See discussions, stats, and author profiles for this publication at: https://www.researchgate.net/publication/224930522

The Participation of 2H-Pyran-2-ones in [4+2] Cycloadditions: An Experimental and Computational Study

ARTICLE in EUROPEAN JOURNAL OF ORGANIC CHEMISTRY · OCTOBER 2010

Impact Factor: 3.07 · DOI: 10.1002/ejoc.201000236

CITATIONS	READS
9	14

5 AUTHORS, INCLUDING:



Bogdan Stefane
University of Ljubljana
57 PUBLICATIONS 420 CITATIONS

SEE PROFILE



Andrej Perdih
National Institute of Chemistry
44 PUBLICATIONS 456 CITATIONS

SEE PROFILE

DOI: 10.1002/ejoc.201000236

The Participation of 2*H*-Pyran-2-ones in [4+2] Cycloadditions: An Experimental and Computational Study

Bogdan Štefane,*[a][‡] Andrej Perdih,[b][‡] Andrej Pevec,[a] Tomaž Šolmajer,[b] and Marijan Kočevar[a]

Keywords: Cycloaddition / Density functional calculations / Donor-acceptor systems / Pyranones / Reaction mechanisms

Experimental and computational DFT studies of the Diels–Alder (DA) reaction mechanism for the series of 2*H*-pyran-2-ones 1 and non-symmetrically substituted alkynes 2, 10, and 11 were undertaken. Several 2*H*-pyran-2-ones 1 were treated with *N*,*N*-diethylpropynamine (2). The results point toward a polar and asynchronous two-step mechanism that is in line with the characteristics of a polar Diels–Alder (P-DA) reaction mechanism.^[5] Unexpected formation of minor products 4a–d during the reaction of 1 with 2 can be rationalized by considering the easily passable torsion barrier around the newly formed C–C bond that enables two different cyclization modes. The charge transfer (CT) and global reactivity indices analyses demonstrated that in these reactions 2*H*-pyran-2-ones act as electrophiles and *N*,*N*-diethylpropyn-

amine (2) acts as a nucleophile reaction partner. The introduction of electron-withdrawing carboxylic substituents on the dienophiles 10 and 11 shifts the DA reaction with 2H-pyran-2-ones to a less polar and more synchronous concerted reaction mechanism. Calculated CT as well as values of the global electrophilicity ω and nucleophilicity N indexes revealed that 2H-pyran-2-ones act in this case as nucleophiles and reacting dienophiles 10 and 11 as electrophiles. The results are consistent with the experimental data and kinetic analyses, thus providing valuable insights into 2H-pyran-2-one chemistry and paving the way for an efficient synthesis of highly functionalized benzene derivatives as well as broadening the mechanistic understanding of these highly versatile reactions.

Introduction

The widely exploited Diels–Alder (DA) reaction represents an important synthetic methodology for the organic chemist. The formation of DA cycloadducts can proceed by different routes. Whereas most of the known reactions proceed according to the rules of orbital symmetry,^[1] stepwise reactions involving biradicals,^[2] zwitterionic as well as dipolar intermediates^[3] are also known. In recent years the boundaries between the concerted and stepwise routes have been intensively investigated.^[4,5] For the DA reaction to proceed reasonably fast, an acceptor (A) diene and a donor (D) dienophile (inverse electron-demand reaction scenario) or vice versa (normal electron-demand reaction scenario) substitution pattern is required. Enhanced electrophilicity

in the diene and electron-rich substituents on dienophile, however, influence the reaction pathway. This effect could, in general, be described by the frontier molecular orbital (FMO) theory. [1a] Experimental data, however, suggest that the picture is more complex. [6] Moreover, this type of substitution favors an asynchronous concerted mechanism [7] which, in the limit scenario (the stabilization of charges), becomes a stepwise mechanism with a large polar character. [3]

There have been many reports on [4+2] cycloadditions of 2H-pyran-2-ones with a variety of dienophiles since they were first described by Alder and Rickert^[8] in 1937. The electron-demand of the [4+2] process involving 2H-pyran-2-ones as dienes depends highly on the structure of the dienophile. Electron-poor alkenes or alkynes react with 2Hpyran-2-ones according to normal electron-demand processes, whereas electron-rich dienophiles tend towards inverse electron-demand cycloadditions. For example, participation of enamines as dienophiles has been described to proceed through an inverse electron-demand cycloaddition,[7f] and cycloadditions involving ynamines are expected to proceed according to the same electron-demand process. A further option is for the reaction to proceed either through a two-stage asynchronous and polar (Michael-type intermediate) or synchronous concerted (Scheme 1, path a) and non-polar transition state

E-mail: bogdan.stefane@fkkt.uni-lj.si

[b] National Institute of Chemistry Hajdrihova 19, 1001 Ljubljana, Slovenia Fax: +386-1-4760 376

E-mail: andrej.perdih@ki.si

[‡] Bogdan Štefane and Andrej Perdih contributed equally to this work

Supporting information for this article is available on the WWW under http://dx.doi.org/10.1002/ejoc.201000236.

[[]a] Faculty of Chemistry and Chemical technology, University of Ljubljana Aškerčeva 5, 1000 Ljubljana, Slovenia Fax: +386-1-2419 220



Scheme 1. Mechanistic alternatives for the DA reactions of 2H-pyran-2-ones as dienes with the non-symmetrically substituted internal ($R^3 = Me$, $D = NEt_2$, or $R^3 = Me$, $A = CO_2Et$) and terminal ($R^3 = H$, $A = CO_2Et$) alkynes. In the case of a stepwise reaction (path a) a zwitterionic intermediate formed from the electron poor (A substituted dienophile and the 2H-pyran-2-one is also possible.

(Scheme 1, path b),^[5] to form the final products after subsequent retro-cycloaddition reaction with the loss of a CO₂ molecule.

More recently, the reactivity indices defined within the conceptual DFT have been widely used to explain the polar Diels–Alder (P-DA) reactions.^[5,9] Whereas the global electrophilicity reported by Parr et al.^[9a–9d] allows a unique electrophilicity scale^[9e] that explains the reactivity in P-DA to be assembled,^[5] the local electrophilicity index^[9f] allows the regioselectivity in these reactions to be explained through the characterization of the most electrophilic center of the reagents. Recently, Domingo et al. introduced simple global^[9g] and local^[9h] nucleophilicity indices, which enable an analysis of the behavior of the nucleophilic species.

The purpose of this study was to contribute to a better understanding of the features of the diene (2*H*-pyran-2-one) and dienophile (alkyne derivative) responsible for the concerted or stepwise reaction mechanisms of the DA cycloadditions. Here we report, for the first time, on mechanistic studies of the cycloaddition reactions of N,N-diethylpropynamine (2), ethyl propiolate (10), and ethyl but-2-ynoate (11) with a series of 2H-pyran-2-ones 1. Such a combination of an electron-rich dienophile (pyruvate enolate) and an electron-deficient diene (5-acetyl-2*H*-pyran-2-ones) can also be found in nature, a manifest example being the biosynthesis of macrophomate.^[10] The work of other researchers[11a,11b] and our own experience with 2H-pyran-2-ones and their fused derivatives have shown that they are very useful substrates for the DA reaction with alkenes[11a-11h] and alkynes,[11a,11b,11f,11g] leading to the highly regioselective formation of a variety of synthetically interesting precursors, including anilines and o-phenylenediamines. Furthermore, it has been shown with two examples that N,N-diethylpropynamine (2) reacts with alkoxycarbonylsubstituted α-pyrones producing non-symmetrically substituted benzene derivatives as single isomers in good yields.^[12] The same strategy was adapted by Ireland et al. in their total synthesis of lasalocide A, in which they used the synthetically more useful N,N-dibenzyl analogue of 2, and showed that it does not alter the yields of the cycloaddition, producing a highly substituted benzene intermediate with complete regioselectivity.^[13] In all the mentioned examples, little attention was paid to the high regioselectivity of the cycloaddition and no mechanistic aspects of these transformations were explored. It was the aim of this study to also provide insights into this area.

Results and Discussion

1. Experimental Study

During our investigation into the DA reactions of 2Hpyran-2-one substrates containing a strong electron-donating or electron-deficient substituent at the 5-position, we observed a change in the reaction pathway from the normal to the neutral or the inverse electron-demand scenario. [1c,1e] In due course, the reactions of 1 with N,N-diethylpropynamine (2) (Table 1) were performed in anhydrous tetrahydrofuran (THF) and dichloromethane solutions (by adding N,N-diethylpropynamine to a stirred solution of 1a-d, ca. 0.20 m) at 25 °C and under an argon atmosphere. The reactions provided [4+2] cycloadducts in all cases. A careful examination of the crude reaction mixtures using ¹H NMR spectroscopy showed that there were two products present. The structures of the products 3a-d (as the major products) were confirmed by 2D NMR studies, where the C-3 and C-4 methyl groups (being in the *ortho* position) show strong NOESY correlations. The bicyclic DA intermediates were never observed but were further transformed into the final products 3a-d after the loss of a CO2 molecule. The coformed products were determined to be **4a–d** (Scheme 2).^[14] The formation of the rearranged 2*H*-pyran-2-ones **4a**–**d** attracted our attention and pointed to the possibility of a stepwise mechanism.

Additionally, attempts to perform DA cycloadditions with 2*H*-pyran-2-ones lacking an electron-withdrawing group [such as 3-benzoylamino-5-(4-methoxyphenyl)-2*H*-pyran-2-one and 3-benzoylamino-2*H*-pyran-2-one] at the 5-position were unsuccessful and the corresponding cycloadducts could not be obtained. The fact that *N*,*N*-diethylpro-

Table 1. [4+2] Cycloadditions of 2*H*-pyran-2-ones 1 with 2.

