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ARTICLE in METHODS IN MOLECULAR BIOLOGY (CLIFTON, N.J.) · FEBRUARY 2013

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Structural Characterization of Membrane Proteins and Peptides by FTIR and ATR-FTIR Spectroscopy

Suren A. Tatulian 4

Abstract 5

Fourier transform infrared (FTIR) spectroscopy is widely used in structural characterization of proteins or 6 peptides. While the method does not have the capability of providing the precise, atomic-resolution 7 molecular structure, it is exquisitely sensitive to conformational changes occurring in proteins upon 8 functional transitions or upon intermolecular interactions. Sensitivity of vibrational frequencies to atomic 9 masses has led to development of "isotope-edited" FTIR spectroscopy, where structural effects in two 10 proteins, one unlabeled and the other labeled with a heavier stable isotope, such as ¹³C, are resolved 11 simultaneously based on spectral downshift (separation) of the amide I band of the labeled protein. The 12 same isotope effect is used to identify site-specific conformational changes in proteins by site-directed or 13 segmental isotope labeling. Negligible light scattering in the infrared region provides an opportunity to 14 study intermolecular interactions between large protein complexes, interactions of proteins and peptides 15 with lipid vesicles, or protein–nucleic acid interactions without light scattering problems often encountered 16 in ultraviolet spectroscopy. Attenuated total reflection FTIR (ATR-FTIR) is a surface-sensitive version of 17 infrared spectroscopy that has proved useful in studying membrane proteins and lipids, protein-membrane 18 interactions, mechanisms of interfacial enzymes, and molecular architecture of membrane pore or channel 19 forming proteins and peptides. The purpose of this article was to provide a practical guide to analyze protein 20 structure and protein-membrane interactions by FTIR and ATR-FTIR techniques, including procedures of 21 sample preparation, measurements, and data analysis. Basic background information on FTIR spectroscopy, 22 as well as some relatively new developments in structural and functional characterization of proteins and 23 peptides in lipid membranes, are also presented.

Key words: Infrared spectroscopy, Protein structure, Lipid structure, Membrane, Protein-membrane interactions, Transmembrane orientation, Protein isotope labeling 26

1. Introduction

FTIR spectroscopy has become one of the most popular techniques 28 employed in structural characterization of proteins and peptides. 29 The utility of this method is based, first of all, on the wide spectral 30 range of a single spectrum, which covers the vibrational frequencies 31 of various chemical groups occurring not only in a polypeptide 32

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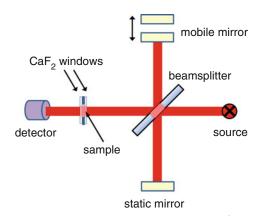


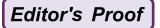
Fig. 1. Schematic presentation of the infrared experimental setup. Description is provided in the main text.

chain but practically in all organic and inorganic compounds. This allows for analysis of interactions of proteins with lipids, nucleic acids, drugs, and other molecules; the spectra of complex systems provide structural information on all components that generate absorbance bands at specific spectral regions. Moreover, since various structural or functional groups of biomolecules have distinct chemical compositions and hence absorb at specific frequencies, information on the individual functional groups of molecules is obtained from FTIR spectra without the need of labeling with molecular probes. Other advantages of FTIR spectroscopy include the absence of light scattering problems, often encountered in UV spectroscopy such as circular dichroism or fluorescence. This is especially important when working with membrane proteins or peptides in the presence of lipid vesicles, which strongly scatter at lower wavelengths in the UV region. The kinetics of amide hydrogen/deuterium exchange (HX) of a protein upon exposure to D_2O is readily measured by FTIR and provides information on the structural flexibility and the degree of solvent accessibility, that is, the structural dynamics of proteins. Polarized ATR-FTIR offers additional advantages such as increased sensitivity and an opportunity to determine the orientation of membrane-bound proteins in addition to their secondary structure and dynamic properties. Sensitivity of infrared vibrations to atomic masses allows one to gain site-specific structural information on proteins by stable isotope labeling, without gross perturbations like those often induced by labeling with relatively bulky chemical groups. Lastly, the ease of FTIR experiments and the affordable cost of instrumentation make it a facile yet powerful technique for protein structural studies.

FTIR instrumentation is relatively simple (Fig. 1). The infrared light source, such as a heated tungsten filament, radiates polychromatic light in the infrared region. The beam is directed to a Michelson interferometer that contains a beam splitter, for

example, germanium, which passes 50 % of the beam and reflects 65 the other 50 %. The split beams hit two mirrors, one static and the 66 other oscillating back and forth with certain frequency and ampli- 67 tude. The two beams, reflected from the static and mobile mirrors, 68 recombine at the beam splitter, undergo interference because of the 69 optical path-length difference (Δl), and travel to the sample. In 70 direct transmission FTIR, the sample is normally placed between 71 two infrared-transparent windows, such as CaF2, separated by a 72 thin (typically 5–50 µm) spacer. The beam passes through the 73 sample and travels to the detector, such as a high-sensitivity, liquid 74 nitrogen-cooled Hg/Cd/Te (MCT) detector, which simply 75 records the light intensity. For each individual wavelength within 76 the beam, the beams returning from the static and mobile mirrors 77 undergo constructive interference at zero Δl as well as constructive 78 or destructive interference at $\Delta l = m\lambda$ or $\Delta l = (m + 1/2)\lambda$, respec- 79 tively, where m is any integral number. The result is that the light 80 that reaches the detector is a sum of cosine functions, known as the 81 interferogram. Fourier transformation of the interferogram results 82 in a transmission spectrum, that is, the intensity of transmitted light 83 as a function of frequency (or the wavenumber). (The wavenumber 84 is $W = 1/\lambda = v/c$, where λ is the wavelength, ν is the temporal 85 frequency of the vibration, and c is the speed of light.) The resolution of the spectrum is directly proportional to the total displace- 87 ment of the mobile mirror, so at a constant speed of the mobile 88 mirror, collection of higher resolution spectra (such as ~1 cm⁻¹) 89 will take longer time. Also, the quality (i.e., the signal-to-noise 90 ratio) is proportional to \sqrt{N} , where N is the number of scans per 91 spectrum, so again recording of a "good" spectrum will take longer 92 time. (Normally, 1,000 scans can be collected in ~15 min) When 93 two transmission spectra are recorded, one for the (protein) sample 94 and the other for the blank buffer or any other "reference," the 95 absorbance spectrum is calculated either automatically or manually 96 as $A = -\lg(T_s/T_r)$, where T_s and T_r are the transmissions of the 97 sample and the reference, respectively.

A polypeptide chain generates several infrared-active amide 99 vibrational modes (Table 1), among which the amide I and amide 100 II modes have been analyzed most extensively because of their 101 exceptional sensitivity to the secondary structure and the kinetics 102 of HX, respectively. Both are delocalized vibrational modes and 103 include contributions from various chemical bonds within the 104 amide group. The amide I mode occurs between 1,700 and 105 1,600 cm⁻¹ and is generated primarily by the C=O stretching 106 vibration, plus CN and CCN out-of-plane bending vibrations and 107 minimally by the NH in-plane bending mode (1). The resulting 108 amide I oscillators are coupled to each other via covalent bonds, H- 109 bonding, and through space, all of which are distinct for different 110 secondary structures (1, 2). Consequently, different secondary 111 structures of polypeptides produce amide I bands at distinct 112



 $_{\rm t.1}$ Table 1 Description of various amide vibrational modes and the wavenumbers (W) in $\rm H_2O$ and $\rm D_2O^a$

t.2	Amide mode	$W_{\rm H20}~{ m (cm^{-1})}$	$W_{\rm D20}~({\rm cm}^{-1})$	Approximate contributions from individual chemical bonds (%) ^b
t.3	Amide I	1,700-1,600	1,700-1,600°	CO s (76), CN s (11), CCN d (8), NH ib (5)
t.4	Amide II	1,570-1,540	1,480-1,460	NHib(45),CNs(30),COib(10)CCs(8),NCs(7)
t.5	Amide III	~1,250	~960	NH ib (50), CC s (20), CN s (18), CO ib (12)
t.6	Amide IV	640-620	640-620 ^c	CO ib (45), CC s (35), CNC d (20)
t.7	Amide V	735–715	520-500	CN t (62), NH ob (38)
t.8	Amide VI	650-600	650-600°	CO ob (85), CN t (15)
t.9	Amide VII	230-200	165-150	NH ob (68), CN t (20), CO ob (12)
t.10	Amide A	~3,300	2,500-2,400	Fermi resonance between NH s and amide II
t.11	Amide B	3,100-3,050	~2,400	Fermi resonance between NH s and amide II

t.12 ^aAdapted from refs. (1, 102)

frequencies, as shown in Table 2. The amide I mode is by far the most useful absorbance band in structural characterization of proteins and peptides. A protein that contains various secondary structures generates an asymmetric, composite amide I band. The fractions of distinct secondary structures in the protein are identified by curve-fitting procedures on the amide I band, as described below. Briefly, the fraction of each secondary structure in the protein (or peptide) is determined as the fraction of respective amide I spectral component. Corrections should be made with respect to the extinction coefficients of various secondary structures, as summarized in Table 3.

The amide II mode occurs around 1,570–1,540 cm⁻¹ and includes a significant contribution from the NH in-plane bending, along with CN stretching and other vibrations of the amide group, and hence is highly sensitive to the kinetics of amide HX (i.e., amide NH to ND conversion). The amide III mode occurs in the 1,300–1,200 cm⁻¹ region as a result of NH and C=O in-plane bending vibrations and CC stretching vibration, and hence is sensitive to amide HX (1). Although amide III band exhibits sensitivity to the polypeptide chain secondary structure and has been used in protein and peptide structural studies (3), this mode is affected by side chains and hence is likely to vary depending on the protein's amino acid composition (1, 4). Amide IV to amide VII modes

bs, stretching; d, deformation; ib, in-plane bending; ob, out-of-plane bending, t, torsion

[&]quot;These modes involve minimal contribution from the NH group and can undergo a slight (~5–15 cm⁻¹) downshift upon amide NH deuteration

t.1

Table 2 Characteristic amide I wavenumbers (W) of various secondary structures in H_2O and D_2O

Secondary structure	$W_{\rm H20}~({ m cm}^{-1})$	$W_{\rm D20}~({\rm cm}^{-1})$	t.2
α-Helix	1,658-1,647	1,655-1,638	t.3
α_{II} -Helix a	1,666-1,658	1,658-1,652	t.4
α-Helical coiled coil ^b	1,640-1,630	1,640-1,630	t.5
3 ₁₀ -Helix	1,665-1,655°	1,665-1,655°	t.6
3 ₁ -Helix ^d	1,639	1,632	t.7
β-Helix ^e	1,640-1,630	~1,630	t.8
Parallel β-sheet	1,638-1,632	1,636–1,630	t.9
Antiparallel β-sheet	1,638–1,632 (strong) and 1,695–1,675 (weak)	1,636–1,630 (strong) and 1,680–1,670 (weak)	t.10
Intermolecular (aggregated) β-sheet	1,627–1,615 ^f	1,625–1,613 ^f	t.11
α-Pleated sheet ^g	1,653-1,650	1,653–1,650	t.12
β-Turns	1,685–1,655	1,675–1,640	t.13
γ-Turns	1,690-1,650	1,690-1,650	t.14
Irregular ("unordered") structure	1,660–1,652	1,648-1,640	t.15

 $^{^{}a}$ α_{II}-Helix is similar to the regular α-helix (α_I-helix) but has tilted amide plane, which weakens the intramolecular H-bonding, resulting in a stronger C=O bond and higher amide I frequency (98, 103)

occur at lower frequencies (750–200 cm⁻¹) and are used in protein structural studies less frequently because of their low intensities and moderate sensitivity to protein structure (1). Some of them involve large contributions from the NH vibration and can be used to study the amide HX kinetics. For example, the amide V mode results the from NH out-of-plane bending and CN torsion vibrations and the structure of the structure of their lower s

^bFrom refs. (104, 105)

Cobserved and calculated amide I wavenumbers for 3_{10} helix were in 1,665–1,655 cm⁻¹ region (1, 106), but several researchers reported lower wavenumbers in the 1,640–1,630 cm⁻¹ region (107, 108)

