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# The pivotal role of copper(II) in the enantio-recognition of tryptophan and histidine by gold nanoparticles

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**Abstract** Stereoselective amino acid analysis has increasingly moved into the scope of interest of the scientific community. In this work, we report a study on the chiral recognition of D,L-Trp and D,L-His using L-Cys-capped gold nanoparticles (AuNPs) and copper(II) ion. In the L-Cys-capped AuNPs, the thiol group of the amino acid interacts with AuNPs through the formation of Au–S bond, whereas the  $\alpha$ -amino and  $\alpha$ -carboxyl groups of the surface-confined cysteine can coordinate the copper(II) ion, which in turn, binds the L- or D-amino acid present in solution forming diastereoisomeric complexes. The resulting systems have been characterized by UV–Vis spectra and dynamic light scattering measurements, obtaining different results for L- and D-Trp, as well as for L- and D-His. The knowledge of the solution equilibria of the investigated systems allowed us to accurately calculate in advance the concentrations of the species present in solution and to optimize the system performances, highlighting the pivotal role of copper(II) ion in the enantio-discrimination processes.

**Keywords** Chiral analysis · Gold nanoparticles · Solution equilibria · Copper(II) · Amino acids

## Introduction

Chiral molecules in living organisms in nature exist almost exclusively as single enantiomers, a property that is critical for molecular recognition and replication processes and would thus seem to be a prerequisite for the origin of life [1]. The living world is chiral-selective, exhibiting different physiological responses to different enantiomers. Among bioactive molecules, amino acids (AAs) most exist in an L- and a D-form and, thanks to the recent advancement in stereoselective amino acid analysis [2, 3], the idea that in higher organisms only their L-enantiomers are of relevance gave way to the evidence that the D-forms of certain amino acids are widely present in tissues and body fluids of mammals. In this respect, D-amino acids can function [4–6] as biomarkers for the diagnosis of pathological conditions, as for the Alzheimer disease in the pre-dementia phase or, ideally, in pre-symptomatic individuals [7, 8] since differences in the levels of L- and D-amino acids are clearly observed among the sample of cerebrospinal fluid pools from different patients [9]. Furthermore, the discrimination of amino acid enantiomers plays a relevant role in the fields of pharmaceutical science and biochemistry [10]. In particular, L-tryptophan in addition to be a component of proteins and neuropeptides [11] and the precursor for several biologically active compounds, as, for example, the kynurexine [12], is closely related to several chronic diseases and used as pharmaceutical antidepressant agent and as an efficacious treatment for chronic insomnia [13] whereas D-Trp has no significant biological effects. Similarly, the effect of histidine on the *in vivo* intestinal zinc absorption was stereospecific: L-histidine maintains quantitative zinc uptake, whereas the retained or absorbed Zn(II) fractions in the presence of D-histidine are significantly lower [14]. Moreover, L- and D-His were used to investigate chiral selection by D-ribose RNA [15]. Thus, the selective discrimination of the Trp and His enantiomers is of great importance.

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Gold nanoparticles (AuNPs) have unique properties, such as size- and shape-dependent optical and electronic features, a high surface area to volume ratio, and surfaces that can be readily modified with ligands containing functional groups such as thiols, phosphines, and amines, which exhibit affinity for gold surfaces [16]. Owing to these unique properties, AuNPs have many applications in diagnostics and therapeutics [17], catalysis [18], optical sensing [19], and as biomarkers and building blocks in nanotechnology. On the other hand, among all forms of catalytic selectivity, enantioselectivity is perhaps the most subtle and the most difficult to control.

The functionalization of nanoparticles by chiral molecules has been recently exploited for enantiodiscrimination [20–22]. In fact, as elegantly demonstrated by the team of Govorov [23], chiral molecules are shown to induce circular dichroism (CD) at surface plasmon resonances of gold nanostructures when in proximity to the metal surface even without direct bonding to the metal. Thus, the chirality of the ligands is transferred to the metal core either in its structure or at least in its electronic states via perturbation of the electronic field of the ligands. Thus, CD has been widely exploited in this field [23], often providing detailed information on the investigated systems, even though its use requires expensive instrumentation and skilled and trained researchers and it does not provide results of outstanding interpretability.

On the other hand, functionalized gold nanoparticles have been increasingly explored as colorimetric sensors due to their remarkable photophysical and photochemical properties and thus can be good candidates for enantio-recognition of chiral biomolecules. The color changes are mainly due to aggregation phenomena that can be accurately described by using dynamic light scattering (DLS) investigations that allow to determine the hydrodynamic radii of the particles in solution. Furthermore, aggregation phenomena frequently give rise to changes of the SPR in the extinction spectra and thus can be elegantly modeled by considering solutions of the Maxwell equations such as those found in the Mie–Gans theory for spheres and ellipsoidal shaped nanoparticles [24] providing an accurate snapshot of the phenomena occurring in solution, as recently demonstrated by some of us [25].

In every technique utilized for enantiodiscrimination [26], the process responsible for the recognition and/or the separation of two enantiomers is the formation of diastereoisomeric complexes between the enantiomers and a chiral selector. In many cases, the presence of a metal ion favors these interactions by the formation of ternary complexes and makes extremely tunable the processes occurring in solution, allowing to maximize the difference in the degree of formation between the resulting diastereoisomeric complexes and thus to optimize the discrimination process [27–29].

Some of us have an acknowledged expertise in the field of enantiomeric discrimination of derivatized [30] and underivatized [31] amino acids by using capillary

electrophoresis techniques. In this context, the accurate characterization, carried out in advance, of the solution equilibria involved in the separation processes is crucial for the optimization of the experimental conditions [26], allowing a fine tuning of the processes themselves [32]. In fact, the exact knowledge of the composition of a solution can be used to predict the conditions required for the optimal formation of a given complex, giving great importance in planning separation procedures and dramatically improving their performances.

