

# Reactions of Trimethylindium on TiO<sub>2</sub> Nanoparticles: Experimental and Computational Study

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This article reports the results of an experimental and computational study on the reaction of trimethylindium, In(CH<sub>3</sub>)<sub>3</sub>, adsorbed on TiO<sub>2</sub> nanoparticle films. Experimentally, Fourier transform infrared (FTIR) spectra have been measured by varying In(CH<sub>3</sub>)<sub>3</sub> dosing pressure, UV irradiation time in the absence and presence of oxygen, and surface annealing temperature on both “clean” and HO-covered TiO<sub>2</sub> nanoparticle films. Computationally, adsorption energies, molecular structures, and vibrational frequencies of possible adsorbates have been predicted by first-principles calculations based on the density functional theory (DFT) and the pseudopotential method. Three important reactions involving CH<sub>3</sub> elimination, CH<sub>4</sub> elimination, and CH<sub>3</sub> migration from the adsorbed trimethylindium have been elucidated in detail. CH<sub>3</sub> migration is the only exothermic process with the lowest reaction barrier. On the basis of experimental and computational results, the two sharpest peaks at 2979 and 2925 cm<sup>-1</sup>, detected in the dosage and UV irradiation experiments in the absence of oxygen, are attributable to the asymmetric and symmetric C–H vibrations of methyl groups in In(CH<sub>3</sub>)<sub>3</sub>(a) and its derivatives, (H<sub>3</sub>C)<sub>2</sub>In(a), H<sub>3</sub>CIn(a), and H<sub>3</sub>CO(a). In the UV irradiation experiment in the presence of oxygen, the methyl groups attached to the In atom were quickly oxidized to the methoxy with the C–H vibrations at 2925 and 2822 cm<sup>-1</sup> and to the carboxyl group with vibrations at 2888 cm<sup>-1</sup> ( $\nu_s$ (CH)), 1577 cm<sup>-1</sup> ( $\nu_a$ (OCO)), 1380 cm<sup>-1</sup> ( $\delta$ (CH)), and 1355 cm<sup>-1</sup> ( $\nu_s$ (OCO)). Finally, from the computed energies with vibrational analysis, the adsorbed structure of the carboxyl group was confirmed to involve two oxygen atoms doubly adsorbed on two surface Ti atoms.

## 1. Introduction

TiO<sub>2</sub> has been widely studied because of its unique photochemical characteristics for potential applications spanning from photocatalysis to wet solar cells and photoelectrochemical water splitting.<sup>1–5</sup> Recently, we have shown that indium nitride, InN, deposited on 20 nm TiO<sub>2</sub> nanoparticle films by low-pressure organometallic chemical vapor deposition (OMCVD) process<sup>6</sup> exhibits a broad UV/visible absorption between 390 and 800 nm quite similar to Graetzel’s “black” dye,<sup>7</sup> indicating a promising possibility for photovoltaic applications. Understanding the surface reactions between the precursors, hydrazoic acid (HN<sub>3</sub>) and trimethylindium (TMIn), and the TiO<sub>2</sub> substrate can help us elucidate the chemistry involved in the InN film deposition by OMCVD. The reaction of hydrazoic acid with TiO<sub>2</sub> nanoparticle films has been studied in our recent work,<sup>8</sup> and that of TMIn with TiO<sub>2</sub> is presented in this paper.

TMIn is considered to be one of the most efficient indium precursors in manufacturing of indium-containing semiconductors by OMCVD and molecular beam epitaxial (MBE) processes.<sup>9–12</sup> These products are widely used for photovoltaic and optoelectronic applications, such as InN for solar cell and electronic sensors,<sup>13–15</sup> InGaIn for laser diode and light emitter products,<sup>16–18</sup> InAsN for optical fibers,<sup>19</sup> and InP for photodiodes and photovoltaic devices.<sup>5,20</sup>

Various reactions of TMIn on semiconductor surfaces have been widely studied. On the quartz surface, photodissociation of the adsorbed TMIn at 222 nm had been investigated at 150

K and its photofragments, In(CH<sub>3</sub>)<sub>2</sub>, InCH<sub>3</sub>, In, and CH<sub>3</sub>, were detected by time-of-flight mass spectroscopy (TOF/MS).<sup>21,22</sup> On the GaAs(100) surface, the thermal decomposition of TMIn was studied by high-resolution energy loss spectroscopy (HREELS)<sup>23,24</sup> and X-ray photoelectron spectroscopy (XPS),<sup>25</sup> and the photodissociation reaction at 193 nm was studied by angle-resolved XPS.<sup>26</sup> The results showed that the weaker In–C bond cleavage of the adsorbed TMIn was observed at 300 K or dissociated by 193 nm laser irradiation at 150 K. This decomposition process took place via an exchange reaction in which the methyl groups switch from In to Ga due to the stronger Ga–C bond. On the Si(111) surface, the photodissociation at 193 nm<sup>27</sup> and thermal decomposition studies<sup>28</sup> showed that the adsorbed species include indium metal and CH<sub>x</sub> species on the surface resulting from the In–C bond cleavage. At temperatures above 950 K, SiC was the only adsorbate left on the surface. Generally speaking, TMIn chemically adsorbs on the surfaces at low temperature, ~150 K. The weaker In–C bond of the adsorbed TMIn may be broken at higher surface temperature, ~300 K, or by UV irradiation. The adsorbed TMIn fragments further decompose to CH<sub>x</sub>(a), C(a), H(a), and In(a) species at higher surface temperatures.

In this paper, the adsorption and reactions of TMIn on 15–20 nm TiO<sub>2</sub> nanoparticle films have been investigated by Fourier transform infrared (FTIR) experiments aided by first-principle calculations. The experimental setup with FTIR spectroscopy is presented in section 2. The results of these FTIR experiments, which were carried out by systematically examining the effects of TMIn dosage, UV irradiation in the absence of oxygen, UV irradiation in the presence of oxygen, and surface temperature,

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are presented in section 3. The computational method used for the slab surface model is presented in section 4. These calculated results, including the optimized structures, adsorption energies, potential energy surfaces, and vibrational frequencies, are rationalized with the experimental observations and discussed in section 5. This paper is concluded in section 6 with a brief summary.

## 2. Experimental Setup

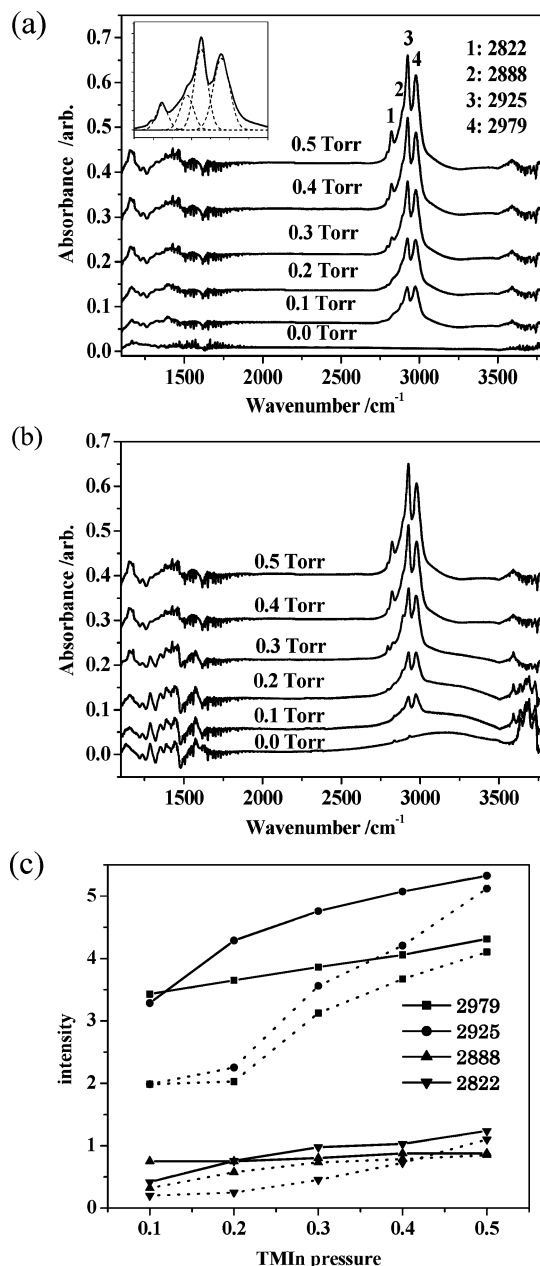
The preparation of  $\text{TiO}_2$  nanoparticles by the sol–gel method, similar to that reported by Zaban and co-workers,<sup>29</sup> was carried out by the controlled hydrolysis of titanium(IV) isopropoxide,  $\text{Ti}(\text{i-OC}_3\text{H}_7)_4$  (Aldrich 97%), in a mixture of glacial acetic acid and water at 273 K. The resulting solution was heated to 353 K for 8 h and then autoclaved at 503 K for 12 h. Then the transformed  $\text{TiO}_2$  sol–gel solution was spread onto a tungsten grid (Alfa Aesar) and baked in an oven at 723 K to form  $\text{TiO}_2$  nanoparticle films with sizes in the range of 15–20 nm as measured by scanning electron microscopic and atomic force microscopic images.<sup>6</sup> To increase the adhesion between  $\text{TiO}_2$  nanoparticles and tungsten grids, the film in the IR cell was then heated to 900 K under vacuum for 24 h. To remove the small amount of organic contaminants and to fill oxygen vacancies in  $\text{TiO}_2$ , the film (denoted as “clean” film hereafter) was annealed at 673 K in a vacuum with 2 Torr of oxygen for 2 h prior to every experiment. It should be noted that our  $\text{InN}$  films were also deposited previously on  $\text{TiO}_2$  nanoparticles of the same 15–20 nm size.

