Yttrium Oxide Upconverting Phosphors. Part 2[†]: Temperature Dependent Upconversion Luminescence Properties of Erbium in Yttrium Oxide

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Emission bands from Er^{3+} ions on C_2 and S_6 sites of cubic Y_2O_3 have been observed under 632.8 nm excitation. The well-known green hot band in the region of 520-540 nm and a new blue-green hot band in the region of 469-474 nm have been observed from Er^{3+} on both sites. These hot bands are assigned to the ${}^2H_{11/2} \rightarrow {}^4I_{15/2}$ and the ${}^4F_{3/2} \rightarrow {}^4I_{15/2}$ transitions, respectively. In addition to observing different thermal behavior for the emissions from Er^{3+} ions on different lattice sites due to these transitions, different thermal behavior has also been observed for the ${}^4F_{5/2} \rightarrow {}^4I_{15/2}$, ${}^4F_{9/2} \rightarrow {}^4I_{15/2}$, ${}^4S_{3/2} \rightarrow {}^4I_{15/2}$, and ${}^4I_{11/2} \rightarrow {}^4I_{15/2}$ transitions. The ${}^4G_{11/2} \rightarrow {}^4I_{15/2}$, ${}^2H_{9/2} \rightarrow {}^4I_{15/2}$, and ${}^4I_{9/2} \rightarrow {}^4I_{15/2}$ transitions gave rise to bands which were too weak for accurate temperature-dependent studies. Emission arising from the ${}^4F_{7/2} \rightarrow {}^4I_{15/2}$ transition was observed with 514.5 but not 632.8 nm excitation.

Introduction

Recently, we reported the effect of particle morphology and crystallite size on the upconversion luminescence properties of erbium and ytterbium codoped yttrium oxide phosphors. In the course of that work, the temperature dependence of the ²H_{11/2} \rightarrow ⁴I_{15/2} transition was studied, and it was demonstrated that it was made up of two sets of emission bands. The cubic Y₂O₃: Er³⁺ lattice contains two crystallographic sites in which the M³⁺ ions are found (see Figure 1), one has C_2 symmetry and the other S_6 symmetry; both sites are six coordinate and are present in the ratio of 3:1.2-6 The spectra were interpreted to indicate that the Er^{3+} ions on the more symmetrical S_6 site experienced the smaller crystal field (and hence Stark splitting) and that the ${}^{2}\mathrm{H}_{11/2}$ level of the ion on that site was closer to its ${}^{4}\mathrm{S}_{3/2}$ level (as it was still thermally activated at −190 °C) than that of the Er^{3+} on the C_2 site. Indeed, the emission spectrum at $-190~^{\circ}\mathrm{C}$ did not exhibit bands due to a ${}^{2}H_{11/2} \rightarrow {}^{4}I_{15/2}$ transition of Er³⁺ on the C₂ site. In this paper, we report the temperature dependence of all the other transitions in the range 405 to 895 nm for cubic Y₂O₃:Er³⁺, as seen using 632.8 nm laser excitation for efficient upconversion.

Experimental Section

Chemical Preparation. The chemicals used in this study are yttrium oxide (99.99%, Rhone Poulenc, France), erbium(III) nitrate pentahydrate (99%, Aldrich), urea and nitric acid (BDH AnalaR). The urea homogeneous precipitation method $^{7-14}$ was used to prepare spherical Y_2O_3 : Er^{3+} hydroxycarbonate submicrometer phosphor precursor powders. Yttrium nitrate stock solution (56.4 g/L) was prepared by dissolving Y_2O_3 in dilute nitric acid until the solution reached a pH of 3. Erbium nitrate (0.02 g) and urea (15.0 g) were dissolved in 500 mL of the $Y(NO_3)_3$ stock solution after it had been diluted 20-fold with de-ionized water. The solution was kept boiling on a hot plate until turbidity was observed, then it was left for 1 h. The precipitates were filtered and washed twice with deionized water.

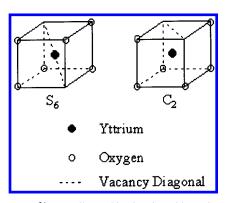


Figure 1. Two Y³⁺ crystallographic sites in cubic Y₂O₃.

