

The Big Squeeze: Guest Exchange in an M₄L₆ Supramolecular Host

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Abstract: Guest exchange in an M₄L₆ supramolecular host has been evaluated to determine whether host rupture is required for guest ingress and egress. Two mechanistic models were evaluated: one requiring partial dissociation of the host structure to create a portal for guest passage and one necessitating deformation of the host structure to create a dilated aperture for quest passage without host rupture. Three related lines of inquiry support the nondissociative quest exchange mechanism. (a) Equally facile quest exchange is observed in labile ($[Ga_4L_6]^{12-}$) and inert ($[Ti_4L_6]^{8-}$ and $[Ge_4L_6]^{8-}$) hosts. (b) Molecular mechanics calculations demonstrate that the structural deformations required for enlargement of an M₄L₆ aperture in a nonrupture or nondissociative guest exchange mechanism are plausible. (c) As predicted by the calculations, CoCp*2+, a sterically demanding guest, significantly inhibits guest exchange. These results bring new insight to the application of the M₄L₆ supramolecular host for encapsulated reaction chemistry for which there are now several examples.

Introduction

Supramolecular chemistry harnesses the simplicity of selfassembly to create large discrete structures with complex functionality. In particular, cavity-containing assemblies enable host-guest chemistry, modulating the properties of a small molecule by virtue of encapsulation, and this encapsulation can have significant effects on guest reactivity.^{2–8} The ability of reactants and products to enter and exit the host cavity is a key

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feature in controlling encapsulated reaction chemistry, especially if the host assembly is to act catalytically. The elucidation of supramolecular mechanisms such as guest exchange weds structure with function, leading to the predictable incorporation of controlled dynamic behavior into complex supramolecular structures. Here, we describe a mechanism of guest exchange in a discrete self-assembled host. Given the demonstrated potential of this host to mediate encapsulated reaction chemistry,⁵⁻⁷ mechanistic understanding of guest exchange is already guiding the development of new hosts and new encapsulated reaction systems.

The reaction chemistry of guest molecules encapsulated within self-assembled hosts now includes examples in which host—guest dynamics play a critical role. Rebek and co-workers demonstrated acceleration of a Diels Alder reaction within a hydrogen-bonded capsule in which facile substrate and product guest exchange contributed to the observed catalytic turnover without overriding the observed effect of encapsulation on reaction rate.² In what Merlau et al. described as an artificial enzyme, host-guest dynamics factored into the performance of an encapsulated epoxidation catalyst in several ways.⁴ The extent to which the cavity-bound catalyst equilibrated with unbound catalyst impacted the lifetime of the system, while the cavity itself limited the approach of larger epoxidation substrates, introducing new reaction selectivity. Selective activation of C-H bonds by an encapsulated iridium complex has been reported for the host system described here.6 Larger substrates are apparently inhibited from entering the host cavity and reacting with the encapsulated complex, while the complex itself must be constrained from leaving the host cavity.

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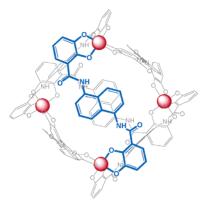


Figure 1. The tetrahedral M_4L_6 host is assembled from four pseudo-octahedral metal centers (red) and six bis-bidentate ligands. One ligand is highlighted in blue for clarity.

Guest exchange processes have been described for a number of supramolecular hosts. 10-14 While the chemistry of the particular assembly determines the possible reaction pathways, secondary factors can include the orientation of the guest with respect to the host or additional guests, 12 the role of solvent, 11 or the reactivity of the guest. 14 A basic consideration, pertinent to all self-assembled structures, is whether the assembly ruptures (partially or completely) during the guest exchange reaction. The interactions among assembly components and the accessibility of the host cavity to the external solution are critical factors in determining available guest exchange pathways. In this report, a guest exchange mechanism is elucidated by modulating the lability of assembly component interactions and by testing the elasticity of the host with molecular modeling and a sterically demanding guest exchange experiment.

Results and Discussion

We have previously described a tetrahedral host assembled from metal and ligand components: six bis-bidentate catecholamide ligands bridge the four pseudo-octahedrally coordinated metal ions at the vertexes of the cavity-containing structure (Figure 1). 15,16 The chiral $\rm M_4L_6$ structure with $\it T$ (the pure rotation group) symmetry exhibits a broad spectrum of host—guest chemistry, encapsulating monocationic species ranging in size from tetramethylammonium to decamethylcobaltocinium. 5,6,17,18

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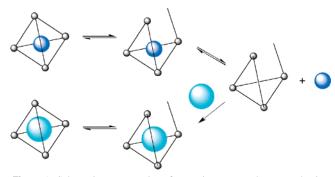


Figure 2. Schematic representation of a putative guest exchange mechanism for the supramolecular M_4L_6 host based on the partial dissociation of one ligand. In this mechanism, guest exchange would be mediated by metalligand lability.

The M_4L_6 architecture has also shown itself to be a versatile molecular reaction vessel, as illustrated by the stabilization of encapsulated phosphonium-ketone adducts,⁵ the modulation of the C–H bond activation behavior of an encapsulated iridium catalyst,⁶ and the dramatic acceleration (up to 1000-fold) of aza-Cope rearrangement for guest substrates.⁷ These systems rely on the kinetic balance of substrate reactivity rates and guest exchange rates: if guest exchange is too fast relative to substrate reactivity, the effect of encapsulation chemical reactivity may be negated.⁹ Yet what factors govern host–guest exchange? Here, we provide three lines of evidence in support of a guest exchange mechanism for the M_4L_6 system by (a) varying the dynamic properties of the host, (b) testing the elasticity of the host through molecular modeling, and (c) manipulating the structure of the guest.