TMIn purchased from Strem with 98+% purity was stored in a Pyrex tube after vacuum distillation. The effusive beam source, from the vapor over the crystalline TMIn at room temperature, was introduced into the system through a 1/8-in. stainless steel tube above the substrates. The gas flow rate monitored by a low-pressure transducer (MSK Baratron) was controlled by combined needle and shutoff valves.

The vacuum system setup, similar to the one employed by Basu et al.,<sup>30</sup> was described in detail previously.<sup>8</sup> Briefly, a stainless steel IR cell with two  $\text{CaF}_2$  windows sealed by Viton O-rings and one UV-grade sapphire window was connected to a high-vacuum chamber with a base pressure of  $1 \times 10^{-7}$  Torr.  $\text{TiO}_2$  nanoparticle film supported on a tungsten grid was held rigidly by a power/thermocouple feedthrough and could be resistively heated to 900 K. The spectra presented in this paper were measured by a Bruker IFS66 FTIR spectrometer equipped with an MCT detector and had been subtracted by the background spectrum of a “clean”  $\text{TiO}_2$  nanoparticle film. The entire optical path was purged with dry air passing through  $\text{H}_2\text{O}$ - and  $\text{CO}_2$ -free filters (Ballston). A 1000 W high-pressure Hg-(Xe) arc lamp (Oriol) was used as the UV light source ( $\lambda \geq 200$  nm). The intensity of the UV light beam used for current photochemical experiments could be varied up to 2.0  $\text{W}/\text{cm}^2$  as measured in air inside the IR cell with a power meter.

## 3. Experimental Results and Discussion

The following four subsections discuss the  $\text{In}(\text{CH}_3)_3$  dosage, UV irradiation in the absence of oxygen, UV irradiation in the presence of oxygen, and surface annealing experiments, respectively. Each series of experiments was carried out on the “clean” and the HO-covered surfaces. The “clean” surface prepared as described in the Experimental Section was applied as the background scan in the FTIR experiments. The HO-covered surface was prepared by exposing 2 Torr of water vapor on the “clean” surface for 10 min, evacuating for 30 min, and then heating the surface to 373 K for 5 min. After the heating process,



**Figure 1.** FTIR spectra of the dosing experiment on (a) “clean” and (b) HO-covered  $\text{TiO}_2$  nanoparticle films. (c) Plots of peak areas as a function of dosing pressure. Solid and dotted lines represent the changes of the “clean” and HO-covered surfaces, respectively.

the molecularly adsorbed water was removed and pure HO-covered surface was formed. This HO-covered surface was confirmed by the disappearance of the water bending mode at ca. 1620  $\text{cm}^{-1}$  and the remaining associated OH stretching mode with a broad adsorption in the range of 3200–3800  $\text{cm}^{-1}$ . This observation of the HO-covered surface agreed with previous results.<sup>31,32</sup>

**3.1.  $\text{In}(\text{CH}_3)_3$  Dosage Experiment.** The FTIR spectra from dosage-dependent experiments on the “clean” and HO-covered surfaces are shown in parts a and b, respectively, of Figure 1. The surfaces were exposed to  $\text{In}(\text{CH}_3)_3$  for 1 min at the pressures indicated in the figures and followed by evacuation for 1 min at room temperature. The IR spectra in the two kinds of surfaces are slightly different. At low dosing pressure,  $\leq 0.3$  Torr, two sharp peaks appeared at ca. 2979 and 2925  $\text{cm}^{-1}$  related to asymmetric and symmetric stretches of the methyl groups, respectively. As the dosage increased, additional two peaks at

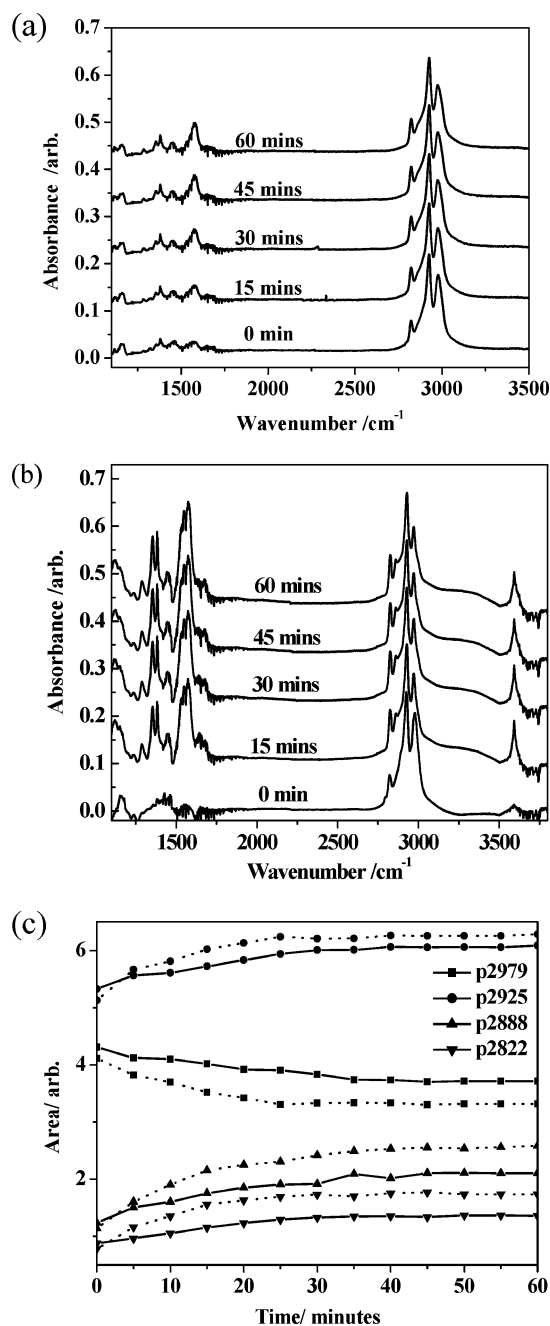
ca. 2888 and 2822  $\text{cm}^{-1}$  became somewhat obvious. These two peaks might be related to the C–H stretching of methyl derivatives, for example, methoxy and carboxyl groups. All the vibrations in the range of 2800–3000  $\text{cm}^{-1}$  corresponding to the C–H stretches were originated from the adsorbed  $(\text{H}_3\text{C})_3\text{In}$  and its fragments. These results are consistent with those of TMIIn on the GaAs(100) surface measured by HREELS.<sup>23</sup> On the HO-covered surface, the  $\sim 3690$   $\text{cm}^{-1}$  OH stretch peak was found to be removed effectively by TMIIn due to facile reaction, as will be discussed later.

These peaks were deconvoluted by four Gaussian functions. An example, the deconvolution results of a 0.5 Torr dosage spectrum on the “clean” surface, is shown in the inset of Figure 1a. The deconvoluted peak areas varying with  $\text{In}(\text{CH}_3)_3$  dosing pressures are shown in Figure 1c. The solid and dotted lines are corresponding to the changes observed on the “clean” and HO-covered surfaces, respectively. Not surprisingly, all the peak areas increase as the dosage increases on both surfaces. The two peaks at 2979 and 2925  $\text{cm}^{-1}$  with higher peak intensities and faster increasing rates than the other two peaks are related to the molecularly adsorbed  $(\text{H}_3\text{C})_3\text{In}$  and its fragments, which can easily be formed at room temperature by breaking the first In–C bond.<sup>23,26</sup> On the other hand, the peaks at 2888 and 2822  $\text{cm}^{-1}$  with slower increasing rates and appearing at higher dosages on the “clean” surface are related to the  $\text{CH}_3$  derivatives, which require higher pressures to be formed and detected. Their identities will be discussed in detail later with more information from different experiments and computational results.

