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# Electronic and Steric Effects of Atropisomeric Ligands SYNPHOS® and DIFLUORPHOS® vs. BINAPs in Rh(I)-Catalyzed Asymmetric Pauson-Khand Reaction

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**Abstract:** The electronic and steric effects of chiral biaryl diphosphine ligands on the Rh(I)-catalyzed asymmetric Pauson–Khand type reaction were examined. We demonstrated that enantioselectivity and reaction yield were influenced by the electronic density on phosphorus, the dihedral angle of ligands and the electronic density of the alkyne substrate. Ligands bearing a narrower dihedral angle than Binap, such as Synphos (**L4**) and Difluorphos (**L5**), were found to increase substantially the enantioselectivity

of the reaction, compared to Binap-type ligands. Ligands having a deshielded phosphine, such as *p*-CF<sub>3</sub>-Binap (**L3**) and Difluorphos (**L5**) provided better enantioselectivity than Binap, with reduced formation of side products, especially with electron-poor alkyne substrates.

**Keywords:** atropisomeric ligands; electronic effects; enantioselectivity; Pauson–Khand reaction; steric effects

# Introduction

In recent years, a great deal of research has been devoted to the asymmetric catalytic Pauson–Khand reaction (denoted as the PKR hereafter),<sup>[1]</sup> which is defined as the transition metal mediated [2+2+1] cycloaddition of an alkyne, an alkene and CO.<sup>[2]</sup> Various versions of the enantioselective PK type reaction using cobalt,<sup>[3]</sup> titanium,<sup>[4]</sup> rhodium<sup>[5]</sup> and iridium<sup>[6]</sup> together with chiral ligands have been published.<sup>[3]</sup> Several years ago, we described the first rhodium-catalyzed enantioselective PKR under a CO atmosphere in the presence of an atropisomeric ligand (Scheme 1).<sup>[5]</sup> These early results were promising in terms of enantioselectivity, but they also exhibited some limitations with certain classes of substrates.

Efforts to optimize this methodology were reported,<sup>[7]</sup> but improvements in terms of enantioselectivity and chemical yield still need to be realized. In a previous paper,<sup>[8]</sup> we performed a systematic screening of twelve different classical PKR 1,6-enyne substrates and six Binap-type ligands displaying different electronic properties. Accurate interpretation of the re-

sults showed a clear tendancy: for a given Binap ligand, the more electron-rich the alkyne, the better the enantioselectivity (but the lower the yield); for a given sustrate, the more electron-poor the Binap ligand, the higher the enantioselectivity (almost no influence on the yield). Especially enantioselectivities obtained with p-CF<sub>3</sub>-Binap ligand, bearing a far lower electronic density on phosphorus than Binap, were 2 to 5% higher than those obtained with Binap, as shown on Scheme 2.

In the present study, we wished to get a deeper insight in designing an optimized Rh(I)/ligand catalyst for enantioselective PKR under CO atmosphere, by

**Scheme 1.** The Rh(I)-catalyzed asymmetric PKR.

$$\begin{array}{c} & [Rh(CO)_2Cl]_2 \ (3 \ mol \ \%) \\ & (S)-ligand \ (9 \ mol \ \%) \\ & AgOTf \ (12 \ mol \ \%) \\ \hline & CO \ (1 \ atm), \ THF, \ 80 \ ^{\circ}C \end{array} \\ R = Me, \ Ph, \ 4-OMe-C_6H_4, \ 4-CF_3-C_6H_4 \\ & ee = 81 - 92\% \ (ligand = BINAP) \\ & ee = 85 - 95\% \ (ligand =  $p$ -CF_3-BINAP) \\ \end{array}$$

### Scheme 2.

evaluating the performance of Synphos<sup>[9]</sup> and Difluorphos<sup>[10]</sup> ligands in this reaction, in comparison to Binap family ligands.

