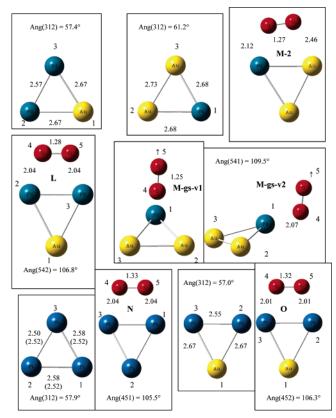
**Figure 5.** Geometries of  $O_2$  adsorbed on  $Na_2Au$ ,  $NaAu_2$ , and  $Pd_3$ . A geometry name X-gs means ground state, X-2 means second-most stable state, and X-gs-v1/2/3 means view no. 1/2/3 for X-gs.

adsorbed  $O_2$  is quite negative (-0.82), the BE is relatively low (17.61 kcal/mol) and the BSSE corrections are significantly higher than that found for other clusters in this study. It is interesting to note that in the  $Pd_3-O_2$  ground-state complex there is almost 0.33 spin density on each Pd atom and the second unpaired electron is on adsorbed  $O_2$ . We also found another triplet  $Pd_3-O_2$  state, 2.30 kcal/mol higher in energy than the ground state, with  $O_2$  bound in a side-on manner outside the  $Pd_3$  plane.

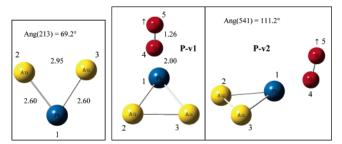
3.2.12.  $Pd_2Au$  (Figure 6, L). The Au atom is negatively charged (NBO charge = -0.24) in the doublet ground state of  $Pd_2Au$  with a Pd-Au-Pd bond angle of 57.4°. Consistent with the trend reported above, the  $O_2$  BE (18.13 kcal/mol) on  $Pd_2$ -Au is higher than that on  $Pd_3$ , although the extent of electron transfer to adsorbed  $O_2$  is much lower in the  $Pd_2Au-O_2$  doublet ground state with side-on  $O_2$  bound on the Pd-Pd side.

3.2.13. PdAu<sub>2</sub> (Figure 6, M). In the PdAu<sub>2</sub> cluster there is very little charge polarization in the singlet ground state. Also, the cluster is almost an equilateral triangle with a 61.2° Au—Pd—Au angle. Continuing the previously reported trend, the O<sub>2</sub> BE (11.17 kcal/mol) on PdAu<sub>2</sub> and the extent of electron transfer to adsorbed O<sub>2</sub> is significantly reduced compared to those of Pd<sub>3</sub> and Pd<sub>2</sub>Au. The ground state of the PdAu<sub>2</sub>—O<sub>2</sub> complex is a triplet state with almost 1.60 spin density on adsorbed O<sub>2</sub>, which is bound in a three-dimensional end-on configuration on the Pd atom. We also found another triplet state (M-2) for the PdAu<sub>2</sub>—O<sub>2</sub> complex that is about 2.50 kcal/mol higher in energy than the ground state and consists of a side-on O<sub>2</sub> bound on the Au—Pd side.

3.2.14.  $Pt_3$  (Figure 6, N). We found that  $Pt_3$  triplet is only 0.20 kcal/mol lower in energy than the  $Pt_3$  singlet. Other



**Figure 6.** Geometries of  $O_2$  adsorbed on  $Pd_2Au$ ,  $PdAu_2$ ,  $Pt_3$ , and  $Pt_2-Au$ . A geometry name X-gs means ground state, X-2 means second-most stable state, and X-gs-v1/2/3 means view no. 1/2/3 for X-gs. For  $Pt_3$ , bond lengths in parentheses are for the singlet state.



**Figure 7.** Geometries of  $O_2$  adsorbed on PtAu<sub>2</sub>. A geometry name X-v1/2 means view no. 1/2 for X.

researchers<sup>84,85</sup> also reported a triplet ground state, but they found an equilateral triangle ground state while we found small deviation from the equilateral triangle geometry in agreement with Grönbeck and Andreoni. The  $O_2$  adsorbs in a side-on manner (BE = 18.08 kcal/mol) and the ground state of  $Pt_3-O_2$  is triplet. Interestingly, the Mulliken spin density on the Pt atom farthest from oxygens is 0.88 and the remaining two Pt atoms each exhibit 0.20 spin density.

3.2.15.  $Pt_2Au$  (Figure 6, O). We found very little charge polarization in the  $Pt_2Au$  cluster in its doublet ground state and the  $Pt_2Au_1$  angle is 57.0°. Again, we found enhanced  $O_2$  adsorption (BE = 29.70 kcal/mol) on this trimer having only one Au atom. The  $Pt_2Au_1O_2$  ground state is a doublet state and the majority of the spin density is located on the side-on bound  $O_2$  molecule (similar to the geometry for  $Pd_2Au_1O_2$ ).

