

Experimental studies of the quantum chromodynamics phase diagram at the STAR experiment

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Abstract. We review the STAR experiment's results to date from the Beam Energy Scan (BES) at Brookhaven's Relativistic Heavy Ion Collider, and outline future plans and prospects in this area. BES Phase-I is based on Au + Au data taken in 2010 and 2011 at $\sqrt{s_{NN}} = 7.7, 11.5, 19.6, 27$ and 39 GeV, and when interpreted in conjunction with the large datasets available at 62.4 and 200 GeV, permits an initial exploration of the phase diagram of quantum chromodynamics (QCD) matter. The three goals of BES Phase-I are as follows: (1) a search for turn-off of the promising signatures of quark gluon plasma (QGP) already reported at the top RHIC energies; (2) a search for evidence of a possible first-order phase transition such as a signature of softening of the QCD equation of state (EoS); (3) a search for a critical end point as expected in a scenario where there is a cross-over transition from hadronic matter to QGP at the highest RHIC energies, but a first-order phase transition at lower energies with finite net-baryon density. We summarize several analyses of BES data from 2010 and 2011 that are either published or submitted, as well as several more that have been reported at meetings in preliminary forms. The physics interpretation of BES Phase-I measurements is frequently limited by the increasing statistical error bars as the beam energy decreases, and the planned BES Phase-II will have much improved capabilities in this regard.

Keywords. Quark gluon plasma; quantum chromodynamics phase diagram; quantum chromodynamics critical point; phase transition; chemical freeze-out; directed and elliptic flow; chiral magnetic effect; nuclear modification factor.

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1. Introduction

Experiments to date at the Relativistic Heavy-Ion Collider (RHIC) at Brookhaven National Laboratory have uncovered evidence for production of a deconfined partonic phase – quark gluon plasma (QGP) – in Au+Au collisions at top RHIC energies [1–5].

However, questions remain about how nuclear matter undergoes the transition from its initial state to a deconfined QGP and then back to a hot hadronic gas. Our current level of understanding is illustrated by the conceptual phase diagram in figure 1. The transition is believed to be a smooth cross-over [6–8] at top RHIC energies. By colliding at progressively lower energies, it should be possible to traverse regions of increasingly high baryon chemical potential μ_B , offering the possibility to explore the hypothesized first-order phase transition [9,10] and the vicinity of the associated critical point.

In this review paper, we focus on a dedicated programme at RHIC called the beam energy scan (BES), whose three goals are given in the abstract. Two main phases are predicted in the QCD phase diagram: QGP and hadronic gas. The end-point of the first-order phase transition line (while going towards the cross-over) is the position of a critical point [11]. While there is little guidance from the theory side about the QCD phase diagram, efforts are going on from the experimental side to establish some of its distinct structures, such as the phase boundary between the deconfined phase of quarks and gluons and the hadron gas phase, the first-order phase transition line, and the critical point.

The QCD phase diagram can be studied by inferring the location of a particular experimental dataset along the two axes, T and μ_B . These quantities can be obtained from the momentum distributions and the ratios of the produced particles. Each beam energy point corresponds to one $T-\mu_B$ coordinate pair on the phase diagram. Data at different centre-of-mass energies can be collected, and once the $T-\mu_B$ coordinates are inferred from a model-dependent calculation, the various signatures for the phase boundary, first-order phase transition, and critical point can be investigated. One promising approach is to note the beam energy where the signatures of QGP, already established at the top RHIC energy,

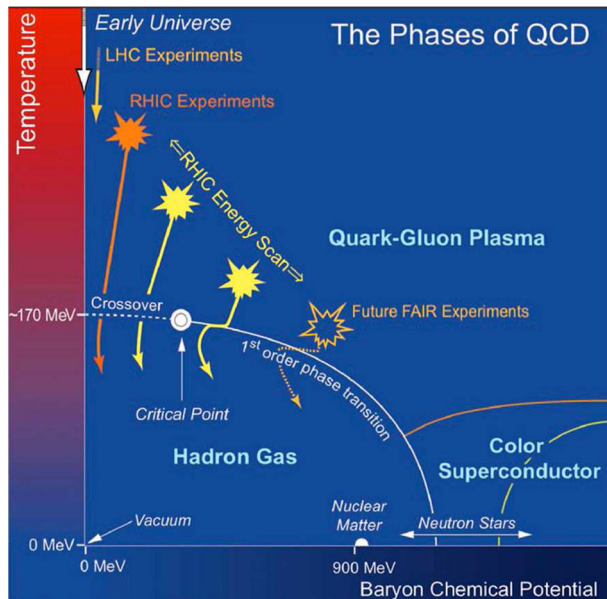


Figure 1. Schematic QCD phase diagram, plotted as temperature T vs. baryon chemical potential μ_B .

