

Theoretical Study of the 15- and 17-Electron Structures of Cyclopentadienylchromium(III) and Cyclopentadienylmolybdenum(III) Complexes. Dichloride and Dimethyl Compounds

Ivo Cacelli[†]

Scuola Normale Superiore, Piazza dei Cavalieri 7, I-56126, Pisa, Italy

D. Webster Keogh[‡]

Department of Chemistry and Biochemistry, University of Maryland, College Park, Maryland 20742

Rinaldo Poli[§]

Laboratoire de Synthèse et d'Electrosynthèse Organométallique, Université de Bourgogne, Faculté des Sciences "Gabriel", 6 Boulevard Gabriel, F-21100, Dijon, France

Antonio Rizzo*

Istituto di Chimica Quantistica ed Energetica Molecolare del Consiglio Nazionale delle Ricerche, Via Risorgimento 35, I-56126 Pisa, Italy

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The structure and the energetics of the model systems $\text{CpMX}_2(\text{PH}_3) + \text{PH}_3 \rightleftharpoons \text{CpMX}_2(\text{PH}_3)_2$ (Cp = cyclopentadienyl; M = Cr, Mo; X = Cl, CH_3) are studied by performing Møller–Plesset second order (MP2) and density functional theory (DFT) calculations. Extended basis sets are employed in the geometry optimizations. The results indicate that the structural preference can be traced back to the competition between electron pairing stabilization and M–P bond dissociation energy along the spin doublet surface. At all levels of calculation, the energy splitting, a measure of the cost of pairing the electron during the promotion process from the quartet ground state to the excited doublet state for $\text{CpCrX}_2(\text{PH}_3)$, is found to be on average 15–20 kcal/mol greater than the energy gain associated with the formation of the new Cr– PH_3 bond along the spin doublet surface. For the analogous Mo chloride system the reverse appears to be true, the products with higher coordination being energetically favored by 10–12 kcal/mol. These data are in agreement with experimental evidence.

Introduction

Coordination compounds containing soft carbon-based, π -acidic ligands are most commonly found in low oxidation states, where the strongly covalent metal–ligand interactions typically enforce the 18-electron rule and a spin-paired ground state. The formation of these bonds is energetically more favored than the spin pairing on the atomic d^n configuration. Qualitative observations, however, indicate a more delicate balance between these two energetic stabilizations in higher oxidation state complexes. Experimentally relevant systems have seldom been investigated by computational methods.¹

Systems that present a particularly interesting structural difference are the half-sandwich cyclopentadienyl (Cp) complexes of the group 6 metals Cr and Mo in the oxidation state III. While it is experimentally established that the Cr(III) complexes always adopt a “three-legged piano stool” structure with an $S = 3/2$ ground state [types CpCrX_3^- , CpCrX_2L , CpCrXL_2^+ , or CpCrL_3^{2+} , where X = one-electron ligand and L = two-electron ligand],² the corresponding Mo(III) complexes always show a “four-legged piano stool” structure [types CpMoX_2L_2 , CpMoXL_3^+ , and CpMoL_4^{2+}] and an $S = 1/2$ ground state.³ In the valence-electron formalism, one can say

that Cr(III) prefers the 15-electron arrangement, with 12-electrons being donated by the ligand set and three additional electrons occupying the metal-based orbitals (related to the t_{2g} set in octahedral symmetry) in a parallel fashion, to give rise to a spin quartet ground state. Mo(III) forms instead 17-electron complexes, in which the additional metal–ligand interaction relative to the Cr(III) complexes forces the electron pairing in order to vacate the necessary orbital.

In a recent experimental study,⁴ adducts of the CpCrX_2 (X = Cl, CH_3) fragments with a series of bidentate ligands, i.e., $\text{Me}_2\text{PCH}_2\text{PMe}_2$ (dmpm), $\text{Me}_2\text{PCH}_2\text{CH}_2\text{PMe}_2$ (dmpe), and $\text{Ph}_2\text{PCH}_2\text{CH}_2\text{PPh}_2$ (dppe) (Me = CH_3), were described. It was noted that the presence of a second donor atom in the neutral ligand and the consequent entropic “chelate effect” is not sufficient to win the resistance of the Cr(III) center to the electron pairing process. It was also pointed out that the difference in sterics (the smaller size of Cr^{3+} with respect to Mo^{3+}) and trends in the metal–ligand bond strengths (the general increase of the metal to ligand bond dissociation energy upon descending a group of transition metals⁵) cannot fully explain this behavior.

This type of problem seems to be well suitable for computational analysis. Modern computational chemistry has developed methods and algorithms which make it more and more powerful and close to the needs and problems of the everyday experiment. Quite sophisticated approaches, for example Møller–Plesset perturbation theory (MPx, $x = 2, 3, \dots$)⁶ or the various applications of density functional theory (DFT),⁷ can almost

* Corresponding author. E-mail: rizzo@hal.icqem.pi.cnr.it.

[†] E-mail: ivo@hal.icqem.pi.cnr.it.

[‡] Present address: Los Alamos National Laboratory, CST-7, MS G739, Los Alamos, NM 87545. E-mail: wkeogh@lanl.gov.

[§] E-mail: poli@u-bourgogne.fr.

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routinely be applied to obtain reliable structural and energetic data in "large" molecular systems. So-called "direct" techniques, exploiting the rapid advances in the architecture of modern central processing units (CPUs), combined with the fast decrease in the cost of mass memory, make the use of *ab initio* computational chemistry for molecular systems with hundredths or even thousands of electrons affordable, even from "small" desktop and personal computers. In particular, the study of the transition metal systems with *ab initio*⁸ or DFT⁹ techniques has seen a flourish of interest in recent years.

In a preliminary communication,¹⁰ the results of DFT (BLYP) calculations with full geometry optimization of the PH_3 addition to $\text{CpMCl}_2(\text{PH}_3)$ have been presented. Quite different results for $M = \text{Cr}$ and Mo , in accord with the experimentally established stability trends, were obtained. The calculations point to the paramount importance of electron pairing energy: a sizable amount of energy must be spent to promote the ground state $^4A''$ $\text{CpCrCl}_2(\text{PH}_3)$ to the $^2A'$ excited state, and only a fraction is regained upon formation of the second $\text{Cr}-\text{PH}_3$ bond. The cost of pairing the electrons in $\text{CpMoCl}_2(\text{PH}_3)$, on the other hand, is much less and the bond formation energy along the spin doublet surface far larger. The relevance of electron pairing energy as a stabilizing factor for the general class of open-shell organometallics was thus pointed out.

In this paper we extend the analysis by refining the results of ref 10 and by extending the study to the dimethyl derivative of the cyclopentadienyl chromium system. There is experimental evidence that the corresponding dimethyl derivatives of molybdenum are unstable, rapidly decomposing with elimination of a methyl radical.¹¹ The use of extended basis sets, which include diffuse and polarization functions to improve the description of the metal–ligand bonds, and a comparison of different electron correlated approaches (*ab initio* vs "semi-empirical", MP2 vs DFT) guarantee a good stability and reliability of the results. Geometries were fully optimized. The resulting structural parameters, together with the energy differences, allow conclusions to be drawn on the relative stability of the systems under study.

The techniques used here to gain information on the electronic structure and geometrical arrangement of transition metal complexes are quite routinely employed by several groups. The applications of DFT to the study of transition metal complexes are for instance widely exploited by Ziegler and co-workers, also with emphasis on properties.¹² The literature on the use of Møller–Plesset perturbation theory in the realm of computational chemistry is vast, and although it would be difficult and impractical to select here relevant and pertinent references, see ref 8 for examples. Some recent work by Bauschlicher and co-workers (see e.g. ref 13), by Morokuma and his group (see, e.g. ref 14), and by Schwarz and collaborators (see e.g. ref 15) bear some similarities to the computational scheme employed here. As examples of very recent papers on topics quite close to those discussed here, see refs 16 and 17. Su and Chu¹⁶ studied the addition of CH_4 to some 16-electron cyclopentadienyl complexes of the VIII group transition metals (Ru , Os , Rh , Ir , Pd , Pt), resorting to a computational approach similar to ours. They employed MP2, MP4, and DFT-B3LYP geometry optimization schemes, showing a slight preference for the latter, although qualitatively correct results could also be obtained with MP2. Schmid¹⁷ used both MP2 and DFT-LDA to study rhodium-catalyzed hydroformylation reactions involving the dissociation of phosphines. According to their observations, DFT proves to be very efficient in furnishing accurate geometries and bond energies at a fraction of the cost of more

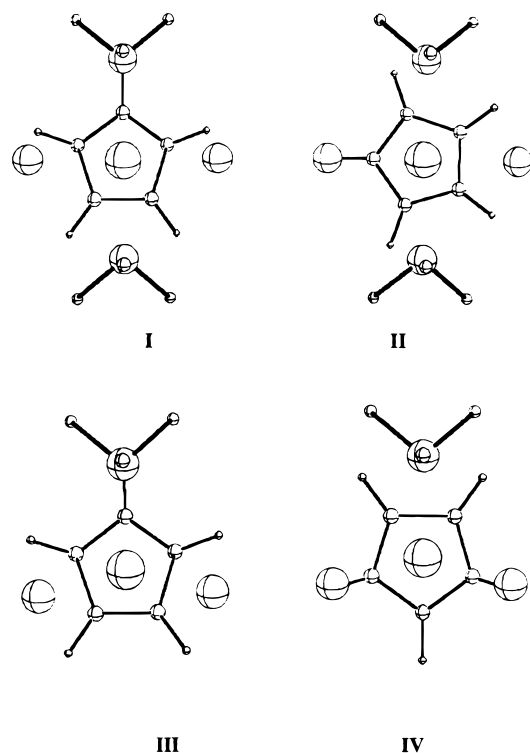
sophisticated—CCSD(T)—methods, while MP2 overestimates bond energies in some cases by as much as 100%.