^dThe 3₁-helix is an extended helical structure, found, for example, in polyglycine, forming hexagonal lattice stabilized by intermolecular H-bonding (1)

eThe β-helix is a helical structure of variable geometry found in polypeptides with alternating L-, D-chirality of amino acids such as gramicidin A or poly(γ -benzyl-LD-glutamate) (109, 110) From refs. (111–114)

gen The α-pleated sheet is an extended, pleated structure with C=O and NH groups at opposite sides of the chain, predicted by Pauling and Corey (115) and found in amyloid peptides (116, 117) as well as certain proteins such as the selectivity filter of K^+ -channel. (The amide I frequency for the non-deuterated form was calculated by Wu et al. (118))

Table 3
The peak absorbance extinction coefficients (ε)
and the integrated absorbance extinction coefficients (B)
for amide I and amide II modes of proteins in H₂O at 25°C^a

t.2	Amide mode (second. structure)	ϵ (M $^{-1}$ cm $^{-1}$)	$B (\mathrm{M}^{-1} \mathrm{cm}^{-2})$
t.3	Amide I (α-helix)	700 ± 100	$(7.6 \pm 1.1) \times 10^4$
t.4	Amide II (α-helix)	300 ± 50	$(2.9\pm0.6)\times10^{4}$
t.5	Amide I (β-sheet)	975 ± 10^{b} 180 ± 20^{c}	$\begin{array}{c} (6.9 \pm 0.8) \times 10^{4 \ b} \\ (0.5 \pm 0.05) \times 10^{4 \ c} \end{array}$
t.6	Amide II (β-sheet)	340 ± 10	$(3.3 \pm 0.3) \times 10^4$
t.7	Amide I (irregular)	330 ± 50	$(4.7 \pm 0.5) \times 10^4$
t.8	Amide II (irregular)	220 ± 50	$(3.7 \pm 0.8) \times 10^4$

t.9 aAdapted from ref. (99). Disproportional changes in ε and B indicate change in the band lineshape (the band can become higher and slimmer, or shorter and broader, without changes in the area). For α -helix in D₂O, $\varepsilon_{\text{Amide I}}$ decreases to 465 \pm 20 M⁻¹ cm⁻¹, while for β -sheet and irregular structures, $\varepsilon_{\text{H2O}} \approx \varepsilon_{\text{D2O}}$

undergoes a strong downshift upon amide HX. Amide A and amide B modes are generated by Fermi resonances between amide II and NH stretching vibrations and are located around 3,300 and 3,100 cm⁻¹, respectively. They are exceptionally sensitive to protein amide HX kinetics and in a few cases have been used for protein secondary structure characterization (5,6). Certain amino acid side chains absorb appreciably in amide I and amide II regions and should be taken into consideration during data analysis. The absorbance wavenumbers generated by certain functional groups of amino acid side chains and respective extinction coefficients are summarized in Table 4. More details on amide modes and other infrared spectroscopic features of proteins and peptides can be found elsewhere (1, 7).

FTIR of proteins and peptides is normally conducted on samples in buffers made using D_2O rather than H_2O because the H–O–H bending vibration of H_2O strongly absorbs around 1,645 cm⁻¹ and obscures the most important conformation-sensitive amide I band of the protein or the peptide (8–10). In addition, the broad and intense H–O–H stretching bands between 3,500 and 3,200 cm⁻¹ mask the amide A mode (Table 5). D_2O is transparent in these regions; it weakly absorbs in the amide II region (1,555 cm⁻¹), and HOD absorbs in the deuterated amide II region (1,450 cm⁻¹), which should be taken into consideration in amide II band analysis. While the molar extinction coefficients of H_2O or D_2O vibrational modes are approximately an order of

^bMajor, low-frequency β-sheet component $(1,638-1,632 \text{ cm}^{-1})$

^cHigh-frequency β-sheet component $(1,695-1,675 \text{ cm}^{-1})$

t.1

t.15

Table 4 FTIR wavenumbers [W (cm $^{-1}$)] of various vibrational modes of certain amino acid side chains and terminal amino and carboxyl groups, the peak absorbance extinction coefficients [ε (M $^{-1}$ cm $^{-1}$)], and the integrated absorbance extinction coefficients [B (M $^{-1}$ cm $^{-2}$)] in H₂O and D₂O^a

Amino acid	Mode ^b	W _{H20}	€ _{H20}	<i>B</i> _{H20}	W _{D20}	€ _{D20}	B _{D20}	t.2
Arginine	CN ₃ H ₅ ⁺ as CN ₃ H ₅ ⁺ ss	1,673 1,633	420 300	4.3×10^4 3.6×10^4	1,608 1,686	460 500	3.1×10^4 3.4×10^4	t.3
Asparagine	C=O s NH ₂ d	1,678 1,622	310 160	2.7×10^4 2.5×10^4	1,648	570	5.2×10^4	t.4
Aspartic acid	COO ⁻ as COOH s	1,574 1,716	380 280	5.5×10^4 4.1×10^4	1,584 1,713	820 290	8.8×10^4 3.5×10^4	t.5
Glutamine	C=O s NH ₂ d	1,670 1,610	360 220	3.1×10^4 3.5×10^4	1,635	550	5.8×10^4	t.6
Glutamic acid	COO ⁻ as COOH s	1,560 1,712	470 220	7.1×10^4 3.6×10^4	1,567 1,706	830 280	8.9×10^4 3.4×10^4	t.7
Histidine	Ring	1,596°	70	3.0×10^3	1,620 ^d 1,623 ^e 1,600 ^e	6 16 35	$\begin{array}{c} 2.5 \times 10^{2} \\ 8.0 \times 10^{2} \\ 1.5 \times 10^{3} \end{array}$	t.8
Lysine	NH ₃ ⁺ ad NH ₃ ⁺ sd	1,629 1,526	130 100	$\begin{array}{c} 1.8\times10^4 \\ 1.3\times10^4 \end{array}$				t.9
Phenylalanine	Ring	1,494	80	2.0×10^3	1,607 1,596	10 10	5.0×10^{2} 5.0×10^{2}	t.10
Tryptophan	Ring				1,545	10	5.0×10^2	t.11
Tyrosine	Ring	1,518 ^f 1,602 ^g 1,498 ^g	430 160 700	1.0×10^4 7.0×10^3 2.5×10^4	1,615 ^f 1,515 ^f 1,603 ^g 1,500 ^g	160 500 350 650	5.0×10^{3} 1.1×10^{4} 1.8×10^{4} 2.9×10^{4}	t.12
α-Amino group	NH ₂ d NH ₃ ⁺ ad NH ₃ ⁺ sd	1,560 1,630 1,515	450 210 200	7.5×10^4 3.8×10^4 4.3×10^4				t.13
α-Carboxyl group	COOH s COO ⁻ as	1,735 1,598	170 240	2.1×10^4 3.5×10^4	1,720 1,594	230 830	$2.7 \times 10^4 \\ 8.4 \times 10^4$	t.14

^aAdapted from refs. (119, 120)

bas = asymmetric stretching; ss = symmetric stretching, ad = asymmetric deformation, sd = symmetric deformation, d = deformation, s = stretching

^cImidazole absorbance parameters in H₂O did not change upon protonation (119)

^dNon-protonated imidazole ring in D₂O produces one weak band

^eProtonated imidazole ring in D₂O produces two bands

^fThe (-OH) form of the ring produces one narrow band in H₂O and two bands in D₂O

^gDeprotonated (−O[−]) ring produces two bands in both H₂O and D₂O

Table 5
FTIR vibrational wavenumbers (W) of H₂O, D₂O, and HOD; the peak absorbance extinction coefficients (ε); and the integrated absorbance extinction coefficients (B) at 25°C^a

t.2	Vibrational mode ^b	W (cm $^{-1}$)	$\epsilon~(\text{M}^{-1}~\text{cm}^{-1})$	$B (\mathrm{M}^{-1} \mathrm{cm}^{-2})$
t.3	HOH as	3,490 ± 20	122 ± 20^{c}	$(28\pm4) imes10^3~^{ m c}$
t.4	HOH ss	$3,\!280\pm20$	106 \pm 16 $^{\rm c}$	$(25\pm3)\times10^3$ c
t.5	НОН а	$2,\!125\pm10$	3.4 ± 0.4	$(3\pm0.3)\times10^2$
t.6	НОН Ь	$1,\!645\pm4$	20 ± 2	$(1.6 \pm 0.3) \times 10^3$
t.7	DOD as	$2{,}540 \pm 20$	$120\pm20^{\ c}$	$(19\pm2)\times10^3~^{c}$
t.8	DOD ss	$3,\!450\pm20$	110 \pm 12 $^{\rm c}$	$(17\pm2)\times10^3~^{c}$
t.9	DOD a	$1{,}555\pm20$	1.9 ± 0.05	$(1.2 \pm 0.2) \times 10^2$
t.10	DOD b	$1,\!215\pm20$	16 ± 1	$(1\pm0.1)\times10^3$
t.11	HOD ss	$3,\!380\pm20$	$120\pm20^{\ c}$	$(8\pm1)\times10^3~^{c}$
t.12	HOD as	$2{,}500\pm20$	$108\pm15^{\rm c}$	$(7\pm1)\times10^3$ c
t.13	HOD b	$1,\!450\pm20$	18 ± 2	$(1.6 \pm 0.4) \times 10^3$

^aAdapted from refs. (8–10)

magnitude weaker than those of amide I or amide II bands (Tables 3 and 5), the large molar excess of the solvent (~55 M of solvent vs. $\leq 10^{-4}$ M of protein or peptide) makes the solvent contribution too large and hence strongly reduces the reliability of solvent subtraction procedures. Exposure of the peptide to D_2O initiates HX of the amide NH group. The vibrational frequency of a diatomic molecule can be approximately expressed as

$$v = \frac{1}{2\pi} \sqrt{k \left(\frac{1}{m_1} + \frac{1}{m_2}\right)},\tag{1}$$

where k is the spring constant, proportional to the chemical bond strength, and m_1 and m_2 are the atomic masses. Deuteration of the amide NH group results in a downshift of the amide A mode from ~3,300 cm⁻¹ to 2,500–2,400 cm⁻¹; a shift of amide V and amide III modes from 725 cm⁻¹ down to 510 cm⁻¹, and from ~1,250 to 960 cm⁻¹, respectively; a smaller but significant shifts of the amide II mode (~90 cm⁻¹); and a ~10 cm⁻¹ downshift of the amide I mode. A set of FTIR spectra measured within several hours following exposure of the sample to D_2O allows determination of the

bas = asymmetric stretching, ss = symmetric stretching, a = association, b = bending Asymmetric and symmetric stretching extinction coefficients apply to the whole molecule rather than a single OH or OD vibration

secondary structure of the protein/peptide as well as the amide HD 183 kinetics. The latter reflects both the rigidity of protein's secondary 184 structure and the degree of solvent accessibility. Deuteration of 185 amide NH group occurs only when (a) it is exposed to D2O and 186 (b) is not H-bonded to C=O or other groups. Thus, a backbone 187 NH group involved in a secondary structure such as α -helix of β - 188 sheet can only undergo deuteration upon a transient breakage of 189 the H-bond ("local breathing") provided it is exposed to the D_2O 190 solvent. Since the breakage occurs less frequently in rigid secondary 191 structures, a faster amide HX may reflect a more flexible structure, a 192 higher degree of solvent accessibility, or a combination of the two. The information gained from amide HX measurements often is 194 considered to reflect the protein's "dynamic structure" or the 195 "structural dynamics," that is, the degree of molecular flexibility 196 plus the tightness of the three-dimensional packing.

