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1 Kinetics of the Sphere-to-Rod like Micelle Transition in a Pluronic ² Triblock Copolymer

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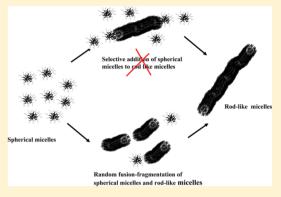
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Supporting Information

ABSTRACT: The kinetics of the sphere-to-rod transition was studied in aqueous micelle solutions of triblock copolymer poly(ethylene oxide)poly(propylene oxide)-poly(ethylene oxide) pluronic P103 (PEO₁₇PPO₆₀PEO₁₇). This transition was triggered by a temperature jump from the sphere phase to the rod phase and monitored with dynamic light scattering. The combination of the scattering intensity and the hydrodynamic radius were used to show that the micelles grow steadily as rods throughout the growth process. The transition was found to exhibit a single exponential behavior even in the case of large deviations from equilibrium. The linear increase in the decay rate with increasing copolymer concentration shows that the transition is dominated by a mechanism involving fusion and fragmentation of proper micelles. The decays of the sphere-to-rod transition were simulated for two pathways:



random fusion fragmentation and successive addition of spherical micelles to rods. We show that micelle growth most likely 21 occurs via random fusion-fragmentation. The second order rate constant for fusion and the fragmentation rate are calculated for the case of random fusion-fragmentation.

INTRODUCTION

25 Amphiphilic block copolymers are designed to self-assemble in 26 water as spheres, rods, etc. 1,2 The kinetics of the transition from 27 one morphology to another is crucial in defining their use for 28 specific applications such as drug delivery, cosmetics, synthesis 29 of mesostructured materials, detergency, and rheology 30 modifiers.³ In the case where the surface tension between the 31 blocks is large, they can be trapped in metastable states without 32 reaching the thermodynamic equilibrium. 4-9 Thus under-33 standing the kinetics of these transitions remains a big 34 challenge for designing and controlling several aspects of 35 their application.

Kinetics in Surfactant Micelles. Most of our knowledge 37 on the dynamics of self-assembled systems comes from the 38 study of surfactant kinetics. These studies identify two 39 major mechanisms; one involves stepwise expulsion and 40 insertion of surfactant unimers (Chart 1), and the other 41 involves fusion and fragmentation of proper micelles (Chart 2).

Chart 1. Exchange via Insertion and Expulsion of Single Monomer

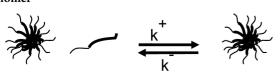


Chart 2. Exchange via Fusion and Fragmentation of Proper Micelles









The majority of the kinetics experiments on surfactants, which 42 investigate the return to equilibrium following a small 43 perturbation, yield two relaxations with different time scales. 44 The fast kinetics is attributed by Aniansson and Wall (AW) to 45 the insertion of free surfactant in the existing micelles. This 46 process modifies the size of the micelles without affecting their 47 number. 10-12 On the other hand, the slow kinetics was 48 attributed to either the dissociation growth of micelles via 49 successive insertion-expulsion of unimers (A-W)¹⁰⁻¹² or to 50 fusion and fragmentation. 13-15 The dynamics at equilibrium in 51 surfactant micelles was probed using time-scan fluorescence 52 with hydrophobic pyrene probes. These experiments 53 concluded that fusion and fragmentation proceed at equilibrium 54 in nonionic surfactants. 16-18

The dynamics of worm-like micelles was described by Cates 56 et al. in terms of fusion and fragmentation mechanism.²¹ This 57

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58 model predicts an exponential length distribution and an 59 average micelle length of $L \approx [\text{surfactant}]^{0.5}$.

Kinetics of Block Copolymer Micelles. Contrary to surfactants, the dynamics of block copolymers is very sensitive to solvent quality and can vary from slow to frozen. Halperin and Alexander predicted the dynamics of block copolymer micelles to be dominated by unimer insertion—expulsion. However Dormidontova argued that fusion fragmentation is favorable in the early stage of micellization and unimer insertion—expulsion becomes dominant at equilibrium. There are two sorts of kinetics experiments in block copolymers, one deals with the dynamics at equilibrium and the other deals with the transition between different morphologies.

Dynamics at Equilibrium in Block Copolymers. The dynamics at equilibrium is deduced from the randomization kinetics of block copolymer micelles. These experiments used time-resolved neutron scattering on mixtures of deuterated and hydrogenated copolymers. or time-resolved fluorescence on fluorescently labeled copolymers. Because the randomization kinetics is found to be independent of the polymer concentration, it was attributed to the insertion-so expulsion mechanism.

Dynamics of Micellization in Block Copolymers. Like the surfactant case, the monomer—micelle transition exhibits two processes; a fast and a slow one. The fast kinetics is associated with the formation of metastable micelles via insertion of free copolymers in the existing micelles. The slow process was attributed either to fusion—fragmentation or to insertion—expulsion.

