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Tailorable Aqueous Dispersion of Single-Walled Carbon Nanotubes Using Tetrachloroperylene-Based Bolaamphiphiles via Noncovalent Modification

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- 7 Supporting Information

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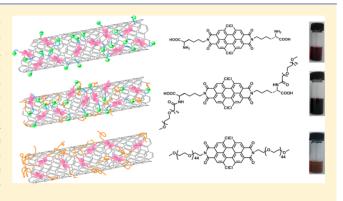
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ABSTRACT: The enhanced dispersing capability of these bolaamphiphiles can be attributed to the large aromatic perylene core. The aqueous dispersion efficiency of single-walled carbon nanotubes (SWCNTs) is investigated by UV–vis absorption, fluorescence emission and Raman spectra, scanning electron microscopy, transmission electron microscopy, and atomic force microscopy. It is found that the tetrachloroperylene backbone moieties could interact with SWCNT via synergistic π – π and hydrophobic interactions, and the dispersing properties are closely related to the hydrophilic part of bolaamphiles. This study not only demonstrates tetrachloroperylene derivatives are able to stabilize SWCNTs, but also provides the possibility to understand the structure–property relationship between SWCNTs and tetrachloroperylene-based surfactants.



1. INTRODUCTION

22 Since first reported as "helical microtubules of graphitic carbon" 23 by Iijima in 1991, carbon nanotubes (CNT)¹⁻³ have received 24 extensive attention and opened a broad field of potential 25 applications in nanoscience and nanotechnology, due to their 26 extraordinary electronic, 4 mechanical, 5,6 and optical properties. 27 However, their applications are limited by their poor solubility 28 in solvents. Thus, improving CNTs' solubility in solvent is of particular importance to expand their practical applications and processing. Notably, covalent modification and non-covalent absorption are two general routes for achieving 32 CNT dispersion. Both strategies have their own advantages and 33 disadvantages. Specifically, noncovalent absorption, which 34 generally does not disrupt CNT's electronic structure as well 35 as physical properties, turns out to be an efficient method and 36 economic strategy. Many dispersing systems have been 37 investigated for the noncovalent modification of CNTs, 15-17 38 such as surfactants, ^{18,19} polymers, ^{20,21} peptides, ¹³ polycyclic 39 aromatic hydrocarbons, ^{17,22–25} and DNAs. ^{26,27} Generally, these 40 explored systems had amphiphilic characteristics. The protec-41 tive core molecules anchor to the CNT's surface via 42 hydrophobic or/and $\pi - \pi$ interactions, while the hydrophilic 43 moieties confer them water solubility. Because the primary 44 interactions for traditional surfactants are hydrophobic 45 interactions, amphiphilic molecules containing macroaromatic 46 core recently received active research interest because they can 47 offer more specific $\pi - \pi$ interactions with the π -electrons in 48 CNT in addition to simple nonspecific hydrophobic interactions. For instance, pyrene, porphyrin, and phthalocyanine derivatives were demonstrated to be efficient dispersants 50 for SWCNTs for such reasons. 17,24,25 In addition, perylene 51 bisimide (PBI) and its derivatives were considered as efficient 52 dispersants to stabilize SWCNT, 28 especially the amphiphilic 53 PBI ones. 3,29 For example, Hirsh and coworkers systematically 54 studied a series of amphiphilic PBI derivatives modified by 55 hydrophilic dendritic polyacids segments to disperse SWCNT 56 in aqueous media and investigated the dispersing ability 57 dependency on the molecular structure and the side chain. 58 Recently, Ernst and coworkers utilized an amphiphilic perylene-59 based surfactant to disperse the carbon nanotubes and to form 60 energy transfer complexes. 61

Furthermore, adding stimuli-responsive functionalities, such 62 as pH or temperature, to the dispersion/assembly of SWCNTs 63 would be desirable for advanced applications. The instance, 64 poly-L-lysine-modified SWCNT displayed pH-sensitive dispersence 65 ing capabilities, while poly(N-isopropylacrylamide)-modified 66 SWCNT displayed temperature-sensitive dispersing characteristics. Therefore, design and synthesis of new amphiphilic 68 molecules, which can efficiently disperse SWCNTs, are still 69 greatly desirable. Moreover, the systematic comparisons with 70 different charge state have been rarely investigated. Herein, we 71 synthesized three bolaamphiphilic surfactants containing PBI as 72

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73 core and three kinds of side chains, that is, zwitterionic, anionic, 74 and nonionic polymeric side chains. We investigated their 75 interactions with SWCNTs to control and manipulate the 76 dispersion and assembly behaviors of PBI functionalized 77 SWCNTs. The obtained dispersion could be stable for more 78 than 6 months.