### **Results and Discussion**

The biaryldiphosphine ligands used in this study were chosen to permit distinct examination of their steric and electronic influence on the stereochemical outcome of the reaction. Binap ligand (L2) was chosen as reference. p-OMe-Binap (L1) and p-CF<sub>3</sub>-Binap (L3) ligands were selected because they have geometric properties similar to Binap, but different electronic features. Synphos (L4) and Difluorphos (L5) ligands proved to give better selectivities than Binap in several enantioselective transition metal-catalyzed reactions of C-H<sup>[10]</sup> or C-C<sup>[11]</sup> bond formation, using Ru, Rh or Ag catalysts. As far as the Ru(II)-mediated asymmetric hydrogenation of prochiral  $\alpha$ - or  $\beta$ -keto esters is concerned, we showed in a previous study<sup>[10b]</sup> that a smaller dihedral angle ( $\theta$  in Figure 1) of the biaryl backbone in the geometrical structure of L4 and L5 was responsible for their better performance in this particular reaction, compared to Binap. Thus we wished to evaluate the influence of steric and electronic properties of these five ligands **L1–L5** on the yield and enantioselctivity in Rh-mediated PKR.

The quantification of steric properties of atropisomeric diphosphines is conveniently achieved by measuring the dihedral angle  $\theta$  of the biaryl backbone using molecular modeling techniques, as shown in Table 1. Although the biaryl moiety is a flexible backbone, allowing atropisomeric ligands to adapt their geometrical structure to the coordination sphere of the metal, the relative order of  $\theta$  for **L1–L5** is independent of the type of molecular structure containing the diphosphine (free ligand, Pd or Rh complexes):  $\theta(\mathbf{L1}) \sim \theta(\mathbf{L2}) \sim \theta(\mathbf{L3}) > \theta(\mathbf{L4}) > \theta(\mathbf{L5})$ . The tetraoxygenated biaryl core of Synphos (**L4**) and Difluorphos (**L5**) confers to these ligands a narrower dihedral angle than the binaphthyl-based diphosphines **L1**, **L2** and **L3**.

Regarding the comparative electronic profiles of the diphosphines **L1–L5**, this can be evaluated by several described methods.<sup>[13]</sup> First we quantified the electronic density on phosphorus through <sup>31</sup>P NMR spectra of free ligands (Table 2): the higher the <sup>31</sup>P chemical shift, the more electron-poor the ligand. Synphos (**L4**) and Binap (**L2**) free ligands seem to

(S)-L1, Ar = 4-OMe-C<sub>6</sub>H<sub>4</sub>, 
$$p$$
-OMe-Binap  
(S)-L2, Ar = Ph, Binap  
(S)-L3, Ar = 4-CF<sub>3</sub>-C<sub>6</sub>H<sub>4</sub>,  $p$ -CF<sub>3</sub>-Binap  
 $\theta$ : dihedral angle  
(S)-L4, Synphos (S)-L5, Difluorphos

Figure 1. The ligands used in this study.

**Table 1.** Steric properties of biaryl diphosphine ligands L1–L5 (key steric parameter = dihedral angle of biaryl backbone  $\theta$ ).

Ligand (L*)	Dihedral angle of free ligand <sup>[a]</sup> $(\theta, \circ)$	Dihedral angle of ligand (L*) in $[PdCl_2 (L^*)]^{[a]}$ $(\theta, \circ)$				
L1 p-OMe- Binap	85.2	79.2	78.3			
L2 Binap	86.2	79.2	78.3 78.0			
L3 p-CF <sub>3</sub> - Binap	83.8	79.0				
L4 Synphos L5 Difluorphos	70.7 67.6	73.6 73.0	73.0 72.2			

<sup>[</sup>a] Structures minimized by Molecular Mechanics calculations (CAChe MM2 program).<sup>[12]</sup>

have similar electronic properties (-14.3 and -14.4 ppm, respectively), *p*-CF<sub>3</sub>-Binap (**L3**) and Difluorphos (**L5**) reveal a less basic profile than Binap (-13.8 and -12.5 ppm, respectively), Difluorphos (**L5**) being the most electron-poor ligand of the series. As expected, *p*-OMe-Binap **L1** is the most electron-rich ligand of the series, displaying a chemical shift of -16.9 ppm. In order to complete this electronic evaluation with data relative to chelated diphosphines, we synthesized the [Rh(CO)Cl(ligand)] complexes according to the reported procedure [13b] and evaluated the IR stretching frequency of the carbonyl ligand in these complexes for **L1** through **L5**: the higher the frequency, the higher the π-acidic character of the chelated diphosphine. As reported in Table 2, the five