Dynamics of Sphere-to-Rod Transition in Block Copoly-89 mers. The dynamics of the sphere-to-rod transition is not well-90 known in block copolymers. There have been few kinetics 91 studies on this transition using electron microscopy, dynamic 92 light scattering (DLS), and neutron scattering.^{27–36} This 92 light scattering (DLS), and neutron scattering. $^{27-36}$ This 93 transition is often triggered by cosolvent jump, 27,34 salt 94 jump, 34,35 or temperature-jump. 31 Burke and Eisenberg studied 95 the dynamics of the sphere-to-rod-like transition in polystyr-96 ene-poly(acrylic acid) (PS-PAA) copolymers in a mixture of 97 water and organic solvent.³⁰ They attributed the sphere-to-rod 98 transition to a mechanism involving (i) the adhesion of 99 spherical micelles, (ii) the formation of pearl-necklace-shaped 100 rods, and (iii) the smoothing of the rods. 30 They also described 101 the rod-to-sphere transition to occur in two steps: (i) the 102 formation of bulbs on the rod ends and (ii) the detachment of 103 these bulbs. 30 Another study described this transition to 104 proceed by random formation of bulbs along the cylinders.³² 105 Two recent studies investigated the slow sphere-to-rod dynamics in the pluronic triblock copolymer P123 in a mixture 107 of water, salt, and ethanol. 34,35 One of these studies suggested 108 that the transition could occur through both fusion-109 fragmentation and unimer exchange.³⁴

Most of the dynamics in block copolymers are triggered by 111 cosolvent jump. However, a recent report showed that 112 cooperation between solvents could affect the transition 113 between morphologies in solvent mixtures. Therefore it 114 becomes important to know about the dynamics of the sphere-115 to-rod transition in pure copolymers without additives 116 (cosolvents, salt, base, or acid). One interesting case is when 117 the transition is triggered by a temperature jump (T-jump). 118 Poly(ethylene oxide)—poly(propylene oxide)—poly(ethylene 119 oxide) (PEO-PPO-PEO) is a thermosensitive amphiphilic 120 triblock copolymer which has been widely used for controlled

drug delivery, pharmaceutical formulation, cosmetics, biosepa- 121 ration, and extraction. $^{38-40}$ In water, they exhibit a critical 122 micelle concentration (CMC) and critical micelle temperature 123 (CMT). They self-assemble into micelles above the CMT with 124 the PPO as the core and the PEO as the corona. 40,41 The 125 kinetics of low molecular weight pluronics was thoroughly 126 investigated in T-jump experiments and, like nonionic 127 surfactants, was found to involve both insertion—expulsion 128 (AW) and fusion—fragmentation. $^{42-49}$ The intermediate $M_{\rm w}$ 129 triblock copolymer Pluronic P103 (PEO₁₇PPO₆₀PEO₁₇) is a 130 good candidate for drawing the links between the dynamics of 131 small $M_{\rm w}$ surfactants and large $M_{\rm w}$ copolymers.

In this paper, we investigate the dynamics of the sphere-to- 133 rod transition in the pluronic P103 (PEO₁₇PPO₆₀PEO₁₇) in 134 water. The transition is initiated by increasing the temperature 135 from the spherical zone to the rod-like zone. The scattering 136 intensity and the hydrodynamic radius of the micelles were 137 monitored throughout the experiment using DLS. The 138 possibility that the sphere-to-rod transition is dominated by 139 insertion—expulsion or fusion fragmentation is discussed. The 140 growth dynamics is compared to the simulation for two cases 141 (1) random fusion—fragmentation and (2) succesive addition 142 of spheres to rod-like micelles. Finally the second order rate 143 constant for fusion and the fragmentation rate are calculated.

EXPERIMENTAL SECTION

Materials. The copolymer triblock Pluronics P103 (BASF 146 Corp) was used as received. The Pluronic P103 147 (PEO $_{17}$ PPO $_{60}$ PEO $_{17}$) has a $M_{\rm w}=4.95$ kg/mol. Doubly 148 deionized water was used in the preparation of solution. 149 Aqueous Pluronic solutions were prepared by mixing the 150 copolymer with water under gentle agitation at room 151 temperature for more than 24 h.

Dynamic Light Scattering. Dynamic light scattering 153 (DLS) was measured in a Malvern zetasizer 5000 apparatus 154 equipped with a 7132 multibit correlator and multiangles 155 goniometer. The light source was a He–Ne 5mW laser with 156 wavelength of 632.8 nm. The scattering intensity was measured 157 through a 400 μ m pinhole. The correlation functions were 158 averaged over 30 s in equilibrated sample and over 5 s during 159 the growth kinetic. Most of the DLS measurements were 160 carried out at 90° with the exception of some measurements 161 that were carried out at different angles to verify that the modes 162 are diffusive and to calculate the dissymmetry ratio $Z = I_{45}$ ° 163 I_{135} °. When not specified in the text the measurements were 164 measured at 90°.

The correlation functions $g^2(q,t)$ of the scattering light 166 intensity were analyzed using the cumulants method⁵⁰ 167

$$\ln |g^2(q, t)| = -\Gamma t + \frac{1}{2!} \mu_2 t^2 - \frac{1}{3!} \mu_3 t^3 + \dots \tag{1}_{168}$$

where Γ is the first cumulant, $\langle \Gamma \rangle = q^2 D$, and D is the diffusion 169 coefficient. These results were used to estimate the hydro- 170 dynamic radius

$$R_{\rm h} = \frac{k_{\rm B}T}{6\pi\eta D} \tag{2}$$

In some cases, the correlation functions were analyzed using 173 the CONTIN routine, where $P(\tau)$ is the distribution function 174 of the relaxation time and $\beta \approx 1$, the coherent factor of the 175 instrument. The corresponding hydrodynamic radius is 176 calculated using the Stokes–Einstein equation.