Exploiting these different and complementary expertise we now report a study on the enantiodiscrimination processes of underivatized D,L-Trp and D,L-His obtained by using AuNPs functionalized with L-Cys and the related amino acids copper(II) complexes. The properties of these systems have been investigated by using UV–Vis spectroscopy to determine the kinetics of the processes and the shape and the dimensions of the particles, as well as by DLS to evaluate their hydrodynamic radii. The main innovation of the paper consists in the use of copper(II) ion, as well as of the concerning solution equilibria data to fine tune and optimize the experimental conditions. Furthermore, we conjugate our experience in the investigation on the copper(II) complexes thermodynamic stereoselectivity with the expertise in UV–Vis spectroscopy and dynamic light scattering to obtain a careful inspection of the chiral recognition process. This approach would be easily extendible to develop simple, rapid and sensitive assays for chiral recognition.

## Experimental part

**Materials** L-cysteine, L-alanine, D-alanine, L-tryptophan, D-tryptophan, L-histidine and D-histidine were obtained as commercial reagents by Merck and were used as received.  $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$  and trisodium citrate ( $\text{Na}_3\text{Cit} \cdot 2\text{H}_2\text{O}$ ) were purchased from Sigma Aldrich. Copper(II) sulfate (Carlo Erba) stock solutions were standardized by EDTA titrations [33].

**Synthesis of AuNPS** The citrate-capped AuNPs were prepared by the citrate reduction method pioneered by Turkevich et al. [34], refined by Frens [35] and once for all described in details by Hill and Mirkin [36]. Shortly, to a 50-mL aqueous solution of 1.00 mM  $\text{HAuCl}_4$  (whose concentration was determined by using the molar absorptivity reported by Wang et al. [37]) refluxed under stirring, 5.00 mL of an aqueous 38.8 mM sodium citrate solution was added. After 15.0 min, a wine red solution was obtained, that was allowed to cool to room temperature prior to being filtered using a 0.45  $\mu\text{m}$  filter. Finally, as suggested by Sethi et al. [38], the solution was centrifuged at 5,000 rpm for 2.00 min, and the supernatant was studied. Two independent batches were synthesized, characterized, and utilized for the chiral recognition measurements.

## Spectroscopic measurements

UV-spectra of the investigated systems were carried out at room temperature, using a diode-array Agilent 8453 spectrophotometer in the 400–900 nm wavelength. The concentration of the AuNPs solutions was calculated by using the Beer's law ( $\epsilon = 2.01 \times 10^8 \text{ cm}^{-1} \text{ M}^{-1}$ ) according to Maye et al. [39]. The particle diameter (in nanometers) was calculated analyzing the UV-spectra by the Haiss method [40] by using the following relation:

$$d = \exp\left(B_1 \frac{A_{522}}{A_{450}} - B_2\right) \quad (1)$$

where  $B_1 = 3.00$  and  $B_2 = 2.20$  and  $A_{522}$  and  $A_{450}$  are the absorbance at 522 nm (SPR peak) and 450 nm, respectively.

**UV-Vis chiral recognition** The solution containing the AuNPs and L-Cys was prepared by diluting the appropriate amount of the gold colloid and of a 1 mM L-Cys stock solution, obtaining a concentration of 1.12 nM and  $2.63 \times 10^{-5}$  M for Au and cysteine, respectively. A volume of 1.1 ml of this solution was added to 1.4 ml of Cu(II) L-Ala, Cu(II) D-Ala, Cu(II) L-Trp, Cu(II) D-Trp, Cu(II) L-His or Cu(II) D-His complexes, to obtain a final concentration of  $5.0 \times 10^{-5}$  M and  $1.0 \times 10^{-4}$  M in copper(II) and in amino acid, respectively. Immediately the absorption reading was begun following a 90-min period and 3-min interval. Subsequently, a further addition of a copper sulfate solution was made to obtain a final  $1.0 \times 10^{-4}$  M copper concentration and another absorption reading was carried out following the same period and interval. Alternatively, the solution containing the AuNPs and L-Cys was prepared by diluting the appropriate amount of the gold colloid and of a 1 mM L-Cys stock solution, realizing a concentration of 0.88 nM and  $2.63 \times 10^{-5}$  M for Au and cysteine, respectively. 1.0 ml of this solution was added to 1.5 ml of Cu(II) L-Ala, Cu(II) D-Ala, Cu(II) L-Trp, Cu(II) D-Trp, Cu(II) L-His or Cu(II) D-His complexes, to obtain a final concentration of  $1.0 \times 10^{-4}$  M in copper(II) and in amino acid, respectively.

The species distribution diagrams calculated to optimize the experimental conditions have been obtained by the simulation and speciation program HYSS [41].

## DLS measurements

The DLS measurements were carried out by a homemade apparatus as described elsewhere [25]. The measurements were carried out 3 h after the preparation of the solution to allow the systems to react. The analysis of the fluctuations of the scattered light was performed by the intensity autocorrelation function ( $g_2$ ). This autocorrelation function was

provided by the hardware correlator operating in single photon counting regime. For monodisperse non-interacting particles in Brownian motion, the  $g_2$  function is a decreasing exponential with a relaxation rate  $\Gamma$  ( $\Gamma = 1/\tau$  with  $\tau$  = decay time). Once the relaxation rate is obtained, it is possible to calculate either the translational diffusion coefficient ( $D_t$ ) and the hydrodynamic radius ( $R_H$ ) using the following expressions [42]:

$$\Gamma = D_t q^2 \quad (2)$$

where  $q$  is the scattering vector, defined as  $q = (4\pi n/\lambda) \sin(\theta/2)$ , being  $n$  the refractive index of the solvent,  $\lambda$  the light wavelength,  $\theta$  the scattering angle,

and,

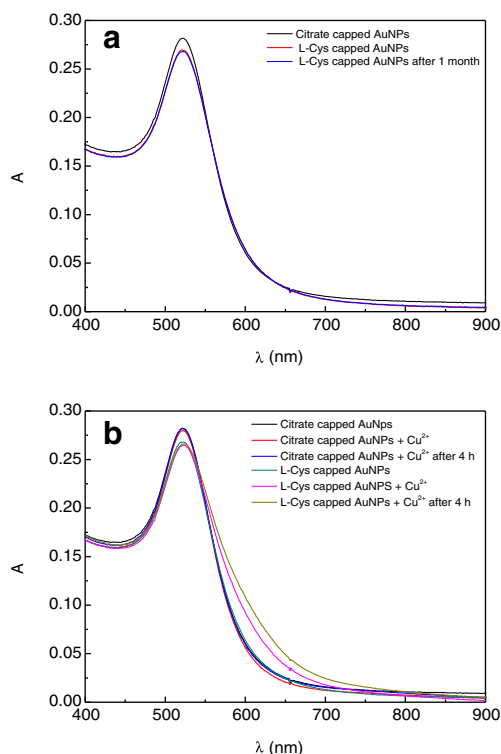
$$R_H = kT / (6\pi\eta D_t) \quad (3)$$

where  $\eta$  is the liquid viscosity,  $k$  the Boltzmann constant, and  $T$  the absolute temperature. We used a scattering angle  $\theta = 90^\circ$ .