Analysis of the FTIR spectra of proteins or peptides usually 198 provides global structural information, that is, the fractions of 199 various secondary structures, without identification of their locations within the protein molecule. There are at least two procedures 201 that can be used to locate the experimentally determined secondary 202 structures along the polypeptide chain. First, application of a secondary structure prediction algorithm to the amino acid sequence 204 identifies stretches that are most likely in α-helical, β-strand, turn, 205 or irregular conformations. Internet-based secondary structure 206 prediction programs are available, such as Psipred, provided by 207 University College London Department of Computer Science 208 (http://bioinf.cs.ucl.ac.uk/psipred/), or Jufo, provided by Professor Jens Meiler's lab of Vanderbilt University (http://www.meilerlab.org/index.php/servers/show). the If predicted experimental secondary structure contents are similar, then the 212 FTIR-derived secondary structures can be assigned to the predicted 213 regions. In the absence of consensus, site-specific structural infor- 214 mation can be obtained by isotope labeling of desired regions of the 215 protein or the peptide. This can be done by using synthetic, semi- 216 synthetic, or metabolic methods, described in detail below. Labeling of single or multiple amino acids with isotopes such as ¹³C ₂₁₈ results in a downshift of the vibrational frequency of the amino acid 219 (s) containing the heavier atom, according to Eq. 1, and thus allows 220 spectral resolution and site-specific structural characterization of 221 the protein. Isotopic enrichment is also used to study protein–protein interactions. When one of the interacting proteins is ¹³Clabeled and the other is not labeled, then the sample containing 224 both proteins generates a split amide I band, the higher and lower frequency components corresponding to unlabeled and ¹³Clabeled proteins. Curve-fitting of both components and comparison of the data with those obtained on each protein separately allows evaluation of the structural changes occurring in the two proteins upon interaction.

Membrane proteins have been studied by FTIR spectroscopy using both conventional, "direct transmission" technique and the ATR-FTIR technique (7, 11, 12). In the former case, the protein is either solubilized in a membrane-mimetic environment such as a detergent or reconstituted in lipid vesicles, followed by placing the sample between two IR windows and measurement of the spectra. For integral proteins or peptides, which are highly hydrophobic and therefore reside exclusively within the detergent or the membrane, this method is completely justified and provides valuable structural information. For peripheral proteins, which are water soluble yet bind to membranes when they are present, the direct transmission method may not be an optimal choice because a fraction of the protein may reside in the aqueous phase. The spectra will reflect the structures of both free and membrane-bound species, preventing an unambiguous determination of membrane-induced conformational effects.

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ATR-FTIR spectroscopy provides several advantages in structural characterization of membrane proteins. High-quality spectra can be obtained on a single lipid bilayer containing the reconstituted protein, requiring only ~100 µg of lipid and several times lower amounts of protein. Stacked bilayers can be easily prepared on the internal reflection element (IRE), which significantly increases the signal intensity and, correspondingly, the signal-tonoise ratio, and prevents interactions of the membraneincorporated protein with the solid substrate. A pair of ATR-FTIR spectra, measured at p and s polarizations of the incident light, allows evaluation of the secondary structure of the membrane-bound protein by curve-fitting of the amide I band, the orientation of the protein from the linear dichroic ratios, the amide HX kinetics from the time-dependent changes in the amide II band, the phase state of the lipid from the acyl chain methylene stretching frequencies, the lipid order parameter from the acyl chain dichroism, the hydration state of the membrane from the lipid carbonyl stretching frequency and lineshape, membrane binding affinity and stoichiometry of the protein, and more (7, 11-16). This method is particularly useful to study the mechanisms of interfacial enzymes, that is, the enzymes that bind to membranes, undergo activation, and acquire their substrate, such as lipid or fatty acid molecules, from the membrane (13, 16–18). Using segmentally ¹³C-labeled PLA₂, the angular orientation of membranebound enzyme has been determined, which, in combination with fluorescence and modeling results, produced the mode of membrane binding of the protein at an atomic resolution (19).

Below, a more detailed description of FTIR and ATR-FTIR spectroscopy of proteins and peptides in lipid membranes is provided, including experimental and data analysis protocols. A number of examples are presented to demonstrate what these techniques can provide in terms of structural, and sometimes

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functional, characterization of membrane proteins or peptides. 279 As such, this article is a practical guide of FTIR and ATR-FTIR 280 spectroscopy of membrane proteins and peptides rather than a 281 review of literature.

2. Materials 283

2.1. Buffers

Buffers for FTIR experiments can be prepared using H_2O or D_2O . 284 Since H₂O strongly absorbs in the 1,700–1,600 cm⁻¹ region and 285 thereby obscures the conformation-sensitive amide I band 286 (Table 5), D₂O is the preferred solvent in FTIR spectroscopy of 287 proteins or peptides. In certain cases, for example, when the amide 288 II band is to be measured in an unperturbed state (i.e., without 289 amide deuteration), measurements can be conducted in H₂O- 290 based buffers, which are prepared by conventional methods. 291 D₂O-based buffers can be prepared using a regular pH meter, 292 provided certain precautions are exercised. A typical, simple buffer 293 for protein or peptide FTIR studies is described below:

1. 100 mM NaCl, 20 mM Hepes, pH* 6.8.

Here, pH* 6.8 is the pH meter reading and corresponds to 296 real deuterium ion concentration equivalent to pD = 7.2. The isotope effect results in 0.4 pH unit difference between pD and pH*: pD = pH* + 0.4(20, 21). The procedures of buffer preparations are as follows:

- 1. Weigh the amounts of NaCl and Hepes required for a certain 301 volume (e.g., 200 mL) of buffer and dissolve in ~150 mL of 302 D_2O . 303
- 2. Calibrate the pH meter with standard buffers.
- 3. Rinse the electrode with D₂O to remove traces of H₂O, and 305 immerse the electrode into the solution while stirring with a 306 magnetic stir bar.
- 4. Titrate the solution with a NaOH solution of relatively high 308 concentration (knowledge of the exact NaOH concentration is 309 not necessary) until the desired pH meter reading (i.e., pH*) is 310 reached. This should be 0.4 U below the pH of the medium to 311 be imitated (see above). Other buffers, such as Tris or phos- 312 phate, can be used (Tris will be titrated with HCl or other 313 acids). Ideally, NaOD and DCl should be used to minimize 314 the presence of protons, and hence formation of H₂O, in the 315 solution, but using NaOH or HCl has not led to significant 316 problems. 317
- 5. Once the target pH* is reached, add D2O to achieve the final 318 volume of the buffer and store at 4°C. 319

2.2. Lipids

Lipids for preparation of artificial membranes, such as vesicles or supported bilayers, are available from various vendors. Using synthetic lipids may be preferred over the lipids isolated from biological tissues, such as bovine brain or liver, because these may contain trace contaminants of biological origin. When modeling a certain type of cellular membrane, such as endoplasmic reticulum, mitochondrion, or plasma membrane, it is advisable to use a mixture of lipids that mimic the respective membrane lipid composition, including both the headgroups and the degree of hydrocarbon chain unsaturation.

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2.3. Proteins

Proteins are usually obtained by overexpression in prokaryotic or eukaryotic systems and purification using chromatographic methods. For structural studies, the purity of the sample is critical; hence care should be taken to reach a single spot in SDS gels upon staining with silver (22). Coomassie staining is less sensitive and may not detect relatively significant contaminations. Proteins uniformly labeled with certain isotopes, such as ¹³C or ¹⁵N or both, can be obtained by growing the plasmid-transfected cells in a minimal medium (e.g., M9) that contains 2.0-4.0 g/L of U-13C₆-D-glucose and/or 5.0 g/L 15NH₄Cl as single metabolic sources of carbon or nitrogen, respectively (23, 24). This will work with bacteria that can synthesize all 20 amino acids. When mammalian cells are used as an expression system, the medium should contain not only U-13C6-D-glucose or 15NH4Cl but also the isotope-labeled essential amino acids, which cannot be synthesized by mammalian cells. For example, the Bioexpress-6000 (Mammalian) medium from Cambridge Isotope Laboratories contains isotope-labeled Gln, His, Ile, Leu, Lys, Met, Phe, and Trp.

In some cases, a certain amino acid in the protein can be labeled with a desired isotope by using an auxotroph, such as an Escherichia *coli* strain that is unable to make the amino acid (25, 26). The labeled amino acid should be present in the cell culture medium so the cells will incorporate it in all proteins during biosynthesis. Multiple E. coli strains that are auxotroph for any one of the 20 amino acids have been engineered by gene knockout methods (see http://cgsc.biology.yale.edu/Auxotrophs.php.) If the amino acid is present at multiple positions of the target protein, correspondingly multiple sites will be labeled, which may not be an optimal situation for gaining site-specific structural information. Insertion of an isotopically or otherwise modified amino acid into a desired site of a protein is more difficult. One of the widely used methods is the nonsense suppression protein engineering method (27-31). At the target site in the sequence of plasmid DNA, the codon is replaced with one of the three stop (nonsense) codons, such as TAG. A tRNA with a respectively modified anticodon loop (e.g., tRNA_{CUA}) that is complementary to the nonsense codon (in this

case, UAG in mRNA) is created by chemical or recombinant 367 methods. The modified tRNA is then charged with an unnatural 368 or labeled amino acid either chemically or enzymatically, using a 369 mutated aminoacyl tRNA synthetase. Addition of the tRNA_{CUA} 370 loaded with the modified amino acid to the growth medium of cells 371 transfected with the plasmid containing TAG at the target site will 372 produce the protein with the modified amino acid at the desired 373 site. TAG is not used by bacteria frequently as a stop codon, so 374 tRNA_{CUA} will interact only with the UAG codon in the mRNA 375 generated by the expression plasmid. On the other hand, the premature termination of translation can be excluded by thermal 377 inactivation or removal of the release factors specific to the utilized 378 stop codon, using cell-free expression systems. Incorporation of 379 more than one modified amino acid in a protein has been achieved 380 by using codons containing three, four, or five nucleotides (32, 33). 381

Labeling just one residue in a protein with ¹³C, or ¹³C and ¹⁵N, may not generate a strong enough signal to be distinguished from the amide I band generated by the unlabeled part of the protein. In addition, distinct amide I frequencies generated by each secondary structure are determined by vibrational coupling between neighboring amide units, implying that the FTIR signal from a lonesome ¹³C-labeled (uniformly or carbonyl ¹³C=O-labeled) amino acid cannot provide reliable information on the local secondary structure, even when it is spectrally resolved. These drawbacks can be remedied by labeling a whole segment of the protein rather than just one residue. Segmental labeling of proteins with stable isotopes for FTIR studies has been achieved by protein semisynthesis methods (19, 34). Isotope-edited FTIR is described in detail in Subheading 5 below.

2.4. Peptides

Peptides can be obtained by recombinant expression as proteins. 396 However, numerous companies offer peptide synthesis services at 397 an affordable price, which makes the custom order of synthetic 398 peptides the preferred option of obtaining pure peptides of any sequence, containing 50 and more amino acid residues. The peptide sample often contains trifluoroacetic acid (TFA) that is being 401 used for cleavage of the peptide from the solid phase support after 402 synthesis and/or for purification by HPLC. TFA strongly absorbs 403 in the amide I region, around 1,674 cm⁻¹; therefore it should be 404 removed before analysis of the peptide by FTIR. This can be done 405 easily by two or three cycles of dissolving the peptide in HCl 406 (2–100 mM HCl has been used) or 0.1 % phosphoric acid solution 407 followed by lyophilization, which results in replacement of TFA 408 with chloride or phosphate anions as a counterion of cationic amino 409 acid side chains (2, 35, 36). It is advisable to use pure H_2O for one 410 or two additional cycles to remove the traces of the acid.

The synthetic method offers additional advantages. For exam- 412 ple, isotopically labeled or unnatural amino acids can be easily 413

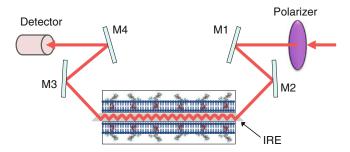


Fig. 2. A version of ATR-FTIR experimental setup for measurements of the spectra of a supported membrane with a reconstituted protein. The *gray trapezoid* is an internal reflection element (IRE), such as a germanium plate, which is covered with lipid bilayers at both surfaces (*blue*), containing the reconstituted integral protein shown in ribbon format. Mirrors M1 and M2 direct the incident light into the IRE, and mirrors M3 and M4 direct the light into the detector. Other details are described in the main text.

incorporated at any site in the peptide sequence during the synthesis (35–37). Also, any chemical groups, such as a C-terminal thioester for native chemical ligation experiments, can be added. As in the case of proteins, the amide I signal from one labeled residue may be lost in the large amide I band from the unlabeled part of the peptide and may not reflect the local secondary structure; hence labeling of a number of consecutive residues has been employed to gain site-specific structural information on peptides by FTIR (15, 35, 36, 38).

