

On the configurations of even unimodular lattices of rank 48

By

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1. Introduction. Let Γ_{8k} ($k \geq 1$) be the genus consisting of all isomorphic classes of positive definite even unimodular lattices of rank $8k$. Let L be an element of Γ_{8k} . An element x of L is called a $2m$ -vector if it satisfies $(x, x) = 2m$, where (\cdot, \cdot) is the metric attached to L . We let $\mathcal{L}_{2m}(L)$ denote the sublattice of L generated by all $2m$ -vectors in L , and $\mathcal{L}_{2m_1+2m_2}(L)$ the sublattice of L generated by all $2m_1$ -vectors and $2m_2$ -vectors in L . Let $a(2t, L)$ be the number of $2t$ -vectors in L for positive integer t . For a sublattice L_1 of L , the rank of L_1 , which is denoted by $\text{rank } L_1$, is defined to be the maximal number of linearly independent vectors over \mathbb{Q} in L_1 , where \mathbb{Q} is the field of rational numbers.

We use the notations A_n , D_n and E_n to denote the root lattices, i.e. the irreducible lattices generated by 2-vectors in them of the indicated rank n .

The main purpose of this paper is to prove

Theorem 1. *Let L be an element of Γ_{48} . If it hold that $a(2, L) = a(4, L) = 0$, then we have*

$$\mathcal{L}_6(L) = L.$$

and

Theorem 2. *Let L be an element of Γ_{48} . Assume that either $a(2, L) > 0$ or $a(4, L) > 0$ holds. Then we have,*

- (i) *when $\text{rank } \mathcal{L}_2(L) \geq 3$, then it holds*
 $\text{rank } \mathcal{L}_4(L) = 48$,
- (ii) *when $\text{rank } \mathcal{L}_2(L) = 2$ and $\mathcal{L}_2(L) \cong A_2$ (isomorphic), then either*
 $\text{rank } \mathcal{L}_4(L) = 48$ *or*
 $\text{rank } \mathcal{L}_6(L) = 48$ *holds,*
- (iii) *when $\text{rank } \mathcal{L}_2(L) = 2$ and $\mathcal{L}_2(L) \cong A_1 \oplus A_1$, then it holds*
 $\text{rank } \mathcal{L}_4(L) = 48$,
- (iv) *when $\text{rank } \mathcal{L}_2(L) = 1$, then it holds either*
 $\text{rank } \mathcal{L}_4(L) = 48$ *or*
 $\text{rank } \mathcal{L}_6(L) = 48$,
- (v) *when $\text{rank } \mathcal{L}_2(L) = 0$, then it holds*
 $\text{rank } \mathcal{L}_4(L) = 48$.

In the first version of this paper, the form of Theorem 1 is weaker than that of the present version. We have refined it. Note that Theorem 1 is also stated in [8] without proof. Theorems 1 and 2 include the Theorem in [5] as a special result.

2. Preliminary results. Let L be an element in Γ_{8k} , then theta-series of degree 1 attached to L is defined by

$$\vartheta(\mathbf{z}, L) = \sum_{x \in L} \mathbf{e}((x, x) \mathbf{z}),$$

where \mathbf{z} is the variable of the upper-half plane H and $\mathbf{e}(\cdot) = \exp(\pi i \cdot)$. Theta-series with spherical function P_v of degree v attached to L is defined by (Conf. [1], [5], [6])

$$\vartheta(\mathbf{z}, P_v, L) = \sum_{x \in L} \{P_v(x; \alpha)\} \mathbf{e}((x, x) \mathbf{z}),$$

where α is a vector in $L \otimes_{\mathbb{Z}} \mathbb{Q}$ and \mathbb{Z} is the ring of rational integers. If we use the finite set

$$A_{2t}(L) = \{x \in L \mid (x, x) = 2t\},$$

then we have

$$\begin{aligned} \vartheta(\mathbf{z}, L) &= 1 + \sum_{t=1}^{\infty} \sum_{x \in A_{2t}(L)} \mathbf{e}((x, x) \mathbf{z}) \\ &= \sum_{t=0}^{\infty} a(2t, L) \mathbf{e}(2t \mathbf{z}) \quad \text{and} \\ \vartheta(\mathbf{z}, P_v, L) &= \sum_{t=1}^{\infty} \sum_{x \in A_{2t}(L)} \{P_v(x; \alpha)\} \mathbf{e}((x, x) \mathbf{z}). \end{aligned}$$