 $3.2.16.\ PtAu_2\ (Figure\ 7,\ P)$ . In the singlet ground state of PtAu<sub>2</sub> the Au–Pt–Au angle is 69.2°, i.e., the cluster is an obtuse-angle triangle like PdAu<sub>2</sub>. Similar to PdAu<sub>2</sub>–O<sub>2</sub>, we found a triplet PtAu<sub>2</sub>–O<sub>2</sub> ground state with a three-dimensional end-on configuration of O<sub>2</sub> adsorbed on the Pt atom. Again,

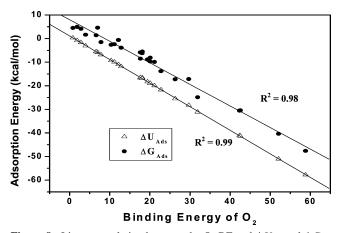


Figure 8. Linear correlation between the  $O_2$  BE and  $\Delta U_{ads}$  and  $\Delta G_{ads}$  calculated at standard conditions over the entire range of clusters.

we found that  $O_2$  BE (17.65 kcal/mol) is lower than that on  $Pt_3$  and  $Pt_2Au$  and most of the spin density is located on adsorbed  $O_2$ .

3.3. Fragmentation of Bare and O<sub>2</sub>-Covered Clusters. After identifying the ground-state geometries of bare and O<sub>2</sub>covered clusters, we examined their stability toward fragmentation. We investigated all possible (total 101) combinations in which the clusters might decompose to form smaller clusters or separated constituent atoms. This analysis is relevant to pulsed flow reactor studies in which gas-phase clusters are studied in inert or O<sub>2</sub>-containing atmosphere. The energy required for decomposition/fragmentation of bare and O2-covered clusters (sum of energy of fragments - energy of intact cluster or cluster-O<sub>2</sub> complex) was calculated at the aforementioned level of theory. These data are provided in the Supporting Information. We only note that for all the bare and O2-covered clusters reported here, the decomposition/fragmentation is not spontaneous, i.e., it is necessary to supply a significant amount of energy to carry out fragmentation. Clearly, all the bare and O<sub>2</sub>-covered clusters reported here are more stable than their fragments and hence the stability of these clusters is not a concern.

### 4. Discussion

**4.1. Trends in the Adsorption Energetics.** We first point out that over the entire range of clusters, the  $\Delta U_{\rm ads}$  and  $\Delta G_{\rm ads}$  correlate linearly (Figure 8) with the  $O_2$  BE and hence all the trends (based on BE) discussed below remain unchanged even when the entropic effects are included in the analysis. Also, since the BSSE corrections are not very different for  $O_2$  adsorption on different clusters, the trends in the BE and BE<sub>BSSE</sub> are the same. Similarly, trends in the  $\Delta E_{\rm ads}$  (-BE) and  $\Delta E_{\rm ads,ZPE}$  are the same due to relatively small values of zero-point correction to the adsorption energy.

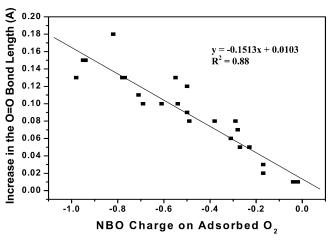
In the case of dimers, we did not find any clear trend in the O<sub>2</sub> BE on M<sub>2</sub> and MAu clusters. While the O<sub>2</sub> BE on AgAu, CuAu, and PtAu is higher than that on Ag<sub>2</sub>, Cu<sub>2</sub>, and Pt<sub>2</sub>, respectively, we found the opposite trend for NaAu/Na<sub>2</sub> and PdAu/Pd<sub>2</sub>. Although the electron transfer to adsorbed O<sub>2</sub> is significantly lower for AgAu, CuAu, and PtAu compared to pure dimers, the higher BE on these alloy dimers implies that the extent of electron transfer to O<sub>2</sub> may not alone explain the BE trends. We also note that the O<sub>2</sub> BE trends for Pd<sub>2</sub>, PdAu, Pt<sub>2</sub>, and PtAu clusters remained unchanged even when systematically larger basis sets (cc-pVTZ, cc-pVQZ, and cc-pV5Z) were employed on O atoms (Supporting Information). Therefore, the lack of overall BE trend for dimers is not due to the basis set limitations.