Table 1. Summary of data collected during BES-I, currently proposed energies, corresponding μ_B values, event rates, and the required number of events for BES Phase-II. Also listed are the corresponding fixed target $\sqrt{s_{NN}}$, number of events, and μ_B reach.

Collider energies					Fixed target collisions		
$\sqrt{s_{NN}}$ (GeV)	μ_B (MeV)	BES-I Evts (M)	Rate ($\frac{\text{MEvts}}{\text{day}}$)	BES-II Evts (M)	$\sqrt{s_{NN}}$ (GeV)	Evts (M)	μ_B (MeV)
39	115	130	20	—	—	—	—
27	155	70	9	—	—	—	—
19.6	205	36	3.6	400	4.5	5	585
14.5	250	—	1.6	100	4.0	5	620
11.5	315	12	1.1	120	3.5	5	670
7.7	420	4.3	0.5	80	3.0	5	720

disappear or ‘turn-off’. This is the above-mentioned first goal of the RHIC beam energy scan programme [12–14].

The proposal for the BES programme was given in 2008. This was followed the same year by successful data taking and a physics analysis of a short Au+Au test run at $\sqrt{s_{NN}} = 9.2$ GeV [15]. The first phase of the BES programme was conducted in the year 2010, with data taking for Au+Au collisions at the three energies of 7.7, 11.5, and 39 GeV. In the year 2011, two additional energy points were added: $\sqrt{s_{NN}} = 19.6$ and 27 GeV. Table 1 lists various energies and the corresponding number of events collected by the STAR detector in 2010–2011 for Phase-I of the BES programme.

This article is organized as follows. In §2, freeze-out parameters that provide information about T – μ_B points in the QCD phase diagram are discussed. Subsequent sections cover signatures of a possible first-order phase transition and the topic of ‘turn-off’ of QGP. Signatures of a possible critical point are then discussed. The last section before the summary addresses the plans and outlook for the BES Phase-II programme.

2. Freeze-out parameters

The QCD phase diagram shows the region of each phase in the space of temperature T and baryon chemical potential μ_B . These quantities can be extracted from the measured hadron yields. Transverse momentum p_T spectra for the BES Phase-I energies are obtained for π , K , p , Λ , Ξ , K_S^0 , and ϕ [16,17]. From these distributions, the corresponding particle yields are obtained and various particle ratios are constructed. These particle ratios are used to obtain the chemical freeze-out conditions (at which point, particle yields are no longer able to change), using the statistical thermal model (THERMUS) [2,18,19]. The two main extracted parameters are chemical freeze-out temperature T_{ch} and μ_B . Figure 2 shows the variation of the extracted chemical freeze-out parameters using the grand-canonical ensemble (GCE) approach of THERMUS for different energies and centralities [20,21]. The curves represent the parametrizations of T and μ_B [22,23]. We observe that at top RHIC energy, there is little variation of chemical freeze-out parameters

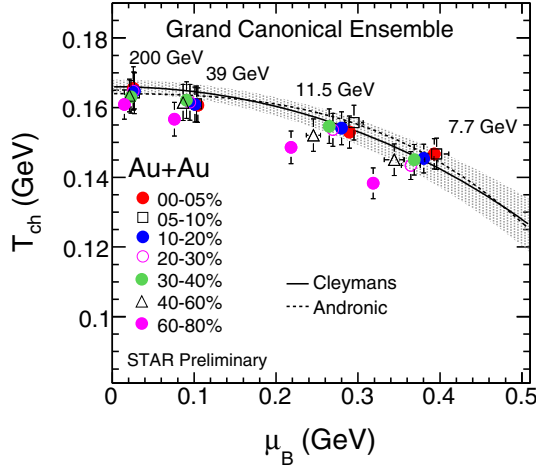


Figure 2. Variation of T_{ch} with μ_B for different energies and centralities. The curves represent theoretical calculations [22,23].

with centrality, while at lower energies, T_{ch} shows a variation with μ_B as a function of centrality. The centrality dependence of these parameters is observed for the first time in heavy-ion collisions at these lower energies. One advantage of having such a dependence is that experiments can explore a larger region of the QCD phase diagram without as many changes in beam energy as might otherwise be needed, allowing collider beam time to be utilized more efficiently.