## Results and discussion

The UV-Vis spectrum of the citrate-capped Au nanoparticles (NPs) is reported in Fig. 1a. The spectrum exhibit only a single peak ( $\lambda_{\text{spr}} = 522$  nm), indicating that the solution contains highly dispersed Au nanoparticles. In order to carry out the measurements with a high degree of reproducibility, we estimated the concentration values (calculated by the absorbance values) of the solutions obtained after the filtration, as well as the diameter values of the nanoparticles by using the Haiss method. The two batch give rise to a concentration of 17.6 nM and a diameter value of  $16 \pm 1$  nm, for the batch I and a concentration of 16.5 nM and a diameter value of  $19 \pm 1$  nm, for the batch II, respectively.

In Fig. 1a is also reported the UV-Vis spectrum of the L-cysteine-capped AuNPs recorded immediately after the preparation and after a period of aging of ca. 1 month. Thiol-containing amino acid show high affinity towards the surface of gold nanoparticles [43] as can be finely described by the hard-soft acid-base theory [44], that find applicability also in such a microenvironment [45]. Thus, owing to its high affinity, L-Cys is reported to induce gold [46–48] and silver [49] nanoparticles aggregation, mainly at acidic pH values. In spite of this, by using a low L-Cys concentration ( $1.0\text{--}3.0 \times 10^{-5}$  M) and a fine control of the pH, we prevented particles aggregation and obtained again a highly dispersed AuNPs solution, as evidenced by the fact that the spectra of the citrate-capped and cysteine-capped AuNPs do not show significant differences with the exception of a slight shift of the surface plasmon band from 522 to 520 nm probably due to the damping effect of the thiol group on the gold surface [50, 51]. Furthermore, the



**Fig. 1** UV-Vis spectra recorded for **a** citrate-capped and L-Cys-capped AuNPs; **b** citrate-capped and L-Cys-capped AuNPs in the absence and in the presence of copper(II)

spectrum recorded after a month is superimposable with that obtained immediately after the preparation (Fig. 1a), showing that the L-Cys Au colloid is stable for several weeks.

In Fig. 1b are reported the UV-Vis spectra of the citrate-capped AuNPs, as well as of the L-Cys-capped ones in the absence and in the presence of copper(II) at the concentration utilized for the enantiodiscrimination experiments. Also,  $\text{Cu}^{2+}$  ion is reported to promote the aggregation of citrate-capped AuNPs at a concentration of  $2.0 \times 10^{-4}$  M and at  $\text{pH} > 5.5$ – $6.0$  [52], as well as of the L-Cys-capped ones at a concentration of  $6.0 \times 10^{-4}$  M [45]. However, as evidenced in Fig. 1b, we did not find aggregation processes exclusively imputable to the  $\text{Cu}^{2+}$  ion at the concentrations utilized in our experiments, that anyway are lower than those reported in references 51 and 52, as well as the measured pH of the final solutions never exceeds the value of 6.0. In the presence of both copper(II) and L-Cys we only find a little change in the shape of the plasmon peak imputable to a slight aggregation process. Anyway, 4 h after the preparation of the solution, the spectrum is only slightly different from that recorded before and thus this aggregation cannot interfere with the enantiodiscrimination process.

In the L-Cys-capped AuNPs, the thiol group of the amino acid interacts with AuNPs through the formation of Au–S bond, whereas the  $\alpha$ -amino and  $\alpha$ -carboxyl groups of the surface-confined cysteine can coordinate the copper(II) ion present in solution by forming a five-membered ring (Scheme 1). However, the coordination sphere of the metal

ion is not complete and thus  $\text{Cu}^{2+}$  can be either complexed by another L-Cys-capped AuNP, giving rise to the well-known aggregation processes reported in the literature or by a different L- or D-amino acid present in solution (see Scheme 1). Obviously, the resulting diastereoisomeric complexes, due to the presence of two chiral centers in the adducts, should have different chemical–physical properties, giving rise to different behaviors. In order to favor routes II and III of Scheme 1, i.e., the formation of complexes with the amino acids to enantiodiscriminate, we added the L-Cys-capped AuNPs to a solution containing the copper(II) and L- or D-Ala or L- or D-Trp or L- or D-His in a ratio 1:1 or 1:2. In this way, as indicated in Fig. 2 that reports the species distribution diagram calculated for the system  $\text{Cu(II)/L-Trp}$  as an example at the concentrations used in our experiments, at the investigated pH ( $\text{pH} = 6.0$ ) more than 70 % of the total copper(II) in solution is present as L-Trp complexed species, thus favoring the enantiodiscrimination processes at the expense of the aggregation processes among the L-Cys-capped AuNPs, that are favored by the free copper in solution.

The diastereoisomeric complexes formed among the L-Cys-capped AuNPs, the  $\text{Cu}^{2+}$  ion and the L- or D-amino acids to enantiodiscriminate should also have different chemical–physical properties, giving rise to different behaviors. Our results confirm this trend: Fig. 3 shows the time course of the UV-Vis spectra for solutions containing  $\text{Cu}^{2+}$  ion and (a) L-Ala, (b) D-Ala, (c) L-Trp; (d) D-Trp, either in a 1:2 and a 1:1 ratio, whereas in the insets the concerning photographic images taken at the end of the reactions are shown. As widely described in the literature [53–58], alanine is not enantiodiscriminated by the formation of diastereoisomeric complexes. In fact, owing to its short side chain, for the copper(II) alaninate ternary complexes, interligand interactions cannot occur and thus, as a consequence, stereoselectivity is either absent or insignificant. Analogously, the UV-Vis spectra of the Cys-capped AuNPs in presence of either L- or D-Ala are almost superimposable and the color of both solutions become blue (Fig. 3a and b), indicating that for both enantiomers an aggregation process is occurring.