On the other hand, we found a very interesting trend for trimers: BE  $(MAu_2) \le BE (M_3) \le BE (M_2Au)$ . The  $O_2$  BE is slightly enhanced on the alloy clusters with only one Au atom compared to the pure clusters deprived of Au. However, further alloying with Au substantially poisons the reactivity toward O<sub>2</sub> and the O2 BE is quite low on MAu2 clusters. The charge transfer to adsorbed O<sub>2</sub> is only marginally different in M<sub>3</sub>-O<sub>2</sub>/  $M_2Au-O_2$  pairs with the exception of the  $Pd_3-O_2/Pd_2Au-O_2$ pair. However, the charge transfer to adsorbed O<sub>2</sub> is substantially reduced in all MAu<sub>2</sub>-O<sub>2</sub> pairs which correlates well with the substantial reduction in the BE. However, the overall correlation between the O<sub>2</sub> BE and the charge transfer to adsorbed O<sub>2</sub> (see below) is not that good. Especially, it is surprising that BE<sub>M2Au</sub> > BE<sub>M3</sub>, despite the somewhat higher ionization potential of M<sub>2</sub>Au clusters. Interestingly, a qualitative explanation is possible when coulomb interactions based on NBO charges (Table 5) are also analyzed. The negatively charged Au atom in M2Au units becomes slightly more negatively charged upon O<sub>2</sub> adsorption. The positively charged M<sub>2</sub> atoms in the bare M<sub>2</sub>Au clusters become more positively charged upon O<sub>2</sub> adsorption. Clearly, the coulomb interaction between the M<sub>2</sub> unit and adsorbed (negatively charged) O<sub>2</sub> is quite favorable (attractive). Similarly, the coulomb interaction between the M<sub>2</sub> unit and the negatively charged Au is also favorable in both bare and O<sub>2</sub>covered clusters. The geometry of M<sub>2</sub>Au-O<sub>2</sub> species is such that the coulomb repulsion between Au and O2 is minimized as these two species are located on the opposite side of the M<sub>2</sub> unit. Essentially, a positively charged M2 unit separates negatively charged Au and O<sub>2</sub> species. On the other hand, both Au and M atoms lose electron density to O<sub>2</sub> adsorbed on MAu<sub>2</sub> clusters and overall the coulomb interactions are not as favorable. The coulomb interactions for  $M_3-O_2$  species are approximately between those for M2Au-O2 and MAu2-O2 species.

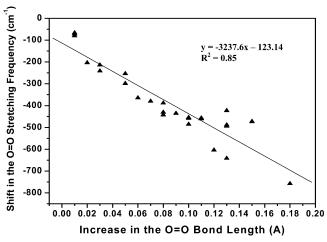
The MAu<sub>2</sub> clusters have high ionization potential. Therefore, they donate less electron density to adsorbed  $O_2$ . Moreover, coulomb interactions are least favorable for MAu<sub>2</sub>– $O_2$  species. Therefore,  $O_2$  BE is lowest on these clusters. The  $M_3$  and  $M_2$ –Au clusters have low ionization potential and adsorbed  $O_2$  withdraws almost the same electron density from these clusters (Table 5). The only exception is the  $Pd_2Au-O_2$  complex. Overall, the main difference in  $M_3$  and  $M_2Au$  clusters is the difference in the coulomb interactions upon  $O_2$  adsorption. These interactions are more favorable for  $M_2Au-O_2$  species. Therefore,  $O_2$  BE is only slightly higher on  $M_2Au$  clusters than that on  $M_3$  clusters.

We also note that the  $O_2$  molecule prefers to bind on the  $M_2$  subunit of the  $M_2Au$  clusters. Therefore, the highest affinity of  $M_2Au$  clusters toward  $O_2$  could also be interpreted as an "ensemble" effect. However, for  $MAu_2$  clusters, there is no unique preferred binding site of  $O_2$ . On  $AgAu_2$  and  $NaAu_2$ , the  $O_2$  molecule adsorbs on the M-Au side and hence interacts with the Au atom. For the remaining three trimers, however,  $O_2$  prefers to interact with a single M atom and is located quite far from the Au atoms. The BE is lowest on  $MAu_2$  clusters irrespective of whether  $O_2$  is located near Au atoms or not. Therefore, it seems that the ensemble effect alone cannot explain the trend in the BE. In any case, the  $M_2Au$  "ensembles" are more reactive toward  $O_2$ .

The charge-transfer and coulomb interactions together roughly explain the BE trends on Au—alloy trimers. The ensemble effect is only useful to explain the highest O<sub>2</sub> BE on M<sub>2</sub>Au clusters. Nevertheless, it is likely that several interrelated effects such as the ensemble effect, coulomb interactions, and extent of



**Figure 9.** Linear correlation between the increase in the O-O bond length upon adsorption and NBO charge on adsorbed  $O_2$  (data for both dimers and trimers).



**Figure 10.** Linear correlation between the increase in the O-O bond length upon adsorption and shift in the stretching frequency (data for both dimers and trimers).

overlap of orbitals are responsible for the observed trends, and quantitative analysis (or deconvolution) of these complex interactions is not easy.