3. Directed flow

The directed flow v_1 is calculated as $\langle \cos(\phi - \Psi_1) \rangle$, where ϕ and Ψ_1 are the azimuthal angle of the produced particles and the azimuthal orientation of the first-order reaction plane, respectively. The directed flow measurements for protons are proposed to be sensitive to the equation of state (EoS) [24–27]. Based on a 3-fluid hydrodynamic model with a first-order phase transition from hadronic matter to QGP, it has been specifically predicted that proton $v_1(y)$ slope should exhibit a sharp minimum as a function of beam energy [26,27], whereas the same model with a purely hadronic EoS predicts monotonic behaviour. The Frankfurt theory group refers to the QGP EoS behaviour as a collapse of proton flow. Figure 3 shows directed flow results from the beam energy scan. In figure 3, the v_1 slope dv_1/dy near midrapidity is plotted as a function of beam energy for mid-central (10–40%) Au+Au collisions, for antiprotons, protons, and net protons [29,30]. The antiproton slope increases with increasing collision energy but stays below zero up to 200 GeV. The proton slope decreases strongly with energy and changes sign from positive to negative between 7.7 and 11.5 GeV, shows a minimum between 11.5 and 19.6 GeV, and remains small but negative up to 200 GeV. The $v_1(y)$ slope for net-protons is negligibly different from protons at and below the energy of the minimum, but then crosses zero between 27 GeV and 39 GeV, and remains positive up to 200 GeV. The UrQMD model does not reproduce the behaviour shown in the data. The observed beam energy of the

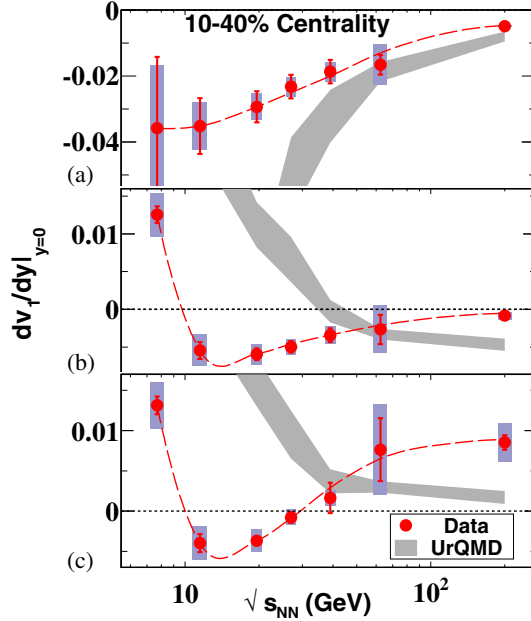


Figure 3. Directed flow slope (dv_1/dy) near midrapidity as a function of beam energy for intermediate-centrality (10–40%) Au+Au collisions. Panels (a), (b), and (c) report STAR’s measurement for antiprotons, protons, and net protons, respectively, along with the corresponding calculations from the UrQMD hadronic transport model [28] subject to the same cuts and fit conditions. The systematic uncertainties on the measurements are shown as shaded bars. The dashed curves are a smooth fit to guide the eye.

minimum in $v_1(y)$ slope for both protons and net protons in the data is a factor of 3 to 4 higher than the minimum in the hydrodynamic prediction with a QGP EoS having a first-order phase transition [27]. At the energy where the hydrodynamic model predicts a minimum, and at the higher energy where a minimum is observed by STAR, the difference between all the protons and net protons is negligible.

In order to refine the minimum position, it will be very valuable to add one more energy point around 15 GeV, and additional statistics would allow centrality dependence to be explored. Also, more theoretical studies are needed to fully understand these interesting observations, and to definitively rule out possible explanations based on purely hadronic physics.