The M₄L₆ structure, as predicted by molecular modeling and confirmed by X-ray crystallography, contains a well-isolated cavity with little access to the surrounding solution. Yet, complete exchange of encapsulated guest species can be observed within 10 min without any evidence of disruption of the host structure - no intermediate structures have been detected. 15,16,19 The apparent inaccessibility of the cavity of the M₄L₆ structure led initially to the assumption that the structure must partially break open to allow entering and exiting guests through the cavity walls. Partial ligand dissociation (that is, the momentary dissociation of one catecholate of a bis-catecholate ligand from one assembly metal center) would accomplish gated guest trafficking by creating a temporary opening for guest passage. Such a guest exchange mechanism incorporating partial ligand dissociation is presented schematically in Figure 2. In this scenario, the partially dissociated ligand remains bound to the assembly by one catecholate chelate and pivots on this connection like a door on a hinge. That the partially dissociated ligand remains connected to the assembly ensures that the tetrahedral structure is preserved throughout the guest exchange process.

However, as has been noted for supramolecular viral capsids, interpretation of rigid solid-state structure in predicting dynamic behavior may lead to false extrapolations. 20 The M_4L_6 host does have four apertures leading to the surrounding solution. These

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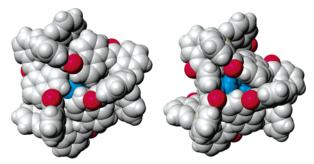


Figure 3. Two views from the $[(NEt_4) \subset Fe_4L_6]^{11-}$ crystal structure of the assembly apertures opposite to each metal vertex on the structure's C_3 symmetric faces. 15,16 Amide carbonyl oxygens are in red, while the encapsulated NEt4+ guest is in blue. The aperture shown at the left has crystallographic three-fold symmetry, while that shown at the right is slightly distorted from C_3 symmetry in this crystal structure.

create small openings on the C_3 axes opposite each metal vertex, where the edges of three naphthyl ligand backbones and their corresponding carbonyl oxygens meet. The two crystallographically independent apertures (in solution each host contains four chemically equivalent apertures) from the $K_5(NEt_4)_6[(NEt_4 \subset -$ Fe₄L₆] structure (Figure 3) are largely closed, with little of the encapsulated guest exposed in either view. 15,16 (The symbol "C" indicates encapsulation.) A nondissociative guest exchange mechanism would necessitate deformation of the host structure to enlarge one of the four apertures for guest passage.

The current mechanistic study tests whether the lability of the M₄L₆ metal-ligand bonds is responsible for facile guest exchange and therefore which of the two mechanistic models is appropriate. In general, the supramolecular self-assembly strategy requires sufficient component lability to ensure formation of an equilibrium product. In the catecholamide-based M₄L₆ assembly, hard trivalent metal ions such as GaIII, FeIII, and AlIII provide catecholate complexes of high thermodynamic stability and sufficient lability to ensure clean formation of the product tetrahedron.¹⁶ Yet, are these metals also labile enough to facilitate a partial-dissociation mechanism of guest exchange in the M₄L₆ host? Here, the lability of the M₄L₆ components is varied to probe the guest exchange mechanism.

Inert M₄L₆ Hosts. If a dissociative guest exchange mechanism is operable, an assembly analogous to the [Ga₄L₆]¹²⁻ structure but constructed from more inert metal ions should inhibit partial ligand dissociation and thus guest exchange. The choice of inert M₄L₆ systems was guided by the reliance on ¹H NMR to monitor guest exchange reactions and known metalcatecholate chemistry.21 Thus, TiIV and GeIV analogues of the well-studied [Ga₄L₆]¹²⁻ structure were prepared. For metal ions of similar ionic radii in which crystal field effects are not significant, lability depends strongly on charge, with more highly charged ions having slower water exchange rates than do less highly charged ions.²² Both Ti^{IV} and Ge^{IV} are known to form stable tris-catecholate complexes with structural properties similar to those of the corresponding Ga^{III} complexes,²³ and ligand exchange studies with [GeL'₃]²⁻ and [GaL'₃]³⁻ analogues support the conclusion that there is a significant difference in lability between catecholate complexes of each metal (see Supporting Information).²⁴ Therefore, tetravalent M₄L₆ assemblies should be less labile than their trivalent analogues.

The syntheses of the Ti^{IV} and Ge^{IV} assemblies require higher temperatures and longer reaction times, a consequence of the decreased lability of Ti^{IV} – and Ge^{IV} – catecholate interactions. (A similar Ti^{IV} assembly has also been reported to require harsher reaction conditions for self-assembly.)¹⁶ In addition, unlike assembly reactions with trivalent metal ion components, guest templates were required for Ti^{IV} and Ge^{IV} host formation, and $(NEt_4)_7[(NEt_4) \subset Ti_4L_6]$, $(NEt_4)_7[(NEt_4) \subset Ge_4L_6]$, $K_4(NMe_4)_3$ - $[(NMe_4) \subset Ge_4L_6]$, and $Na_2(NMe_4)_5[(NMe_4) \subset Ge_4L_6]$ complexes were isolated and characterized. High-resolution FTICR ES-MS confirmed the M₄L₆ stoichiometry of the structures, and ¹H and ¹³C NMR spectra of the $K_n(NEt_4)_{7-n}[(NEt_4) \subset M_4L_6]$ complexes verified formation of the anticipated T-symmetric host, with the resonances of one equivalent of encapsulated NEt₄⁺ guest shifted several ppm upfield as is observed for the analogous trivalent metal assemblies. 15,16 Crystals obtained of the Ti^{IV} and Ge^{IV} host-guest complexes were of poor quality for X-ray structure determination, although a low resolution Ti₄L₆ data set did confirm the tetrahedral arrangement of Ti^{IV} ions with 12.8 Å separation, as observed in the Fe^{III} structure. ¹⁵

Guest exchange in the [Ga₄L₆]¹²⁻ assembly with a variety of guests and in a variety of solution conditions can be followed by ¹H NMR. ¹⁹ Upfield shifted encapsulated guest resonances are clearly identified, and typical ¹H NMR data for the exchange of PEt₄⁺ for NEt₄⁺ in the [Ga₄L₆]¹²⁻ cavity are shown in Figure

Parallel guest exchange reactions with the Ga^{III}, Ti^{IV}, and GeIV assemblies were monitored to test the relevance of ligand dissociation in the M₄L₆ guest exchange process. If partial ligand dissociation were required for guest exchange, slower guest exchange rates would be expected for the tetravalent TiIV and Ge^{IV} hosts. The solubility of the Ti^{IV} and Ge^{IV} assemblies required that the exchange reactions be conducted in DMF- d_7 , the same solvent in which these hosts were observed to form slowly, even at temperatures over 120 °C. The solutions contained 10% (v/v) D₂O and 20 mM NaOD to ensure that proton-catalyzed ligand dissociation was not a factor. Experiments with lower NaOD concentrations produced identical results, confirming that base catalysis was absent.