	Starting material	\mathbb{R}^1	R ²	Solvent	Time [h]	Product [%][a]		[%] ^[a]
						3	4	3/4 ^[b]
1	1a	Me	NHCOMe	CH ₂ Cl ₂	2.5	70	18	3.5:1
2				THF	2.5	68	21	3:1
3				THF/H ₂ O ^[c]	2.5	62	18	3:1
4				MeCN	2	47	32	1.5:1
5	1b	Ph	NHCOMe	CH ₂ Cl ₂	2.5	51	37	1.2:1
6				THF	2	55	41	1:1
7				THF/H ₂ O ^[d]	2	53	42	1:1
8				MeOH	2	30	48	1:1.5
9	1c	Me	NHCOPh	CH ₂ Cl ₂	2.5	87 ^[11f]	6	7.5:1
10				THF	2.5	73	21	3:1
11				$THF/H_2O^{[d]}$	2.5	67	20	3:1
12	1d	Ph	NHCOPh	CH ₂ Cl ₂	2.5	71	23	3:1
13				THF	2.5	52	40	1.5:1
14				THF/H ₂ O ^[c]	2.5	51	38	1.5:1
15				MeOH	2	29	54	1:2

[a] Average isolated yields after several runs are given. [b] Ratio 3:4 determined by 1 H NMR analysis of the crude reaction mixture. [c] THF/H₂O = 2:1 (v/v). [d] THF/H₂O = 5:1 (v/v).

Me NEt₂ Me NEt₂ NEt₂ NEt₂
$$\frac{Me}{R^2}$$
 NEt₂ Me NEt₂ Me NEt₂ NEt₂ NEt₂ $\frac{R^2}{R^2}$ NEt₂ NET

Scheme 2. General reaction of the 2H-pyran-2-ones 1a-**d** with N,N-diethylpropynamine (2).

pynamine (2) could be viewed as a nucleophilic reagent is supported, for example, by its 13 C NMR signal for the β-carbon atom having a resonance at a higher field (δ = 57.5 ppm) compared with alkylacetylenes (δ = 70–80 ppm), $^{[15]}$ the electron-deficient ethyl propiolate (δ = 74.8 ppm), and ethyl but-2-ynoate (δ = 84.9 ppm). This observation is in agreement with the observation that reagent 2 appears to act as an inverse-electron-demand dienophile in combination with the dienes 1a-d.

Two mechanistic questions result from the formation of the products 3 and 4: (1) Does the product 3 arise from a concerted or stepwise (in borderline case involving a zwitterionic intermediate) mechanism? (2) Is the formation of the side product 4 a consequence of the relative ease of rotation around the first formed σ C-C bond in the stepwise DA reaction followed by rearrangement of intermediate 7 (see Scheme 4 below for full mechanistic details)? Alternatively, 4 can be formed through intramolecular trapping of the zwitterionic intermediate formed by the initial Michael reaction, which might also be the intermediate in the formation of 3. Zwitterionic intermediates, which are clear candidates in combinations of A-dienes-D-dienophiles or vice versa have been trapped in a few examples.[3d-3h] However, only Sustmann et al. reported the isolation of zwitterions in a crystalline form.^[6] In an effort to gain a better insight into the reaction mechanism, we performed a detailed mechanistic investigation using the substrates 1a-c. When polar intermediates are involved, one would expect a significant solvent effect. However, the solvent polarity was found to have only a moderate effect on the product distribution (the ratio 3a:4a at 29 °C was 3.5:1 in CH₂Cl₂, 3:1 in THF, and 1.5:1 in acetonitrile). Similar observations to ours were previously reported for DA reactions involving polar intermediates.^[3g] Additionally, the solvent had only slight influence on the rate constants (the disappearance of 1 following the second-order rate law): for 1a it is $(1.20 \pm 0.04) \times 10^{-3}$ in toluene, $(4.3 \pm 0.5) \times 10^{-3}$ in acetonitrile, and $(3.2 \pm 0.2) \times 10^{-2} \,\mathrm{Lmol^{-1}\,s^{-1}}$ in dimethyl sulfoxide (DMSO) at 29 °C. We also varied the electronic properties of 2H-pyran-2-ones by altering the substituent at the 5-position from acetyl to benzoyl (e.g., 1b). Due to the low solubility of the substrate 1b in THF and MeCN, the kinetic measurements for 1b were performed in CHCl₃ and DMSO at 29 °C. The reaction of 1b with 2 is of a magnitude of 1.5 faster in DMSO $[(9.1 \pm 0.2) \times 10^{-3}]$ than in CHCl₃ [$(5.9 \pm 0.2) \times 10^{-3}$]. Thus, the obtained kinetic data suggest a moderate overall influence of the solvent on the rates of the reaction.[1c] In contrast, when zwitterion formation seems to operate, acceleration effects of several powers of ten were observed, for instance in cases of [2+2] cycloaddition, if the polarity of the solvent is increased. [16,3a] However, in our case, the observed influence of the solvent on the kinetics of the reaction cannot rule out a zwitterion mechanism. Recent observations by Harrity and Gomez-Bengoa et al. on the cycloaddition reactions of alkynylboronates support the kinetic results discussed above.^[17]

Temperature-dependent measurements were then carried out to determine the activation parameters for the reaction of 1a-c with 2 in toluene and CHCl₃. The corresponding Eyring parameters are listed in Table 2.^[14] In comparison with substrate 1a, a slightly lower activation enthalpy for the substrate 1b was observed, together with a slightly more ordered rate-determining transition state (entry 2, Table 2). Switching of the benzoyl and acetyl moieties at positions 3 and 5 of 2H-pyran-2-one (substrates 1b and 1c, Table 2) did not have a major influence on the activation parameters. The relatively high negative value of the activation entropy, which is, however, close to the negative values observed in the concerted cycloadditions, does not necessarily rule out the involvement of a zwitterionic species. Namely, similar values have also been observed for transition states with high-polarity.[18]

We turned, therefore, to trapping experiments to check the multi-step nature of the reaction. Despite many attempts, we were not able to trap the putative zwitterionic intermediate using methanol as a solvent. Furthermore, the reaction of **1b** with **2** in the presence of either TMSCl (15 fold excess) or a combination of TMSCl and (*n*Bu)₄NN₃ (15 fold excess) in anhydrous THF did not give any detectable side products that would indicate the formation of putative zwitterions. When applying water as a co-solvent in THF (1:2, v/v), there was no change in the ratio of the products **3:4** formed (Scheme 1). If water were to participate in the reaction as a nucleophile it could be incorporated into the product through a stepwise reaction mechanism according to Scheme 3. When the experiment was conducted with H₂¹⁸O/THF (1:2, v/v), under the same reaction

www.eurjoc.org



Table 2. Activation parameters for the reaction of 1a-1c with N,N-diethylpropynamine (2) and 1c and 1d with ethyl propiolate (10).

Reaction	\mathbb{R}^1	R ²	Solvent	ΔH^{\ddagger} [kcal mol ⁻¹]	ΔS^{\ddagger} [cal mol ⁻¹ K ⁻¹]	ΔG^{\ddagger} [kcal mol ⁻¹]
1a + 2	Me	NHCOMe	toluene	11.8 ± 0.4	-34.0 ± 1.4	22.0 ± 1.4
1b + 2	Ph	NHCOMe	CHCl ₃	9.8 ± 0.4	-36.0 ± 1.2	20.6 ± 1.2
1c + 2	Me	NHCOPh	toluene	10.6 ± 0.3	-35.5 ± 0.9	21.2 ± 1.0
1c + 10	Me	NHCOPh	tetralin	13.8 ± 0.2	-45.3 ± 0.6	27.3 ± 0.6
1d + 10	Ph	NHCOPh	tetralin	17.9 ± 0.6	-34.4 ± 1.2	28.2 ± 1.3

Scheme 3. Possible reaction pathway for H₂¹⁸O incorporation in the stepwise reaction mechanism of 1 and 2.

conditions, no products incorporating ¹⁸O (as indicated by MS spectroscopy) as a result of external water trapping of the putative zwitterionic intermediate were observed.

The stereochemistry of the double bond in the products **4** was determined by X-ray^[14] analyses and a NOESY experiment to be *cis* in all cases. It appears that the configuration of the double bond in the products **4a**–**d** probably originates from the bicyclic intermediate **7**, which is derived from the competing reaction pathway B presented in Scheme **4**, followed by a subsequent rearrangement reaction.

Subsequently, we further examined the influence of a change in the electronic properties of the acetylene dienophile. To this end, the reactivity of ethyl propiolate (10) and ethyl but-2-ynoate (11) dienophiles with 2*H*-pyran-2-one 1c–g was studied (Scheme 5). The reaction of 1c–g with the electron-deficient ethyl propiolate (10) was highly regioselective and required harsh reaction conditions, such as heating at 180 °C (heating to reflux in decalin) for several hours, to derive the trisubstituted benzene derivatives 12c–g in good yields^[11f,11g] (Table 3). It was shown by Afarinkia et al. that 3- and 5-halo-2*H*-pyran-2-ones react with electron-rich, electron-deficient and also electron-neutral alkenes in a very regio- and stereoselective way, whereas 4-halo derivatives behave completely differently.^[19] The 5-(4-

methoxyphenyl)-substituted 2*H*-pyran-2-one (1e) proved to be the most reactive substrate in combination with alkyne 10, whereas the 5-acetyl-substituted analogues were found to be the least reactive. To our surprise, we found that a slight change in the structure of the alkyne appears to significantly alter the reactivity. The reaction of 1c-g with ethyl but-2-ynoate (11) was anticipated. Interestingly, when the reaction was performed under the same conditions used for the reaction of ethyl propiolate (10) or under harsher reaction conditions (200-230 °C in tetralin in a sealed tube), no expected product was formed in the case of 1c, 1d, and 1f even after prolonged heating (for up to 96 h) of the reaction mixture. Instead, the starting material, contaminated with some degradation products, was isolated. On the other hand, the reaction of 1e and 1g with 11 was productive after prolonged heating of the reaction mixture with six equivalents of alkyne at 200 °C, [14] resulting in nonregioselective product formation. As shown in Scheme 5, the cycloaddition of 1e and 1g gave the regioisomers 13e, 14e and 13g, 14g. According to ¹H NMR analysis of the crude reaction mixture, the ratios of the regioisomers were **13e:14e**, 3:1 and **13g:14g**, 5:1, respectively.