2. EXPERIMENTAL SECTION

2.1. Materials and Methods. SWCNTs were purchased from 80 Acros (1–2 nm in diameter with lengths of 5–30 μ m) and used as 81 received. 1,6,7,12-Tetrachloroperylene 3,4,9,10-tetracarboxylic acid 82 dianhydride (4ClPTCAD) was provided from Beijing Wenhaiyang 83 Industry&Trading Co., Ltd. \square Boc-L-lysine-OH was purchased from 84 GL Biochem (Shanghai) Ltd. Poly(ethylene glycol) methyl ether 85 amine (PEG-NH₂) ($M_n \approx 2000$) was purchased from Aladdin 86 Industrial Inc. Other reagents were purchased from Sinopharm 87 Chemical Reagent Co, Ltd. and used without further purification, 88 unless otherwise specified.

¹H NMR spectra were recorded on a Bruker Avance DMX 400 90 MHz instrument using TMS as internal standard and D2O as solvent. 91 MALDI-TOF-MS measurements were carried out using a Bruker 92 BIFLEX III equipped with a 337 nm nitrogen laser. UV-vis 93 absorption spectra (UV-vis) were recorded on a TU-1901 94 spectrophotometer. Fluorescence spectra were recorded on a Hitachi 95 F-4500 fluorescence spectrometer and corrected against photo-96 multiplier and lamp intensity. The slit width of both monochromators 97 was 5.0 nm. Raman spectra were measured in solution by a RFS100/S 98 Raman spectrometer ($\lambda_{\rm exc}$ =1064 nm). Atomic force microscopy 99 (AFM) was performed in tapping mode (Multimode 8, Bruker, Inc.) 100 with silicon cantilever probes. The samples were prepared by casting 101 the sample solution on fresh pealed mica, and the scanning rate was 1 102 Hz. Transmission electron microscopy (TEM) samples were examined 103 with a JEM2200FS (200 keV). The surface microstructure was 104 examined via a scanning electron microscope (SEM) (6700F) 105 instrument.

106 **2.2. Synthesis of Three Bolaamphiphiles.** *4ClPBI-A.* The 107 synthesis and structure characterization of 4ClPBI-A was reported 108 elsewhere. 33,34

4CIPBI-B. N-Hydroxysuccinimidyl PEG (PEG-NHS) was synthesized according to the literature. ³⁵ 4CIPBI-A (5.00 g, 6.4 mmol) and PEG-NHS (4.37 g, 15.9 mmol) were mixed with Et₃N (1.6 g, 15.9 mmol) in methanol (150 mL). The reaction mixture then was stirred at room temperature for 24 h. After being filtered, the solution was obtained as a red solid, which was subsequently washed with water three times. The 4CIPBI-B then was purified by column chromatoging raphy on silica gel to give an orange powder (4.4 g, yield 62%, 118 methanol/CH₂Cl₂ = 1/10). ¹H NMR (400 MHz, D₂O, δ): 8.6 (s, 119 4H), 7.4 (m, 2H), 4.5 (m, 2H), 3.9–3.3 (m, 22H), 3.2 (m, 6H), 1.8–120 1.2 (m,12H). ¹³C NMR (400 MHz, acetone- d_6 , TMS): 189, 162, 134, 121 128, 126, 123, 109, 92, 90, 82, 74, 70, 60, 58, 51, 46, 30, 29, 28 ppm. 122 MALDI-TOF (m/z): calcd for C₅₀H₅₂Cl₄N₄O₁₆, 1104.2; found, 123 1104.7.