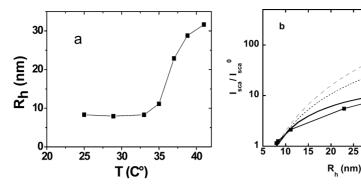


Figure 1. (a) Temperature dependence of the hydrodynamic radius $R_{\rm H}$ for 30 g/L P103 solutions in water measured at 90°. The samples were equilibrated for 12 h before measurements. The measurements were carried out at 90°. (b) The scattering intensity $I_{\rm sca}/I_{\rm sca}^0$ plotted against the hydrodynamic radius $R_{\rm h}$. for 30 g/L P103 solution. $I_{\rm sca}$ and $R_{\rm h}$ are measured at different temperatures and $I_{\rm sca}^0$ is the scattering intensity at 25 °C. The plot $I_{\rm sca}/I_{\rm sca}^0$ vs $R_{\rm h}$ is compared to the Perrin model of prolate ellipsoids, oblate ellipsoids, and spheres.

$$g^{2}(q, t) = 1 + \left[\beta \int \exp(-t/\tau)P(\tau) d\tau\right]^{2}$$
(3)

$$R_{\rm h} = \frac{k_{\rm B}T}{6\pi\eta} q^2 \tau(q) \tag{4}$$

When not specified $R_{\rm h}$ is deduced from the cumulants method. The above analysis is correct for non interacting particles below the overlapping concentration (C^*). Close to and above C^* , the DLS measures an apparent diffusion coefficient and a smaller apparent hydrodynamic radius. In the semidiluted regime the $R_{\rm h}$ is replaced by the correlation length of the network (ξ), which decreases with increasing the concentration as $\xi \approx c^{-0.77}$.

Information about the shape of the micelles can be obtained from the combination of the scattering intensity and the 190 hydrodynamic radius. The Perrin model is used to estimate the dimensions of micelles for prolate and oblate ellipsoids. To the prolate case

$$R_{\rm h} = b/2 \frac{\sqrt{p^2 - 1}}{\ln(P + \sqrt{p^2 - 1})} \tag{5}$$

194 where p = a/b, b is the semiminor axis, and a is the semimajor 195 axis of the ellipsoid. For prolate a is the micelle length L and b 196 is taken as diameter of the spherical micelle $b = 2R_{\rm h}^0$. For oblate 197 ellipsoid

$$R_{\rm h} = a/2 \frac{\sqrt{(1/p)^2 - 1}}{\arctan(\sqrt{(1/p)^2 - 1})}$$
(6)

199 where $a = 2R_h^0$. The total scattering intensity is calculated as

$$I_{\rm sca} \propto V_{\rm mic} P(q) \tag{7}$$

201 where $V_{\rm mic}$ is the volume of the micelles and P(q) is the micelle 202 form factor. q is the wave vector. P(q) for sphere, prolate, and 203 oblate ellipsoids is calculated using the Debye and Anacker 204 equation. ⁵⁵

Kinetics Experiment. The kinetics measurements were performed using a large temperature jump using the following procedure: the pluronic solution in a 10 mm cylindrical glass cell was first equilibrated at a given temperature (T_1) for 12 h in a temperature controlled bath. The sample was then rapidly transferred into the DLS sample holder, which had been previously set at a temperature (T_2) . The autocorrelation decays were then measured repeatedly every 5 s and averaged

over the same time. The kinetics measurements were 213 performed at 45°, 90°, and 135°.

The observation cell was gently agitated with a mini-agitator 215 throughout the kinetics experiment and the solution temper- 216 ature was measured within the cell using a thin thermocouple. 217 The temperature inside the measurement cell was found to 218 reach 97% of the desired temperature within 1.5 min, we 219 therefore can quantify the kinetics with a characteristic time 220 higher than 1 min. We performed kinetics experiments by 221 carrying out a temperature jump from temperature $T_1 = 25$ °C, 222 which yields spherical micelles to a temperature T_2 , which 223 yields elongated micelles ($T_2 = 35$, 37, and 40 °C).

DLS measurements at equilibrium were performed on 225 solutions that were stored for more than 12 h at the desired 226 temperature within the measurement cell.

■ RESULTS AND DISCUSSION

Morphology of the P103 Micelles at Equilibrium. In 229 Figure 1a, we show the hydrodynamic radius (R_h) of P103 230 f1 solution (30 g/L) at equilibrium for temperatures between 25 231 and 40 °C. R_h was found to be similar to the literature value 232 between 25 and 32 °C ($R_h = 8$ nm). ⁵⁶ The scattering intensity 233 did not show any dependence on the scattering angle, 234 suggesting spherical micelle morphology. Above 32 °C, both 235 the scattering intensity (I_{sca}) and R_{h} increase steadily with 236 increasing temperature, which suggests a structural transition 237 from spheres to long micelles. This conclusion is supported by 238 the increase of the aspect factor $(I_{135^{\circ}}/I_{45})$ from ~1 at 25 °C to 239 above 1.3 for T > 37 °C. We also compared the dependence of 240 $I_{\rm sca}$ on $R_{\rm h}$ with the Perrin model⁵³ (eq.5 and 6) following Mazer 241 et al.⁵² We took $b = 2R_h^0$ and $a = 2R_h^0$ for prolate ellipsoid and 242 oblate ellipsoid respectively, where $R_{\rm h}^0$ is the hydrodynamic 243 radius of spherical micelles measured at 25 °C. Figure 1b shows 244 that $I_{\rm sca}$ vs $R_{\rm h}$ is close to the predicted behavior for prolate 245 ellipsoids, which proves that P103 micelles grow as rods