4.2. Investigation of Various Correlations. We first investigated intuitively expected correlations to ensure that our calculations indeed predict physically and chemically meaningful data. We found excellent linear correlation (Figure 9) between the elongation of the O-O bond (upon adsorption) and charge transfer to the adsorbed O2 molecule. It is interesting that although we have pure/alloy dimers/trimers of six different elements, the correlation is nevertheless good. The red-shift in the O-O stretching frequency upon adsorption also correlates linearly with the elongation of the O-O bond (Figure 10) and hence also with the charge transfer to adsorbed O<sub>2</sub>, consistent with the activation of O2 upon adsorption. This means that by experimentally measuring the stretching frequencies of O2 on a real catalyst and matching them with the properly scaled computed frequencies, we can easily obtain the molecular-level information such as O-O bond length and charge transfer, based on the correlations reported here. We now discuss our attempts to find good descriptors of reactivity of small clusters toward

For all the clusters we found that adsorbed  $O_2$  withdraws electron density due to its high electronegativity and undergoes stabilization. This stabilization and the coulomb attraction between the positively charged cluster and the negatively

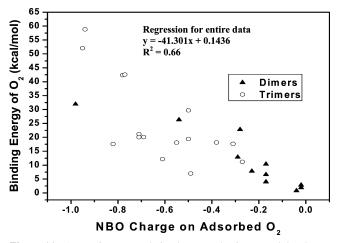
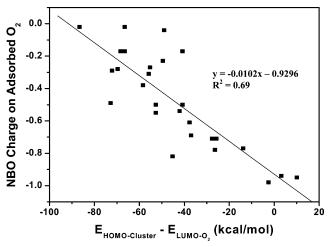


Figure 11. Approximate correlation between the  $O_2$  BE and the charge transfer to the adsorbed  $O_2$  molecule (correlation for all the data: dimers and trimers).

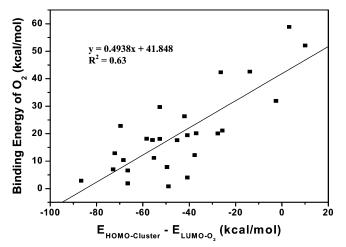
charged adsorbed O<sub>2</sub> should contribute significantly to the O<sub>2</sub> BE. In fact, we found a decent linear correlation ( $R^2 = 0.66$ , Figure 11) between the O<sub>2</sub> BE and the NBO charge on adsorbed O<sub>2</sub> by fitting the entire set of data: the more the charge transfer to O<sub>2</sub>, the higher the BE. This correlation is better for dimers (individual  $R^2 = 0.82$ ) than trimers (individual  $R^2 = 0.52$ ) and is better in the low BE region than in the high BE region where we found almost one electron transfer to adsorbed O2. We attribute the scatter in this correlation to the following two factors: (1) variety in the data, i.e., six different elements, pure and alloy clusters, different spin states for bare and O2-adsorbed clusters, different geometries of adsorbed complexes, and (2) we have not considered the shapes/symmetry of orbitals in bare clusters and O2 which dictate the extent of overlap of cluster/ O<sub>2</sub> orbitals which also contributes to the O<sub>2</sub> BE. As stated earlier, it is difficult to separate the BE contributions of coulomb attraction, charge transfer to O2, and extent of overlap of relevant orbitals, since all these effects are interrelated in a complex manner and operate simultaneously.

Since the charge transfer to adsorbed  $O_2$  is a rough descriptor of  $O_2$  BE, we examined the relationship of this charge transfer with the energy difference between the bare-cluster HOMO and isolated  $O_2$  LUMOs (Tables 2 and 3). For most of the clusters investigated here, the energy of the bare-cluster HOMO ( $E_{\rm HOMO-Cluster}$ ) is lower than the energy of  $O_2$  LUMOs ( $E_{\rm LUMO-O2} = -0.126$  hartrees). It is likely that most of the electron density donated to  $O_2$  comes from the HOMO of the bare-cluster, so the extent of this charge transfer is likely to increase with the increase in the HOMO energy. Indeed, we found an approximate linear correlation between the aforementioned energy difference ( $E_{\rm HOMO-Cluster} - E_{\rm LUMO-O_2}$ ) and the NBO charge on adsorbed  $O_2$  (Figure 12,  $R^2 = 0.69$ ). Obviously, there is also an approximate linear relationship between the  $O_2$  BE and  $E_{\rm HOMO-Cluster} - E_{\rm LUMO-O_2}$  (Figure 13).

To examine the sources of scatter in these correlations, we attempted to isolate the geometric effects (Supporting Information). Since we have data for several different binding orientations of  $O_2$  on each cluster, we selected the cluster— $O_2$  geometries with uniform (similar) binding orientations and examined the aforementioned correlations for dimers and trimers separately. For example, we used the BE and NBO charge data for geometries with  $O_2$  end-on bound on dimers and side-on bound on trimers. We note that some of these geometries are not the ground-state geometries. We made plots of BE versus  $E_{\text{HOMO-Cluster}} - E_{\text{LUMO-O_2}}$  and charge transfer versus  $E_{\text{HOMO-Cluster}}$ 



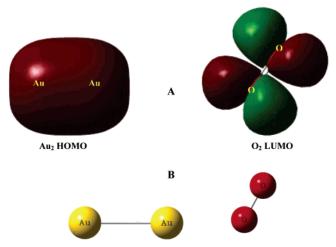
**Figure 12.** Approximate correlation between the charge transfer to adsorbed  $O_2$  and the  $E_{\text{HOMO-Cluster}} - E_{\text{LUMO-O}_2}$  for bare clusters (data for both dimers and trimers).