Here we give the precise forms of the spherical function $P_v(x; \alpha)$ of degree ($v = 6, 8$), which are not given in [1]:

$$\begin{aligned} P_6(x; \alpha) &= (x, \alpha)^6 - 15/(f+8) (x, \alpha)^4 (x, x) (\alpha, \alpha) \\ &\quad + 45/(f+8) (f+6) (x, \alpha)^2 (x, x)^2 (\alpha, \alpha)^2 \\ &\quad - 15/(f+8) (f+6) (f+4) (x, x)^3 (\alpha, \alpha)^3, \\ P_8(x; \alpha) &= (x, \alpha)^8 - 28/(f+12) (x, \alpha)^6 (x, x) (\alpha, \alpha) \\ &\quad + 210/(f+12) (f+10) (x, \alpha)^4 (x, x)^2 (\alpha, \alpha)^2 \\ &\quad - 420/(f+12) (f+10) (f+8) (x, \alpha)^2 (x, x)^3 (\alpha, \alpha)^3 \\ &\quad + 105/(f+12) (f+10) (f+8) (f+6) (x, x)^4 (\alpha, \alpha)^4, \end{aligned}$$

where f is the rank of the lattice L .

Let $\mathbf{M}(1, k)$ (resp. $\mathbf{S}(1, k)$) be the linear space of modular (resp. cusp) forms of degree 1 and weight k belonging to $SL(2, \mathbb{Z})$.

From now on, we specify the lattice L to be in Γ_{48} . Then it is known that

$$(1) \quad \vartheta(\mathbf{z}, L) \in \mathbf{M}(1, 24), \quad \vartheta(\mathbf{z}, P_v, L) \in \mathbf{S}(1, 24 + v)$$

and

$$(2) \quad \dim \mathbf{M}(1, 24) = 3, \quad \dim \mathbf{S}(1, 26) = 1, \quad \dim \mathbf{S}(1, 24 + v) = 2 \quad v = 4, 6, 8.$$

We choose $E_4^6(z)$, $E_4^3(z) \Delta_{12}(z)$, $\Delta_{12}^2(z)$ (resp. $E_{14}(z) \Delta_{12}(z)$) as the basis (resp. base) for $\mathbf{M}(1, 24)$ (resp. $\mathbf{S}(1, 26)$), where $E_k(z)$ is the normalized Eisenstein series of weight k and $\Delta_{12}(z)$ is the normalized cusp form of weight 12. Their Fourier expansions can be easily calculated. By the above facts, we get

$$(3) \quad \vartheta(z, P_2, L) = c_1 E_{14}(z) \Delta_{12}(z)$$

and

$$(4) \quad \vartheta(z, L) = c_2 E_4^6(z) + c_3 E_4^3(z) \Delta_{12}(z) + c_4 \Delta_{12}^2(z),$$

with suitable constants c_1, c_2, c_3, c_4 in \mathbb{C} , the field of complex numbers.

From (3), we have the relations

$$(5) \quad \sum_{x \in \mathcal{A}_2(L)} \{(x, x)^2 - (\alpha, \alpha)(x, x)/48\} = c_1,$$

$$(6) \quad \sum_{y \in \mathcal{A}_4(L)} \{(y, y)^2 - (\alpha, \alpha)(y, y)/48\} = -48 c_1$$

and

$$(7) \quad \sum_{w \in \mathcal{A}_6(L)} \{(w, w)^2 - (\alpha, \alpha)(w, w)/48\} = -195804 c_1.$$