4. Elliptic flow

The elliptic flow v_2 is defined in terms of $\langle \cos 2(\phi - \Psi_2) \rangle$, where Ψ_2 is the orientation of the second-order reaction plane. Like directed flow, elliptic flow mainly probes the early stages of heavy-ion collisions. In Au+Au collisions at the top RHIC energy of 200 GeV, the elliptic flow vs. $m_T - m_0$ (where $m_T = \sqrt{p_T^2 + m_0^2}$) for mesons and baryons

show separation at intermediate p_T . However, when both axes are scaled by the number of constituent quarks (n_q), v_2 shows a scaling behaviour where mesons and baryons almost coincide over a range of p_T values. This is referred to as the number of constituent quark (NCQ) scaling [31]. It is a widely accepted signature of partonic matter formed in Au+Au collisions at 200 GeV [1,2], and deviations from such scaling might indicate a return to dominance by hadronic interactions. Hence, breaking of NCQ scaling at lower energies could be an indication of a ‘turn-off’ of QGP production. For NCQ scaling to happen, v_2 of mesons and baryons must show splitting or separation at intermediate p_T . Absence of such a splitting could also be taken as an indication of ‘turn-off’ of QGP. Figure 4 shows the difference in v_2 of particles and the corresponding antiparticles as a function of beam energy [32]. The difference in v_2 between particles and antiparticles is observed to increase as the energy is lowered. At low energies, $v_2(\pi^-) > v_2(\pi^+)$, $v_2(K^+) > v_2(K^-)$, and $v_2(\text{baryons}) > v_2(\text{antibaryons})$. This difference between particles and antiparticles suggests that the NCQ scaling among particles and antiparticles is broken. However, the observed difference between v_2 of particles and antiparticles could be qualitatively explained by models incorporating baryon transport at midrapidity and hadronic interactions [33,34].

Figure 5 shows v_2 vs. $m_T - m_0$ for different particle types at $\sqrt{s_{NN}} = 7.7\text{--}62.4$ GeV, and the splitting into one common curve for mesons and another common curve for baryons can be seen for higher energies [32]. The splitting between baryons and mesons decreases with decreasing beam energy, and disappears around $\sqrt{s_{NN}} \leq 11.5$ GeV. As the baryon–meson splitting at top RHIC energy is attributed to partonic degrees of freedom, its absence at lower energies suggests that partonic effects are less dominant at lower energies. In addition, it is also observed that all particle types, both mesons and baryons, when scaled by the corresponding number of quarks (two for mesons and three for baryons), follow a single common curve within about $\pm 10\%$, except for ϕ -mesons at $\sqrt{s_{NN}} \leq 11.5$ GeV [32]. At the largest $m_T - m_0$, the ϕ -meson datapoints deviate by 1.8σ and 2.3σ for $\sqrt{s_{NN}} = 7.7$ and 11.5 GeV, respectively. As ϕ -mesons have a smaller hadronic interaction

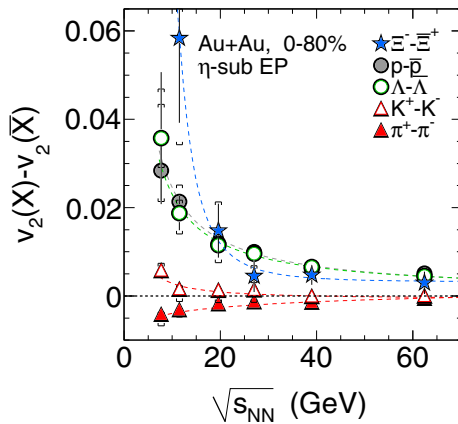


Figure 4. The difference in v_2 between particles and their corresponding antiparticles as a function of beam energy in 0–80% Au+Au collisions. Both statistical (vertical lines) and systematic errors (caps) are shown.