**Table 2.** Electronic properties of biaryl diphosphine ligands **L1–L5**.

Ligand (L*)	<sup>31</sup> P NMR chemical shift (CDCl <sub>3</sub> ) (δ, ppm)	IR carbonyl stretching frequency in [RhCl(CO)(L*)] from THF [v(CO), cm <sup>-1</sup> ] <sup>[a]</sup>				
L1 p-OMe-	-16.9	1998				
Binap						
L2 Binap	-14.4	2003				
L3 $p$ -CF <sub>3</sub> -	-13.8	2022				
Binap						
L4 Synphos	-14.3	2000				
L5 Difluorphos	-12.5	2014				

<sup>&</sup>lt;sup>[a]</sup> This parameter quantifies the electronic donor-acceptor properties of the diphophine ligand (L\*) when chelated to a transition metal: the higher the carbonyl stretching frequency, the higher the  $\pi$ -acidic character of the diphosphine.<sup>[13b]</sup>

diphosphines ordered by decreasing  $\pi$ -acidity are as follows:  $p\text{-}\mathrm{CF_3}\text{-}\mathrm{Binap}$  [L3,  $v(\mathrm{CO}) = 2022~\mathrm{cm}^{-1}] > \mathrm{Difluorphos}$  [L5,  $v(\mathrm{CO}) = 2014~\mathrm{cm}^{-1}] > \mathrm{Binap}$  [L2,  $v(\mathrm{CO}) = 2003~\mathrm{cm}^{-1}] > \mathrm{Synphos}$  [L4,  $v(\mathrm{CO}) = 2000~\mathrm{cm}^{-1}] > p\text{-}\mathrm{OMe\text{-}Binap}$  [L1,  $v(\mathrm{CO}) = 1998~\mathrm{cm}^{-1}]$ . These results confirm that Lewis acidity of the catalyst is not merely a reflection of electronic effects, instead it is influenced by geometrical factors as well. Thus, Synphos L4 makes the catalyst more Lewis basic than Binap when chelated to a transition metal, whereas  $p\text{-}\mathrm{CF_3\text{-}Binap}$  L3 and Difluorphos L5 are far more electron-poor.

Figure 2 summarizes the comparative steric and electronic profiling of ligands **L1–L5**: two ligands (*p*-

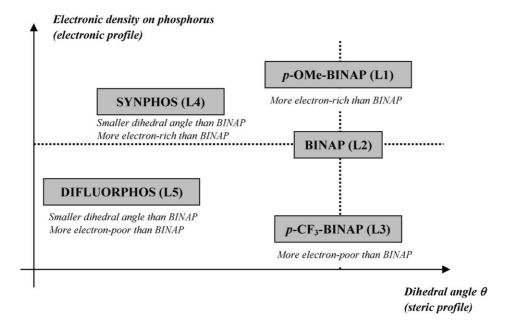


Figure 2. Comparative stereoelectronic profiles of ligands L1-L5.

FULL PAPERS

Dong Eun Kim et al.

OMe-Binap **L1** and Synphos **L4**) are more electronrich than Binap (**L2**); whereas geometric properties of **L1** are identical to those of Binap, Synphos **L4** bears a narrower dihedral angle than Binap, making the steric hindrance of diphenylphosphino groups around the metal more important. The two remaining diphosphines **L3** (*p*-CF<sub>3</sub>-Binap) and **L5** (Difluorphos) are both more electron-poor than Binap but display different steric features: dihedral angle of Difluorphos (**L5**) is narrower than those of Binap (**L2**) and *p*-CF<sub>3</sub>-Binap (**L3**).

Having in hand a quantitative steric and electronic evaluation of the diphosphine ligands **L1** to **L5**, we investigated their performances as chiral inductors in asymmetric Rh-mediated PKR under CO atmosphere. Representative substrates for the study were selected in order to reflect the effects of electronic character on the alkynes. These can be divided into the alkyl-substituted alkynes (**1a-1** and **1b-1**) and the aryl-substituted alkynes, which were further differentiated electronically by the substituents on the *para* 

position of the phenyl ring (1a-2, 1a-3, 1a-4 for *N*-tosyl tethered substrates and 1b-2, 1b-3 and 1b-4 for *O*-tethered substrates). A typical reaction was carried out with catalyst prepared by mixing tetracarbonyldiμ-chlorodirhodium {[Rh(CO)<sub>2</sub>Cl]<sub>2</sub>} and the selected disphosphine ligand in tetrahydrofuran for 30 min at ambient temperature under an argon atmosphere. The substrate was introduced into the mixture, the argon atmosphere was replaced by carbon monoxide (1 atm) and the mixture was heated to 80 °C until complete conversion of starting material. The results are summarized in Table 3.