Morphology of the Micelles during the Growth 247 Process. The sphere-to-rod transition in P103 is slow enough 248 to be monitored by DLS (Figure 3a,b). Though it is well 249 accepted that micelles evolve from spheres to rods, the 250 intermediate morphologies during the growth are not known. 251 A first clue about these structures comes from the steady 252 increase of the dissymmetry factor ($I_{45^{\circ}}/I_{135^{\circ}}$) with time from 253 \sim 1 to 1.3 (Figure 3a). The exponential fit of $I_{45^{\circ}}/I_{135^{\circ}}$ decay 254 yields a similar characteristic time as $R_{\rm h}(t)$. This suggests a 255 steady anisotropic growth of the micelles as prolate ellipsoids. 256 The second clue about the intermediate structures comes from 257

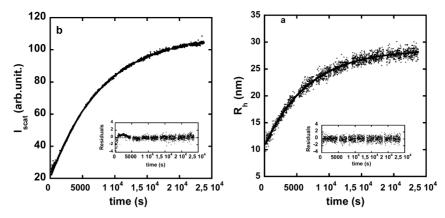


Figure 2. Scattering intensity (a) and hydrodynamic radius R_h (b) vs time for aqueous P103 solutions ([P103] = 10 g/L) after the solution was transferred from a T_1 = 25 °C to T_2 = 37 °C. The decays are fitted with a single-exponential expression (solid line). Inset: the residual of the fit to the single exponential.

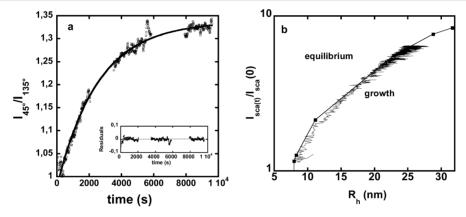


Figure 3. (a) Aspect factor $I_{45^{\circ}}/I_{135^{\circ}}$ vs time for aqueous P103 solutions ([P103] = 30 g/L) after the solution was transferred from a T_1 = 25 °C to T_2 = 40 °C. $I_{45^{\circ}}$ and $I_{135^{\circ}}$ are the scattering intensity measured at 45° and 135° respectively. The decay is fitted with a single-exponential expression (solid line). Inset: the residual of the fit to the single exponential. (b) (Line) The scattering intensity $I_{\text{sca}}(t)/I_{\text{sca}}(0)$, measured during the growth process following a temperature jump from 25 to 37 °C, is plotted against the hydrodynamic radius $R_{\text{h}}(t)$ and compared to the plot of $I_{\text{sca}}/I_{\text{sca}}^0$ vs R_{h} measured at different temperatures (\blacksquare). The $I_{\text{sca}}/I_{\text{sca}}^0$ vs R_{h} plot is described in Figure 1.

258 the combined information of $I_{sca}(t)$ and $R_h(t)$. The plot of $I_{sca}(t)$ 259 vs $R_{\rm h}$ measured during the growth is superposable with the $I_{\rm sca}$ 260 vs R_h plot at equilibrium (different temperatures; Figure 3b). 261 This infers that the micelles conserve a similar morphology 262 throughout the growth process and grow as rods. One could 263 imagine that the micelles form compact objects subsequent to 264 the temperature jump and then change structure with time to yield rods. If this were the case, the I_{sca} vs R_h during the growth would deviate from I_{sca} vs R_h at equilibrium. Figure 3b proves that the micelles grow as prolate ellipsoids with a time dependent length L(t). L(t) is calculated from $R_h(t)$ by 2.68 inversing the Perrin equation for prolate ellipsoids (Figure 4, 269 inset). This analysis is correct below C*, which is most likely 270 fulfilled for small ellipsoids at low [P103]. For [P103] < 60 g/L, the coefficient diffusion D at equilibrium scales as $[P103]^{-0.1}$ $[P103]^{+0.12}$, and $[P103]^{+0.16}$ at 35, 37, and 40 °C respectively. The positive exponent at 37 and 40 °C, suggests that L(t) is most likely underestimated close to equilibrium. Above 60 g/L at 40 °C, D shows the predicted behavior for branched cylinders $(D \approx [P103]^{+0.73})$, suggesting that $R_h(t)$ and L(t)reflect the evolution of the mesh size. Because it is difficult to 279 assess the role of the correlations in the proximity of C^* , we 280 present mainly the kinetics for [P103] < 60 g/L, and we limit 281 the quantitative analysis to the lowest concentration inves-282 tigated (10 g/L).