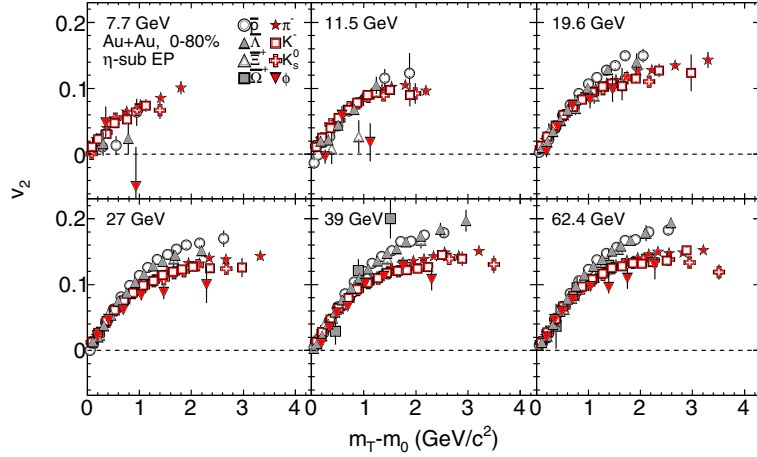


Figure 5. v_2 as a function of $m_T - m_0$ for different particle types in Au+Au collisions at $\sqrt{s_{NN}} = 7.7, 11.5, 19.6, 27, 39,$ and 62.4 GeV. The errors shown are statistical only.

cross-section, their smaller v_2 could indicate that the hadronic interactions start to dominate over partonic effects for systems formed at beam energies $\sqrt{s_{NN}} \leq 11.5$ GeV [35]. However, much higher statistics are needed to extend the $m_T - m_0$ range of ϕ -mesons and improve the significance of the observed deviation.

5. Chiral magnetic effect

In non-central heavy-ion collisions, a large orbital angular momentum vector (L) exists at 90° to the reaction plane, leading to an exceptionally intense localized magnetic field ($\sim 10^{15}$ T). If the system is deconfined, there can be strong parity-violating domains, and different numbers of quarks of left- and right-handed helicity, leading to preferential emission of like-sign charged particles along L . The phenomenon is sometimes called the chiral magnetic effect (CME) [36–38], and has been studied in lattice QCD [39–41]. Experimentally, such a phenomenon could be studied through a three-particle mixed-harmonic azimuthal correlator [42,43], $\gamma = \langle \cos(\phi_\alpha + \phi_\beta - 2\Psi_{RP}) \rangle$. This observable represents the difference between azimuthal correlations projected onto the direction of the angular momentum vector and correlations projected onto the collision reaction plane. At top RHIC energies, the correlator γ shows a separation between the correlations of same- and opposite-sign charges with respect to the reaction plane [42,43]. These results are consistent with the expected signal for local parity violation, especially the centrality dependence. However, there are caveats attached to this observation [42–44]. If this difference can be attributed to the QCD phase transition, the absence of such an observation at lower energies could be an indication that the system did not undergo the phase transition. Hence, the observable could be useful to locate the beam energy where the QGP signature ‘turns off’.

Figure 6 shows the correlator γ as a function of centrality from Au+Au collisions at $\sqrt{s_{NN}} = 7.7$ –200 GeV [45]. For comparison, we also show the ALICE results for Pb+Pb

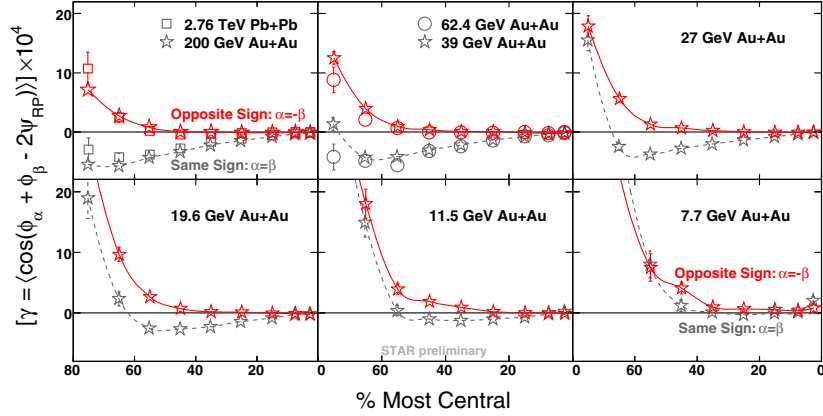


Figure 6. The correlator γ as a function of centrality for Au+Au collisions from 200 GeV to 7.7 GeV. For comparison, we also show ALICE results for Pb+Pb at 2.76 TeV [46]. The plotted errors are statistical only.

collisions at 2.76 TeV [46]. As can be seen in figure 6, the γ signals are very similar in collisions throughout the beam energy range $\sqrt{s_{NN}} = 2.76$ TeV down to 19.6 GeV. However, changes in the correlation function are seen at lower energies: $\sqrt{s_{NN}} = 11.5$ and 7.7 GeV, where the difference between same-sign and opposite-sign γ fades away at all collision centralities. As discussed above, one possible explanation for this fading away would be the disappearance of CME due to a turn-off of deconfinement at lower BES energy points.