The precipitates were dried at 60 °C giving soft, white powders which were converted to the oxide by firing at 980 °C in air for 6 h. All the powders consisted of Y_2O_3 in the cubic phase as was made evident by X-ray powder diffraction data.¹

Characterization of Physical Properties. The morphologies and the particle sizes of the samples were determined by scanning electron microscope (SEM) (Cambridge Instruments, Stereoscan 90), and TEM using a JEOL JEM-200CX. The average diameter of the spherical particles was estimated to be 300 ± 50 nm from measuring ~ 50 particles per SEM picture.

Luminescence and Raman spectra were obtained using a Labram Raman spectrometer equipped with an 1800 grooves/mm holographic grating, a holographic supernotch filter and a peltier-cooled CCD detector. Samples were excited using a helium—neon laser with an output of 8 mW of power at the sample with the 632.8 nm line, unless an attenuation filter was used. Precise control of sample temperature (±0.1 °C) was achieved by means of a Linkam THMS600 temperature programmable heating/cooling microscope stage. For cooling, the THMS stage was used in conjunction with a Linkam LNP cooling system.

Spectral intensities were normalized using the Stokes Raman band at 375 $\,\text{cm}^{-1}$ of cubic $Y_2O_3.$

[†] Part 1 of this series is reference 1 of this work.

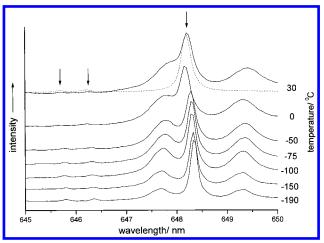


Figure 2. Stokes region showing Y₂O₃ Raman bands, indicated by arrows. The dotted trace shows the spectrum obtained from pure Y2O3 at 30 °C. The solid traces show the spectra obtained from Y₂O₃:Er³⁺ at the indicated temperatures (in °C). Also present are two small emission bands centered at 647.7 and 649.3 nm.

Results and Discussion

The anti-Stokes and Stokes emission spectra from cubic Y₂O₃: Er³⁺ were shown in Figures 5 and 6 of our previous work¹ for the ranges 405 to 630 and 635 to 895 nm, respectively. It was demonstrated that the ${}^2H_{11/2} \rightarrow {}^4I_{5/2}$ transition was made up of contributions from the Er3+ in the C2 and S6 lattice sites. All the emission band assignments referred to herein are summarized in Figure 7 of ref 1, and the assignments of the present work are given in the final Figure of this article. As the S₆ lattice site has a higher symmetry, the assumption was made that this would generate the smallest crystal field, and hence, the Er³⁺ ions on these sites would experience the smallest Stark splitting (480 cm⁻¹) compared to 720 cm⁻¹ on the other Er³⁺ site (the C₂ site). Moreover, the ${}^2H_{11/2} \rightarrow {}^4I_{15/2}$ transition was still thermally active at -190 °C showing the ${}^{2}H_{11/2}$ level for the Er³⁺ on the S_6 site was closer to its ${}^4S_{3/2}$ level than the ${}^2H_{11/2}$ level on the C₂ site. The discussion of the thermal behavior of any other emission bands was beyond the scope of that paper but is the subject of this one. It should be stressed that the Y₂O₃:Er³⁺ Raman band at 375 cm⁻¹ and weaker bands at 315 and 328 cm⁻¹ on the Stokes side (Figure 2) move to higher wavenumber by 2.5 cm^{-1} on decreasing the temperature from 30 to -190°C.1 This is most likely due to a small contraction of the lattice which, however, does not give rise to similar, temperaturedependent shifts of all of the emission bands. The fact that the emission bands do not shift as the temperature decreases (see for instance Figures 3 to 6) is evidence that the crystal field splittings on both the C2 and S6 Er3+ sites do not change measurably in magnitude or direction, over this temperature range. Figure 3 covers the region 545-562 nm. The emission bands in this region maximize at −50 °C (the intense band at 563.8 nm is saturated and is not shown). These bands are due to the ${}^4S_{3/2} \rightarrow {}^4I_{15/2}$ transitions, and their temperature dependence indicates that two bands, at 549.4 and 550.9 nm (indicated in Figure 3 by arrows), behave differently from the others. These two bands maximize in intensity at −190 °C, and their intensities are approximately one-third of the intensities of the strongest two bands (at 553.8 and 563.8 nm) in this wavelength region. In light of the intensities of these bands and their wavelength positions, they can be assigned to Er^{3+} on the S_6 site. The remaining bands between 545 and 565 nm can be assigned to Er^{3+} on the C_2 site; they all show a temperature dependence which maximizes at -50 °C. The overall temperature depen-