To rationalize the observed reactivity of 1 (Scheme 5), we studied the kinetics of the high-temperature reaction of 2*H*-pyran-2-one 1c and 1d with ethyl propiolate (10). The reac-

Et
$$\frac{1}{N-Et}$$
 Me $\frac{1}{N-Et}$ Me $\frac{1}{N-Et$

Scheme 4. Pathways for the reaction of 2H-pyran-2-ones 1a-d with N, N-diethylpropynamine (2) with defined reaction energies. Path A leads to the main product and path B leads to the side reaction product.

Scheme 5. DA reaction of 2*H*-pyran-2-ones 1c and 1d with ethyl propiolate (10) and ethyl but-2-ynoate (11) dienophiles at high temperature. The reaction of 1g with 10 was performed in a sealed tube in tetralin at 220 °C for 48 h.

tion could be simply followed by ¹H NMR spectroscopic analysis of the crude samples. The experiments were conducted under pseudo-first-order reaction conditions across a temperature range of 130–180 °C in tetralin. The kinetics indicated that the reaction was pseudo-first-order with respect to ethyl propiolate (10) under these conditions. An

Table 3. [4+2] Cycloaddition of 2H-pyran-2-ones 1c-g with 10 and 11.

Starting material 1	Product 12 yield (%)	Product 13 yield (%)	Product 14 yield (%)
$1c (R^1 = COMe)$	12c (94)	13c (n.r.) ^[a]	14c (n.r.) ^[a]
$1d (R^1 = COPh)$	12d (66)	13d (n.r.)[a]	14d (n.r.) ^[a]
1e ($R^1 = 4\text{-MeOC}_6H_4$)	12e (75)	13e (44)	14e (17)
$\mathbf{1f} (\mathbf{R}^1 = \mathbf{CO}_2\mathbf{Et})$	12f (82)	13f (n.r.) ^[a]	14f (n.r.) ^[a]
$1g(R^1 = H)$	12g (44)	13g (46)	14g (9)

[a] n.r.: no reaction.

Eyring plot^[14] allowed us to establish a ΔS^{\ddagger} value of -45.3 ± 0.6 cal mol⁻¹ K⁻¹ (Table 2, reaction 1c + 10), which is consistent with a highly ordered rate-determining transition state, suggesting a concerted 2H-pyran-2-one cycloaddition reaction with alkynes and the absence of intermediates 5a presumed in the previous reactions involving the formation of a single σ C–C bond. A significantly less negative entropy value ($\Delta S^{\ddagger} = -34.8 \pm 1.2$ cal mol⁻¹ K⁻¹, Table 2 and the Supporting Information) was observed for the analog 1d. The fact that the acetyl group presents less steric hindrance for the transition state compared with the benzoyl moiety in 1d is manifested in the larger enthalpy ($\Delta H^{\ddagger} = 17.9 \pm 0.6$ kcal/mol) of the rate-determining transition



state of 1d (Table 2). Both possible regioisomeric pathways (A and B) for the reaction of 2*H*-pyran-2-ones 1a-d with ethyl propiolate (10) and ethyl but-2-ynoate (11) are presented in Scheme 6. To provide some insight into the observed experimental results and to complement these findings, we then turned to computational studies.

Scheme 6. Pathways for the reaction of 2*H*-pyran-2-ones 1c–g with ethyl propiolate (10) and ethyl but-2-ynoate (11) (Path A and Path B, two regioisomeric possibilities) with defined reaction energies. For the reaction of 1c–e with 10, no regioisomeric alternative products 12c–g (regio) were isolated.

2. Computational Study

To support the experimental results and to gain insights into the molecular mechanism of the [4+2] cycloaddition reactions at the atomic level, a computational study of the reactions between the dienes 1a-c and the non-symmetrically substituted dienophiles 2, 10, and 11 was performed. Previous theoretical studies on cycloadditions indicated that the geometrical properties as well as the activation energies were in good agreement with the experimental activation energy values if the B3LYP hybrid functional^[20] at the density functional level of theory (DFT) was used for the calculation.^[21] Since it was expected that a negative charge could be localized on the stationary points, the 6-31+G(d) basis set was used because of its superior ability to accommodate negative charges.^[22] The geometry optimizations for the reactants, intermediates, and transition states were performed using the Gaussian03 program^[23] and the Berny analytical gradient optimization method. The coordinates of B3LYP 6-31+G(d)-optimized structures are available in the Supporting Information. The optimized stationary points were characterized by frequency calculations to ensure that the minima had zero imaginary frequencies and that the transition states had one imaginary frequency. The zero-point energy (ZPE) corrections were computed at the corresponding DFT level of theory. The intrinsic reaction coordinate (IRC) paths were evaluated to examine the energy changes of the intermediates, the transition states, and the products of the reactions. The energies (enthalpies at 0 K) were determined relative to their optimized reaction complex including the ZPE corrections:

$$\Delta H = \Delta E + \Delta Z P E \tag{1}$$

Because it is possible that the optimized reaction complexes do not fully relate to the minima on the potential energy surface, a careful examination of the optimized complexes was performed. In all cases, the obtained complexes were stable and converged to the optimized geometry. Furthermore, differences in the relative energy were also determined by taking the sum of both reactants as a starting point. It was established that complex formation lowered the relative energies by approximately 1 kcal/mol for the reactions of 1a+2 and 1b+2, and by approximately 6 kcal/mol for the reactions 1c+10 and 1d+11 compared to the sum of energies of the reactants (see Figure S3 of the Supporting Information). Solvent effects were considered by B3LYP/6-31+G(d) single-point calculations of previously obtained gas-phase stationary points at 298 K using a self-consistent reaction field (SCRF) based on the polarizable continuum model of Tomasi et al.[24] The experimental solvents used in kinetic studies: toluene (also used as an approximation for tetralin), chloroform, and dimethyl sulfoxide (DMSO), were modeled by the use of dielectric constants $\varepsilon = 2.4, 4.9,$ and 46.7, respectively.

The amount of charge transfer (CT) at the optimized transition state structures was determined by using natural population analysis. Additionally, reactivity indices were computed to understand the reactivity of the molecules in their ground state. These indices were introduced within the DFT framework by Parr et al. and Pearson et al. [9] The electronic chemical potential (μ) describes the changes in the electronic energy with respect to the number of electrons; the chemical hardness (η) and the global electrophilicity (ω) , measure the stabilization in the energy when the system acquires an additional electronic charge from the environment. Recently, Domingo et al. introduced a nucleophilicity index (N), which allows the behavior of the nucleophilic species to be assessed.^[9] The N-scale is the negative value of the ionization potential calculated by Koopmans' theorem with an arbitrary shifting of the origin. Equations defining these indices are available in the Supporting Information.

2.1. Reaction Energies and Analysis of the Optimized Geometries

Figure 1 depicts the energy profile diagram for the reaction of 2*H*-pyran-2-one **1a** with *N*,*N*-diethylpropynamine **(2)**. The enthalpy differences are summarized in Table 4. An analysis of the stationary points obtained for this cycloaddition can be summarized as follows: the rate-determining step of the reaction corresponds to nucleophilic attack of the C-2 of the alkyne on the electrophilic center at C-6 of

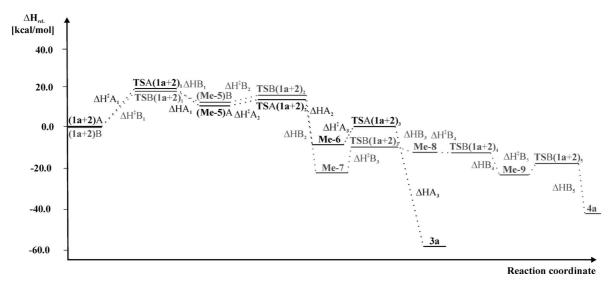


Figure 1. Schematic representation of the reaction coordinate for the cycloaddition of 2H-pyran-2-one 1a to N, N-diethylpropynamine (2). Path A is depicted in black and path B in gray. The depicted values of ΔHA and ΔHB correspond to the reaction enthalpies $\Delta HA(1a+2)$ and $\Delta HB(1a+2)$ presented in Table 4.

the diene, affording the reaction intermediates (Me-5)A and (Me-5)B by passing through the corresponding transition states $TSA(1a+2)_1$ and $TSB(1a+2)_1$. The calculated potential energy barriers associated with these transition states are located at $\Delta H^{\ddagger}A(1a+2)_1 = 19.0 \text{ kcal/mol}$ and $\Delta H^{\ddagger}B(1a+2)_1 = 17.1 \text{ kcal/mol}$, respectively. Two possible positions of the dienophile 2 relative to the diene 1a (geometry A and B in Scheme 4) were investigated to better model the second cyclization step of the reaction yielding either Me-6 (path A, main product 3a formation) or Me-7 (path B, side product 4a formation) chemical species.