**Figure 13.** Approximate correlation between the  $O_2$  BE and the  $E_{\text{HOMO-Cluster}} - E_{\text{LUMO-O_2}}$  for bare clusters (data for both dimers and trimers).

 $-E_{\rm LUMO-O_2}$  for dimers (end-on  $O_2$ ) and separately for trimers (side-on  $O_2$ ). We found that the  $R^2$  values for these correlations (uniform binding orientation of  $O_2$ ) are somewhat better than the  $R^2$  values corresponding to the data reported here (for ground-state cluster— $O_2$  geometries). Therefore, part of the scatter in the correlations reported in this paper is due to geometric effects, i.e., due to the differences in the ground-state  $O_2$  binding orientation on different clusters. The factors stated in the previous paragraph may also be responsible for the scatter. In addition, orbitals other than the cluster-HOMO may donate electron density to  $O_2$  and hence the correlation between the NBO charge on  $O_2$  and cluster-HOMO energy is not very precise.

Nevertheless, the bare-cluster HOMO energy level (relative to  $O_2$  LUMO energy) appears to be a fundamental (and easy to calculate) descriptor of the reactivity of different clusters toward  $O_2$ . An increase in the bare-cluster HOMO energy level (for most of the clusters:  $E_{\rm HOMO-Cluster} < E_{\rm LUMO-O_2}$ ) reduces the  $E_{\rm HOMO-Cluster} - E_{\rm LUMO-O_2}$  gap and leads to easier/enhanced electron transfer to the adsorbed  $O_2$  molecule, which is partly responsible for the increased  $O_2$  BE. We also note that our calculated absolute values (in hartrees) of HOMO and LUMO energies in gas-phase  $O_2$  are -0.320 and -0.126. In fact, for very reactive clusters such as  $Na_3$ ,  $Na_2Au$ ,  $Cu_3$ , and  $Cu_2Au$ , the bare-cluster HOMO energy is only slightly higher or slightly



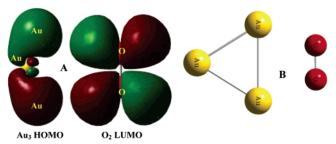
**Figure 14.** (A) The HOMO of  $Au_2$  and LUMO of  $O_2$  when they are at infinite distance from each other and (B) the geometry of the  $Au_2-O_2$  complex.

lower than the  $O_2$  LUMO energy, which on the basis of secondorder perturbation theory, crudely explains the higher electron transfer to adsorbed  $O_2$  from these clusters (and hence higher BE). Finally, we note that the BE correlates better with the  $E_{\rm HOMO-Cluster}-E_{\rm LUMO-O_2}$  than with the cluster IP (correlation not shown here).

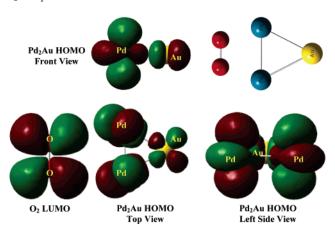
By manipulating the nature and number of alloy atoms one can, in principle, increase/decrease the HOMO energy level and hence tune the reactivity toward electron-withdrawing ligands such as O2. This is true provided the symmetry of the molecular orbitals involved in the interaction is maintained. At this point we also draw the analogy between the bare-cluster HOMO energy and the d-band energy level of the metal surface, which is a very useful descriptor of the reactivity of transition metal surfaces toward O2.45 By increasing the d-band energy level for surfaces and bare-cluster HOMO energy for clusters, the reactivity toward O2 can be enhanced. However, we note that our BE versus  $E_{\text{HOMO-Cluster}} - E_{\text{LUMO-O}_2}$  correlation is only qualitative. This is not surprising because for few-atom clusters the HOMO energy value changes discretely with the total number of atoms and nature/number of alloy atoms, if any. Also, the shapes/symmetry of orbitals in small clusters is quite sensitive to these factors.

**4.3. Analysis of Molecular Orbitals.**  $O_2$  adsorption on Au clusters involves donation of electron density from the HOMO of Au cluster to the LUMO of  $O_2$ . Wells et al.<sup>34</sup> showed that the binding orientation of adsorbed  $O_2$  on  $Au_{10}^-$  can be successfully explained using the Frontier Orbital Picture (FOP), i.e., the binding orientation is such that there is maximum favorable overlap between the HOMO of  $Au_{10}^-$  and the LUMO of  $O_2$  due to matching of orbital symmetries. Since we observed electron donation from both pure and alloy clusters to the adsorbed  $O_2$  molecule, we now investigate whether binding orientation of  $O_2$  can be explained using the FOP.