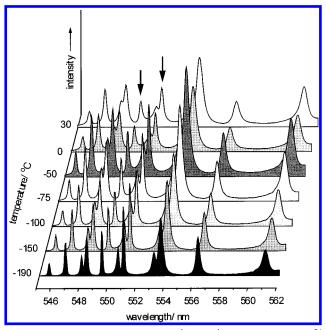


Figure 3. Emission bands due to the ${}^4S_{3/2} \rightarrow {}^4I_{15/2}$ transitions of Er³⁻ on the C_2 and S_6 sites in Y_2O_3 . The bands due to Er^{3+} on the C_2 site maximize at -50 °C, whereas the two bands (indicated by arrows) due to Er^{3+} on the S₆ site maximize at -190 °C.

dence of these bands seems to be anomalous (see Figure 3); however, the intensities of the bands due to the other transitions showed steady, temperature-dependent trends, as expected. We therefore tentatively suggest that the behavior exhibited by the ${}^{4}S_{3/2} \rightarrow {}^{4}I_{15/2}$ transition appears to indicate that the ${}^{4}S_{3/2}$ level may also be populated by an additional mechanism (which is most likely to be cross-relaxation).

The assignment of the bands to Er^{3+} ions on the C_2 and S_6 sites is in keeping with the relative intensities. In addition, it can be seen that the Er^{3+} ion on the S_6 site has a smaller ${}^2H_{11/2}$ ⁴S_{3/2} energy gap than the Er³⁺ on the C₂ site by taking the difference in wavelength between the maximum intensity bands of the ${}^4S_{3/2} \rightarrow {}^4I_{15/2}$ and ${}^2H_{11/2} \rightarrow {}^4I_{15/2}$ transitions for both sites.

Figure 4 covers the region 650-700 nm (on the Stokes side). The bands in the range 647.8 to 684 nm are due to the ${}^4F_{9/2}$ – ⁴I_{15/2} emission. The temperature dependencies of these bands are clearly different. Those not marked by arrows maximize at -190 °C and span the range 657.8 to 684 nm (26.2 nm in total). These bands include many of the most intense bands in this region, and hence, these bands are assigned to the Er³⁺ ions on the C2 lattice site. The other bands, which are marked by arrows in Figure 4 and maximize at -100 °C, can be assigned to the Er³⁺ ions on the S₆ lattice site. It is noteworthy that, at any given temperature, the most intense band of Er^{3+} on the S_6 site is only approximately one-third as intense as its counterpart of Er^{3+} on the C_2 site. It should be noted that the intense band at 661.3 nm is saturated but most probably also maximizes at −190

Three weak bands at 754.1, 765.6, and 770.7 nm were not ascribed previously to any main transition, and their independent temperature dependence has not thrown light on their origin. The bands due to the ${}^4I_{9/2} \rightarrow {}^4I_{15/2}$ transition in the 785 to 830 nm region were very weak, and because of the background changes, were very difficult to ascribe further to particular lattice sites. The bands between 845 and 882 nm are due to the ${}^{4}I_{11/2} \rightarrow {}^{4}I_{15/2}$ transition; these can be divided into two groups which are assigned to the Er³⁺ ion on the C₂ and S₆ lattice sites. The bands at 853.1, 855.5, 858.8, 859.3, 866.9,

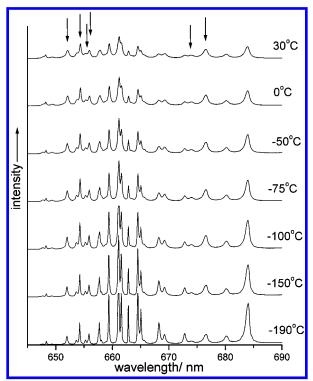


Figure 4. Emission bands due to the ${}^4F_{9/2} \rightarrow {}^4I_{15/2}$ transitions of Er^{3+} on the C_2 and S_6 sites in Y_2O_3 . The bands not marked with arrows which maximize at -190 °C are due to Er^{3+} on the C_2 site, whereas the six bands indicated by arrows are due to Er^{3+} on the S_6 site and maximize at -100 °C.

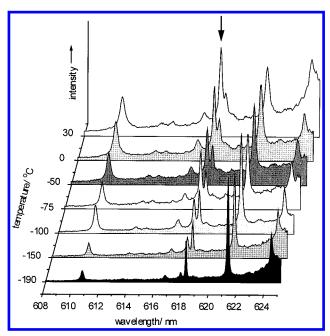


Figure 5. Emission bands of Er^{3+} in Y_2O_3 in the 610-625 nm region. The arrow marks the strong Raman band of Y_2O_3 on the anti-Stokes side.