By comparing the Fourier coefficients in the both sides of (4), we get

$$c_2 = 1,$$

$$(8) \quad a(2, L) = 1440 + c_3,$$

$$(9) \quad a(4, L) = 876960 + 696 c_3 + c_4$$

and

$$(10) \quad a(6, L) = 292072320 + 162252 c_3 - 48 c_4.$$

By noting

$$\sum_{x \in \mathcal{A}_{2t}(L)} (x, x) = 2t a(2t, L) \quad \text{for } t \geq 1,$$

we see that the relations (5) and (6) (resp. (5) and (7)) can be unified to

$$(11) \quad \begin{aligned} & \sum_{y \in \mathcal{A}_4(L)} (y, y)^2 - (\alpha, \alpha) a(4, L)/12 \\ &= -48 \sum_{x \in \mathcal{A}_2(L)} (x, x)^2 + 2(\alpha, \alpha) a(2, L) \end{aligned}$$

and

$$(12) \quad \begin{aligned} & \sum_{w \in \mathcal{A}_6(L)} (w, w)^2 - (\alpha, \alpha) a(6, L)/8 \\ &= -195804 \sum_{x \in \mathcal{A}_2(L)} (x, x)^2 + 16317(\alpha, \alpha) a(2, L)/2 \end{aligned}$$

respectively.

Throughout the following Props. 1–3, we suppose that L is an element of Γ_{48} . First we prove

Proposition 1. *If $a(2, L) = 0$ holds, then we have either*

$$\begin{aligned} \text{rank } \mathcal{L}_4(L) &= 48 \quad \text{or} \\ \text{rank } \mathcal{L}_6(L) &= 48. \end{aligned}$$

P r o o f. Since it holds that $a(2, L) = 0$ and $\Lambda_2(L) = \emptyset$ (empty set), the Eqs. (9) and (10) become

$$(13) \quad \sum_{y \in \Lambda_4(L)} (y, \alpha)^2 = (\alpha, \alpha) a(4, L)/12$$

and

$$(14) \quad \sum_{w \in \Lambda_6(L)} (w, \alpha)^2 = (\alpha, \alpha) a(6, L)/8$$

respectively.

First we assume that $a(4, L) \neq 0$. If it holds that $\text{rank } \mathcal{L}_4(L) < 48$, then we can find a non-zero vector $\alpha \in L \otimes_{\mathbb{Z}} \mathbb{Q}$ so that $(y, \alpha) = 0$ for any $y \in \Lambda_4(L)$. Thus the left-hand side of (13) equals zero, whereas the right-hand side is not zero. This is a contradiction, so that we must have

$$\text{rank } \mathcal{L}_4(L) = 48.$$

Next we assume that $a(2, L) = a(4, L) = 0$. Then by (8), (9) and (10), we get

$$a(6, L) = 52\,416\,000.$$

If it holds that $\text{rank } \mathcal{L}_6(L) < 48$, then we can find a non-zero vector $\alpha \in L \otimes_{\mathbb{Z}} \mathbb{Q}$ so that $(w, \alpha) = 0$ for any $w \in \Lambda_6(L)$. This leads to a contradiction on the both sides in (14), so that we must have

$$\text{rank } \mathcal{L}_6(L) = 48. \quad \square$$

Proposition 2. *If $a(4, L) = 0$ holds, then we have either*

$$\begin{aligned} \text{rank } \mathcal{L}_2(L) &= 48 \quad \text{or} \\ \text{rank } \mathcal{L}_6(L) &= 48. \end{aligned}$$

P r o o f. From the assumption $a(4, L) = 0$ and the formula (11), we obtain

$$(15) \quad \sum_{x \in \Lambda_2(L)} (x, \alpha)^2 = (\alpha, \alpha) a(2, L)/24.$$

Combining (12) with (15), we obtain

$$(16) \quad \sum_{w \in \Lambda_6(L)} (w, \alpha)^2 = (\alpha, \alpha) a(6, L)/8.$$

If $a(2, L) > 0$, then we can conclude that

$$\text{rank } \mathcal{L}_2(L) = 48,$$

using a similar reasoning to that of the proof of Prop. 1.

