

Asymmetric Organocatalytic Formal [2 + 2]-Cycloadditions via Bifunctional H-Bond Directing Dienamine Catalysis

Łukasz Albrecht, Gustav Dickmeiss, Fabio Cruz Acosta, Carles Rodríguez-Esrich, Rebecca L. Davis, and Karl Anker Jørgensen*

Center for Catalysis, Department of Chemistry, Aarhus University, DK-8000 Aarhus C, Denmark

S Supporting Information

ABSTRACT: A new concept in organocatalysis allowing for the construction of cyclobutanes with four contiguous stereocenters with complete diastereo- and enantiomeric control by a formal [2 + 2]-cycloaddition is presented. The concept is based on simultaneous dual activation of α,β -unsaturated aldehydes and nitroolefins by amino- and hydrogen-bonding catalysis, respectively. A new bifunctional squaramide-based aminocatalyst has been designed and synthesized in order to enable such an activation strategy. The potential and scope of the reaction are demonstrated, and computational studies which account for the stereochemical outcome are presented.

The development of new reactions is a fundamental goal and challenge in synthetic organic chemistry. With stoichiometric approaches being replaced by catalytic ones, this task is associated with the design of new catalysts and discovery of new activation modes. These two issues are dominating the field of asymmetric aminocatalysis,¹ where methodologies based on HOMO- (enamine,² dienamine,³ trienamine⁴), LUMO-⁵ (iminium ion) and SOMO-activations⁶ have emerged as powerful tools. In particular, the activation of α,β -unsaturated aldehydes via formation of dienamine species is an important strategy for the enantioselective functionalization of aldehydes.³ Reactions involving dienamine intermediates can proceed through different pathways (Figure 1). Classical enamine 1,2-reactivity, which results

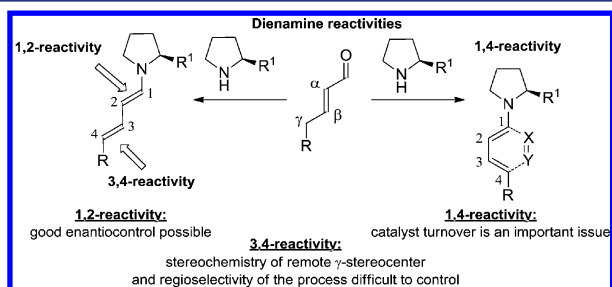


Figure 1. Dienamine chemistry: reactivity patterns.

in selective α -functionalization of α,β -unsaturated aldehydes, is well-recognized and usually proceeds with good levels of stereocontrol.⁷ Another possibility involves a [4 + 2]-cycloaddition pathway (1,4-reactivity).⁸ The main difficulty of the latter approach is associated with the catalyst turnover since the catalyst is trapped, via a C–N single bond, in the 6-membered

intermediate formed during the cycloaddition. This problem is not encountered in the case of 3,4-reactivity enabling remote γ -functionalizations.⁹ However, challenges related to stereocontrol of the γ -carbon^{7c} (5 bonds away from the stereocenter of the catalyst) and regioselectivity (1,2- vs 3,4-reactivity)^{9d} are often encountered.

In order to address this remote reactivity, a novel approach based on bifunctional H-bond directing dienamine catalysis has been devised. It was envisioned that the application of an aminocatalyst, containing a functional group capable of H-bonding interactions, would allow for control of both regio- and stereo-selectivity of the process by interactions between the H-bond donor and incoming electrophile (Figure 2, right).

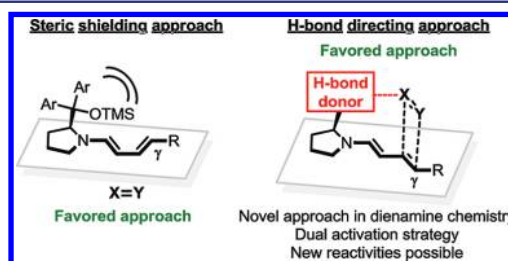


Figure 2. Steric shielding vs H-bond directing dienamine catalysis.