Integrated ¹H NMR kinetic data following the PEt₄⁺ for NEt₄⁺ guest exchange reaction in the Ga^{III}, Ti^{IV}, and Ge^{IV} assemblies are shown in Figure 5. Remarkably, these reveal virtually identical guest exchange rates for all three systems! The guest exchange reactions are almost too fast to capture by the ¹H NMR time course method. (Weaker ion solvation by DMF as opposed to water likely contributes to faster guest exchange for all three hosts than is observed for the [Ga₄L₆]¹²⁻ host in water.) The slight difference in rate between the trivalent Ga^{III} host and its tetravalent Ti^{IV} and Ge^{IV} analogues may reflect

⁽²¹⁾ Of the possible inert diamagnetic metal analogues, CoIII catecholate complexes are prone to internal redox chemistry, yielding Co^{II} semiquinones, while the extreme inert character of Rh^{III} catecholates led to unsuccessful attempts to synthesize [Rh₄L₆]¹²⁻ assemblies.

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⁽²⁴⁾ The propensity of the corresponding [TiL'₃]²⁻ complexes to hydrolyze at basic pH (Borgias et al., ref 23) prevented investigation of their lability. Hydrolysis of aquo species to oxo or hydroxo species for tetravalent metal ions is common and complicates most classical measures of lability such as water exchange rate.

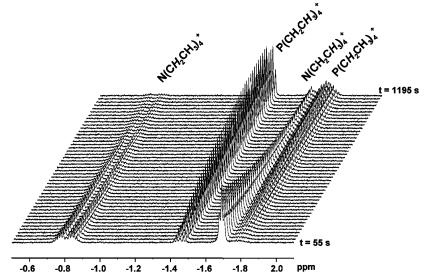


Figure 4. Representative ¹H NMR data, following the exchange of PEt₄⁺ for NEt₄⁺ in the [Ga₄L₆]¹²⁻ assembly (D₂O, pD > 12, 22 °C).

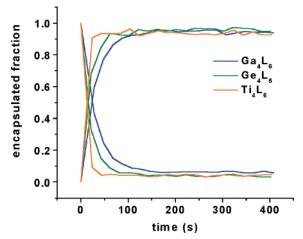


Figure 5. Reaction traces following the displacement of NEt_4^+ by PEt_4^+ in the $[Ga_4L_6]^{12-}$, $[Ge_4L_6]^{8-}$, and $[Ti_4L_6]^{8-}$ hosts in DMF- d_7 at room temperature. (Encapsulated PEt_4^+ increases with time, while encapsulated NEt_4^+ decreases.)

the difference in charge between the 12- and 8- hosts. More importantly, these results indicate that guest exchange rates do not depend on the nature of the metal—ligand interactions of the host.

Rapid guest exchange in all three M₄L₆ hosts rules out a guest exchange mechanism that requires partial dissociation of the assembly ligands. Instead, guests must squeeze in to and out of the tetrahedral cavity through the structure's apertures, as illustrated in the nondissociative guest exchange mechanism represented in Figure 6. Such a process would imply significant deformation of the host from what is observed in the solid-state crystal structure, but is this realistic?

Host Deformation. Molecular mechanics calculations were used to evaluate the elasticity of the M_4L_6 framework with respect to a nondissociative guest exchange mechanism. Similar calculations have been successfully applied to the evaluation and prediction of a number of potential metal—ligand supramolecular structures and several host—guest complexes. 6,15,18,25,26 Here, an initial $[Fe_4L_6]^{12-}$ host—guest model was based on the crystal structure of $K_5(NEt_4)_6[(NEt_4) \subset Fe_4L_6]$ with different guests substituted into the host cavity. A reaction coordinate was generated by systematically increasing the distance from

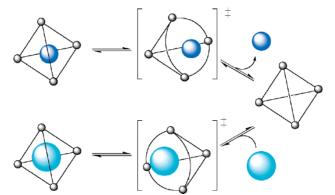


Figure 6. Schematic representation of a nondissociative guest exchange mechanism for the M_4L_6 host. It is likely that deformation of the host structure is necessary to accommodate the passage of guests through the assembly's C_3 symmetric apertures.

the center of the guest to the metal center opposite to the active C_3 aperture (Fe_{opp}). For 19 incremental distances, the structures were minimized (CAChe, MM3)²⁷ to produce a trajectory of guest passage through the host aperture.^{28,29} While the absolute energies calculated for each structure have no direct meaning, the relative energies of each structure create a reaction profile representative of the strain induced in the host throughout the guest extrusion. The reaction profile for the extrusion of NEt₄⁺ from the host is presented in Figure 7 along with three structures calculated to lie along the reaction coordinate.

The reaction coordinate diagram for the extrusion of NEt₄⁺ is virtually parabolic until near the maximum energy of the calculated transition state, as the largest aperture opening is created.³⁰ Examination of the intermediate structures supports

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²⁷⁾ CAChe Workstation Pro, 5.04; Fujitsu Ltd., 2002.