Table 4. Calculated relative energies for the stationary points of the cycloaddition of 2H-pyran-2-one 1a and N,N-diethylpropynamine (2). [a]

	$\Delta H_{\rm rel.}$ [kcal/mol]*		$\Delta H_{\rm rel.}$ [kcal/mol]
$\Delta H^{\ddagger} A (1a+2)_1$	19.0	ΔH^{\ddagger} B(1a+2) ₁	17.1
$\Delta HA(1a+2)_1$	12.3	$\Delta HB(1a+2)_1$	13.2
$\Delta H^{\ddagger} A (1a+2)_2$	13.9	ΔH^{\ddagger} B(1a+2) ₂	15.2
$\Delta HA(1a+2)_2$	-8.2	$\Delta HB(1a+2)_2$	-22.0
ΔH^{\ddagger} A(1a+2) ₃	-0.3	ΔH^{\ddagger} B(1a+2) ₃	-10.6
$\Delta HA(1a+2)_3$	-58.7	$\Delta HB(1a+2)_3$	-12.1
		ΔH^{\ddagger} B(1a+2) ₄	-12.1
		$\Delta HB(1a+2)_4$	-26.7
		ΔH^{\dagger} B(1a+2) ₅	-17.0
		$\Delta HB(1a+2)_5$	-41.5

[a] All energies were calculated at the B3LYP/6-31+G(d) level, with zero point energy corrections. $^{[4a,25]}$

The B3LYP/6-31+G(d)-optimized geometries of the transition states $TSA(1a+2)_1$ and $TSB(1a+2)_1$ are depicted in Figure 2. The level of synchronicity was measured by the difference in the length of the two σ -bonds formed during the cyclization process. The difference between the bond-forming lengths in $TSA(1a+2)_1$ (1.9 Å) and $TSB(1a+2)_1$ (1.5 Å) arises from the highly asynchronous cycloaddition reaction. [4a,21]

The conformational behavior of the intermediates (Me-5)A and (Me-5)B was further investigated by constructing the torsion potential for the rotation about the newly formed σ C–C bond (Figure 3 and Scheme 4). The atoms labeled 1–4 define the torsion angle. The computed conformational dependence for a rotation about the newly formed C–C bond is presented in Figure 3 (conformations at 15, 30, 45, and 60°). The intermediates (Me-5)A (99.57°) and (Me-5)B (5.27°) (Scheme 4) are separated by a small rotational energy barrier, indicating that these two conformational states can easily equilibrate before proceeding to the next cyclization step (see Table S5 of the Supporting Information for exact energy values).

Following formation of the first σ C–C bond, the second cyclization step proceeds via the transition states $TSA(1a+2)_2$ and $TSB(1a+2)_2$, resulting in the intermediate compounds Me-6 and Me-7. The obtained values for the unique imaginary frequencies suggest a very flat potential energy surface around the transition state structures and the low calculated activation barriers correlate nicely with analogous ring-closing transitions studied previously.^[25] We cannot exclude the possibility that the low imaginary frequency is associated with rotation of one of the methyl groups. The resulting energy barrier of the transition structure $\Delta H^{\ddagger}\mathbf{B}(1\mathbf{a}+2)_2$ is 1.3 kcal/mol higher than the barrier to $\Delta H^{\ddagger}A(1a+2)_2$, indicating the favorable cyclization step of the latter, yielding the Me-6 reaction intermediate. The experimentally determined product distribution of the final products 3a:4a was 3.5:1 (Table 1); the calculated barrier thus corresponded nicely to a theoretical value of approximately 0.8 kcal/mol. Nevertheless, a caveat should be added that for a precise evaluation of product distribution, higher levels of quantum theory would be necessary. The transition state TSA(1a+2)₃ is associated with the loss of a CO₂ molecule, which ultimately affords the main product 3a. This



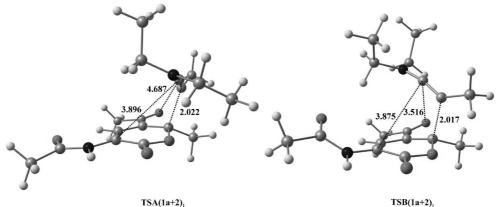


Figure 2. Optimized transition state structures $TSA(1a+2)_1$ and $TSB(1a+2)_1$ for the first step of the Diels-Alder reaction of 2H-pyran-2-one 1a and N,N-diethylpropynamine (2). The values of the lengths of the bonds directly involved in the reaction were obtained at the B3LYP/6-31+G(d) level and are given in Ångstrøms [Å]. Two geometries (path A and path B) leading to the reaction products 3a and 4a, respectively, were considered.

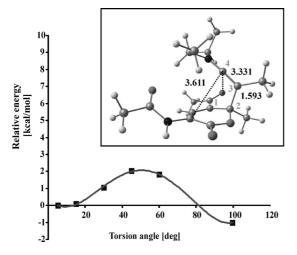


Figure 3. Cycloaddition of 2H-pyran-2-one 1a and N,N-diethylpropynamine (2). The intermediates (Me-5)B and (Me-5)A (boundary structures) and the computed torsional potential for the rotation (in steps of 15°) about the newly formed σ C–C bond in the intermediate is shown (Scheme 4). The atoms labeled 1–4 define the torsion angle. The geometry of the intermediate structure at a torsion angle of 45° is shown. The relative energy values were scaled from the value at torsion potential of 5.27°. This torsion angle corresponds to the (Me-5)B intermediate.

reaction was found to be a very exothermic process, with an energy change of –58.7 kcal/mol compared to the initial reaction complex. The rearrangement leading to the side product 4a begins with the ring-opening of the Me-7 pyranone moiety [TSB(1a+2)₃ transition state], yielding the intermediate Me-8 (Scheme 4 and Table 4). Subsequently, the formation of the side product 4a proceeds in two steps: In the first step a new bond is formed between the Me-8 carboxylic oxygen and a carbon atom adjacent to the oxygen of the pyran moiety [TSB(1a+2)₄ transition state] giving a new bicyclic Me-9 structure. Finally, after ring-opening of the Me-9 pyran ring [TSB(1a+2)₅ transition state, see Scheme 4] the side product 4a is obtained, which was found to be 41.5 kcal/mol lower on the energy scale compared with the starting reaction complex.

The cycloaddition of 2H-pyran-2-one **1b** and N,N-diethylpropynamine (2) (Table 5) was computationally investigated up to the formation of the second σ C-C bond, affording Ph-6 and Ph-7, because in the 1a+2 cycloaddition the initial reaction steps were found to be rate-determining. As in the case of 1a+2, two possible positions of the dienophile 2 relative to the diene 1b were investigated: Path A, which ultimately leads to the main reaction product 3b, and Path B, which affords the side product 4b. The reaction energy profile of the phenyl-substituted compounds is available as Figure S4 of the Supporting Information. The enthalpy change $\Delta H^{\ddagger}B(1b+2)_1$, which is associated with formation of the transition state structure TSB(1b+2)1, was calculated to possess a 0.9 kcal/mol lower relative potential energy barrier $[\Delta H^{\ddagger}B(1b+2)_{1} = 17.0 \text{ kcal/mol}]$ than its counterpart transition state structure TSA(1b+2)₁. In Figure 4, B3LYP/6-31+G(d)-optimized geometries of the transition states TSA(1b+2)₁ and TSB(1b+2)₁ are depicted. The bond lengths in the first transition state once more show a high level of asynchronicity in this DA cycloaddition reaction.^[4a,21] The lengths of the forming bonds for the two possible transition state geometries were about 1.7 Å and 1.4 Å. The intermediates (Ph-5)A and (Ph-5)B are energetically separated by a small barrier of 0.2 kcal/mol. The energy barrier associated with the transition structure TSB(1b+2)₂ is 2.1 kcal/mol higher than that calculated for

Table 5. Calculated relative energies of the cycloaddition of Ph-2*H*-pyran-2-one (**1b**) and *N*,*N*-diethylpropynamine (**2**).^[a]

(A)	$\Delta H_{\rm rel.}$ [kcal/mol]	(B)	$\Delta H_{\rm rel.}$ [kcal/mol]
ΔH^{\ddagger} A(1b+2) ₁	17.9	ΔH^{\ddagger} B(1b+2) ₁	17.0
$\Delta HA(1b+2)_1$	11.8	$\Delta HB(1b+2)_1$	12.2
$\Delta H^{\ddagger}A(1b+2)_2$	11.8	$\Delta H^{\ddagger}\mathbf{B}(\mathbf{1b+2})_{2}^{[b]}$	13.9
$\Delta HA(1b+2)_2$	-9.4	$\Delta HB(1b+2)_2$	-22.3

[a] All energies were calculated at the B3LYP/6-31+G(d) level with zero point energy corrections. [4a,25] [b] All attempts to locate the ring-closing transition structure proceeding from the intermediate (**Ph-5)B** were unsuccessful, thus this energy is approximated with the optimized $TSB(1b+2)_2$ structure with no imaginary frequency. [25]

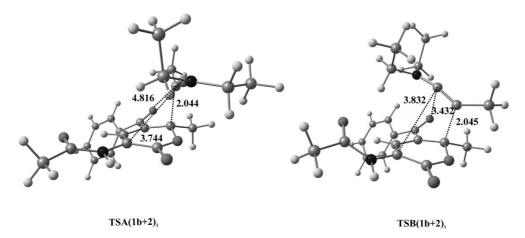


Figure 4. Optimized transition state structures $TSA(1b+2)_1$ and $TSB(1b+2)_1$ for the DA reaction of 2H-pyran-2-one (1b) and N,N-diethylpropynamine (2). The lengths of the bonds directly involved in the reaction obtained at the B3LYP/6-31+G(d) level in toluene are given in angstroms [Å]. Two conformations (Paths A and B) leading to the reaction products 3b and 4b, respectively, were considered.

TSA(1b+2)₂, indicating (as in the previous case of 1a+2) that the DA reaction favors the cyclization step leading to formation of the main product. This is in line with the obtained experimental product ratio 3b:4b of 1.2:1 (Table 1).