Computational Details

All the calculations were performed using GAUSSIAN94.¹⁸ Møller–Plesset second-order (MP2)¹⁹ complete geometry optimizations were performed employing the LanL2DZ basis set, which includes both Dunning and Hay's D95 sets for H and C²⁰ and the relativistic electron core potential (ECP) sets of Hay and Wadt for the heavy atoms.²¹ Electrons outside the core were all those of H and C atoms, the *ns*, *np*, *nd* and $(n + 1)s$ electrons in Cr ($n = 3$) and Mo ($n = 4$) and the 3s, 3p electrons in Cl and P. Calculations were also performed with the smaller LanL1DZ set, where the *ns*, *np* orbitals ($n = 3$, Cr; $n = 4$, Mo), which are of radial extension comparable to that of the outer d shell orbitals, are left in the core.

The LanL2DZ set was also employed to perform complete geometry optimization with a DFT approach. The Becke (B) exchange functional,²² including gradient of the density corrections to Slater's local spin density exchange²³ together with the Lee, Yang, and Parr (LYP) correlation functional²⁴ were employed. To improve our description and to obtain as quantitative as possible estimates for the energies and geometries, the LanL2DZ basis set was further gradually extended, by decontracting inner functions and adding diffuse and polarization correlation functions, to reach our largest set (labeled "basis V" here) arranged as follows: the s, inner p, and d function on the heavy atoms of the LanL2DZ set were decontracted, thus yielding a valence $\langle 5s\ 4p\ 3d \rangle$ set. The innermost p functions in the expansion sets for C, P and Cl were also decontracted. Two d functions (exponents 1.2, 0.53) were added to the basis for C, a p (exponent 1.21) and two d (exponents 0.88, 0.37) functions were added to the set for P, and a p (exponent 2.0) and two d (exponents 1.1, 0.5) functions were added to the set for Cl. Basis V was employed to perform DFT geometry optimizations on the structures of interest. In this case the three-parameter form of the Becke, Lee, Yang and Parr functional (B3LYP),²⁵ including exact-exchange terms, was also used. Becke's three-parameter semiempirical exchange functional was originally obtained by fitting the atomization energies, ionization potentials and proton affinities in the systems of the so-called G1 database,²⁶ a group of atomic and molecular systems of the first and second row, and nowadays it is widely employed and singled out among the several density functionals available in the literature. Its use for the study of transition metal complexes, where it proves to be reliable both in the geometry optimization and in energy calculations, has become more and more customary; see for instance ref 14b, and references therein, and the very recent ref 16. On the other hand, it has been observed that B3LYP reveals "a systematic shortcoming (...) in the description of weakly bound complexes",^{15b} where binding energies can be often overestimated. A comparison of the results obtained in both the BLYP and B3LYP approximations will be made later in the paper. Due to the substantial equivalence of the results obtained with the MP2 and DFT approaches and to the higher computational cost of MP2 vs DFT, no MP2 geometry optimization was carried out with basis V. The five different geometry optimization calculations will hereafter be labeled as L1–MP2 (basis LanL1DZ, MP2), L2–MP2 (basis LanL2DZ, MP2), L2-BLYP (basis LanL2DZ, DFT-BLYP), V-BLYP (basis V, DFT-BLYP) and V-B3LYP (basis V, DFT-B3LYP). A C_s symmetry arrangement was imposed. For the study of the PH_3 addition to form the 17-electron four-legged piano-stool species, of the two possible configurations for the 17-electron $\text{CpCrX}_2(\text{PH}_3)_2$

CHART 1:



(cis and trans with respect to the four ligands defining the legs of the “stool”), only the trans configuration was considered, since this is the only observed structure for the analogous Mo-(III) complexes when monodentate ligands are utilized.³ We distinguish systems having symmetry equivalent chlorine (I) or phosphine (II) ligands for the 17-electron species. Both possible conformations of the 15-electron $\text{CrMX}_2(\text{PH}_3)$ system having an “eclipsed” (III) or “staggered” (IV) conformation, respectively, have been used for the calculations (Chart 1). The difference in energy between these two structures was found to be in general quite small (see below). Only results for the lowest energy conformation are reported in the Discussion and in the tables.

The mean values of the spin of the electronic wave functions, which are not exact eigenstates of the \mathbf{S}^2 operator for unrestricted calculations, were considered suitable to identify unambiguously

the spin state. Spin contamination was carefully monitored and the energies shown in the next sections correspond to unrestricted MP2 or unrestricted BLYP, B3LYP calculations. Spin contamination was generally smaller in the DFT than in the MP2 calculations, with the first-order perturbed wave function in the latter case showing roughly the same degree of spin contamination as the zeroth-order reference wave function. An exception was the 15-electron $\text{CpCr}(\text{CH}_3)_2(\text{PH}_3)$ system in the excited doublet state, where MP2 and DFT optimizations led to states with different occupation numbers for the α and β spin orbitals, as discussed in detail below.

The calculations were carried out on an RISC 6000 590H workstation of the ICQEM/CNR in Pisa, Italy, and on the DEC/Alphastation 250 at the University of Maryland. Each geometry optimization with our largest basis set required several hours of CPU time. In this respect the chromium systems proved to be much more delicate and demanding than the molybdenum ones. They often needed careful monitoring of the convergence patterns and special care in the choice of the starting geometry.

Results

Calculations were carried out on the model systems $\text{CpCrX}_2(\text{PH}_3)_n$ ($n = 1, 2$; $\text{X} = \text{Cl}$ and CH_3) and $\text{CpMoCl}_2(\text{PH}_3)_n$ ($n = 1, 2$). For the 15-electron ($n = 1$) systems, the energies and structures were determined for both the spin doublet and the spin quartet states. The energy of the doublet 17-electron ($n = 2$) system was calculated with respect to the 15-electron system and PH_3 at infinite distance. No transition states or reaction paths were actually determined. To obtain the relative energies, a geometry optimization of the free phosphine ligand was carried out. The geometry was fully optimized both at the MP2 (L1-MP2, L2-MP2) and DFT (L2-BLYP, V-BLYP, V-B3LYP) levels of approximation for each system. Complete structural and energetic data are available in tabular form from the authors for all five calculations. For the sake of conciseness, we report in the tables only the results obtained with our largest basis set (basis V), while relevant parameters for all calculations are discussed with the aid of appropriate Figures.

A. Chloride Systems. Tables 1 and 2 summarize the results obtained using our largest basis set (basis V) both at the DFT-BLYP and DFT-B3LYP levels of approximation for the energies and structural parameters of the 15-electron ($^2\text{A}'$ and $^4\text{A}''$)

TABLE 1: Basis V. DFT-Optimized Geometries and Energies of $\text{CpCrCl}_2(\text{PH}_3)_n$ ($n = 1$ or 2)^a

	expt. ^b	V-BLYP			V-B3LYP		
		15-e ⁻ eclipsed (III)		17-e ⁻ (I) equiv Cl	15-e ⁻ eclipsed (III)		17-e ⁻ (I) equiv Cl
		<i>S</i> = 1/2	<i>S</i> = 3/2		<i>S</i> = 1/2	<i>S</i> = 3/2	
Cr–Cl	2.281(2) 2.295(2)	2.270	2.283	2.415	2.261	2.278	2.401
Cr–P	2.410(2)	2.399	2.476	2.422(10)	2.415	2.489	2.421(6)
CNT–Cr	1.882(7)	1.877	1.949	1.880	1.866	1.929	1.874
Cl–Cr–Cl	100.08(8)	105.12	101.78	116.26	106.20	102.08	116.50
Cl–Cr–P	92.80(7) 88.59(7)	86.46	86.53	77.36(9)	85.23	85.28	77.34(4)
P–Cr–P				131.02			130.76
CNT–Cr–Cl	122.5(2) 124.8(2)	124.63	123.23	121.85	124.44	123.35	121.73
CNT–Cr–P	119.3(2)	116.64	125.21	114.49(182)	117.58	126.56	114.62(166)
Cr–CNT–Cp(plane)		85.26	89.37	85.53	86.22	89.44	86.46
E (au)		−317.95838	−317.99073	−326.23068	−318.21110	−318.25479	−326.53127
relative <i>E</i> (kcal/mol)		20.30	0	13.57	27.42	0	19.06

^a $E(\text{PH}_3)$: -8.26158 au (V-BLYP), -8.30685 au (V-B3LYP). The 15-electron systems are with the Cp ring in an eclipsed conformation; the 17-electron systems are with two equivalent chlorine ligands. CNT indicates the centroid of the cyclopentadienyl ring. Cp(plane) indicates the least square plane containing the Cp ring. Distances are in Å and angles in degrees. ^b $\text{CpCrCl}_2(\text{dmpm})$, ref 4.