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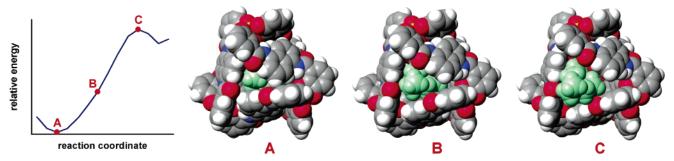


Figure 7. A reaction coordinate diagram is generated from guest translation calculations (CAChe, MM3).^{27,30} Three structures along the reaction coordinate are identified and shown at the right.

the conclusion that nondissociative guest exchange is a likely mechanism. To accommodate an enlarged C_3 aperture, the host deforms by pivoting the catecholate-metal chelates at the oxygen atoms (so that chelate and catechol rings are no longer coplanar) and by torquing the amide functionalities out of the plane of the catechol and/or the naphthalene moeities. Both types of structural deformation are commonly seen in crystal structures of similar compounds and therefore may be viewed as plausible or energetically accessible structural deformations: Structures of analogous trivalent tris-catecholate complexes demonstrate variation of zero to 24° in the angle between the phenyl catecholate ring and the ring of the metal chelate which it forms, 26,31 and supramolecular structures in particular produce significant twisting (up to 60°) around the catecholate-amideligand backbone linkage. 16,26

The enlargement of the C_3 aperture can be measured by the average distance between carbonyl oxygens and is 7.6 Å for the NEt₄⁺ calculation. (An average ground-state aperture of 5.9 Å is found in the $[\text{Fe}_4\text{L}_6]^{12^-}$ crystal structure.) Similar calculations produce an anticipated trend, relating exchange energetics and the steric demand of a guest on the host aperture. A small guest such as NMe₄⁺ enlarges the C_3 aperture to 7.3 Å and a lower activation energy, while the largest guest known to bind in the host cavity, CoCp^*_{2} ⁺, creates a 9.8 Å opening and a calculated activation energy more than twice that of NEt_4 ⁺.

Calculated reaction coordinate diagrams for the extrusion of NMe_4^+ , NEt_4^+ , NPr_4^+ , $CoCp_2^+$, and $CoCp^*2^+$ were generated from the modeling studies (Figure 8). The energy profiles were zeroed at the lowest energy position for each encapsulated guest; this occurred at a distance of 8 or 9 Å from Fe_{opp} to the center of each guest. The energy values calculated in the vacuum cannot be accurate estimates of the true activation enthalpy in solution, but do give an indication of the relative deformation of the host caused by each guest. As might be expected, the largest guest, $CoCp^*2^+$, causes the greatest strain on the host structure as it is extruded. The smallest guest, NMe_4^+ , produces a much shallower reaction coordinate profile, in line with the rapid exchange rates observed for it (Figure 9).

The transition state model of the CoCp*2+ guest exchange shows a severely distorted host and appears to demonstrate the limits of the host elasticity. Nondissociative guest exchange

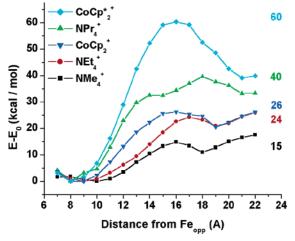


Figure 8. Calculated reaction coordinate diagrams for the extrusion of guests from the $[\text{Fe}_4\text{L}_5]^{12-}$ structure based on molecular mechanics modeling (CAChe, MM3). The numbers at the right give the calculated activation barrier, and the continued rise in energy after guest exit is an artifact of the in vacuum calculation.

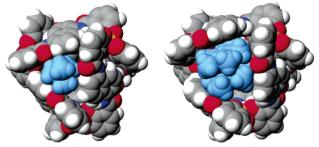


Figure 9. Calculated structures (CAChe, MM3)²⁷ demonstrating the difference in aperture enlargement required for NMe_4^+ (left) and $CoCp*_2^+$ (right) to pass through an M_4L_6 aperture.

should be inhibited by a guest, which must deform the host to such a great extent — CoCp*2+ guest exchange would be predicted to be much slower than that of NEt4+. On the other hand, if guest exchange were to occur through a partially dissociated host, the guest size and rigidity would not be anticipated to severely impact exchange kinetics.

Exchange of a Very Large Guest. Evidence of the steric demand of $CoCp*_2^+$ on the M_4L_6 host comes from its NMR spectra. While a single sharp resonance appears at -0.6 ppm in the 1H NMR spectrum for the chemically equivalent encapsulated $CoCp*_2^+$ methyl protons, 32 18 resonances are

⁽³⁰⁾ After the guest has been extracted from the host, the energy of the MM3 calculations begins to increase again. This energy increase merely reflects charge separation (between the host and guest) in a vacuum and is an artifact of the calculation.

⁽³¹⁾ Karpishin, T. B.; Stack, T. D. P.; Raymond, K. N. J. Am. Chem. Soc. 1993, 115, 182–192. Borgias, B. A.; Barclay, S. J.; Raymond, K. N. J. Coord. Chem. 1986, 15, 109–123. Hay, B. P.; Dixon, D. A.; Vargas, R.; Garza, J.; Raymond, K. N. Inorg. Chem. 2001, 40, 3922–3935. Yeh, R. M. Ph.D. Thesis, University of California, Berkeley, 2004.

⁽³²⁾ Even when restricted by two opposing naphthyl groups (or walls) of the D_2 symmetric M_4L_6 assembly, the $CoCp^*2^+$ cation has effective D_2 point symmetry in solution because of unrestricted rotation around one axis.