Investigations into the reaction of 2H-pyran-2-one derivative 1c with ethyl propiolate (10) and ethyl but-2-ynoate (11) provided two transition state structures that were significantly different from the transition states discussed above. The optimized geometries of the transition states as well as their regioisomeric counterparts are depicted in Figure 5. It can be readily observed that the calculated difference in the partial bond lengths is only 0.79 Å for the transitions are depicted in Figure 5.

sition state **TSA(1c+10)** for the reaction of diene **1c** with **10**. This finding suggests a much higher level of synchronicity, and consequently a much more concerted reaction pathway leading to the formation of the two σ C–C bonds compared to the reactions of **1a+2** and **1b+2** discussed above. The results are consistent with the experimental finding of a large, negative value for the activation entropy (-45.3 \pm 0.6 cal mol⁻¹ K⁻¹) for the reaction pair **1c+10**. A similar conclusion regarding the synchronicity of the DA reaction can be obtained for the reaction of diene **1c** with ethyl but-2-ynoate (**11**), in which the difference in the bond lengths (Δr) is 0.47 Å. Notably, no intermediate structures

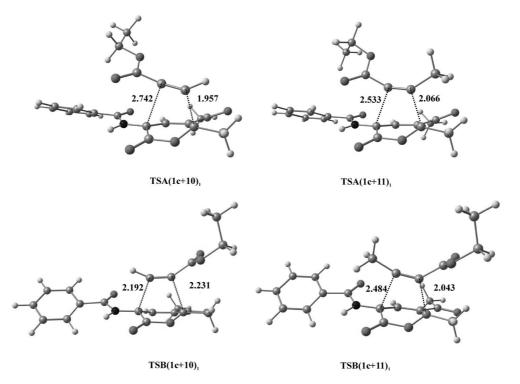


Figure 5. Transition state structures for the reaction of 2*H*-pyran-2-one **1c** with (a) ethyl propiolate (**10**) (left) and (b) ethyl but-2-ynoate (**11**) (right). The lengths of the bonds directly involved in the reaction were obtained at the B3LYP/6-31+G(d) level and are given in angstroms [Å].



similar to those modeled in the previous reactions with dienophile 2 [e.g., (Me-5)A, (Me-5)B and (Ph-5)A, (Ph-5)B] with a single C-C bond formed, could be obtained computationally, which lends support to a highly concerted reaction pathway. The calculated differences in the activation enthalpies for paths A and B in the reactions of 1a or 1b with 2 are smaller (by 6-8 kcal/mol) than those calculated for the addition of ethyl propiolate (10) and ethyl but-2ynoate (11) to pyranone 1c (Table 6). This finding is reflected in the harsh experimental reaction conditions and prolonged heating necessary to accomplish product formation in the reactions 1c+10 or 11 compared with the previous reactions. Figure 6 depicts the energy diagram for the reaction of 2*H*-pyran-2-one 1a with ethyl propiolate (10). In terms of the regioselectivity of the 1c+10 reaction, the formation of the TSA(1c+10)1 is favored over its regioisomer TSA(1c+10)₂ by about 4 kcal/mol. Higher activation enthalpy values (31-33 kcal/mol) were obtained for the reaction of 1c with 11. Unfortunately, because all attempts to isolate the product were unsuccessful, the regioselectivity cannot be directly compared to the experimental results for this reaction. However, the lower difference in the activation energy and the fact that pyranones 1e and 1g successfully reacted nonregioselectively with 11 after prolonged heating under harsh reactions conditions at 200 °C, renders the reaction of ethyl but-2-ynoate (11) with pyranones potentially less regioselective.

Table 6. Calculated relative energies of the cycloaddition between the 2*H*-pyran-2-one **1c** and the ethyl propiolate (**10**) (left) and the ethyl but-2-ynoate (**11**) (right), respectively. (A) and (B) denote two possible orientations of the reactants in the initial complex deriving two regioisomers.^[a]

(A)	$\Delta H_{\rm rel.}$ [kcal/mol]		$\Delta H_{\rm rel.}$ [kcal/mol]
$\Delta H^{\ddagger} A (1c+10)_1$	25.4	$\Delta H^{\ddagger} A (1c+11)_1$	33.0
$\Delta HA(1c+10)_1$	-18.0	$\Delta HA(1c+11)_1$	-8.1
$\Delta H^{\ddagger} A (1c+10)_2$	-8.8	$\Delta H^{\ddagger} A (1c+11)_2$	-1.1
$\Delta HA(1c+10)_2$	-76.5	$\Delta HA(1c+11)_2$	-61.7
(B)	$\Delta H_{\rm rel.}$ [kcal/mol]		$\Delta H_{\rm rel.}$ [kcal/mol]
$\frac{\text{(B)}}{\Delta H^{\ddagger} \mathbf{B} (1\mathbf{c} + 10)_{1}}$	$\Delta H_{\rm rel.}$ [kcal/mol] 29.47	ΔH^{\ddagger} B(1c+11) ₁	$\Delta H_{\rm rel.}$ [kcal/mol] 31.02
	10 [$\Delta H^{\ddagger}B(1c+11)_{1}$ $\Delta HB(1c+11)_{1}$	10
ΔH^{\ddagger} B(1c+10) ₁	29.47	\ /1	31.02
$\Delta H^{\ddagger} B (1c+10)_1$ $\Delta H B (1c+10)_1$	29.47 -17.91	$\Delta HB(1c+11)_1$	31.02 -10.71

[a] All energies were calculated at the B3LYP/6-31+G(d) level with zero point energy corrections. $^{[4a,25]}$

In addition to the results of the analysis of the energy changes along the reaction coordinates, the calculated enthalpy differences, corrected by the zero-point energy (ΔH), were supplemented with solvent effects, which were studied by using the polarizable continuum method^[24] (Tables S3, S5, S12, and S13 of the Supporting Information). In general, the inclusion of a solvent contribution lowers the free energy of the transition states by 3–5 kcal/mol. This is reasonably close to the values of 2–3 kcal/mol found previously by Domingo et al., in the cycloaddition of 1,3-butadienes with dimethyl acetylenecarboxylate, [21k] and is in line with the limited influence of the solvent on the reaction rates observed experimentally.

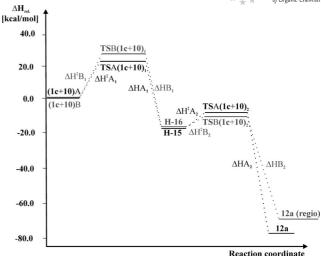


Figure 6. Schematic representation of the reaction coordinate for the cycloaddition of 2H-pyran-2-ones 1c to ethyl propiolate (10). Path A is depicted in black and the regioalternative path B in gray. The depicted values of ΔHA and ΔHB correspond to the reaction enthalpies ΔHA (1c+10) and ΔHB (1c+10) presented in Table 6.

2.2. Global Reactivity Indices and Charge-Distribution Analysis

The stationary points of the four studied DA reactions were further analyzed and the amount of charge transfer (CT) at the optimized transition states, [26,27] as well as global reactivity indices defined in the context of the DFT theory, [9] were calculated (see Tables S6–S7 and Tables S14–15 of the Supporting Information). In addition, the nucleophilicity index (*N*), which allows the behavior of the nucleophilic species to be assessed, was determined. [9] Representative data are presented in Table 7.

The amount of charge transferred during transition state formation was determined by natural population analysis (Tables S8–S11 and S16–19 of the Supporting Information). In the reaction of 1a with 2, the values of charge [0.43 au (path A) and 0.45 au (path B)] transferred from 2 to 1a suggest a polar reaction pathway for the reaction. We would also like to note that the relatively large charge transfer and high non-symmetry of the C-C bond formation in the reaction of 1 with 2 might even support an ionic character for these reactions. As expected, the comparable reaction of **1b+2** showed similar behavior [0.42 au (path A) and 0.44 au (path B)] for the charge transfer from 2 to 1b. Furthermore, inclusion of solvent effects had only marginal effects on the CT values, thus complementing nicely the observed minor influence of the solvent on the observed rate constants. The large observed CT of 0.42-0.45 au for the neutral species entering the reaction is in line with the P-DA reaction.^[5] On the other hand, the values of CT in the reverse direction, from the pyranone 1c to the substituted carboxylate dienophiles 10 and 11, are considerably lower (0.05 au and 0.04 au).^[5] These values exemplify the significant change in the polar character of the latter adduct.

Table 7. Global reactivity indices of the reactants^[a] involved in the cycloaddition reactions of 2*H*-pyran-2-ones 1a–f with the non-symmetrically substituted alkynes 2, 10, and 11.