4.3.1. Successful Predictions of  $O_2$  Binding Orientation Using the FOP. In Figures 14 and 15 we show HOMOs (isosurface value = 0.02) of  $Au_2$  and  $Au_3$ , respectively. By looking at the shape and symmetry of HOMO of  $Au_2/Au_3$  and LUMO of  $O_2$ , one can predict the binding orientation of  $O_2$ . Indeed we found that the FOP works, and the  $Au_2-O_2$  complex contains an endon bound  $O_2$  while the  $Au_3-O_2$  complex contains a side-on  $O_2$  bound on the side with the longest Au-Au bond in the bare  $Au_3$  cluster. Also, the shapes of HOMOs of other coinage metal clusters ( $Ag_2$ ,  $Ag_3$ ,  $Cu_2$ , and  $Cu_3$ ) are exactly the same (orbitals not shown here) as that of  $Au_2$  and  $Au_3$  and so are the binding



**Figure 15.** (A) The HOMO of  $Au_3$  and LUMO of  $O_2$  when they are at infinite distance from each other and (B) the geometry of the  $Au_3-O_2$  complex.



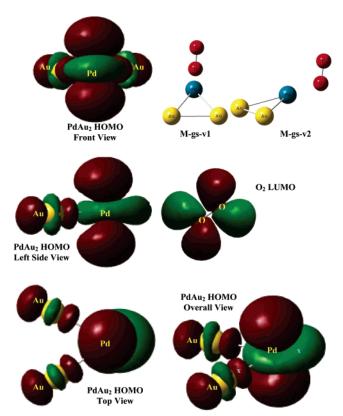
**Figure 16.** Various views of the HOMO of  $Pd_2Au$  and LUMO of  $O_2$  at infinite distance from each other. We also show the  $Pd_2Au-O_2$  complex in its ground-state geometry.

orientations of O<sub>2</sub>. Therefore, the FOP also works for Cu and Ag dimers/trimers.

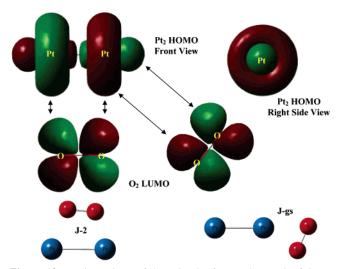
We can also use the FOP to predict the binding orientation of O<sub>2</sub> on Pd<sub>2</sub>Au (Figure 16). From the (Top View, Figure 16) Pd<sub>2</sub>Au HOMO and O<sub>2</sub> LUMO isosurfaces it is clear that the maximum overlap of orbitals is possible if O<sub>2</sub> binds in a side-on manner on the Pd-Pd side of the cluster and indeed that is the case in the ground-state configuration of Pd<sub>2</sub>Au-O<sub>2</sub>.

Another interesting case involves O<sub>2</sub> adsorption on PdAu<sub>2</sub> where we found two almost degenerate configurations (Figure 6, M-gs and M-2) of the PdAu<sub>2</sub>-O<sub>2</sub> complex. While the M-gs geometry contains nonplanar end-on O<sub>2</sub> bound on Pd, the M-2 geometry contains planar side-on O<sub>2</sub> bound on the Pd-Au side with the Pd-O distance shorter than the Au-O distance. Looking at the symmetry of the O2 LUMO and the PdAu2 HOMO (Figure 17) it is clear that the maximum overlap is possible when the  $d_{z-square}$  like lobe on Pd interacts with the  $O_2$ LUMO. Therefore, the FOP can explain the nonplanar end-on binding of O2 in the PdAu2-O2 ground state. In fact, we can also explain the M-2 geometry. If red (positive wave function) and green (negative wave function) lobes on any one side of the O-O bond overlap with red and green lobes (marked by "x" in blue in the Overall View, Figure 17) of the PdAu<sub>2</sub> HOMO then the interaction is favorable. In fact, the green lobe (marked by "x") on Pd is protruding out due to its larger size than the small red lob (marked by "x") on Au. Due to the more favorable local overlap and more attractive local coulomb forces the Pd-O distance is shorter than the Au-O distance.

To avoid redundancy we do not include the isosurfaces of  $PtAu_2$  in this paper as the shape/symmetry of  $PtAu_2$  HOMO is exactly the same as that of  $PdAu_2$  HOMO. In fact, the ground-state configurations of  $PtAu_2-O_2$  and  $PdAu_2-O_2$  are similar and the FOP can also explain the ground-state geometry of  $PtAu_2-O_2$ .



**Figure 17.** Various views of the HOMO of  $PdAu_2$  and LUMO of  $O_2$  at infinite distance from each other. We also show the  $PdAu_2-O_2$  complex in its ground-state geometry.



**Figure 18.** Various views of the HOMO of  $Pt_2$  and LUMO of  $O_2$  at infinite distance from each other. We also show the  $Pt-O_2$  complex in its ground state (J-gs) and second-most stable geometry (J-2).