As we noticed in our previous study, [8] for a given ligand, the more electron-poor the substrate, the poorer the enantioselectivity (but the better the yield). This clear tendency can be checked in any entry of Table 3, as illustrated, for example, in entry 2 for Binap ligand:  $ee(2\mathbf{a}-\mathbf{1})=83\%>ee(2\mathbf{a}-\mathbf{2})=71\%>ee(2\mathbf{a}-\mathbf{3})=65\%>ee(2\mathbf{a}-\mathbf{4})=63\%$ . As a result, only electron-rich cyclopentenone compounds  $2\mathbf{a}-\mathbf{1}$  and  $2\mathbf{b}-\mathbf{1}$  could be obtained with ee>92%. For these two

**Table 3.** Rh(I)-catalyzed enantioselective PKR with various ligands **L1–L5** (preferred ligand/substrate pairs shown in shaded boxes).

Entry	ntry Ligand		X	R=Me (1)		$R = 4-MeOC_6H_4(2)$		$R = C_6 H_5 (3)$			$R = 4 - CF_3C_6H_4$ (4)				
·				t <sup>[c]</sup> [h]	Yield [%]	$ee [\%]^{[a]}$	<i>t</i> <sup>[c]</sup> [h]	Yield [%]	ee [%] <sup>[b]</sup>	t <sup>[c]</sup> [h]	Yield [%]	ee [%] <sup>[a]</sup>	<i>t</i> <sup>[c]</sup> [h]	Yield [%]	ee [%] <sup>[b]</sup>
1	(S)- L1	<i>p</i> -OMe- Binap		0.5	53	81	0.5	70	70	0.5	99	60	1.5	95	60
2	(S)- <b>L2</b>	Binap	<i>N</i> Ts	0.5	70	83	4	92	71	3	99	65	4	99	63
3	(S)- L3	<i>p</i> -CF <sub>3</sub> -Binap		0.5	65	84	3	97	75	2.5	97	67	4	98	68
4	(S)- L4	Synphos	(1a)	0.5	57	93	1.5	74	89	2.5	98	65	4	93	68
5	(S)- L5	Difluorphos		0.5	62	89	0.5	90	75	2	90	70	3.5	90	71
6	(S)- L1	<i>p</i> -OMe-Binap		0.5	33	85	0.5	65	73	0.5	67	64	1	81	69
7	(S)- L2	Binap	O	0.5	42	92	2	75	85	1.5	72	85	1	82	81
8	(S)- L3	<i>p</i> -CF <sub>3</sub> -Binap		0.5	44	95	4	84	87	3	89	90	1	85	85
9	(S)- L4	Synphos	( <b>1b</b> )	0.5	42	98	1.5	56	89	1	75	85	1.5	82	85
10	(S)- L5	Difluorphos		0.5	38	94	0.5	70	91	1	81	87	0.5	80	90

<sup>[</sup>a] (S)-2a is a major product when the absolute configuration of ligand employed is (S). [5a]

<sup>[</sup>b] The absolute configuration of these products has not been determined.

<sup>[</sup>c] Time for the complete consumption of starting materials.

PKR products, but also for compound 2a-2, the preferred ligand/substrate pairs were obtained with Synphos (L4) as illustrated by shaded boxes in Table 3 (ee = 89-98% compared to 71-92% with Binap),which represent a significant increase in enantioselectivity of +6% (for substrate **1b-1**) to +18% (for substrate 1a-2), compared to Binap. However these enhancements in selectivity are tainted by a significant loss of chemical yield with Synphos ligand, as illustrated, for example, with substrate 1a-1 and 1a-2 (entry 4), which were converted into 2a-1 and 2a-2 in only 57% and 74% yields, respectively (to be compared with 70% and 92% yields obtained with Binap - entry 2). This decrease in chemical yield with electron-rich alkynes 1a-1 and 1a-2 is also observed with p-OMe-Binap L1 (53% and 70% yield, respectively, for the same substrates - entry 1); therefore one could argue that the use of electron-rich ligands is detrimental to the yield for these substrates, which means that the competitive formation of side products became more significant.<sup>[14]</sup>