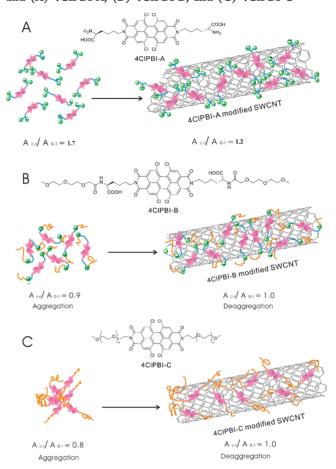
124 *4ClPBI-C.* PEG-NH₂ (2.00 g, 1.0 mmol) and 4ClPTCAD (176 mg, 125 0.33 mmol) were mixed in ethanol (50 mL), and then the reaction 126 mixture was refluxed for 24 h. Orange precipitate was filtrated, dried in 127 vacuum, and then was further purified by column chromatography on 128 silica gel to give am orange powder (1.01 g, yield 76%, methanol/129 CH₂Cl₂ = 1/5). ¹H NMR (400 MHz, CDCl₃, δ): 8.68 (s, 4H), 4.46 130 (m, 4H), 3.84 (m, 6H), 3.64–3.37 (br, 323H), 1.25 (s, 6H). ¹³C NMR 131 (400 MHz, CDCl₃, δ): 173, 166, 162, 158, 152, 138, 135, 132, 131, 132 128, 127, 123, 113, 74, 67, 57.

2.3. General Procedure for Dispersing SWCNTs. Typically, 134 SWCNTs and 4ClPBI-A/B/C were mixed into 4 mL of pH = 10 so buffered aqueous solution with a weight ratio of 1:1, stirred for 10 min and sonicated for 1 h, followed by centrifugation (5000 rpm, 5 min). The samples with different SWCNT concentration were also conducted by this method.

3. RESULTS AND DISCUSSION

Following the reported procedure,³⁴ we synthesized three ¹³⁹ bolaamphiphiles using tetrachloroperylene bisimide as core. ¹⁴⁰ These three bolaamphiphiles with chemical structure illustrated ¹⁴¹ in Scheme 1 were designated as 4ClPBI-A (zwitterionic), ¹⁴² s1

Scheme 1. Mechanism for the Interaction between SWCNT and (A) 4ClPBI-A, (B) 4ClPBI-B, and (C) 4ClPBI-C



4ClPBI-B (anionic), and 4ClPBI-C (nonionic polymeric). The 143 synthesized bolaamphiphiles contained a polycyclic aromatic 144 core aiming for π - π interaction with SWCNT, while the 145 terminal hydrophilic segments albeit with distinct chemical 146 nature were designed to confer water solubility and protect 147 dispersed SWCNTs from agglomeration. For 4ClPBI-A, the ε - 148 amine group of L-lysine was conjugated to the imide position of 149 4ClPBI to obtain a symmetric bolaamphiphile with 4ClPBI as 150 hydrophobic core and lysine as hydrophilic heads. Our previous 151 study showed that 4ClPBI-A can self-assemble into 2-D 152 monolayer film at pH ranging from 1 to 9.34 For 4ClPBI-B, 153 we introduced an oligo(ethylene glycol) (OEG) to the end 154 amine group of 4ClPBI-A, which made 4ClPBI-B into an 155 anionic bolaamphiphiles. For 4ClPBI-C, we replaced the lysine 156 moiety in 4ClPBI-A with poly(ethylene glycol) (PEG), and 157 obtained a polymeric bolaamphiphile. We then used the above 158 three bolaamphiphiles as dispersants to stabilize SWCNTs, and 159 investigated the correlation between surfactants' end-groups 160 and dispersing efficiency. For the dispersing experiments, 161 SWCNTs were simply mixed with three bolaamphiphiles under 162 sonication, and then the mixtures were characterized using 163 spectroscopy and electron microscopy.

We first investigated the solution of 4ClPBI-A and SWCNT 166 at the weight ratio of 1:1. Because the aggregation behaviors of 167 4ClPBI-A depended on solution pH value, we conducted the 168 experiment at pH = 10 to avoid undesired self-aggregation of 169 4ClPBI-A.34 The SWCNTs were added into the 4ClPBI-A 170 aqueous solution under sonication and followed by centrifuga-171 tion. The supernatant was then separated and characterized. 172 The successful dispersion of SWCNTs by 4ClPBI-A was first demonstrated by visual observation (Supporting Information 174 Figure S1a). Pristine SWCNT was phase separated from water 175 without dispersant, while the water solution of 4ClPBI-A at pH 176 = 10 was pink. In contrast, their mixture displayed dark purple 177 color, indicating the absorption of 4ClPBI-A onto SWCNT, and their interactions were further verified by spectroscopy characterizations. It was known that the ratio of absorbances at 544 nm (A_{0-0}) and 502 nm (A_{0-1}) reflected the physical status 181 of the 4ClPBI molecule.³⁶ Before SWCNTs were added, the $182 A_{0-0}/A_{0-1}$ ratio for 4ClPBI-A solution was 1.8 (Figure 1a), but