The intensities of methyl vibrations are quite different on the “clean” and HO-covered surfaces at low TMIIn dosages. The smaller intensities on the HO-covered surface indicates that less TMIIn adsorbed on the HO-covered surface at lower dosage because a large fraction of it reacts with the HO species on the surface and eliminates its methyl as methane or methanol. The difference becomes smaller as the TMIIn dosage increases because TMIIn is abundant enough to saturate on these two kinds of surfaces at higher TMIIn dosages.

**3.2. UV Irradiation Experiments in the Absence of Oxygen.** After the dosing experiments carried out at room temperature, the last samples with the highest dosages shown in parts a and b of Figure 1 were irradiated with a continuous UV lamp in the vacuum chamber to study the photolysis effect. The lamp was blocked when FTIR spectra were taken to avoid the scattered infrared from the UV source. The selected FTIR spectra of the “clean” and HO-covered surfaces with UV irradiation are shown in parts a and b, respectively, of Figure 2, and the peak areas after deconvoluting by varying with irradiation times are plotted in Figure 2c. The solid and dotted lines stand for the peak changes during the UV irradiation in the absence of  $\text{O}_2$  on the “clean” and HO-covered surfaces, respectively.

From the figures, both surfaces show a similar trend with UV irradiation. No peak shifting or additional peaks were found in the C–H vibrational range, 2800–3000  $\text{cm}^{-1}$ . The peaks of these methyl-related peak intensities had negligible changes during the experiments. The 2979  $\text{cm}^{-1}$  peak was the only one decreasing and the other three peaks at 2925, 2888, and 2822  $\text{cm}^{-1}$  slowly increased during the UV irradiation. The small changes of those IR spectra in the 2800–3000  $\text{cm}^{-1}$  range can be explained by the UV photons breaking no chemical bonds of the adsorbates other than the weaker In–C bonds of the adsorbed  $(\text{H}_3\text{C})_3\text{In}$ . The broken methyl groups with similar vibrational frequencies will remain on the surface and undergo migration forming  $\text{H}_3\text{CO}(\text{a})$  during the irradiation, similar to

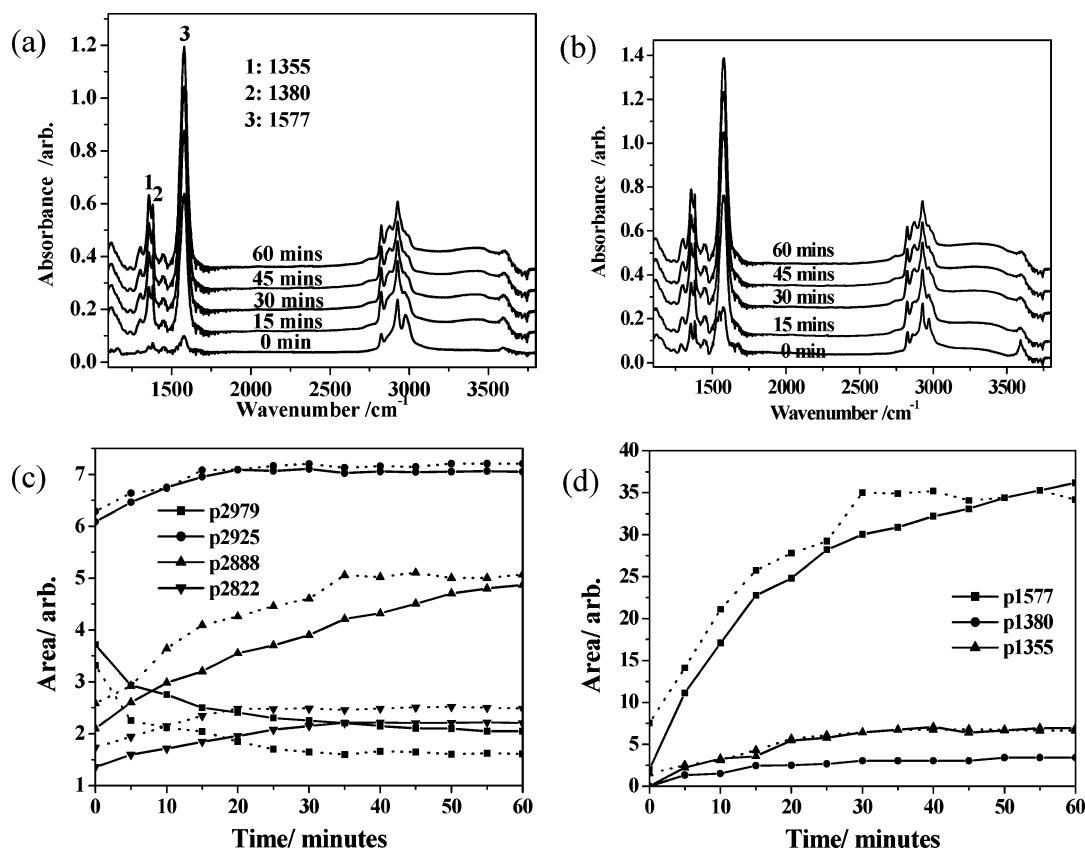


**Figure 2.** FTIR spectra of the UV irradiation experiment in the absence of oxygen on (a) “clean” and (b) HO-covered  $\text{TiO}_2$  nanoparticle films. (c) Plots of peak areas as a function of UV irradiation time. Solid and dotted lines represent the changes of the “clean” and HO-covered surfaces, respectively.

the results of TMIIn on the GaAs surface forming a stronger Ga–C bond.<sup>26,27</sup> As a result, the UV irradiation in the absence of oxygen resulted in only a small change of methyl vibrations in the FTIR spectra.

Significantly, some new peaks in the range of 1300–1600  $\text{cm}^{-1}$  grew noticeable after the irradiation. These peaks derive primarily from the  $\text{HCOO}(\text{a})$  species that may be formed by the oxidation of  $\text{H}_3\text{CO}(\text{a})$  with the residual amount of  $\text{O}_2$  present in the system. These oxidation processes are more obvious if a larger amount of oxygen is present during the UV irradiation, as will be described in the following subsection.

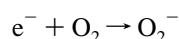
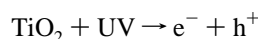
**3.3. UV Irradiation Experiment in the Presence of Oxygen.** The FTIR spectra of the “clean” and HO-covered surfaces obtained in the presence of oxygen with UV irradiation



**Figure 3.** FTIR spectra of the UV irradiation experiment in the presence of oxygen on (a) "clean" and (b) HO-covered  $\text{TiO}_2$  nanoparticle films. (c, d) Plots of peak areas as a function of UV irradiation time. Solid and dotted lines represent the changes of the "clean" and HO-covered surfaces, respectively.

are shown in parts a and b, respectively, of Figure 3. The four peaks related to C–H vibrations in the region of 2800–3000  $\text{cm}^{-1}$  were noted to change dramatically, and three additional peaks at ca. 1355, 1380, and 1577  $\text{cm}^{-1}$  grew quickly. The peak areas after deconvolution are compared in parts c and d of Figure 3. The solid and dotted lines represent the changes in the "clean" and HO-covered surfaces, respectively.

In these experiments, the strong oxidant can be formed by UV irradiation in the presence of oxygen with  $\text{TiO}_2$ :<sup>33</sup>



These processes are related to the high photocatalytic ability of  $\text{TiO}_2$  which has been widely applied in waste treatments.<sup>34,35</sup> The strong  $\text{O}_2^-$  oxidant is able to convert the  $\text{H}_3\text{CO(a)}$  to  $\text{HCOO(a)}$ <sup>36–39</sup> and thus explains the changes in the FTIR spectra. In the first step, more methyl groups attached to the In atom are oxidized to  $\text{H}_3\text{CO(a)}$ . In this process, the  $\text{H}_3\text{C}$ -related peak at 2979  $\text{cm}^{-1}$  dropped rapidly as the  $\text{H}_3\text{CO}$ -related peak at 2822  $\text{cm}^{-1}$  increased. The peak at 2925  $\text{cm}^{-1}$  originated from both  $\text{H}_3\text{C}$  groups and  $\text{H}_3\text{CO(a)}$  remained constant after the initial small increase. The increasing rate of the  $\text{H}_3\text{CO(a)}$  peak at 2822  $\text{cm}^{-1}$  was small because, in the ensuing step,  $\text{H}_3\text{CO(a)}$  could be further oxidized to form  $\text{HCOO(a)}$ , whose related four peaks,  $\nu_s(\text{CH})$  at 2888  $\text{cm}^{-1}$ ,  $\nu_a(\text{OCO})$  at 1577  $\text{cm}^{-1}$ ,  $\delta(\text{CH})$  at 1380  $\text{cm}^{-1}$ , and  $\nu_s(\text{OCO})$  at 1355  $\text{cm}^{-1}$ ,<sup>36,40</sup> were found to increase rapidly during the entire experiments. The fact that the increasing rates of these peaks are different can be attributed to the different IR intensities. However, the similar trend among them implies that these peaks are related to the same species. In addition,