877.8, and 879.8 nm (range 853.1 to 879.8 nm = 26.7 nm) all maximize at -190 °C, whereas those at 846.9, 849.2, 871.2, and 873.2 nm (range 26.3 nm) all maximize around -100 °C. The bands in the slightly larger range, which maximize at -190 °C, are ascribed to the Er³⁺ ion on the C₂ lattice site, and the bands in the slightly smaller range, maximizing at -100 °C, are ascribed to the Er³⁺ ion on the S₆ site, on the basis of their relative intensities.

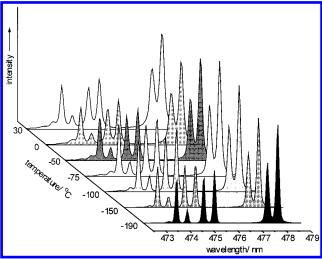


Figure 6. Emission bands of Er^{3+} in Y_2O_3 in the 472.5–479.0 nm region.

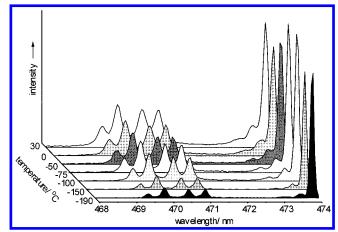


Figure 7. Emission bands of Er^{3+} in Y_2O_3 in the 468.0-474.0 nm region. Two groups of hot bands are seen; the first is a group of four between 469.0 and 471.5 nm and the second is a group of two between 473.2 and 473.6 nm.

The Y_2O_3 Raman band on the anti-Stokes side (Figure 5) at 375 cm⁻¹ loses intensity with temperature, as expected, as it originates from the v=1 excited vibrational level which is thermally populated. The emission bands in this region show varied temperature dependencies. The bands at 610.7, 613.6, and 616.7 nm maximize at -100 °C, whereas the bands at 618.2, 621.2, and 624.4 nm maximize at -190 °C. These bands were tentatively attributed to transitions between excited states 1 and, although it can be seen from their temperature behavior that they originate from Er^{3+} on the two different lattice sites, further assignment is not possible.

It was previously suggested that the bands between 468 and 477 nm were due to the ${}^4F_{7/2} \rightarrow {}^4I_{15/2}$ transition, however examination of Figures 6 and 7 indicates that the true picture is clearly more complex. The bands at 473.8, 474.2, 475.1, and 476.0 nm (see Figure 6) maximize at -100 °C, the two bands at 478.0 and 478.5 maximize at -75 °C, but the four bands between 469 and 471.5 nm in Figure 7 behave like hot bands, losing intensity progressively from room temperature downward. In addition, the two small bands at 473.2 and 473.6 nm (see Figure 7) also show behavior characteristic of hot bands. It is now apparent that the four bands between 473.8 and 476.0 nm are due to the ${}^4F_{5/2} \rightarrow {}^4I_{15/2}$ transition of the Er³+ on the S₆ lattice site, and that the two bands at 478.0 and 478.5 nm are due to the ${}^4F_{5/2} \rightarrow {}^4I_{15/2}$ transition of Er³+ on the C₂ lattice site

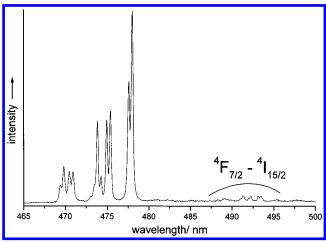


Figure 8. Emission bands of Er^{3+} in Y_2O_3 in the 465-500 nm region under 514.5 nm excitation.

(assigned on the basis of relative intensities). The new hot band must therefore be due to the ${}^4F_{3/2} \rightarrow {}^4I_{15/2}$ transition, and the bands between 469.0 and 471.5 nm are from Er^{3+} on the S_6 site, whereas the two at 473.2 and 473.6 nm originate from Er³⁺ on the C_2 site. The ${}^4F_{7/2} \rightarrow {}^4I_{15/2}$ transition is in fact not observed in these experiments using 632.8 nm excitation, and this is presumably due to nonradiative decay, in keeping with assignments for other systems. 15,16 However, it was possible to observe very weak bands in the expected region for a ${}^4F_{7/2} \rightarrow {}^4I_{15/2}$ transition using 514.5 nm excitation from an argon ion laser. Eight emission lines between 488.3 and 497.8 nm were observed (see Figure 8), but they were too weak to merit an in depth temperature study for their assignment to Er³⁺ on a specific lattice site.