This result prompts a brief look at the reaction mechanism proposed in Scheme 3. Step b, which consists of the oxidative formation of metallacyclopentene 7 is considered to be the rate- and stereo-determining step of the reaction. [8] Therefore, two diastereoisomeric transition states can lead to complex 7. In asymmetric catalysis, it is usually admitted that improvement of enantioselectivity would be the result of an increased difference in energy between these two competing diastereomeric transition states. Nevertheless, if Rh/Synphos complex is associated with electron-rich PKR substrates, there is a significant relationship between enantioselectivity and yield (increase in selectivity at the expense of yield), so one cannot rule out completely the possibility of a kinetic differentiation during the reaction. In other words,

one diastereomeric intermediate of 7 is mostly prone to follow the pathway giving Pauson-Khand reaction products 2 (through intermediate 8, Scheme 3), whereas the other diastereomeric intermediate of 7 is prone to give products derived from 3 by taking the other reaction pathway through intermediate 9. Thus, the reaction provides the higher enantioselectivity only with the sacrifice of chemical yield of the desired PKR product 2. In summary, it seems that kinetic differenciation occurs preferably with electron-rich alkyne substates 1a-1, 1a-2, 1b-1 and 1b-2 and with Synphos ligand (L4) exclusively. Indeed, using electron-richer p-OMe-Binap (L1) instead of Binap (L2) with these substrates makes the yield decrease significantly but has almost no positive influence on the enantioselectivity, which reveals a rather different behavior than Synphos.

As mentioned earlier, electron-deficient N-tosylated alkynes 1a-3 and 1a-4 are considered as challenging PKR substrates, in that Rh complexes bearing ligands L1, L2 or L3 (Binap-type) failed to catalyze the reaction with high enantioselectivity (ee = 60-68%, entries 1, 2 and 3). For these substrates, dihedral angle of the ligand is not a critical parameter to design the best enantioselective catalyst, in that Synphos (L4) gives results comparable to Binap (ee= 65% for substrate 1a-3) and ees are more sensitive to the electronic properties of the ligand: the more electron-poor the ligand, the better the enantioselection. Therefore, electron-poor diphosphines give the best selectivities for product 2a-3 [ee = 67% with  $p\text{-CF}_3$ -Binap (L3), ee = 70% with Difluorphos (L5)]. Extrapolating this observation, one could expect that biaryldiphosphines bearing more electron-deficient substituents than Difluorphos would improve this mild result further.

Scheme 3. A proposed mechanism for the Rh(I)-catalyzed asymmetric Pauson–Khand-type reaction under CO atmosphere.

FULL PAPERS

Dong Eun Kim et al.

As underlined by grey boxes in Table 3, Difluorphos (L5) and p-CF<sub>3</sub>-Binap (L3) ligands display the best performances with this catalytic system in terms of chemical yield and enantioselectivity (see entries 5, 8 and 10), especially preferred ligand/substrate pairs were obtained with compounds 1a-3, 1a-4 (ee = 70– 71%) and more remarkably with O-tethered 1,6enynes **1b-2–1b-4**, for which very good *ee*s of 90–91 % could be reached (only 81-85% ee with Binap). For these substrates, the electronic profile of Difluorphos (L5) and p-CF<sub>3</sub>-Binap (L3) (with a lower electronic density on phosphorus than Binap) is responsible for the improved enantioselectivity from Synphos L4 and Binap ligands (L1 and L2). Noticeably, and contrary to what we observed with Synphos and electron-rich alkynes, this increase in enantioselectivity with these ligands is not accompanied with a loss of chemical yield. Good to excellent yields were obtained ranging from 70% for **2b-2** (ee = 91%) and 90% for **2a-4** (ee=71%) with Difluorphos L5. Even better chemical yields (+7% for 2a-2 and 2b-2 to +14% for 2b-3)with somewhat inferior enantioselectivities (-3) to -5%) were obtained by p-CF<sub>3</sub>-Binap (L3) with exception of 2b-3. For example, 84% yield for 2b-2 with 87% ee and 98% yield for **2a-4** with 68% ee were obtained with L3.

It is also evident that the ligands having narrower dihedral angle accelerated the reaction significantly more than Binap-based ligands. Synphos **L4**, which has narrower dihedral angle than Binap **L2** but with a similar chemical shift of phosphorus, completed the reaction in a shorter period. In addition, Difluorphos (**L5**), whose phosphorus is more deshielded than that of *p*-CF<sub>3</sub>-Binap (**L3**), leads the reaction to completion in a shorter time. However, this acceleration is often associated with a loss of chemical yield in certain cases (for **2a-2**, **2a-3**, **2b-2** and **2b-3**).