Growth Kinetic. $R_h(t)$, $I_{\text{scat}}(t)$, and L(t) fit perfectly to the 283 single exponential with a similar decay time (τ ; Figures 2 and 4, 284 f2 inset, and Figure S1 of the Supporting Information). The good 285 fit to the single exponential infers that the sphere-to-rod 286 transition is dominated by a single mechanism even in the case 287 of a large deviation from equilibrium. The similarity between au 288 from the various fits suggests that the sphere-to-rod transition 289 can be quantified by any of the parameters $R_h(t)$, $I_{scat}(t)$, and 290 L(t). The decay times are highly dependent on the copolymer 291 concentration and range between 7360 and 733 s for [P103] 292 between 10 and 60 g/L. The decay rate $k_{\rm decay}$ = 1/ au increases $_{293}$ with increasing [P103] (Figure 4), which suggests the existence 294 of a bimodal process. The au values (between 733 and 7360 s) $_{295}$ are 5 orders of magnitude higher than au for low molecular 296 weight pluronics L64 $(EO_{13}PO_{30}EO_{13})^{47}$ and P84 297 $(EO_{19}PO_{43}EO_{19})^{46}$ but much lower than τ in the slightly 298 hydrophobic pluronics P123 (EO₂₀PO₇₀EO₂₀) (days).^{34,35}

Most of the previous T-jump kinetics studies on Pluronics 300 (L64, F85, 44 and P103 57) show two processes when monitored 301 close to cmT, which is not the case here. Increasing the 302 temperature close to cmT, leads to a reduction of cmc and 303 therefore a fast insertion of the excess free copolymers in the 304 existing micelles. $^{42-48}$ The fraction of free copolymer in the 305 present study is small compared to the micellized one (cmc (25 306 $^{\circ}$ C) \approx 0.7 g/L and cmc (35 $^{\circ}$ C) = 0.02 g/L) 41 thus increasing 307

308 the temperature does not induce a significant micelle growth via 309 free copolymer insertion. Furthermore, the relaxation time of 310 the fast process is expected to be in the millisecond time scale 42 311 which can not be resolved by the present experiment. The 312 growth decays of Figure 3 are mainly due to a bimodal process 313 involving micelle—micelle interactions.

Insertion—Expulsion vs Fusion—Fragmentation. The results duction of the number of micelles during the sphere-to-rod transition can be attributed to insertion—expulsion of copolymer chains (A-W) or to fusion—fragmentation of proper micelles. The insertion—expulsion process (A-W), $^{10-12}$ involves the disintegration of spherical micelles via successive expulsion of copolymer chains and the growth of the long micelles via successive chain insertion (chart 1). The rate of this mechanism successive chain insertion (chart 1). The rate of this mechanism micelles and the unimers. Unlike the surfactant case, this process should not be discarded in block copolymers. The fusion—fragmentation involves the successive fusion and scission of proper micelles and rods (chart 2). To account for the growth via both insertion—expulsion and fusion—fragmentation, the size distribution $\phi(N,t)$ can described by eq $\frac{1}{2}$ 9.

$$\frac{\mathrm{d}\phi(N,\,t)}{\mathrm{d}t} = \left(\frac{\mathrm{d}\phi(N,\,t)}{\mathrm{d}t}\right)_{\mathrm{IE}} + \left(\frac{\mathrm{d}\phi(N,\,t)}{\mathrm{d}t}\right)_{\mathrm{FF}} \tag{8}$$

331 where $[d\phi(N,t)/dt]_{IE}$ and $[d\phi(N,t)/dt]_{FF}$ are the temporal 332 variation of $\phi(N,t)$ via insertion—expulsion and fusion—333 fragmentation, respectively.

$$\left(\frac{\mathrm{d}\phi(N,t)}{\mathrm{d}t}\right)_{\mathrm{IE}} = -\phi(N,t)Nk^{-}(N) + k^{-}(N+1)(N+1)$$

$$\phi(N+1,t) + k^{+}(N-1)\phi(1,t)\phi(N-1,t)$$

$$-k^{+}(N)\phi(N,t)\phi(1,t)$$
(9a)

$$\left(\frac{\mathrm{d}\phi(N,t)}{\mathrm{d}t}\right)_{\mathrm{FF}} = -\phi(N,t) \sum_{N_{\mathrm{I}}=2}^{N} k_{\mathrm{fra}}(N,N_{\mathrm{I}})
+ \sum_{N_{\mathrm{I}}>N} 2k_{\mathrm{fra}}(N_{\mathrm{I}},N)\phi(N_{\mathrm{I}},t)
+ + \sum_{N_{\mathrm{I}}2} k_{\mathrm{fus}}(N,N_{\mathrm{I}})\phi(N,t)\phi(N_{\mathrm{I}},t)$$
(9b)

336 $k^+(N)$ is the insertion rate of copolymer chains from micelles 337 with size N and $k^-(N)$ the corresponding expulsion rate. The 338 $k_{\rm fus}(N,N_1)$ is the second order fusion rate of two micelles of 339 sizes N and N_1 . $k_{\rm fra}(N,N_1)$ is the fragmentation rate of a micelle 340 N in two micelles N_1 and $N-N_1$.

335

We simulate the two extreme cases where the growth is dominated either by insertion—expulsion or fusion—fragmentation. If the growth is dominated by insertion-expulsion (i.e., and negligible $k_{\rm fra}$ and $k_{\rm fus}$), $\phi(N,t)$ would be described by eq 9a. The fraction of free chains $\phi(1,t)$ is assumed to be constant and equal to cmc (eq 10).

$$\phi(1, t) = \text{cmc} = \frac{\sum_{N \ge 2} k^{-}(N, t) N \phi(N, t)}{\sum_{N \ge 1} k^{+}(N) \phi(N, t)}$$
(10)

