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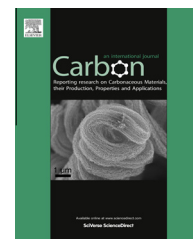
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# Thermal-induced shape transformation of solvated C<sub>60</sub> microcrystals

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## ABSTRACT

Phase transformation of solvated C<sub>60</sub> microcrystals (MCs) was directly observed for the first time by use of thermal treatment to induce the phase transformation. C<sub>60</sub> MCs were changed from bundle rod-shape to disc-shape, accompanied with the change of crystal structure from P6<sub>3</sub> symmetry to fcc symmetry. This kind of phase transformation included three steps in solvent medium: exchange of incorporated solvent, decomposition of old crystals, and formation of new crystals. It is not likely a kind of crystal-to-crystal phase transition assisted by incorporation of dispersing solvents but can be demonstrated as a decomposition–recrystallization process. The mechanism has been also tested by the thermal-induced phase transformation of C<sub>60</sub> MCs from bundle shape to bipyramid shape.

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## 1. Introduction

Recently synthesis of shape-tailored low-dimensional fullerene C<sub>60</sub> microcrystals (MCs) has attracted tremendous attention [1–13], and promises a wide range of applications from high temperature superconductors [14,15] and solar cells [16,17] to organic field-effect transistors [18]. Many approaches have been reported for the formation of low-dimensional fullerene C<sub>60</sub> MCs. For example, liquid–liquid interfacial precipitation (LLIP) is a widely used solution approach to synthesize one-dimensional C<sub>60</sub> nanowiskers [1,3]. On the other hand, a solution route of self-assembly was generally used to synthesize low-dimensional nanostructures of C<sub>60</sub> derivatives by utilizing the molecular interactions between C<sub>60</sub> and the terminal groups [9–12]. In previous research, we have reported that the reprecipitation method, which was a general solution approach to synthesize organic nanocrystals [19,20], was very efficient in the synthesis of shape-tailored low-dimensional C<sub>60</sub> MCs [6,7,21]. The shape, the crystal structure, and the size

distribution of C<sub>60</sub> MCs were strongly dependent on the reprecipitation parameters such as solvent species, temperature, and volume ratio of good to poor solvent. Solution-grown C<sub>60</sub> MCs are almost solvated crystals, in which the incorporated solvent molecules act as a controller for tuning the shape of C<sub>60</sub> MCs [5–7,22]. If removing the incorporated solvent molecule from C<sub>60</sub> MCs in vacuum or in atmosphere, C<sub>60</sub> MCs showed crystal-to-crystal phase transition behavior that the crystal structure changed correspondingly with the removing internal incorporated solvent but the shape does not changed during the phase transition [2,23–26]. Sathish et al. reported that the shape of two-dimensional C<sub>60</sub> nanosheets shifted to one-dimensional nanorods by water treatment and suggested a solvent engineering approach for shape-control of C<sub>60</sub> MCs [27]. Recently we found that if tuning a solvated C<sub>60</sub> MCs in another selected solvent medium, they showed a novel phase transformation behavior that both the shape and the crystal structure changed with the exchange of internal incorporated solvent induced by ultrasonication

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treatment [28]. By carefully selecting the solvent mediums, a cyclic transformation was also achieved that had a cyclic route of rod-disc-belt-rod. This novel behavior has been of many research interests ranged from fundamental researches of solid state to synthesis of shape-tailored low-dimensional nanomaterials. However, ultrasonication generates high energy in a micro-space [29,30] and quickly damages  $C_{60}$  MCs, which has limited the observation of the shape change during the phase transformation.

Here we report an observation of the thermal-induced phase transformation of solvated  $C_{60}$  MCs by exchanging the incorporated solvent internal  $C_{60}$  MCs in a selected solvent medium. Thermal treatment provides a mild surrounding for slow phase transformation, which enables us to directly observe the details of the phase transformation. We found that the phase transformation assisted by exchange of incorporation solvents was not likely a kind of crystal-to-crystal phase transition but could be demonstrated as a decomposition-recrystallization process. Our study is helpful to improve the understanding on the crystallization and phase transformation of solvated crystals.