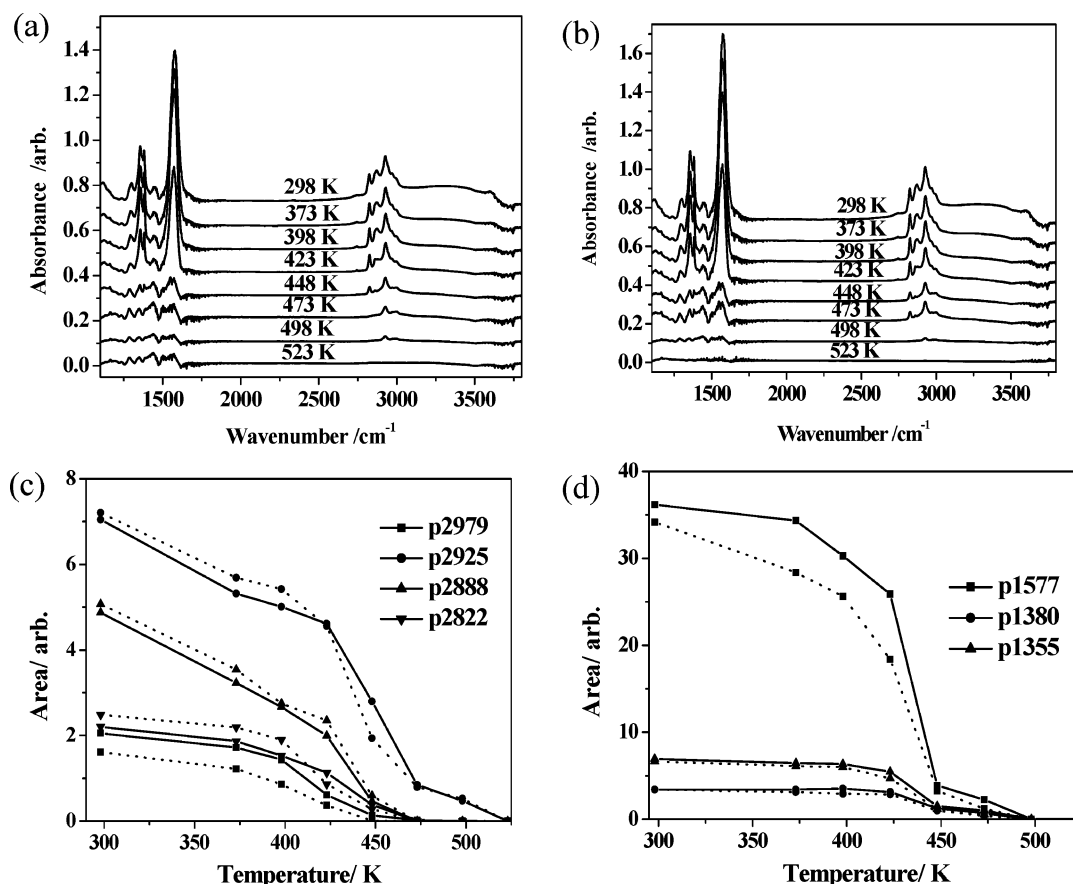
these vibrational frequency assignments can be confirmed by first-principles calculations in section 5.3, and the series of oxidation processes are consistent with similar photooxidation results of dimethylmethylphosphonate,<sup>36</sup> methanol,<sup>37</sup> ethanol,<sup>38</sup> and methylamine<sup>39</sup> on powdered  $\text{TiO}_2$  nanoparticle films.

**3.4. Surface Annealing Experiment.** After 60 min of UV irradiation in the presence of oxygen, the last samples shown in parts a and b of Figure 3 were annealed at different temperatures to study the thermal effect. The surfaces were cooled from the indicated temperatures to room temperature when the spectra were taken to avoid excited phonon emissions. The FTIR spectra of the "clean" and HO-covered surfaces with annealing are shown in parts a and b, respectively, of Figure 4. Varying with surface temperatures, the peak areas after deconvoluting are shown in parts c and d of Figure 4. The solid and dotted lines symbolize the changes in the "clean" and HO-covered surfaces, respectively.

In this annealing experiment, all the peaks decreased as the surface temperatures increased, and these peaks disappeared completely when the surfaces were annealed up to 523 K. The  $\text{H}_3\text{C}$ -related peak at 2979  $\text{cm}^{-1}$  diminished quickly from 398 to 448 K; the  $\text{H}_3\text{CO(a)}$ -related peak at 2822  $\text{cm}^{-1}$  dropped swiftly from 423 to 473 K; similarly, the  $\text{HCOO(a)}$ -related peaks at 2888, 1577, 1380, and 1355  $\text{cm}^{-1}$  also vanished from 423 to 448 K. The decreasing rates of these adsorbates show no significant differences.

To conclude the experimental results, as aforementioned, the peaks at 2979 and 2925  $\text{cm}^{-1}$  are attributable to the asymmetric and symmetric vibrations of the methyl groups in the molecularly adsorbed TMIIn and its fragments on the surface. During UV irradiation in the absence of oxygen, the 2979  $\text{cm}^{-1}$  peak decreases somewhat with irradiation time with a concomitant





**Figure 4.** FTIR spectra of the surface annealing experiment on (a) "clean" and (b) HO-covered TiO<sub>2</sub> nanoparticle films. (c, d) Plots of peak areas as a function of annealed temperature. Solid and dotted lines represent the changes of the "clean" and HO-covered surfaces, respectively.

small increase of the 2925 and 2822 cm<sup>-1</sup> peaks attributable to the formation of CH<sub>3</sub>O(a). Overall, the FTIR spectra showed limited changes. On the other hand, with UV irradiation in the presence of oxygen, the adsorbates could be oxidized from CH<sub>3</sub> attached to the In atom to CH<sub>3</sub>CO to HCOO by the strong oxidant, O<sub>2</sub><sup>-</sup>. The spectra showed dramatic changes with this trend. Finally, in the surface annealing experiments, all these peak intensities dropped as the surface temperatures increased and totally disappeared at 523 K.

It is worth noting that no significant differences were found between the "clean" and HO-covered surfaces. This can be rationalized by the fact that TiO<sub>2</sub> nanoparticles easily retain OH groups on their surfaces.<sup>41,42</sup> Even treated with high temperature heating in a vacuum, the OH groups still remain on TiO<sub>2</sub> nanoparticle surfaces. Since the "clean" surface spectrum was used as background, these "hidden" OH groups on the "clean" surface could not be detected in Figure 1a. On the other hand, the OH vibrations on the HO-covered surfaces in Figure 1b could be attributed to the highly saturated OH groups, which can be consumed by TMIn immediately as described above.

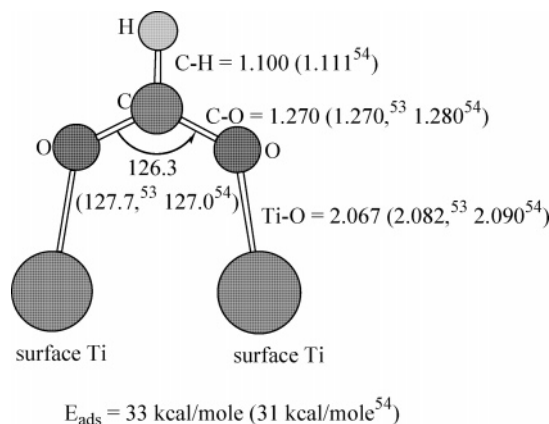
Current experimental results are similar to the experimental results of TMIn on other semiconductor surfaces,<sup>23,36,39</sup> but no energetic detail had been discussed in detail to date. In the next two sections, the mechanism of surface reactions will be elucidated by first-principles calculations and comparison with current experimental data will also be made.

#### 4. Computational Method

The geometric structures and related energies were optimized and calculated by the Vienna Ab initio Simulation Package (VASP),<sup>43–46</sup> implementing the density functional theory (DFT)

with local-density approximation (LDA).<sup>47</sup> The Perdew–Wang 1991 (PW91) formulation,<sup>48</sup> which worked well for surfaces,<sup>49</sup> was used in the generalized gradient approximation (GGA)<sup>48,50</sup> for the total energy calculations. The pseudopotentials supplied with VASP were applied to the core electrons. The 10 valence electrons, 3p<sup>6</sup> 4s<sup>2</sup>, and 3d<sup>2</sup>, of each Ti atom and the six valence electrons, 2s<sup>2</sup> and 2p<sup>4</sup>, of each O atom were explicitly considered in the calculation. Their orbitals were expanded in a plane-wave basis for the periodic properties and all plane waves with kinetic energies smaller than the chosen cutoff energy for the basis set convergence.