We suppose the insertion rate to be independent of the 348 micelle size $k^+(N) = k^+$. We investigated several possible 349 distributions of $k^-(N,t)$ that leads to a Gaussian type size 350 distribution at equilibrium $\phi(N,\infty)$. We also investigated the 351 distributions of expulsion rate proposed by Aniansson and 352 Wall. 11,12 In order for the cmc to remain constant during the 353 growth, small time dependence was introduced in $k^-(N,t)$. 354 These simulations were validated for the case of small 355 deviations from equilibrium, for which analytical solution 356 exist. 10-12,22 In the case where [micelle] grows with time, the 357 simulated k_{decay} was found to decrease with increasing 358 concentration, as predicted by A-W. However the kinetics 359 leading to a reduction of [micelle] yield a k_{decay} independent of 360 copolymer concentration. For all the distributions of $k^-(N,t)$ 361 investigated here, the insertion-expulsion model does not 362 account for the steady increase of $k_{\rm decay}$ vs [P103] of Figure 4. 363 f4 Thus one can safely discard insertion-expulsion as the 364 dominant mechanism in the sphere-to-rod transition.

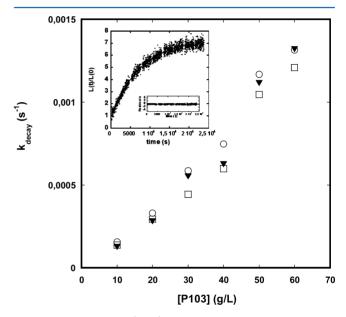


Figure 4. Growth rate (k_{decay}) calculated from fitting the growth decays to a single exponential expression, plotted against the [P103]. k_{decay} is calculated from fitting the decay of the scattering intensity $I_{\text{sca}}(t)$ (∇), the $R_{\text{h}}(t)$ (\bigcirc), and the apparent micelle length L(t)/L(0) (\square). The decays were measured after the solutions were transferred from $T_1 = 25$ °C to $T_2 = 37$ °C. (Inset) The single exponential fit of L(t)/L(0) for [P103] = 10 g/L, as well as its residual.

On the other hand the fusion mechanism (Chart 2) is a 366 bimodal process, which yields a second order kinetics and an 367 increase of $k_{\rm decay}$ vs [micelles] ([micelles] = ([P103] — cmc)/ 368 $N_{\rm agg}$, $N_{\rm agg}$ is aggregation number). Therefore, the fusion and 369 fragmentation are most likely important processes for the 370 sphere-to-rod transition in P103. This conclusion is backed up 371 by most T-jump experiments on low $M_{\rm w}$ pluronics, which yield 372 an increasing $k_{\rm decay}$ with increasing concentration. 42–49 kinetics 373 experiments on the pluronic P123 in the presence of salt and 374 alcohol revealed the relevance of fusion—fragmentation in the 375 sphere-to-rod transition. 34 One should point out that even if 376 the expulsion rate (extrapolated from Figure 3 of reference 58) 377 is much faster than the growth rate, the insertion-expulsion 378 does not explain the growth decays. This suggests that the 379 $k^-(N)/k^+(N)$ ratios for spheres and rods are not sufficiently 380 dissimilar to allow the expulsion—insertion to dominate the 381

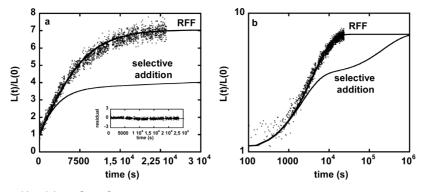


Figure 5. (a) Growth decay L(t)/L(0) for [P103] = 10 g/L at 37 °C, fitted with eq 9 for the random fusion fragmentation model (RFF). The minimum size for fragmentation $N_{\rm cri} = N_{\rm agg}^0 = 59$. The best fit was found for $k_{\rm fus} = 36~{\rm M}^{-1}~{\rm s}^{-1}$ and $k_{\rm fra} = 9.7 \times 10^{-7}~{\rm s}^{-1}$. The decay is also compared with eq 9 for the selective addition model for the same value of $k_{\rm fus}$ and $k_{\rm fra}$ as the RFF model ($k_{\rm fus} = 36~{\rm M}^{-1}~{\rm s}^{-1}$ and $k_{\rm fra} = 9.7 \times 10^{-7}~{\rm s}^{-1}$). In the selective addition model, micelles larger than $N_{\rm cri}^{\rm up} = 2N_{\rm agg}^0$ can not fuse and rods can not break in two micelles larger than $N_{\rm cri}^{\rm up} = 2N_{\rm agg}^0$. Inset: the residual of the fit to the RFF model. (b) log-log representation of the plot in panel a.

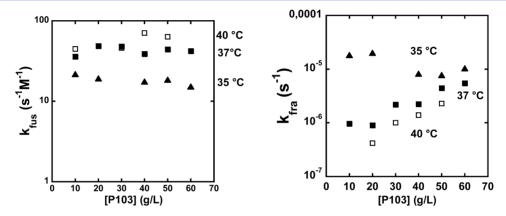


Figure 6. (a) Second order fusion rate k_{fus} , calculated from fitting the growth decays L(t)/L(0) to the RFF model using eq 9 and plotted against [P103]. k_{fus} is calculated for different temperatures: 35 (\blacktriangle), 37 (\blacksquare), and 40 °C (\square). (b) The fragmentation rate k_{fra} calculated the fitting L(t)/L(0) to the RFF model (eq 9) and plotted against [P103] for different temperatures: 35 (\blacktriangle), 37 (\blacksquare), and 40 °C (\square). The minimum size for fragmentation is $N_{\text{cri}} = N_{\text{agg}}^0 = 59$.