2.5. Direct Transmission FTIR Accessories

In addition to an FTIR spectrometer, certain accessories are used in a simple, direct transmission FTIR experiment. These include (1) a liquid sample holder, which usually slides vertically into the stand on the baseplate in the sample compartment of the spectrometer, (2) two infrared-transparent windows, such as CaF₂ or BaF₂ windows, (3) a spacer, that is, a thin ring that fits the circumference of the windows, made of Teflon or another inert and durable material (the reasonable thickness of the spacer is in the range 5–50 μm). If the spectrometer is using an MTC detector, approximately half a gallon of liquid nitrogen will be needed to cool it down.

2.6. ATR-FTIR Accessories

ATR-FTIR measurements require (1) an ATR system composed of four vertically mounted mirrors directing the incident light into the sample and then to the detector (Fig. 2); (2) a vertical stage that holds the ATR system and allows adjustment of the height of the mirrors to maximize the signal; (3) an internal reflection element (IRE) that is transparent to mid-infrared light and has a greater refractive index than the lipid–protein sample, such as germanium, zinc selenide, or thallium bromoiodide (a.k.a. KRS-5) (diamond meets all IRE requirements but is prohibitively expensive and hence nonpractical); (4) a demountable, perfusable sample cell composed of two halves designed to sandwich the IRE between them; (5) a

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polarizer, such as aluminum wire grid deposited on KRS-5 444 substrate; and (6) an argon plasma cleaner to treat the IRE before 445 use. The size of the IRE may be $5.0 \times 2.0 \times 0.1$ cm³, cut at 45° aperture angles at the 2.0 cm sides. If the substrate-supported 447 membrane is to be prepared using a Langmuir-Blodgett method, 448 a monolayer trough will be required.

3. Methods 450

3.1. Direct Transmission FTIR Measurements

3.1.1. Acquisition of Protein Spectra

As explained above, FTIR of proteins or peptides is conducted 451 using D_2O -based buffers. The main reason for this is that D_2O is 452 transparent in the amide I region, while H₂O strongly absorbs 453 around 1,645 cm⁻¹ (Table 5). Using D₂O has additional advan- 454 tages. The α -helical and irregular structures absorb in overlapping 455 regions in both H₂O and D₂O (Table 2). However, the unordered 456 structure undergoes amide HX much faster (seconds) than the 457 α -helix (minutes to hours) (14). Thus, a protein sample that is 458 exposed to D₂O for about 0.5–1.0 h produces an amide I band 459 where the irregular component is mostly exchanged while the 460 α-helical component is still unexchanged, thus allowing for spec- 461 tral resolution and estimation of the relative contents of both 462 structures in the protein. Prolonged exposure to D₂O will result 463 in exchange of all secondary structures, again preventing distinc- 464 tion between α-helix and irregular structure. (Note that absor- 465 bance bands of deuterated proteins or peptides are denoted amide 466 I', amide II', etc.)

The best strategy is to measure the spectrum in an H₂O-based 468 buffer and then prepare a sample in a D₂O-based buffer and record 469 spectra over time, for 1-2 h or more. The spectrum measured in 470 H₂O will provide the amide II band intensity, and those measured 471 in D₂O will show a gradual decrease in the amide II band intensity 472 in the 1,570–1,540 cm⁻¹ region, reflecting the amide HX kinetics. 473 At each time point, the extent of amide HX will be given by the 474 relative amide II intensity, that is, the ratio of amide II band area 475 measured at a given time divided by the area measured in H₂O, 476 provided same protein concentrations and optical path-length are 477 used in both cases. If this is not the case, the spectrum measured in 478 H₂O should be normalized relative to an absorption band that is 479 not exchangeable and hence should have the same intensity in all 480 spectra, measured in H₂O and D₂O. This spectral feature should 481 not overlap with solvent bands. An extrinsic compound with rela- 482 tively high extinction coefficient can be used for such purpose. 483 Finally, the amide I band of a protein in D₂O also undergoes 484 spectral downshift due to amide HX and hence can be used to 485 determine amide HX kinetics as well (12, 14).

For direct transmission FTIR experiments, it is convenient to have the protein or the peptide sample in lyophilized form:

- 1. Dissolve a certain amount of the lyophilized sample, typically 30–100 μg , in ~50 μL of a D_2O -based buffer and place on a CaF_2 or other window in a demountable liquid sample cell. The spacer should be placed on the window in advance.
- 2. Place the second window on top of the sample, which forms a thin layer between the two windows separated by the spacer.
- 3. Assemble the cell and mount in the sample cell holder in the spectrometer. These procedures should be done quickly to decrease the time lapse between the start of HX and first measurement.
- 4. It is customary to visually inspect the sample against light to see if voids ("air bubbles") are present. If large voids are present, which may result from greasy windows, for example, the window should be cleaned and a new sample should be prepared.
- 5. Start collection of spectra immediately after placing the sample in the spectrometer.
- 6. The lid of the sample compartment should be closed and the instrument continuously purged with dry air. Additional passage of the air through an air drying column, such as silica-gel column, results in very efficient removal of humidity and hence allows one to obtain "clean" spectra free of water vibrational modes in the amide I and amide A regions.
- 7. Record consecutive transmission spectra by collecting any number of scans per spectrum. A large number of scans, such as 500–1,000, will generate a better quality spectrum but will take a longer time, reducing the time resolution of amide HX kinetics. Around 10–50 scans may yield spectra of acceptable quality, especially when a high-sensitivity MCT detector is used. About 20 spectra collected within the first 2 h of amide deuteration (i.e., exposure of the sample to D₂O) will provide enough data for characterization of the structure and amide HX kinetics of the protein.
- 8. Following the collection of a set of sample transmission spectra, wash the cell and load with the blank buffer.
- 9. Measure spectra of the buffer, that is, the reference (or background) at same parameters as the sample.
- 10. In addition, two spectra of the "open beam" can be measured, corresponding to different times of purging with dry air after closing the lid of the sample compartment. Using an empty cell with the two windows separated by the spacer is not recommended because these measurements are usually affected by interference fringes. The two transmission spectra of the open

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beam can be used to calculate an absorbance spectrum, using 531 the first as "sample" and the second as "reference," to obtain a 532 spectrum of atmospheric water vapor.

- 11. Each protein sample transmission spectrum can be paired with 534 a buffer transmission spectrum to get an absorbance spectrum 535 that is minimally affected by humidity.
- 12. If upward or downward spikes of water vapor absorbance are 537 still present, they can be removed by spectral subtraction or 538 addition of the water vapor spectrum. These procedures usually result in high-quality spectra that do not need any further 540 smoothing unless needed for second derivative or other procedures.

3.1.2. Reconstitution of Proteins and Peptides in Lipid Vesicles

Procedures involved in preparation of membrane-bound protein 543 samples depend on the nature of the protein. For peripheral proteins, which are water soluble but bind to membranes when they 545 are present, the lipid vesicles can be prepared, followed by mixing with the protein solution. The extrusion method is an efficient and 547 easy way of preparation of unilamellar vesicles of defined size:

- 1. If the lipid is provided in powder form, weigh a certain amount 549 of lipid powder and dissolve in chloroform to obtain a stock 550 lipid solution; a 10-mM concentration is customary. If chloroform does not dissolve the lipid, try a 2:1 (v:v) mixture of 552 chloroform with methanol.
- 2. Transfer a desired amount of lipid solution into a small (e.g., 2–4 mL) glass vial and remove the solvent under a stream of 555 nitrogen, followed by desiccation for ~3 h (desiccation for up 556 to 12 h will not hurt).
- 3. Add a desired aqueous buffer to the dry lipid, followed by 558 vortexing. This yields multilamellar vesicles (liposomes), unless the lipid has an intrinsic property of forming other, nonlamellar structures. For example, cardiolipin tends to form an inverted hexagonal structure, especially in the presence of calcium ions (39).
- 4. Extrude the liposome suspension through polycarbonate or 564 other membranes with defined pore size, such as 100 nm or larger, using an extruder (40). About ten cycles of extrusion 566 yield unilamellar vesicles with a homogeneous size distribution. 567
- 5. Prepare the protein solution in the same or similar buffer and 568 mix with the vesicles. Avoid osmotic shock, that is, make sure the buffers used for preparation of the vesicles and the protein 570 solution have similar osmolarities. This will result in binding of 571 the protein to the external surface of the vesicles (17).

Alternatively, the vesicles can be prepared in the presence of the 573 protein, resulting in binding of the protein to both external and 574

internal membrane surfaces. This latter option may not be an optimal choice, however, because extrusion may adversely affect the protein. If the spectra of a membrane-bound protein need to be measured, the lipid and protein concentrations should be chosen in a way that nearly all of the protein is bound to vesicles. This can be estimated using the protein-membrane binding constant and stoichiometry, measured separately (22, 40, 41). In the same time, the lipid/protein molar ratio should be kept within a reasonable range. In most cases, a considerable fraction of the protein may be free in solution rather than membrane bound, unless membrane binding is extremely strong. This implies that direct transmission FTIR is not the best choice for measuring the spectra of membrane-bound peripheral proteins; ATR-FTIR offers a number of advantages, as described below.

Reconstitution of integral proteins or peptides in vesicle membranes is relatively easy (14, 15):

- 1. Dissolve the peptide in an organic solvent, such as hexafluoroisopropanol, trifluoroethanol, or chloroform. Avoid using solvents that absorb in the amide I or amide II regions.
- 2. Mix the peptide solution with the solution of lipid in chloroform or chloroform/methanol (2:1, v/v) at a desired molar ratio.
- 3. Remove the solvent under a stream of nitrogen followed by desiccation.
- 4. Suspend the dry peptide/lipid sample in a buffer and extrude (see above).

This method works for most peptides. The hydrophobic nature of the peptide, which may correspond, for example, to the transmembrane stretch of an integral membrane protein, ensures its partitioning into the membrane. The organic solvents are likely to affect the peptide structure, but it may recover its membrane-bound structure following reconstitution.

Using organic solvents for membrane reconstitution of proteins may not always be advisable because they can denature the protein (42, 43). If the protein is overexpressed in a cell culture and purified, an appropriate detergent can be used as a component of the purification buffer, at a concentration two- to threefold above its critical micelle concentration (cmc). Detergents suitable for membrane protein reconstitution have been thoroughly studied (43, 44). The hydrophobic protein will partition into the detergent micelles, which can be isolated by size-exclusion or affinity chromatography or by density gradient centrifugation. The micelles then can be mixed with the preformed lipid vesicles, so the detergent concentration drops below its cmc, resulting in spontaneous partitioning of the protein into the vesicle membranes (14). Alternatively, the detergent-solubilized protein can be incubated with the

vesicles and SM-2 Bio-beads (Bio-Rad) for several hours. The high 621 affinity of the Bio-beads for the detergent results in detergent 622 partitioning into the Bio-beads and transfer of the protein into 623 the vesicle membranes (45, 46). The vesicles, containing the reconstituted protein, then can be separated from the Bio-beads and used 625 for FTIR measurements. One drawback of these procedures is that 626 if an H₂O-based buffer is used, amide I spectra may be masked by 627 the H₂O bending mode, and if a D₂O-based buffer is used, the 628 protein will be extensively deuterated before the first spectrum is 629 recorded, preventing measurements of amide HX kinetics. Again, ATR-FTIR may be considered a better choice for analysis of the 631 structure and dynamics of membrane proteins (see below).