As reasoned and completely described in our previous work,<sup>8</sup> the TiO<sub>2</sub>(110) surface, modeled as an infinite slab, was employed for simulating the film of TiO<sub>2</sub> nanoparticles. Briefly, a supercell, consisting of 16 [TiO<sub>2</sub>] units with the bond lengths and angles initially defined according to the experimental value,<sup>51</sup> extended periodically in three directions: repetition in the plane creates an infinite slab, and periodicity in the direction perpendicular to the slab creates an infinite stack of slabs. This surface supercell has dimensions of  $\sqrt{2}a \times c$  along the (110) and (001) directions, where  $a$  and  $c$  are the lattice constants of the bulk TiO<sub>2</sub> unit cell, and contains four layers of the (110) plane with the bridged oxygen and titanium atoms on top of the surface as active sites. This generates an infinite stack of quasi-two-dimensional slabs, each separated from its neighbors by a certain vacuum layer. To minimize the interaction between distinct slab surfaces in this infinitely periodic model system, the vacuum separation on top is chosen equal to 10.4 Å, which is the same as previous studies.<sup>8</sup> A 600 eV cutoff energy and  $4 \times 5 \times 1$  Monkhorst–Pack  $k$ -points<sup>52</sup> were employed in the current calculation. The first layer in the bottom was fixed to



**Figure 5.** Adsorption energy and optimized structures of adsorbed  $\text{HCOO}(\text{a})$  on two surface Ti atoms compared with previous results<sup>53,54</sup> in parentheses.

ensure no substantial interactions between the neighboring slabs. All these parameters were applied successfully to the  $\text{HN}_3/\text{TiO}_2$  system<sup>8</sup> and provided a good description of gas–surface reactions.

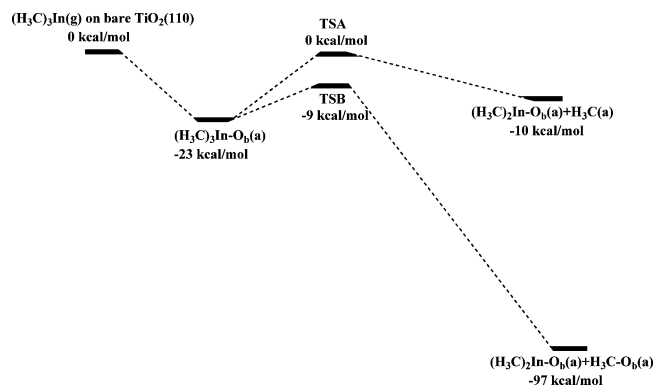
Furthermore, the reliability of this model was tested by computing the adsorption of the carboxyl group on the surface, which was observed from the UV irradiation experiment in the presence of oxygen discussed in section 3.3. The most stable adsorption structure,  $\alpha\text{-OC}(\text{H})\text{O}(\text{a})$ , has two oxygen atoms of the carboxyl group bonded to two surface Ti atoms, as shown in Figure 5. Comparing the adsorption energy and geometric structure of this species, the current computed results show close agreement with previous values,<sup>53,54</sup> implying that these parameters can be reliably applied to the current  $\text{TMIn}/\text{TiO}_2$  system.

As described in the  $\text{HN}_3/\text{TiO}_2$  system,<sup>8</sup> a two-layer  $\text{TiO}_2$  cluster has been shown to be sufficient for simulating the force field of the  $\text{TiO}_2$  surface affecting the adsorbate vibrations. Therefore, the vibrational frequencies were modeled by the optimized structures in the slab model calculation with two layers of  $\text{TiO}_2$  (eight  $[\text{TiO}_2]$  units) and calculated by a hybrid Hartree–Fock/density functional theory (HF/DFT) method, B3LPY, which includes Becke’s three-parameter nonlocal-exchange function<sup>55</sup> with the correlation functional of Lee–Yang–Parr,<sup>56</sup> and the standard all-electron split-valence basis set 6-31G(d)<sup>57</sup> in Gaussian03.<sup>58</sup>

## 5. Computational Results and Discussion

To elucidate the experimental results, the surface reactions of  $\text{TMIn}$  on  $\text{TiO}_2$  have been computed in detail. Since the oxidation process from the adsorbed methyl groups to the carboxyl group, which is related to the experiment of UV irradiation in the presence of oxygen, had been studied extensively,<sup>36,38,39</sup> the oxidation processes were not computed and discussed here. Three major reactions, including the methyl group elimination, the methane elimination, and the methyl group migration processes, have been comprehensively investigated in this computational section.

As shown in the computed potential energy surface of  $\text{TMIn}$  on “bare”  $\text{TiO}_2$  surface in Figure 6, two reactions can occur on the surface via  $(\text{H}_3\text{C})_3\text{In}-\text{O}_\text{b}(\text{a})$ : one produces  $(\text{H}_3\text{C})_2\text{In}-\text{O}_\text{b}(\text{a}) + \text{H}_3\text{C}(\text{a})$ , where one of the  $\text{CH}_3$  groups is dissociatively adsorbed on a bare Ti atom (“ $\text{O}_\text{b}$ ” stands for the bridged oxygen). The reaction is exothermic by 10 kcal/mol with a 23 kcal/mol activation barrier forming  $(\text{H}_3\text{C})_2\text{In}-\text{O}_\text{b}(\text{a})$ . The other path,  $(\text{H}_3\text{C})_3\text{In}-\text{O}_\text{b}(\text{a}) \rightarrow (\text{H}_3\text{C})_2\text{In}-\text{O}_\text{b}(\text{a}) + \text{H}_3\text{C}-\text{O}_\text{b}(\text{a})$ , which



**Figure 6.** Surface reactions of  $\text{TMIn}$  on bare  $\text{TiO}_2(110)$  surface.

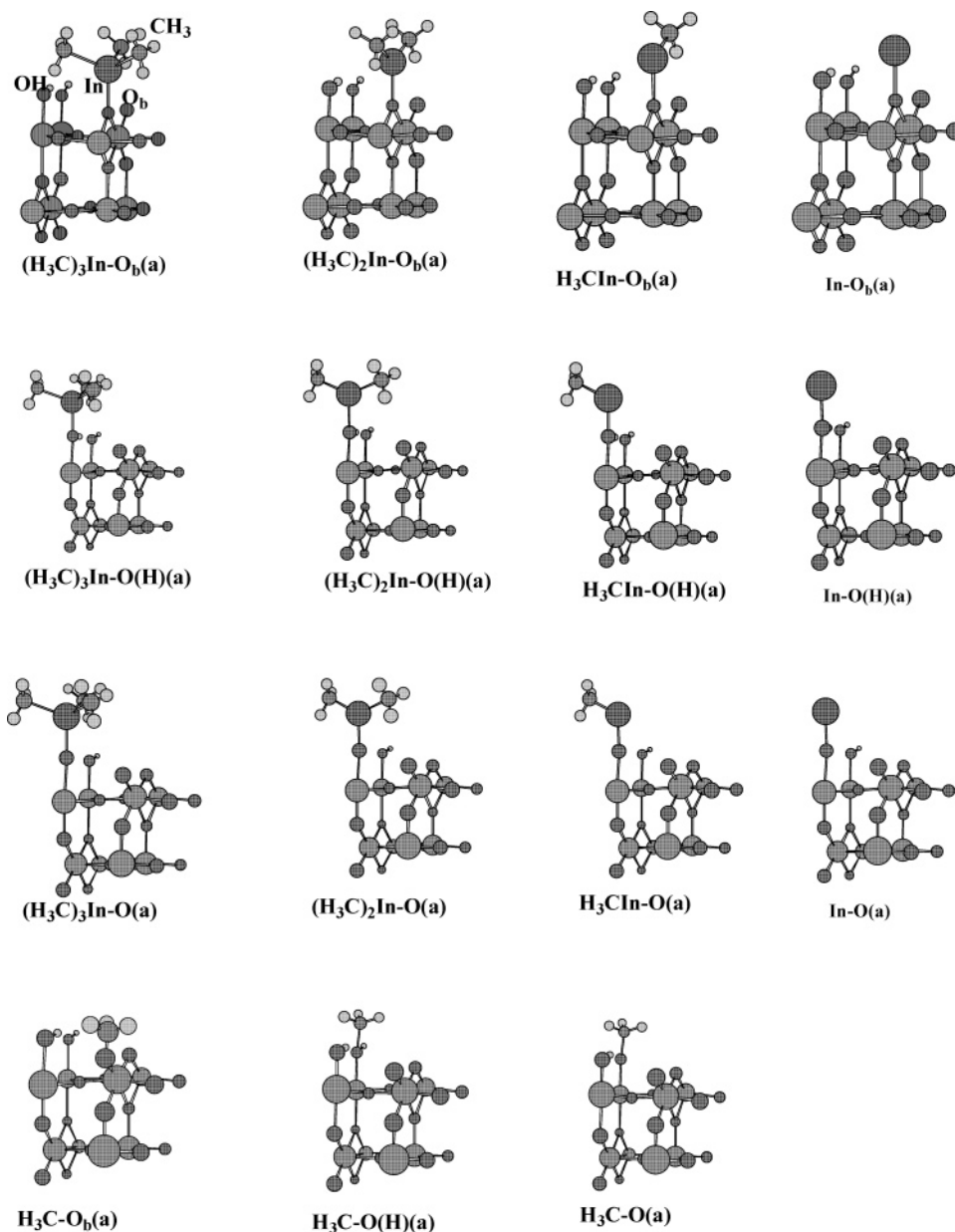
takes place via a small 4 kcal/mol barrier, is more exothermic,  $-97 \text{ kcal/mol}$ . Contrary to the strong binding at the  $\text{O}_\text{b}$  site, the  $\text{CH}_3$  can only bond weakly with surface Ti atoms, and the indium and indium-containing species cannot bond with the surface Ti atoms since both indium and titanium are electron-deficient metal atoms. Furthermore, the experimental results from both “clean” and  $\text{HO}$ -covered surfaces were quite similar, which is related to the fact that powdered  $\text{TiO}_2$  films have been proven to retain  $\text{OH}$  groups on the surface, even at high temperatures in a vacuum as mentioned above.<sup>41,42</sup>