In such a setup, the electrophile would be positioned away from the α -carbon of the dienamine, favoring regioselective functionalization of the remote double bond. In terms of stereochemical induction, the facial selectivity should be opposite to that of classical steric shielding dienamine catalysis (Figure 2, left). Finally, the dual activation mode offered by this H-bond directing strategy, which results in simultaneous activation of the α,β -unsaturated aldehyde (via dienamine formation) and electrophile (via H-bonding), could also be essential for improving the reactivity of less electrophilic substrates. Therefore, such an approach could lead to the development of new regioselective reaction pathways enabling good control of the stereochemistry at remote stereocenters.

Catalyst Design. There were two factors that seemed of particular importance in developing a suitable bifunctional catalyst. First, the H-bond directing group must be an appropriate distance from the secondary amine moiety to allow for a proper positioning of the approaching electrophile

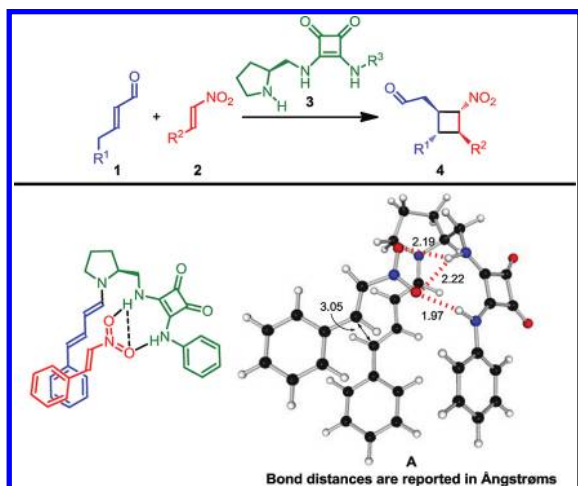
Received: December 20, 2011

Published: January 23, 2012

in relation to the dienamine intermediate. Second, the H-bond donor needed to be capable of efficient activation of different electrophiles. A squaramide-based aminocatalyst, derived from optically active 2-aminomethylpyrrolidine, seemed well-suited for this task.

To provide a proof of concept for the activation strategy, nitroolefins were chosen as electrophilic counterparts¹⁰ (Scheme 1, top). It was anticipated that a reaction between

Scheme 1. Organocatalytic [2 + 2]-Cycloaddition: Reaction Design and Intermediate Considerations



the dienamine derived from **1** and nitroolefin **2** could proceed via a formal [2 + 2]-cycloaddition resulting in a facile, stereo-selective synthesis of fully substituted cyclobutanes.¹¹ These structural motifs are present in a large number of different natural products and biologically relevant molecules.¹²

To provide insight as to how the squaramide in the dienamine intermediate interacts with the nitroolefin, computational studies were undertaken. A conformational analysis of the dienamine intermediate, formed by condensation of **1** ($R^1 = \text{Ph}$) and **3** ($R^3 = \text{Ph}$), was performed using the M06-2X/6-31G(d) method (Scheme 1, top).¹³ When the nitroolefin **2** ($R^2 = \text{Ph}$) was introduced, the lowest energy structure (**A**) was calculated to have the squaramide arranged with a *syn/syn* configuration of the amine protons.¹⁴ This conformation of the squaramide allows for three H-bonding interactions with the nitro group and a staggered π -stacking interaction between the phenyl rings of the nitroolefin and the dienamine (Scheme 1, bottom).^{10a,15} No other conformation of the dienamine intermediate was able to take advantage of all of these interactions. Further examination of **A** showed that the β -carbon of the nitroolefin is positioned 3.05 Å above the γ -carbon of the dienamine. This led us to believe that the squaramide catalyst would provide the proper positioning of the electrophile and therefore allow for regio- and stereocontrolled functionalization at the γ -carbon.