### **Conclusions**

We have demonstrated that the enantioselectivity and yield of the catalytic Pauson-Khand reaction depends on both the electronic density and dihedral angle of the diphosphine ligand, as well as on the electronic density of the alkyne moiety of the 1,6-enyne PKR substrate. As we considered it to be utopical to design a universal Rh catalytic system for the Pauson-Khand reaction under a CO atmosphere, we targeted and identified preferred ligand/substrate correspondences providing the best yields and enantioselectivities, and identified general trends to optimize the methodology. As an important complement to our previous study in this area, [8] we found that steric properties of the biaryl backbone of the ligand, especially the value of its dihedral angle  $\theta$ , play a crucial role in the stereochemical outcome of Rh-mediated PKR: Synphos L4 and Difluorphos L5, with a narrower dihedral angle than Binap-type ligands, give improved reactivities and enantioselectivities for most substrates (ees up to 98% for Synphos ligand and up to 91% for Difluorphos ligand). However, this increase in selectivity is often reached at the expense of chemical yield, certainly explained by a preferential kinetic differentiation pathway. Electronic adequation between substrate and ligand was confirmed as a crucial point to reach high enantioselectivities: electron-rich alkyne substrates 1a-1, 1a-2 and 1b-1 were converted in moderate yield (42-74%) but excellent enantioselectivities (89-98%) to their corresponding PKR product using electron-rich Rh/Synphos complexes; on the contrary electron-deficient enynes 1a-3, 1a-4, 1b-3 and 1b-4 provided the best yields (80-90%) and enantioselectivities (70–91%) when Difluorphos (L5) or p-CF<sub>3</sub>-Binap (L3) were used as chiral inductors, essentially because of their low electronic density on phosphorus.

# **Experimental Section**

### Representative Procedure for Catalytic Enantioselective Pauson-Khand Reaction

 $6\hbox{-Methyl-2-(4-toluenesulfonyl)-2,3,3a,4-tetrahydro-}1H\hbox{-cyclo-}$ (2a-1):<sup>[5]</sup>  $[Rh(CO)_2Cl]_2$ penta[c]pyrrol-5-one 0.003 mmol, 3 mol%) and (S)-Synphos (6.6 mg, 0.010 mmol, 9 mol%) were placed in THF (1 mL) and the mixture was stirred for 30 min at 20°C under atmospheric pressure of argon. A solution of AgOTf (3.5 mg, 0.014 mmol, 12 mol%) in THF (1 mL) was added, and the resultant reaction mixture was stirred for another 30 min at 20 °C. The argon atmosphere was replaced with CO (1 atm), and then a solution of 1a-1 (30 mg, 0.114 mmol) in THF (1 mL) was introduced. The reaction mixture was heated at 80 °C. After completion of the reaction, the reaction mixture was cooled to room temperature and the carbon monoxide was released in the hood. The crude reaction mixtures were directly purified by column chromatography on silica gel using n-hexane/ ethyl acetate mixture as the eluent to afford PKR product 2a-1 (19 mg, 0.065 mmol, 57%). The enantiomeric excess of the product 2a-1 was determined by HPLC analysis using Daicel columns (CHIRALPAK AD-H, 0.46 mm I.D. × 25 cm, n-hexane/2-PrOH = 4/1, flow 0.8 mL min<sup>-1</sup>, 254 nm):  $t_{\rm p} = 13.08 \, \rm min \ (minor)$  and 13.94 min (major). The ee was determined to be 93% when (S)-Synphos was used.

## **Determination of Enantiomeric Purity**

The enantiomeric excesses of the other products were determined by chiral HPLC analysis using Daicel columns  $(0.46 \text{ mm I.D.} \times 25 \text{ cm})$  as specified.

