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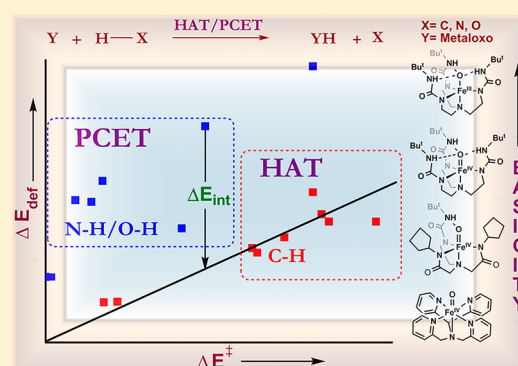
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Dichotomous Hydrogen Atom Transfer vs Proton-Coupled Electron Transfer During Activation of X–H Bonds (X = C, N, O) by Nonheme Iron–Oxo Complexes of Variable Basicity

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S Supporting Information

ABSTRACT: We describe herein the hydrogen-atom transfer (HAT)/proton-coupled electron-transfer (PCET) reactivity for Fe^{IV}-oxo and Fe^{III}-oxo complexes (1–4) that activate C–H, N–H, and O–H bonds in 9,10-dihydroanthracene (S1), dimethylformamide (S2), 1,2-diphenylhydrazine (S3), *p*-methoxyphenol (S4), and 1,4-cyclohexadiene (S5). In 1–3, the iron is pentacoordinated by tris[*N'*-*tert*-butylureaylato]-*N*-ethylene-aminato ([H₃buea]³⁻) or its derivatives. These complexes are *basic*, in the order 3 ≫ 1 > 2. Oxidant 4, [Fe^{IV}N4Py(O)]²⁺ (N4Py: *N,N*-bis(2-pyridylmethyl)bis(2-pyridyl)methylamine), is the least basic oxidant. The DFT results match experimental trends and exhibit a mechanistic spectrum ranging from concerted HAT and PCET reactions to concerted-asynchronous proton transfer (PT)/electron transfer (ET) mechanisms, all the way to PT. The singly occupied orbital along the O⋯H⋯X (X = C, N, O) moiety in the TS shows clearly that in the PCET cases, the electron is transferred separately from the proton. The Bell–Evans–Polanyi principle does not account for the observed reactivity pattern, as evidenced by the scatter in the plot of calculated barrier vs reactions driving forces. However, a plot of the deformation energy in the TS vs the respective barrier provides a clear signature of the HAT/PCET dichotomy. Thus, in all C–H bond activations, the barrier derives from the deformation energy required to create the TS, whereas in N–H/O–H bond activations, the deformation energy is much larger than the corresponding barrier, indicating the presence of a stabilizing interaction between the TS fragments. A valence bond model is used to link the observed results with the basicity/acidity of the reactants.



■ INTRODUCTION

Hydrogen abstraction (H-abstraction) is a fundamental process in Nature that is mediated mainly by heme and nonheme enzymes, which employ high-valent iron(IV)–oxo complexes to activate C–H as well as O–H and N–H bonds.^{1–4} With nonheme iron oxygenases as inspiration, many biomimetic nonheme iron(IV)–oxo and other metal–oxo complexes have been synthesized^{1b–f,5} and studied for their C–H/O–H/N–H bond activation capabilities.

It is abundantly found that C–H bond activation usually follows the Bell–Evans–Polanyi (BEP) principle⁶ and gets faster as the C–H bonds become weaker.^{7,8} However, this trend is not generally observed for O–H/N–H bonds in reactions with high-valent transition metal–oxo complexes.^{7a,9} For some iron(IV)–oxo reagents, H-abstraction from O–H bonds is either not observed or is sluggish. Examples include the [Fe^{IV}N4Py(O)]²⁺ (N4Py, *N,N*-bis(2-pyridylmethyl)bis(2-pyridyl)methylamine) and [Fe^{IV}BnTPEN(O)]²⁺ (BnTPEN, *N*-benzyl-*N,N'*-tris(2-pyridylmethyl)-1,2-diaminoethane) complexes¹⁰ and the related tetramethylcylam (TMC) containing complexes, [Fe^{IV}(O)TMC(L_{ax})]²⁺ (*z* = 1, 2), where L_{ax} is an

axial ligand of varying donor properties.¹¹ However, in other cases such as a recently reported diiron(IV)–μ-oxo complex, the O–H bonds exhibit much higher reactivity than the C–H bonds with the same bond dissociation energy (BDE).¹² Understanding why these reactivity patterns differ is important in order to gain a complete description of the reactivity of iron–oxo species with external substrates.

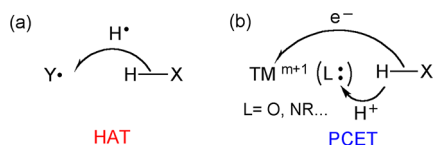
The differing reactivity of O–H and N–H bonds vs C–H bonds of the same strength could be associated with the type of mechanism, as either H-atom transfer (HAT) or proton coupled electron transfer (PCET) processes are possible.^{13–16} A HAT process involves a transfer of a hydrogen atom from one atomic site to the other, as shown in Scheme 1a. On the other hand, when the proton and the electron are transferred to different locations, as is often the case in hydrogen abstraction reactions by transition metal (TM) complexes (Scheme 1b), the process is generically called proton-coupled electron transfer (PCET). However, this classification by itself still

Received: August 5, 2013

Published: October 14, 2013



Scheme 1. Generic Representations of (a) HAT, Where the H Is Transferred along with Its Electron, and (b) PCET, Where the Proton (H^+) and Electron (e^-) Are Transferred to Different Locations



does not explain the different reactivity of iron–oxo complexes with C–H vs O–H/N–H bonds. There must be other effects that make O–H/N–H bonds more susceptible to H-abstraction than C–H bonds of equal or lesser strength.

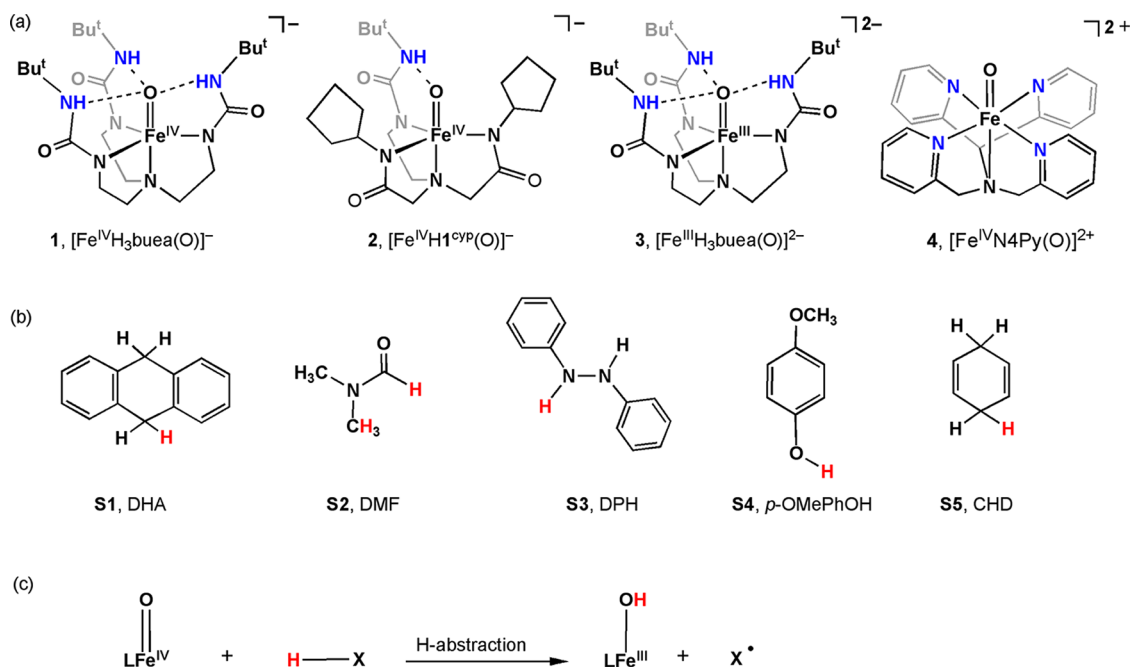
Because HAT and PCET are fundamental processes in chemical and biological oxidations,^{13–16} it is important to establish the underlying factors of this dichotomic reactivity in a manner that reveals their differences, as well as the dependence of their dichotomy on the nature of the iron–oxo reagent and the X–H bond. This is the general goal of the paper, which specifically addresses the reactivity of the iron–oxo complexes $[\text{Fe}^{\text{IV}}\text{N4Py}(\text{O})]^{2+}$ and those synthesized with the ligand tris[(*N'*-*tert*-butylureaylato)-*N*-ethylene]aminato ($[\text{H}_3\text{buea}]^{3-}$)¹⁷ toward different X–H bonds (Scheme 2).

The targeted complexes 1–4 are shown in Scheme 2a while the substrates undergoing X–H bond activation (S1–S5) are depicted in Scheme 2b. The recently synthesized^{17a} $[\text{Fe}^{\text{IV}}\text{H}_3\text{buea}(\text{O})]^-$ complex **1** belongs to a family of transition-metal complexes in which the metal is coordinated by the trianionic ligand $[\text{H}_3\text{buea}]^{3-}$.¹⁷ As a result of the enforced trigonal symmetry, **1** has a high-spin ($S = 2$) ground state.^{17a,b} This is important because nonheme iron enzymes with $\text{Fe}^{\text{IV}}\text{O}$ intermediates have high-spin ($S = 2$) ground states, which is often invoked as the reason for their potent reactivity.¹⁸

However, the anionic $[\text{H}_3\text{buea}]^{3-}$ ligand in **1** increases the basicity of the terminal-oxo ligand^{17a,f} and protects it with weak intramolecular hydrogen bonds. Further protection is provided by the bulky *tert*-butyl substituents (Bu^t) that form a hydrophobic fence around the oxo moiety. Complex **2** utilizes a hybrid ligand^{5k} containing one urea group and two cyclopentylcarboxamide moieties and is included to compare the role of multiple H-bonds¹⁹ on the basicity and reactivity of **1**. Complex **3** is the reduced form of **1**; it has an $\text{Fe}(\text{III})$ –oxo moiety and is more basic than **1**.^{17d,e} Finally, **4** ($[\text{Fe}^{\text{IV}}\text{N4Py}(\text{O})]^{2+}$) is the least basic and has the least protected $\text{Fe}(\text{IV})$ –oxo moiety.^{10a,20}