Reaction Development. With the design of a novel catalytic system completed, a screening of a model reaction between (*E*)-3-phenylbut-2-enal **1a** and β -nitrostyrene **2a** was initiated (Table 1).¹⁶ Catalyst screening revealed that steric shielding catalysts **5** and **6** were unable to promote the reaction (entries 1–3), even when an achiral thiourea (TU) cocatalyst was applied (entry 3). To our delight, smooth conversion into cyclobutane **4a** was observed when H-bond directing catalysts

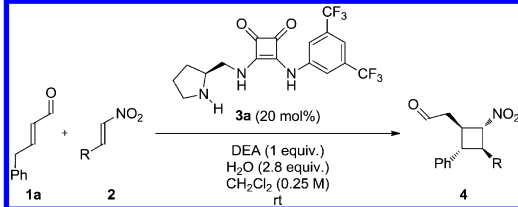
Table 1. Screening of Catalysts and Reaction Conditions^a

ent	cat	additive	conc [M]	conv (yield) ^b [%]	dr ^c	ee ^d [%]
1	5	-	0.5	<5	-	-
2	6	-	0.5	<5	-	-
3	6	TU ^e	0.5	<5	-	-
4	7	-	0.5	48	>20:1	-
5	3a	DEA ^f	0.5	84	>20:1	-
6	3b	DEA ^f	0.5	83	>20:1	-
7 ^g	3a	DEA ^f	0.5	>95(84) ^h	>20:1	>99
8 ^g	3a	DEA ^f	0.25	>95(84) ^h	>20:1	>99
9 ^g	3a	DEA ^f	0.1	38	>20:1	-
10 ^g	3a	DEA ^f /H ₂ O ⁱ	0.25	>95(86) ^j	>20:1	>99

^aAll reactions performed using **1a** (0.15 mmol), **2a** (0.1 mmol), and catalyst (0.02 mmol) (see Supporting Information). ^bConversion of **2a** as determined by ¹H NMR spectroscopy after 18 h, isolated yield in parentheses. ^cDetermined by ¹H NMR of the crude reaction mixture. ^dDetermined by CSP-HPLC after reduction to the corresponding alcohol. ^e20 mol % used. ^f1 equiv applied. ^g2 equiv of **1a** applied. ^hConversion after 48 h. ⁱ5 μ L (2.8 equiv) applied. ^jConversion after 24 h.

3a,b and **7** were used (entries 4–6), with **3a** proving the most efficient (entry 5). In the case of squaramide-based amino-catalysts **3a,b**, the addition of *N,N*-diethylacetamide (DEA) was necessary due to low catalyst solubility. The reactivity and complete diastereoselectivity observed in the reaction catalyzed by bifunctional systems **3a,b** support the importance of the dual activation strategy to enable unprecedented reactivity. A solvent screening¹⁶ revealed CH₂Cl₂ to be the best solvent, allowing for full conversion of **2a** in 48 h (entry 7). Importantly, under these conditions the resulting cyclobutane contained four contiguous stereocenters and was formed in 84% yield as a single diastereoisomer with exceptionally high enantioselectivity (>99% ee) confirming the potential of the concept. Further screening (entries 7–9) provided the optimal concentration (entry 8). Finally, it was found that the presence of water resulted in a significant increase of the reaction rate allowing for the reaction to be terminated within 24 h (entry 10).