TABLE 2: Basis V. DFT-Optimized Geometries and Energies of $\text{CpMoCl}_2(\text{PH}_3)_n$ ($n = 1$ or 2)

	expt. ^b	V-BLYP			V-B3LYP		
		15-e ⁻ staggered (IV)		17-e ⁻ (II) equiv PH ₃	15-e ⁻ staggered (IV)		17-e ⁻ (II) equiv PH ₃
		$S = 1/2$	$S = 3/2$		$S = 1/2$	$S = 3/2$	
Mo–Cl	2.468(2) 2.474(2)	2.391	2.413	2.515	2.388	2.404	2.501
Mo–P	2.484(2) 2.481(2)	2.463	2.566	2.514	2.468	2.571	2.504
CNT–Mo	1.938(7)	2.064	2.074	2.015	2.000	2.056	2.002
Cl–Mo–Cl	125.14(7)	123.80	97.60	120.26	110.25	97.85	119.07
Cl–Mo–P	79.01(6), 79.59(6) 80.25(6), 79.41(6)	83.23	86.05	77.86(44)	85.42	84.46	77.68(37)
P–Mo–P	133.66(6)			130.02			130.22
CNT–Mo–Cl	117.42(3)	113.97	123.92	119.87(97)	122.54	124.13	120.46(75)
CNT–Mo–P	113.16(3)	134.90	128.09	114.99	117.87	129.80	114.89
Mo–CNT–Cp(plane)		86.78	89.43	84.62	86.20	89.86	85.09
E (au)		–299.14998	–299.15328	–307.43115	–299.42854	–299.43854	–307.76178
relative E (kcal/mol)		12.31	10.24	0	16.56	10.28	0

^a $E(\text{PH}_3)$: –8.26158 au (V-BLYP), –8.30685 au (V-B3LYP). The 15-electron systems are with the Cp ring in a staggered conformation; the 17-electron systems are with two equivalent phosphine ligands. CNT indicates the centroid of the cyclopentadienyl ring. Cp(plane) indicates the least square plane containing the Cp ring. Distances are in Å and angles in degrees. ^b $\text{CpMoCl}_2(\text{PMe}_3)_2$, ref 3b.

TABLE 3: Basis V. DFT Optimized Geometries and Energies of $\text{CpCr}(\text{CH}_3)_2(\text{PH}_3)_n$ ($n = 1$ or 2)^a

	expt ^b	V-BLYP			V-B3LYP		
		15-e ⁻ eclipsed (III)		17-e ⁻ (I) equiv. C(CH ₃)	15-e ⁻ eclipsed (III)		17-e ⁻ (I) equiv C(CH ₃)
		$S = 1/2$	$S = 3/2$		$S = 1/2$	$S = 3/2$	
Cr–C (CH ₃)	2.067(5)	2.092	2.090	2.210	2.074	2.075	2.181
Cr–P	2.426(2)	2.385	2.466	2.354(7)	2.422	2.483	2.355(5)
CNT–Cr	1.948	1.993	2.028	1.894	1.981	2.015	1.892
C (CH ₃)–Cr–C (CH ₃)	92.8(3)	107.52	94.74	121.53	103.76	94.83	120.59
C (CH ₃)–Cr–P	91.5(2)	85.67	89.82	77.98(26)	85.37	88.80	77.82(20)
P–Cr–P				129.54			129.58
CNT–Cr–C (CH ₃)	122.5	119.71	123.21	119.22	121.39	123.55	119.70
CNT–Cr–P	126.6	130.38	126.31	115.23(104)	129.99	127.01	115.21(103)
Cr–CNT–Cp (plane)		89.85	87.16	86.46	89.11	87.46	87.14
E (au)		–367.75538	–367.78115	–376.01991	–367.99101	–368.02417	–376.29582
relative E (kcal/mol)		16.17	0	14.32	20.81	0	22.09

^a $E(\text{PH}_3)$: –8.26158 au (V-BLYP), –8.30685 au (V-B3LYP). The 15-electron systems are with the Cp ring in an eclipsed conformation the 17-electron systems are with two equivalent methyl ligands. CNT indicates the centroid of the cyclopentadienyl ring. Cp(plane) indicates the least square plane containing the Cp ring. Distances are in Å and angles in degrees. ^b $\text{Cp}^*\text{Cr}(\text{CH}_3)_2(\text{PMe}_3)$, $\text{Cp}^* = \text{C}_5\text{Me}_5$, ref 2c.

$\text{CpCrCl}_2(\text{PH}_3)$ and $\text{CpMoCl}_2(\text{PH}_3)$ species and of the corresponding 17-electron (²A') $\text{CpCrCl}_2(\text{PH}_3)_2$ and $\text{CpMoCl}_2(\text{PH}_3)_2$ species.

Absolute energies (valence electron only—the contribution of the electrons in the ECP shells is omitted) and relative energies for the system $\text{CpMCl}_2(\text{PH}_3) + \text{PH}_3 \rightleftharpoons \text{CpMCl}_2(\text{PH}_3)_2$ are reported. Average computed distances and angles are shown for symmetry nonequivalent atoms, with the deviation from average given in parentheses. The results for only one of the two possible conformations (I or II for 17-electron systems, III or IV for 15-electron systems) with respect to rotation of the cyclopentadienyl ring, i.e., that of lowest energy for each case, is reported. In the case of Cr, the ⁴A'' 15-electron eclipsed conformation (III) is energetically favored relative to the staggered conformation (IV) by less than $1/10$ mH (0.05 kcal/mol, estimated at the L2-BLYP level of approximation), while the two conformations are practically isoenergetic for the ²A' state. With a few remarkable exceptions (which will be briefly discussed later) the same applies to all systems studied here. Thus, the conclusions of our study are generally not affected by the rotational conformation of the cyclopentadienyl ring. Experimentally, the rapid rotation of Cp rings around the Cp–M axis in compounds of low symmetry generates a single resonance in the ¹H and ¹³C NMR spectra at all temperatures, indicating a rotation barrier of a few kilocalories/mole at the most.²⁷

Experimental structural data with standard deviations from X-ray diffraction studies of relevant compounds are also listed in the tables for comparison. These are the $\text{CpCrCl}_2\text{--dmpm}$ complex for the 15-electron, spin quartet Cr system⁴ and the $\text{CpMoCl}_2(\text{PMe}_3)_2$ complex for the 17-electron spin doublet Mo system.^{3b}

B. Methyl Systems. Table 3 lists both the V-BLYP and V-B3LYP results obtained for the energies and structural parameters of the 15-electron (²A'' and ⁴A'') $\text{CpCr}(\text{CH}_3)_2(\text{PH}_3)$ species and of the corresponding 17-electron doublet (²A') $\text{CpCr}(\text{CH}_3)_2(\text{PH}_3)_2$ system.

Absolute (valence electrons only) energies and relative energies for the system $\text{CpCr}(\text{CH}_3)_2(\text{PH}_3) + \text{PH}_3 \rightleftharpoons \text{CpCr}(\text{CH}_3)_2(\text{PH}_3)_2$ are reported. The corresponding species involving molybdenum are not thermally stable systems¹¹ and were not studied on this occasion. Experimental references for bond and angles were taken in this case from compound $\text{Cp}^*\text{Cr}(\text{CH}_3)_2\text{P}(\text{CH}_3)_3$ system, $\text{Cp}^* = \eta^5\text{-C}_5(\text{CH}_3)_5$.^{2c} Another relevant crystallographically determined dimethyl compound is $[\text{CpCr}(\text{CH}_3)_2]_2(\mu\text{-dppe})$,⁴ but severe crystallographic disorder makes this compound less suitable for the comparison of metric parameters.

We stress here that in view of the qualitative agreement of the results obtained in the LanL2DZ basis set with the MP2 and DFT approximations, the large and expensive geometry

optimizations runs with basis V were performed only in the less CPU-intensive DFT approach.

Discussion

A. Electronic Structure. The 15-electron $\text{CpMX}_2(\text{PH}_3)$ complexes are calculated to have a spin quartet ground state in each case. This state involves the occupation of three metal-centered orbitals (labeled $1a'$, $1a''$ and $2a'$) by the three unpaired electrons. These three orbitals correspond to the pseudo- t_{2g} set of the ideal isolobal octahedral ML_6 complex where three monodentate L ligands replace the Cp ring; they also correspond to the three frontier orbitals of the generic CpML_3 fragment as described by Albright et al.²⁸ These three orbitals are almost purely metal-based, with only minimal contributions from atomic orbitals of the ligands. There is therefore a very small contribution, according to our calculations, both of the X–M σ ($<0.1\%$) and X–M π -donation ($<0.01\%$) in both Cr and Mo systems.

The doublet 15-electron state was generally found in the $(1a')^1(1a'')^2$ electronic configuration. A remarkable exception was found for the $\text{CpCr}(\text{CH}_3)_2(\text{PH}_3)$ system, where MP2 and DFT produced doublet ground states of different symmetry. The former led to a $(1a')^1(1a'')^2$ dominated doublet ground state. The doublet ground-state wave function in the DFT approach corresponds to a $(1a'_\beta)^1(1a''_\alpha)^1(2a'_\alpha)^1$ configuration, where the subscripts were appended to distinguish between different spin orbitals. This is due to a near degeneration of the spin orbitals involved, and it highlights an interesting advantage of DFT vs MP perturbation theory in these cases, where a perturbative treatment of electronic correlation starting from a single determinant which is nearly degenerate with excited configurations might easily lead to error. Note that the two configurations are in our case of different spatial symmetry, and that they do not interact in the perturbation expansion.