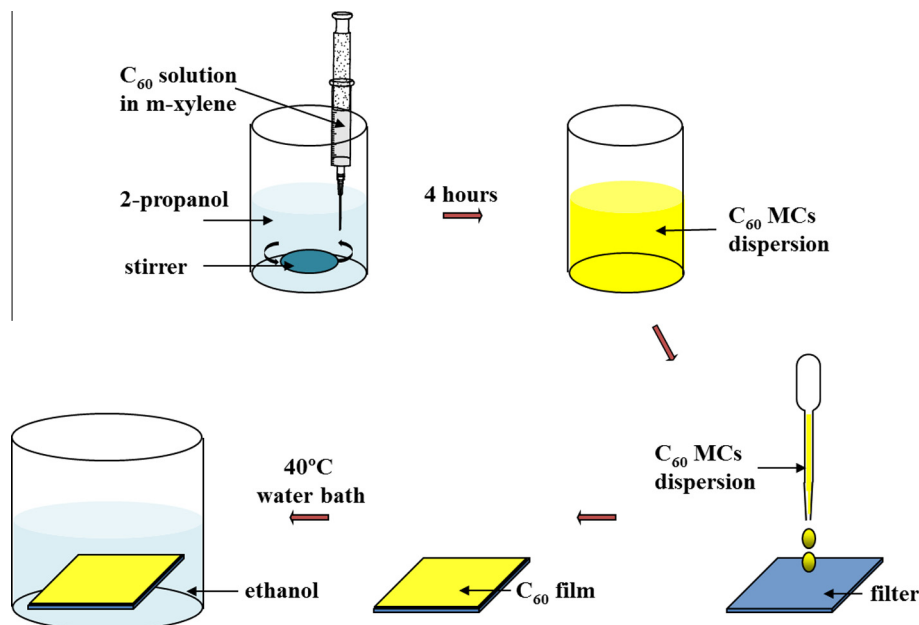
## 2. Experimental

$C_{60}$  powder (>99.5%) was purchased from Tokyo Kasei Industry Co., Ltd. (Tokyo, Japan) and used as received. *m*-xylene ( $\geq 99\%$ ), ethanol ( $\geq 99.8\%$ ), and 2-propanol ( $\geq 99.5\%$ ) were commercially available, and all used without any further purification. Approach for formation of  $C_{60}$  MCs and their thermal-induced phase transformation has been shown in scheme 1. Firstly,  $C_{60}$  MCs that used as a starting material were fabricated by the reprecipitation method [19,20]. A 50  $\mu$ l of *m*-xylene solution of  $C_{60}$  (2 mM) was quickly injected into 10 ml of vigorously stirred 2-propanol. The dispersion liquid was allowed to age for 4 h for the growth of  $C_{60}$  MCs. Afterwards, as-grown  $C_{60}$  MCs were filtrated onto a polymeric