Reasoned from the above, the reactions on the  $\text{HO}$ -covered  $\text{TiO}_2$  surface are discussed in detail here. The results of geometric optimization and adsorption energies of possible adsorbed species are presented in section 5.1. To account for the experimental observations, the potential energy surfaces of reactions are plotted by calculating the key transition states in section 5.2. Finally, the computed frequencies of these stable species are compared with the experimental results in section 5.3.

**5.1. Adsorbate Structures and Adsorption Energies.** The possible surface species,  $(\text{CH}_3)_3\text{In}$  and its fragments, including  $(\text{CH}_3)_2\text{In}$ ,  $\text{CH}_3\text{In}$ ,  $\text{CH}_3$ , and  $\text{In}$ , adsorbing on the surface active sites, the bridged oxygen ( $\text{O}_\text{b}$ ), and the oxygen atom of the  $\text{OH}$  group covering the surface, were computed by the VASP code. The optimized structures with the partial surface model for brevity are shown in Figure 7. The selected bond lengths,  $\text{CH}$ -related vibrations calculated at the B3LYP/6-31G(d) level (with the scaling factor,<sup>59</sup> 0.98), and adsorption energies of these optimized adsorbates are listed in Table 1.

For the  $\text{C}-\text{H}$  bonds in all the adsorbates, the maximum difference in bond length is less than  $0.01 \text{ \AA}$ . For indium-related bonds, the  $\text{In}-\text{O}_\text{b}$  or  $\text{In}-\text{O}$  bond lengths exhibit a slightly increasing trend following the order of  $(\text{H}_3\text{C})_3\text{In}(\text{a}) < (\text{H}_3\text{C})_2\text{In}(\text{a}) < \text{H}_3\text{CIn}(\text{a}) < \text{In}(\text{a})$ , and the  $\text{In}-\text{C}$  bond lengths show a reverse trend (see Table 1). However, these differences in the bond lengths provide limited information on their stabilities.

The  $(\text{H}_3\text{C})_2\text{In}$  adsorbates,  $(\text{H}_3\text{C})_2\text{In}-\text{O}_\text{b}(\text{a})$ ,  $(\text{H}_3\text{C})_2\text{In}-\text{O}(\text{H})-\text{a}(\text{a})$ , and  $(\text{H}_3\text{C})_2\text{In}-\text{O}(\text{a})$ , are more stable than their related  $(\text{H}_3\text{C})_3\text{In}$  or  $\text{H}_3\text{CIn}$  adsorbates,  $(\text{H}_3\text{C})_3\text{In}-\text{O}_\text{b}(\text{a})$ ,  $(\text{H}_3\text{C})_3\text{In}-\text{O}(\text{H})-\text{a}(\text{a})$ , and  $(\text{H}_3\text{C})_3\text{In}-\text{O}(\text{a})$  or  $\text{H}_3\text{CIn}-\text{O}_\text{b}(\text{a})$ ,  $\text{H}_3\text{CIn}-\text{O}(\text{H})-\text{a}(\text{a})$ , and  $\text{H}_3\text{CIn}-\text{O}(\text{a})$ . The stable  $(\text{H}_3\text{C})_2\text{In}$  adsorbates indicate that indium, an element in group III, is favored to be 3-fold coordinated. Comparing the three  $(\text{H}_3\text{C})_2\text{In}$  species adsorbing on the different surface sites of  $\text{O}_\text{b}$ ,  $\text{OH}$ , and  $\text{O}$ ,  $(\text{H}_3\text{C})_2\text{In}-\text{O}(\text{a})$  is most stable with  $92 \text{ kcal/mol}$  adsorption energy. This can also be explained by the most stable 2-fold-coordinated oxygen atom in  $(\text{H}_3\text{C})_2\text{In}-\text{O}(\text{a})$ . The other oxygen atoms of the surface  $\text{O}_\text{b}$  and  $\text{OH}$  sites are 3-fold coordinated when they are bonded with adsorbates and are less stable. Therefore, the adsorption energy of an  $\text{In}$ -related adsorbate adsorbed on surface  $\text{O}$  site is



**Figure 7.** Optimized structures of possible adsorbates listed in Table 1 displayed with two layers of the  $\text{TiO}_2(110)$  surface model for brevity.

higher than that adsorbed on surface  $\text{O}_b$  and  $\text{HO}$  sites (Table 1). The results imply that a hydroxylated clean  $\text{TiO}_2$  surface can enhance the adsorption of In-containing species.

**5.2. Surface Reactions and PES.** Since the current surface model contained two surface Ti atoms, which were both covered with  $\text{HO}(\text{a})$  groups, the initial reactants included two adsorbed  $\text{HO}(\text{a})$  groups and one unreacted  $(\text{H}_3\text{C})_3\text{In}(\text{g})$  in the gas phase,  $(\text{H}_3\text{C})_3\text{In}(\text{g}) + 2\text{HO}(\text{a})$ . Starting with  $(\text{H}_3\text{C})_3\text{In}$  molecularly adsorbed on the surface forming  $(\text{H}_3\text{C})_3\text{In}-\text{O}_b(\text{a}) + 2\text{HO}(\text{a})$  and  $(\text{H}_3\text{C})_3\text{In}-\text{O}(\text{H})(\text{a}) + \text{HO}(\text{a})$ , as shown in Figures 8 and 9, respectively, three types of the surface reactions,  $\text{CH}_3$  elimination,  $\text{CH}_3$  migration, and  $\text{CH}_4$  elimination, were computed. All the elimination reactions are endothermic processes, and the migration reactions are exothermic processes.