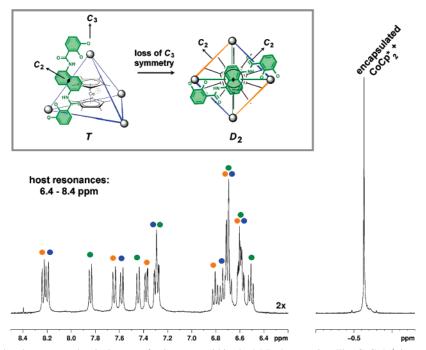


Figure 10. $CoCp^*2^+$ is such a large guest that it does not freely rotate within the M_4L_6 host cavity. The $CoCp^*2^+$ is wedged between two opposing naphthalene walls of the host interior, causing the effective symmetry of the host—guest complex to be lowered from T to D_2 . This change in symmetry explains the observed 1H NMR spectrum (500 MHz, DMF- d_7) of $K_{11}[(CoCp^*2) \subset Ga_4L_6]$: instead of the six ligand resonances observed for the host when smaller guests are encapsulated, here 18 resonances appear, consistent with a D_2 symmetric structure containing three sets of chemically inequivalent C_2 symmetric ligands.

observed for the host instead of the usual six (Figure 10). Molecular modeling indicates that decamethylcobaltacinium is so large for the M_4L_6 cavity that it causes the host to bulge. It appears that such a large guest cannot freely rotate within the host cavity but is instead trapped between two opposing naphthalene walls. This causes the host to lose its C_3 symmetry axes so that the host—guest complex has effective D_2 symmetry instead of the T symmetry observed for complexes of smaller guests. Such a decrease in symmetry leads to three sets of six ligand resonances, as are highlighted in Figure 10, with each ligand retaining C_2 symmetry.

When an aqueous solution (D₂O, pD > 12) of K_{11} -[CoCp* $_2$ CGa $_4$ L $_6$] was infused with an excess of PEt $_4$ + (either 12 or 24 equiv), no exchange reaction was observed to occur at room temperature for 21 days! Only when the solution was heated to 75 °C did PEt $_4$ + for CoCp* $_2$ + exchange begin.³³ In DMF- d_7 solution at 50 °C, the half-life for the same PEt $_4$ + for CoCp* $_2$ + exchange is approximately 300 min (Figure 11). This can be compared to a half-life of 23 s for the exchange of PEt $_4$ + for NEt $_4$ + in DMF- d_7 at room temperature. Other guests that have been examined (e.g., NMe $_4$ +, NMe $_2$ Pr $_2$ +, NPr $_4$ +, and CoCp $_2$ +) demonstrate exchange rates that much more closely resemble those found for the NEt $_4$ +/PEt $_4$ + reaction, with exchange reactions occurring in basic aqueous solutions at room temperature within 15 min.

The extreme contrast in guest exchange rates observed between CoCp*2+ and NEt4+ when displaced by PEt4+ supports the nondissociative guest exchange mechanism. The rate is expected to be sensitive to the size and conformation of the guest, which determine its ability to squeeze through a small host aperture. It may be that passage of CoCp*2+ through the

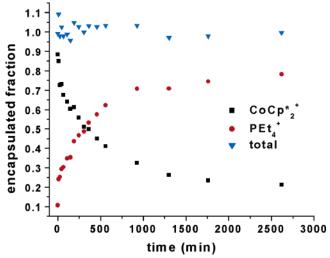


Figure 11. The displacement of $CoCp*_2^+$ by PEt_4^+ in $[Ga_4L_6]^{12-}$ was followed by 1H NMR (400 MHz) in DMF- d_7 at 50 °C.

host aperture is impossible and partial ligand dissociation — an energetically more costly pathway — is required.³⁴

Cram proposed the term constrictive binding to describe the steric repulsions that must be overcome for a guest to escape hemicarceplexes.³⁵ For most guests, rates of escape were dictated largely by the reorganization of the host structure required to create a portal of sufficient size to the exterior solution.³⁶ Only

⁽³³⁾ PEt₄⁺ for CoCp*₂⁺ exchange reactions in water were hampered by precipitation of the host by ion pairing of the released CoCp*₂⁺ ion.

⁽³⁴⁾ Exchange experiments with [CoCp*2+CGa4L6]¹¹⁻ were attempted in lower pH solutions to demonstrate conclusively that activation of a dissociative exchange mechanism would speed up the PEt4+ exchange reaction. However, these studies were prevented by precipitation of the host under a variety of reaction conditions.

⁽³⁵⁾ Cram, D. J.; Tanner, M. E.; Knobler, C. B. *J. Am. Chem. Soc.* **1991**, *113*, 7717–7723.

⁽³⁶⁾ Cram, D. J.; Blanda, M. T.; Paek, K.; Knobler, C. B. J. Am. Chem. Soc. 1992, 114, 7765–7773. Robbins, T. A.; Cram, D. J. J. Chem. Soc., Chem. Commun. 1995, 1515–1516.

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with large guests was guest structure a significant determining factor. ^{28,37} In the area of coordination assemblies, Rauchfuss and co-workers observed a dependence of guest exchange rates on guest size with a [{CpCo(CN)₃}₄(Cp*Ru)₄] molecular box and K⁺ and Cs⁺ guests. ¹³ The smaller K⁺ guest was rapidly encapsulated, while exchange for Cs⁺ was much slower, indicative of constrictive binding.

The CoCp*₂+ guest exchange studies reported here emphasize the role of constrictive binding in the M₄L₆ host. Smaller guests such as NMe₄+, NEt₄+, and CoCp₂+ stress the host aperture to similar extent, creating comparable deformations of the host. With CoCp*₂+, constrictive binding effects are maximized by the guest size and rigidity, dramatically slowing guest exit.

Summary and Conclusion

Three different strategies have been employed to analyze the guest exchange mechanism for M_4L_6 hosts, with the following results: (1) Guest exchange in hosts composed of more inert metal—ligand bonds is as facile as guest exchange in more labile analogues. (2) Modeling studies demonstrate that for most guests passage through the C_3 symmetric aperture of the host is achieved by distortions of the host structure. (3) Experiments with a large guest, $CoCp*_2^+$, establish the limits of nondissociative guest exchange. Together these experiments build a strong case for a guest exchange mechanism, which does not require metal—ligand bond rupture within the host.

