



## Growth of vertical-cavity surface-emitting laser structures on GaAs (311)B substrates by metalorganic chemical vapor deposition

K. Taten, Y. Ohiso, C. Amano, A. Wakatsuki, and T. Kurokawa

Citation: [Applied Physics Letters](#) **70**, 3395 (1997); doi: 10.1063/1.119182

View online: <http://dx.doi.org/10.1063/1.119182>

View Table of Contents: <http://scitation.aip.org/content/aip/journal/apl/70/25?ver=pdfcov>

Published by the [AIP Publishing](#)

---

### Articles you may be interested in

[Ultralow-threshold cryogenic vertical-cavity surface-emitting laser with AlAs oxide – GaAs distributed Bragg reflectors](#)

J. Appl. Phys. **96**, 1289 (2004); 10.1063/1.1767983

[Micro-Raman studies of vertical-cavity surface-emitting lasers with Al<sub>x</sub>O<sub>y</sub> / GaAs distributed Bragg reflectors](#)

Appl. Phys. Lett. **81**, 2544 (2002); 10.1063/1.1511533

[Blue vertical-cavity surface-emitting lasers based on second-harmonic generation grown on \(311\)B and \(411\)A GaAs substrates](#)

J. Appl. Phys. **87**, 1597 (2000); 10.1063/1.372065

[Precise control of 1.55  \$\mu\$ m vertical-cavity surface-emitting laser structure with InAlGaAs/InAlAs Bragg reflectors by in situ growth monitoring](#)

Appl. Phys. Lett. **75**, 1500 (1999); 10.1063/1.124735

[Lateral-junction vertical-cavity surface-emitting laser grown by molecular-beam epitaxy on a GaAs \(311\) A-oriented substrate](#)

Appl. Phys. Lett. **74**, 3854 (1999); 10.1063/1.124202

---

The banner features the AIP Applied Physics Reviews logo on the left, which includes a small image of a crystal structure. To the right, the text 'NEW Special Topic Sections' is prominently displayed in white against a blue background with a glowing light effect. Below this, the text 'NOW ONLINE' is in yellow, followed by 'Lithium Niobate Properties and Applications: Reviews of Emerging Trends' in white. The AIP Applied Physics Reviews logo is repeated on the right side of the banner.

**NEW Special Topic Sections**

**NOW ONLINE**  
Lithium Niobate Properties and Applications:  
Reviews of Emerging Trends

**AIP** Applied Physics Reviews

# Growth of vertical-cavity surface-emitting laser structures on GaAs (311)B substrates by metalorganic chemical vapor deposition

K. Tateno,<sup>a)</sup> Y. Ohiso, C. Amano, A. Wakatsuki, and T. Kurokawa

NTT Opto-electronics Laboratories, 3-1 Morinosato Wakamiya, Atsugi-shi, Kanagawa 243-01, Japan

(Received 3 March 1997; accepted for publication 17 April 1997)

Vertical-cavity surface-emitting laser (VCSEL) structures have been grown on GaAs (311)B substrates by metalorganic chemical vapor deposition. C-doped GaAs and AlAs layers with smooth surface morphology and a hole concentration of  $10^{18} \text{ cm}^{-3}$  were obtained by optimizing the growth conditions; these conditions contributed to high-quality *p*-type distributed Bragg reflectors (DBRs). The devices on the (311)B substrates exhibited a threshold current of 9.6 mA, voltage of 2.1 V, and maximum power of 4.1 mW at a  $20 \mu\text{m}$   $\phi$  size; these characteristics are similar to those obtained on (100) substrates. The polarization was aligned to  $[\bar{2}33]$ . © 1997 American Institute of Physics. [S0003-6951(97)01825-1]