3.2. Direct Transmission FTIR Data Analysis

3.2.1. Protein Secondary Structure

The secondary structure of a protein or a peptide is usually evaluated 633 by curve-fitting of the amide I absorbance band. The number and 634 locations of amide I band components can be obtained from 635 the second derivative spectra, the downward peaks of which indicate 636 the amide I components. Often the inverted second derivatives are 637 used, where the upward peaks point to the locations of the components (Fig. 3). Once the number of the amide I components and 639 their spectral locations (the wavenumbers) are determined, a curvefitting program such as GRAMS can be used to reproduce the actual 641 amide I components. Gaussian or Lorentzian lineshapes, or a linear 642 combination of the two, are being used. The curve-fitting procedure is considered satisfactory when (a) the deviation between peak 644 wavenumbers of the components and those predicted by the second 645 derivative does not exceed the nominal spectral resolution, for 646 example, 2 cm⁻¹; (b) the sum of all components (the "curvefit") 647 fits the actual amide I band; and (c) the widths of the components 648 are within reasonable limits, for example, between 15 and 40 cm $^{-1}$. 649 The components corresponding to the side chains, that is, those 650 within $1,615-1,600 \text{ cm}^{-1}$ ($1,570-1,555 \text{ cm}^{-1}$ for a 13 C-labeled 651 protein), are subtracted, and the sum of the areas of all remaining 652 components is used as the total amide I area (A_T) . The components 653 that correspond to α -helix, β -sheet, and irregular structure are identified following standard assignment procedures (Table 2). The antiparallel β-sheet has two components, a major, lowfrequency component at 1,638–1,630 cm⁻¹ and a high-frequency 657 component at 1,695-1,675 cm⁻¹, and the integrated extinction 658 coefficient of the latter constitutes ~7 % of the former (Tables 2 and 3). Therefore, the total β -sheet fraction can be determined by 660 multiplying the fraction of the low-frequency β-sheet component 661 area $(A_{\beta,\text{low}})$ by 1.07. The sum of the components between 1,700 662 and 1,660 cm⁻¹ $(1,655-1,615 \text{ cm}^{-1} \text{ for a }^{13}\text{C-labeled protein})$, 663 minus $0.07 \times A_{\rm B,low}$, then can be assigned to various types of turn 664 structures. According to the Beer-Lambert law,

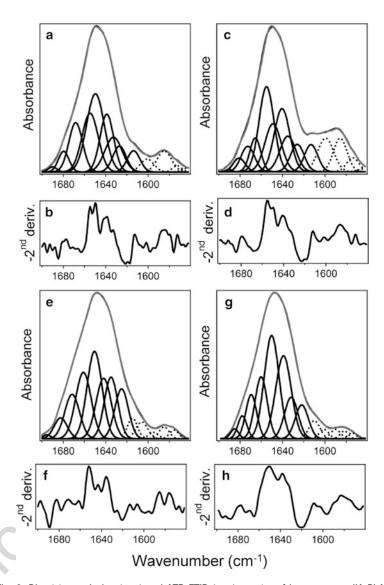


Fig. 3. Direct transmission (**a**, **c**) and ATR-FTIR (**e**, **g**) spectra of human group IIA PLA₂ (**a**, **e**) and its V3W mutant (**c**, **g**) either free in a buffer of 100 mM NaCl, 1 mM NaN₃, 1 mM EGTA, 50 mM Hepes in D₂O, pD 7.0 (**a**, **c**) or bound to a supported membrane composed of 70 % POPC and 30 % POPG, exposed to same buffer (**e**, **g**). ATR-FTIR spectra shown in panels **e**, **g** were obtained from the spectra measured at p and s polarizations of the infrared light as $A_p + 0.8A_s$. The components obtained by curve-fitting are shown for each spectrum; low-frequency components that are assigned to side chains are shown in dotted lines. The gray lines in (**a**), (**c**), (**e**), (**g**) are the sums of all components. Panels (**b**), (**d**), (**f**), (**h**) show the inverted second derivatives of spectra in panels (**a**), (**c**), (**e**), (**g**), respectively.

$$A_T = \sum l \varepsilon_i C_i, \tag{2}$$

where l is the optical path-length and ε_i and C_i are the extinction coefficient and the concentration of the *i*th structural component,

t.1

t.7

Table 6 FTIR vibrational wavenumbers (W), bandwidths at half height (BW), relative amide I extinction coefficients (ε_{rel}), peak absorbance extinction coefficients (ε), and the integrated absorbance extinction coefficients (B) of various secondary structures of proteins in H₂O solutions at 20–25°C^a

Secondary structure	W (cm ⁻¹)	<i>BW</i> (cm ⁻¹)	ϵ_{rel}	ϵ (M $^{-1}$ cm $^{-1}$)	$B (\mathrm{M}^{-1} \mathrm{cm}^{-2})$	t.2
Ordered α-helix	1,652	29.6	1.00	700 ± 100	$(7.6 \pm 1.1) \times 10^4$	t.3
Unordered helix + random str.	1,676 1,646 1,620	27.8 47.3 9.2	0.64 1.51 2.85	$448 \pm 64 \\ 1,060 \pm 150 \\ 2,000 \pm 300$	$\begin{array}{c} (4.9 \pm 0.7) \times 10^4 \\ (11.5 \pm 1.7) \times 10^4 \\ (21.7 \pm 3.1) \times 10^4 \end{array}$	t.4
β-Sheet	1,691 1,633	15.3 27.9	0.13 0.82	90 ± 15 600 ± 80	$(1.0 \pm 0.1) \times 10^4$ $(6.2 \pm 0.9) \times 10^4$	t.5
Turns	1,720 1,668 1,629 1,613	46.7 21.8 9.1 23.3	0.17 0.20 0.26 0.10	$\begin{array}{c} 120 \pm 20 \\ 140 \pm 20 \\ 180 \pm 30 \\ 70 \pm 10 \end{array}$	$\begin{array}{c} (1.3\pm0.2)\times10^4 \\ (1.5\pm0.2)\times10^4 \\ (2.0\pm0.3)\times10^4 \\ (0.8\pm0.1)\times10^4 \end{array}$	t.6

^aData in first four columns are from ref. (3). The extinction coefficients in columns 5 and 6 are calculated using the α -helical extinction coefficient reported by Venyaminov and Kalnin (99) and the relative extinction coefficients reported by Vedantham et al. (3)

side chains excluded. Eq. 2 yields the following expression for the 668 concentration of *i*th secondary structure: 669

$$C_{i} = \frac{A_{T} - \sum_{j \neq i} l\varepsilon_{j} C_{j}}{l\varepsilon_{i}}.$$
 (3)

The numerator in Eq. 3 is simply the area of the ith component, a_i ; therefore, it can be rewritten as follows:

$$C_i = \frac{a_i}{l\varepsilon_i}. (4)$$

The fraction of the ith secondary structure in the protein is 672

$$f_i = \frac{C_i}{C},\tag{5}$$

where C is the total concentration of all secondary structural elements and is given as follows:

$$C = \sum \frac{a_i}{l\varepsilon_i}.$$
 (6)

In Eq. 6, the summation is over all secondary structures. This 675 approach requires the amide I areas and the extinction coefficients 676 of all secondary structures (Tables 3 and 6). In some cases, the 677 protein secondary structure can be presented as α -helix, β -sheet, 678 irregular structure, and "other," primarily including turns and 679

other structures, or α -helix, β -sheet, irregular structure, turns, and "other." The problem here is that the extinction coefficient for the "other" structure is not known, but an extinction coefficient, which is the average of those of known structures, may be an acceptable approximation. If the α -helix, β -sheet, irregular structure (ρ), and "other" approach is adopted, then Eq. 6 can be rewritten as follows:

$$C = \frac{a_{\alpha}}{l\varepsilon_{\alpha}} + \frac{a_{\beta}}{l\varepsilon_{\beta}} + \frac{a_{\rho}}{l\varepsilon_{\rho}} + \frac{a_{other}}{l\varepsilon_{other}}$$
 (7)

If the turn structures are included along with its extinction coefficients, then an additional term for the turns should be added. Equations 4, 5, and 7 yield

$$f_i = \frac{a_i}{\varepsilon_i \left(\frac{a_\alpha}{\varepsilon_\alpha} + \frac{a_\beta}{\varepsilon_\beta} + \frac{a_\rho}{\varepsilon_\rho} + \frac{a_{other}}{\varepsilon_{other}}\right)}$$
(8)

In case of spectra of two proteins combined in one sample, one unlabeled (i.e., 12 C) and other 13 C-labeled, the amide I components belonging to each protein should be identified keeping in mind that 13 C-labeling causes a 40–50 cm $^{-1}$ spectral downshift. Together with respective extinction coefficients, eight fractions are found: $f_{\alpha,12C}$, $f_{\beta,12C}$, $f_{\rho,12C}$, $f_{\text{other,12C}}$, $f_{\alpha,13C}$, $f_{\beta,13C}$, $f_{\rho,13C}$, and $f_{\text{other,13C}}$ using Eq. 8 and are corrected as follows. Each of the eight fractions is multiplied by the total number of amino acid residues in two proteins and then divided by the number of amino acid residues in the respective (i.e., 12 C or 13 C) protein to determine the protein secondary structure.

Figure 3 shows an example of amide I band curve-fitting for human group IIA PLA₂ and its V3W mutant free in solution and bound to supported bilayers, using the second derivatives. Amide I components at 1,655 and 1,649 cm⁻¹, which are present in the spectra of both proteins in solution, have been assigned to nonexchanged and deuterated α-helix. These components constitute 19 and 28 % for the wild-type PLA₂ and 26 and 16 % for the mutant, indicating a faster amide HX in the former case. Upon membrane binding, the 1,655 cm⁻¹ component shifts to 1,661 cm⁻¹ and reduces in intensity in both cases, indicating formation of a more flexible α-helical structure. Weaker helical Hbonding corresponds to a stronger main chain C=O bond and a higher amide I frequency (see Eq. 1). Thus, FTIR provides information on protein secondary structure and the quality of helices, such as their relative rigidity. Formation of more flexible helices in group IIA PLA₂ is confirmed by amide HX data described below.

The kinetics of amide HX is most frequently analyzed based on the time-dependent decrease in the amide II band intensity upon exposure to D_2O . In general, every single amino acid in a protein can undergo HX at a distinct rate depending on H-bonding, local

3.2.2. Amide HX Kinetics

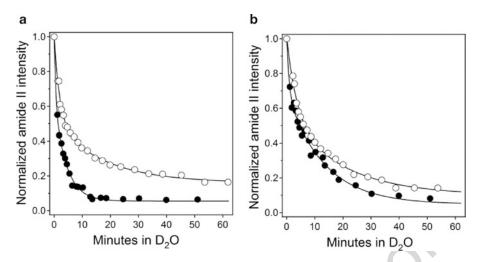


Fig. 4. Kinetics of amide HX for free (*open circles*) and membrane-bound (*closed circles*) human group IIA PLA₂ (**a**) and the V3W mutant (**b**), measured by polarized ATR-FTIR. The supported membrane was composed of 70 % POPC and 30 % POPG, and the buffer was 100 mM NaCl, 1 mM NaN₃, 1 mM EGTA, 50 mM HEPES (pH 7.0) in H₂O (at time 0) or D₂O (at time >0). The *solid lines* were calculated through Eq. 9, using the parameters summarized in Table 7.

mobility, structure, and steric protection from solvent. However, 720 individual HX rate constants for each amino acid residue cannot be 721 determined by conventional FTIR spectroscopy. Considering two 722 to four populations, each characterized with a certain HX rate 723 constant, usually allows a reasonable description of the overall HX 724 kinetics of a protein. It is considered that each main chain amide 725 group can be either in H form (non-exchanged) or D form (deuterated). The time dependence of the fraction of non-exchanged 727 residues, [H/(H+D)], can be given as

$$\left[\frac{H}{H+D}\right]_{t} = \frac{A_{amideII,t}}{A_{amideII,0}} = a_0 + a_1 e^{-k_1 t} + a_2 e^{-k_2 t}, \tag{9}$$

where $A_{\text{amideII},0}$ and $A_{\text{amideII},t}$ are the amide II band areas of the 729 protein in H₂O and at time t of exposure to D₂O, respectively; a_0 , 730 a_1 , and a_2 are the fractions of exchange-resistant, slow exchanging, 731 and fast exchanging residues, respectively; and k_1 and k_2 are the 732 exchange rate constants of the latter two populations. Since the 733 protein can undergo adsorption to or desorption from the membrane during the measurements, it is advisable to use the ratio of 735 the amide II area divided by the amide I area instead of just A_{amideII} , 736 especially when analyzing ATR-FTIR data. Amide HX kinetics of 737 PLA₂ free in solution and bound to supported membranes has been 738 characterized using this approach (Fig. 4, Table 7). The data of 739 Fig. 4 and the quantitative parameters of HX presented in Table 7 740 clearly indicate a more efficient HX of the protein upon membrane 741 binding. This cannot be interpreted in terms of solvent protection 742 upon membrane binding since it would have an opposite effect. 743

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Table 7
Characterization of the amide HX kinetics of human group IIA PLA₂ and its V3W mutant free in solution or bound to a supported membrane composed of 70 % POPC and 30 % POPG, at 20°C^a

t.2		Wild-typ	oe PLA ₂	V3W mutant of PLA ₂		
t.3		Free	Membrane-bound	Free	Membrane-bound	
t.4	a_0	0.16	0.06	0.10	0.05	
t.5	a_1	0.35	0.59	0.46	0.64	
t.6	a_2	0.49	0.35	0.44	0.31	
t.7	$k_1 (\mathrm{min}^{-1})$	0.057	0.24	0.051	0.083	
t.8	$k_2 (\mathrm{min}^{-1})$	0.51	2.03	0.29	1.20	

t.9 aData from ref. (22). The data are described in Fig. 4

Instead, membrane binding renders the protein structure more flexible, resulting in an increased rate of HX, which is consistent with the data of amide I band curve-fitting described above.