Insight on the electronic structure of the systems under study is given by the analysis of the electron density maps shown in Figures 1–3.

The first two figures show projections of the electron density ($P^\alpha + P^\beta$, Figure 1) and excess spin density ($P^\alpha - P^\beta$, Figure 2) on a spherical surface of radius 0.75 Å centered on the Cr nucleus for the $^2A'$ and $^4A''$ states of $\text{CpCrCl}_2(\text{PH}_3)$. The distance corresponds approximately to the radial maximum of the d metal orbitals. The densities were obtained using the Kohn–Sham orbitals^{29,30} of the V-BLYP calculation. The molecular symmetry plane including a carbon atom of the Cp ring, the metal, and the phosphorus is conventionally identified as the xz plane, corresponding to the central “meridian” in the figures.

The electron density varies smoothly along the whole spherical surface of the quartet, while showing more pronounced peaks and valleys in the doublet. Maxima are seen in regions as far away as possible from the metal to ligand directions. This is expected, since it leads to a reduction of the repulsion between the d orbitals of the metal and the σ orbitals of the ligands. In the quartet the larger contribution to the nonspherical electron charge distribution arises from the d_{z^2} and $d_{x^2-y^2}$ (both a') and d_{xy} (a'') orbitals, with minor contributions from the remaining d orbitals. The d_{z^2} orbital, pointing toward the centroid of the Cp ring, is singly occupied in both states, as can be argued from the excess spin density in the polar region, the upper part of Figure 2, and by the substantially equivalent electron densities of the $^2A'$ and $^4A''$ states in the same region, which is where the d_{z^2} orbital exhibits an angular maximum. On the contrary, remarkable differences appear in the equatorial region, where the $d_{x^2-y^2}$ orbital is doubly occupied in the doublet state and singly occupied in the quartet. The region roughly located

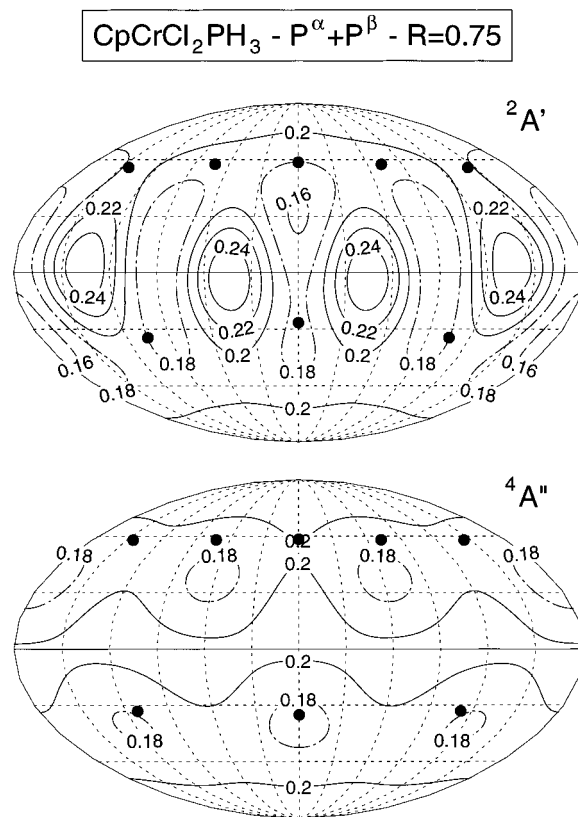


Figure 1. Contour plot of the total electron density (au) obtained using the V-BLYP Kohn–Sham orbitals at a radial distance of 0.75 Å from the metal center in the $^2A'$ (top) and $^4A''$ (bottom) states of $\text{CpCrCl}_2\text{-PH}_3$. The dots represent the projected image of the ligand atoms on the surface of the sphere (H atoms neglected). The “parallels” are traced at intervals of 30° for the polar angle θ (from 0° , top, to 180° , bottom); the “meridians” indicate the azimuthal angle φ at intervals of 30° in the 0° to 360° range. The molecular symmetry plane containing one of the carbon atoms of the Cp ring, the central metal, and the phosphorus (the xz plane) corresponds to the central “meridian”.

between the Cl atoms (left or right lower end of the south “tropics”) shows a greater charge density in the quartet than in the doublet state. This is the region which hosts the empty d orbital that will accommodate the lone pair of the incoming phosphorus atom in the PH_3 addition. Finally, the quartet densities in the two figures show a similar pattern, their difference corresponding to the (constant) spherical contribution to the electron charge density at the given radial distance from the metal. All the nonspherical contribution comes apparently from the unpaired d electrons.

In Figure 3 both the total and excess spin density of the 15-electron $\text{CpCr}(\text{CH}_3)(\text{PH}_3)$ $^2A'$ state resulting from the Kohn–Sham orbitals of the V-BLYP calculation are displayed. The total spin density has an angular behavior similar to that of the $\text{CpCrCl}_2(\text{PH}_3)$ 15-electron $^4A''$ state (cf. the bottom section of Figure 1). This is consistent with the three electrons in three different orbitals discussed above. A striking confirmation comes from the excess spin density map on the bottom part of Figure 3. Negative densities appear in two nearly equatorial lobes, the absolute value being a maximum on the xz plane. Apart from the sign, this maximum resembles that of the $^4A''$ 15-electron $\text{CpCrCl}_2(\text{PH}_3)$ complex (cf. Figure 2). Going into some detail, the β orbital is a mixing of d_{z^2} , $d_{x^2-y^2}$, and d_{xz} orbitals, and it has an a' symmetry. The other two half-filled orbitals are of a'' symmetry and essentially of d_{z^2} (with d_{xz} contributions) and d_{xz} (partly d_{yz}) character.

The electronic structure of the corresponding spin doublet 17-electron $\text{CpMX}_2(\text{PH}_3)_2$ complexes corresponds to that previ-

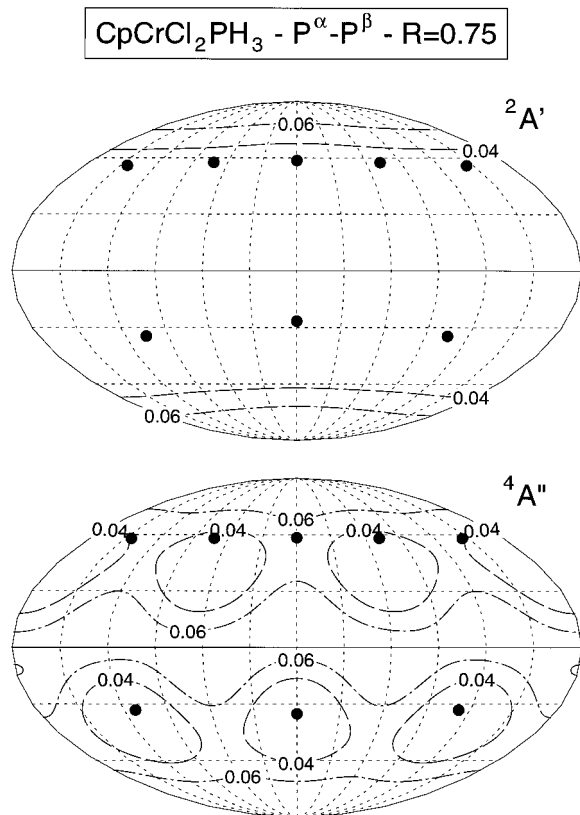


Figure 2. Contour plot of the excess electron spin density (au) obtained using the V-BLYP Kohn–Sham orbitals at a radial distance of 0.75 Å from the metal center in the $^2A'$ (top) and $^4A''$ (bottom) states of $\text{CpCrCl}_2\text{PH}_3$. Other drawing parameters are as in Figure 1.

ously described by Hoffmann.³¹ There are only two valence-shell metal-based orbitals available for the three metal electrons, these being essentially pure equatorial ($1a''$) and nearly pure polar d_{z^2} ($1a'$) pointing toward the barycenter of the Cp ring, conventionally located on the z axis. A quartet state for this system would require occupation of an orbital with metal–ligand antibonding character, resulting in the expectation of a much higher energy. For this reason, this system has only been calculated in the more reasonable (and experimentally verified) doublet state which corresponds to the orbital occupation ($1a''$)-($1a'$).¹

B. Comparison between Computational Approaches. The systems under study, involving nearly degenerate d orbitals and the determination of doublet to quartet energy splittings, are evidently not suitable for a Hartree–Fock approximation. SCF gives in this case much larger energy gaps, longer bond distances and inadequate estimates of the bond angles. Thus, even if the qualitative picture is well-outlined in the independent particle approximation, a good account of electron correlation is needed in order to get a satisfactory quantitative agreement with experiment, at least as far as structural parameters are concerned. Also, electron correlation, being more effective in the doublet state than in the quartet, has the sizable effect of reducing the energy gap between the lower quartet state and the upper doublet state in all 15-electron systems studied here, thus lowering noticeably the size of the doublet to quartet splitting. As a striking example, calculations performed with the LanL2DZ basis on the $\text{CpCrCl}_2(\text{PH}_3)$ 15-electron systems lead to a quartet–doublet energy splitting being reduced from about 62.6 kcal/mol (SCF) to about 43.3 kcal/mol upon introduction of some correlation (MP2).