The vertical-cavity surface-emitting laser (VCSEL) is promising for use in optical interconnections and communications.<sup>1</sup> In their application, the polarization direction in VCSEL oscillation should be stably maintained in order to avoid polarization induced noise.<sup>2-4</sup> Polarization control has been reported using anisotropic gain in VCSELs grown by molecular beam epitaxy (MBE) on GaAs (311)A and (311)B substrates,<sup>3,4</sup> but not yet by metalorganic chemical vapor deposition (MOCVD). One reason is that growth conditions of the epilayers on (311) substrates are largely different from those on (100) in MOCVD, and have not yet been examined. As far as our experiments, there seem to be two main problems; for (311)A, AlAs shows *p*-type conductivity in Si doping normally used as an *n*-type dopant, and for (311)B, the surface morphology of C-doped AlAs is more likely to degrade at the same growth conditions as those on (100). The first problem is more essential, so we have chosen (311)B and examined the growth condition dependences of C doping and AlAs morphology in detail. In this letter, we show the successful growth of VCSELs on (311)B substrates and discuss the VCSEL characteristics.

The structures were grown in a horizontal MOCVD reactor at 76 Torr. The growth rate was about  $3 \mu\text{m/h}$  for all layers. The group III sources were trimethyl-gallium and trimethyl-aluminum, and the group V source was arsine. First, we have investigated orientation dependence of C and Si doping in the growth of GaAs and AlAs. C and Si doping sources were  $\text{CBr}_4$  and  $\text{SiH}_4$ .  $1\text{-}\mu\text{m}$ -thick GaAs and AlAs layers were grown on undoped GaAs (100), (311)A and (311)B substrates. Each AlAs layer was capped with  $200 \text{ \AA}$  nondoped GaAs. The carrier concentration and mobility were measured by the van der Pauw–Hall method. The surface morphology was observed by Nomarski interference contrast microscopy. The hole concentration increased with an increasing  $\text{CBr}_4$  flow rate on all (100), (311)A, and (311)B substrates in both GaAs and AlAs. In order to obtain a hole concentration above  $10^{18} \text{ cm}^{-3}$  for GaAs on (100), we conventionally use growth conditions such as  $T_g = 650^\circ\text{C}$  and  $\text{V/III} = 60$ . If we apply these conditions to GaAs on (311)B, we have to increase the  $\text{CBr}_4$  flow rate to more than  $2 \times 10^{-6} \text{ mol/min}$ , where the etching effects of  $\text{CBr}_4$  cannot

be neglected.<sup>5</sup> Furthermore, in these growth conditions the AlAs surface morphology degrades. Figure 1 shows the surface morphologies of AlAs at different  $T_g$  and V/III ratios. Both increasing  $T_g$  and decreasing the V/III ratio greatly improved the surface morphology. Figure 2 shows the hole concentration in GaAs and AlAs. When  $T_g$  was increased and the V/III ratio was decreased, the hole concentration in AlAs increased; the hole concentration in GaAs decreased when  $T_g$  was increased. The improvement in AlAs morphology is explained by the AlAs diffusion, which is promoted at higher  $T_g$  and low As concentration on the surface. For DBR growth, the  $T_g$ 's for the GaAs (or AlGaAs with low Al content) and AlAs (or AlGaAs with high Al content) layers must be the same, because a long growth interruption caused by the change in  $T_g$  may degrade the interface or cause the contaminants to stick. To obtain good morphology of AlAs and a hole concentration as high as  $10^{18} \text{ cm}^{-3}$  for GaAs, the V/III ratio should be decreased. The *p*-type GaAs/AlAs DBR grown on (311)B at  $T_g = 700^\circ\text{C}$  and  $\text{V/III} = 15$  was confirmed to have good surface morphology and have enough hole concentration.