The mechanistic lessons from this study bring new insight to the encapsulated reaction chemistry of this host. For example, the previously reported size-based substrate selectivity of an $[Ga_4L_6]^{12}$ -encapsulated Ir complex may be better understood in the context of nondissociative guest exchange. Large C–H activation substrates were not observed to react with an encapsulated Ir complex, even though they react readily with the nonencapsulated complex. A partially dissociated host intermediate might be anticipated to be more "leaky" and less selective with respect to guest ingress and egress, enabling kinetic trapping of the large C–H activation product outside of the host. Thus, characterization of the guest exchange mechanism for the M_4L_6 host will not only direct future development of its host—guest chemistry but may also guide the design of new hosts with tailored guest exchange dynamics.

Experimental Section

General. Reagents were obtained from commercial suppliers and used without further purification unless noted. Et₄NCl was precipitated from cold ethanol with diethyl ether, filtered, and rigorously dried. Ligand H₄L was prepared as previously reported. ^{15,16} NMR spectra were obtained using Bruker 500 or 400 MHz spectrometers. ¹H NMR shifts are reported as δ in ppm relative to residual protonated solvent resonances. High-resolution mass spectra were recorded at the UCB Mass Spectrometry Facility using a Bruker Apex II 7 T actively shielded FTICR mass spectrometer equipped with an Analytica electrospray source. TOF mass spectra were recorded at the Waters facility in Dublin, CA, on a Waters QTOF API mass spectrometer equipped with a Z-spray source. Elemental analyses were performed at the UCB Analytical Facility.

Metal Complex Syntheses. $(NEt_4)_7[(NEt_4) \subset Ti_4L_6]$. The ligand H_4L (184 mg, 0.428 mmol) and NEt₄Cl (105 mg, 0.634 mmol) were dissolved in 60 mL of DMF. To this solution was added 82 mL of $Ti(O^{i}Pr)_{4}$ (82 μ L, 0.28 mmol) via microsyringe, causing the solution to turn dark red in color. The reaction mixture was heated under a nitrogen atmosphere to 140 °C for 5 days, during which time the solution color lightened to orange. After the reaction mixture was cooled to room temperature, NaHCO3 (46.3 mg, 0.551 mmol) was added as a solution in approximately 1 mL of water. The evolution of a small amount of gas from the solution was observed at this time. The solvent was removed under vacuum to produce an orange solid, which was washed with methanol and dried under vacuum. ¹H NMR (400 MHz, DMSO- d_6): δ 11.76 (s, 12H, NH), 8.16 (d, J = 7.7 Hz, 12H, ArH), $7.66 \text{ (d, } J = 8.6 \text{ Hz, } 12\text{H, } ArH), } 7.37 \text{ (d, } J = 8.1 \text{ Hz, } 12\text{H, } ArH), } 7.09$ (d, J = 8.0 Hz, 12H, ArH), 6.56 (d, J = 7.8 Hz, 12H, ArH), 6.33 (d, J = 8.0 Hz, 12H, ArH), 6.33 (d, J = 8.0 Hz, 12H, ArH), 6.33 (d, J = 8.0 Hz, 12H, ArH), 6.34 (d, J = 8.0 Hz, 12H, ArH), 6.35 (d, J = 8.0 Hz, 12H, ArHJ = 7.4 Hz, 12H, ArH), 3.06 (q, $J = 7.2 \text{ Hz}, 56\text{H}, \text{C}H_2 \text{ NEt}_4^+_{\text{ext}}$), 1.05 $(t, J = 6.9 \text{ Hz}, 84\text{H}, CH_3 \text{ NEt}_{4\text{-ext}}^+), -0.95 \text{ (m, 8H, CH}_2 \text{ NEt}_{4\text{-enc}}^+), -1.80$ $(t, J = 6.7 \text{ Hz}, 12\text{H}, CH_3 \text{ NEt}_{4+\text{enc}}^{+})$. ¹³C{¹H} NMR (100 MHz, DMSO d_6): δ (host) 165.3, 160.2, 160.1, 134.6, 126.1, 125.5, 118.7, 117.3, 117.1, 116.4, 115.6, 113.8 (NEt₄+_{ext}), 51.3, 7.5 (NEt₄+_{enc}), 50.0, 3.8. HRMS (ES-FTMS) calcd (found) m/z: NaTi₄C₁₇₆H₁₆₄N₁₆O₃₆ (M - $4NEt_4{}^+\ +\ Na^+)^{3-}\ 1097.9802\ (1097.9792);\ Ti_4C_{176}H_{164}N_{16}O_{36}\ (M\ -\)^{3-}\ Na^+)^{3-}\ Na^+$ $4NEt_4^+)^{4-}$ 817.7378 (817.7345).

 $(NEt_4)_7[(NEt_4) \subset Ge_4L_6]$. The germanium tetrahedron was synthesized from H₄L (148 mg, 0.345 mmol), NEt₄Cl (80.1 mg, 0.483 mmol), $Ge(O^{i}Pr)_{4}$ (65.0 μ L, 0.217 mmol), and NaHCO₃ (36.6 mg, 0.436 mmol) in a manner analogous to that described for $(NEt_4)_7[(NEt_4) \subset Ti_4L_6]$. The reaction mixture was heated to 120 °C under a nitrogen atmosphere for 3 days. The product was isolated from methanol as an off-white powder. Yield: 202 mg (96%). ¹H NMR (400 MHz, DMSO- d_6): δ 11.78 (s, 12H, NH), 8.17 (d, J = 7.8 Hz, 12H, ArH), 7.44 (d, J = 8.7Hz, 12H, ArH), 7.32 (d, J = 6.7 Hz, 12H, ArH), 7.01 (t, J = 8.1 Hz, 12H, ArH), 6.61 (d, J = 7.4 Hz, 12H, ArH), 6.55 (t, J = 7.7 Hz, 12H, ArH), 3.09 (q, J = 7.1 Hz, 56H, CH₂), 1.07 (t, J = 6.4 Hz, 84H, CH₃), -0.95 (m, 8H, CH₂), -1.82 (t, J = 6.3 Hz, 12H, CH₃). ¹³C{¹H} NMR (100 MHz, DMSO- d_6): δ (host) 165.2, 151.6, 151.0, 134.1, 125.6, 125.1, 116.7, 116.2, 116.1, 115.4, 114.9, 113.4, 51.4, 7.0, 49.5, 3.3. HRMS (ES-FTMS) calcd (found) m/z: $Ge_4C_{176}H_{164}N_{16}O_{36}$ (M - $4NE{t_4}^+)^{4-}\ 842.4618\ (842.4718);\ Ge_4C_{168}H_{144}N_{15}O_{36}\ (M\ -\ 5NE{t_4}^+)^{5-}$ 647.9375 (647.9450). Anal. Calcd for $Ge_4C_{208}H_{244}N_{20}O_{36}$: C, 64.21; H, 6.32; N, 7.20. Found: C, 64.28; H, 6.10; N, 7.07.