In cases when amide II band intensity decreases very rapidly, spectral shifts in the amide I region can be used to evaluate the amide HX kinetics (12, 14). The amide I spectrum of the membrane-bound protein measured in an H_2O -based buffer is subtracted from a series of spectra measured at various times of exposure to D_2O . If a spectrum in H_2O cannot be measured, a spectrum of a sample that is exposed to D_2O for a very short time, for example, $\sim 1-2$ min, can be used. Since upon deuteration the amide I band undergoes a spectral downshift, the difference spectra will contain negative and positive components. All amide I areas can be normalized before subtraction. If the sum of the absolute values of all components of the difference spectra at time t of exposure to D_2O is ΔA_{t_2} , then the kinetics of the HX can be described by

$$1 - \frac{\Delta A_t}{r} = \sum_{i=0}^{m} a_i e^{-k_i t}.$$
 (10)

In Eq. 10, r is a proportionality coefficient relating spectral changes in the amide I and amide II regions:

$$r = \frac{\Delta A_t}{1 - (A_{amideII.t}/A_{amideII.0})}.$$

and can be determined by measuring ΔA_t for the amide I band and $A_{\text{amideII},t}/A_{\text{amideII},0}$ at initial times of deuteration when the amide II band still can be measured reliably. Given the fact that FTIR does

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not allow determination of a large number of individual amino acid 765 HX kinetics, using m = 2-3, that is, considering an exchangeresistant population and 2 or 3 populations that undergo HX 767 with similar kinetics, is considered a reasonable approach. Amide 768 HX kinetics of SKC1 K⁺ channel reconstituted in lipid membranes 769 has been evaluated using both methods, that is, changes in the 770 amide II region (Eq. 9) and amide I region (Eq. 10) and yielded 771 consistent results (14). Approximately 80 % of all amino acid resi-772 dues of the channel were able to undergo amide HX within ~3 h, 773 consistent with a model of the channel containing water-filled 774 vestibules.

3.3. ATR-FTIR Measurements

3.3.1. ATR-FTIR Sample Preparation and Measurements

ATR-FTIR experiments of membrane proteins have been con- 776 ducted using a single lipid monolayer, a bilayer, or a multilayer (7, 777 11, 12, 46–49). Axelsen and coworkers used a germanium IRE 778 rendered hydrophobic by treating it with octadecyltrichlorosilane 779 (49–51). Positioning of the IRE horizontally against a lipid monolayer at the air-water interface, with the protein adsorbed to the 781 lipid from the aqueous phase, allowed recording of polarized ATR- 782 FTIR spectra.

A lipid bilayer supported on the IRE surface can be prepared 784 using at least two methods. In both cases, the IRE, such as a 785 germanium plate, should be thoroughly cleaned with organic solvent(s) such as a chloroform/methanol (2:1, v/v) mixture and 787 processed with an argon plasma cleaned for about 10 min. The 788 "monolayer fusion" method involves the following steps:

- 1. Deposit a lipid monolayer at both surfaces of the IRE, using a 790 Langmuir-Blodgett trough.
- 2. Assemble the IRE in the ATR flow-through cell and inject a 792 lipid vesicle suspension in an H₂O-based buffer, with lipid 793 concentration between 1 and 10 mM. The lipid polar head-794 groups adsorb to the IRE surface, and the hydrocarbon chains 795 are facing air, so the monolayer is stable for many hours. Using 796 phosphatidylcholine for monolayer deposition works very well; 797 acidic lipids do not adsorb to germanium efficiently probably 798 due to electrostatic effects. Upon injection of the vesicles, they 799 spread on the hydrophobic monolayer surface, resulting in 800 formation of a supported bilayer. This process is driven by 801 hydrophobic contacts between the vesicles and the monolayer 802 as well as the curvature strain in the vesicle membrane (lipids 803 such as phosphatidylcholine tend to form lamellar rather than 804 curved structures). Therefore, highly curved vesicles, for example, those obtained by sonication, undergo spreading more readily. However, extruded vesicles of 100 nm diameter will 807 work as well.
- 3. Allow the system to equilibrate for $\sim 1-2$ h.

4. Flush the cell with the buffer to remove excess lipid. If the vesicles are prepared in the absence of any peptides or proteins, the resulting membrane is a pure lipid bilayer that can be used to examine the lipid order, membrane phase state, etc. (12, 13, 16).

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In the second method, the sonicated vesicles are prepared using at least 20-30 mol % of an acidic lipid, in a buffer containing ~5 mM CaCl₂ and directly injected into the ATR cell containing the IRE. Supported bilayers are formed spontaneously, probably stabilized by Ca²⁺ ionic bridges between the acidic lipids and the plate. In experiments with peripheral proteins, the protein solution can be injected into the ATR cell, followed by its adsorption to the supported membrane. The kinetics of membrane binding of the protein can be determined from the time-dependent increase in the amide I band intensity (13, 18). If an H₂O-based buffer is used, the amide II band can be monitored instead of amide I. Once the protein binding to the membrane stabilizes, the ATR cell is flushed with a D₂O-based buffer and polarized ATR-FTIR spectra are collected to determine the protein secondary structure and orientation. For example, consecutive pairs of p and s polarized spectra can be collected for 1-2 h, which can be used to determine the protein's secondary structure, the kinetics of amide HX, and the orientation with respect to the membrane (see below).

In experiments with integral proteins, the protein is reconstituted in vesicles, as described above, and after spreading of the vesicles on the lipid monolayer or directly on the IRE, appears in the supported bilayer. Other procedures are similar to those used with peripheral proteins.

The samples for ATR-FTIR experiments can be prepared using lipid-protein or lipid-peptide multilayers rather than a single bilayer, as described (48, 52, 53). The vesicles with the reconstituted protein are prepared in an H₂O-based buffer, at a high lipid and protein concentration, evenly spread on one surface of the IRE and dried under nitrogen and under vacuum. These "dry" samples then can be used directly for collection of ATR-FTIR spectra or can be hydrated by exposing to a D₂O-saturated atmosphere or to a D_2O -based buffer. The final sample is expected to be a multilamellar structure, that is, stacked bilayers containing the incorporated protein, more than 1 µm thick. While both lipids and proteins keep a nominal amount of bound water that cannot be removed by desiccation, the hydrated samples are considered more biologically relevant. In case of peptides, the solutions of the lipid and the peptide can be combined at a desired molar ratio and spread at one surface of the IRE followed by removal of the solvent. The lipid-peptide film then can be humidified by a D₂O-saturated atmosphere or by a bulk D₂O-based buffer.

The first exposure of the sample to D_2O is the time of initiation of amide HX. Consecutive spectra measured at p and

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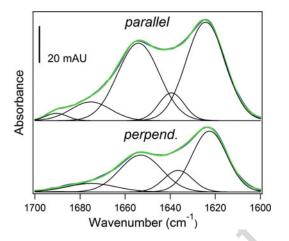


Fig. 5. ATR-FTIR spectra of a peptide derived from Bax protein in a supported POPC/POPG (7:3) multilayer at parallel and perpendicular polarizations, as indicated. The sample was exposed to a buffer of 150 mM NaCl, 10 mM Hepes in D₂O, pH* 6.8. The curvefit, that is, the sum of all amide I components shown under each spectrum, is in good fit with the measured spectrum. Major components at 1,655 cm⁻¹ and 1,628 cm⁻¹ indicate an α/β secondary structure.

s polarizations following exposure to D₂O are used to determine 857 the protein's or peptide's secondary structure, orientation, and 858 HX kinetics. Since most FTIR spectrometers are single beam 859 instruments, reference spectra should be measured separately 860 using a bare germanium plate or a sample prepared using plane 861 lipid without any peptide or protein. Absorbance spectra at each 862 polarization are obtained using the sample and reference transmission spectra at same polarization. Atmospheric water humidity 864 spectra can be measured at both polarizations by collecting spectra 865 at various times of purging with dry air, using a bare germanium 866 plate. These water vapor spectra can be subtracted from sample 867 absorbance spectra to clear the spectra from noise generated by residual humidity, when necessary. A pair of polarized ATR-FTIR 869 spectra of a peptide in a 1.6 µm thick POPC/POPG multilayer is 870 shown in Fig. 5, and the determination of the peptide's secondary 871 structure and orientation based on the curve-fitted p and s polarized spectra is described in the next section.

3.3.2. ATR-FTIR Data Analysis

Polarized ATR-FTIR spectra can be used to evaluate the orienta- 874 tional order parameters of the lipid and the membrane-bound 875 peptide or protein molecules, protein's and peptide's secondary 876 structure, kinetics of amide HX, and more. The molecular order 877 parameter can be determined from polarized ATR-FTIR experiments as (7, 47, 48, 54)

$$S = \frac{2B}{(3\langle \cos^2 \alpha \rangle - 1)(B - 3E_z^2)},\tag{11}$$

> where $B = E_x^2 - R^{ATR}E_y^2 + E_z^2$, E_x , E_y , and E_z are the electric vector components of the evanescent wave at the surface of IRE normalized relative to the incident light amplitude; α is the angle between the transition dipole moment and the molecular axis; R^{ATR} is the ATR dichroic ratio: $R^{ATR} = A_p/A_s$, where A_p and A_s are the absorbance intensities at the peak of a band or integrated over the whole band for p and s polarizations of the infrared light, that is, parallel and perpendicular to the incidence plane, respectively; and the angular brackets indicate the average value. For the amide I (amide II) band, $\alpha = 38-40^{\circ} (70-73^{\circ})$ for α -helix and $\sim 90^{\circ} (\sim 0^{\circ})$ for β -strand (54–56); the latter only applies to the low-frequency β component at ~1,638-1,630 cm⁻¹. For methylene stretching vibrations of lipid hydrocarbon chains in all-trans conformation, $\alpha = 90^{\circ}$. Two cases are considered, that is, a thin film sample, much thinner than the decay length of the evanescent wave, d_p , and a thick film sample, much thicker than d_p (in the amide I region, for a germanium-water system and a 45° incidence angle, $d_p \approx 320$ nm). For the thin film (57),

$$E_{x} = \frac{2\cos\gamma\sqrt{\sin^{2}\gamma - n_{31}^{2}}}{C},$$
 (12a)

$$E_{y} = \frac{2\cos\gamma}{\sqrt{1 - n_{31}^{2}}},$$
 (12b)

$$E_{y} = \frac{2\cos\gamma}{\sqrt{1 - n_{31}^{2}}},\tag{12b}$$

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$$E_z = \frac{n_{32}^2 \sin 2\gamma}{C},\tag{12c}$$

where γ is the incidence angle, $C = \sqrt{\left[(1+n_{31}^2)\sin^2\gamma - n_{31}^2\right](1-n_{31}^2)}$, and $n_{ij} \equiv n_i/n_j$, n_1 , n_2 , and n_3 are the refractive indices of IRE ($n_1 = 4$ for germanium), the thin layer of the sample ($n_2 \approx 1.43$ for a lipid layer), and the medium above the sample ($n_3 = 1$ for air and ~1.33 for water). If $\gamma = 45^{\circ}$, then for a thin sample under air, $E_x = 1.411$, $E_y = 1.461$, and $E_z = 0.738$, and for a thin sample under water $E_x = 1.40$, $E_{\nu} = 1.51$, and $E_z = 1.375$. It is assumed that the sample is so thin that the evanescent field can be considered constant inside the sample. For a thick film, the evanescent wave does not exit the sample so only two phases are considered, the IRE and the sample. In this case, both n_2 and n_3 apply to the thick sample and $n_{32} = 1$, resulting in $E_z = 1.621$ (E_x and E_y stay the same). The average angle θ between the membrane normal and the molecular axis can be found from the relationship

$$S = \frac{1}{2} \left(3 \left\langle \cos^2 \theta \right\rangle - 1 \right). \tag{13}$$

This theory can be applied to molecules that have a symmetry axis, such as lipid acyl chains in all-trans configuration or an α -helix. In cases when a protein or a peptide contain a single α -helix, 915 curve-fitting can be performed on amide I spectra measured at 916 p and s polarizations, as shown in Fig. 5. The α -helical dichroic 917 ratio, that is, the ratio of the areas of α -helical components at 918 1,655 cm⁻¹ at p and s polarizations, then can be used to determine 919 the helical orientation. Evaluation of the orientation of relatively 920 large membrane-bound proteins, which may contain a variety of 921 differently oriented helices and strands, is not easy. One way this 922 can be achieved is isotope labeling of certain helices within the 923 protein; combination of orientations of more than one helix allows 924 positioning of the protein relative to the membrane (19). These 925 procedures are described below.