On the contrary, the gold nanoparticles show high enantiodiscrimination capabilities towards L- or D-Trp (Fig. 3c and d). In fact, whereas for the system with L-Trp only a slight modification of the UV-Vis spectra is observed, the system with D-Trp is strikingly different. The plasmon absorption at 522 nm slightly decreases and a new absorption red shifted at 656 nm appears, indicating the occurrence of an aggregation process. Furthermore, AuNPs solutions also exhibit color changes: whereas the solution with L-Trp does not change its color, remaining red, the one with D-Trp exhibits a sensitive color change from red to blue, which can directly be seen with the naked eye (insets in Fig. 3c and d).

The processes described above are strongly dependent upon the copper(II) ion concentration. In fact, as shown in



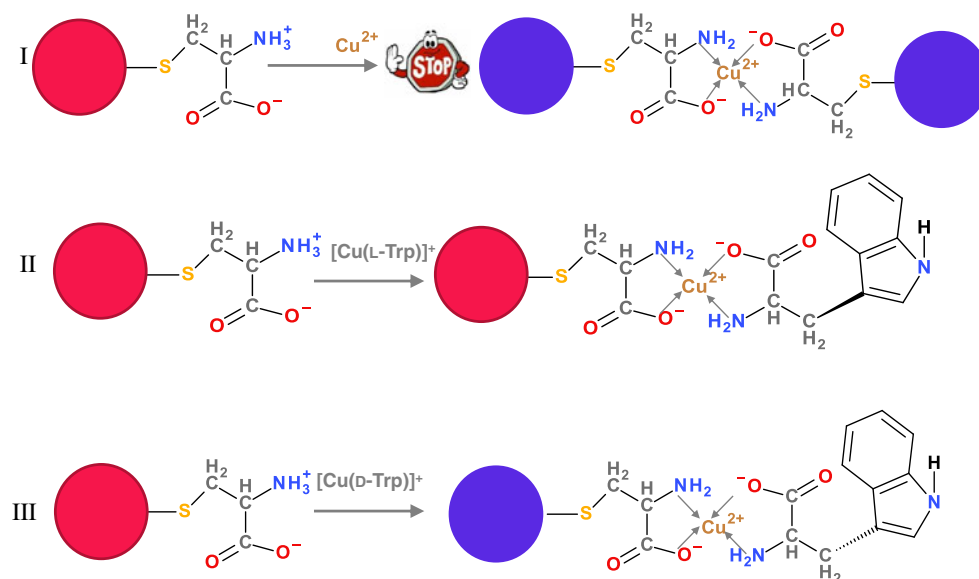
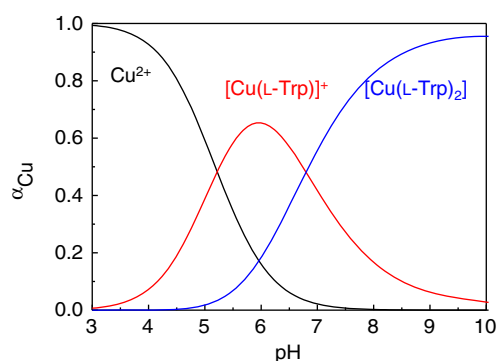
**Scheme 1** Different routes resulting in diastereoisomeric complexes

Fig. 4, that reports the spectra for the investigated systems at the end of the reactions, as well as the corresponding absorption ratios ( $A_{656}/A_{521}$ ) as a function of time, almost no variation is observed at Cu(II)/amino acid ratio 1:2. Only when the metal ion concentration is raised to equal that of the amino acid to enantiodiscriminate, the aggregation processes start.

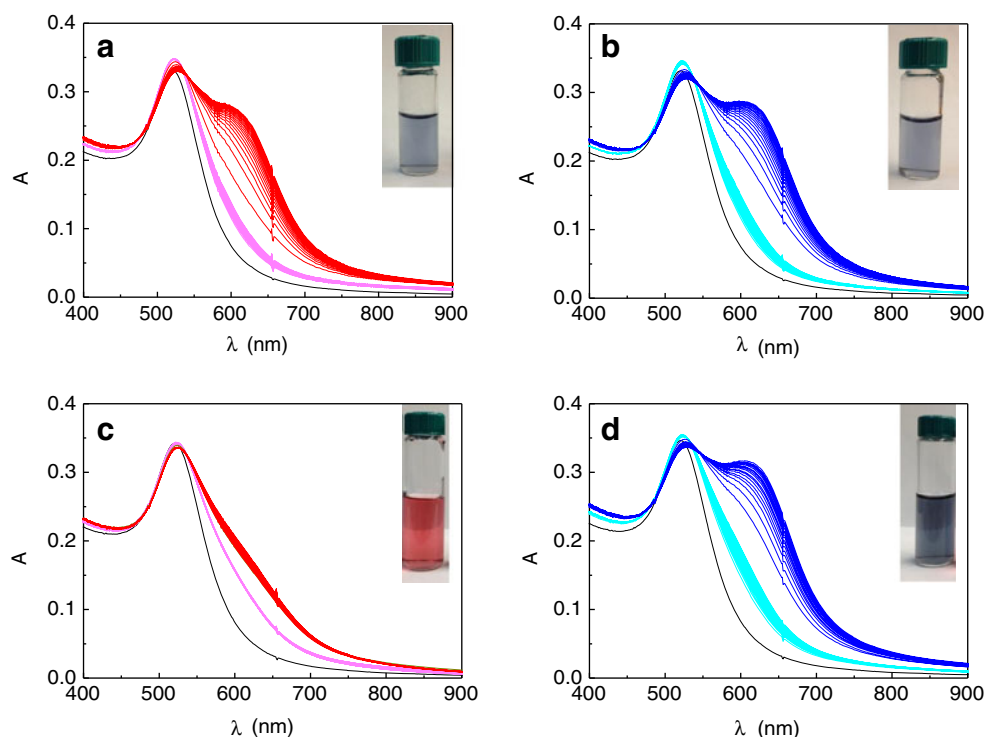
The L-Cys-capped AuNPs also show high enantiodiscrimination capabilities towards L- or D-His, those results are reported in Fig. 5, even though showing a different dependence on copper(II) ion concentration. In fact, for histidine, the enantiodiscrimination process become effective already at Cu/His ratio 1:2: the system with L-His shows only a slight modification of the UV-Vis spectra (Fig. 5a, light colors), whereas the system with D-His is strikingly different at this copper/amino acid ratio (Fig. 5b, light colors). On the other hand, the two systems show a nearly identical aggregation process when the metal ion concentration is raised to equal that of the amino acid to enantiodiscriminate (Fig. 3a and b, bright colors). The spectra for the investigated systems at the end of the reactions (Fig. 5c), as well as the corresponding absorption