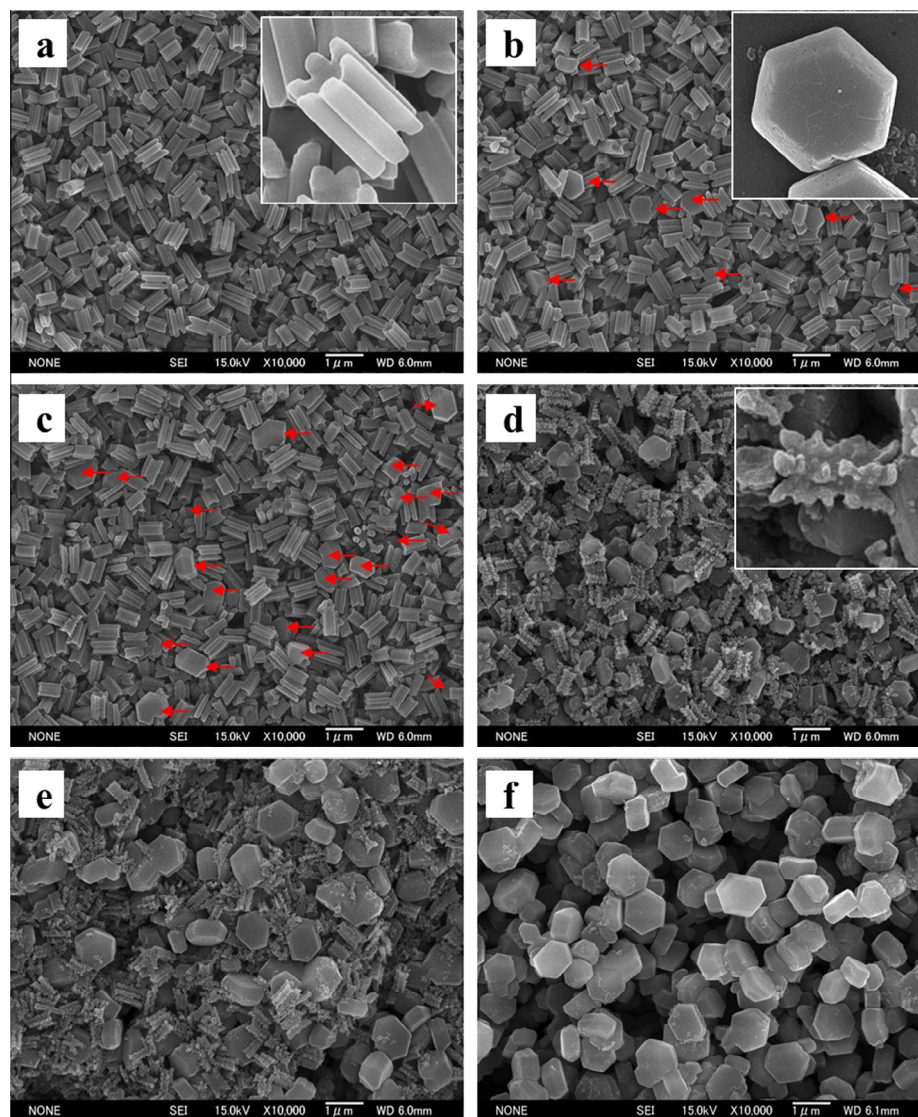
membrane filter. The thin film of  $C_{60}$  MCs on membrane filter was immersed into ethanol in a constant 40 °C water bath. Because the phase transformation performed in a mild thermal condition and used  $C_{60}$  film supported by filter which can be investigated directly, it was possible to observe the details of the phase transformation in comparison with ultrasonication-induced phase transformation. The time-resolved changes on shape and crystal structure were investigated by scanning electron microscopy (SEM, JEOL JSM-6700F, Japan) and powder X-ray diffraction (XRD, Bruker AXS M18XHF22, Germany), respectively. A mass spectroscopy was carried out for the detection of  $C_{60}$  molecules in the ethanol solution during the phase transformation process (JEOL AccuTOF-DART, Japan).

## 3. Results and discussion

$C_{60}$  MCs were loaded on membrane filter that could be directly used to SEM investigation, which allowed us to observe the morphology change via in situ testing. Fig. 1 shows time-resolved SEM images of  $C_{60}$  MCs after thermal treatment in ethanol. Fig. 1a shows as-grown  $C_{60}$  MCs fabricated by the reprecipitation method. The as-grown  $C_{60}$  MCs have a hexagonal bundle-rod shape and a monodispersed size distribution. This kind of  $C_{60}$  MCs was single crystal achieved mainly influencing by the concentration depletion of  $C_{60}$  molecules at the end-edge of the growing face [5,7,31], but not self-assembly of one-dimensional nanorods reported by Qu et al. [32]. The bundle-shaped  $C_{60}$  MCs was considered having low thermodynamic stability and easily happened phase transformation because of the large surface area and more surficial defects. After 5-min thermal treatment, some  $C_{60}$  MCs had begun to change from bundle shape to disc shape (Fig. 1b). The disc-shaped  $C_{60}$  MCs were also a hexagonal shape. In previous research, we have found that as-grown bundle-shaped  $C_{60}$  MCs were solvated crystal containing



Scheme 1 – Schematic illustration for the thermal-induced phase transformation of  $C_{60}$  MCs.