4.3.2. Partially Successful Predictions of O<sub>2</sub> Binding Orientation Using the FOP. The FOP is only partially successful in explaining the ground-state geometry of Pt<sub>2</sub>—O<sub>2</sub> (Figure 18). Looking at the shape and symmetry of Pt<sub>2</sub> HOMO and O<sub>2</sub> LUMO one might expect a side-on bound O<sub>2</sub> with equal Pt—O distances in the ground state. However, the almost side-on configuration with surprising unequal Pt—O distances is 2.70 kcal/mol higher in energy than the ground-state Pt<sub>2</sub>—O<sub>2</sub>. If one considers the small protruding lobe along the Pt—Pt axis together with the ring on the same Pt atom, then it is understandable that these lobes can overlap favorably with the O<sub>2</sub> LUMO and lead to the ground-state configuration of Pt<sub>2</sub>—O<sub>2</sub>. In addition, based on the favorable interaction between the small protruding

**Figure 19.** The FOP predicts zero overlap between  $Ag_2Au-HOMO$  and  $O_2-LUMO$ . Still, the  $Ag_2Au-O_2$  ground state contains side-on  $O_2$  bound on the Ag-Ag side.

lobe along the Pt–Pt axis and the  $O_2$  LUMO, an end-on bound  $O_2$  is also expected. We found that the end-on  $Pt_2$ – $O_2$  complex is 7.60 kcal/mol higher in energy than the ground-state configuration. Therefore, although the FOP is useful in predicting various favorable binding configurations of  $O_2$  adsorbed on  $Pt_2$ , an accurate prediction of the actual ground-state configuration cannot be guaranteed.

4.3.3. Failed Predictions of O<sub>2</sub> Binding Orientation Using the FOP. The shape and symmetry of AgAu<sub>2</sub> HOMO (not shown here) is exactly the same as that of Au<sub>3</sub> HOMO except that the central atom is Ag. Hence, the FOP predicts a side-on O<sub>2</sub> bound on the Au-Au side in the ground state where maximum favorable overlap is expected. However, such a configuration is 10.00 kcal/mol higher in energy than the ground-state AgAu<sub>2</sub>-O<sub>2</sub> with side-on O<sub>2</sub> bound on the Ag-Au side where the FOP predicts relatively less overlap of AgAu<sub>2</sub> HOMO and O<sub>2</sub> LUMO. Therefore, the FOP fails to predict the ground-state configuration of AgAu<sub>2</sub>-O<sub>2</sub>. The case of CuAu<sub>2</sub>-O<sub>2</sub> is exactly the same as that of AgAu<sub>2</sub>-O<sub>2</sub>.

Even worse is the case of  $Ag_2Au$ . The  $Ag_2Au$  HOMO (Figure 19) looks quite different than that of  $Au_3$  and  $AgAu_2$ . The FOP picture predicts zero net overlap between the  $O_2$  LUMO and the  $Ag_2Au$  HOMO (due to both positive and negative wave function of  $O_2$  LUMO overlapping with the positive wave function of  $Ag_2Au$  HOMO) if the  $O_2$  molecule adsorbs in a side-on manner on the Ag-Ag side (ground state of  $Ag_2Au-O_2$ ). In fact, the FOP predicts a side-on  $O_2$  bound on the Ag-Au side, but this configuration is 12.00 kcal/mol higher in energy than the  $Ag_2Au-O_2$  ground state. Similarly, the FOP fails to predict the  $Cu_2Au-O_2$  ground-state configuration.

While we do not discuss the details for the remaining clusters, we note that based purely on the FOP we failed to predict the ground-state configuration of adsorbed O<sub>2</sub> on those clusters. We speculate that the following interrelated complex factors ignored in the Frontier Orbital analysis should be considered to accurately predict the binding orientation of O<sub>2</sub>. (1) The cluster HOMO and the O<sub>2</sub> LUMO symmetries may not always match and the orbitals somewhat lower in energy than the cluster HOMO and/or orbitals somewhat higher in energy than the O<sub>2</sub>-LUMO may have the right symmetry/shape to overlap favorably and may dictate the O<sub>2</sub>-binding orientation. If the cluster—O<sub>2</sub> interaction is strong enough then, in general, not only cluster HOMO and O<sub>2</sub> LUMO, but also orbitals lower/higher in energy may contribute to bonding and the FOP may fail. (2) The coulomb interactions (interrelated to orbitals) may affect the orientation of adsorbed O<sub>2</sub>, especially in cases where the extent of charge transfer is substantial (e.g., Na clusters). One should also consider the charge polarization of the bare-alloy clusters due to electronegativity differences in the heteroatoms. (3) It is necessary to carefully examine whether there is any backdonation of electron density from the adsorbed O2 molecule to the cluster, which may again explain the failure of FOP in some cases. However, we think that it is difficult to separate the effects of various factors stated above and accurate predictions of ground-state cluster—O<sub>2</sub> geometries continue to be a challenge for us.