B.1. Bond Distances and Bond Angles and Comparison with Experiment. For those systems having an experimentally

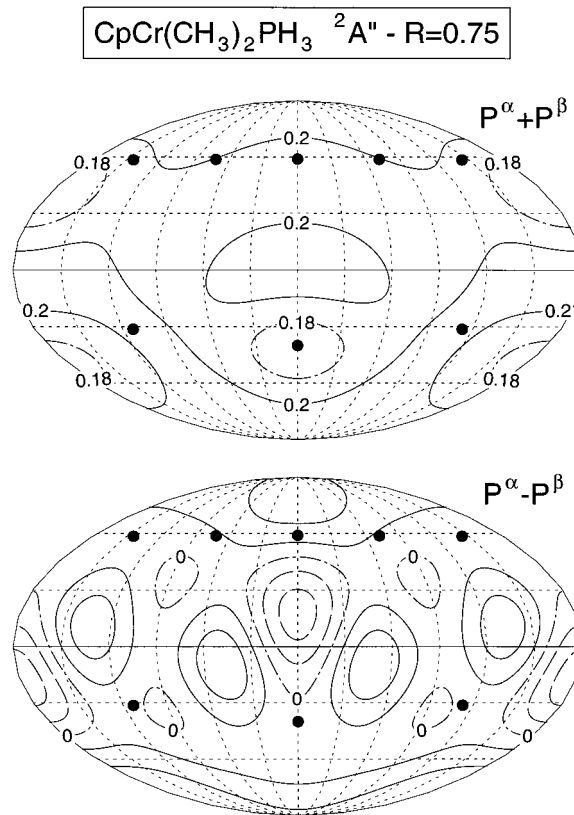


Figure 3. Contour plot of the total (top) and excess (bottom) electron spin density (au) obtained using the V-BLYP Kohn–Sham orbitals at a radial distance of 0.75 Å from the metal center in the $^2A''$ state of $\text{CpCr}(\text{CH}_3)_2\text{PH}_3$. In the lower part of the figure, only the zero-density contour lines are labeled. Dashed lines are for negative (β spin excess), full lines for positive (α spin excess) density. Contour lines are drawn at 0.03 au intervals. Other drawing parameters are as in Figure 1.

determined related structure, i.e., $^4A''$ $\text{CpCrCl}_2(\text{PH}_3)$ and $^2A'$ $\text{CpMoCl}_2(\text{PH}_3)_2$, the effect of the different basis sets and approaches on the relevant bond distances within the coordination sphere of the metal and on the bond angles involving the metal center and the ligands is schematically illustrated in Figures 4 and 5. A comparison with the available experimental values is made. Figure 6 shows the behavior of the relevant bond distances and that of the bond angles within the Cr coordination sphere for the five calculations performed here on the system $^4A''$ $\text{CpCr}(\text{CH}_3)_2(\text{PH}_3)$ system. A comparison with the experimental structural parameters of the related $\text{Cp}^*\text{Cr}(\text{CH}_3)_2(\text{PMe}_3)$ complex is included.

All optimized distances related to the metal coordination sphere for the reference states are slightly longer than the experimental values (cf. Tables and Figures 4–6). In most cases the difference between our V-BLYP or V-B3LYP distances and experiment is within a few hundredth of an angstrom. The calculated angular parameters are in general within 3° – 4° from experiment.

For the $\text{CpCrCl}_2(\text{PH}_3)$ $^4A''$ 15-electron system, a convergence pattern toward the experimental reference is discernible in the study of the bond distances (Figure 4), moving from a smaller basis set (L1–MP2) through LanL2DZ (L2–MP2 and L2-BLYP) to the largest set (V-BLYP and V-B3LYP). The behavior is smooth, and variations are minor, for the bond angles. If anything, V-BLYP—and even more V-B3LYP—appear to go in the wrong direction with respect to the other three approximations, for the P–Cr–CNT (CNT = centroid of the Cp ring) and Cl–Cr–P angles. In this case, however, a close inspection of the experimental structure reveals potential steric

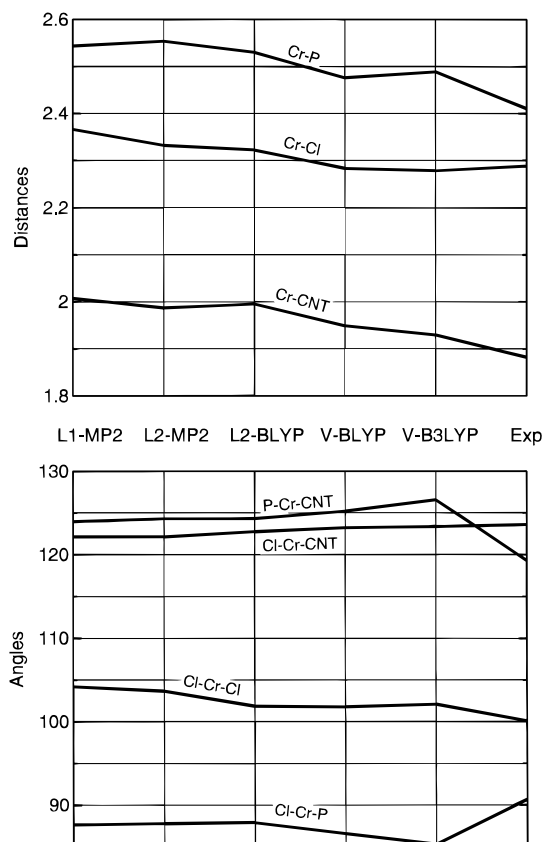


Figure 4. Optimized distances (in Å) and bond angles (in degrees) for the eclipsed (III) $4A''$ $\text{CpCrCl}_2(\text{PH}_3)$ system and comparison with the experiment ($\text{CpCrCl}_2(\text{dmpm})$, ref 4). CNT is the centroid of the Cp ring.

interactions between the uncoordinated arm of the monodentate dmpm ligand and the chlorine atoms. These interactions could be responsible for artificially increasing the experimental Cl—Cr—P angles and decreasing the CNT—Cr—P angle. In fact, the phosphine ligand is less covalently σ -bound to the metal center than Cl, thus the angular parameters related to P are predicted to be more susceptible to steric distortions than those related to Cl.³²

The corresponding $4A''$ dimethyl system, $\text{CpCr}(\text{CH}_3)_2(\text{PH}_3)$, seems to be more suitable for MP2 than for DFT (Figure 6), once again relative to the experimental reference. In this case L2—MP2 furnishes consistently shorter distances than L2—BLYP, which leads us to predict that a V—MP2 geometry optimization, if attempted, might be able to reduce the remaining gap between experiment and computation. The large gap between calculated and experimental Cr—CNT parameters may in part be due to the use of the Cp model for the Cp^* ligand. The latter is electronically a stronger donor with more expanded orbitals, and a shorter Cr— Cp^* distance may thus be the result of better Cr— Cp^* overlap. Concerning the bond angles for this compound, the agreement is once again quite good, with the more sophisticated V-BLYP and V-B3LYP providing better agreement for the P—Cr—CNT angle, while the Me—Cr—P angle is better reproduced by the L2-BLYP.

The LanL1DZ basis performs distinctly worse than the larger sets for the Cr complexes while, surprisingly, L1—MP2 appears to be closer than the more “expensive” approaches for some structural parameters in the $2A'$ $\text{CpMoCl}_2(\text{PH}_3)_2$ complex. This is particularly true for the Mo—CNT distance and for most of the bond angles (Figure 5). It seems also that MP2 is on average more adequate than DFT to reproduce the structural parameters of this Mo system. It is to be borne in mind that the experiment

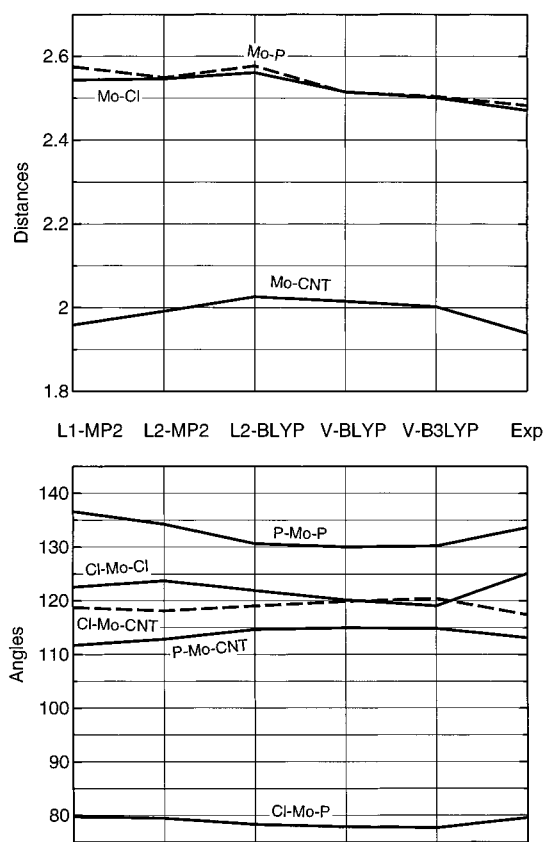


Figure 5. Optimized distances (in Å) and bond angles (in degrees) for the $2A'$ $\text{CpMoCl}_2(\text{PH}_3)_2$ system with symmetry-equivalent PH_3 ligands (II) and comparison with the experiment ($\text{CpMoCl}_2(\text{PMe}_3)_2$, ref 3b). CNT is the centroid of the Cp ring.

always refers to systems with alkyl-substituted ligands. Thus, the steric and electronic effects of these substituents on the overall structure, for instance, the ability to modify the π -donor characteristics of the Cp ligand or the σ -donor/ π -acceptor characteristics of the phosphine ligand, may be non-negligible. Indeed, the use of PH_3 as a model for alkyl-substituted ligands leads to metal to ligand bond energies that are too low.¹⁷

Stable $\text{CpCr}(\text{III})$ complexes with a doublet state configuration, either with a 15- or 17-electron configuration, and stable 15-electron $\text{CpMo}(\text{III})$ complexes, either with a doublet or quartet ground state, do not exist. The configurations optimized for $\text{CpCrX}_2(\text{PH}_3)_2$ and for $4A''$ $\text{CpMoCl}_2(\text{PH}_3)$ are quite similar to those of the known $\text{CpMoCl}_2(\text{PR}_3)_2$ and $\text{CpCrX}_2(\text{PR}_3)$ compounds, respectively.