6. Nuclear modification factor

The behaviour of the nuclear modification factor R_{CP} is a widely accepted signature of QGP at top RHIC energy [47]. This observable is defined as the ratio of yields in central collisions to yields in peripheral collisions, scaled by the corresponding number of binary collisions N_{bin} . The number of binary collisions is calculated from a Monte Carlo model. At high p_T , it has been observed that R_{CP} of various particles is less than unity [47], which is attributed to energy loss of the partons in the dense medium. In the absence of a dense medium, there may not be suppression of high p_T particles, which might serve as an indication of ‘turn-off’ of QGP production.

Figure 7 shows R_{CP} results for charged hadrons in Au+Au collisions at $\sqrt{s_{NN}} = 7.7$ –200 GeV [48]. We observed that for $p_T > 2$ GeV/c, $R_{CP} > 1$ for lower energies. This suggests that partonic effects become less important and the cold nuclear matter effects (Cronin effect) start to dominate at these lower energies [49]. A caveat is that, as the hard spectrum component fades away with decreasing beam energy, disentangling the various factors as the beam energy is scanned down remains a challenge to be solved in future.

Figure 8 shows the baryon-to-meson ratio $N(\Omega^- + \Omega^+)/2N(\phi)$ as a function of p_T in central Au+Au collisions at $\sqrt{s_{NN}} = 11.5$ –200 GeV [50]. The curves represent model calculations by Hwa and Yang [51,52] in central collisions at $\sqrt{s_{NN}} = 200$ GeV which assume the Ω and ϕ yields to be generated from the recombination of thermal strange

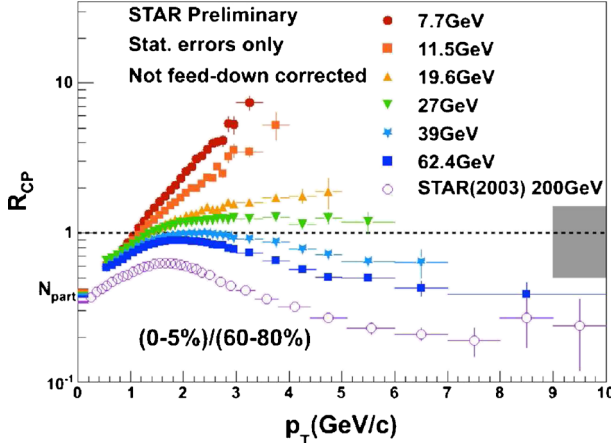


Figure 7. $R_{CP}((0-5\%)/(60-80\%))$ for charged hadrons in Au+Au collisions at $\sqrt{s_{NN}} = 7.7-200$ GeV. The grey band at the right corresponds to systematic uncertainties.

quarks having an exponential p_T distribution. The particle ratio results at $\sqrt{s_{NN}} = 19.6$, 27, and 39 GeV seem to be consistent with 200 GeV results, indicating a maximum around $p_T \geq 3$ GeV/c, then turning down as p_T increases further. However, results at 11.5 GeV suggest a different behaviour, i.e., they show a maximum at the somewhat lower p_T of ~ 2 GeV/c before turning down at higher p_T . This observation suggests that there might be a change in the underlying p_T distributions of strange quarks recombining to form the final Ω and ϕ for $\sqrt{s_{NN}} = 11.5$ GeV, compared with $\sqrt{s_{NN}} \geq 19.6$ GeV. This is another good example of a measurement where the expected roughly ten-fold increase in statistics in BES Phase-II could allow a major step forward.