382 growth. Yet, it is possible that the expulsion—insertion 383 contribute partially to the growth process aside with the 384 fusion—fragmentation.

Contrarily to the present results, the theoretical prediction and the chain exchange experiments at equilibrium in diblock ropolymers, show that insertion—expulsion is the dominant process. Part of the explanation for this discrepancy could lie in the difference in the expulsion mechanisms of diblock and triblock copolymers. Expulsion of triblock copolymers requires the passage of both hydrophobic and hydrophilic blocks through the core, which induce an additional barrier against expulsion. Whereas fusion—fragmentation requires the disentanglement of chains inside the core, resulting in a lower energy barrier. It is also possible that the equilibrium dynamics and the out-of-equilibrium dynamics involve different mechanisms.

Fusion—Fragmentation Mechanism. We investigate the 399 situation where the growth is dominated by fusion and 400 fragmentation (eq 9b). This will be the case for the two 401 situations: (i) $k^-(N)$ and $k^+(N)$ negligible compared to the 402 growth rate or (ii) $k^-(N)/k^+(N)$ ratios for spheres and rods are 403 not sufficiently dissimilar, where eq 9a can be neglected. 404 Equation 9b is resolved numerically for every given distribution 405 of $k_{\rm fra}(N,N_1)$ and $k_{\rm fus}(N,N_1)$ to extract $\phi(N,t)$ and the average 406 micelle length $L_{\rm the}(t)$ ($L_{\rm the}(t) = l_0 \Sigma \phi(N,t) N/(\Sigma \phi(N,t))$, l_0 is 407 length per monomer unit). The $L_{\rm the}(t)$ is then compared to the

experimental L(t) to extract $k_{\rm fus}$ and $k_{\rm fra}$. The initial size 408 distribution $\phi(N,0)$ is taken as Gaussian with a mean value $N_{\rm agg}^0$ 409 and a variance σ . The aggregation number of the spherical 410 micelles is taken from the recent neutron scattering results $N_{\rm agg}^0$ 411 = 59. The effect of the variance σ on the kinetics was 412 investigated for σ between $N_{\rm agg}^0/4$ and $N_{\rm agg}^0$ and here we present 413 the results for $\sigma = N_{\rm agg}^0/4$.

Selective Fusion—Fragmentation or Random Fusion— 415 Fragmentation. The fragmentation of rods into spheres was 416 described to occur either by detachment of bulbs from the rod 417 ends³⁰ or by random formation of bulbs along the rods.³² One 418 could also imagine a similar mechanism for the sphere-to-rod 419 transition: (1) random fusion-fragmentation (RFF) or (2) 420 successive addition of spherical micelles to rods.

In the RFF model, micelles can fuse with other micelles 422 independently of their sizes $(k_{\rm fus}(N,N_1)=k_{\rm fus})$ and can break 423 into two micelles of any size $(k_{\rm fra}(N,N_1)=k_{\rm fra})$. ^{60,63} In an 424 alternative RFF model, rods do not break into micelles smaller 425 than the spherical ones. ^{62,63} To accommodate these two 426 models we introduced a minimum size for breaking $N_{\rm cri}$ 427 $(k_{\rm fra}(N,N_1)=0$ for $N_1 < N_{\rm cri}$ and $k_{\rm fra}(N,N_1)=k_{\rm fra}$ for $N_1 >$ 428 $N_{\rm cri}$). This is equivalent to imposing sharp lower cutoff for the 429 size distribution at $N_{\rm cri}$. The RFF model leads to an 430 exponential size distribution $\phi(N,t)$ for the long times for N 431 $> N_{\rm cri}$, as predicted by Cates et al. ⁶⁰ The $L_{\rm the}(t)$ from the RFF 432 model fits well to L(t), for all of the concentrations and 433

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434 temperatures investigated here as well as $N_{\rm cri}$ values between 2 435 and 59 (Figure 5).

In the successive addition model, rods grow by successive addition of spherical micelles at their ends. Rods larger than $N_{\rm cri}^{\rm up}$ and their ends can not fuse together and can not break into rods larger than $N_{\rm cri}^{\rm up}$ ($k_{\rm fus}(N,N_1)=0$, $k_{\rm fra}(N,N_1)=0$ for $N-N_1>N_{\rm cri}^{\rm up}$ and $N_1>0$ for $N_{\rm cri}^{\rm up}$, otherwise $k_{\rm fus}(N,N_1)=k_{\rm fus}$, $k_{\rm fra}(N,N_1)=k_{\rm fra}$). We investigated various $N_{\rm cri}^{\rm up}$ values $N_{\rm cri}^{\rm up}=1.5N_{\rm agg}^0$ and $2N_{\rm agg}^0$. The selective addition model yields $L_{\rm the}(t)$ different from the experimental L(t), particularly for strong deviations from equilibrium (Figure 5). In contrast to the experimental L(t), the $L_{\rm the}(t)$ for the selective addition is composed of two distinct steps: a first step dominated by fusion of spherical micelles and a second step dominated by the detachment of spherical micelles from the short rods and their association with the long ones. Therefore, the sphere-to-rod transition can not be explained by fusion-fragmentation if the rods are not allowed to fuse together. It is therefore evident that both the spherical micelles and the rod-time that the sum of the spherical micelles and the rod-time that both the spherical micelles and the rod-time that the transition of the rod that the transition of the transition of the rod that the transition of the rod transition of the rod transition of the ro