**6-(4-Methoxyphenyl)-2-(4-toluenesulfonyl)-2,3,3a,4-tetra-hydro-1***H***-cyclopenta**[*c*]**pyrrol-5-one** (**2a-2**): The *ee* value was determined by HPLC analysis using a chiral column (DAICEL CHIRALPAK AD, *n*-hexane/2-PrOH=3/1, 1.2 mL min<sup>-1</sup>, 254 nm):  $t_R$ =17.16 min (minor) and 19.06 min

(major). The *ee* was determined to be 89% when (*S*)-Synphos was used. [ $\alpha$ ]<sub>D</sub><sup>21</sup>: -4.50 (c 1.3, CH<sub>2</sub>Cl<sub>2</sub>); IR (KBr):  $\nu$ = 1705 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =2.22 (dd, J= 18.0 and 3.0 Hz, 1H), 2.40 (s, 3H), 2.58 (dd, J=18.0 and 15.0 Hz, 1H), 2.76 (dd, J=18.0 and 6.0 Hz, 1 H), 3.07–3.22 (m, 1H), 3.82 (s, 3H), 4.04 (dd, J=18.0 and 6.0 Hz, 1 H), 4.07 (d, J=18.0 Hz, 1 H), 4.60 (d, J=18.0 Hz, 1 H), 6.92 (d, J=8.5 Hz, 2 H), 7.30 (d, J=8.0 Hz, 2 H), 7.42 (d, J=8.5 Hz, 2 H), 7.71 (d, J=8.0 Hz, 2 H); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$ =21.8, 40.9, 41.9, 48.7, 52.3, 55.6, 114.3, 122.7, 127.6, 129.8, 130.2, 133.9, 135.7, 144.3, 160.3, 170.0, 206.1; HR-MS (FAB<sup>+</sup>): m/z [M+Na]<sup>+</sup> calcd. for C<sub>21</sub>H<sub>21</sub>NO<sub>4</sub>SNa: 406.1089; found: 406.1078.

**6-Phenyl-2-(4-toluenesulfonyl)-2,3,3a,4-tetrahydro-1***H***-cyclopenta**[c]**pyrrol-5-one** (**2a-3**):<sup>[5a]</sup> The ee value was determined by HPLC analysis using a chiral column (DAICEL CHIRALPAK AD-H, n-hexane/2-PrOH=3/1, 1.2 mL min<sup>-1</sup>, 254 nm):  $t_R$ =11.15 min (minor) and 13.00 min (major). The ee was determined to be 70% when (S)-Difluorphos was used.

2-(4-Toluenesulfonyl)-6-(4-trifluoromethyl-phenyl)-2,3,3a,4-tetrahydro-1*H*-cyclopenta[*c*]pyrrol-5-one The ee value was determined by HPLC analysis using a chiral column (DAICEL CHIRALPAK AD-H, n-hexane/2-PrOH = 3/1, 1.2 mL min<sup>-1</sup>, 254 nm):  $t_R = 24.07$  min (major) and 25.77 min (minor). The ee was determined to be 71 % when (S)-Difluorphos was used.  $[\alpha]_D^{21}$ : +42 (c 0.5, CH<sub>2</sub>Cl<sub>2</sub>). IR (KBr):  $v = 1710 \text{ cm}^{-1}$ ; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta =$ 2.29 (dd, J = 18.0 and 3.7 Hz, 1 H), 2.41 (s, 3 H), 2.64 (dd, J =12.0 and 9.6 Hz, 1 H), 2.83 (dd, J=18.0 and 6.6 Hz, 1 H), 3.20–3.33 (m, 1H), 4.08 (dd, J=18.0 and 6.0 Hz, 1H), 4.10 (d, J=15.0 Hz, 1 H), 4.66 (d, J=15.0 Hz, 1 H), 7.32 (d, J=15.0 Hz8.0 Hz, 2H), 7.62 (d, J=8.2 Hz, 2H), 7.66 (d, J=8.2 Hz, 2H), 7.72 (d, J=8.0 Hz, 2H); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta = 21.8, 40.9, 42.4, 48.5, 52.1, 124.2$  (q, J = 272 Hz), 125.8 (q, J=8.3 Hz), 128.5, 130.6 (q, J=30 Hz), 133.8, 134.2, 179.9, 206.5; HRMS (FAB+): m/z [M+Na]+ calcd. for C<sub>21</sub>H<sub>18</sub>F<sub>3</sub>NO<sub>3</sub>SNa: 444.0857; found: 444.0863.