$\text{CH}_3$  elimination is a direct dissociation process by breaking either  $\text{In}-\text{C}$  or  $\text{O}-\text{C}$  bonds without intrinsic barriers. Based on the results in Figures 8 and 9, the methyl group forms a weaker bond with metal In,  $\sim 27$  kcal/mol. (It also forms a weaker bond with a surface metal Ti atom, 13 kcal/mol, in Figure 6.) On the other hand, the bonds between the methyl group and surface oxygen are much stronger:  $\text{H}_3\text{C}-\text{O}_b$ , 100

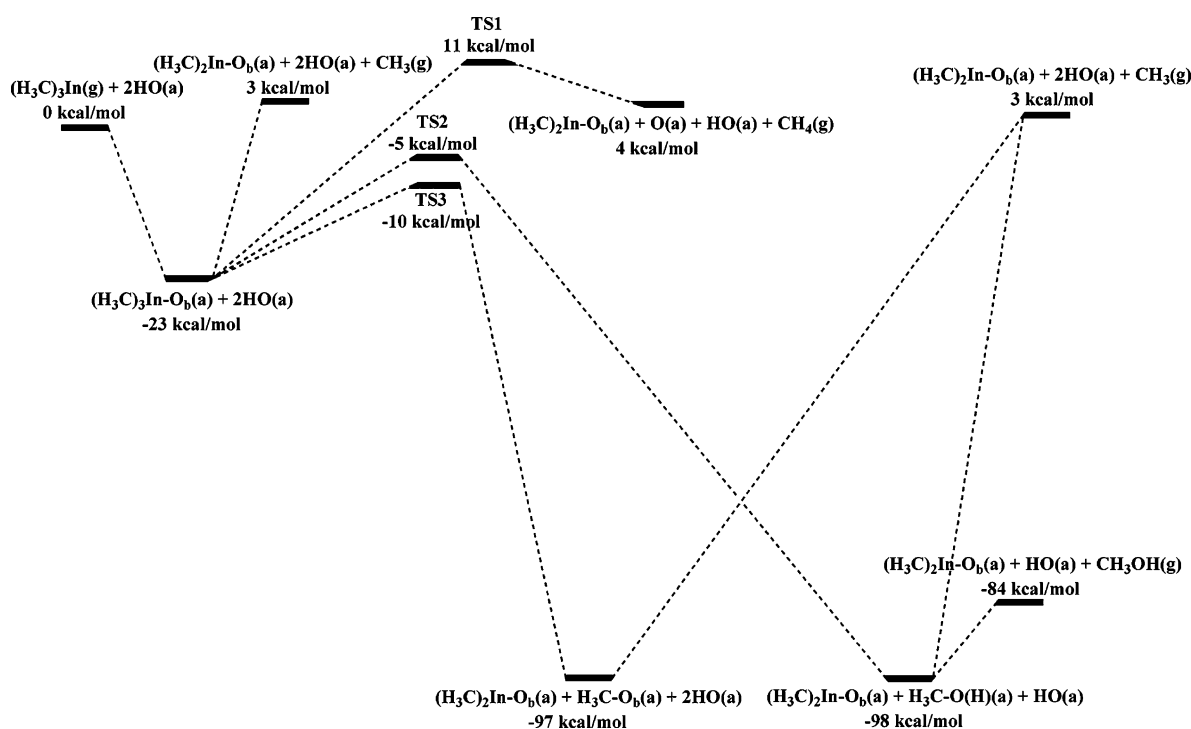
kcal/mol, and  $\text{H}_3\text{C}-\text{O}(\text{H})$ , 102 kcal/mol (see Table 1). Therefore, the methyl group can bond with the surface oxygen tightly but dissociate from the adsorbed TMin easily. These results also can explain the  $\text{CH}_3$  migration process in the following.

The  $\text{CH}_3$  migration results from two concurrent processes:  $\text{CH}_3$  elimination from an adsorbed TMin and  $\text{CH}_3$  adsorption on an  $\text{O}_b$  or  $\text{O}$  atom of the surface  $\text{OH}$  group. As described above, the highly exothermic adsorption energy of the methyl group and less endothermic energy of  $\text{CH}_3$  elimination make the heat of reaction in  $\text{CH}_3$  dissociative adsorption (or the migration from the In to an O atom) to be highly exothermic. Furthermore, the transition states, TS2, TS3, TS6, and TS7, in the  $\text{CH}_3$  migration processes are energetically lower than the initial reactants,  $(\text{H}_3\text{C})_3\text{In}(\text{g}) + 2\text{HO}(\text{a})$ , and their barriers are also smaller, 11–18 kcal/mol. The lower barriers of these transition states are related to their structures, in which the weaker  $\text{In}-\text{C}$  bonds are lengthened while the stronger  $\text{O}-\text{C}$  bonds are shortened, in the middle of reactants and products. Therefore, this result is consistent with our experimental observation that the IR intensities of methyl peaks changed slightly in the UV irradiation in the absence of oxygen

**TABLE 1: Selected Bond Lengths (Å), CH Related Vibrational Frequencies, and Adsorption Energies<sup>a</sup> (kcal/mol) of Various Adsorbates Predicted by Calculations**

	In–O <sub>b</sub> or C–O <sub>b</sub>	In–O or C–O	In–C	C–H	$\nu_a(\text{CH})^a$	$\nu_s(\text{CH})^a$	$E_{\text{ads}}^b$
(H <sub>3</sub> C) <sub>3</sub> In–O <sub>b</sub> (a)	2.064		2.283	1.091	2988	2920	23
(H <sub>3</sub> C) <sub>2</sub> In–O <sub>b</sub> (a)	2.095		2.206	1.090	2978	2910	74
H <sub>3</sub> CIn–O <sub>b</sub> (a)	2.115		2.150	1.094	2985	2911	63
In–O <sub>b</sub> (a)	2.138						102
(H <sub>3</sub> C) <sub>3</sub> In–O(H)(a)		2.079	2.213	1.092	2979	2936	19
(H <sub>3</sub> C) <sub>2</sub> In–O(H)(a)		2.097	2.197	1.095	2979	2926	69
H <sub>3</sub> CIn–O(H)(a)		2.150	2.101	1.090	2975	2930	61
In–O(H)(a)		2.195					94
(H <sub>3</sub> C) <sub>3</sub> In–O(a)		2.000	2.198	1.094	2987	2934	43
(H <sub>3</sub> C) <sub>2</sub> In–O(a)		2.017	2.173	1.093	2983	2930	92
H <sub>3</sub> CIn–O(a)		2.073	2.140	1.090	2985	2930	78
In–O(a)		2.133					115
H <sub>3</sub> C–O <sub>b</sub> (a)	1.423			1.096	2987	2934	100
H <sub>3</sub> C–O(H)(a)		1.439		1.092	2946	2855	102
H <sub>3</sub> C–O(a)		1.394			2928	2827	118

<sup>a</sup> Present experimental values for H<sub>3</sub>C stretches are 2979 and 2925 cm<sup>-1</sup>; those for H<sub>3</sub>CO stretches are 2925 and 2822 cm<sup>-1</sup>. <sup>b</sup>  $E_{\text{ads}} = -(E_{\text{total}} - E_{\text{molecule}} - E_{\text{surface}})$ , for TMIn and its fragments on HO-covered TiO<sub>2</sub>(110) surface predicted with the slab model using VASP. The related structures are shown in Figure 7.

**Figure 8.** Potential energy surface related to surface reactions starting from (H<sub>3</sub>C)<sub>3</sub>In adsorbed on the bridged oxygen, (H<sub>3</sub>C)<sub>3</sub>In–O<sub>b</sub>(a).

experiments because the methyl group can migrate and remain on the surface with external energy provided by heating or UV irradiation at room temperature.<sup>26,27</sup>

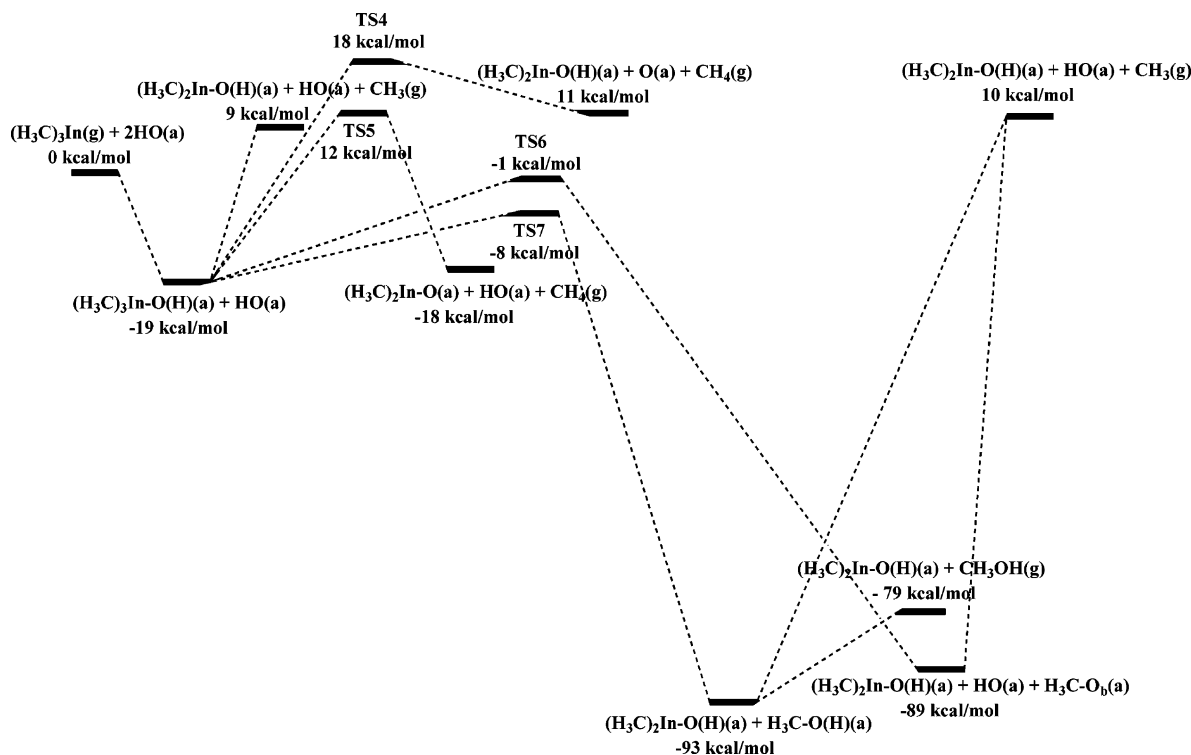
Finally, CH<sub>4</sub> elimination can be considered as the combination of three processes: CH<sub>3</sub> elimination, O–H bond breaking, and C–H bond formation. The energy required for the O–H bond breaking process is similar to the energy released from the C–H bond formation. Therefore, CH<sub>4</sub> elimination has an endothermicity similar to that of the CH<sub>3</sub> elimination process. Unlike CH<sub>3</sub> migration, the CH<sub>4</sub> elimination processes are less favored to happen on the surface because the transition states, TS1, TS4, and TS5, are energetically higher than the initial reactants and their barriers are over 31 kcal/mol. These energetically higher transition states are related to the breaking of the strong O–H bonds.