Determination of the β -strand orientation is more complex. 927 When the strands are arranged in a structure that is characterized by 928 a central rotational axis, such as a β -barrel, the following holds (58): 929

$$\frac{1}{2} \left(3 \langle \cos^2 \delta \rangle - 1 \right) = \frac{2B}{(3 \langle \cos^2 \chi \rangle - 1) \left(B - 3E_z^2 \right)},\tag{14}$$

where δ is the angle of the transition dipole moment of β -strands 930 with respect to the barrel axis and χ describes the orientation of 931 barrel axis with respect to the membrane normal. For β -strands, the 932 transition dipole moments of amide I and amide II modes are 933 oriented perpendicular and along the strand axis, respectively 934 (58). Therefore, 935

$$\delta_I = \frac{\pi}{2} - \beta \tag{15a}$$

and 936

$$\delta_{II} = \beta \tag{15b}$$

where subscripts I and II indicate amide I and amide II bands, 937 respectively, and β is the angle between strand axes relative to the 938 central axis of the barrel. Substitution of (15a) and (15b) into 939 Eq. 14 yields two equations that can be solved together, using the 940 experimentally determined dichroic ratios for amide I and amide II 941 bands, to evaluate the angles γ and β . 942

In polarized ATR-FTIR spectra of a membrane-bound protein, the absorbance intensity of each structural element is determined by its spatial orientation among other things. Therefore, 945 protein's secondary structure cannot be determined using a spectrum obtained at a certain polarization. ATR-FTIR spectra 947 measured at p and s polarizations are used to generate a "polarization-independent" spectrum: $A = A_p + GA_s$, where the scaling 949 factor G is given as (59)

$$G = \frac{2E_z^2 - E_x^2}{E_y^2} \tag{16}$$

Using the values of E_x , E_y and E_z shown above, we obtain G = 0.80 in case of a thin film between germanium plate and an aqueous medium and G = 1.44 for a thick film deposited on germanium. Once a corrected amide I spectrum is obtained, the curve-fitting can be performed and protein's secondary structure determined following the procedures described above. Curve-fitted p and s polarized amide I bands can also be used to obtain fractions of various secondary structures (59):

$$f_i = \frac{A_{p,i} + GA_{s,i}}{\sum (A_{p,i} + GA_{s,i})}.$$
 (17)

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Application of both approaches to the polarized ATR-FTIR spectra of a membrane-reconstituted proteins or peptides yields similar results. For example, data shown in Fig. 5 indicate the following secondary structure for the membrane-bound peptide: $f_{\alpha} = 0.39$, $f_{\beta} = 0.40$, $f_{t} = 0.11$, and $f_{\rho} = 0.10$.

3.4. Isotope-Edited FTIR

3.4.1. Site-Directed Isotope Labeling

Since the conformation-sensitive amide I mode is generated primarily by the main chain C=O stretching vibration, site-directed labeling with ¹³C or ¹³C=¹⁸O offers an opportunity to gain local structural information on peptides and proteins (2, 15, 35, 36, 60–64). The fact that through bond and through space vibrational couplings between interacting peptide group oscillators significantly contribute to the amide I frequencies should be given special consideration in interpretation of the signal generated by the labeled sites (2, 36, 63). Sensitivity of these couplings to the geometric arrangements of the oscillators renders the amide I frequencies dependent not only on distinct secondary structures but also on the distribution (e.g., consecutive vs. interrupted) of the isotope-labeled amide units (65). Introduction of one or two ¹³C=O labels in a 14-residue β-sheet peptide resulted in a shift of the main amide I component from 1,628 cm⁻¹ down to $1,611-1,606 \text{ cm}^{-1}$ (2). The relative intensity of the downshifted component was significantly larger than the proportion of ¹³C-labeled units, and this effect became more pronounced when the two ¹³C labels were intervened by a single ¹²C as compared to two adjacent ¹³C labels. These anomalous intensity patterns were explained by a semiempirical treatment of vibrational couplings, including through covalent bonding, through H-bonding, and through space transition dipole couplings between ¹³C and ¹²C peptide units (2). Similar analysis on membrane-bound β -sheet peptides was performed by polarized ATR-FTIR, indicating that anomalously high intensities and frequencies of ¹³C-generated amide I components can be explained by inter- and intra-strand couplings between ¹³C and ¹²C amide oscillators (63). The degree of spectral downshift and the relative intensity of the ¹³C-labeled

amide mode were correlated with the type of β-sheet (parallel vs. 993 antiparallel) and the number of strands in the sheet (2, 36, 63).

In contrast to peptides in β-sheet structure, the ¹³C-labeled 995 amide units in α-helical peptides appear to behave like isolated 996 oscillators (2). Indeed, published data indicate that the vibrational coupling between amide units (peptide groups) containing 998 ¹³C-labeled and unlabeled (¹²C) carbonyls depends on the secondary structure; ¹³C–¹²C coupling occurs readily in a β-sheet structure 1000 but not in an α -helical structure (36, 62, 63). While a single 1001 ¹³C-labeled residue can provide local structural characterization of 1002 a peptide in β-sheet conformation (36, 62, 63), identification 1003 of a local α-helical structure requires at least three consecutive 1004 ¹³C-labeled amide units, which will provide a directly connected 1005 pair of coupled ¹³C oscillators that will generate the diagnostic 1006 vibrational frequency and intensity (15, 35, 36, 65). FTIR studies 1007 on helical peptides containing three or four consecutively ¹³C=O- 1008 labeled residues revealed site-specific structural features, such as a 1009 higher thermal stability of the central region of an α -helix and relative 1010 conformational dynamics of C- vs. N-termini of peptides (35, 38).

Phospholamban transmembrane domain labeled with ¹³C at 1012 one or two amino acids and reconstituted in supported lipid mem- 1013 branes was analyzed by polarized ATR-FTIR to gain insight into 1014 the local secondary structure and orientation (66). Such labeling 1015 resulted in ~45 cm $^{-1}$ downshift in the amide I α -helical frequency 1016 from 1,658 to 1,614-1,612 cm⁻¹, as expected for decoupled oscillators, but the fact of decoupling raises questions about the mean- 1018 ing of the amide I mode of an isolated oscillator in terms of protein 1019 conformation or orientation. ATR-FTIR studies on glycophorin A 1020 transmembrane domain, ¹³C-labeled at two or three consecutive 1021 sites, provided local structural and orientational information (67). 1022 The issue of helix or strand orientation determination by isotope- 1023 edited polarized ATR-FTIR was treated by Marsh (68). For a single 1024 site labeled peptide, linear dichroisms of more than one amide 1025 modes, such as amide I, II, and A, are required for helical orienta- 1026 tion determination. For determination of the orientation of a β- 1027 sheet relative to the membrane plus the orientation of strands 1028 relative to the sheet, six dichroic ratios are required, which can be 1029 obtained from three different amide modes of two isotopically 1030 labeled residues.

Better amide I spectral resolution has been achieved by site- 1032 specific amino acid labeling with ${}^{13}C = {}^{18}O$ (69). ${}^{13}C = {}^{18}O$ 1033 labeled amino acids can be created by incubating the commercially 1034 available carbonyl ¹³C-labeled amino acid in H₂ ¹⁸O/dioxane (1:1 1035 or 3:1, v/v) at 100°C at pH 1.0 for 1 h, followed by incorporation 1036 in the peptide sequence by chemical synthesis (69, 70). Care should 1037 be taken to avoid side chain modification of pH-sensitive amino 1038 acids. Labeling of the transmembrane domain of phospholamban $_{\rm 1039}$ with $_{\rm ^{13}C}=_{\rm ^{18}O}$ at either one of two centrally located adjacent $_{\rm 1040}$

residues resulted in a significant downshift of the amide I signal from 1,657 to 1,590 cm⁻¹, allowing determination of the local transmembrane orientation by polarized ATR-FTIR (69). Transmembrane orientations and helix packing details on ErbB-2 and other integral membrane proteins were deduced from ATR-FTIR experiments on 13 C = 18 O labeled peptides (53, 70, 71). Similar isotope editing strategies provided site-specific information on protein thermal stability and folding dynamics (72, 73), mechanisms of peptide aggregation (37, 74), and structural details of amyloid formation (75–77). Some of these studies used two-dimensional infrared spectroscopy (74–77), which is out of the scope of this text and has been reviewed elsewhere (78).

3.4.2. Structural Effects in Protein—Protein Interactions

Isotope-edited FTIR was used to gain insight into conformational changes in proteins upon their interactions. Uniform ^{13}C - or $^{13}\text{C}/^{15}\text{N}$ -labeling of one of the two interacting proteins results in spectral resolution of their amide I bands and hence allows identification of conformational changes in both proteins (79–81). This strategy was utilized to detect structural changes in calmodulin and target peptides upon their interaction (80), as well as the structural effects involved in the chaperon-like activity of α -crystallin (81). Polarized ATR-FTIR studies on ^{13}C -labeled phospholamban and unlabeled sarcoplasmic reticulum Ca²⁺-ATPase co-reconstituted in supported lipid bilayers identified that the inhibitory effect of phospholamban involved stabilization of α -helices of the Ca²⁺-ATPase (46). More information on the analysis of protein–protein interactions by isotope-edited FTIR is provided elsewhere (82).