 $K_4(NMe_4)_3[(NMe_4)\subset Ge_4L_6]$. The complex was synthesized from H₄L (98.2 mg, 0.228 mmol), NMe₄Br (33.0 mg, 0.214 mmol), Ge(Oi- $Pr)_4$ (42 μ L, 0.14 mmol), and KHCO₃ (28.1 mg, 0.281 mmol) in a manner analogous to that described for $(NEt_4)_7[(NEt_4) \subset Ti_4L_6]$. The reaction mixture was heated to 120 °C under a nitrogen atmosphere for 3 days. The product was isolated from methanol as an off-white powder. Yield: 84 mg (73%). ¹H NMR (400 MHz, DMSO- d_6): δ 11.66 (s, 12H, NH), 8.09 (d, J = 7.8 Hz, 12H, ArH), 7.33 (d, J = 8.8 Hz, 12H, ArH), 7.29 (d, J = 8.1 Hz, 12H, ArH), 6.94 (t, J = 8.1 Hz, 12H, ArH), 6.61 (d, J = 7.4 Hz, 12H, ArH), 6.54 (t, J = 7.8 Hz, 12H, ArH), 3.02 (br s, 36H, CH₃) (the encapsulated NMe₄⁺ produces a very broad resonance around 0.0 ppm). $^{13}C\{^{1}H\}$ NMR (100 MHz, DMSO- d_6): δ (host) 165.2, 151.5, 151.1, 133.9, 125.7, 125.5, 117.6, 116.3, 116.0, 115.4, 115.3, 113.4 (NMe₄⁺), 53.9 (br). HRMS (ES-FTMS) calcd (found) m/z: $Ge_4C_{160}H_{132}N_{16}O_{36}$ (M $-4K^+$)⁴⁻ 786.3990 (786.3986), $Ge_4C_{156}H_{120}N_{15}O_{36}$ (M - 4K⁺ - NMe₄⁺)⁵⁻ 614.2999 (614.2990), $Ge_4C_{152}H_{109}N_{14}O_{36}$ (M $-4K^+ - 2NMe_4^+ + H^+$)⁵⁻ 599.6820 (599.6804). Anal. Calcd for $K_4Ge_4C_{160}H_{132}N_{16}O_{36}$: C, 58.20; H, 4.03; N, 6.79. Found: C, 58.20; H, 4.38; N, 6.80.

 $Na_2(NMe_4)_5[(NMe_4)\subset Ge_4L_6]$. The complex was synthesized from H_4L (206 mg, 0.479 mmol), NMe_4Br (73.5 mg, 0.477 mmol), $Ge(O^{-i}Pr)_4$ (95 μL , 0.32 mmol), and $NaHCO_3$ (53.6 mg, 0.638 mmol) in a manner analogous to that described for $(NEt_4)_7[(NEt_4)\subset Ti_4L_6]$. The reaction mixture was heated to 120 °C under a nitrogen atmosphere for 3 days. The product was isolated from methanol as an off-white

⁽³⁷⁾ Quan, M. L. C.; Cram, D. J. J. Am. Chem. Soc. 1991, 113, 2754–2755. Cram, D. J.; Jaeger, R.; Deshayes, K. J. Am. Chem. Soc. 1993, 115, 10111– 10116. Cram and co-workers also demonstrated that they could manipulate host—guest dynamics by manipulating the structure of the host portals: Yoon, J.; Cram, D. J. Chem. Commun. 1997, 1505–1506.

powder. Yield: 190 mg (70%). ¹H NMR (400 MHz, DMSO- d_6): δ 11.67 (s, 12H, NH), 8.09 (d, J=7.8 Hz, 12H, ArH), 7.34 (d, J=8.7 Hz, 12H, ArH), 7.29 (dd, $^3J=8.1$ Hz, $^4J=1.6$ Hz, 12H, ArH), 6.95 (t, J=8.2 Hz, 12H, ArH), 6.61 (d, $^3J=7.4$ Hz, $^4J=1.6$ Hz, 12H, ArH), 6.55 (t, J=7.8 Hz, 12H, ArH), 3.05 (br s, 60H, CH₃) (the encapsulated NMe₄⁺ produces a very broad resonance around 0.0 ppm). Anal. Calcd for Na₂Ge₄C₁₆₈H₁₅₆N₁₈O₃₆·4H₂O: C, 59.14; H, 4.84; N, 7.39. Found: C, 59.11; H, 4.30; N, 7.20.