ratios ( $A_{656}/A_{521}$ ) as a function of time (Fig. 5d), better underline the different dependence upon copper(II) concentration. Oppositely to L- and D-Trp systems that show no variation at a Cu(II)/amino acid ratio 1:2 (Fig. 4b), L- and D-His systems show the major variation at the lowest metal to ligand ratio, whereas the two diastereoisomeric complexes behave the same when the metal ion concentration is raised to equal that of the amino acid to enantiodiscriminate (Fig. 3c and d).

Once again, the knowledge of the solution equilibria allows us to explain this behavior. In fact, besides copper(II), L-Cys and the amino acids to enantiodiscriminate, the final solutions contain citrate ions acting as capping agent for the AuNPs in a concentration range  $3.00\text{--}3.30 \times 10^{-4}$  M. Considering that the concentration of AuNPs is about  $0.35\text{--}0.45$  nM and that each particle is surrounded by almost 3,000–4,000 citrate ions, as can be calculated considering a citrate footprint of  $0.3$  nm<sup>2</sup> [59], the citrate concentration is almost unaffected by the presence of the nanoparticles in solution and in large excess over the other ligands. In these experimental conditions, the copper(II) ion forms ternary complexes with L- or D-His and citrate [60]. In Fig. 6 are reported the species distribution diagrams for the ternary systems Cu/L-His/Cit calculated at the concentration used in our experiments. The diagrams show that, under the experimental conditions utilized in this work (pH=6.0), the complex with the highest degree of formation is the mixed species  $[\text{Cu}(\text{L-His})(\text{Cit})]^{2-}$ , that is the one that interacts with the cysteine bound on the AuNPs surface. Analogously, we could suppose that also in the systems Cu/L-Trp/Cit and Cu/D-Trp/Cit an analogous ternary species  $[\text{Cu}(\text{L-Trp})(\text{Cit})]^{2-}$  or  $[\text{Cu}(\text{D-Trp})(\text{Cit})]^{2-}$  is present and that also in this case this is the species that interacts with the cysteine bound on the AuNPs surface. As specified above, in the L-Cys-capped AuNPs, the thiol group of the amino acid interacts with AuNPs through the formation of Au–S bond,

**Fig. 2** Species distribution diagram for the system Cu(II)/L-Trp.  $C_{\text{Cu}}=0.05$  mM;  $C_{\text{Trp}}=0.1$  mM

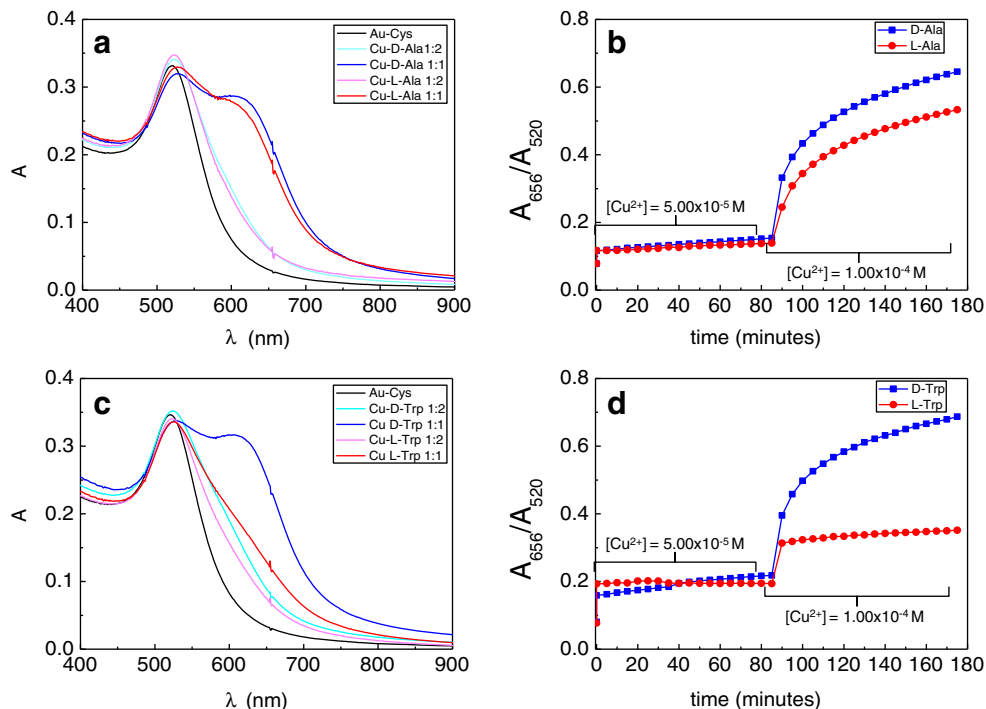
**Fig. 3** Time course of the UV–Vis spectra for solutions containing AuNPs–L-Cys and **a**  $\text{Cu}^{2+}$  ion and L-Ala; **b**  $\text{Cu}^{2+}$  ion and D-Ala; **c**  $\text{Cu}^{2+}$  ion and L-Trp; **d**  $\text{Cu}^{2+}$  ion and D-Trp in a 1:2 (light colors) and a 1:1 ratio (bright colors). The spectrum of Cys-capped AuNPs is in black in all cases