With the optimized reaction conditions in hand, we turned our attention to the nitroolefin scope (Table 2). In this context, it is worth mentioning that the catalyst loading could be decreased to 10 or even 5 mol % affording **4a** in good yield and excellent stereoselectivity, albeit longer reaction times were required (entries 2,3). For this reason, 20 mol % of catalyst **3a** was routinely used. A selection of β -nitrostyrenes **2b–i** reacted smoothly with **1a** demonstrating that the reaction is unbiased toward both electronic properties of the aromatic moiety and the substitution pattern (entries 4–11). Furthermore, a

Table 2. Organocatalytic Formal [2 + 2]-Cycloadditions: Nitroolefin Scope^a


ent	R	time [h]	yield [%] ^b	dr ^c	ee ^d [%]
1	Ph	24	4a - 86	>20:1	>99 ^e
2 ^f	Ph	72	4a - 84	>20:1	>99 ^e
3 ^g	Ph	288	4a - 81	>20:1	>99 ^e
4	4-F-C ₆ H ₄	48	4b - 90	>20:1	>99 ^e
5	4-Br-C ₆ H ₄	24	4c - 84	>20:1	>99 ^e
6	2-Cl-C ₆ H ₄	48	4d - 80	>20:1	>99
7	3-NO ₂ -C ₆ H ₄	32	4e - 82	>20:1	>99
8	4-Me-C ₆ H ₄	48	4f - 84	>20:1	>99
9	4-MeO-C ₆ H ₄	72	4g - 80	>20:1	>99 ^e
10	2,6-Cl ₂ -C ₆ H ₃	48	4h - 87	>20:1	>99 ^e
11	2,5-(MeO) ₂ -C ₆ H ₃	40	4i - 83	>20:1	>99
12	2-Furyl	48	4j - 74	>20:1	>99 ^e
13	<i>n</i> -Bu	24	4k - 62	>20:1	>99

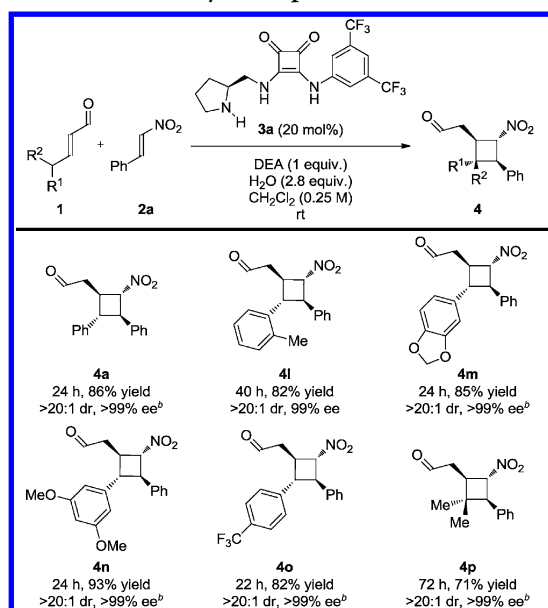
^aAll reactions performed on a 0.2 mmol scale (see Supporting Information for details). ^bYield after isolation by FC. ^cDetermined by ¹H NMR of the crude reaction mixture. ^dDetermined by CSP-HPLC. ^eDetermined after reduction to the corresponding alcohol. ^fReaction performed using 10 mol % of 3a. ^gReaction performed using 5 mol % of 3a on a 0.1 mmol scale.

heteroaromatic framework could be incorporated into the cyclobutanes, as shown for the furyl-substituted product 4j (entry 12). Gratifyingly, the formal [2 + 2]-cycloaddition proved not to be restricted to aromatic nitroolefins. When aliphatic nitroolefin 2k was reacted, cyclobutane 4k was efficiently accessed (entry 13). Remarkably, good yields and excellent diastereo- and enantioselectivities were obtained for all substrates evaluated, demonstrating the generality and robustness of the present dual activation system.

In the course of the further studies, the α,β -unsaturated aldehyde scope was evaluated (Scheme 2). The electronic nature and substitution pattern of the aromatic moiety of the starting aldehydes 1b–e had no significant influence on the reaction since both electron-poor and -rich dienamines were successfully employed in the reaction sequence. In all cases, the cyclobutanes 4l–o were formed with comparably good yields and excellent stereoselectivities. Aliphatic enals could also be applied in the developed reaction sequence, as demonstrated for (*E*)-4-methylpent-2-enal 1g affording cyclobutane 4p with a quaternary carbon atom incorporated.