The double protection (Bu^t groups and H-bonds) lowers the reactivity of **1** and **3**, which nevertheless exhibit some intriguing reactivity patterns (see Methods). At room temperature, **1** abstracts a hydrogen atom from 1,2-diphenyl hydrazine (DPH, **S3**) and experimental evidence suggests from dimethylformamide (DMF, **S2**); in both reactions the well-characterized $[\text{Fe}^{\text{III}}\text{H}_3\text{buea}(\text{OH})]^-$ species is generated.^{17a} The reactivity of **1** toward **S3** reflects the BEP principle⁶ because the activated N–H bond ($\text{BDE} = 69 \text{ kcal/mol}$) is weaker than the OH bond that is formed ($\text{BDE}_{\text{OH}} \sim 87 \text{ kcal/mol}$).^{17c} With such a BDE_{OH} value, it is surprising that **1** reacts so slowly with DHA (**S1**; $\text{BDE}_{\text{CH}} = 77 \text{ kcal/mol}$) that a rate constant cannot be measured at room temperature, even with a 100-fold excess of **S1** (see Methods).^{17c} Since **S1** may be too bulky to react with the protected FeO moiety of **1**, we also studied **S5**, which is sterically less demanding and has a BDE_{CH} close to that in **S1**. Note that complex **3** has a much weaker FeO–H bond [$\text{BDE}_{\text{OH}} = 66(4) \text{ kcal/mol}$] than **1**, yet it activates **S1**, **S3**, and **S5**, apparently by abstraction of H-atoms.^{17d,e} However, its reactivity with **S4** proceeds by proton abstraction. By analogy with the corresponding manganese complex,^{17g} it was proposed that the reaction of **3** with **S1** might be occurring by a

Scheme 2. (a) Iron–Oxo Species (1–4) and (b) Substrates (S1–S5) Studied for the Hydrogen-Abstraction Reactions (c) Involving C–H/N–H/O–H Bonds^a

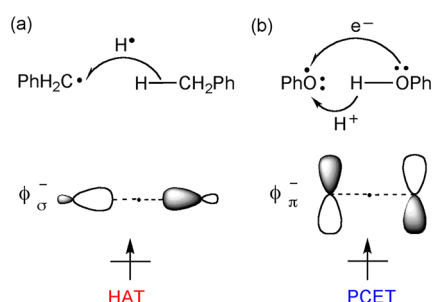


^aThe abstracted H's are marked in red.

preliminary proton abstraction step followed by electron transfer (hence stepwise PCET).^{17c} Finally, **4** activates the strong C–H bond of cyclohexane²⁰ but is unable to activate the O–H bond of MeOH.¹⁰

It is obvious that the compounds in Scheme 2 offer a sufficiently rich reactivity landscape, which needs to be organized into a comprehensible picture. We began our investigations by considering a recent study by Mayer-Borden and co-workers^{21,22} that revealed an interesting electronic effect in the self H-exchange reactions of benzyl and phenoxyl radicals. Thus, the H-abstraction by benzyl radical involves a transfer of a H-atom from one site to the other (Scheme 3a).

Scheme 3. Singly Occupied Orbitals during H-Abstraction in (a) a Normal HAT Reaction, $\text{PhCH}_2^\bullet + \text{PhCH}_3 \rightarrow \text{PhCH}_3 + \text{PhCH}_2^\bullet$, and (b) a PCET Reaction, $\text{PhO}^\bullet + \text{PhOH} \rightarrow \text{PhOH} + \text{PhO}^\bullet$

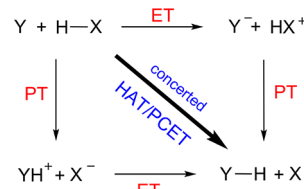


The singly occupied orbital in the transition state is the usual σ -type nonbonding orbital (ϕ_σ) with the node on the H in transit. In the reaction of phenoxyl radical with phenol, however, the electron is transferred via the π -type orbital (ϕ_π) that is perpendicular to the $\text{O}\cdots\text{H}\cdots\text{O}$ axis (Scheme 3b) while the H is transferred as a proton between σ -orbitals. Moreover, for $\text{PhO}^\bullet/\text{PhOH}$, the “normal” HAT “transition state” in which ϕ_σ is singly occupied was found to be approximately 5 kcal/mol higher in energy. In contrast, the H-atom transfer between methoxyl radicals ($\text{CH}_3\text{O}^\bullet/\text{CH}_3\text{OH}$) was shown to follow the HAT pathway with the PCET-type species being approximately 5 kcal/mol higher in energy. Thus, the singly occupied ϕ_π orbital may serve as a signature for identifying PCET processes.

We recently extended this finding of different electronic structures for PCET and HAT processes to a variety of identity reactions.^{14b} Those that involved C–H bonds followed the HAT electronic structure (Scheme 3a), while those that involved MO–H bonds (M = transition metal) revealed a PCET electronic structure (Scheme 3b). In the tested nonidentity reactions, this orbital-based signature was not found.^{14b} Nevertheless, we showed by usage of valence bond (VB) modeling that the VB structures of the HAT and PCET types mix to generate transition states with blended HAT–PCET characters, which stabilized the corresponding transition states.¹⁴ Using similar computational approaches,^{16,23} Gao-Wu and their co-workers arrived at a similar conclusion and showed that the mixing of the HAT and PCET type structures account also for the nonadiabatic features of PCET processes.¹⁵ Thus, the combination of the VB analysis and the orbital signature of HAT/PCET may serve as a useful approach for a broader understanding of the problem.

Another aspect of the HAT/PCET dichotomy is mechanistic. As shown in Scheme 4, the H-abstraction can occur via a concerted process in which both the electron and the proton

Scheme 4. Mechanistic Spectrum of HAT and PCET



are transferred in a single step, leading to pure HAT or a HAT/PCET blend. The process can alternatively transpire in a stepwise mechanism with distinct proton transfer (PT) and electron transfer (ET) steps. This mechanistic aspect and its underlying factors are another target in the present study.

We will demonstrate that the reactivity patterns in the series of complexes investigated (Scheme 2) are linked to the HAT/PCET dichotomy, which normally typifies C–H vs O–H/N–H bond activations.^{14–16} However, the PCET propensity is highly amplified by the basic nature of **1–3** such that **1** and **2** show marked propensity for PCET while **3** exhibits the stepwise PT/ET mechanism even with the C–H bond of **S1**. It will be further shown that the HAT/PCET reactivity patterns can be distinguished in two ways: (1) by the nature of the singly occupied orbital in the H-abstraction transition state (TS)²¹ and (2) by a plot of the deformation energy vs the reaction barrier²⁴ using the energy decomposition analysis approach to reactivity.^{25,26} Furthermore, VB conceptualization of the deformation energy criterion provides a global behavior that is common to many reactions involving H-atom abstraction by iron–oxo complexes^{14a} and can serve as a physically based classifier of the mechanistic dichotomy.

METHODS

A. Experimental Properties of **1 and its Reactivity Toward **S1**.** We have reported that the redox potential for the $\text{Fe}^{\text{IV}}(\text{O})/\text{Fe}^{\text{III}}(\text{O})$ couple is -0.90 V vs $[\text{FeCp}_2]^{+/0}$.^{17c} Using this result in conjunction with other thermochemical data for the series of Fe–oxo and Fe–hydroxo complexes, we have constructed the thermodynamic square scheme depicted in Figure 1. Note that BDE_{OH} is 87 kcal/mol

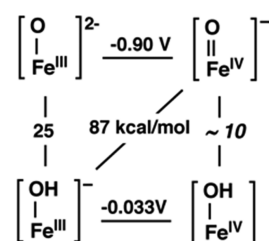


Figure 1. Square scheme of the thermodynamic cycle for $[\text{Fe}^{\text{IV}}\text{H}_3\text{buea}(\text{O})]^-$. The horizontal lines represent electron transfer (V vs $[\text{FeCp}_2]^{+/0}$), the vertical lines represent proton transfer (pK_a), and the diagonal line represents the hydroxo bond dissociation energy (BDE_{OH}) in kcal/mol.

for $[\text{Fe}^{\text{III}}\text{H}_3\text{buea}(\text{OH})]^-$ rather than the 102 kcal/mol value reported previously.^{17e} We have shown previously that **1** reacts with **S3** at room temperature.^{17a} By contrast, we now report that the reactivity of **1** toward **S1** is relatively slow. For instance, even with a 100-fold excess of **S1**, at room temperature, **1** reacts so slowly with **S1** in DMSO that a rate constant could not be measured.^{17c}

B. Theoretical Approach and Methods. Usage of Counter Ions During Calculations. To minimize self-interaction errors^{27,28} or other spurious effects caused by the anionic charges of **1–3**, we neutralized the charges by adding K^+ counterions. The dipositive charge of **4** was

neutralized using two perchlorate anions (ClO_4)[−].²⁸ The counterions were positioned as found in the crystal structures of **1**, **3**,^{17d} and **4**.²⁹ During geometry optimization and throughout the reaction path, the position of the counterions essentially remained constant.

The “Standard Computational Scheme”. We employed density functional theoretical (DFT) calculations using the B3LYP functional³⁰ for H-abstraction reactivity of oxidant **1** with substrates **S1–S5**, oxidants **2** and **3** with **S1** and **S3–S5**, and oxidant **4** with **S1**, **S4**, and **S5** (Scheme 2a,b).

Geometries and frequencies were generally computed using the LACVP(Fe,K)/6-31G(rest) basis set (B1).³¹ The connection of transition states (TSs) to reactants and products was verified by intrinsic reaction coordinate (IRC) using Gaussian 03.³² The B1 energies were corrected with LACVP+*(Fe,K)/6-311+G*(rest), hence B2,³¹ along with solvation corrections ($\epsilon = 47.24$ for **1–3** with **S1** and **S3–S5**, $\epsilon = 36.70$ for **1** with **S2**, and $\epsilon = 37.5$ for **4** with **S1**, **S4**, and **S5**) using Poisson–Boltzmann solver³³ as implemented in Jaguar 7.6.³⁴ Thermal and entropic corrections at $T = 25^\circ\text{C}$ from the corresponding frequency calculations were used to augment the B2-solv energies. Empirical dispersion correction for all of the stationary points was done using DFT-D3³⁵ with zero damping.

The above standard methodology was tested in a variety of ways (see below), which revealed the robustness of the trends. The various tests and their results are summarized in the Supporting Information (SI) (Tables S1–S12), while the discussion here shows only the free energies based on UB3LYP/B2 with solvation, which represents all other data sets.

Tests of Basis Sets, Functionals, Solvation Models, and Dispersion Models. The sensitivity of the HAT/PCET classification was tested as follows (see Table S12, SI).

(1) To determine the effect of basis sets on the barriers, single point calculations on B1 geometries were carried out with the large all-electron basis set Def2-TZVP³⁶(B3), using Gaussian 09.³⁷ The UB3LYP/B3//UB3LYP/B1 trends (Table S12a, SI) were identical to those of UB3LYP/B2//UB3LYP/B1.

(2) To judge the effect of the solvation scheme, using the SMD solvation model,³⁸ implemented in Gaussian 09,³⁷ showed that the trends (see Table S12b, SI) in the barrier heights are identical to those obtained with the “standard scheme”.