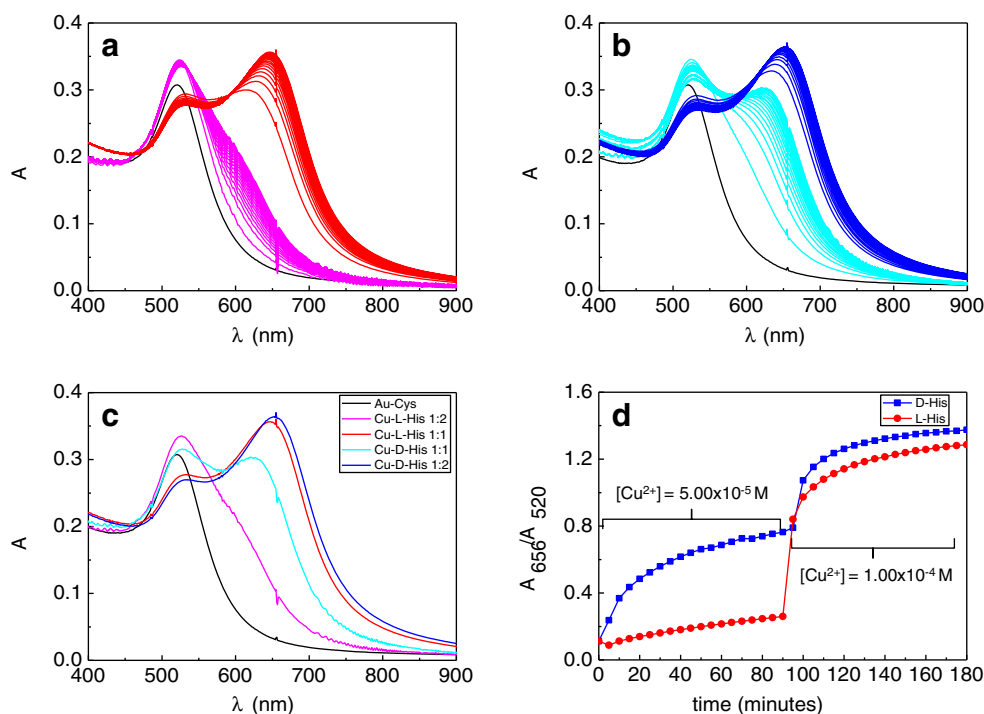
whereas the  $\alpha$ -amino and  $\alpha$ -carboxyl groups of the surface-confined cysteine can coordinate the copper(II) ion present in solution, virtually behaving as alanine. This last amino acid has a major affinity towards copper(II) ion than citrate and thus could displace this last ligand giving rise to the diastereoisomeric complexes responsible for the enantiodiscrimination process observed. As shown in Fig. 6, raising the

concentration of copper(II) ion from  $5.0 \times 10^{-5}$  M to  $1.0 \times 10^{-4}$  M, the degree of formation of the ternary species jumps from 30 to 50 %, promoting a better interaction of the amino acids to enantiodiscriminate with the L-Cys-capped AuNPs. Probably for the His systems this degree of formation results to be too high, leveling off any differences between the enantiomers to enantiodiscriminate. Thus, in this case, a lower

**Fig. 4** UV–Vis spectra at the end of reaction and the corresponding plots of the absorption ratios ( $A_{656}/A_{520}$ ) as a function of time for solutions containing AuNPs–L-Cys and **a**  $\text{Cu}^{2+}$  ion and L-Ala or D-Ala; **b**  $\text{Cu}^{2+}$  ion and L-Trp or D-Trp



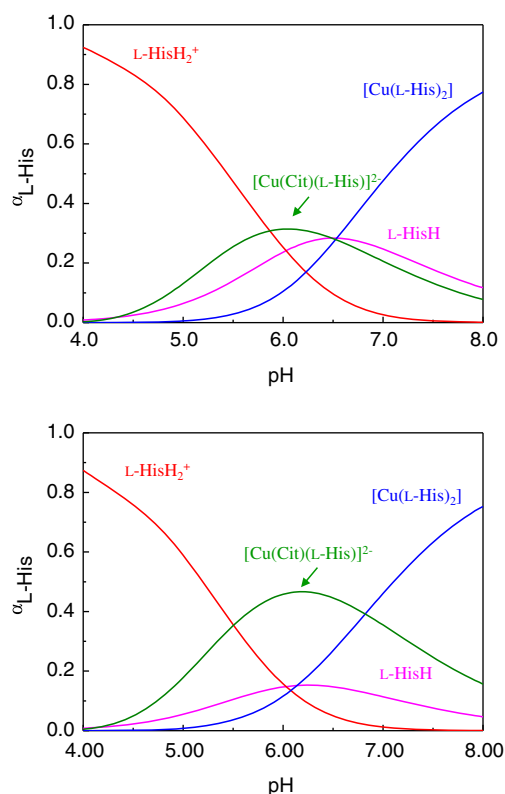
**Fig. 5** Time course of the UV–Vis spectra for solutions containing AuNPs–L–Cys and **a**  $\text{Cu}^{2+}$  ion and L–His; **b**  $\text{Cu}^{2+}$  ion and D–His in a 1:2 (light colors) and a 1:1 ratio (bright colors). The spectrum of Cys-capped AuNPs is in black in all cases. **c** UV–Vis spectra at the end of reaction and **d** the corresponding plot of the absorption ratios ( $A_{656}/A_{520}$ ) as a function of time for solutions containing AuNPs–L–Cys,  $\text{Cu}^{2+}$  ion and L–His or D–His