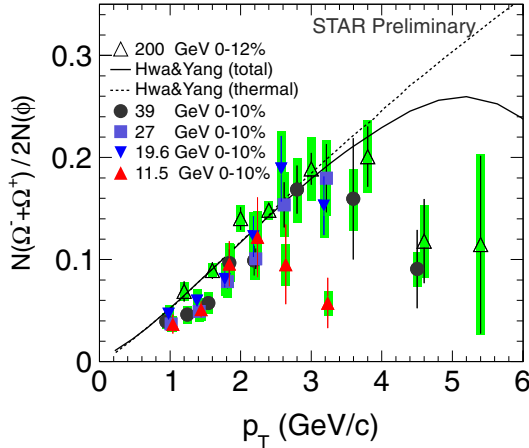


Figure 8. The baryon-to-meson ratio $N(\Omega^- + \Omega^+)/2N(\phi)$ as a function of p_T in central Au+Au collisions at $\sqrt{s_{NN}} = 11.5-200$ GeV. The curves are from model calculations by Hwa and Yang for $\sqrt{s_{NN}} = 200$ GeV. Both statistical errors (vertical lines) and systematic errors (shaded bands) are shown.

7. Conserved number fluctuations

Higher moments of conserved number fluctuations have been proposed as promising observables in the search for a critical point [53–55]. For a static, infinite medium, the correlation length ξ diverges at a critical point. The various moments of event-by-event conserved numbers (such as net baryons, net charge, and net strangeness) are related to different powers of the correlation length. Higher moments such as skewness S and kurtosis κ are related to higher powers of the correlation length [56,57]. Thus, these higher moments have a better sensitivity in searching for the critical point. It has been proposed that the appropriate products of these moments, such as $\kappa\sigma^2$ and $S\sigma$, can be related to the ratios of order susceptibilities calculated in lattice QCD and HRG models as $\kappa\sigma^2 = \chi_B^{(4)}/\chi_B^{(2)}$ and $S\sigma = \chi_B^{(3)}/\chi_B^{(2)}$ [58,59]. Here, $\chi_B^{(2)}$, $\chi_B^{(3)}$, and $\chi_B^{(4)}$ are the second-, third-, and fourth-order baryon number susceptibilities, respectively. One of the advantages of using these products or ratios is that they cancel volume effects which are difficult to estimate in an experiment. In this way, one can relate experimental measurements to lattice QCD observables in searching for a critical point. In an experiment, it

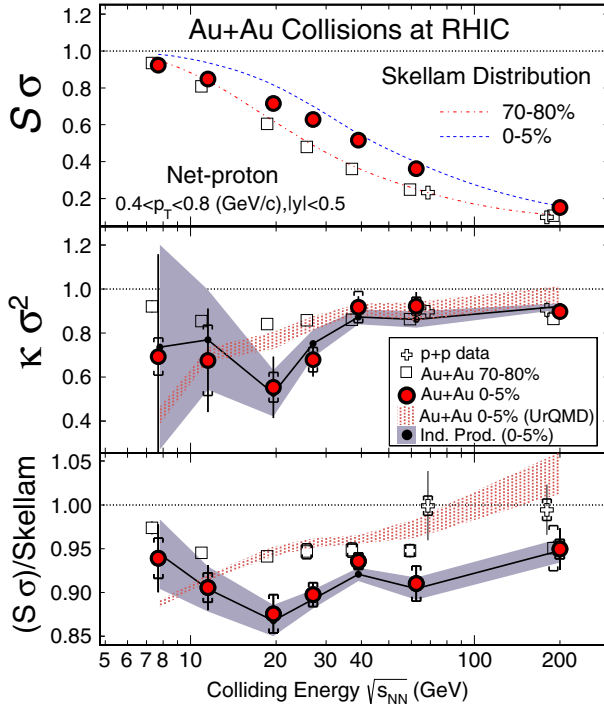


Figure 9. $\kappa\sigma^2$, $S\sigma$, and $S\sigma$ values normalized by the Skellam expectations as a function of collision energy and two different centralities. Results from $p + p$ collisions are also shown. All the results presented are corrected for detector efficiency. One shaded band is an expectation based on assuming independent proton and antiproton production, and the other shaded band is based on the UrQMD model. The widths of the bands represent statistical uncertainties. The error bars on datapoints are statistical while caps represent the systematic errors.

is difficult to measure total baryons on an event-by-event basis, and therefore net protons are used as a proxy for net baryons.