**6-Methyl-3a,4-dihydro-1***H***,3***H***-cyclopenta[***c***]furan-5-one (<b>2b-1**):<sup>[5a]</sup> The *ee* value was determined by HPLC analysis using a chiral column (DAICEL CHIRALPAK AS-H, *n*-hexane/2-PrOH=9/1, 1.0 mLmin<sup>-1</sup>, 254 nm):  $t_R$ =12.69 min (major) and 12.48 min (minor). The *ee* was determined to be 98 % when (*S*)-Synphos was used.

**6-(4-Methoxyphenyl)-3a,4-dihydro-1***H*,3*H*-cyclopenta[*c*]-furan-5-one (2b-2): The *ee* value was determined by HPLC analysis using a chiral column (DAICEL CHIRALPAK AD-H, *n*-hexane/2-PrOH=4/1, 1.0 mL min<sup>-1</sup>, 254 nm):  $t_R$ = 11.53 min (minor) and 12.50 min (major). The *ee* was determined to be 91% when (*S*)-Difluorphos was used. [α]<sub>D</sub><sup>21</sup>: +1.97 (*c* 1.1, CH<sub>2</sub>Cl<sub>2</sub>). IR (KBr): v=1701 cm<sup>-1</sup>; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =2.32 (dd, J=17.7 and 3.2 Hz, 1 H), 2.82 (dd, J=17.7 and 6.0 Hz, 1 H), 3.21 (dd, J=12.0 and 6.0 Hz, 1 H), 3.25–3.35 (m, 1 H), 3.82 (s, 3 H), 4.36 (dd, J=12.0 and 9.0 Hz, 1 H), 4.57 (d, J=16.8 Hz, 1 H), 4.91 (d, J=16.8 Hz, 1 H), 6.93 (d, J=8.8 Hz, 2 H), 7.48 (d, J=8.8 Hz, 2 H); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$ =40.5, 43.4, 55.5, 66.6, 71.6, 114.3, 123.5, 129.6, 134.4, 160.0, 175.5, 207.5; HR-MS (EI+): m/z calcd. for C<sub>14</sub>H<sub>14</sub>O<sub>3</sub>: 230.0943; found: 230.0944.

**6-Phenyl-3a,4-dihydro-1H,3H-cyclopenta**[*c*]**furan-5-one** (**2b-3**): <sup>[5a]</sup> The *ee* value was determined by HPLC analysis using a chiral column (DAICEL CHIRALPAK AD-H, *n*-

hexane/2-PrOH = 4/1, 0.8 mL min<sup>-1</sup>, 254 nm):  $t_R$  = 9.99 min (minor) and 12.13 min (major). The *ee* was determined to be 90 % when (*S*)-*p*-CF<sub>3</sub>-Binap was used.

6-(4-Trifluoromethylphenyl)-3a,4-dihydro-1H,3H-cyclopenta[c]furan-5-one (2b-4): The ee value was determined by HPLC analysis using a chiral column (DAICEL CHIRAL-PAK AS-H, n-hexane/2-PrOH = 9/1, 1.0 mL min<sup>-1</sup>, 254 nm):  $t_{\rm R} = 11.83 \, {\rm min}$  (major) and 13.83 min (minor). The ee was determined to be 90% when (S)-Difluorphos was used.  $[\alpha]_{D}^{21}$ : +12.75 (c 1.1, CH<sub>2</sub>Cl<sub>2</sub>). IR (KBr):  $v = 1693 \text{ cm}^{-1}$ ; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta = 2.38$  (dd, J = 17.9 and 3.3 Hz, 1 H), 2.88 (dd, J = 17.9 and 6.3 Hz, 1 H), 3.27 (dd, J =12.0 and 6.0 Hz 1 H), 3.33–3.43 (m, 1 H), 4.40 (t, J = 12.0 and 7.4 Hz, 1 H), 4.60 (d, J=16.8 Hz, 1 H), 4.97 (d, J=16.8 Hz, 1H), 7.62–7.67 (m, 4H);  $^{13}$ C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta =$ 40.5, 43.9, 66.4, 71.5, 125.7, 124.0 (q, J=272 Hz), 125.6 (q, J=3.8 Hz), 128.9, 130.0 (q, J=33 Hz), 134.5, 134.6, 170.8, 171.6, 181.2, 206.8; HR-MS (EI+): m/z calcd. for  $C_{14}H_{11}F_3O_2$ : 268.0711; found: 268.0723.

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**FULL PAPERS** Dong Eun Kim et al.

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$$T_{S}-N$$
 $T_{S}$ 
 $T_{S}-N$ 
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