Compound	μ [au]	η [au]	$\Delta N_{ m max}$	ω [eV]	$N^{[b]}$
Ethyl propiolate (10)	-0.1756	0.1509	1.1637	2.78	1.46
2 <i>H</i> -Pyran-2one (1b)	-0.1599	0.1501	1.0653	2.32	3.01
2 <i>H</i> -Pyran-2one (1a)	-0.1606	0.1586	1.0126	2.21	2.88
2 <i>H</i> -Pyran-2-one (1c)	-0.1589	0.1554	1.0221	2.21	2.97
2 <i>H</i> -Pyran-2-one (1d)	-0.1593	0.1470	1.0837	2.35	3.07
2 <i>H</i> -Pyran-2-one (1f)	-0.1561	0.1558	1.0019	2.12	3.04
2 <i>H</i> -Pyran-2-one (1g)	-0.1507	0.1528	0.9862	1.98	3.23
2 <i>H</i> -Pyran-2-one (1e)	-0.1447	0.1455	0.9945	1.96	3.49
Ethyl but-2-ynoate (11)	-0.1605	0.2294	0.6997	1.53	1.91
1-(Diethylamino)propyne (2)	-0.0952	0.1988	0.4789	0.62	4.11

[a] Global electrophilicity (ω); electronic chemical potential (μ) and chemical hardness (η); $\Delta N_{\rm max}$ maximal amount of electronic charge that the electrophile can accept, and the nucleophilicity index (N). [b] The HOMO energy of tetracyanoethylene (TCE) is -0.34577 au at the same level of theory.^[9]

The calculated electronic characteristics can also be correlated with the measured, as well as calculated, activation parameters. Reactions with lower CT, for example, reaction of pyranone 1c with 10, correlated with the experimentally and computationally observed higher energy barriers necessary for this DA reaction to occur. In contrast, higher CT values for the 1a+2 and 1b+2 reactions corresponded to a lower activation energy barrier. This also leads to a change in the reaction mechanism and suggests that a significantly more polar reaction takes place in the latter cases.^[5]

The electronic chemical potential μ of the dienes 1a–d (-0.1606, -0.1599, -0.1589, and 0.1593 au, respectively) is lower than those of ynamine 2 (-0.0952 au), indicating that CT will take place during cycloaddition from the ynamine 2 to the dienes 1a–d. On the other hand, the electronic chemical potential of the electron-deficient acetylenes 10 (-0.1756 au) is lower than that of the dienes. Thus, for the DA reaction between 10 and the dienes 1c and 1d, there is a clear reversal in the flow of the CT going from the dienes to acetylene derivative 10, which possesses the lowest chemical potential value of the series.

A low value of global electrophilicity ($\omega = 0.62 \text{ eV}$) for compound 2 classifies this species as a marginal electrophile. On the other hand, a relatively high value of ω calculated for pyranone dienes ($\omega = 1.96-2,32 \text{ eV}$) puts these chemical species among the moderate electrophiles on the electrophilicity scale for common substrates involved in the DA reactions.^[5] In comparison to the electrophilicity of **1a** and 1b, the difference $\Delta\omega = 1.59 \, \text{eV}$ and 1.7 eV confirms the flow of the electrons from compound 2 to the pyranone, as well as the polar nature of these types of reaction.^[5] This can be further correlated with the experimental observation from ¹³C NMR spectroscopy (see above), which supports the notion that 2 acts as a nucleophile in the reaction with dienes 1a and 1b. Similarly, compound 10, which possesses a greater global electrophilicity index ($\omega = 2.78 \text{ eV}$) compared with 2H-pyran-2-ones, results in a reverse flow of electrons during the reaction. The smaller difference with 1c ($\Delta\omega = 0.57 \text{ eV}$) is in accordance with the lower polar character of this DA reaction. In addition, analysis of the nucleophilicity index^[9] N showed that it was in line with the

previously observed behavior. N,N-Diethylpropynamine (2) has a larger nucleophilic index (N = 4.11 eV) compared with the reacting pyranones 1a-d (N = 2.88-3.07 eV), which renders this species nucleophilic in the polar DA reactions. On the other hand, the reverse situation is observed for the reaction of 1c (N = 2.97 eV) with compounds 10 (N = 1.46 eV) and 11 (N = 1.97 eV), in which the pyranones act as nucleophiles. Due to the smaller difference in the N index, a less polar character is displayed for the 1c+10 reaction.

Conclusions

The molecular mechanisms for [4+2] cycloaddition reactions involving a combination of acetylene derivatives and 2H-pyran-2-ones have been studied by a combination of experimental and computational DFT methods. Our theoretical and experimental investigations revealed the polar character of the DA reaction between N,N-diethylpropynamine (2) and 2H-pyran-2-ones 1. These reactions are described by an asynchronous, two-step mechanism characterized by the rate-limiting nucleophilic attack, Michael addition, of the alkyne 2 to the electron-deficient 2H-pyran-2-one derivatives 1 with the formation of a C-C bond. This is in line with the characteristics of a P-DA reaction mechanism.^[5] Ring closure affords the final cycloadduct through the formation of the second C-C bond in the subsequent stage of the reaction. The higher CT and global reactivity indices confirmed the polar nature of the reaction and identified ynamine 2 as a nucleophilic species in these polar DA reactions. The observed mechanism has parallels in the stepwise mechanism studied by Jorgensen et al. in the enzymatic environment of the macrophomate synthase, where evidence was presented that the Diels-Alder transition state is less stable than the Michael-aldol transition state, [10c] as well as in recent examples of the competition between a polar Diels-Alder and a two-step reaction described by Bongini and Panunzio et al.^[28] The unexpected formation of the minor products 4a-d during the reaction of 1 with 2 can be rationalized by considering the low torsion barrier around the newly formed C-C bond, which enables two different cycli-



zation modes. Singleton et al.^[29] have employed a dynamic trajectory approach^[30] to point out the possibility of non-statistical recrossing to explain the yield of minor products.

The change in the electrostatic nature of the acetylene derivatives to the carboxyl-substituted chemical species 10 and 11 resulted in a change in the reaction pathway, with 2H-pyran-2-ones 1 displaying a more synchronous, concerted pathway. Furthermore, a smaller CT in the reverse direction to the previous reaction, a lower difference in the global electrophilicity ω and nucleophilicity index N, favored a less polar and more energy-demanding reaction pathway. In these DA reactions, 2H-pyran-2-ones 1 played the role of the nucleophile. Overall, all the computational results consistently corroborated the available experimental data and kinetic analyses. We believe that the results of this study will assist in the identification of compatible dienedienophile substrates for use in 2*H*-pyran-2-one chemistry that will enable efficient cycloaddition reactions to be developed and lead to highly functionalized benzene derivatives. The results should also contribute to a deeper mechanistic understanding of these highly versatile reactions.

Experimental Section

General Procedure for the Cycloaddition of 2*H*-Pyran-2-ones 1a–c with *N*,*N*-Diethyl-1-propyn-1-amine: To a solution of one of the 2*H*-pyran-2-ones 1a–c (1 mmol) in THF (5 mL), *N*,*N*-diethylpropyn-amine (222 mg, 2 mmol) was added. The reaction mixture was stirred at r.t. for 0.75–2.5 h and then evaporated to dryness. Purification of the residue by column chromatography (light petroleum/ethyl acetate, 5:3 then 1:1) gave the products 3a–c. Additionally, 4a–c were isolated as white solids.

N-[5-Acetyl-2-(diethylamino)-3,4-dimethylphenyl]acetamide (3a): Yield 68%; yellow oil. IR (NaCl): $\bar{\mathbf{v}}=3318$ (br), 2969 (m), 1686 (s), 1574 (s), 1507 (s), 1409 (m), 1322 (m), 1268 (m), 1216 (m), 1188 (m), 888 (w) cm⁻¹. ¹H NMR (300 MHz): $\delta=1.03$ (t, J=7.0 Hz, 6 H, Et), 2.19 (s, 6 H, Me), 2.27 (s, 3 H, Me), 2.57 (s, 3 H, Me), 3.00–3.08 (m, 2 H, Et), 3.15–3.22 (m, 2 H, Et), 8.61 (s, 1 H, Ph), 9.17 (br. s, 1 H, NH) ppm. ¹³C NMR (75.5 MHz): $\delta=14.8$, 15.5, 16.1, 24.7, 30.1, 48.3, 115.2, 130.8, 135.7, 137.5, 137.6, 137.9, 167.7, 203.4 ppm. MS (EI, 70 eV): mlz (%) = 276 (100) [M]⁺, 261 (50), 247 (55), 205 (90), 189 (53). HRMS: calcd. for C₁₆H₂₄N₂O₂: 276.1838; found 276.1845.

N-{5-[(1*Z*)-3-(Diethylamino)-1,2-dimethyl-3-oxoprop-1-enyl]-6-methyl-2-oxo-2*H*-pyran-3-yl}acetamide (4a): Yield 21%; m.p. 169–170 °C (Et₂O/CH₂Cl₂). IR (KBr): \bar{v} = 3328 (br), 2974 (m), 2932 (m), 1681 (s), 1627 (s), 1564 (s), 1518 (s), 1430 (s), 1383 (s), 1327 (m), 1289 (m), 1238 (s), 1167 (m), 1123 (m), 1042 (m), 923 (s), 776 (s) cm⁻¹. ¹H NMR (300 MHz): $\bar{\delta}$ = 0.81 (t, *J* = 7.0 Hz, 3 H, Et), 1.10 (t, *J* = 7.0 Hz, 3 H, Et), 1.88 (s, 3 H, Me), 1.94 (s, 3 H, Me), 2.18 (s, 3 H, Me), 2.20 (s, 3 H, Me), 2.66–3.73 (m, 4 H, Et), 7.91 (br. s, 1 H, NH), 8.03 (s 1 H, =CH-) ppm. ¹³C NMR (75.5 MHz): $\bar{\delta}$ = 12.0, 14.2, 16.2, 17.8, 18.4, 24.4, 37.2, 42.1, 117.8, 122.2, 125.8, 126.9, 133.1, 152.3, 159.6, 169.1, 170.7 ppm. MS (EI): *mlz* (%) = 320 (100) [M]⁺, 205 (88), 177 (40), 100 (42), 72 (43). HRMS: calcd. for C₁₇H₂₄N₂O₄: 320.1736; found 320.1745. C₁₇H₂₄N₂O₄ (320.39): calcd. C 63.73, H 7.55, N 8.74; found C 63.82, H 7.89, N 8.89.

General Procedure for the Cycloaddition of 2*H*-Pyran-2-ones 1c-g with Ethyl Propiolate (10) and Ethyl But-2-ynoate (11):^[11f,11g] A

mixture of the starting 2*H*-pyran-2-one 1c-g (2 mmol) and dienophile 10 or 11 (12 mmol) in decaline or tetraline (6 mL) was heated at 160-195 °C for 6-48 h. After the reaction was completed, the volatile material was evaporated under high vacuum and the residue was purified by column chromatography on SiO_2 .