The growth of *n*-DBR is relatively easy because *n*-type dopants are easily incorporated on the B face.<sup>6</sup> Figure 3 shows the carrier concentration in GaAs and AlAs on (311)B, (100), and (311)A. Here,  $[\text{SiH}_4]/[\text{III}]$  denotes the mole fraction of  $\text{SiH}_4$  to group III sources. The carrier type was changed in AlAs on (311)A. On the other hand, both

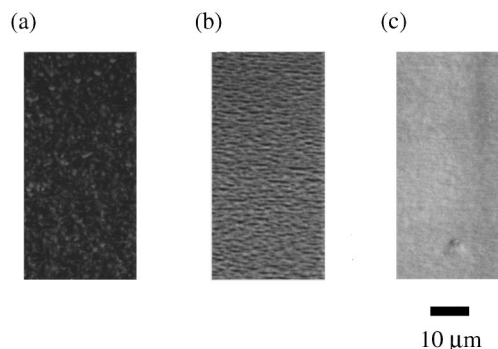


FIG. 1. Surface morphology of AlAs grown at various  $T_g$  and V/III ratios. The samples were capped with  $200 \text{ \AA}$  GaAs, and the  $\text{CBr}_4$  flow rates were fixed at  $2 \times 10^{-6} \text{ mol/min}$ . (a)  $T_g = 650^\circ\text{C}$ ,  $\text{V/III} = 60$ , (b)  $T_g = 700^\circ\text{C}$ ,  $\text{V/III} = 60$ , and (c)  $T_g = 700^\circ\text{C}$ ,  $\text{V/III} = 15$ .

<sup>a)</sup>Electronic mail: tateno@aecl.ntt.co.jp

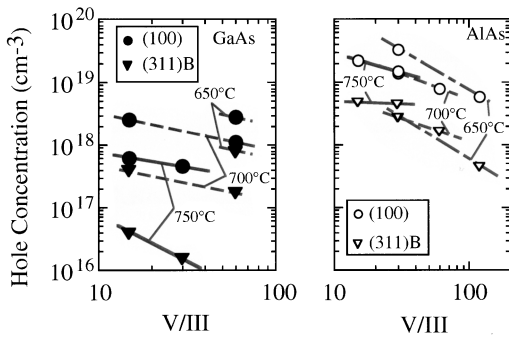


FIG. 2. Hole concentration of GaAs and AlAs on (311)B and (100) as a function of V/III ratio. The  $\text{CBr}_4$  flow rates were fixed at  $2 \times 10^{-6}$  mol/min.

GaAs and AlAs on (311)B were confirmed to have a carrier concentration above  $10^{18} \text{ cm}^{-3}$ . The growth temperature of  $800^\circ\text{C}$  was high enough to make a smooth surface of AlAs on (311)B. Thus for  $n$ -DBR we could use growth conditions very similar to the conventional conditions ( $T_g = 800^\circ\text{C}$ ,  $\text{V/III} = 60$ ).

In order to examine the active region on (311)B grown at  $T_g = 750^\circ\text{C}$  and  $\text{V/III} = 60$  [same at (100)], photoluminescence (PL) spectrums from the pin structure including the active region were measured. This structure consisted of three GaAs/ $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$  QWs surrounded by doped AlGaAs layers. The PL peak intensity on (311)B was 94% of that on (100) at room temperature (RT). It was confirmed that the active layers did not degrade on (311)B. The different intensity may be explained by the change in the band energy of the light hole and heavy hole. The difference in the measured peak wavelengths attributed to these two bands on (311)B was 1.6 meV larger than on (100). We also measured the orientation dependence on (311)B using a polarizer. Figure 4 shows the orientation dependence of PL spectrums along  $[0\bar{1}\bar{1}]$  and  $[\bar{2}3\bar{3}]$ . The intensity along  $[0\bar{1}\bar{1}]$  was 8.4% below that along  $[\bar{2}3\bar{3}]$ .