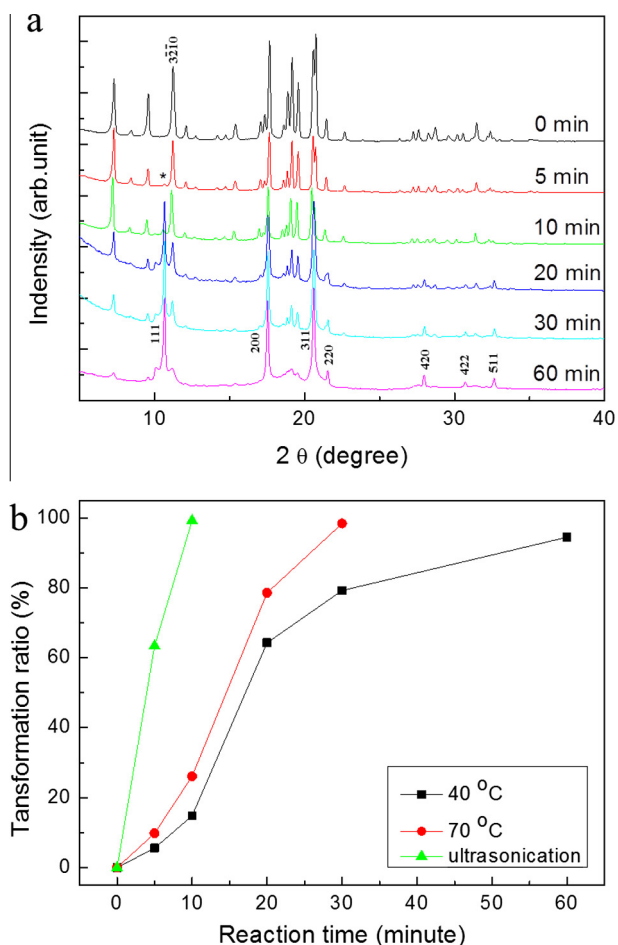
**Fig. 1** – SEM images of thermal-induced phase transformation from C<sub>60</sub> bundle rod shape to disc shape after heated at 40 °C for (a) 0 min, (b) 5 min, (c) 10 min, (d) 20 min, (e) 30 min, and (f) 60 min. Insets in (a), (b), (d) show as-grown bundle-shaped, disc-shaped, and semi-decomposed C<sub>60</sub> MCs. The red arrows indicate the disc-shaped C<sub>60</sub> MCs. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

~10% of *m*-xylene as well as the disc-shaped C<sub>60</sub> MCs were solvated crystal containing ~3% of ethanol [6,7]. The phase transformation was thus speculated mainly due to the exchange of incorporated solvent ligands from *m*-xylene to ethanol internal crystal in which the incorporated solvent acted as a shape controller [28]. As shown in Fig. 1c–f, the statistical number of disc-shaped C<sub>60</sub> MCs increased with heating time. Especially, after thermal treated for more than 20 min, a great deal of C<sub>60</sub> MCs changed from bundle to disc shape. A high magnification SEM image of bundle-shaped C<sub>60</sub> MCs shows that the C<sub>60</sub> MCs were almost semi-decomposed. C<sub>60</sub> molecules on the crystal surface were broken away from the crystal during the solvent ligands exchange. The separated C<sub>60</sub> molecules were re-solvated by surrounding ethanol molecules and contributed to the formation of new phase of C<sub>60</sub> crystals. After 30 min, most of bundle-shaped C<sub>60</sub> MCs were decomposed to many small pieces (Fig. 1e). The thermal-in-

duced phase transformation of C<sub>60</sub> MCs was completed within 60 min at 40 °C that all C<sub>60</sub> MCs changed from bundle-shape to disc-shape (Fig. 1f).

The crystal structure of C<sub>60</sub> MCs also changed with the exchange of incorporated solvent ligands during the phase transformation. As-grown bundle-shaped C<sub>60</sub> MCs have a P6<sub>3</sub> crystal structure and are solvated crystals containing *m*-xylene molecules (Supporting information, Part 1), which was the same to other rod-shaped C<sub>60</sub> MCs that grown from the *m*-xylene (good solvent)/2-propanol (poor solvent) system [6]. We have also found that the disc-shaped C<sub>60</sub> MCs is face-centred cubic (fcc) lattice with a cell parameter of  $a = 14.19 \text{ \AA}$  (Supporting information, Part 2). Time-resolved change on crystal structure from P6<sub>3</sub> to fcc was investigated by XRD measurement during the phase transformation of C<sub>60</sub> MCs from bundle-shape to disc-shape (Fig. 2). After 5-min thermal treatment, the crystal structure was still mainly P6<sub>3</sub>. However, a