Ethyl 2-Benzamido-5-methylbenzoate (12g): After purification by column chromatography (EtOAc/petroleum ether, 1:5 then 1:3), white crystals of **12g** were obtained; yield 180 mg (44%); m.p. 151.5–152.5 °C (CH₂Cl₂/petroleum ether). IR (KBr): $\tilde{v} = 3445$, 1749, 1634, 1515, 1294, 1248, 1090, 989 cm⁻¹. ¹H NMR (300 MHz): $\delta = 1.42$ (t, J = 7.0 Hz, 3 H, Et), 2.35 (s, 3 H, Me), 4.40 (q, J = 7.0 Hz, 2 H, Et), 7.40 (dd, J = 8.5, 2.0 Hz, 1 H, Ph), 7.47–7.56 (m, 3 H, Ph), 7.88 (d, J = 2.0 Hz, 1 H, Ph), 8.02–8.05 (m, 2 H, Ph), 8.81 (d, J = 8.5 Hz, 1 H, NH) ppm. ¹³C NMR (75.5 MHz): $\delta = 14.2$, 20.7, 61.4, 115.3, 120.4, 127.3, 128.7, 130.9, 131.7, 132.0, 135.0, 135.3, 139.5, 165.4, 168.6 ppm. MS (ES+): mlz = 284 [M + H]⁺. HRMS: calcd. for C₁₇H₁₈NO₃: 284.1287; found 284.1285. C₁₇H₁₇NO₃ (283.33): calcd. C 72.071, H 6.05, N 4.94; found C 71.95, H 6.01, N 5.01.

Ethyl 5-Benzamido-4'-methoxy-2,3-dimethylbiphenyl-4-carboxylate (13e): After purification by column chromatography (EtOAc/petroleum ether, 1:5 then 1:3), white crystals of 13e were obtained; yield 180 mg (44%); m.p. 151.5–152.5 °C (Et₂O/petroleum ether). IR (KBr): $\tilde{v} = 3437$ (brw), 1724 (s), 1639 (s), 1611 (m), 1512 (s), 1459 (m), 1292 (m), 1246 (s), 1192 (m), 1108 (m), 1039 (s), 831 (s), 692 (s) cm⁻¹. ¹H NMR (300 MHz): $\delta = 1.40$ (t, J = 7.0 Hz, 3 H, Et), 2.17 (s, 3 H, Me), 2.25 (s, 3 H, Me), 3.83 (s, 3 H, Me), 4.42 (q, J = 7.0 Hz, 2 H, Et), 6.91 (AA'XX', J = 8.5 Hz, 2 H, pMeOAr), 7.44–7.56 (m, 3 H, Ph), 7.68 (s, 1 H, Ph), 7.77 (br. s, 1 H, NH), 7.85–7.88 (m, 2 H, Ph) ppm. ¹³C NMR (75.5 MHz): $\delta = 14.2$, 14.7, 17.3, 55.3, 61.2, 113.5, 126.0, 126.7, 127.1, 128.8, 129.3, 130.4, 131.9, 133.1, 133.4, 134.6, 136.4, 140.7, 158.7, 165.8, 170.1 ppm. MS (ES+): m/z = 404 [M + H]⁺. HRMS: calcd. for C₂₅H₂₆NO₄: 404.1862; found 404.1866.

Ethyl 5-Benzamido-4'-methoxy-2,4-dimethylbiphenyl-3-carboxylate (14e): After purification by column chromatography (EtOAc/petroleum ether, 1:5 then 1:3), white crystals of 14e were obtained; yield 70 mg (17%); m.p. 137.5–138.5 °C (Et₂O/petroleum ether). IR (KBr): $\tilde{v} = 3451$ (br., w), 1669 (s), 1579 (s), 1509 (s), 1405 (m), 1283 (s), 1246 (s), 1176 (m), 1138 (m), 836 (m), 697 (m) cm⁻¹. ¹H NMR (300 MHz): $\delta = 1.41$ (t, J = 7.0 Hz, 3 H, Et), 2.18 (s, 3 H, Me), 2.41 (s, 3 H, Me), 3.85 (s, 3 H, Me), 4.45 (q, J = 7.0 Hz, 2 H, Et), 6.94 (AA'XX', J = 8.5 Hz, 2 H, pMeOAr), 7.26 (AA'XX', J = 8.5 Hz, 2 H, pMeOAr), 7.26 (AA'XX', J = 8.5 Hz, 2 H, pMeOAr), 7.46–7.56 (m, 3 H, Ph), 7.91–7.93 (m, 2 H, Ph), 8.25 (s, 1 H, Ph), 9.87 (br. s, 1 H, NH) ppm. ¹³C NMR (75.5 MHz): $\delta = 14.1$, 17.3, 19.0, 55.3, 61.7, 113.5, 121.2, 121.3, 127.1, 128.8, 130.4, 131.1, 131.8, 134.1, 134.4, 134.8, 136.9, 145.3, 158.8, 165.0, 169.8 ppm. MS (ES+): m/z = 404 [M + H]⁺. HRMS: calcd. for C₂₅H₂₆NO₄: 404.1862; found 404.1866.

Supporting Information (see footnote on the first page of this article): Full experimental data, kinetic details, X-ray structure data and computational details.

Acknowledgments

The Ministry of Higher Education, Science and Technology of the Republic of Slovenia as well as the Slovenian Research Agency (P1-0012, P1-0230-0103, and PS-0175) are gratefully acknowledged for their financial support. G. Miličič, K. Zorko, and K. Slanc, Faculty of Chemistry and Chemical Technology University of Ljubljana,

FULL PAPER

B. Štefane, A. Perdih et al.

are gratefully acknowledged for laboratory assistance, and Dr. Urban Bren from the National Institute of Chemistry is thanked for stimulating discussions in the initial stage of this work.

- a) I. Fleming, Frontier Orbitals and Organic Chemical Reactions, John Wiley & Sons, New York, 2000; b) J. Sauer, Angew. Chem. Int. Ed. Engl. 1967, 6, 17–33; c) J. Sauer, R. Sustmann, Angew. Chem. Int. Ed. Engl. 1980, 19, 779–807; d) K. H. Houk, J. González, Y. Li, Acc. Chem. Res. 1995, 28, 81–90; e) E. Eibler, P. Höcht, B. Prantl, H. Roßmaier, H. M. Schuhbauer, H. Wiest, J. Sauer, Liebigs Ann./Recl. 1997, 2471–2484.
- [2] a) J. C. Scaiano, V. Wintgens, K. Haider, J. A. Berson, J. Am. Chem. Soc. 1989, 111, 8732–8733; b) K. Lücking, M. Rese, R. Sustmann, Liebigs Ann. 1995, 1129–1138; c) M. Rese, M. Dern, K. Lücking, R. Sustmann, Liebigs Ann. 1995, 1139–1152; d) G. Orlova, J. D. Goddard, J. Org. Chem. 2001, 66, 4026–4035; e) K. L. Handoo, Y. Lu, D. V. Parker, J. Am. Chem. Soc. 2003, 125, 9381–9387.
- [3] For reviews on the topic, see: a) R. Huisgen, Acc. Chem. Res. 1977, 10, 117–124; b) R. Huisgen, Acc. Chem. Res. 1977, 10, 199–206; c) H. K. Hall, A. B. Padias, Acc. Chem. Res. 1990, 23, 3–9. For examples, see: d) P. G. Gassman, D. B. Gorman, J. Am. Chem. Soc. 1990, 112, 8624–8626 and references cited therein; e) F. Jensen, C. S. Foote, J. Am. Chem. Soc. 1987, 109, 6376–6385; f) K.-P. Hartmann, M. Heuschmann, Angew. Chem. 1989, 101, 1288–1290; g) R. Sustmann, S. Tappanchai, H. Bandmann, J. Am. Chem. Soc. 1996, 118, 12555–12561; h) R. Sustmann, M. Rogge, U. Nüchter, H. Bandmann, Chem. Ber. 1992, 125, 1657–1664.
- [4] a) R. Gordillo, K. N. Houk, J. Am. Chem. Soc. 2006, 128, 3543–3553; b) G. A. Griffith, I. H. Hillier, A. C. Moralee, J. M. Percy, R. Roig, M. A. Vincent, J. Am. Chem. Soc. 2006, 128, 13130–13141; c) R. G. Iafe, K. N. Houk, Org. Lett. 2006, 8, 3469–3472; d) L. R. Domingo, M. T. Picher, P. Arroyo, Eur. J. Org. Chem. 2006, 2570–2580; e) L. R. Domingo, Eur. J. Org. Chem. 2004, 4788–4793; f) L. R. Domingo, M. J. Aurell, P. Pérez, R. Contreras, J. Org. Chem. 2003, 68, 3884–3890.
- [5] L. R. Domingo, J. A. Saez, Org. Biomol. Chem. 2009, 7, 3576–3583.
- [6] a) J. Sauer, H. Wist, A. Mielert, Chem. Ber. 1964, 97, 3183–3207; b) C. Rücker, D. Lang, J. Sauer, H. Friege, R. Sustmann, Chem. Ber. 1980, 113, 1663–1690; c) R. Sustmann, M. Rogge, U. Nüchter, H. Badmann, Chem. Ber. 1992, 125, 1646–1656; d) R. Sustmann, M. Rogge, U. Nüchter, J. Harvey, Chem. Ber. 1992, 125, 1665–1667.
- [7] a) R. Sustmann, W. Sicking, J. Am. Chem. Soc. 1996, 118, 12562–12571; b) W. L. Jorgensen, D. Lim, J. F. Blake, J. Am. Chem. Soc. 1993, 115, 2936–2942; c) D. M. Birney, K. N. Houk, J. Am. Chem. Soc. 1990, 112, 4127–4133; d) R. J. Loncharich, F. K. Brown, K. N. Houk, J. Org. Chem. 1989, 54, 1129–1134; e) K. N. Houk, R. J. Loncharich, J. F. Blake, W. L. Jorgensen, J. Am. Chem. Soc. 1989, 111, 9172–9176; f) H. L. Gingrich, D. M. Roush, W. A. Van Saun, J. Org. Chem. 1983, 48, 4869–4873.
- [8] K. Alder, H. F. Rickert, Ber. Dtsch. Chem. Ges. 1937, 70, 1354– 1363.
- [9] a) R. G. Parr, R. G. Pearson, J. Am. Chem. Soc. 1983, 105, 7512–7516; b) R. G. Parr, W. Yang, Density Functional Theory of Atoms and Molecules, Oxford University Press: New York, 1989; c) R. G. Parr, L. von Szentpaly, S. Liu, J. Am. Chem. Soc. 1999, 121, 1922–1924; d) R. G. Pearson, Chemical Hardness: Applications from Molecules to Solids, Wiley-VHC, Weinheim, Germany, 1997; e) L. R. Domingo, M. J. Aurell, P. Perez, R. Contreras, Tetrahedron 2002, 58, 4417–4423; f) L. R. Domingo, R. Contreras, J. Phys. Chem. A 2002, 106, 6871–6879; g) L. R. Domingo, E. Chamorro, P. Pérez, J. Org. Chem. 2008, 73, 4615–4624; h) P. Pérez, L. R. Domingo, M. Duque-Noreña, E. Chamorro, THEOCHEM 2009, 895, 86–91.