From the above results, we confirmed that the VCSEL structure can be grown on (311)B with the same quality of that grown on (100), and that it also has an anisotropic optical gain. We have fabricated top-emitting  $0.85 \mu\text{m}$   $8 \times 8$  VCSEL arrays on an  $n$ -GaAs (311)B substrate. The bottom and top DBRs consisted of 37.5 and 21  $\text{Al}_{0.15}\text{Ga}_{0.85}\text{As}/\text{Al}_{0.9}\text{Ga}_{0.1}\text{As}$  pairs ( $\text{Al}_{0.98}\text{Ga}_{0.02}\text{As}$  is used at the layers near the active region and GaAs at the top contact

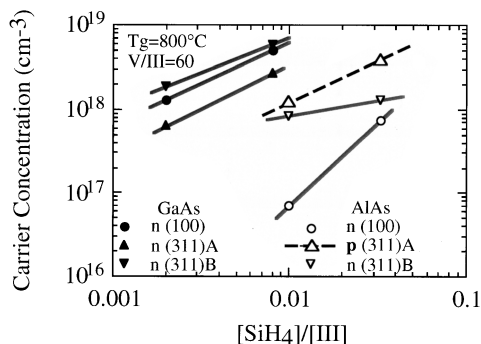


FIG. 3. Carrier concentration of GaAs and AlAs on (311)B, (100), and (311)A as a function of the mole fraction of  $\text{SiH}_4$  to the group III sources.

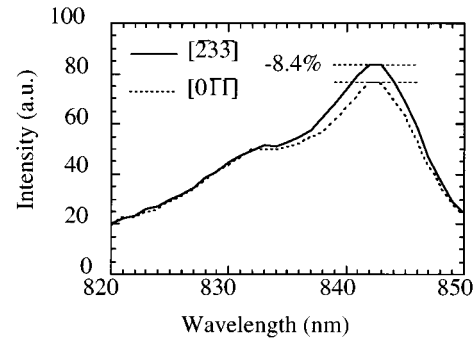


FIG. 4. PL spectrums from the  $[0\bar{1}\bar{1}]$  and  $[\bar{2}3\bar{3}]$  polarized directions.

layer) with heterointerface grading. Their carrier concentration is around  $1 \times 10^{18} \text{ cm}^{-3}$ . The active region has three GaAs/ $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$  quantum wells (QWs). The cavity thickness is one wavelength long ( $\lambda$ -cavity). Cylindrical mesas with a  $20 \mu\text{m}$   $\phi$  active layer were formed by  $\text{Cl}_2$ -based reactive ion beam etching. The structures were then planarized with polyimide and coated by  $\text{SiO}_2$ . Au/Zn/Ni and Au/Ge/Ni were deposited for the  $p$  and  $n$  electrodes. The pitch of each element in the array was  $250 \mu\text{m}$ .

Figure 5 shows the typical current-light ( $I$ - $L$ ) and current-voltage ( $I$ - $V$ ) characteristics and also shows the polarization dependence of  $I$ - $L$ . In an  $8 \times 8$  VCSEL array under cw operation at RT, the maximum power was  $4.05 \pm 1.24 \text{ mW}$ , the threshold current ( $I_{\text{th}}$ ) was  $9.64 \pm 1.12 \text{ mA}$ , the threshold voltage ( $V_{\text{th}}$ ) was  $2.05 \pm 0.20 \text{ V}$ , the differential resistance was  $44 \pm 17 \Omega$  at  $I_{\text{th}}$ , and the emission wavelength was  $859.6 \pm 3.3 \text{ nm}$  at  $1.2 \times I_{\text{th}}$ . The polarization directions of VCSELs in a  $8 \times 8$  array were within  $30^\circ$  along  $[\bar{2}3\bar{3}]$  ( $0^\circ$ ). The selectivity of polarization is sufficient up to the total output power of 4 mW. At 20 mA, the power ratio of  $0^\circ$  to  $90^\circ$  was 15.0 dB where the total power was 3.9 mW.