concentration of copper(II) ion and, as a consequence, of the ternary species  $[\text{Cu}(\text{L-His})(\text{Cit})]^{2-}$  and  $[\text{Cu}(\text{D-His})(\text{Cit})]^{2-}$  give rise to a better enantiodiscrimination. On the other hand, the L- and D-Trp systems do not show any significant difference at a Cu/Trp ratio 1:2. However, whereas for L- and D-Ala (Fig. 4a), even after a further addition of  $\text{CuSO}_4$ , the final spectra for the two enantiomers are practically superimposable, the final UV–Vis spectra for L- and D-Trp (Fig. 4b), after the copper(II) addition are remarkably different and the corresponding absorption ratio ( $A_{656}/A_{521}$ ) show a sharp variation and a large difference between the two enantiomers. The opposite behavior of tryptophan and histidine is undoubtedly related to the different coordination characteristics of the two amino acids. In fact, whereas Trp is a bidentate ligand, His, in addition to the  $\alpha$ -amino and  $\alpha$ -carboxyl groups, has a further site of coordination, i.e., the imidazolic nitrogen atom and thus the  $[\text{CuHis}]^+$  species shows a higher stability constant ( $\log K=10.15$ ) [60] than the corresponding  $[\text{CuTrp}]^+$  species ( $\log K=8.29$ ) [61]. This stability difference (nearly 2 logarithmic units) probably affects the stability of the concerning ternary species and thus, to keep the degree of formation of the ternary species  $[\text{Cu}(\text{L-Trp})(\text{Cit})]^{2-}$  or  $[\text{Cu}(\text{D-Trp})(\text{Cit})]^{2-}$  sufficiently high to allow the enantiodiscrimination, we need an higher concentration of copper(II) ion.

In order to confirm the results obtained by UV–Vis spectra we carried out DLS measurements on the Ala and Trp systems. This technique, in fact, has been recently reported [62, 63] as suitable to determine the size as well as the shape of gold nanoparticles and nanoaggregates. The autocorrelation functions ( $g_2$ ) of citrate-capped AuNPs, L-Cys-capped ones,

as well as of Cu-L-Trp/L-Cys AuNPs and Cu-D-Trp/L-Cys AuNPs solutions are reported in Fig. 7a. The value of the



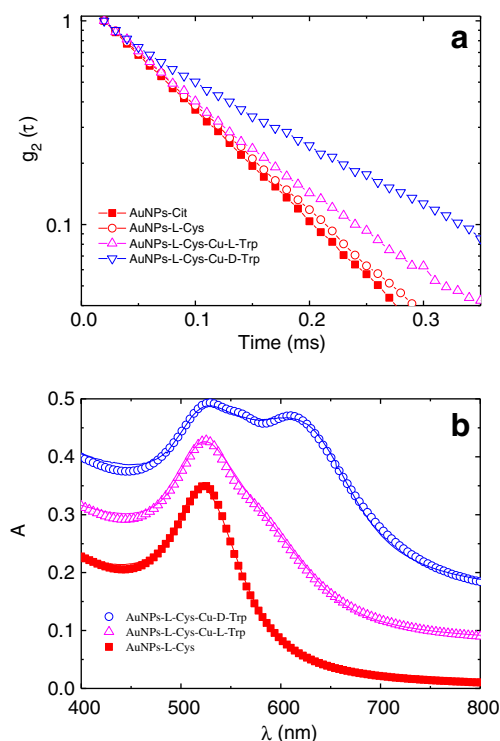
**Fig. 6** Species distribution diagrams for the system Cu/Cit/L-His **a**  $C_{\text{Cu}} = 5 \times 10^{-5} \text{ M}$ ;  $C_{\text{Cit}} = 3 \times 10^{-4} \text{ M}$ ;  $C_{\text{L-His}} = 1 \times 10^{-4} \text{ M}$ ; **b**  $C_{\text{Cu}} = 1 \times 10^{-4} \text{ M}$ ;  $C_{\text{Cit}} = 3 \times 10^{-4} \text{ M}$ ;  $C_{\text{L-His}} = 1 \times 10^{-4} \text{ M}$



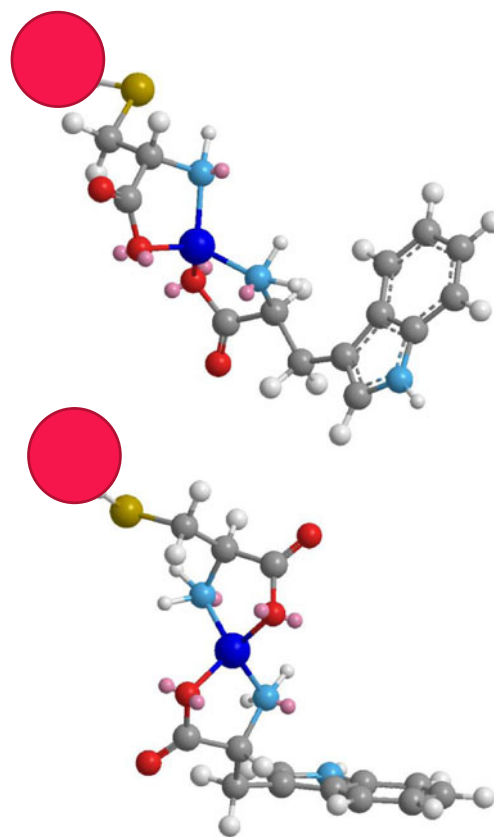
hydrodynamic nanoparticle radius calculated by cumulant analysis was 20 nm for the citrate-capped AuNPs and 21 nm for the L-Cys-capped ones. These values are slightly larger than the values obtained by UV–Vis spectroscopy. This discrepancy can be related both to the substances adsorbed on the nanoparticle surface (e.g., stabilizers) and to the thickness of the electrical double layer (solvation). Interestingly, whereas the hydrodynamic nanoparticle radius measured for the L-Cys–Au–L-Trp system is only slightly different from that of L-Cys-capped AuNPs (25 vs 21 nm), the corresponding value for the L-Cys–Au–D-Trp system is significantly different (38 nm), clearly indicating that in this case an aggregation process occurred.

In order to quantify the changes in the UV–Vis spectra due to aggregation phenomena, the Mie–Ganz model has been widely applied [64, 65]. This model considers the aggregates as object of elongated shape (ellipsoids) and shows that a spherical shaped metallic cluster, has a unique SPR peaked at about 520 nm while a prolate ellipsoid gives two characteristic extinction signals, one at the same frequency of the spherical particles, and the other red shifted. These two resonance frequencies are frequently referred to the plasmon resonance that occurs along the shorter axis and the longer axis of the ellipsoid.