**5.3. Vibrational Frequency Analysis.** The geometric structures and related strong antisymmetric stretch and symmetric stretch vibrations of CH<sub>3</sub>,  $\nu_a(\text{CH})$  and  $\nu_s(\text{CH})$ , are shown in

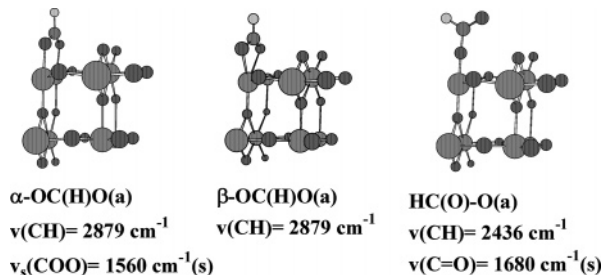
Figure 7 and Table 1, respectively. The four strong peaks related to CH<sub>3</sub> vibrations in the 2800–3000 cm<sup>-1</sup> range can be resolved by computational analysis. The adsorbed species, (H<sub>3</sub>C)<sub>3</sub>In–O<sub>b</sub>(a), (H<sub>3</sub>C)<sub>2</sub>In–O<sub>b</sub>(a), H<sub>3</sub>CIn–O<sub>b</sub>(a), H<sub>3</sub>C–O<sub>b</sub>(a), (H<sub>3</sub>C)<sub>3</sub>In–O(H)(a), (H<sub>3</sub>C)<sub>2</sub>In–O(H)(a), H<sub>3</sub>CIn–O(H)(a), (H<sub>3</sub>C)<sub>3</sub>In–O(a), (H<sub>3</sub>C)<sub>2</sub>In–O(a), and H<sub>3</sub>CIn–O(a), all have close  $\nu_a(\text{CH})$  and  $\nu_s(\text{CH})$  in the ranges of 2998–2975 and 2910–2936 cm<sup>-1</sup>, which may be compared with current experimental results, 2979 and 2925 cm<sup>-1</sup>, respectively. The better agreement of the predicted (H<sub>3</sub>C)<sub>2</sub>In–O<sub>b</sub>(a) vibrations than those of (H<sub>3</sub>C)<sub>3</sub>In–O<sub>b</sub>(a) with the experimental values indicates that (H<sub>3</sub>C)<sub>2</sub>In–O<sub>b</sub>(a) is more stable and may be formed directly by dissociative adsorption as explained in section 5.1.

On the other hand, the CH<sub>3</sub> stretches of the methoxy adsorbates, H<sub>3</sub>C–O(H)(a) and H<sub>3</sub>C–O(a), are different from those of the aforementioned species. The experimental values with  $\nu_a(\text{CH}) = 2925$  cm<sup>-1</sup> and  $\nu_s(\text{CH}) = 2822$  cm<sup>-1</sup> are closely related to the vibrations of H<sub>3</sub>C–O(a) with  $\nu_a(\text{CH}) = 2928$  and





**Figure 9.** Potential energy surface related to surface reactions starting from  $(\text{H}_3\text{C})_3\text{In}$  adsorbed on the oxygen of the HO group,  $(\text{H}_3\text{C})_3\text{In}-\text{O}(\text{H})(\text{a})$ .



**Figure 10.** Geometric structures and related vibrational frequencies of possible HCOO adsorption structures. Comparison with FTIR observations of  $\nu(\text{CH}) = 2888 \text{ cm}^{-1}$  and  $\nu_a(\text{OCO}) = 1577 \text{ cm}^{-1}$ .

$\nu_s(\text{CH}) = 2827 \text{ cm}^{-1}$  rather than the vibrations of  $\text{H}_3\text{C}-\text{O}(\text{H})(\text{a})$  with  $\nu_a(\text{CH}) = 2946 \text{ cm}^{-1}$  and  $\nu_s(\text{CH}) = 2855 \text{ cm}^{-1}$ . This is because the weakly adsorbed methanol is easily desorbed from the surface. It is worth noting that the computed  $20 \text{ cm}^{-1}$  vibrational shifting between methoxy and methanol adsorbates also agrees well with previous experimental observations.<sup>37,41</sup>

In addition, the  $\nu_s(\text{OCO})$  vibrations of the three possible structures,  $\alpha\text{-OC}(\text{H})\text{O}(\text{a})$ ,  $\beta\text{-OC}(\text{H})\text{O}(\text{a})$ , and  $\text{HC}(\text{O})\text{O}(\text{a})$ , of the adsorbed  $\text{HCOO}(\text{a})$  generated from the oxidation process in the UV irradiation experiment in the presence of  $\text{O}_2$  are also computed, as shown in Figure 10. In the computed  $\text{HC}(\text{O})\text{O}(\text{a})$  structure, there is a strong  $\text{C}=\text{O}$  vibration ( $\sim 1680 \text{ cm}^{-1}$ ) which was not observed in the FTIR spectra. The computed  $\beta\text{-OC}(\text{H})\text{O}(\text{a})$  does not exhibit a strong  $\nu_a(\text{OCO})$  vibration. On the other hand, the FTIR observed  $\text{HCOO}(\text{a})$  frequencies agree well with predicted frequencies of  $\alpha\text{-OC}(\text{H})\text{O}(\text{a})$ . This prediction is also consistent with previous experimental works.<sup>36,40</sup> Furthermore, the experimentally observed structure  $\alpha\text{-OC}(\text{H})\text{O}(\text{a})$  has two oxygens doubly bonded on the surface, which makes  $\alpha\text{-OC}(\text{H})\text{O}(\text{a})$  the most stable structure with  $E_{\text{ads}} = 33 \text{ kcal/mol}$ .

## 6. Summary

In this work, the adsorption and reactions of TMIIn on  $\text{TiO}_2$  nanoparticle films have been experimentally studied by FTIR

spectroscopy, monitoring the effects of dosage, UV irradiation in both the absence and presence of oxygen, and surface annealing. Computationally, the adsorption energies and vibrational frequencies of the possible adsorbates have been predicted by first-principles calculations.

In the dosage and UV irradiation experiments in the absence of oxygen, the  $\text{CH}_3$  vibrations derive mainly from the adsorbed TMIIn and its derivatives including  $\text{CH}_3\text{O}(\text{a})$ . Some of the methyl groups attached to the In atom can migrate onto the surface at room temperature or by UV photons. Therefore, the FTIR spectra revealed only minor changes in these experiments. This interpretation is consistent with the computed potential energy surfaces in Figures 8 and 9, which show that  $\text{CH}_3$  migration has low transition state barriers and is highly exothermic because of the weak  $\text{In}-\text{CH}_3$  and strong  $\text{H}_3\text{C}-\text{O}(\text{b})$  or  $\text{H}_3\text{C}-\text{O}(\text{H})$  bonds.

On the other hand, in the UV irradiation in the presence of oxygen, more methyls can be oxidized to methoxy and to the carboxyl, which is substantiated by its adsorption peaks at 1577, 1380, and  $1355 \text{ cm}^{-1}$ . The computed results further confirmed the structure of the adsorbed carboxyl on  $\text{TiO}_2$  surface as  $\alpha\text{-OC}(\text{H})\text{O}(\text{a})$ . The adsorption energies of several adsorbates on  $\text{O}_b$  atoms and the HO-covered Ti atoms have been computed.

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