#### 5. Summary

We have performed DFT calculations augmented with statistical mechanics to obtain detailed energetics of O<sub>2</sub> adsorption on pure and Au-alloy clusters with Ag, Cu, Pd, Pt, and Na atoms as alloy atoms. The energetics of fragmentation suggests that the bare and O2-covered clusters reported here are stable. Since our objective was to find trends in the energetics of O<sub>2</sub> adsorption over these clusters, we did not consider dissociation of adsorbed O<sub>2</sub>. We generated a database comprised of O<sub>2</sub> BE, adsorption thermodynamics, NBO charge analysis, Mulliken spin density analysis, O-O stretching frequencies, O-O bond lengths, and HOMO/LUMO energies of bare clusters, and investigated various correlations using this database. We confirmed that our results are consistent with physically and chemically intuitive correlations such as charge transfer versus O-O bond length/frequency and then we proceeded to identify the descriptors of cluster-reactivity toward

Over the entire set of pure and Au—alloy dimers we did not find any particular trend in the  $O_2$  BE. However, we found the following interesting trend for trimers: BE (MAu<sub>2</sub>) < BE (M<sub>3</sub>)  $\leq$  BE (M<sub>2</sub>Au). Replacing one atom in the non-Au cluster by Au atom enhanced the reactivity toward  $O_2$ . But, further addition of Au poisoned the reactivity toward  $O_2$ .

The clusters lose electron density to more electronegative O<sub>2</sub> and the adsorption is stronger and thermodynamically favorable when the extent of electron density donation to adsorbed O2 is higher. The clusters containing electropositive atoms such as Na obviously donate more electron density to adsorbed  $O_2$  and exhibit the highest reactivity toward it. In fact, the MAu<sub>2</sub> trimers, which are least reactive toward O<sub>2</sub>, donate relatively much lower electron density to adsorbed O2. We found an approximate linear correlation between the O<sub>2</sub> BE and charge transfer to O<sub>2</sub>. The charge-transfer and coulomb interactions together roughly explain the BE trends on Au-alloy trimers. The ensemble effect is only useful to explain the highest O<sub>2</sub> BE on M<sub>2</sub>Au clusters. However, the extent of favorable overlap between orbitals in the cluster and O<sub>2</sub> also contributes to the BE, which explains why the correlation between BE and charge transfer is not very precise.

Analogous to the d-band energy for the transition metal surfaces, we found a trend in reactivity of small clusters toward  $O_2$  with the bare-cluster HOMO energy ( $E_{\rm HOMO-Cluster} - E_{\rm LUMO-O_2}$ ): the higher the HOMO energy and the smaller the  $E_{\rm HOMO-Cluster} - E_{\rm LUMO-O_2}$  gap, the larger the charge transfer to  $O_2$  and the larger the BE. Although we found only approximate correlations for BE versus  $E_{\rm HOMO-Cluster} - E_{\rm LUMO-O_2}$  and charge transfer versus  $E_{\rm HOMO-Cluster} - E_{\rm LUMO-O_2}$ , these correlations are better than the BE versus bare-cluster IP correlation (not shown here). This suggests that not only the ability to donate electrons but also the shapes/symmetry of cluster orbitals plays an important role in the cluster- $O_2$  interaction. We attribute part of the scatter in these correlations to the differences in the  $O_2$  binding orientations on different clusters (geometric effect).

We also carried out an exhaustive study to check whether the Frontier Orbital Picture (FOP) is always useful in predicting the orientation of adsorbed  $O_2$ . Since the electron-transfer direction is from clusters to  $O_2$  we looked at the isosurfaces of cluster HOMO and  $O_2$  LUMO. The FOP successfully predicted the ground-state cluster— $O_2$  configuration for the following

clusters: Au<sub>2</sub>, Au<sub>3</sub>, Ag<sub>2</sub>, Ag<sub>3</sub>, Cu<sub>2</sub>, Cu<sub>3</sub>, Pd<sub>2</sub>Au, PdAu<sub>2</sub>, Pt<sub>2</sub>, and PtAu<sub>2</sub>. In most of these cases the FOP was useful in predicting the second-/third-most stable cluster—O<sub>2</sub> configuration as well. However, in some cases such as Ag<sub>2</sub>Au, the FOP completely failed in predicting the most favorable site for O<sub>2</sub> adsorption. For the remaining clusters the Frontier Orbital Analysis either only partially succeeded or failed to predict the favorable sites for O<sub>2</sub> adsorption. We speculate that the failure of FOP could be due to involvement of orbitals lower/higher in energy than the HOMO/LUMO, coulomb forces, and, although less likely, due to back-donation of electron density from adsorbed O<sub>2</sub> to cluster. Finally, we note that all these complex effects are interrelated and accurate prediction of the most favorable O<sub>2</sub> binding sites on clusters continues to remain a challenging task.

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**Supporting Information Available:** Investigation of the convergence of basis sets with much larger basis sets (cc-pVTZ, cc-pVQZ, and cc-pV5Z); the Mulliken spin density analysis for bare and O<sub>2</sub>-covered clusters; details of the fragmentation of bare and O<sub>2</sub>-covered clusters; isolation of the geometric effect responsible for the scatter in the correlations reported. This material is available free of charge via the Internet at http://pubs.acs.org.

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