It is interesting to analyze the structural changes associated with the spin change from the ground state $4A''$ to the excited state $2A'$ in the chlorine systems. Reference will be made here to the V-BLYP-optimized parameters. V-B3LYP behaves essentially in the same way for the Cr(III) system, while variations are less pronounced than in V-BLYP for the Mo(III) complex. The angle Cl—M—P decreases slightly (86.53° vs 86.46° in $\text{CpCrCl}_2(\text{PH}_3)$ and 86.05° vs 83.23° in $\text{CpMoCl}_2(\text{PH}_3)$), whereas the Cl—M—Cl angle increases (101.78° vs 105.12° in $\text{CpCrCl}_2(\text{PH}_3)$ and 97.60° vs 123.80° in $\text{CpMoCl}_2(\text{PH}_3)$). Also, the M—P, M—CNT, and M—Cl distances decrease. Thus the Cl ligands slightly move toward the PH_3 ligand. This change corresponds to a rearrangement of the three ligands from a three-legged piano stool toward a four-legged piano stool with a missing leg. In other words, space is made up for binding of an extra ligand in the proper position to lead to the formation of a four-legged piano stool 17-electron

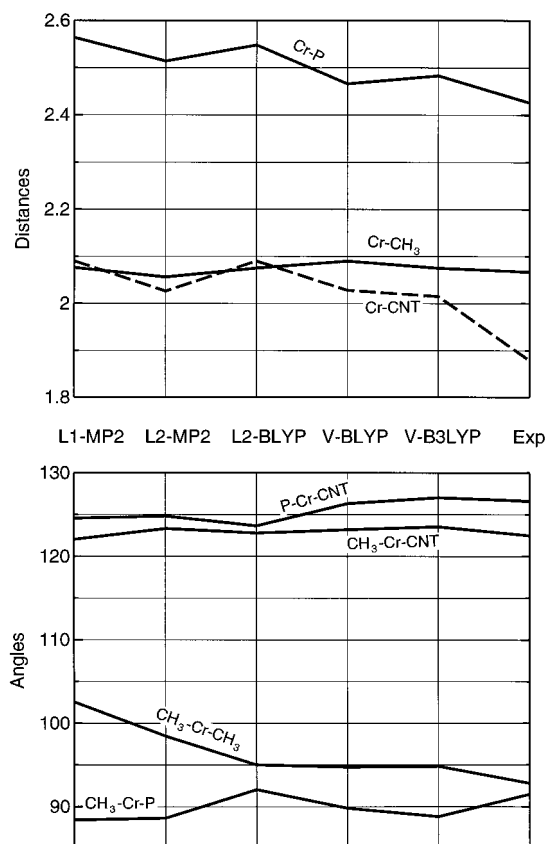


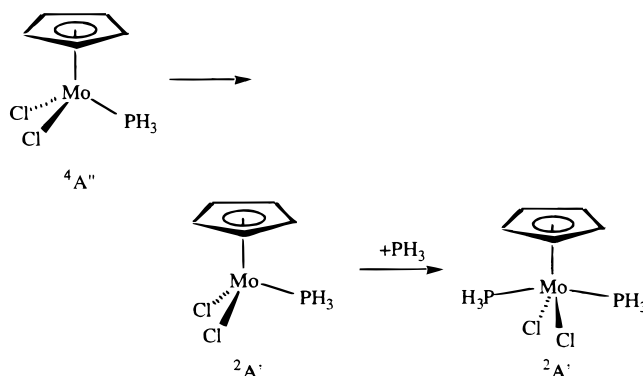
Figure 6. Optimized distances (in Å) and bond angles (in degrees) for the eclipsed (**III**) $^4A''$ $\text{CpCr}(\text{CH}_3)_2(\text{PH}_3)$ system and comparison with the experiment ($\text{Cp}^*\text{Cr}(\text{CH}_3)_2(\text{PMe}_3)$, ref 2). CNT is the centroid of the ring.

geometry. The process, which is particularly evident in the Mo(III) system, is schematically shown in an exaggerated form in Scheme 1.

It is also of some interest to compare the structures of the 15-electron and 17-electron dichloride complexes of Cr(III) and Mo(III) (Tables 1 and 2). As expected, metal–ligand distances are larger (0.1 Å on the average) for the Mo(III) complexes in view of its greater atomic radius. Note also that bond distances are consistently larger in the 15-electron quartet states with respect to the corresponding 15-electron doublet states. An inspection of Figures 1 and 2 suggests a possible explanation: the “valleys” of the charge distribution of the 15-electron doublet state allow a closer approach of the incoming ligand with respect to that permitted in the 15-electron quartet. In other words, the greater angular flexibility of the doublet allows a better penetration of metal electron density by the ligands, with minor repulsion energy between the d orbitals and the σ electrons of the ligand.

As far as bond angles are concerned, while the Cl–M–P angles have in general little dependence on the nature of the metal in both 15- and 17-electron structures, the remaining relevant angles show remarkable differences, strongly influenced also by the form of the exchange functional employed (B or B3). This strong unexpected dependence on the form of the exchange functional is related to the noticeable structural differences observed between the $^2A'$ states of the $\text{CpMoCl}_2(\text{PH}_3)$ complex in the V-BLYP and V-B3LYP calculations (see below). Thus in the V-BLYP calculation the Cl–M–Cl angle of the $^2A'$ 15-electron systems goes from 105.12° for M = Cr to 123.80° for M = Mo; the CNT–M–P in the same structure goes from 116.64° for M = Cr to 134.90° for M = Mo and CNT–M–Cl goes from 124.63° for M = Cr to 113.97° for M

SCHEME 1:



= Mo. V-B3LYP furnishes instead angles quite similar for the $^2A'$ 15-electron systems of Cr(III) and Mo(III): Cl–M–Cl (106.20° vs 110.25°), CNT–M–P (117.58° vs 117.87°) and CNT–M–Cl (124.44° vs 122.54°). It is also remarkable that while the 15-electron doublet and quartet structures of the $\text{CpCrCl}_2(\text{PH}_3)$ system are substantially similar—independent of the computational approximation—large bond angle rearrangements occur upon pairing the electrons in the $\text{CpMoCl}_2(\text{PH}_3)$ 15-electron systems in the V-BLYP calculation: for instance, the Cl–Mo–Cl angle goes from 123.80° to 97.60°, the CNT–M–Cl from 113.97° to 123.92°, and the CNT–M–P from 134.90° to 128.09°. Variations are less dramatic using B3LYP: the Cl–Mo–Cl angle goes from 110.25° to 97.85°, the CNT–M–Cl from 122.54° to 124.13°, and the CNT–M–P from 117.87° to 129.80°.

Another general interesting trend can be observed by examining how the metal to ligand distances vary when moving from the 15-electron $^4A''$ species to the corresponding $^2A'$ 17-electron complexes. Upon addition of the phosphine ligand, the M–X distance increases (0.11 Å on the average), and the M–P and M–Cp distances decrease (0.06 Å in the chlorine complexes, 0.11 Å in the methyl complexes) for all three systems under study here, $\text{CpCrCl}_2(\text{PH}_3)$, $\text{CpMoCl}_2(\text{PH}_3)$, and $\text{CpCr}(\text{CH}_3)_2(\text{PH}_3)$. It looks like upon the addition of a second phosphine ligand, the chlorine or methyl ligand are pushed away, while both the Cp ring and the phosphines get closer to the metal center. This shows a greater “affinity” of the metal in its higher saturation state for the cyclopentadienyl and phosphine than for the chlorine and methyl ligands.

B.2. Energies and Comparison with Experiment. Table 4 shows the changes occurring in the relative energies, i.e., quartet to doublet splittings in the 15-electron complexes and the energy difference between reactants and products in the reaction $\text{CpMX}_2(\text{PH}_3) (^4A'') + \text{PH}_3 \rightleftharpoons \text{CpMX}_2(\text{PH}_3)_2 (^2A')$ for the three systems studied here (M = Cr, X = Cl; M = Mo, X = Cl, and M = Cr, X = CH₃) as the sophistication of the ab initio approach increases. We stress that no effort was made to determine reaction paths and transition states for the above reactions.