Rate of Fusion–Fragmentation. The second order fusion 455 rate $k_{\rm fus}$ and the fragmentation rate $k_{\rm fra}$ are estimated from 456 fitting L(t) to eq 9b using the RFF model $(k_{\rm fus}(N,N_1)=k_{\rm fus}$ and 457 $k_{\rm fra}(N,N_1)=k_{\rm fra}$ for $N>N_{\rm cri}$). The $k_{\rm fus}$ do not show a clear 458 dependence on [P103] for all of the temperatures investigated 459 here (Figure 6a). The average $k_{\rm fus}$ and its standard deviation 460 were found to be 18.5 ± 2.4 , 43 ± 5 , and 54.6 ± 11.6 s $^{-1}$ M $^{-1}$ at 461 35, 37, and 40 °C (Figure 6a). The $k_{\rm fus}$ is also independent of 462 $N_{\rm cri}$ between 2 and 59 (Figure S2, Supporting Information). 463 The determination of the micelle length by DLS is affected by 464 branching and correlations of micelles at high concentration 465 and therefore one expects some dependence of $k_{\rm fus}$ on [P103]. 466 This is not seen here because the fusion dominates mainly the 467 early stage of the growth, where the measurement of micelle 468 size is less affected by correlations.

The apparent energy for fusion is estimated to be $E_{\rm fus}$ = 165 470 kJ/mol. There are at least two energy barriers against fusion: 471 one is the coronal energy resulting from the steric repulsion of 472 PEO. This barrier is the elastic energy of the corona. The 473 second barrier to fusion comes from the entanglement 474 dynamics of the cores. The sphere-to-rod transition in diblock 475 and triblock copolymer usually occurs by collision and adhesion 476 of spheres to form a pearl-necklace shape, which turns into 477 smooth cylinders via rearrangement of the core and the 478 corona. 30,34 Whether the micelles complete fusion or break in 479 the necklace step is an interesting question, for which an 480 explanation cannot be elaborated in this letter.

It is worth commenting on the significance of the decay rate $k_{\rm decay}$ from the single exponential fit. $k_{\rm decay}$ calculated as $k_{\rm decay}=1$ found to be at the most 30% larger than $k_{\rm deacy}$ from the single exponential fit (Figure S3, Supporting Information). This deviation is predictable since eq 9 for the RFF model would the product and to a hyperbolic tangent shape rather than a single exponential expression. $k_{\rm decay}=1$

The fragmentation rate $k_{\rm fra}$ is constant for low concentrations and increases at high [P103] (Figure 6b). The fragmentation becomes dominant close to equilibrium, where the determination of the micelle length is strongly affected by micelle interactions and branching. Therefore the $k_{\rm fra}$ should be taken from the low [P103]. The $k_{\rm fra}$, estimated at the lowest [P103], decreases with increasing the temperature $k_{\rm fra} = 1.5 \times 10^{-6} \, {\rm s}^{-1}$ at 35 °C, 9 × 10⁻⁷ at 37 °C, and 4 × 10⁻⁷ s⁻¹ at 40 °C. This

leads to a negative apparent energy for fragmentation $E_{\rm fra}$ = 497 $-208~{\rm kJ/mol}.$

RFF mechanism with constant $k_{\rm fus}(N,N_1)$ and $k_{\rm fra}(N,N_1)$ is 499 an oversimplified model because (1) if the rods associate 500 through their ends, it will most likely lead to smaller $k_{\rm fus}$ than 501 for spherical ones and (2) fragmentation of small micelles 502 would lead to larger variation in the surface free energy than in 503 the long micelles and therefore should lead to $k_{\rm fra}(N,N_1)$ 504 dependent on the micelle size. This is probably one explanation 505 for why fitting the experimental decay with the RFF is not as 506 good as the single exponential (residuals of Figures 2 and 4 507 inset). Thus further simulations and comparisons with 508 experiments are necessary to fully determine the distribution 509 of $k_{\rm fus}(N,N_1)$ and $k_{\rm fra}(N,N_1)$.

CONCLUSIONS

This paper describes the dynamics of the transition from sphere 512 to rod-like micelles in the aqueous solution of P103 triblock 513 copolymer. The growth dynamics exhibit a relatively slow 514 process with a characteristic time ranging from 700 s to several 515 hours. This kinetics can be quantified equivalently by the 516 scattering intensity, the hydrodynamic radius or micelle length. 517 We show that the micelles grow as long rods throughout the 518 growth process. The linear increase of the decay rate with 519 increasing the copolymer concentration shows that this 520 transition is dominated by a mechanism involving fusion and 521 fragmentation of proper micelles. We show that the growth 522 occurs by random fusion-fragmentation of all micelles. The 523 growth decays can not be explained if the process only involves 524 successive addition of spherical micelles to the rod-like micelles. 525 The second order rate constant for fusion $(k_{
m fus})$ and 526 fragmentation rates $(k_{
m fra})$ are estimated for the case of random 527 fusion fragmentation.

ASSOCIATED CONTENT

S Supporting Information

Evolution of the hydrodynamic radius with time; dependence 531 of the fusion and fragmentation rates on the copolymer 532 concentration. This material is available free of charge via the 533 Internet at http://pubs.acs.org.

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Notes

The authors declare no competing financial interest.

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