If $a(2, L) = 0$ and $a(4, L) = 0$, then we should have $a(6, L) > 0$, because $\vartheta(\mathbf{z}, L)$ vanishes when $a(2, L) = a(4, L) = a(6, L) = 0$. Then by virtue of (16), we can conclude that

$$\text{rank } \mathcal{L}_6(L) = 48. \quad \square$$

Proposition 3. *If either $a(2, L) > 0$ or $a(4, L) > 0$ holds, then we have*

$$\text{rank } \mathcal{L}_{2+4}(L) = 48.$$

Proof. We rewrite the formula (11) to the form

$$(17) \quad \sum_{y \in A_4(L)} (y, \alpha)^2 + 48 \sum_{x \in A_2(L)} (x, \alpha)^2 = (\alpha, \alpha) [a(4, L)/12 + 2a(2, L)].$$

Suppose it holds that

$$\text{rank } \mathcal{L}_{2+4}(L) < 48,$$

then we can find a non-zero vector $\alpha \in L \otimes_{\mathbb{Z}} \mathbb{Q}$ so that $(y, \alpha) = 0$ for any $y \in A_4(L)$ and $(x, \alpha) = 0$ for any $x \in A_2(L)$. Hence the left-hand side of (17) is zero, and the right-hand side of (17) is positive, so that we must have

$$\text{rank } \mathcal{L}_{2+4}(L) = 48. \quad \square$$

Lemma 1. *If the linearly independent 2-vectors x_1, \dots, x_r ($r \geq 3$) form an irreducible (or reducible), lattice, then from them we can make r linearly independent 4-vectors.*

Proof. In [3], we have proved that the lattice L generated by 2-vectors x_1, \dots, x_r has basis consisting of 2-vectors (Prop. 2–2) and that such L can be decomposed into an orthogonal sum of some of A_n , D_n , E_6 , E_7 and E_8 (admitting the repetitions). We can easily show that

$$(*) \quad A_n \ (n \geq 3) \text{ (resp. } D_n, E_n) \text{ contains } n \text{ linearly independent 4-vectors.}$$

For instance, let u_1, u_2, \dots, u_n be linearly independent 2-vectors in A_n satisfying $(u_1, u_2) = (u_2, u_3) = \dots = (u_{n-1}, u_n) = -1$ and $(u_i, u_j) = 0$ for $|i - j| \geq 2$, then u_1, \dots, u_n are the basis of A_n and $u_1 + u_3, \dots, u_1 + u_n$, $u_1 - u_3$ and $u_1 + 2u_2 + u_3$ are linearly independent 4-vectors. For the cases D_n , E_6 , E_7 or E_8 , we can similarly show the above fact (*). When L is irreducible the proof is already over.

When L is reducible, then the combinations $u + v$, where u and v are 2-vectors taken from different irreducible components, form a desired system – we may pick up from some of them when the number of the members exceeds r . \square

3. Proofs of Theorems 1 and 2.

Proof of Theorem 1. Let L be an element of Γ_{48} satisfying $a(2, L) = a(4, L) = 0$. Two vectors x_1 and x_2 in L are said to be equivalent mod $\mathcal{L}_6(L)$ if they satisfy $x_1 - x_2 \in \mathcal{L}_6(L)$. This is an equivalence relation on L , and we divide L into equivalence classes.

We shall show that each equivalence class is represented by a 6-vector. This implies that $\mathcal{L}_6(L) = L$.

Let K be any one of the equivalence classes, and x_0 be a minimal representative of K , that is, a non-zero vector satisfying

$$(x_0, x_0) \leq (y, y) \quad \forall y \in K.$$

Suppose that x_0 is not a 6-vector, then it holds that $(x_0, x_0) = 2m$ for some $m \geq 4$. Furthermore, we see that

$$(18) \quad |(x_0, y)| \leq 3 \quad \text{for any } y \in A_6(L).$$

For, if $(x_0, y) > 3$, then $z = x_0 - y$ satisfies $(z, z) < (x_0, x_0)$, contradicting to the minimality of x_0 in K .