- [10] a) K. Watanabe, T. Mie, A. Ichihara, H. Oikawa, M. Honma, J. Biol. Chem. 2000, 275, 38393–38401; b) T. Ose, K. Watanabe, T. Mie, M. Honma, H. Watanabe, M. Yao, H. Oikawa, I. Tanaka, Nature 2003, 422, 185–189; c) C. R. W. Guimarães, M. Udier-Blagović, W. L. Jorgensen, J. Am. Chem. Soc. 2005, 127, 3577–3588.
- [11] a) K. Afarinkia, V. Vinader, T. D. Nelson, G. H. Posner, *Tetrahedron* 1992, 48, 9111–9171; b) B. T. Woodard, G. H. Posner, *Advances in Cycloaddition* (Ed.: M. Harmata), JAI Press Inc, Greenwich, 1999, p. 47–83; c) K. Kranjc, I. Leban, S. Polanc, M. Kočevar, *Heterocycles* 2002, 58, 183–189; d) K. Kranjc, S. Polanc, M. Kočevar, *Org. Lett.* 2003, 5, 2833–2836; e) K. Kranjc, M. Kočevar, F. Iosif, S. M. Coman, V. I. Parvulescu, E. Genin, J.-P. Genêt, V. Michelet, *Synlett* 2006, 1075–1079; f) K. Kranjc, B. Štefane, S. Polanc, M. Kočevar, *J. Org. Chem.* 2004, 69, 3190–3193; g) K. Kranjc, M. Kočevar, *New J. Chem.* 2005, 29, 1027–1034; h) K. Kranjc, F. Perdih, M. Kočevar, *J. Org. Chem.* 2009, 74, 6303–6306.
- [12] a) T. A. Bryson, D. M. Donelson, J. Org. Chem. 1977, 42, 2930–2931; b) P. Martin, J. Streith, G. Rihs, T. Winkler, D. Belluš, Tetrahedron Lett. 1985, 26, 3947–3950; c) P. Martin, E. Steiner, J. Streith, T. Winkler, D. Belluš, Tetrahedron 1985, 41, 4057–4078.
- [13] R. E. Ireland, R. C. Anderson, R. Badoud, B. J. Fitzsimmons, G. J. McGarvey, S. Thaisrivongs, C. S. Wilox, J. Am. Chem. Soc. 1983, 105, 1988–2006.
- [14] see the Supporting Information for details.
- [15] C. Ester, A. Maderna, H. Pritzkow, W. Siebert, Eur. J. Inorg. Chem. 2000, 1177–1184.
- [16] a) C. Reichardt, Solvents and Solvent Effect in Organic Chemistry, Wiley-VHC, Weinheim, 2003; b) R. Huisgen, Pure Appl. Chem. 1980, 52, 2283–2302.
- [17] a) E. Gomez-Bengoa, M. D. Helm, A. Plant, J. P. A. Harrity, J. Am. Chem. Soc. 2007, 129, 2691–2699; b) D. L. Browne, J. F. Vivat, A. Plant, E. Gomez-Bengoa, J. P. A. Harrity, J. Am. Chem. Soc. 2009, 131, 7762–7769.
- [18] G. Steiner, R. Huisgen, Tetrahedron Lett. 1973, 14, 3769–3776.
- [19] a) K. Afarinkia, M. J. Bearpark, A. Ndibwami, J. Org. Chem. 2003, 68, 7158–7166; b) K. Afarinkia, M. J. Bearpark, A. Ndibwami, J. Org. Chem. 2005, 70, 1122–1133.
- [20] a) A. D. Becke, J. Chem. Phys. 1993, 98, 5648-5652; b) C. Lee,
 W. Yang, R. G. Parr, Phys. Rev. B 1988, 37, 785-789.
- [21] a) R. V. Stanton, K. M. Merz, J. Chem. Phys. 1994, 100, 434–443; b) J. Baker, M. Muir, J. Andzelm, J. Chem. Phys. 1995, 102, 2036–2079; c) B. Jursic, Z. Zdravkovski, J. Chem. Soc. Perkin Trans. 2 1995, 1223–1226; d) E. Goldstein, B. Beno, K. N. Houk, J. Am. Chem. Soc. 1996, 118, 6036–6043; e) V. Branchadell, Int. J. Quantum Chem. 1997, 61, 381–388; f) A. Sbai, V. Branchadell, R. M. Ortuno, A. Oliva, J. Org. Chem. 1997, 62, 3049–3054; g) I. Morao, B. Lecea, F. P. Cossio, J. Org. Chem. 1997, 62, 7033–7036; h) L. F. Tietze, T. Pfeiffer, A. Schuffenhauer, Eur. J. Org. Chem. 1998, 2733–2741; i) L. R. Domingo, M. Arno, J. Andres, J. Am. Chem. Soc. 1998, 120, 1617–1618; j) L. R. Domingo, M. J. Aurell, J. Org. Chem. 2002, 67, 959–965; k) L. R. Domingo, M. Arno, R. Contreras, P. Perez, J. Phys. Chem. A 2002, 106, 952–961; l) G. Orlova, J. D. Goddard, J. Org. Chem. 2001, 66, 4026–4035.
- [22] W. J. Hehre, L. Radom, P. V. R Schleyer, J. A. Pople, Ab initio Molecular Orbital Theory, Wiley, New York, 1986.
- [23] Gaussian 03, Revision C.02, M. J. Frisch, G. W. Trucks, H. B. Schlegel, G. E. Scuseria, M. A. Robb, J. R. Cheeseman, J. A. Montgomery, T. Vreven Jr, K. N. Kudin, J. C. Burant, J. M. Millam, S. S. Iyengar, J. Tomasi, V. Barone, B. Mennucci, M. Cossi, G. Scalmani, N. Rega, G. A. Petersson, H. Nakatsuji, M. Hada, M. Ehara, K. Toyota, R. Fukuda, J. Hasegawa, M. Ishida, T. Nakajima, Y. Honda, O. Kitao, H. Nakai, M. Klene, X. Li, J. E. Knox, H. P. Hratchian, J. B. Cross, V. Bakken, C. Adamo, J. Jaramillo, R. Gomperts, R. E. Stratmann, O. Yazyev, A. J. Austin, R. Cammi, C. Pomelli, J. W. Ochterski, P. Y. Ayala, K. Morokuma, G. A. Voth, P. Salvador, J. J. Dannen-



- berg, V. G. Zakrzewski, S. Dapprich, A. D. Daniels, M. C. Strain, O. Farkas, D. K. Malick, A. D. Rabuck, K. Raghavachari, J. B. Foresman, J. V. Ortiz, Q. Cui, A. G. Baboul, S. Clifford, J. Cioslowski, B. B. Stefanov, G. Liu, A. Liashenko, P. Piskorz, I. Komaromi, R. L. Martin, D. J. Fox, T. Keith, M. A. Al-Laham, C. Y. Peng, A. Nanayakkara, M. Challacombe, P. M. W. Gill, B. Johnson, W. Chen, M. W. Wong, J. Gonzalez, A. C. Pople, Gaussian, Inc., Wallingford CT, 2004.
- [24] S. Miertus, E. Scrocco, J. Tomasi, *Chem. Phys.* **1981**, *55*, 117–129.
- [25] M. Dai, D. Sarlah, M. Yu, S. J. Danishefsky, G. O. Jones, K. N. Houk, J. Am. Chem. Soc. 2007, 129, 645–657.
- [26] P. Geerlings, F. De Proft, W. Langenaeker, Chem. Rev. 2003, 103, 1793–1873.
- [27] C. Spino, H. Rezaei, Y. L. Dory, J. Org. Chem. 2004, 69, 757–764
- [28] a) A. Bongini, M. Panunzio, Eur. J. Org. Chem. 2006, 972–977;
 b) A. Bongini, Z. Xia, M. Panunzio, Eur. J. Org. Chem. 2006, 3533–3538.
- [29] B. R. Ussing, C. Hang, D. A. Singleton, J. Am. Chem. Soc. 2006, 128, 7594–7607.
- [30] B. K. Carpenter, Angew. Chem. Int. Ed. 1998, 37, 3340–3350.
 Received: February 21, 2010
 Published Online: August 31, 2010