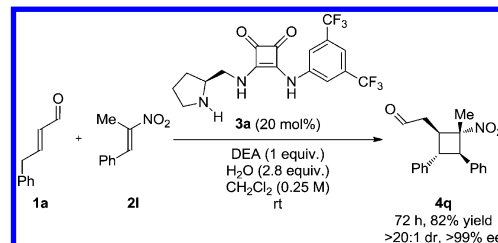
Finally, the potential of this methodology was further demonstrated in the synthesis of cyclobutane 4q which contains a quaternary stereocenter (Scheme 3). To our delight, α -branched nitroolefin 2l underwent the formal [2 + 2]-cycloaddition affording 4q with high yield and complete stereoselectivity.

Mechanism. The calculated reaction pathway from A (Scheme 1) to the catalyst-bound cyclobutane intermediate C reveals that the reaction occurs in a stepwise manner (Figure 3).¹³ The first step in the formal [2 + 2]-cycloaddition involves the formation of the bond between the γ -carbon of the dienamine and the β -carbon of the nitroolefin. This step occurs with a

Scheme 2. Organocatalytic Formal [2 + 2]-Cycloadditions: α,β -Unsaturated Aldehyde Scope^a

^aAll reactions performed on a 0.2 mmol scale (see Supporting Information). Yield after isolation by FC is given. dr determined by ¹H NMR of the crude reaction mixture. ee determined by CSP-HPLC. ^bDetermined after reduction to the corresponding alcohol.

Scheme 3. Enantioselective Synthesis of Cyclobutane Containing a Quaternary Stereocenter



barrier of 9.8 kcal/mol (A-TS) and leads to intermediate B. In the second step, the bond between the β -carbon of the iminium ion and the carbon adjacent to the nitro group is formed leading to C. This step has a higher energy barrier, 17.6 kcal/mol (B-TS), that likely results from the weakening of the H-bonding interactions present in intermediate B. This mechanism is consistent with the experimentally observed reactivity and selectivity. Furthermore, this reaction pathway explains the absolute stereochemistry of the fully substituted cyclobutane which was assigned by single crystal X-ray analysis.¹⁶

In conclusion, a new dual activation mode in organocatalysis has been demonstrated. Rational catalyst design supported by computational studies enabled development of a novel reaction pathway affording fully substituted cyclobutanes by an organocatalytic formal [2 + 2]-cycloaddition. Simultaneous activation of α,β -unsaturated aldehydes, via dienamine formation, and nitroolefins, via H-bonding, proved beneficial enabling the reaction to proceed in high yields and excellent diastereo- and enantioselectivities. A stepwise mechanism has been elucidated by computational studies.