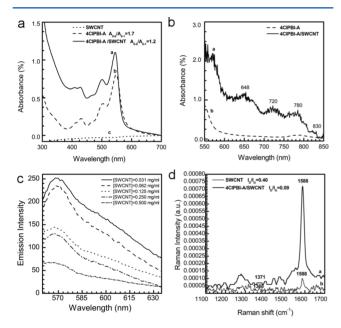


Figure 1. Absorption spectra of SWCNT, 4ClPBI-A, and the mixture of 4ClPBI-A/SWCNT = 1/1: (a) 0.1 mg/mL and (b) 0.19 mg/mL; (c) the fluorescence emission spectra of 4ClPBI-A/SWCNT with different SWCNT concentrations at $\lambda_{\rm ex}$ = 545 nm; and (d) the Raman spectra of SWCNT and 4ClPBI-A/SWCNT = 1/1 (0.1 mg/mL). All of the samples were prepared in pH = 10 aqueous solution.

183 it decreased to 1.3 after mixing 4ClPBI-A with SWCNTs, which could be attributed to the strong π - π interactions between 185 4ClPBI core and SWCNTs. Meanwhile, as shown in Figure 1b, 186 the absorption spectrum of SWCNTs with/without 4ClPBI-A 187 can also reflect its dispersing status. Individual 4ClPBI-A did 188 not show any characteristic absorption in the range of 550–900 189 nm. The mixture of 4ClPBI-A and SWCNT displayed four 190 peaks in this region, that is, 648, 720, 780, and 830 nm, which 191 were related to the SWCNT's von Hove singularities and 192 indicated the homogeneous dispersion of SWCNTs in aqueous 193 solution. 13,22,37-40

The fluorescent spectrometer was applied to characterize the interactions between 4ClPBI core and SWCNT. As shown in Figure 1c, we kept the concentration of 4ClPBI-A at 0.125 mg/ mL, and found its fluorescence intensity decreased gradually with the increase of SWCNT concentration, which was

attributed to the expansion of delocalized π -electron system 199 among perylene cores and SWCNTs. It also supported that free 200 4ClPBI-A molecules were adsorbed onto SWCNTs. The 201 effects of SWCNTs' concentrations on the absorption spectra 202 were compared in Supporting Information Figure S2a. With the 203 increase of SWCNT's concentration from 0.031 to 0.062 and to 204 0.125 mg/mL, the value of A_{0-0}/A_{0-1} decreased from 1.8 to 1.7 205 and to 1.4, respectively, which indicated that the interactions 206 among perylene cores became weaker and weaker. Notably, 207 when the SWCNTs concentration was higher than 0.125 mg/ 208 mL, the A_{0-0}/A_{0-1} value maintained as 1.4, which may be 209 attributed to the adsorption equilibrium of 4ClPBI-A onto 210 SWCNTs.

It is well-known that Raman spectroscopy is a suitable 212 technique to characterize dispersed SWCNTs and their 213 aggregates. Generally, the intensity of the G-band ($I_{\rm G}$) reflects 214 the content of individual SWCNT, while the D-band value ($I_{\rm D}$) 215 corresponds to the impurities, for example, aggregates. For the 216 mixture of 4ClPBI-A/SWCNT, the $I_{\rm D}/I_{\rm G}$ ratio decreased from 217 0.4 to 0.08 with the addition of 4ClPBI-A (Figure 1d). The 218 obvious decrease of the $I_{\rm D}/I_{\rm G}$ ratio and the increased intensity 219 of RBM region in Raman spectra (Supporting Information 220 Figure S1c) demonstrated that the SWCNT bundles were 221 effectively exfoliated by 4ClPBI-A bolaamphiles.