The two emission lines at 405 and 409 nm (due to the ${}^2H_{9/2}$ \rightarrow ⁴I_{15/2} transition) and the group between 452 and 465 nm, which are now assigned to the $^4G_{11/2} \rightarrow ^4I_{15/2}$ transition, were so weak that their temperature dependent changes were too small to be studied accurately.

Finally, it is possible to predict the relative populations of the ⁴S_{3/2} and ²H_{11/2} levels using a three level model comprising of the former (level 2), the latter (level 3), and the ⁴I_{15/2} ground level. This can be achieved by making use of the following equation^{15,17}

$$\frac{I_3}{I_2} = A \exp\left(-\frac{E_{32}}{kT}\right)$$

where I_3 and I_2 are the integrated emission intensities of the $^2H_{11/2} \rightarrow ^4I_{15/2}$ and $^4S_{3/2} \rightarrow ^4I_{15/2}$ transitions of the Er³⁺ ion, respectively, E_{32} is the energy gap between the ${}^{2}H_{11/2}$ and ${}^{4}S_{3/2}$ levels, and k is Boltzmann's constant. The preexponential factor, A, is given by the following equation

$$A = \frac{W_{\rm R3} g_3 h \nu_3}{W_{\rm R2} g_2 h \nu_2}$$

where W_{R3} and W_{R2} are the radiative probabilities of these two transitions, g_3 and g_2 are the (2J + 1) degeneracies of levels 3 and 2, respectively, and $h\nu_3$ and $h\nu_2$ are the photon energies of the respective transitions from levels 3 and 2 to level 1.

Such an analysis for the C2 and S6 sites yields the straight line plots in Figure 9. That for the C_2 site gives an E_{32} value of 628 cm⁻¹. It is apparent from Figure 9 that the value of I_3/I_2 is \sim 0.04 at -100 °C. This means that the ${}^{2}H_{11/2} \rightarrow {}^{4}I_{15/2}$ transition would not be observed at -190 °C. The A parameter for this

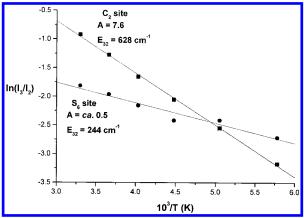


Figure 9. Logarithm of the ratios of the integrated intensities of the ${}^{2}\mathrm{H}_{11/2} \rightarrow {}^{4}\mathrm{I}_{15/2}$ and ${}^{4}\mathrm{S}_{3/2} \rightarrow {}^{4}\mathrm{I}_{15/2}$ transitions as a function of inverse absolute temperature $(10^3/T)$ for the C_2 and S_6 sites.

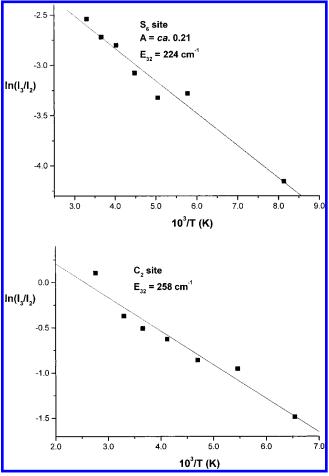


Figure 10. (a) Logarithm of the ratio of the integrated intensities of the ${}^4F_{3/2} \rightarrow {}^4I_{15/2}$ and ${}^4F_{5/2} \rightarrow {}^4I_{15/2}$ transitions as a function of inverse absolute temperature $(10^3/T)$ for the S₆ site. (b) Logarithm of the ratio of the peak heights of the ${}^4F_{3/2} \rightarrow {}^4I_{15/2}$ and ${}^4F_{5/2} \rightarrow {}^4I_{15/2}$ transitions as a function of inverse absolute temperature $(10^3/T)$ for the C_2 site.

site is 7.6 which would suggest that the radiative transition probability, W_{R3} , is greater than W_{R2} . For the S₆ site, the value of E_{32} was found to be 244 cm⁻¹, in keeping with the fact that emission lines due to this transition are still seen at −190 °C. The A parameter for the S_6 site is ca. 0.50 which can only be interpreted to mean that W_{R2} is greater than W_{R3} for this site.