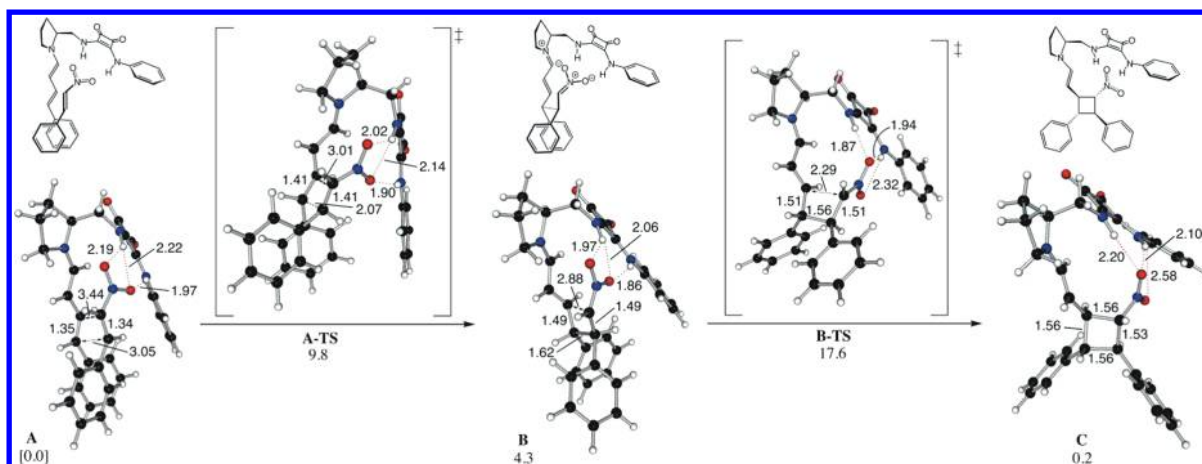


Figure 3. Calculated reaction pathway of the H-bond directed organocatalytic formal $[2 + 2]$ -cycloaddition. Energies are reported in kcal/mol and are relative to the energy of the reactant complex, A. Bond distances are reported in Å.

■ ASSOCIATED CONTENT

Supporting Information

Screening results, experimental procedures, analytical data, computational details, NMR spectra, and HPLC traces. This material is available free of charge via the Internet at <http://pubs.acs.org>.

■ AUTHOR INFORMATION

Corresponding Author

kaj@chem.au.dk

Notes

The authors declare no competing financial interest.

■ ACKNOWLEDGMENTS

This work was supported by Aarhus University, FNU and the Carlsberg Foundation. C.R.-E. thanks the Generalitat de Catalunya for a Beatriu de Pinós postdoctoral fellowship. F.C.A. thanks CSIC for a predoctoral JAE grant. Dr. Jacob Overgaard is acknowledged for performing X-ray analysis.

■ REFERENCES

- (1) For recent reviews on aminocatalysis, see e.g.: (a) MacMillan, D. W. C. *Nature* **2008**, 455, 304. (b) Xu, L.-W.; Li, L.; Shi, Z.-H. *Adv. Synth. Catal.* **2010**, 352, 243. (c) Jensen, K. L.; Dickmeiss, G.; Jiang, H.; Albrecht, L.; Jørgensen, K. A. *Acc. Chem. Res.* **2012**, 45, DOI: 10.1021/ar200149w.
- (2) Mukherjee, S.; Yang, J. W.; Hoffmann, S.; List, B. *Chem. Rev.* **2007**, 107, 5471.
- (3) For a review on dienamine catalysis, see: Ramachary, D. B.; Reddy, Y. V. *Eur. J. Org. Chem.* DOI: 10.1002/ejoc.201101157.
- (4) For seminal report, see: Jia, Z.-J.; Jiang, H.; Li, J.-L.; Gschwend, B.; Li, Q.-Z.; Yin, X.; Grouleff, J.; Chen, Y.-C.; Jørgensen, K. A. *J. Am. Chem. Soc.* **2011**, 133, 5053.
- (5) Erkkilä, A.; Majander, I.; Pihko, P. M. *Chem. Rev.* **2007**, 107, 5416.
- (6) For seminal report, see: Beeson, T. D.; Mastracchio, A.; Hong, J.; Ashton, K.; MacMillan, D. W. C. *Science* **2007**, 316, 582.
- (7) For selected examples, see: (a) Utsumi, N.; Zhang, H.; Tanaka, F.; Barbas, C. F. III. *Angew. Chem., Int. Ed.* **2007**, 46, 1878. (b) Vesely, J.; Dziedzic, P.; Córdova, A. *Tetrahedron Lett.* **2007**, 48, 6900. (c) Han, B.; He, Z.-Q.; Li, J.-L.; Li, R.; Jiang, K.; Liu, T.-Y.; Chen, Y.-C. *Angew. Chem., Int. Ed.* **2009**, 48, 5474. (d) Han, B.; Xiao, Y.-C.; He, Z.-Q.; Chen, Y.-C. *Org. Lett.* **2009**, 11, 4660. (e) Enders, D.; Yang, X.; Wang, C.; Raabe, G.; Runsik, J. *Chem.—Asian J.* **2011**, 6, 2255.