(3) To resolve the effect of density functionals, single-point calculations with the B2 basis set using mPW1K,³⁹ PBE0,⁴⁰ M06-L⁴¹, and M06⁴² showed that the trends for barrier heights were identical to those obtained with the UB3LYP/B2+Solv level (see Table S12c, SI).

(4) The steric effect of Bu^t groups on reactivity of substrates was tested by generating a model of oxidant **3** (denoted **3'**) in which the Bu^t groups were replaced with hydrogen atoms. **3'** and **3** gave similar features and reactivity trends, and hence, the data for **3'** were relegated to the SI (Figure S7 and S8).

(5) The effect of the geometry optimization level for the reactions of the substrates bearing the N–H and O–H bonds (**S3** and **S4**) was probed using three different basis sets: (i) the Stuttgart ECP and basis set on Fe,^{43,44} ECP10MDF(Fe)/6-31G(rest), labeled B4; (ii) LACVP*(Fe)/6-31G*(rest), labeled B5; and (iii) LACVP**(Fe)/6-31G**(rest), labeled B6. For **1** with **S3**, we employed M06L/B4 geometry optimization, and for **4** with **S4**, we used B3LYP with B5 and B6 geometry optimizations. The resulting barriers and geometrical parameters (Figure S12, SI) matched those based on B1 optimization.

(6) The barriers for **1+S1** and **1+S3** were tested also with DFT-D2 and DFT-D3 using BJ damping. The trends were the same as in the standard UB3LYP/B2+Solv+D level (see Table S12d, SI).

Identification of HAT/PCET Characters. We found that spin-natural orbitals (SNOs) are useful for characterizing the HAT/PCET features of a given TS.^{24,28} Spin densities and natural bond order (NBO) charges of the optimized structures at B2+Solv level (Tables S14–S19, SI) were also analyzed for the identification of the electronic states of stationary points.

RESULTS

All data are reported in the SI, while here we present only the free energies based on UB3LYP/B2 with solvation.

A. Oxidants, Reaction Barriers, and Transition-State Features. Oxidant **1–4**. Figure 2 shows key geometric and

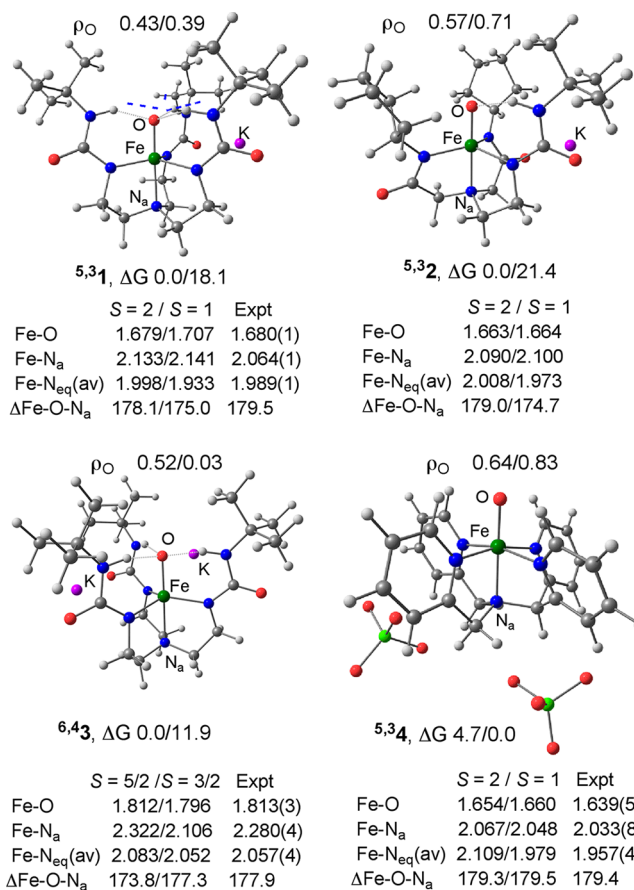


Figure 2. Computed and experimental (Expt)^{17a,b,d,29} geometric parameters (bond length in Å and angle in deg) and relative free energies including solvation correction (ΔG in kcal/mol) of the lowest spin states for **1–4**. The pink spheres in **1–3** represent the K⁺ ions. ρ_{O} is the spin density on the oxo ligand in $S = 2/S = 1$ for **1**, **2**, and **4** and in $S = 5/2/S = 3/2$ for **3**.

spin-density data for the iron–oxo complexes **1–4** in the lowest spin states. It is seen that **1** has an $S = 2$ ground state, while for **3** the ground state is $S = 5/2$. These results are in accord with the reported EPR and DFT studies for these species.^{17a,b,d,f} **2** is analogous to **1** and has a ground state of $S = 2$, as expected from Fe(IV)–oxo complexes with trigonal bipyramidal structures.^{18fg,45a} By comparison, **4** has the expected $S = 1$ ground state with a closely lying $S = 2$ state.^{20,24,28,29} The computed geometries for **1**, **3**, and **4** are in good accord with experiment. The computed spin density on the oxo ligand, ρ_{O} , for **1** is smaller than the experimental value.^{17b}

From inspection of the spin density on the oxo ligand, ρ_{O} (Figure 2), it is clear that **1** and **2** in an $S = 2$ state possess smaller oxo-spin densities compared to **4** in the same spin state.^{17b} The oxo spin density of **3** is also small. Small oxo-spin density reflects the greater ionicity of the respective Fe–O bond and is hence related to the increased basicity of this iron–oxo reagent. Interestingly, **2**, which possesses fewer H-bonds to the oxo ligand than **1**, also has a higher spin density, which

means that the H-bonds increase the basicity of the reagents.^{17b} Finally, all of the complexes with intramolecular H-bonds are more basic compared to **4**, and the basicity increases in the order $3 \gg 1 > 2 > 4$.

Reactivity Patterns of 1–4 with S1–S5. Figure 3 shows generic reaction energy profiles of the H-atom abstraction of

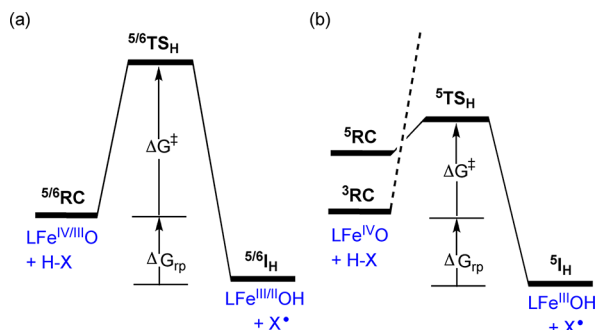


Figure 3. Generic energy profiles, starting from the reactant complex (RC). ΔG^\ddagger is the free energy barrier and ΔG_{rp} is the thermodynamic driving force of the reaction. (a) $\text{LFe}^{\text{IV/III}}\text{O}$ (of **1–3** in their ground spin states) + H-X to the intermediates $\text{LFe}^{\text{III/II}}\text{OH} + \text{X}^\bullet$ and (b) $\text{LFe}^{\text{IV}}\text{O}$ of **4**, which involves TSR (the dashed line signifies that the $S = 1$ process correlates to a high-energy $^3\text{TS}_\text{H}$ species^{24,28}).

1–4. Note that **1–3** (Figure 3a) abstract hydrogen within a single spin state, which is the ground state ($S = 2$ for **1** and **2**, and $S = 5/2$ for **3**). On the other hand, **4** (Figure 3b) performs H-atom abstraction using two-state reactivity (TSR). TSR was demonstrated before^{24,28} by showing that the lowest energy TS arises from a spin crossover from an $S = 1$ ground state to $S = 2$ as the reaction begins.

Table 1 summarizes the free-energy barriers obtained for the oxidants reacting with the various substrates. For the most part,

Table 1. Computed Free Energy Barriers (ΔG^\ddagger , kcal/mol)^a for H-Abstraction Reactions of Oxidants 1–4 with Substrates S1–S5

oxidant/substrate	1	2	3	4
S1	26.1	21.1	23.9	8.8
S2 (CH ₃)	17.7	N/A	N/A	N/A
S2 (CH _{formyl})	12.2	N/A	N/A	N/A
S3	8.5	7.6	11.7	N/A
S4	7.4	6.1	barrier-free	7.7
S5	17.1	14.8	24.7	9.5

^aThese are free energy barriers with solvation correction relative to the reactant clusters (RCs, Figure 3).

the data in Table 1 agree with the experimental results. Thus, the largest barrier found for **1** involves S1, which was also found experimentally to be nonreactive.^{17c} In contrast, the barrier is much lower for S2 and S3 than S1, which agrees with our experimental findings that S2 and S3 react with **1**.^{17a} Between the two C–H bonds of S2, the more reactive is the C–H_{formyl} bond, which is also more acidic than the C–H bond of the N–CH₃ moiety. Similar trends are obtained for **2** reacting with S1–S5. Compared with **1**, the barriers of **2** are significantly smaller for C–H bond activation (with S1 and S5), while the barriers for N–H and O–H bond activation remain small and are less affected. Thus, the decreased basicity is beneficial primarily for C–H activation and less so for O–H/N–H activations.

The highly basic reagent **3**^{17d,e} reacts with S1 and S3–S5 at room temperature, as observed experimentally. With S4, the reaction proceeds in a barrier-free fashion by proton abstraction, which is in accord with experimental observation of PT reactivity with phenols.^{17e} As we discuss later, the mechanism of C–H activation by **3** is never HAT (the same applies to **3'**; see SI, Figures S7 and S8).

Finally, the barriers in Table 1 reveal that **4** is the most potent oxidant with all the substrates tested, which is essentially in accord with experiment.²⁰ However, these barriers are small and are determined mostly by the triplet–quintet energy separation in Figure 3b, while on the quintet state surface, the barrier is very small.²⁴ All in all, the barrier data fit the experimental results.

Figure 4 shows a BEP plot⁶ that was constructed using the barrier data in Table 1 and the corresponding free energy

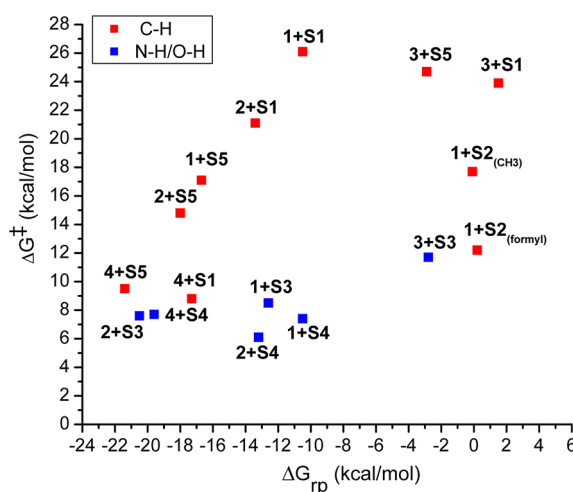
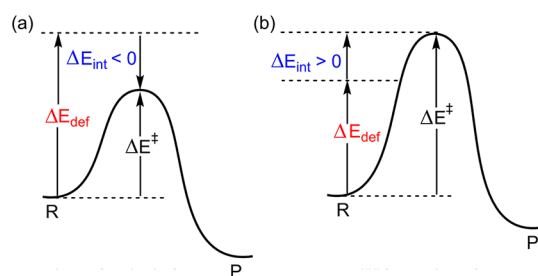


Figure 4. A BEP plot of barriers (ΔG^\ddagger) vs the thermodynamic driving force of the reaction (ΔG_{rp}) for the H-abstraction reactions of S1–S5 with oxidants 1–4.

quantities of the H-atom abstraction reaction, ΔG_{rp} . Figure 4 shows basically a scatter (similar scatter plots are given in the SI for all other levels; see Figure S1). The lack of BEP correlation agrees with the experimental observation that O–H bonds (and presumably also N–H bonds) are more reactive in H-abstraction than C–H bonds.