Finally, the effective dispersion of SWCNTs by 4ClPBI-A 223 was directly visualized using electron microscopy technique. 224 Figure 2 compared the TEM images of SWCNT before and 225 f2

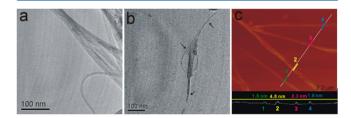


Figure 2. TEM image of SWCNT before (a) and after (b) the addition of 4ClPBI-A; and (c) AFM image and height profile of 4ClPBI-A/SWCNT = 1/1 (1 mg/mL).

after mixing of 4ClPBI-A. Before adding 4ClPBI-A, SWCNTs 226 existed as aggregated bundles. Upon mixing with 4ClPBI-A 227 aqueous solution, SWCNTs were observed as isolated tubes 228 with length of approximately 500 nm. Similar results were 229 further supported from AFM characterization (Figure 2c), 230 which revealed the elongated SWCNTs with height ranging 231 between 1.5 and 4.8 nm, suggesting the successful dispersion by 232 4ClPBI-A.

Besides zwitterionic 4ClPBI-A, the anionic 4ClPBI-B and 234 nonionic 4ClPBI-C can also effectively disperse SWCNTs in 235 water. Given the procedure identical to that of 4ClPBI-A, the 236 SWCNTs were added into the 4ClPBI-B aqueous solution at 237 pH = 10. After that, the mixture's solution color changed from 238 scarlet to dark purple (Supporting Information Figure S3a). 239 The variations of physical status about 4ClPBI-B and SWCNTs 240 were further demonstrated by spectrascopy characterizations. In 241 Figure 3a, the A_{0-0}/A_{0-1} ratio of pristine 4ClPBI-B was 0.9, and 242 f3 this value increased to 1.0 after 4ClPBI-B was absorbed on the 243 nanotube sidewall. These results revealed that SWCNTs 244 also could be well-dispersed by anionic 4ClPBI-Bs. The further 245 spectroscopic observations proved that the SWCNT bundles 246 were exfoliated well after the addition of 4ClPBI-B, including 247 the characteristic signal of van Hove transitions for 248

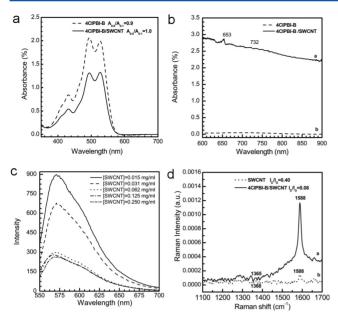


Figure 3. Absorption spectra of 4ClPBI-B and the mixture of 4ClPBI-B/SWCNT = 1/1: (a) 0.1 mg/mL and (b) 0.33 mg/mL; (c) the fluorescence emission spectra of 4ClPBI-B/SWCNT with different SWCNT concentrations at $\lambda_{\rm ex} = 530$ nm; and (d) the Raman spectra of SWCNT and 4ClPBI-B/SWCNT = 1/1 (0.1 mg/mL). All of the samples were prepared in pH = 10 aqueous solution.

²⁴⁹ SWCNTs^{13,22,37–40} in absorption spectra (Figure 3b), the ²⁵⁰ dropping intensity of the free perylene in the emission spectra ⁴¹ ²⁵¹ (Figure 3c), and the decreasing $I_{\rm D}/I_{\rm G}$ ratio ⁴² in Raman spectra ²⁵² (Figure 3d). Besides, the TEM and AFM results verified the ²⁵³ dispersed nanotube in Supporting Information Figure S4.

For nonionic 4ClPBI-C, the photos of the SWCNT, 4ClPBI-254 255 C, and 4ClPBI-C/SWCNT shown in Supporting Information 256 Figure S5a implied the uptake of SWCNT in aqueous solution. Given the amphiphilic feature, 4ClPBI-C was supposed to selfassemble into aggregates in water, and the A_{0-0}/A_{0-1} ratio was only 0.8 (Figure 4a). After the addition of SWCNTs, the A_{0-0} / $260 A_{0-1}$ ratio increased to 1.0, which implied the disassembly of preformed aggregates upon the interaction with SWCNTs. The 261 262 further spectroscopic studies also verified the successful dispersion, such as the typical SWCNT van Hove singularities from absorption spectra (Figure 4b), the decrease of free 4ClPBI-C with the addition of SWCNT in the emission spectra (Figure 4c), and the reducing $I_{\rm D}/I_{\rm G}$ ratio and the RBM region of SWCNTs in Raman spectra (Figure 4d and Supporting 268 Information Figure S5c, respectively). Furthermore, the electron microscopic results also demonstrated the exfoliated SWCNTs in 4ClPBI-C aqueous solution (Supporting In-271 formation Figure S6).