This same theory can of course be applied to the additional hot band which has been observed in the 470 nm region and assigned to the ${}^4F_{3/2} \rightarrow {}^4I_{15/2}$ transition. Such an analysis gives

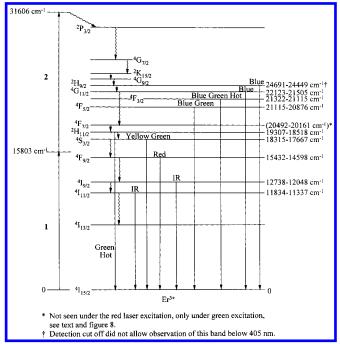


Figure 11. Schematic energy level diagram for Er³⁺ ions on the two different sites of cubic Y₂O₃ and some proposed excitation and emission processes.

a ${}^4F_{3/2}$ $-{}^4F_{5/2}$ energy gap for the S₆ site of 224 cm⁻¹ in keeping with the observation of the band at -190 °C (see Figure 10a). The A parameter for the S_6 site is ca. 0.21 which can only be interpreted to mean that W_{R2} is greater than W_{R3} for this site. However, for the C₂ site, the integrated intensities of the bands due to the ${}^4F_{3/2} \rightarrow {}^4I_{15/2}$ transition were not used, because the bands were overlapped by neighbors. Instead, their peak heights were plotted (see Figure 10b). The value for the ${}^4F_{3/2} - {}^4F_{5/2}$ energy gap was found to be 258 cm⁻¹ for this site; this is consistent with the presence of the band at -190 °C. As the integrated intensities were not used, no reliable A parameter could be obtained for this site.

Conclusions

In addition to our previous findings¹ that the two Er³⁺ sites in cubic Y_2O_3 :Er³⁺ give rise to ${}^2H_{11/2} \rightarrow {}^4I_{15/2}$ transitions ('hot bands') that show different thermal behavior (due to the Er³⁺ ions experiencing different Stark splittings), we have now found evidence that these Er³⁺ ions generate other emission bands that also manifest different thermal behavior. The ${}^4F_{3/2} \rightarrow {}^4I_{15/2}$, ${}^4F_{5/2}$ \rightarrow $^{4}I_{15/2}$, $^{4}F_{9/2}$ \rightarrow $^{4}I_{15/2}$, $^{4}S_{3/2}$ \rightarrow $^{4}I_{15/2}$, and $^{4}I_{11/2}$ \rightarrow $^{4}I_{15/2}$ transitions all manifest different thermal behavior for the Er³⁺ ions in the

C₂ lattice sites compared to those in the S₆ lattice sites. The ${}^4G_{11/2} \rightarrow {}^4I_{15/2}$, ${}^2H_{9/2} \rightarrow {}^4I_{15/2}$, and ${}^4I_{9/2} \rightarrow {}^4I_{15/2}$ transitions gave rise to bands which were too weak for accurate temperature dependency studies. Emission arising from the ${}^4F_{7/2} \rightarrow {}^4I_{15/2}$ transition was observed with 514.5 but not 632.8 nm excitation.

Evidence for new hot bands at 469.0 to 471.5 nm and 473.2 and 473.6 nm was found; these are assigned to the ${}^{4}F_{3/2}$ – ${}^{4}I_{15/2}$ transition of the S₆ and C₂ sites, respectively.

We are now able to construct Figure 11 which is a schematic energy level diagram for Er3+ ions on the C2 and S6 sites of Y_2O_3 . The energy levels from the ${}^4I_{15/2}$ ground state up to the ²H_{11/2} state are assigned as in Figure 7 of ref 1. However the next highest level, the ⁴F_{7/2} level, is now located at 20 492-20 161 cm⁻¹, as an emission has now been observed under 514.5 but not 632.8 nm excitation. The ${}^4F_{5/2}$ level is now located in the 21 115-20 876 cm⁻¹ range and the ${}^{4}F_{3/2}$ level, which was previously unassigned, is at 21 322–21 115 cm⁻¹. The emission from the latter level down to the ground state gives rise to the new hot bands. The blue emission at 22 123-21 505 cm⁻¹ can now be assigned to a ${}^4G_{11/2} \rightarrow {}^4I_{15/2}$ transition in keeping with the calculations of Carnall et al. for the free Er³⁺ ion, ¹⁸ and contrary to both our earlier assignment¹ and that of Xu et al.¹⁵

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