Origins of the Barriers. To understand the patterns of the barriers in Table 1, we examined the origins of the barrier (Scheme S)^{25c,h,i} using the energy decomposition analysis,^{25,26}

Scheme 5. Relationship between the Barrier ΔE^\ddagger , the Deformation Energy of Reactants, ΔE_{def} , and Their Interaction Energy, ΔE_{int} , at the TS: (a) $\Delta E_{\text{int}} < 0$ and (b) $\Delta E_{\text{int}} > 0$



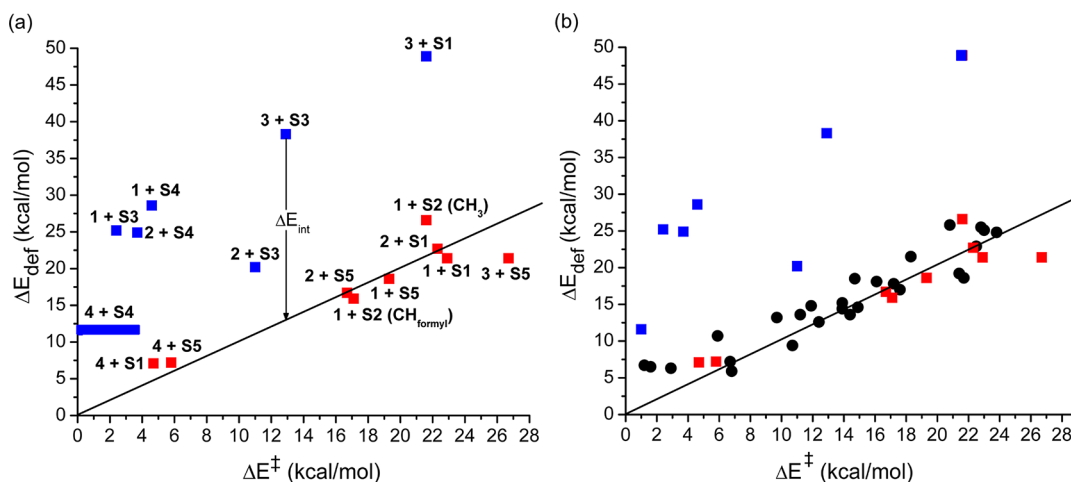


Figure 5. (a) A plot of the sum of the deformation energies of the reactants in the TS (ΔE_{def} , kcal/mol) vs the corresponding gas-phase barriers, ΔE^{\ddagger} (kcal/mol), for the reactions of 1–4 with S1–S5. The line is drawn with a slope of unity such that the interaction energy between the reactants in the TS, ΔE_{int} , is the vertical difference $\Delta E_{\text{def}} - \Delta E^{\ddagger}$. The red squares correspond to C–H activations, while the blue squares correspond to N–H/O–H activations (except for 3 + S1, which involve C–H activation by 3). (b) An extended plot of ΔE_{def} vs ΔE^{\ddagger} . The black circles correspond to C–H activation data from ref 24, while the red and blue squares are the present data shown explicitly in Figure 5a. All of the points (black circles and red squares) adjacent to the line of unity slope correspond to C–H bond activations. By contrast, all of the blue squares correspond to N–H and O–H bond activations.

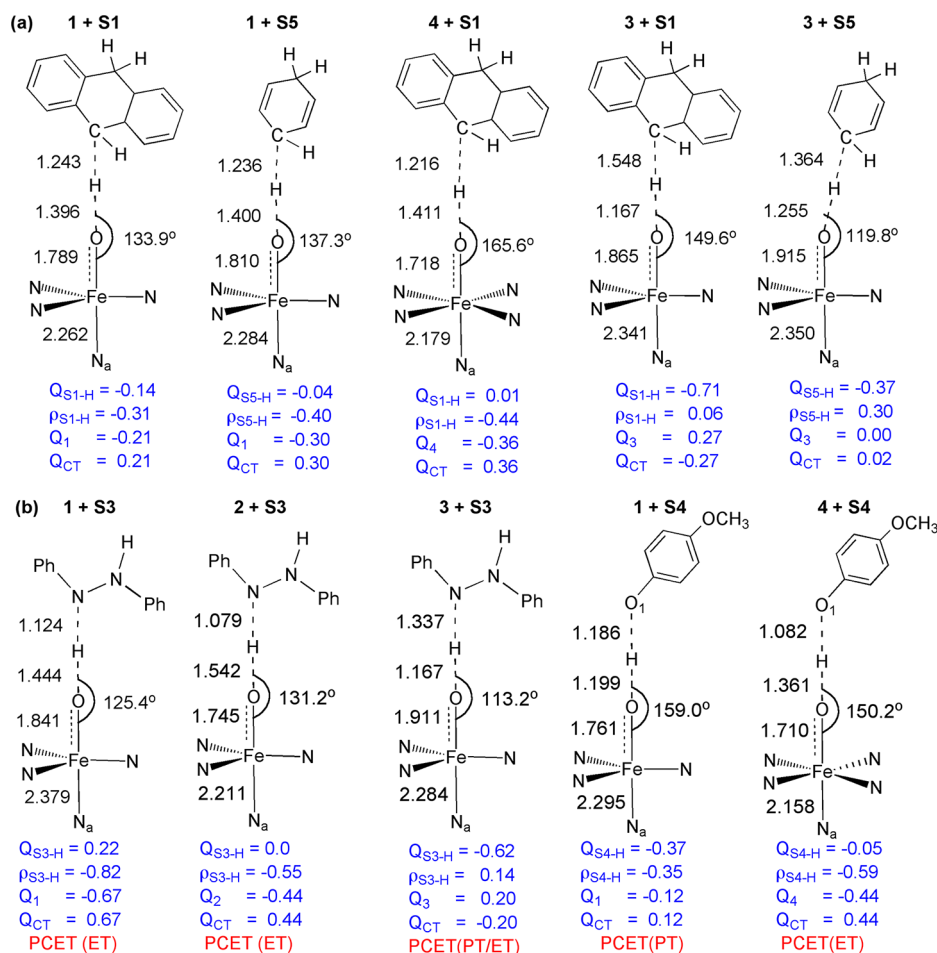


Figure 6. Schematic representations of bond activation TSs along with NBO charges (Q) and spin densities (ρ) on the H-abstracted moiety (S–H), the charge on the oxidant (Q_{1-4}), and the amount of charge transferred (Q_{CT}) from the substrate to the oxidant or vice versa in the cases of (a) C–H activation (S1 and S5) and (b) N–H/O–H activation (S3 and S4).

according to which the H-atom abstraction barrier (ΔE^{\ddagger}) can be understood as a sum of two quantities in eq 1:

$$\Delta E^{\ddagger} = \Delta E_{\text{def}} + \Delta E_{\text{int}} \quad (1a)$$

$$\Delta E_{\text{int}} = \Delta E_{\text{orb}} + \Delta E_{\text{el}} + \Delta E_{\text{Pauli(steric)}} \quad (1b)$$

ΔE_{def} is the total deformation energy, which is the energy required for distorting the oxidant and the substrate to their geometries in the TS. ΔE_{int} is the interaction energy between the so deformed reactants as they are brought to their distance(s) in the TS. The interaction energy (eq 1b) can be stabilizing ($\Delta E_{\text{int}} < 0$, Scheme 5a) because of the orbital mixing term, ΔE_{orb} , and a favorable electrostatic interaction, ΔE_{el} . Alternatively, ΔE_{int} can be destabilizing ($\Delta E_{\text{int}} > 0$, Scheme 5b) because of steric repulsions (labeled in eq 1b as $\Delta E_{\text{Pauli(steric)}}$).

Figure 5a is a plot of ΔE_{def} vs the corresponding gas-phase energy barriers, ΔE^\ddagger . Since the deformation energy is a gas-phase quantity, we use the corresponding gas-phase barriers while noting that the trends in the free energy barriers and the gas-phase barriers are very similar (SI, Figure S2 and Tables S3–S11). The line in Figure 5 is drawn with a slope of unity such that points above it have $\Delta E_{\text{def}} > \Delta E^\ddagger$ while points below it have $\Delta E_{\text{def}} < \Delta E^\ddagger$.²⁴ An informative picture emerges from this plot. The red squares show that when the oxidants are **1**, **2**, and/or **4** and the substrate undergoes C–H activation as in **S1**, **S2**, and/or **S5**, the ΔE_{def} values are close to the corresponding activation barriers, $\Delta E_{\text{def}} \approx \Delta E^\ddagger$. In these cases, the barrier derives from the deformation energy required to establish the TS.²⁴ The interaction energy, ΔE_{int} , is not very significant.

By contrast, for the N–H/O–H bond activations observed for **S3** and **S4** with **1–4**, the deformation energies in Figure 5a are significantly larger than the barriers, thus revealing that, in all of these cases, the corresponding TSs have very large stabilizing interactions, which lower the barriers well below the corresponding deformation energies, i.e., $\Delta E_{\text{int}} \ll 0$ in eq 1a. The largest deviations are observed for the most basic iron–oxo complex, **3**, while the smallest deviations are found for **4**, which is the least basic. In fact, **3** exhibits the same large and negative ΔE_{int} quantity even in the TS of **S1**, which reacts via C–H bond activation. As such, the comparison of the differences $\Delta E_{\text{def}} - \Delta E^\ddagger = \Delta E_{\text{int}}$ for the entire set of reactions projects the dichotomy between the normal HAT reactions for **S1**, **S2**, and **S5** vis-à-vis those for **S3** and **S4** and for the very basic iron–oxo **3** with **S1**.

Figure 5b shows an extended ΔE_{def} vs ΔE^\ddagger plot that combines the data of the present study (red and blue squares from Figure 5a) with all of the previously studied C–H activation data (black circles).²⁴ A spectacular picture emerges from Figure 5b: all of the C–H bond activation reactions (red squares and black circles) cluster near the line for which $\Delta E_{\text{def}} = \Delta E^\ddagger$, whereas all of the N–H/O–H bond activations (and for **3** + **S1** having TS_{PT}) lie above the line and have $\Delta E_{\text{def}} \gg \Delta E^\ddagger$ (blue squares). Thus, the dichotomy observed in Figure 5a is generally not limited to the chosen set of reactants. Understanding these features of the $E_{\text{def}}/\Delta E^\ddagger$ maps in Figure 5a,b will elucidate the HAT/PCET dichotomy and the cause for the faster PCET processes of N–H and O–H bonds.