3.4.3. Segmental Isotope Labeling and Protein-Membrane Interactions Labeling of a selected segment of proteins with ¹³C can provide local conformational information. Moreover, for membrane proteins this strategy can provide the orientation of the membrane-bound protein. Using peptide ligation techniques (83–86), a semi-synthetic human pancreatic PLA₂ was engineered where the N-terminal helix was unlabeled, whereas the rest of the protein was uniformly ¹³C-labeled (19). The procedure is schematically described in Fig. 6 and involved the following steps:

- A peptide corresponding to the N-terminal α-helix of PLA₂ and containing a C-terminally added thioester group (Ala¹-Lys¹⁰-COSCH₂COOH) was obtained by chemical synthesis.
- 2. A fragment of the protein starting with Cys¹¹ was expressed in *E. coli* in a minimal medium containing 0.2 % uniformly ¹³C-labeled D-glucose as a sole metabolic source of carbon.
- 3. The ¹³C-labeled fragment Cys¹¹–Ser¹²⁶ was reacted with a C-terminally thioesterified peptide in a buffer containing 6 M guanidinum-HCl, 100 mM Na-phosphate, 5 % β-mercaptoethanol, 1 mM EDTA, 4 % thiophenol, and 4 % benzyl mercaptan, pH 7.4. The concentrations of the C-terminal

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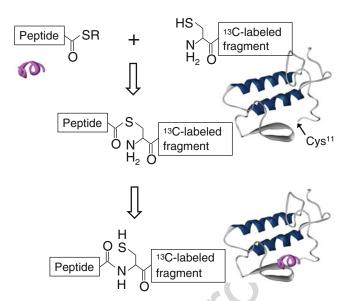


Fig. 6. Schematic description of production of a semisynthetic, segmentally ¹³C-labeled protein using thioester-to-cysteine peptide ligation. Details are described in the main text.

fragment and the N-terminal peptide were 16 and 1.6 mg/ml, 1086 respectively, approximately corresponding to a 1:1 molar ratio. 1087

- 4. The reaction was allowed to proceed for 6 h at 37°C, followed 1088 by refolding of the ligated protein by dialysis against 25 mM 1089 Tris-HCl, 5 mM CaCl₂, 5 mM L-cysteine, and 0.9 M guani- 1090 dinum-HCl (pH 8.0), at 4°C. In thioester-to-cysteine-type 1091 peptide ligation, the thioester reacts with the thiol of cysteine 1092 side chain, followed by irreversible S–N acyl transfer and for- 1093 mation of a native peptide bond. Internal cysteines of the 1094 protein can also react with the thioesterified peptide, but 1095 these reactions are reversible under appropriately selected 1096 reducing conditions.
- The ligated protein was purified by a Mono Q 5/50 column 1098 equilibrated with 2.5 mM KCl and 20 mM diethanolamine 1099 (pH 9.0).
- 6. This was followed by dialysis against 150 mM KCl, 25 mM 1101 Tris-HCl (pH 7.4), and additional purification using a size- 1102 exclusion HiLoad Superdex-75 column.
- 7. PLA₂ activity was measured using diheptanoyl-thiophos- 1104 phatidylcholine as a substrate, as described elsewhere (40). 1105

The semisynthetic, segmentally ¹³C-labeled PLA₂ was dis- 1106 solved in a D₂O-based buffer containing 100 mM NaCl, 1 mM 1107 NaN₃, 1 mM EGTA, 50 mM Hepes (pD 7.4) and injected into an 1108 ATR cell containing a supported membrane composed of 80 % 1- 1109 palmitoyl-2-oleoyl-*sn*-glycero-3-phosphocholine (POPC)

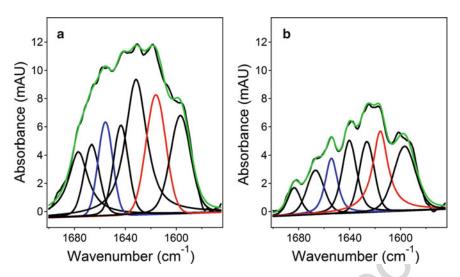


Fig. 7. ATR-FTIR spectra of a semisynthetic human pancreatic PLA₂ in which the N-terminal helix is unlabeled while the rest is uniformly 13 C-labeled, at p and s polarizations (**a** and **b**, respectively). The protein is bound to a POPC/POPG (4:1) bilayer supported on a germanium IRE, in a buffer of 100 mM NaCl, 1 mM NaN₃, 1 mM EGTA, 50 mM Hepes, pD 7.4. The *green line* is the curvefit, that is, the sum of all amide I components shown under the spectrum. The *blue* and *red* components are assigned to unlabeled and 13 C-labeled α -helices. Details are described in the main text.

20 % 1-palmitoyl-2-oleoyl-sn-glycero-3-phosphoglycerol (POPG). Polarized ATR-FTIR spectra of the membrane-bound protein, presented in Fig. 7, indicate relatively broad and complex amide I contours, resulting from the unlabeled and ¹³C-labeled segments of the proteins, each of which is undergoing amide HX following distinct kinetics. Amide I components located around 1,656 cm⁻¹ and 1,616 cm⁻¹ were assigned to the unlabeled (¹²C) and ¹³C-labeled α-helices of PLA₂. Intensities of these components at p and s polarizations of the infrared light were used to determine the orientations of the unlabeled and ¹³C-labeled αhelices. The interhelical angle between the two internal helices of pancreatic PLA2 were shown to be ~6°, using an analytic geometry algorithm (87), indicating that the two internal helices could be considered as one in terms of helical orientation. Determination of orientations of the N-terminal and the internal helices with respect to the membrane by polarized ATR-FTIR, combined with homology modeling and fluorescence quenching experiments, allowed identification of the orientation and the depth of membrane insertion of the membrane-bound protein molecule (PDB entry 1YSK). Similar protein engineering techniques, including protein semisynthesis and segmental ¹³C-labeling, were used to generate a chimeric PLA₂ where the N-terminal unlabeled helix of human group IIA PLA2 was ligated to a 13C-labeled pancreatic (group IB) PLA₂ fragment (34). ATR-FTIR studies on the chimeric PLA₂ elucidated the regulatory role of the N-terminal helix in PLA₂ membrane binding mode and activity.

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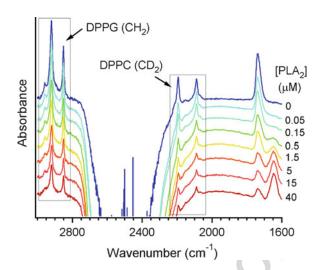
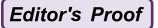


Fig. 8. ATR-FTIR spectra of a lipid bilayer composed of 50 % 1,2- (d_{62}) dipalmitoyl-snglycero-3-phosphocholine (with deuterated acyl chains) and 50 % unlabeled 1,2-dipalmitoyl-sn-glycero-3-phosphoglycerol supported on a germanium IRE at various concentration of a snake venom PLA2 in a buffer containing 2 mM CaCl2. The CH2 and CD2 stretching vibrational bands of the unlabeled and deuterated lipids are shown in rectangular frames. Note the strong spectral downshift of methylene stretching vibrations upon deuteration, as well as the increase in protein amide I band (1,700–1,600 cm⁻¹) and the decrease in lipid methylene and carbonyl (~1,735 cm-1) stretching bands with increasing PLA2 concentration, indicating binding of PLA2 to the supported membrane and lipid hydrolysis.

3.4.4. FTIR Studies on Isotopically Labeled Lipids

Isotope-edited FTIR spectroscopy has also been applied to study 1137 phase transitions, phase separation, hydration, and other properties 1138 of phospholipid membranes. Selective ¹³C-labeling of lipid car- 1139 bonyl groups at sn-1 or sn-2 positions identified their individual 1140 H-bonding and hydration properties and their contributions to the 1141 structure of the membrane-water interface (88–91). Deuteration 1142 of the methylene groups of lipid hydrocarbon chains results in 1143 ~730 cm⁻¹ spectral downshift of the methylene stretching vibra- 1144 tion, thus providing FTIR spectral resolution between unlabeled 1145 and deuterated lipids or between two chains of the same, selectively 1146 deuterated lipid. This effect was used to gain structural information 1147 on individual components of the membrane and the effect of 1148 membrane structure on membrane-bound enzyme activity 1149 (92–97). For example, lipid phase separation was found to promote 1150 protein kinase C activity (92). ATR-FTIR experiments on the 1151 action of PLA2 on membranes composed of unlabeled and acyl 1152 chain deuterated lipids showed that (a) increased catalytic activity 1153 of PLA₂ toward negatively charged lipid membranes results from 1154 stronger membrane binding rather than selectivity for anionic lipids 1155 and (b) lipid hydrolysis is followed by preferential dissociation of 1156 the lyso-lipids and accumulation of free fatty acids in the mem- 1157 brane, which modulates the membrane charge and the morphology 1158 and thereby PLA₂ activity (ref. (13) and Fig. 8).



3.5. Conclusions and Perspectives

FTIR spectroscopy is a capable yet relatively easy biophysical technique to gain structural information on proteins and peptides. Studies on membrane proteins reconstituted in detergent micelles or lipid vesicles allow structural characterization of both the protein and the lipid, without any problems related to the molecular size or light scattering like in NMR or UV spectroscopy. While FTIR does not provide the atomic structures of molecules, it is highly sensitive to protein conformational changes, structural flexibility, and solvent accessibility. For example, differences between very similar structures such as α_I and α_{II} helices, which mainly differ by helical H-bonding strength, can be identified easily based on significant differences in vibrational frequencies of the two structures (6, 98). Polarized ATR-FTIR is especially well suited for studies on membrane-bound proteins and provides the protein's orientation with respect to the membrane in addition to protein secondary structure and the kinetics of amide HX. While significant progress has been made in site-directed structural analysis of proteins and peptides by isotope-edited FTIR, still both the theory and the experimental techniques need to be developed to a higher level. Complete understanding and structural interpretation of the spectra of isotope-labeled proteins and peptides requires a stringent theoretical framework of vibrational coupling between various amide modes and its relation to secondary and tertiary structure of the protein. Combination of protein engineering techniques, including peptide ligation, nonsense suppression, and other procedures, with FTIR spectroscopy, is one of the strategies that can break new ground in the whole field of structural biology.

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4. Notes

In most studies, the secondary structures of proteins or peptides are estimated by curve-fitting of the amide I band assuming equal molar absorptivities (extinction coefficients) for all secondary structure types. While this may be an acceptable approximation, more accurate data may be obtained when the curve-fitting is conducted using the individual amide I extinction coefficients of various secondary structures. No consensus has been reached thus far regarding the quantitative determination of their values, however. Table 3 presents the values of extinction coefficients determined by Venyaminov and Kalnin (99), which are widely accepted and considered reliable. Jackson et al. (100) evaluated the following relative molar absorptivities of poly-L-lysine at different conformations: 1.00/ 1.16/0.88 for α -helix/ β -sheet/random structure, respectively. De Jongh et al. (101) reported the relative extinction coefficients for α -helix (1.00), β -sheet (1.44–1.57), β -turns (0.48–0.75), and random structure (0.54–0.58). These estimates were derived from

comparison of FTIR amide I bands of 15 proteins, deposited on a 1204 germanium plate from an H₂O buffer and dried, with their struc- 1205 tures determined by X-ray crystallography or NMR. Vedantham 1206 et al. (3) reported the wavenumbers, relative extinction coefficients, 1207 and bandwidths at half height for proteins in H₂O solutions. Based 1208 on these data, the peak absorbance and integrated extinction coefficients are calculated using the α-helical extinction coefficients 1210 reported in ref. (99) (Table 6). The relative extinction coefficients 1211 of various secondary structures are sufficient to determine the 1212 relative contents of secondary structures in a protein, which is 1213 the most useful information derived from FTIR, implying that 1214 the absolute values of the extinction coefficients are not needed to 1215 gain this information. Still there are significant differences in 1216 reported relative absorptivities, such as $\varepsilon_{\alpha}/\varepsilon_{\beta} \approx 1.0/1.5$ according to Venyaminov and Kalnin (99) and de Jongh et al. (101) vs. 1218 $\varepsilon_{\alpha}/\varepsilon_{\beta} \approx 1.0/0.8$ according to Vedantham et al. (3). More systematic studies are needed to resolve these differences.

Amide I frequencies of various secondary structures can vary 1221 depending on numerous factors, including the polypeptide chain 1222 length, environment, rigidity, etc. For example, the amide I wavenumbers of poly-L-lysine in α-helical and β-sheet, and random 1224 conformations in D_2O were 1,638 cm⁻¹, 1,610 cm⁻¹ plus a weaker 1225 component at $1,680 \text{ cm}^{-1}$, and $1,644 \text{ cm}^{-1}$ (100). It is possible 1226 that homopolypeptides generate lower amide I vibrational frequen- 1227 cies than proteins (i.e., heteropolypeptides) because of more regular secondary structures, corresponding to stronger H-bonding 1229 and hence weaker C=O bonds. Also, there may be significant 1230 overlap between different structures in the amide I region, as seen 1231 from Table 2.

Acknowledgments

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Experimental data presented in this article have been obtained and 1234 published elsewhere by Kathleen N. Nemec, Shan Qin, Abhay H. 1235 Pande, and Pranav Garg. 1236

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