For all three reactions the energy of the 15-electron $^4A''$ state is used as a reference. As said above, generally the 15-electron doublet ground state has A' symmetry. The $\text{CpCr}(\text{CH}_3)_2(\text{PH}_3)$ system is an exception when employing a DFT approach: the ground state has A'' symmetry. The M–PH₃ bond formation energy along the spin doublet surface is larger for Mo than for Cr, in line with the generally accepted view that bond strengths increase upon descending a group of transition metals.⁵ An incorrect interpretation of the computational data in our preliminary communication¹⁰ led to the reporting of an erroneous Cr–PH₃ bond energy for the Cr(III)–chloride system in the L2-BLYP approximation.

TABLE 4: Relative Energies (in kcal/mol) of Geometry Optimized CpMX₂(PH₃) + PH₃ vs CpMX₂(PH₃) Systems^a

	CpCrCl ₂ (PH ₃) + PH ₃ ⇌ CpCrCl ₂ (PH ₃) ₂		CpCr(CH ₃) ₂ (PH ₃) + PH ₃ ⇌ CpCr(CH ₃) ₂ (PH ₃) ₂		CpMoCl ₂ (PH ₃) + PH ₃ ⇌ CpMoCl ₂ (PH ₃) ₂	
	ΔE ₁	ΔE ₂	ΔE ₁	ΔE ₂	ΔE ₁	ΔE ₂
L1-MP2	45.70	14.87	47.11	18.22	17.10	28.68
L2-MP2	43.33	16.65	39.15	14.81	17.07	32.71
L2-BLYP	22.53	6.02	17.94	-6.07	3.95	12.26
V-BLYP	20.30	6.73	16.17	1.85	2.07	12.31
V-B3LYP	27.42	8.36	20.81	-1.28	6.28	16.56

^a Quartet to doublet splittings in the 15-electron systems [$\Delta E_1 = E(S = 1/2; 15\text{-e}^-) - E(S = 3/2; 15\text{-e}^-)$] and M-PH₃ bond formation energy along the spin doublet surface [$\Delta E_2 = E(S = 1/2; 15\text{-e}^-) + E(\text{PH}_3) - E(S = 1/2; 17\text{-e}^-)$]. The 15-electron quartet states always have ⁴A'' symmetry; the 15-electron doublet states have ²A' symmetry *except* for the three DFT calculations of the CpCr(CH₃)₂(PH₃) + PH₃ ⇌ CpCr(CH₃)₂(PH₃)₂ system, where the symmetry is ²A''; the 17-electron doublet states always have ²A' symmetry.

A common feature to all three reactions is the much larger (closer to those given by SCF) energy gaps provided by the MP2 relative to the DFT calculations. The quartet to doublet splittings for the 15-electron systems follow a common pattern in all three cases, with L1-MP2 > L2-MP2 ≫ V-B3LYP > L2-BLYP > V-BLYP. Also the energy differences between the 15-electron ²A' systems plus PH₃ at infinite distance and 17-electron adducts is in all cases much larger with MP2 than with DFT. DFT absolute energies are much lower than MP2 absolute energies, indicating apparently a greater ability of DFT to recover electron correlation. This increased ability of DFT becomes more evident in the stabilization of the doublet (more electron-correlated) rather than the quartet states, and leads to a generalized lowering of the energy gaps.

The case of the Cr(III)-CH₃ systems deserves a brief comment. A negative estimate of the Cr(III)-PH₃ bond energy in the dimethyl complex is found in the L2-BLYP and V-B3LYP calculations, while V-BLYP furnishes a somehow surprisingly small positive value. It is evident, in view of the discussion in the preceding sections, that the 15-electron ²A'' state does not correlate to the ²A' 17-electron state, the order of the doublet states being reversed as the phosphine approaches from infinity to bond distance. These gaps for the addition of the phosphine along the spin doublet surface in the DFT calculations on the Cr(III)-methyl complexes cannot thus be taken as estimates of the new bond formation energy.

Neither for M = Cr nor for M = Mo are experimental measurements of quartet-doublet gaps for the 15-electron systems or M-PH₃ bond dissociation energies for the 17-electron systems available. It is however known that the Cr systems always adopt a spin quartet 15-electron configuration and that the Mo(III) systems are stable instead with a 17-electron spin doublet configuration. The results of our calculations are thus in qualitative agreement with these experimental observations at all levels of theory.

In conclusion, both MP2 and DFT approaches afford results in agreement with the stability trends and provide essentially equally suitable optimized geometries. The fact that DFT is computationally less demanding than MP2 becomes the only discriminating factor justifying the adoption of DFT for our largest calculations.

B.3. BLYP vs B3LYP. Since B3LYP differs from BLYP for the inclusion of a fraction of the exact HF exchange,²⁵ it could in principle be expected to perform better than its predecessor. On the other hand, given that the B3 exchange potential is obtained by fitting a series of experimental data that does not include systems with transition metals, there is in principle no guarantee that it is more accurate than the 1988 Becke exchange functional in our case.

B3LYP gives sensibly lower absolute energies with respect to BLYP. The energy lowering is more effective for the 15-electron quartet states. This leads to an increase in the doublet

to quartet splittings of about 7.1, 4.6, and 4.3 kcal/mol for the Cr(III)-Cl, Cr(III)-Me and Mo(III)-Cl complexes, respectively. With B3LYP the energy gain of the high-spin 15-electron state for the Cr(III) systems is also larger than that observed for the corresponding 17-electron species: the gap increases by 5.5 and 7.8 kcal/mol for Cr(III)-Cl and Cr(III)-CH₃, respectively. B3LYP thus apparently shows a preference for high-spin systems, where the exchange is expected to give a larger contribution to the total energy. For the Mo(III)-Cl complexes, on the contrary, a compensation between several terms in the effective Hamiltonian yields in B3LYP a reaction energy very close to that calculated in the BLYP approximation.

If the effects on the relative energies are quite significant, the overall effect on the geometry is instead negligible. Metal to ligand distances very rarely change by more than 0.01–0.02 Å. Angles change by 1°–2° on the average. The 15-electron lowest ²A' state of CpMoCl₂(PH₃) is in this sense an exception, since—as mentioned above—it shows surprisingly large rearrangements of the angles passing from BLYP to B3LYP in basis V: Cl-Mo-Cl decreases from 123.80° to 110.25°, CNT-Mo-Cl increases from 113.97° to 122.54°, and CNT-Mo-P decreases from 134.90° to 117.87°. The Cp plane also rearranges sensibly.

All in all, the choice of BLYP or B3LYP leads to effects on the electronic structure and on the geometries of the complexes studied here that do not influence the overall conclusions of our study.

B.4. Effect of the Cyclopentadienyl Ring Conformation.

As mentioned in the Computational Details section, the effect of the rotational conformation of the Cp ring has been investigated for most systems. In agreement with experimental evidence,²⁷ the effect of rotation appears to be negligible in most cases. For instance, the 15-electron quartet state in both Cl and CH₃ complexes of Cr(III), as well as the 17-electron doublet state of the CpMoCl₂(PH₃)₂ system, exhibits at most a few hundredth of a kilocalorie/mole difference in energy in the two possible symmetric conformations (**I** and **II** for the 17-electron complexes and **III** and **IV** for the 15-electron complexes) at all computational levels.

The rotation of the ring has a somewhat larger effect on higher lying ²A' states for the 15-electron complexes. The “staggered” CpMX₂(PH₃) (**IV**) is higher in energy relative to the “eclipsed” (**III**) conformer (ca. 3 kcal/mol for M = Cr, X = CH₃; ca. 0.8 kcal/mol for M = Mo, X = Cl at the V-BLYP level). Geometries are also noticeably different. The “staggered” (**IV**) doublets display longer bond distances and quite different bond angles (for instance: 117.09° vs 134.90° for P-Mo-CNT, 122.71° vs 113.97° for Cl-Mo-CNT, and 108.62° vs 123.80° for Cl-Mo-Cl) relative to the “eclipsed” (**III**) conformers. It is not straightforward to find the causes of these large rearrangements: an interplay of steric and electronic factors which is far from being obvious.

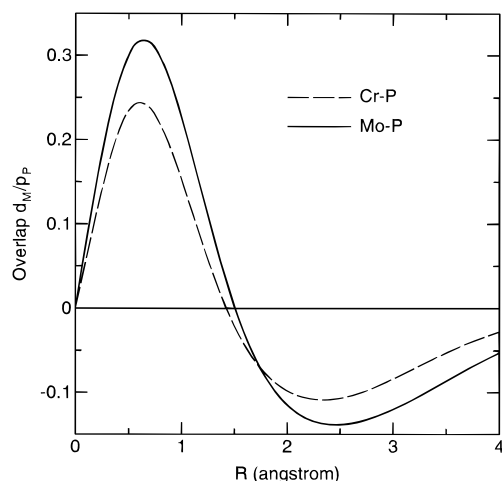


Figure 7. Overlap between the $nd_{x^2-y^2}$ ($n = 3$ for $M = \text{Cr}$, $n = 4$ for $M = \text{Mo}$) and the $3p$ orbital of phosphorus along the $M\text{--}P$ axis for the $\text{CpMCl}_2\text{PH}_3$ $^4A''$ system. ROSCF calculations on basis LanL2DZ.