Transition State Features and Mechanistic Information.

To complement the deformation-energy data in Figure 5a, we proceeded with structural data and other features for the respective TSs. Since we have many TS species, we show only representative ones, and the rest can be found in the SI (Figures S3–S11).

Figure 6 shows key geometric features and charge- and spin-distribution information on the TSs for C–H activation (Figure 6a) and for N–H/O–H activation (Figure 6b). Inspection of the TS geometries in Figure 6a shows that for C–H bond

activation by **1** and **4**, the TSs are quite early (small C–H cleavage and H–O bond making), which is in accord with the general findings that the respective deformation energies (Figure 5a) are relatively small and close to the corresponding barriers. In all of the cases (**1** + **S1**, **1** + **S5**, and **4** + **S1**), the charge on the H-abstracted moiety in the TS ($Q_{\text{S-H}}$) is small. This finding indicates that these TSs have dominant HAT characters, albeit with some PCET character, which is indicated by the Q_{CT} quantities that shows charge transfer from one reactant to the other.

The C–H activation TS for **3** + **S1** is different than others in that it has a “late” TS species with a long C–H bond and a short H–O bond; this accords with the high deformation energy of this TS in Figure 5a. The charge on the H-abstracted moiety in the TS ($Q_{\text{S-H}}$) is -0.71 , and the corresponding spin density is close to zero, thus making this moiety virtually a carbanion and the corresponding TS a proton transfer (PT) species, TS_{PT}. This character was verified by following the IRC, which is displayed in Figure 7a. Thus, the reaction has a TS_{PT}

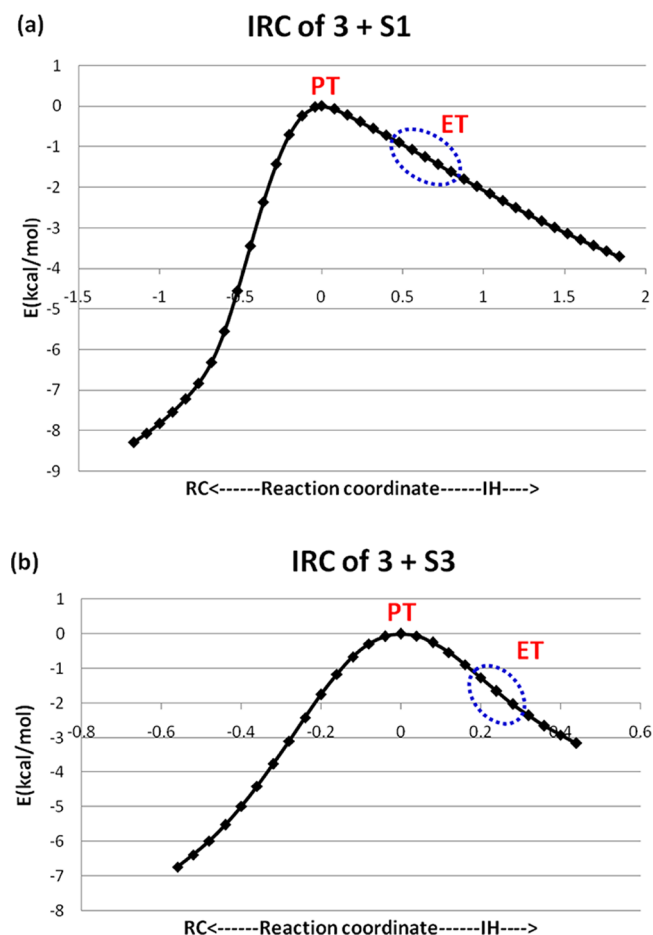


Figure 7. IRCs for (a) **3** + **S1** and (b) **3** + **S3**. The labels PT and ET indicate the nature of the species.

species while past the TS_{PT} there is an electron transfer (ET) from the carbanionic moiety to the Fe(III)OH[−] moiety leading to the Fe(II)OH^{2−}/X[•] intermediate (X[•] is the radical of **S1**–H). There is no proton abstraction intermediate and the entire process is concerted but asynchronous, having discrete PT and ET events (similar to Scheme 4 but not stepwise). The reaction **3** + **S5** has some similar features, but the PT character in the TS is smaller and the geometry is much earlier.

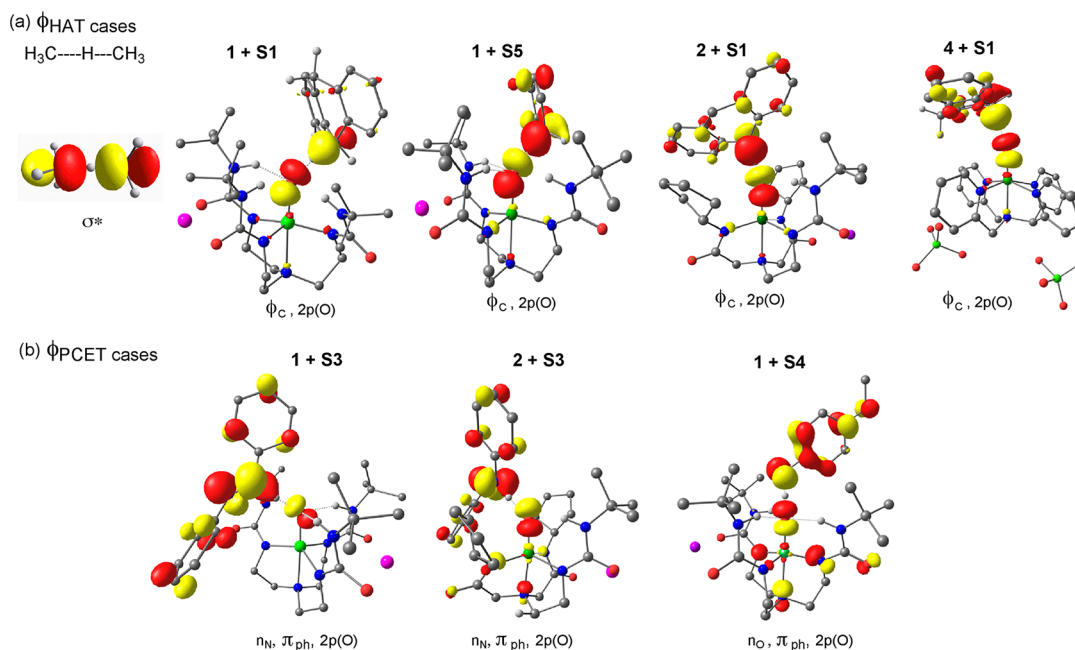


Figure 8. SNOs in TSs: (a) ϕ_{HAT} -type SNOs for **1** + **S1**, **1** + **S5**, **2** + **S1**, and **4** + **S1**, shown alongside the ϕ_{HAT} orbital in the TS ($\text{H}_3\text{C}\cdots\text{H}\cdots\text{CH}_3$) $^\bullet$. (b) ϕ_{PCET} -type SNOs for **1** + **S3**, **2** + **S3**, and **1** + **S4**. The hydrogen atoms not in $\text{O}\cdots\text{H}\cdots\text{X}$ moiety are omitted for clarity.

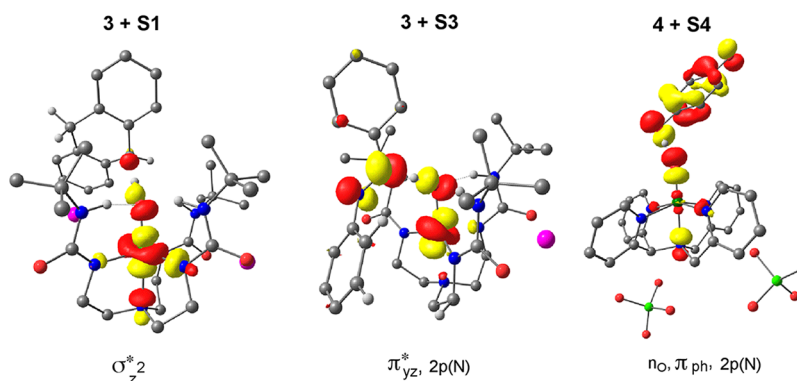


Figure 9. SNOs in TSs: ϕ_{PT} -type SNOs for **3** + **S1** and **3** + **S3** vs the typical ϕ_{HAT} type in **4** + **S4**. The hydrogen atoms not in $\text{O}\cdots\text{H}\cdots\text{X}$ moiety are omitted for clarity.

Inspection of the TS geometries for N–H/O–H bond activation by **1**–**4** (Figure 6b) reveals both similarities and differences compared to the geometries in Figure 6a. The reaction of the basic oxidant **3** with **S3** has a high charge on the H-abstracted moiety in the TS ($Q_{\text{S-H}} = -0.63$) and has a TS_{PT} species similar to the reaction of **3** + **S1**. Moreover, its IRC (Figure 7b) reveals a concerted but asynchronous H-abstraction, exhibiting discrete PT and ET events along the path. On the other hand, the reactions of **1** and **2** with **S3** have low charges on the H-abstracted moiety in the TS ($Q_{\text{S-H}}$) but possess large degrees of charge transfer (Q_{CT}) from the substrate to the oxidant such that the oxidants acquire substantial negative charges. This finding means that the corresponding TS species have a high ET character and can be labeled as TS_{PCET}. Furthermore, the reaction **4** + **S4** has some intermediate character between PCET and a regular HAT, while **1** + **S4** has some PT character ($Q_{\text{S-H}} = -0.37$).

Characteristic Orbitals of the TSs for HAT, PCET, or PT/ET. Complementary and insightful information regarding the distinction between the HAT and PCET type TSs can be further obtained from the electronic structures of the TSs.

Figures 8 and 9 show the singly occupied spin natural orbitals (SNOs) for the various transition states.

Examination of Figure 8a shows that the C–H activation TSs for **1** + **S1**, **1** + **S5**, **2** + **S1**, and **4** + **S1** possess a singly occupied SNO with a node on the H in transit between two σ -type lobes on the two heavy atoms O and C; this behavior is much like that in the prototypical SNO for the TS of methyl radical with methane. Thus, these TS species represent cases with a dominant HAT character. By contrast, the SNOs in Figure 8b look entirely different. We still see a σ -lobe on the oxo ligand of the FeO moiety. However, the contributing orbitals on the substrates **S3** and **S4** are π -type (or mix π -lone pair type) orbitals that are perpendicular to the σ -lobes of the FeO orbital. This is precisely as found by Borden and Mayer²¹ for the identity reaction of $\text{PhO}^\bullet/\text{PhOH}$ (Scheme 3b), except that here the electron is transferred between σ - and π -orbitals. This electronic structure feature shows that these are predominantly PCET TS species having some blended ET, PT, and HAT characters.