The UV–Vis spectrum for the system AuNPs–L-Cys–Cu–L-Trp (Fig. 3c) shows an SPR at 522 nm, whereas the spectra



**Fig. 7** **a** Correlation function  $g_2(\tau)$  for AuNPs citrate-capped, L-Cys-capped, AuNPs–L-Cys–Cu–L-Trp, AuNPs–L-Cys–Cu–D-Trp; **b** UV–Vis spectra of the systems AuNPs L-Cys-capped, AuNPs–L-Cys–Cu–L-Trp, AuNPs–L-Cys–Cu–D-Trp. The solid lines refer to fit obtained by Mie–Gans model



**Fig. 8** Schematic descriptions of **a** L-Cys–Cu–L-Trp; **b** L-Cys–Cu–D-Trp

obtained for the systems AuNPs–L-Cys–Cu–L-Ala, AuNPs–L-Cys–Cu–D-Ala and AuNPs–L-Cys–Cu–D-Trp (Fig. 3a, b, d, respectively) evidence two SPR at 522 and 656 nm, respectively, indicating the formation of non spherical nanoparticles during the aggregation. Different authors [24, 64, 65] have shown that the Mie–Gans model is suitable for the evaluation of the size, shape, and fraction of nonspherical particles in a gold colloid by the simulation and fitting of the extinction spectra.

In our case, it is possible to consider the colloidal suspension as a mixture of spherical and ellipsoidal nanoparticles, and assuming that at low gold solution concentration, the aggregates are far from each other and therefore scatter light in an independent way; the total extinction cross section is given by:

$$\sigma = N_s \cdot \sigma_{\text{sph}}(\lambda, d) + N_e \cdot \sum_{\rho} \sigma_{\text{ellips}}(\lambda, a, \rho) \cdot G(\rho) \quad (4)$$

where  $N_s$  and  $N_e$  are the concentration of spherical and ellipsoidal nanoparticles respectively, while  $\sigma_{\text{sph}}$  and  $\sigma_{\text{ellips}}$  are their cross sections (in quadrupole approximation) which depend on the size of the (spherical) particle ( $d$ ), the wavelength of the incoming light ( $\lambda$ ), the aspect ratio ( $\rho = \frac{\text{major axis}}{\text{minor axis}}$ ) and the minor axis ( $a$ ) of the considered ellipsoid. In our model, we have also considered that the ellipsoids have, in the sol, a Gaussian distribution of aspect ratios  $G(\rho)$ , centered at  $\rho$  with a standard deviation  $s$ . Details

for the mathematical expressions of  $\sigma_{\text{sph}}$ , and  $\sigma_{\text{ellips}}$  can be found in Ref. [66]. Moreover, in our model, the minor ellipsoid axis was fixed to the diameter of the individual nanoparticle, which is 18 nm (as found with Haiss method).

The fitting procedure involves only a limited number of parameters ( $N_s$ ,  $N_e$ ,  $\rho$ ,  $\sigma$ ) and in Fig. 7b the experimental UV–Vis spectra and the calculated fits of the AuNPs–L–Cys–Cu–L–Trp, AuNPs–L–Cys–Cu–D–Trp and of AuNPs–L–Cys are shown. For the AuNPs–L–Cys system, the fit was obtained with a value of 18 nm for the nanoparticle diameter and an aspect ratio  $\rho=1.0$ , because of the spherical nanoparticle shape. In the AuNPs–L–Cys–Cu–D–Trp system the UV–Vis spectrum was fitted with two distributions: a narrow distribution centered around  $\rho=1.0$ , associated to the non aggregated nanoparticles and a broader distribution centered at  $\rho=0.45$  arising from aggregated nanoparticles. The major axis of ellipsoids results 40 nm, rather close the value obtained with DLS measurements. Also for the AuNPs–L–Cys–Cu–L–Trp, the spectrum fit was obtained with the same two distribution as in the case of AuNPs–L–Cys–Cu–D–Trp, however, in the last case the concentration of ellipsoids ( $N_e$ ) was lower (about one order of magnitude).

The data obtained by the fitting of the extinction spectra and by the DLS measurements clearly show that whereas for L–Trp, where only a slight aggregation occurs, the major part of the nanoparticles remain spherical, for all the other investigated amino acids (L–Ala, D–Ala, and D–Trp) the aggregation processes mainly give rise to ellipsoidal nanoparticles, allowing us to shed some light on the enantiodiscrimination process. In fact, the different size of the alanine and tryptophan side chains is responsible for the different aggregation trends observed. Owing to its short side chain, the steric encumbrance of the ternary species of the alanine bound on the AuNPs surface is independent from the amino acid chirality. On the other hand, for the species formed by L- or D–Trp, the bulkier indolic residue plays a crucial role in the formation of the spheroidal nanoparticles. As shown in Fig. 8, in the homochiral species, the side chain of the amino acid points outwards the copper(II) coordination plane, disadvantaging the aggregation process; whereas in the heterochiral species, the indolic residue lies in the coordination plane, promoting the formation of non spherical aggregated particles. Moreover, in the AuNPs–L–Cys–Cu–D–Trp system the indolic group in the side chain is arranged in such a way (Fig. 8) that it could interact with other indolic groups present in the functionalized nanoparticles by multiple  $\pi$ – $\pi$  interactions [67–70].

## Conclusions

In this paper, we have shown that the unique properties of AuNPs can be smartly exploited to realize the enantiodiscrimination of D,L–Trp and of D,L–His. The knowledge of the

solution equilibria allows us to settle a novel approach in this field. In fact, the calculation of the concentrations of the species present in solution enables a fine tuning of the experimental conditions and, as a consequence, the optimization of the discrimination process. Furthermore, the combination of different techniques (UV–Vis spectroscopy and DLS) allows us a deep understanding of the phenomena occurring in solution and to hypothesize different molecular pathways, as well as to shed light on the copper(II) ion pivotal role.

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