We introduce similar quantities to those given in [7], namely:

$$N_k(x_0) = \text{the cardinality of } \{y \in A_6(L) \mid (x_0, y) = k\} \quad 0 \leq k \leq 3.$$

We see that

$$(19) \quad \sum_{x \in A_6(L)} (x, x_0)^{2a} = 2N_1(x_0) + 2^{2a+1}N_2(x_0) + 2 \cdot 3^{2a}N_3(x_0) \quad \text{for } a \geq 1.$$

The conditions (1), (2) and $a(2, L) = a(4, L) = 0$ leads to

$$g(z, P_v, L) = 0 \quad \text{for } v = 2, 4, 6, 8.$$

This implies

$$(20) \quad \sum_{x \in A_{2t}(L)} P_v(x; \alpha) = 0 \quad t \geq 1, \quad v = 2, 4, 6, 8.$$

If we take $\alpha = x_0$ and $t = 3$, then by virtue of (20) and the explicit forms of P_v we obtain

$$(21) \quad \sum_{x \in A_6(L)} (x, x_0)^2 = 1/48 \sum_{x \in A_6(L)} (x, x) (x_0, x_0) \\ = 6\,552\,000 (x_0, x_0),$$

$$(22) \quad \sum_{x \in A_6(L)} (x, x_0)^4 = 2\,358\,720 (x_0, x_0)^2,$$

$$(23) \quad \sum_{x \in A_6(L)} (x, x_0)^6 = 1\,360\,800 (x_0, x_0)^3 \quad \text{and}$$

$$(24) \quad \sum_{x \in A_6(L)} (x, x_0)^8 = 1\,058\,400 (x_0, x_0)^4.$$

From (19), (21), (22), (23), we have

$$\begin{aligned} N_1(x_0) &= 28\,350 (x_0, x_0)^3 - 638\,820 (x_0, x_0)^2 + 4\,914\,000 (x_0, x_0), \\ N_2(x_0) &= -11\,340 (x_0, x_0)^3 + 196\,560 (x_0, x_0)^2 - 491\,400 (x_0, x_0), \\ N_3(x_0) &= 1\,890 (x_0, x_0)^3 - 16\,380 (x_0, x_0)^2 + 36\,400 (x_0, x_0). \end{aligned}$$

We substitute the above equations into (19) with $a = 4$, then by (24) we get

$$\begin{aligned} 1\,058\,400 (x_0, x_0)^4 &= 19\,051\,200 (x_0, x_0)^3 - 115\,577\,280 (x_0, x_0)^2 \\ &\quad + 235\,872\,000 (x_0, x_0). \end{aligned}$$

This equation does not hold for $(x_0, x_0) \geq 8$, so that we reach a contradiction. And we must have $(x_0, x_0) \leq 6$. This completes the proof of Theorem 1.

Proof of Theorem 2. First we treat the case (i). By Prop. 3, we can find 2-vectors $x_1, x_2, x_3, \dots, x_r$ ($r \geq 3$) and 4-vectors y_1, \dots, y_s such that $r + s = 48$ and $x_1, \dots, x_r, y_1, \dots, y_s$ are linearly independent over \mathbb{Q} .

By Lemma 1, we can make r 4-vectors w_1, \dots, w_r which are linearly equivalent to x_1, \dots, x_r over \mathbb{Q} . Then w_1, \dots, w_r and y_1, \dots, y_s are the desired system, and this implies

$$\text{rank } \mathcal{L}_4(L) = 48.$$

Next we prove (ii). By Prop. 3, we can find 2-vectors x_1, x_2 and 4-vectors y_1, y_2, \dots, y_{46} that are linearly independent over \mathbb{Q} . We may suppose that

$$(25) \quad (x_1, x_2) = 1 \quad \text{and}$$

$$(26) \quad |(x_i, y_j)| \leq 2 \quad \text{with } i = 1, 2 \quad 1 \leq j \leq 46.$$

The equality (25) is derivable from the assumption that $\mathcal{L}_2(L) \cong A_2$, and (26) is derivable from the inequality

$$(x_i, y_j)^2 \leq (x_i, x_i)(y_j, y_j) = 8.$$

There are four subcases (a) ~ (d) to treat separately.