Finally, Figure 9 shows the singly occupied SNOs in the TSs of the H-abstraction from **S1** and **S3** by the basic reagent **3** and

Table 2. Mechanistic Findings for H-Abstraction Reactions of Substrates (S1–S5) with Oxidants (1–4)

oxidant/substrate	1	2	3	4
S1	HAT	HAT	concerted-asynchronous PT/ET	HAT
S2 (CH ₃)	HAT	N/A	N/A	N/A
S2 (CH ₃ formyl)	HAT	N/A	N/A	N/A
S3	PCET (ET)	PCET (ET)	concerted-asynchronous PT/ET	N/A
S4	PCET (PT)	PCET (PT)	barrier-free PT	HAT with PT/ET characters
S5	HAT	HAT	HAT with PT/ET character	HAT

from S4 by 4. We find that the SNO in the TS_{PT} species for 3 + S1 is largely a d_{z²}-type orbital with hardly any contribution from the substrate. This SNO is identical to the SNO of the protonated 3/H⁺ reagent, which is in agreement with the PT nature of this TS species, as discussed for Figure 6a. For 3 + S3, the SNO in the TS has a mixed character with π -lone-pair-type contributions on S3, thus having blended PT and ET character (or simply PCET). Finally, for 4 + S4, the SNO looks almost like a HAT orbital with a node on the H-in transit and two σ -type lobes with opposite signs. This means that with 4 and related oxidants, O–H bond activation has a high degree of HAT character, as in C–H activation.

DISCUSSION

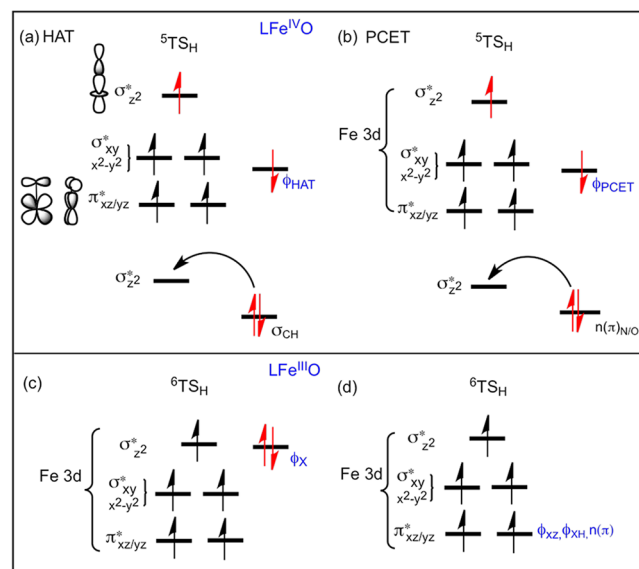
Since there are plenty of mechanistic details, it may be useful to usher the discussion by summarizing key results of the mechanistic spectrum, the electronic structure, and the reactivity patterns derived from the barrier-deformation energy maps.

A. Mechanistic Features and Electronic Structures. *The Mechanistic Spectrum.* Table 2 summarizes the mechanisms observed for all of the combinations of oxidants and substrates in Scheme 2, which are seen to exhibit a mechanistic manifold that depends largely on the acidity/basicity relationships of the oxidants and the X–H bonds of the substrates. We found concerted reactions having dominant HAT characters for the less basic oxidants 2 and 4 with the C–H bonds of S1 and S5 and for 1 reacting with the C–H bonds of S1, S2, and S5. However, the same oxidants give TSs with PCET characters in reactions with N–H/O–H bonds (of S3 and S4). With the most basic oxidant 3, we observe a TS with HAT and PT/ET characters for the C–H bond activation in S5. For the more acidic C–H bond of S1 and with the N–H bond of S3, we find that 3 reacts through a concerted asynchronous PT/ET mechanism, in which the TS involves PT followed by an ET en route to the H-abstraction product (Figure 7). Finally, a PT mechanism was characterized for the reaction of 3 with S4.

Electronic Structural Features During Activation of X–H Bonds by 1–4. The two key aspects that merit highlighting are (1) the electronic structure and appearance of HAT/PCET features in the d-block orbitals and (2) the additional key orbital interactions that bring about ET and PT characters during the X–H bond activation. Scheme 6 summarizes the d-block electronic structures for the above mechanistic types.

Scheme 6a,b depicts the occupancy diagrams in the d-block orbitals of the TSs for the HAT and PCET mechanisms of X–H bond activation by the Fe^{IV}O complexes (with S = 2 ground states) using the information obtained from the SNOs in Figure 8. For illustration, we use the orbital arrangement corresponding to the pentacoordinated complexes. Scheme 6a depicts the electronic structure for a TS_{HAT} that is typically observed during C–H bond activation (e.g., 1, 2, and 4 reacting with S1

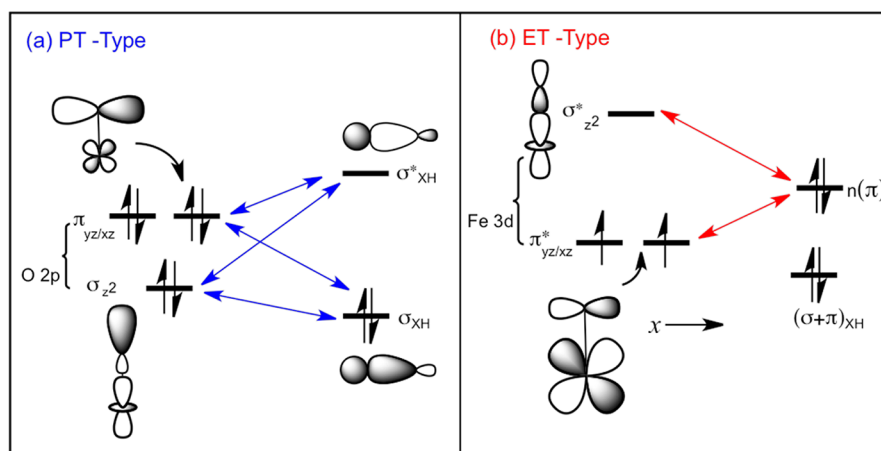
Scheme 6. Summaries of Electronic Structures for the Transition States Showing the d-Block SNOs and the Orbitals Participating in the e-Shift to the d-Shell: (a) Fe^{IV}O Complexes (1, 2, 4) Activating C–H Bonds (left), (b) Fe^{IV}O Complexes (1, 2) Activating N–H/O–H Bonds (right), (c) Fe^{III}O Complex (3) Activating C–H or O–H Bonds, and (d) Fe^{III}O Complex (3) Activating N–H Bond



and S5, and 4 with O–H in S4). The corresponding d-block possesses a half-filled shell that is stabilized by exchange enhancement relative to the reactant state of the Fe^{IV}O complex. In addition, there is a singly occupied orbital, denoted as ϕ_{HAT} , which corresponds to the orbital in a classical HAT mechanism that has a node on the H in transit, and this orbital is flanked by two σ -type lobes along the O...H...C axis (see Figure 8a). Underneath this orbital occupation diagram we show the electron-shift from σ_{CH} to σ_{z}^* that is responsible for the enrichment of the d-block by one spin-up electron in σ_{z}^* .^{24,28,45b,46–48}

During O–H and N–H activations by 1 and 2 (Scheme 6b), we obtain TS_{PCET} species that have the same half-filled d-block as the HAT case in Scheme 6a. But now the sixth orbital that is delocalized over the O...H...X (X = N, O) axis is a ϕ_{PCET} -type orbital that involves delocalization of an electron between a σ -type lobe on the oxo ligand and a π /lone-pair type orbital on the substrate (Figure 8b). Underneath the orbital occupation diagram in Scheme 6b we show the electron shift from this lone pair/ π orbital [labeled as $n(\pi)$] to σ_{z}^* that enriches the d-block by one spin-up electron in σ_{z}^* and generates ϕ_{PCET} . When the substrate has high-lying lone pairs or π -orbitals like S3 and S4, these orbitals replace the low-lying σ_{XH} orbitals (X = N, O) and participate in the HAT-type electron shift to σ_{z}^* , enhancing the TS with a significant ET character.

Scheme 7. Orbital Interactions Responsible for (a) Proton Abstraction from the X–H Bond and/or PT Character in the TS and (b) Charge Transfer from Donor Orbitals on the Substrate to the Oxidant and ET Character in the TS



Scheme 6c,d shows the archetypical cases for bond activation by the highly basic reagent **3**. In both cases, the TS remarkably conserves the exchange-rich d-block of the initial $\text{Fe}^{\text{III}}\text{O}$ complex, having five singly occupied orbitals with $S = 5/2$ spin quantum number. Scheme 6c corresponds to the proton transfer-type transition state TS_{PT} for **3** + **S1**, having five d-type SNOs without much contribution from the substrate. For this case, we identified a doubly occupied orbital, ϕ_{X} , that corresponds to the filled orbital of the carbanion generated at the H-abstracted substrate moiety, **S1**–H. The same features apply for the TS in the PT mechanism with the O–H bond of **S4**. The orbital diagram Scheme 6d describes the TS for the reaction **3** + **S3**, where one of the d-block SNOs is delocalized over the π_{xz}^* orbital and a substrate orbital, as shown in Figure 9.

The cases with the high PCET character must have other orbital interactions that are responsible for the proton abstraction from the X–H bond of the substrate. These orbital interactions contain donor orbitals on the oxidant^{48a} and acceptor orbitals on the substrate. When the oxidant is basic, it has high-lying donor orbitals. When the substrate has O–H/N–H bonds, its antibonding σ_{XH}^* orbital is relatively low-lying. In addition, there are interactions that are responsible for charge transfer from donor orbitals of the substrate to acceptor orbitals of the oxidants (see Q_{CT} in Figure 6). Scheme 7 shows some of these interactions.

Scheme 7a depicts the interactions that are responsible for the proton abstraction. Here, the donor orbitals are the lone pairs on the oxo ligand of the oxidant. These orbitals can be either the $2p_z$ type lone-pair (labeled as σ_{z^2}) or the $2p_{x/y}$ lone-pairs ($\pi_{xz/yz}$ orbitals). The orbitals interact with the σ_{XH} orbital and σ_{XH}^* orbitals of the X–H bond, resulting in bond cleavage in a PT process. Scheme 7b shows potential orbital interactions in which the substrate uses donor orbitals to transfer charge to the oxidant. These donor orbitals are either a lone pair (n), high-lying π orbitals of **S3** and **S4**, or the mixed σ_{CH} and π orbitals of **S1**. Thus, even for **S1** and to a lesser extent for **S2** and **S5**, we anticipate some PCET character, as manifested in the Q_{CT} quantities in Figure 6. Depending on the relative strengths of these orbital interactions, the TS can change its orientation from being approximately upright to being more sideways. Thus, when the stronger interaction depicted in Scheme 7a is with the $2p_x$ orbital, the orientation will be sideways. In contrast, when σ_{z^2} ($2p_z$) is dominant, the

orientation will be upright. The FeOH angles in Figure 6 show indeed different orientations of the respective TSs.