Compared with the conventional (100) VCSELs we fabricated, the (311)B ones are not significantly different; the maximum powers exceed 1 mW and the threshold current densities ( $J_{\text{th}}$ ) are  $1\text{--}4 \text{ kA/cm}^2$ . The slightly higher  $J_{\text{th}}$  ( $3.1 \text{ kA/cm}^2$ ), compared with (100), is considered to be due to the lower reflectivity of the top-DBR and the longer cavity length (the emission wavelength at  $I_{\text{th}}$  was longer than the designed optical cavity length of 850 nm). It is noted that the threshold voltage is equivalent to that on (100) (2.6 V at  $I_{\text{th}}$  of 4.7 mA has been reported by our group in VCSELs on

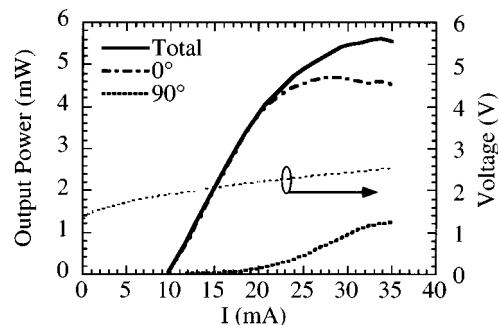


FIG. 5. Output power and voltage as a function of current in cw mode at RT, where the  $[\bar{2}3\bar{3}]$  direction is defined as  $0^\circ$ .

(100)).<sup>7</sup> This indicates that the resistance of *p*-DBR on (311)B is as low as that on (100). From the above results, the performances of VCSELs on (311)B are confirmed to be on the same level as those on (100).

In summary, the MOCVD growth conditions for VCSELs on GaAs (311)B substrates have been examined, and the proper growth conditions for C-doped DBRs have been found. The 0.85  $\mu\text{m}$   $8 \times 8$  VCSEL array showed good device characteristics—low threshold voltage of 2.1 V and high maximum power of 4.1 mW, quite similar to the characteristics of VCSELs grown on (100). The polarization directions of each element in the  $8 \times 8$  array were stable and in an orderly direction along  $[\bar{2}33]$ .

The authors would like to acknowledge K. Tada, H. Takenouchi, and S. Matsuo for their help in VCSEL fabrication and measurement and Dr. Y. Kadota and Dr. J. Temmyo for

their helpful discussions. They also wish to thank Dr. H. Iwamura for his continuous encouragement.

- <sup>1</sup>Y. M. Wong, D. J. Muehlner, C. C. Faudskar, D. K. Lewis, P. J. Anthony, M. Bendett, D. M. Kuchta, and J. D. Crow, *IEEE J. Lightwave Technol.* **LT13**, 995 (1995).
- <sup>2</sup>T. Yoshikawa, H. Kosaka, M. Kajita, Y. Sugimoto, and K. Kasahara, *Appl. Phys. Lett.* **66**, 908 (1995).
- <sup>3</sup>M. Takahashi, P. Vaccaro, K. Fujita, T. Watanabe, T. Mukaiharu, F. Koyama, and K. Iga, *IEEE Photonics Technol. Lett.* **8**, 737 (1996).
- <sup>4</sup>Y. Kaneko, S. Nakagawa, T. Takeuchi, D. E. Mars, N. Yamada, and N. Mikoshiba, *Electron. Lett.* **31**, 805 (1995).
- <sup>5</sup>K. Tateno, Y. Kohama, and C. Amano, *J. Cryst. Growth* **172**, 5 (1997).
- <sup>6</sup>R. Bhat, C. Caneau, C. E. Zah, M. A. Koza, W. A. Bonner, D. M. Hwang, S. A. Schwarz, S. G. Menocal, and F. G. Favire, *J. Cryst. Growth* **107**, 772 (1991).
- <sup>7</sup>Y. Ohiso, Y. Kohama, and T. Kurokawa, *Jpn. J. Appl. Phys.* **1** **34**, 6073 (1995).