(a) When $(x_1, y_j) = (x_2, y_j) = 0$ hold for $1 \leq j \leq 46$. Then we can verify that $x_1 - y_1, x_1 - y_2, \dots, x_1 - y_{46}, x_1 + x_2$ and $x_1 - 2x_2$ are linearly independent 6-vectors, so that we have

$$\text{rank } \mathcal{L}_6(L) = 48.$$

(b) When it hold that $(x_1, y_j) = 0$ for all $1 \leq j \leq 46$ and $(x_2, y_k) = \pm 1$ for some $1 \leq k \leq 46$. We may assume that $(x_2, y_k) = 1$, for if $(x_2, y_k) = -1$ we use $-y_k$ instead of y_k . Then we can verify that $y_1, y_2, \dots, y_{46}, -x_1 + x_2 - y_k$ and $x_2 - y_k$ are linearly independent 4-vectors over \mathbb{Q} , so that we have

$$\text{rank } \mathcal{L}_4(L) = 48.$$

(c) When $(x_1, y_j) = \pm 1$ and $(x_2, y_k) = \pm 1$ hold for some $1 \leq j, k \leq 46$. Then we can verify that $y_1, \dots, y_{46}, x_1 - (x_1, y_j)y_j$ and $x_2 - (x_2, y_k)y_k$ are linearly independent 4-vectors over \mathbb{Q} , so that we have

$$\text{rank } \mathcal{L}_4(L) = 48.$$

(d) When either $(x_1, y_k) = \pm 2$ or $(x_2, y_k) = \pm 2$ holds for some $1 \leq k \leq 46$. If $(x_1, y_k) = \pm 2$, then x_1, x_2 and $x_1 - (x_1, y_k)y_k$ are linearly independent 2-vectors, so that we have $\text{rank } \mathcal{L}_2(L) \geq 3$, contrary to the assumption of (ii). The case $(x_2, y_k) = \pm 2$ is equally treated. This completes the proof of (ii).

Proof of (iii). By Prop. 3, we can find 2-vectors x_1 and x_2 and 4-vectors y_1, \dots, y_{46} , linearly independent over \mathbb{Q} . By our assumption, it holds that $(x_1, x_2) = 0$. It is easy to see that $x_1 + x_2, x_1 - x_2, y_1, \dots, y_{46}$ are linearly independent 4-vectors over \mathbb{Q} , so that we have

$$\text{rank } \mathcal{L}_4(L) = 48.$$

Proof of (iv). By Prop. 3, we can find a 2-vector x_1 and 4-vectors y_1, \dots, y_{47} in L which are linearly independent over \mathbb{Q} . We may assume that $|(x_1, y_j)| \leq 1$ for all $1 \leq j \leq 17$. There are two subcases (a) and (b) to treat separately.

(a) When it holds that $(x_1, y_j) = 0$ for all $1 \leq j \leq 47$, then $-x_1 + y_1, x_1 + y_j, 1 \leq j \leq 47$, are linearly independent 6-vectors, so that we have

$$\text{rank } \mathcal{L}_6(L) = 48.$$

(b) When it holds that $(x_1, y_k) = \pm 1$ for some $1 \leq k \leq 47$, then y_1, \dots, y_{47} and $x_1 - (x_1, y_k) y_k$ are linearly independent 4-vectors. Hence we have

$$\text{rank } \mathcal{L}_4(L) = 48.$$

Proof of (v). In the case, we know $a(2, L) = 0$ and $a(4, L) > 0$ by the assumption of this theorem. And the assertion follows from the proof of Prop. 1. \square

Remark 1. In Theorems 1 and 2, we have enumerated all possible lattices in Γ_{48} , but it is not clear whether each possibility actually occurs.

Remark 2. We can give some examples of lattices in Γ_{48} characterized in Theorems 1 and 2.

(i) The lattice $L_1 \in \Gamma_{48}$ with the properties $\mathcal{L}_2(L_1) = \emptyset$ and $\text{rank } \mathcal{L}_4(L_1) = 48$ is given by an orthogonal sum of two copies of Leech lattice of rank 24.

(ii) The lattices $L_2 \in \Gamma_{48}$ with the properties $\mathcal{L}_2(L_2) = \mathcal{L}_4(L_2) = \emptyset$ and $\mathcal{L}_6(L_2) = L_2$ are given by the lattices constructed from Pless code or quadratic residue code. (Conf. [2]).

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