 $\mathbf{K}_{11}[(\mathbf{CoCp}^*_2)\subset\mathbf{Ga_4L_6}]$. The $\mathbf{H}_4\mathbf{L}$ ligand (104.1 mg, 0.242 mmol) was suspended in methanol (40 mL) with [CoCp*2][PF6] (19.2 mg, 0.0405 mmol), and the solution was degassed. A 0.5 M methanolic solution of KOH (967 µL, 0.484 mmol) was then added, followed by Ga(acac)₃ (59.0 mg, 0.161 mmol). The solution was stirred at room temperature under a nitrogen atmosphere overnight, after which the volume was reduced to approximately 2 mL. A yellow solid precipitated from the solution with the addition of acetone and was collected by filtration. The product was dried under vacuum at 60 °C. Yield: 140 mg (96%). ¹H NMR (400 MHz, D₂O): δ 8.30 (d, J = 7.8 Hz, 4H, ArH), 8.26 (d, J = 7.9 Hz, 4H, ArH), 7.92 (d, J = 7.8 Hz, 4H, ArH), 7.72 (d, J = 8.5 Hz, 4H, ArH), 7.66 (d, J = 8.5 Hz, 4H, ArH), 7.52 (d, J = 8.6 Hz, 4H, ArH), 7.50 (dd, ${}^{3}J = 8.3 \text{ Hz}$, ${}^{4}J = 1.7 \text{ Hz}$, 4H, ArH), 7.41 (m, 8H, ArH), 6.89 (t, J = 8.2 Hz, 4H, ArH), 6.84-6.68 (m, 28*H*, Ar*H*), 6.62 (t, J = 7.8 Hz, 4H, Ar*H*), -0.58 (s, 30H, C*H*₃). ¹³C NMR (100 MHz, D_2O): δ 169.5, 169.2, 169.1, 159.3, 159.1, 158.9, 155.4, 155.3, 134.5, 134.1, 133.2, 126.7, 126.6, 126.5, 126.2, 125.9, 125.8, 125.6, 119.2, 119.1, 118.5, 118.4, 117.9, 117.3, 115.3, 115.0, 115.0, 114.9, 114.8, 114.8, 114.7, 114.6, 114.5, 90.8, 6.1. (Only 33 of the expected 36 ligand carbons could be identified. It is likely that many share very similar chemical shifts.) TOF MS ES(-): calcd (found) m/z: $K_7CoGa_4C_{164}H_{115}N_{12}O_{36}$ (M $-4K^+ + H^+)^{3-}$ 1147.046 $(1147.043),\,K_7CoGa_4C_{164}H_{114}N_{12}O_{36}\,(M-4K^+)^{4-}\,860.032\,(860.027),$ $K_6CoGa_4C_{164}H_{115}N_{12}O_{36} (M - 5K^+ + H^+)^{4-} 850.543 (850.534), K_{4-}$ $CoGa_4C_{164}H_{116}N_{12}O_{36} (M - 7K^+ + 2H^+)^{5-} 664.851 (664.849)$. Anal. Calcd for K₁₁Ga₄CoC₁₆₄H₁₁₄N₁₂O₃₆•2H₂O: C, 54.22; H, 3.27; N, 4.63. Found: C, 54.26; H, 3.34; N, 4.34.

¹H NMR Kinetics. Comparative Ti, Ge, and Ga Host Kinetics. All solutions were prepared in DMF- d_7 containing 10 vol % D₂O. Two sets of experiments were performed, one at 20 mM NaOD and one at 1 mM NaOD. Both sets of experiments produced equivalent kinetic data. For each host (K₇(NEt₄)₄[NEt₄⊂Ga₄L₆], (NEt₄)₇[NEt₄⊂Ge₄L₆], and (NEt₄)₇[NEt₄⊂Ti₄L₆]), 500 μL of a 6.3 mM solution was prepared in a screw top NMR tube equipped with a Teflon lined rubber septum. Each solution was equilibrated in an NMR probe held at 22

 $^{\circ}$ C for approximately 10 min. Each sample was ejected, 100 μ L of a 0.93 M solution of PEt₄Br solution was injected, and the sample tube was inverted several times to ensure proper mixing between the reacting solutions. (The mixed reaction solution contained 5.2 mM host and 155 mM PEt₄ $^{+}$.) The tube was then return to the probe, and data collection was initiated. The delay between solution mixing and the acquisition initiation was recorded and included in the data analysis. One-scan 1 H NMR spectra were recorded at a set interval with an automated routine on a 500 MHz Bruker instrument.

PEt₄⁺ for CoCp*₂⁺ Exchange Kinetics. A reaction solution containing $K_{11}[\text{CoCp*}_2\subset\text{Ga}_4\text{L}_6]$ (5.4 mM) and PEt₄Br (65 mM) was prepared in DMF-d₇ containing 10 vol % D₂O and 10 mM NaOD and sealed in an NMR tube, containing an external standard of dioxane in D2O. The tube was heated in a 50 °C bath and removed at recorded time points for spectral acquisition. Upon removal from the constanttemperature bath, the reaction solution was quenched by immersing the tube in an ice bath for several minutes. ¹H NMR spectra were recorded on a 400 MHz instrument, and eight scans were recorded at each time point, using a 10 s relaxation delay between scans. The same reaction was also monitored in D₂O (0.5 M KCl, 0.01 M NaOD) at 75 °C, but was complicated by precipitation. At room temperature in D₂O (0.5 M KCl, 0.01 M NaOD), no exchange reaction was observed for 21 days when either 12 or 24 equiv of PEt₄Br were employed. It was especially important to seal these reaction solutions under vacuum, as oxidation of the host occurs over longer periods of time and at elevated temperatures.

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Supporting Information Available: High-resolution mass spectra of salts of the $[NE_4 \subset Ti_4L_6]^{8-}$, $[NE_4 \subset Ge_4L_6]^{8-}$, and $[NMe_4 \subset Ge_4L_6]^{8-}$ host—guest complexes; formation studies of $[Ti_4L_6]^{8-}$ and $[Ge_4L_6]^{8-}$; ligand exchange studies of Ga^{III} and Ge^{IV} tris-catecholate complexes; and the PEt_4^+ for $CoCp*_2^+$ guest exchange reaction kinetics of $[Ga_4L_6]^{12-}$ in D_2O monitored by 1H NMR. This material is available free of charge via the Internet at http://pubs.acs.org.

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