Note that the dispersion rates of SWCNTs in these three amphiphile aqueous solutions were different. Among them, 4 ClPBI-A was the fastest, while 4ClPBI-C was the slowest. We presumed that the most important influence on the aqueous dispersibilities of PBI modified SWCNTs was due to the terminal structures of three amphiphiles, because they shared SWCNTs. The mechanism of the 4ClPBI-A modified SWCNT was presented in Scheme 1. Initially, 4ClPBI-A in the solution was monomeric form due to the static repulsion caused by lysine; however, after the addition of SWCNT, the aromatic interaction between perylene cores and nanotube's sidewall

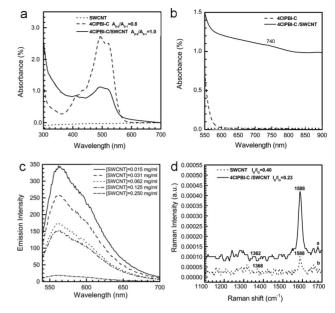


Figure 4. Absorption spectra of SWCNT, 4ClPBI-C, and the mixture of 4ClPBI-C/SWCNT = 1/1: (a) 0.1 mg/mL and (b) 0.33 mg/mL; (c) the fluorescence emission spectra of 4ClPBI-C/SWCNT with different SWCNT concentrations at $\lambda_{\rm ex}$ = 520 nm; and (d) the Raman spectra of SWCNT and 4ClPBI-C/SWCNT = 1/1 (0.1 mg/mL). All of the samples were prepared in pH = 10 aqueous solution.

resulted in the adsorption. Meanwhile, charged lysine helped to 284 stabilize the SWCNTs in aqueous solution. Therefore, the 285 distances among 4ClPBI-A monomers were gradually short- 286 ened after the adsorption onto SWCNTs, and a bigger 287 delocalized π -conjugated system formed between SWCNTs 288 and PBI cores, which led to the decrease of the A_{0-0}/A_{0-1} ratio. 289 Furthermore, the negative charged lysine side chains prevented 290 these molecules from being close to each other, which was 291 reflected by the ratio of A_{0-0}/A_{0-1} that was still above 1. For 292 anionic 4ClPBI-B in aqueous solution (Scheme 1B), it could 293 self-assemble into aggregates even at pH 10. With the addition 294 of SWCNTs, the π - π interactions between 4ClPBI-B and 295 SWCNTs were stronger than that among pristine 4ClPBI-Bs or 296 SWCNTs, which led to the disaggregation of preformed 297 4ClPBI-B assemblies and the dispersion of SWCNTs. For 298 nonionic 4ClPBI-C (Scheme 1C), due to the distinct steric 299 hindrance of the long PEG side chains, the π - π interactions 300 were dramatically weakened between 4ClPBI-Cs and 301 SWCNTs. So, as compared to 4ClPBI-A and 4ClPBI-B, its 302 dispersing effect was the worst.

4. CONCLUSION

In summary, we have succeeded in dispersing SWCNTs using 304 three kinds of bolaamphiphiles, that is, zwitterionic 4ClPBI-A, 305 anionic 4ClPBI-B, and nonionic polymeric 4ClPBI-C, in 306 aqueous solution through sonication and centrifugation 307 procedure. The combination of $\pi-\pi$ stacking, van der Waals 308 forces, and hydrophobic interactions could generate the 309 efficient dispersion of SWCNTs. However, they also generated 310 different dispersing abilities due to their distinct end groups. 311 Especially, zwitterionic 4ClPBI-A was the most efficient 312 dispersant, followed by anionic 4ClPBI-B, and nonionic 313 4ClPBI-C was the worst. Besides, the different ambient 314 conditions, such as pH and temperature, might assist the 315 further assembly of dispersed SWCNTs, which paves a new way 316

317 for dispersing and manipulating SWCNTs and might have 318 potentials in sensing, drug delivery, and nanodevices.

ASSOCIATED CONTENT

320 S Supporting Information

321 Additional spectroscopy and electron microscopy character-322 izations. This material is available free of charge via the Internet

323 at http://pubs.acs.org.

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- 328 Notes
- 329 The authors declare no competing financial interest.

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