B. Reactivity Patterns. Having established the generality of the $\Delta E_{\text{def}}/\Delta E^\ddagger$ map as an organizer of the HAT/PCET dichotomy in Figure 5, we now can address the nature of these stabilizing interactions that favor PCET reactions as well as the finer details of relative reactivity of specific cases. To accomplish these studies, we shall make use of Schemes 6 and 7 and Figures 5 and 6. Inspection of Scheme 6 provides some insight into relative reactivities of the various oxidants and substrates.

Relative Reactivity Patterns in HAT between $\text{Fe}^{\text{IV}}\text{O}$ Complexes and C–H Bonds. In the light of Scheme 6a, we first considered the C–H bond activation of **S1**, **S2**, and **S5** by the $\text{Fe}^{\text{IV}}\text{O}$ complexes **1**, **2**, and **4**. In all of these cases, the d-block orbitals of the $\text{Fe}^{\text{IV}}\text{O}$ complexes are enriched by one electron in $\sigma_{z^2}^*$. These TSs should have therefore experienced exchange-enhanced reactivity (EER),^{24,45b,46,47} resulting in low barriers. As shown in Figure 5a, EER is observed for **4** but not for the more basic complexes **1** and **2**, which have much larger barriers. It is also worthy to note that the H-abstraction barriers of these basic oxidants with **S1** are higher even than that of the analogous high-spin $[\text{Fe}^{\text{IV}}\text{OTMG}_3\text{tren}]^{2+}$ oxidant (15.6 kcal/mol)⁴⁵ or of other $\text{Fe}^{\text{IV}}\text{O}$ complexes^{5j,19} (Tables S20 and S21, SI). The root cause of the higher HAT barriers for **1** and **2** is the significant basicity of these iron(IV)–oxo complexes. With an increase in basicity, the energy of the acceptor orbital, $\sigma_{z^2}^*$, is raised,^{18a,24,48b} thus offsetting the exchange enhancement for **1** and **2**. Additionally, the available spin density⁴⁹ on the oxo ligand varies as $4 > 2 \geq 1$, and consequently, the O–H bond formation during abstraction by a basic $\text{Fe}^{\text{IV}}\text{O}$ complex such as **1** and **2** requires higher deformation energies in order to localize a spin on the oxo ligand and make a bond in the TS. Therefore, for a given substrate, the deformation energies change in the following order: $\Delta E_{\text{def}}(\mathbf{4}) < \Delta E_{\text{def}}(\mathbf{2}) \leq \Delta E_{\text{def}}(\mathbf{1})$ (Figure 5a). The consequence of this result is that the barriers follow the same order as the ΔE_{def} quantities. Of course there are different steric effects, but as we argued before,²⁴ the steric effects are largely expressed as higher deformation energies. Because all of the C–H bond activations here fall close to the line for which $\Delta E_{\text{def}} = \Delta E^\ddagger$, the barriers for the sterically more encumbered cases are necessarily larger [e.g., $\Delta E^\ddagger(\mathbf{S1}) > \Delta E^\ddagger(\mathbf{S5})$].

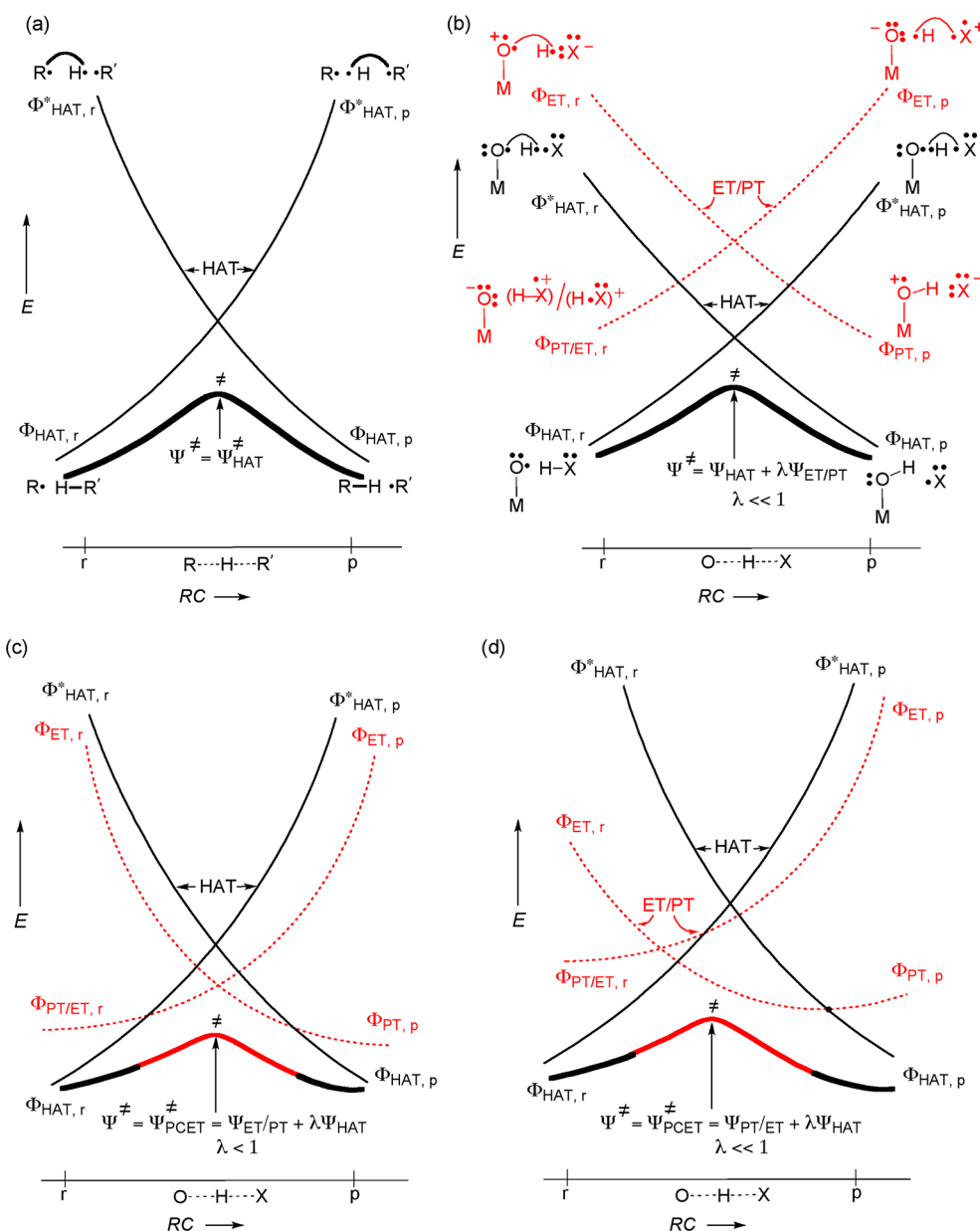


Figure 10. VB diagrams for (a) HAT between two alkyl radicals, (b) a metal-oxo ($M=O$) abstracting an H atom from a molecule $X-H$ (where X is an unsaturated-alkyl moiety having π -orbitals), (c) $M=O$ abstracting H from $X-H$ ($X = N, O$), and (d) a highly basic $M=O$ abstracting H from significantly acidic $X-H$ bonds. The HAT/PCET dichotomy in parts b–d is shown by mixing of HAT states (black, unbroken lines) and proton transfer/charge transfer (PT/ET) curves (red, dotted lines), along the reaction coordinate. For simplicity, only one oxygen lone pair is shown on the $M=O$ complex. The PCET curves are anchored in electron transfer (ET) excited states of reactants and products, indicated as $\Phi_{ET,r}$ and $\Phi_{ET,p}$ and in corresponding PT states, $\Phi_{PT,p}$ and $\Phi_{PT/ET,r}$ respectively.

Relative Reactivity Patterns in PCET between $Fe^{IV}O$ Complexes and $N-H/O-H$ Bonds. We have employed a similar analysis using Scheme 6b for the $N-H/O-H$ bond activation of **S3** and **S4** by the $Fe^{IV}O$ complexes **1**, **2**, and **4**. The electronic structures in Scheme 6b show that these TSs have EER. Moreover, the additional orbital interactions (Scheme 7),^{48a} which cleave the $X-H$ ($X = N, O$) bond heterolytically and transfer charge to the FeO moiety, further stabilize the TS by providing some PT and ET character. A complementary and more comprehensive picture of the stabilizing interaction using VB theory will be presented below.

Relative Reactivity Patterns in PCET (PT/ET) between the $Fe^{III}O$ Complex and $X-H$ Bonds. The reactivity of **3** (and its model **3'** in the SI) is dominated by a high PT character in the

TS, which is in turn blended with variable degrees of HAT character. In essence, the deformation energies and the barriers (Figure 5) follow acid–base relationships such that with the least “acidic” C–H bond (in **S1**, **S5**) both the deformation energy and the barrier are large. When the more acidic N–H bond (such as in **S3**) is used, the deformation energy and barriers are smaller. Finally, when the most acidic O–H bond is reacted with the most basic complex (in **3** + **S4**), the reaction is a barrier-free PT (Table 2). In some cases, the PT character is blended with ET and HAT (e.g., for $Fe^{III}O$ reacting with **S1**, **S3**, and **S5**; Figure 9) as is explained in Scheme 6c,d. However, in all of the cases with the exception of **3** + **S5**, the deformation energy is large and the final low barrier reflects significant stabilization due to interaction of the reactant moieties in the

TS (Figure 5a and Scheme 5). Understanding the source of the stabilization energy that lowers the highly deformed reactants to their final TS energies is warranted.

C. Origins of HAT, PCET, or Stepwise PT–ET Mechanisms. To complete the characterization of the HAT/PCET dichotomy, we have to conceptualize the source of the large stabilization in those TSs having significant PCET character (Figure 5a,b). Valence bond (VB) modeling of the HAT/PCET blending in the TS^{14,16} provides a connection to the preceding MO-based discussions of PCET character along with a rationale for the lowering of the barrier by blending PCET character. Figure 10 shows the VB-state curves from which one can reconstruct the energy profile for the H-abstraction reaction.