- (8) (a) Bertelsen, S.; Marigo, M.; Brandes, S.; Dinér, P.; Jørgensen, K. A. *J. Am. Chem. Soc.* **2006**, 128, 12973. (b) Bench, B. J.; Liu, C.; Evett, C. R.; Watanabe, C. M. H. *J. Org. Chem.* **2006**, 71, 9458. (c) Hong, B.-C.; Wu, M.-F.; Tseng, H.-C.; Huang, G.-F.; Su, C.-F.; Liao, J.-H. *J. Org. Chem.* **2007**, 72, 8459. (d) de Figueiredo, R. M.; Fröhlich, R.; Christmann, M. *Angew. Chem., Int. Ed.* **2008**, 47, 1450.

- (9) (a) Li, J.-L.; Kang, T.-R.; Zhou, S.-L.; Li, R.; Wu, L.; Chen, Y.-C. *Angew. Chem., Int. Ed.* **2010**, 49, 6418. (b) Li, J.-L.; Zhou, S.-L.; Han, B.; Wu, L.; Chen, Y.-C. *Chem. Commun.* **2010**, 46, 2665. (c) Bergonzini, G.; Vera, S.; Melchiorre, P. *Angew. Chem., Int. Ed.* **2010**, 49, 9685. (d) Stiller, J.; Marqués-López, E.; Herrera, R. P.; Fröhlich, R.; Strohmman, C.; Christmann, M. *Org. Lett.* **2011**, 13, 70.

- (10) For recent reviews on H-bonding catalysis, see: (a) Storer, R. I.; Aciro, C.; Jones, L. H. *Chem. Soc. Rev.* **2011**, 40, 2330. (b) Alemán, J.; Parra, A.; Jiang, H.; Jørgensen, K. A. *Chem.—Eur. J.* **2011**, 17, 6890. For the first application of squaramide-based catalysts in asymmetric synthesis, see: (c) Malerich, J. P.; Hagihara, K.; Rawal, V. H. *J. Am. Chem. Soc.* **2008**, 130, 14416.

- (11) Recently, formal organocatalytic $[2 + 2]$ -cycloaddition between enamines and nitroolefins has been identified as an undesired reaction pathway leading to catalyst inhibition. For details, see: (a) Patora-Komisarska, K.; Benohoud, M.; Ishikawa, H.; Seebach, D.; Hayashi, Y. *Helv. Chim. Acta* **2011**, 94, 719. (b) Burés, J.; Armstrong, A.; Blackmond, D. G. *J. Am. Chem. Soc.* **2011**, 133, 8822.

- (12) For reviews on biologically active and naturally occurring cyclobutanes, see: (a) Dembitsky, V. M. *J. Nat. Med.* **2008**, 62, 1. (b) Sergeiko, V. V.; Hanus, L. O.; Dembitsky, V. M. *Open Med. Chem. J.* **2008**, 2, 26.

- (13) Calculations were performed with GAUSSIAN09. Geometries were optimized without symmetry constraints at the M06-2X/6-31G(d) level of theory (Zhao, Y.; Truhlar, D. *Theor. Chem. Acc.* **2008**, 120, 215) in the gas phase. See Supporting Information for the complete references on theoretical methods.

- (14) For structures and energies of all conformers of the dienamine intermediate with and without nitroolefin present, see Supporting Information.

- (15) For a review on computational studies involving dual activation organocatalysis, see: Cheong, P. H.-Y.; Legault, C. Y.; Um, J. M.; Çelebi-Ölçüm, N.; Houk, K. N. *Chem. Rev.* **2011**, 111, 5042.

- (16) For details, see Supporting Information.