Figure 9 shows $\kappa\sigma^2$ and $S\sigma$ for net protons as a function of beam energy for different collision centralities [60,61]. For comparison, results are shown for: (i) Skellam expectations, (ii) expectations based on assuming independent production of protons and antiprotons, and (iii) the UrQMD model, which incorporates much of the relevant physics, but not a critical point [28]. The results from $p + p$ collisions at 200 GeV are also shown. The bottom panel shows the $S\sigma$ values normalized by the corresponding Skellam expectations. The moment products $\kappa\sigma^2$ and $S\sigma$ show similar values for central (0–5%) and peripheral (70–80%) collisions for $\sqrt{s_{NN}} = 39$ –200 GeV. For beam energies below 39 GeV, they have different values for central and peripheral collisions. These values are below Skellam expectations for $\sqrt{s_{NN}} > 7.7$ GeV for 0–5% central collisions, but are described very well at all energies by the expectation based on assuming independent proton and antiproton production. The UrQMD model calculations show a smooth monotonic behaviour as a function of collision energy.

Thus, it is necessary to acquire the much increased statistics of a new Phase-II of the BES programme to continue the search for a critical point. In addition, a direct comparison to QCD calculations with a critical point obtained using similar dynamics as that of heavy-ion collisions is a high priority.

8. BES Phase-II

The first phase of the BES programme has yielded several promising results for understanding the QCD phase diagram. Some of the observables require high statistics data to make definite statements. In addition, the energy dependence of some observables suggests that one more energy point around 15 GeV would be very advantageous. For example, proton and net proton v_1 slopes suggest a minimum as a function of beam energy around 11.5–19.6 GeV, which could be related to the softest point in the equation of state. Similar reasoning might be argued for the freeze-out eccentricity, although there is a monotonic variation as a function of beam energy at this time. For higher moments, adding 14.5 GeV along with high statistics data at lower energies might provide a clear energy dependence trend with high significance. One more energy point at 14.5 GeV is also important in view of the fact that the gap between 11.5 and 19.6 GeV in terms of μ_B is more than 100 MeV.

For the reasons mentioned above, Brookhaven National Lab has decided to continue the exploration of the QCD phase diagram and hence a second phase of the BES programme is planned. The proposal for BES Phase-II includes high statistics data below 20 GeV as listed in table 1. To achieve high statistics data at lower energies, electron cooling will be installed at RHIC for increasing the beam luminosity [62]. Additional improvements in luminosity will be possible by operating with longer bunches at the space-charge limit in the collider [63]. Electron cooling may increase the luminosity by a factor of 3–10, and with longer bunches, the luminosity may be increased by another factor of 2–5. The high statistics data from BES Phase-II will allow precision measurements of the important observables discussed here, and will also be helpful in the measurements of rare probes such as dilepton production and hypertriton measurements at lower energies [64,65].

To maximize the use of collisions provided at STAR, a fixed-target configuration is under consideration as part of the plan for BES Phase-II. The concept is to install a fixed Au target inside the STAR beam pipe to measure Au(beam) on Au(fixed target) collisions, providing a lower reach for the centre-of-mass energies, and thus a higher reach for the μ_B values for a given BES Phase-II energy in each ring. The beam energies and the μ_B values for fixed-target collisions are listed in table 1 corresponding to the proposed BES Phase-II collider-mode energies. The μ_B values are obtained from the parametrizations in ref. [23]. One of the advantages of such a proposal is that data taking for fixed target collisions can be done concurrently with normal RHIC running in collider mode.

9. Summary

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Observables such as elliptic flow v_2 , the correlator associated with the chiral magnetic effect, the nuclear modification factor R_{CP} , and the baryon-to-meson ratio Ω/ϕ , address the issue of whether hadronic interactions dominate at the lowest energies, with some indications of an answer in the affirmative. The directed flow $v_1(y)$ slope for protons and net protons shows a minimum between 11.5 and 19.6 GeV that bears a closer resemblance to a model with a first-order phase transition than to a purely hadronic model, but further work in both theory and experiment is needed to clarify the interpretation. The $\kappa\sigma^2$ and $S\sigma$ for net protons show deviations from Skellam expectations at some energies but are reproduced by an expectation based on independent proton and antiproton production. BES Phase-II will be enhanced by electron cooling, a planned fixed target, and the iTPC upgrade at STAR. These developments offer an optimistic future for the exploration of the QCD phase diagram.

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