C. Role of the Spin Pairing and of the Metal to PH_3 Bond Formation Energy.

One of the goals of these calculations was to establish what is responsible, on one side, for the lack of binding of a two-electron donor ligand (L) (modeled by PH_3) to a 15-electron CpCrX_2L system and, on the other side, for the lack of ligand dissociation from a stable 17-electron CpMoX_2L_2 system. We can ideally break up the process of ligand addition to the 15-electron quartet complex into two steps, i.e., a spin pairing to prepare the excited doublet state, followed by PH_3 coordination along the spin doublet surface. The energy involved in this "coordination step" can be viewed as a representative $\text{Cr(III)}\text{--}\text{PH}_3$ or $\text{Mo(III)}\text{--}\text{PH}_3$ binding energy. The binding of the incoming PH_3 gives a stabilization which may thus be largely ascribed to the formation of a new metal to phosphorus bond along the doublet surface.

Even within the limitations of the theoretical models, it appears that the binding energies in the Cr dichloride and dimethyl systems are too small to compensate for the "pairing energy" discussed above and contribute to maintain an energetic preference for the 15-electron quartet system. For the Mo dichloride systems, on the other hand, the doublet to quartet splitting in the 15-electron system is much smaller relative to the Cr systems, while the "dissociation energy" of the Mo--PH_3 bond along the spin doublet surface is larger as expected.⁵ As discussed above, the factors influencing the size of the gap between 15-electron and 17-electron doublet states are numerous. In this respect, useful hints come from Figure 7, which displays the overlap of the M d and P p orbitals involved in the $M\text{--}P$ bond in the $\text{CpMCl}_2\text{PH}_3$ 15-electron $^4A''$ system ($M = \text{Cr, Mo}$).

Although obtained using ROSCF orbitals and basis LanL2DZ, the plot gives a good qualitative picture of the greater strength of the Mo--P bond, the overlap being larger than for the Cr--P bond. Note also that the minima of the two curves fall around 2.4 Å, very close to the estimates of the metal to phosphorus distance in Table 1. Apparently the maximum overlap criterion is respected for the metal–phosphine bond.

The results of our theoretical studies confirm the hypothesis that the spin state change has an important energetic effect in these systems. In simple terms, the incoming ligand needs an empty metal-based orbital, which can only be made available by pairing two electrons in the quartet state and reaching the excited doublet state. Since the electrons must be paired in a relatively small 3d orbital for Cr^{3+} , the Coulomb and exchange integrals are quite substantial. For Mo^{3+} , on the other hand,

the cost of pairing the electrons in a more diffuse 4d orbital is expected to be much less. An analysis of the results following the method of Hall³³ was made for the dichloride complexes of Cr and Mo.¹⁰ With this technique the unpaired orbitals of the higher spin state are used to describe the lower spin states and to compute their energies. Although relaxation effects in the lower spin states are thus completely neglected, a quite satisfactory qualitative description of the main effects may still be achieved. The energy of the doublet was estimated using the restricted open-shell SCF orbitals of the quartet state, and an approximate quartet-doublet gap was obtained in terms of appropriate orbital energies and Coulomb and exchange integrals involving the three metal based d orbitals of interest.¹⁰ This led us to ascribe the larger "pairing energy" of Cr^{3+} with respect to Mo^{3+} to larger Coulomb and exchange integrals, which in turn is a consequence of the greater radial contraction of the d orbitals in the lighter metal. The Coulomb integrals, obtained by selecting the unpaired orbitals used to express the V-BLYP density with the method outlined in the Appendix, are on average of 385 and 287 kcal/mol for the 15-electron quartets of $\text{Cr(III)}\text{--Cl}$ and $\text{Mo(III)}\text{--Cl}$ systems, respectively. The same integrals, obtained in the LanL2DZ basis set and ROSCF approximation, had values of 517 and 345 kcal/mol, respectively.¹⁰

One further point of interest in this study is the comparison between the chloride and methyl systems. It has been proposed by Hall et al. for various model systems of the known $\text{trans-TiX}_2(\text{dmpm})_2$ ($X = \text{Cl, CH}_3$) compounds that differences in Coulomb and exchange integrals are responsible for the observed difference in spin state between the two systems.³³ Essentially, the less electronegative methyl groups allow the metal center to expand its orbitals to a greater extent with respect to the more electronegative chloride ligands, resulting in lower Coulomb and exchange integrals and a greater stability of the lower spin state for the dimethyl compound. In the present study, we were anticipating a similar situation for the 15-electron $\text{CpCrX}_2(\text{PH}_3)$ system, resulting in a slightly smaller quartet–doublet gap for the dimethyl compound. However, the calculated gap is essentially the same for the two systems in MP2, the difference being around 3 kcal/mol. We stress here that the comparison at the DFT level of approximation would be between doublet states corresponding to different configurations. An analysis of the excess spin orbitals of the 15-electron quartet states in the V-BLYP approximation done with the technique described in the Appendix shows in fact a comparable spatial extent of the metal-based 3d orbitals, resulting in averaged Coulomb integrals of 385 and 378 kcal/mol for the Cl and methyl systems, respectively. These values, together with other energy parameters,¹⁰ led to a prediction of the energy gaps roughly of the same order: 30 kcal/mol vs 31 kcal/mol for Cl and CH_3 , respectively. The effect of the electronic and nuclear rearrangement reduce considerably this frozen-orbital estimate.

Conclusions

The experimental evidence that half-sandwich Cr(III) complexes prefer to adopt a 15-electron, spin quartet configuration while Mo(III) complexes prefer to reach a stable 17-electron configuration has been investigated from the theoretical point of view. The hypothesis that the cost of pairing the electrons into the required spin doublet configuration exceeds the energetic gain of forming the new bond for the Cr(III) systems has been confirmed and a quantitative estimate of the pairing energies has been obtained. The stabilization of the more saturated configuration by $M\text{--}\text{PH}_3$ bonding does not appear to be important for the Cr systems, whereas the stabilization energy

provided by the new bond for the $\text{CpMoCl}_2(\text{PH}_3)_2$ system largely overcomes the energy spent to pair the electrons in the quartet 15-electron state. The computed relevant distances and angles in the model systems studied here compare quite well with their related experimental counterparts.

The current study has also allowed us to compare quite different computational methods (e.g. MP2 and density functional techniques) for systems of experimental relevance and which involve open-shell configurations of varying spin multiplicity. Neither at the geometry nor at the energy levels has one of the two methods proven distinctly superior to the other. This is also in part due to the inavailability of experimental data for immediate comparison with our "model" systems.

The energetic effect of a spin state change on the relative stability of different electronic configurations for the general class of open-shell organometallic compounds has long escaped a concerted rationalization effort.¹ Since the experimental measurement of bond dissociation energies and spin-forbidden electronic transitions is generally difficult, computational methods can lead to substantial advances in this area. The application of the methods presented in this contribution to other problems in the general area of open-shell organometallic compound is expected to lead to the rationalization of much experimental information.

Appendix

In a restricted open shell Hartree–Fock (ROHF) calculation singly and doubly occupied orbitals obey different one-electron equations and are well "separated" and easily identifiable. In unrestricted calculations (the so-called Pople–Nesbet equations³⁴) this does not generally happen, especially in systems with nearly degenerate doubly occupied and singly occupied orbitals, where the excess spin may be distributed among the spin orbitals. To separate at least approximately the singly occupied orbitals from the others, we used the following procedure. The number of excess spin electrons ($N_\alpha > N_\beta$) in the atomic basis set may be written as

$$N^{\alpha-\beta} = \text{Tr}(\mathbf{S}^{1/2} \mathbf{P}^{\alpha-\beta} \mathbf{S}^{1/2}) \quad (1)$$

where \mathbf{S} is the overlap matrix and $\mathbf{P}^{\alpha-\beta}$ is the excess-spin density matrix, which for SCF or DFT may be written as

$$\mathbf{P}^{\alpha-\beta} = \mathbf{C}^\alpha \tilde{\mathbf{C}}^\alpha - \mathbf{C}^\beta \tilde{\mathbf{C}}^\beta \quad (2)$$

The \mathbf{C}^α (\mathbf{C}^β) are the occupied orbitals, arranged in N_α (N_β) row vectors with the dimensions of the atomic set (N). The excess spin natural orbitals are obtained by solving the eigenvalue equation

$$(\mathbf{S}^{1/2} \mathbf{P}^{\alpha-\beta} \mathbf{S}^{1/2}) \mathbf{V} = \Lambda \mathbf{V} \quad (3)$$

with the excess occupation numbers in Λ :

$$\sum_j \Lambda_{jj} = N_\alpha - N_\beta \quad (4)$$

$\Lambda_{jj} > 0$ indicates an α spin excess; $\Lambda_{jj} < 0$ a β spin excess. The diagonal elements of Λ are excess-spin occupation numbers and their absolute value can be used as a criterion to sort the relevant orbitals contributing to the spin polarization. It is easily verified that at least $N_\alpha - N_\beta$ eigenvalues equal to 1 are always obtained. The corresponding eigenvectors are used to evaluate the energy gaps as discussed in the text. These orbitals are identical with the UNO orbitals of Bofill and Pulay.³⁵ It is also possible to show that in the special case of restricted orbitals (again $N_\alpha > N_\beta$) one has

$$\mathbf{P}^\alpha = \mathbf{P}^\beta + \mathbf{C}_o^\alpha \tilde{\mathbf{C}}_o^\alpha \quad (5)$$

\mathbf{C}_o^α being the rectangular $N(N_\alpha - N_\beta)$ orbital coefficient matrix for the singly occupied orbitals. Note that in this case $N_\alpha - N_\beta$ eigenvalues of eq 3 are equal to one, while the others are equal to zero.

The whole procedure can be straightforwardly applied to the DFT calculations.

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