Figure 10a shows a “pure” HAT case of two alkyl radicals, R^\bullet and R'^\bullet , exchanging an H^\bullet atom. The two ground states at the reactant and product sides (r and p , respectively) involve the molecule and the corresponding radical, $R-H/R'^\bullet$ and $R^\bullet/R'-H$. These two states correlate to two excited states, $\Phi_{HAT,r}^*$ and $\Phi_{HAT,p}^*$, in which the electron pairs in $R-H$ and $R'-H$ are decoupled and the electron on the H^\bullet is paired to the other alkyl moiety. This long-distance pairing is indicated in Figure 10a by the arched lines connecting the electrons. When these two state-curves are followed along the reaction coordinate, they cross one another and mix to form a TS species (Ψ^\ddagger) on the lower energy surface. For this reaction, all other states are quite high in energy and do not significantly influence the TS, which can represent a “pure” HAT species, Ψ_{HAT}^\ddagger .⁵⁰

Consider now the case in Figure 10b where a metal–oxo ($M=O$) complex with significant basicity abstracts an H atom from a molecule $X-H$ in which X is an unsaturated alkyl moiety of the type present in **S1** and **S5** and which also possesses π orbitals (mixed with σ_{XH}). These orbitals are symbolized by an electron pair over the X moiety. To simplify the depiction, the $M=O$ species in the TS is drawn with a radical center on the oxo ligand (as is, in fact, present in **1–4**) and a single lone pair (other electrons of $M=O$ are omitted). In such an oxidant/substrate combination, the H-abstraction process has to be described by at least four state curves.^{14,16} Two of these are the HAT curves shown in Figure 10b in the full black lines. The other two shown in the dotted red curves involve ET/PT states.^{50,51} For example, on the reactant side (r), the $\Phi_{ET,r}$ state arises by transferring an electron from the oxo lone pair to the $X-H$ bond while pairing the remaining odd electrons on the O^\bullet and H^\bullet to a singlet pair (as indicated by the arched line). Along the reaction coordinate ($r \rightarrow p$), this state goes down in energy and correlates to a PT state on the product side, $\Phi_{PT,p}$ involving $M-OH^\bullet$ and X^- .

Consider now the product side (p) in Figure 10b. Here, the $\Phi_{ET,p}$ state arises by transferring an electron from the π -electron pair of X to the $O-H$ bond in $MO-H$. Along the reverse reaction coordinate ($p \rightarrow r$), $\Phi_{ET,p}$ descends in energy, forming $M=O^\bullet$ and $(HX)^\bullet$. Note that the latter species has a mixed π - σ character and is therefore denoted as a mixed PT/ET state on the reactant side ($\Phi_{PT/ET,r}$). For X being an unsaturated-alkyl moiety, these red ET/PT curves lie higher in energy than the HAT curves. Nevertheless, they can mix slightly into the TS and generate a TS species having a blended character, that is, a TS with a dominant Ψ_{HAT} character and a secondary PT/ET character $\Psi_{PT/ET}$ ($\lambda \ll 1$ is the relative contribution).¹⁴

For the case represented in Figure 10c, in addition to a moderately basic metal–oxo complex we considered an $X-H$ bond that is more acidic than a $C-H$ bond and has a high-lying

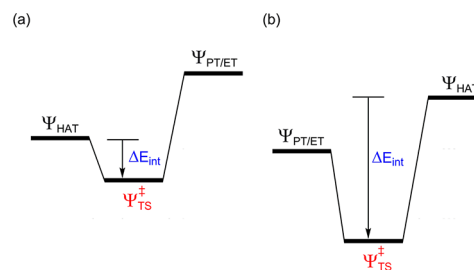
lone-pair/ π -pair orbital(s), as in **S3**. As a result of these features, the ET/PT curves go down in energy, and at the crossing geometry, these curves are lower than the HAT curves. Thus, the VB mixing yields a TS species with a blended nature but that now has dominant Ψ_{PCET} character with a significant charge transfer from the substrate to the metal–oxo unit. This low-energy TS has a low barrier for H-abstraction.

Figure 10d shows the VB diagram for a highly basic metal–oxo complex (like **3**) activating a significantly acidic $X-H$ bond (**S1**, **S3**, **S4**). In this case, the PT/ET and PT curves are highly stabilized relative to the HAT curve and totally dominate the VB mixing, yielding a TS species with a dominant PT character and some ET character. Furthermore, en route to the product, the PT/ET red curve is crossed again by the HAT curve on the product side. If this crossing occurs on the downhill slope, the VB mixing will create a concerted reaction with PT character, followed by an ET. This situation was found in the reaction of **3** + **S1** and **3** + **S3** (see IRCs in Figure 7). If the $\Phi_{PT,p}$ state is more stable than the one shown in Figure 10d, the H-abstraction will terminate as proton abstraction and the follow up ET step may not take place as in the reaction of **S4** with **3**.

Usage of the VB Model To Understand the Global $\Delta E_{def}/\Delta E^\ddagger$ Map. The global organization brought about by plotting ΔE_{def} vs ΔE^\ddagger in Figure 5, can now be interpreted lucidly on the basis of the above VB model. Most of the $C-H$ bond activation reactions in Figure 5 obey the relationship $\Delta E^\ddagger(HAT) \approx \Delta E_{def}$. This result means that in the $O\cdots H\cdots C$ TS, which is predominantly HAT in character, the VB mixing energy (the lowering of the TS relative to the crossing point in Figure 10a) balances the Pauli repulsion and any other repulsive interactions (eq 1b)^{25,26} between the reacting moieties. The net effect causes the entire barrier to be close to the deformation energy of the reactants while $\Delta E_{int} \approx 0$.

Whenever the PT/ET states begins to blend significantly into the pure HAT species, Ψ_{HAT} , it produces a lower energy TS (Ψ^\ddagger , Figure 10c), which is more stabilized than a pure HAT TS (Figure 10a). Scheme 8 shows the relation of this extra

Scheme 8. Mixing of HAT and PT/ET States and the Resulting ΔE_{int} Quantities in $\Delta E^\ddagger/\Delta E_{def}$ Maps: (a) Small Stabilization as in Figure 10b and (b) Cases Where the PT/ET States Lie below the HAT Crossing Points (Figure 10c,d)



stabilization, relative to the reference pure HAT state, and the $\Delta E_{def}/\Delta E^\ddagger$ map. As the Ψ_{HAT} species maintains $\Delta E^\ddagger \approx \Delta E_{def}$ and $\Delta E_{int}(HAT) \approx 0$ (Scheme 8), the extra stabilization energy due to the PT/ET mixing into the pure HAT species will correspond to the vertical deviation from the line of slope unity in the $\Delta E_{def}/\Delta E^\ddagger$ map in Figure 5a, i.e., as a nonzero ΔE_{int} quantity. Therefore, the ΔE_{int} quantity in Figure 5a,b gauges the excess stabilization energy of the actual TS relative to a pure HAT TS. Scheme 8a shows the resulting ΔE_{int} for moderate mixing as in Figure 10b, while Scheme 8b shows the

case where the lowest state is a $\Psi_{\text{ET/PT}}$ type, as the described in Figure 10c,d. In the latter case (Scheme 8b), the ΔE_{int} quantity, which is gauged relative to the HAT reference state, becomes very large, as shown in Figure 5a. In this case, the resulting ΔE_{int} term gauges not only the interactions between the reactant moieties but also the fact that these moieties are described now by more stable fragment states than in the HAT reference.

The VB model thus provides a physical basis for the origins of the stabilization interactions (ΔE_{int}) during activation of X–H bonds. Furthermore, a simple VB language can be used to show that the oxyl character on an oxo ligand in the transition is gauged by the basicity of the Fe–O bond.⁵² When the oxidant is less basic, it has a higher oxyl character, it operates in typical HAT mechanisms, and acts as a powerful oxidant toward C–H bonds. In contrast, when the oxidant is more basic (nucleophilic), it cleaves bonds more heterolytically, proceeds through PCET or PT/ET mechanisms, and can activate N–H and O–H bonds as well as relatively acidic C–H bonds.

SUMMARY AND CONCLUSIONS

Our present work on the H-abstraction reactivity of four nonheme oxidants (1–4) with substrates (S1–S5) demonstrates the fundamental cause of the puzzling reactivity scenarios observed in the experimental studies.^{17,10–12,20} First is the higher reactivity of $[\text{Fe}^{\text{IV}}\text{H}_3\text{buea}(\text{O})]^{1-}$ (1) toward the stronger C–H bond in dimethylformamide (S2) compared with the weak C–H bond of 9,10-dihydroanthracene (S1). Second, despite the relatively weak FeOH bond in $[\text{Fe}^{\text{III}}\text{H}_3\text{buea}(\text{OH})]^{-}$, the corresponding Fe^{III} –oxo complex (3) is nevertheless capable of activating S1 by PT. Third, the least basic complex $[\text{Fe}^{\text{IV}}\text{N}_4\text{Py}(\text{O})]^{2+}$ (4) is able to activate strong C–H bonds as well as relatively weak N–H and O–H bonds in S3 and S4.

These intriguing reactivity patterns are mainly linked to the HAT/PCET dichotomy, which normally typifies C–H vs O–H/N–H bond activations. But here, the PCET propensity is highly amplified by the basic nature of 1–3 such that 1 and 2 show a marked propensity for PCET. On the other hand, 3 traverses to the stepwise end of the mechanistic spectrum, reacting via PT/ET steps even with the C–H bond of S1. This mechanistic spectrum depends largely on the basicity/acidity relationships of the oxidants/X–H bonds of the substrates. Note that similar types of reactivity patterns have been observed for the corresponding Mn^{III} –oxo and Mn^{IV} –oxo complexes with the $[\text{H}_3\text{buea}]^{3-}$ ligand.^{17g}

Diverse properties such as TS geometries, the electronic structures, the deformation energies in the TSs for HAT vs PCET, and the corresponding reactivity patterns can all be distinguished in two ways: (a) by the nature of the singly occupied orbital in the H-abstraction moiety ($\text{O}\cdots\text{H}\cdots\text{X}$) and (b) by a plot of the deformation energy vs the reaction barrier using the energy decomposition analysis approach to reactivity.^{25,26} Furthermore, VB conceptualization^{14,16} clearly illustrates how mixing of PT/ET states into HAT states brings about additional stabilization of TSs and influences the kinetic barriers. The VB modeling also explains that the deformation energy patterns provide a global behavior that is common to many iron–oxo H-abstraction reactivities (Figure 5b) and can serve as a physically based classifier of the mechanistic dichotomy.

The insight into the underlying factors that govern H-abstraction mechanisms opens up new vistas in understanding the dichotomous behavior of HAT and PCET mechanisms that are operative in metalloenzymes and synthetic analogs.

Furthermore, the distinct change in the nature of the H-abstraction mechanism (HAT or PCET) for X–H bonds with variable basicities gives clues for designing biomimetic catalysts that can exclusively activate C–H bonds over N–H/O–H bonds and vice versa.^{1f}

ASSOCIATED CONTENT

Supporting Information

Tables S1–S21, Figures S1–S24, Cartesian coordinates of key species, and full reference of Gaussian 03 and Gaussian 09 (refs 32 and 37). This material is available free of charge via the Internet at <http://pubs.acs.org>.

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Notes

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ACKNOWLEDGMENTS

S.S. acknowledges support by the Israel Science Foundation (grant ISF 1183/13). A.S.B. acknowledges grant GM50781 from the National Institute of Health and the National Science Foundation Center for Chemical Innovation Center for Selective C–H Functionalization (CHE-1205646).

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