**Fig. 2 – Time-resolved powder XRD patterns (a) and transformation ratio (b) of C<sub>60</sub> MCs in thermal-induced phase transformation. \* Mark indicates the (111) diffraction of fcc crystal structure. Thermal-induced phase transformation at 70 °C and ultrasonication-induced phase transformation were performed as references in (b).**

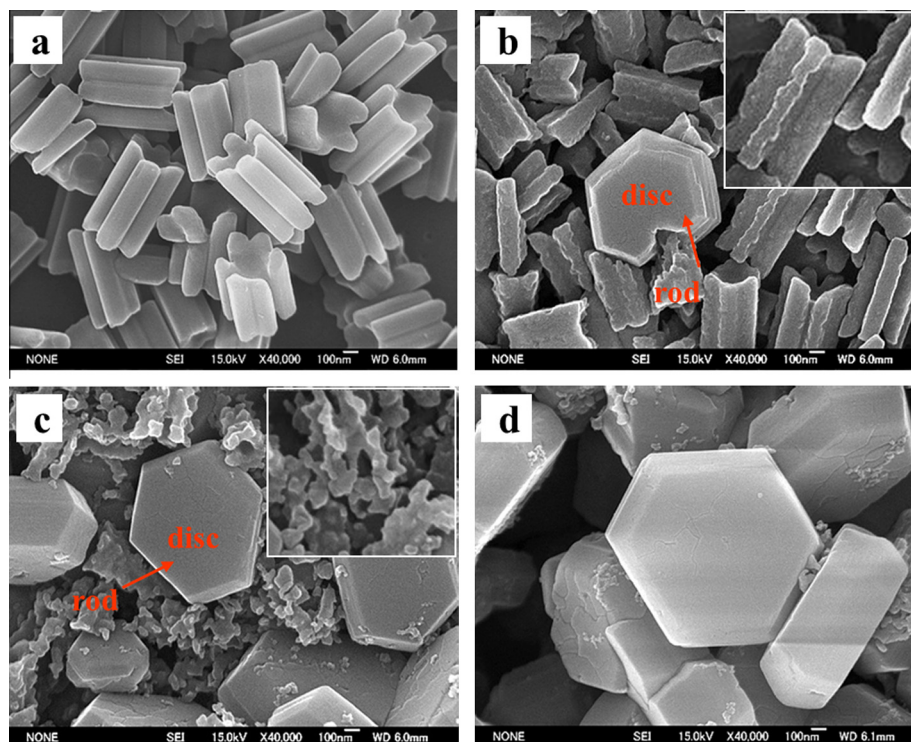
small new peak appeared at  $2\theta = 10.6^\circ$ , which was assigned to (111) diffraction of fcc lattice. The appearance of (111) diffraction indicated the formation of disc-shaped C<sub>60</sub> MCs, which is in agreement to the SEM observation shown in Fig. 1b. The (111) diffraction from fcc structure increased with heating time accompanied with the reduction of diffraction peaks from P<sub>63</sub> structures. At the case of 20-min thermal treatment, the intensity of (111) peak from fcc structure dominated the neighboring (3–2–10) peak from P<sub>63</sub> structure at  $2\theta = 11.2^\circ$ . The formation of a great deal of disc-shaped C<sub>60</sub> MCs had been already conformed by SEM observation (Fig. 1d). After 60 min treatment, the diffraction peaks were mainly assigned to (111), (200), (311), (222), (420), (422), and (511) according to fcc crystal structure, which indicated that bundle-shaped C<sub>60</sub> MCs having P<sub>63</sub> structure mostly transformed to disc-shaped C<sub>60</sub> MCs having fcc structure.

The transformation ratio from P<sub>63</sub> to fcc was defined as  $\varnothing = I_{fcc}/(I_{fcc} + I_{P63})$ , where  $I$  was the intensity of diffraction peak. (3–2–10) peak from P<sub>63</sub> structure and (111) peak from fcc structure were selected to evaluate the transformation ratio. Fig. 2b shows the calculation result. The transformation rate

$c$  was defined as  $c = d\varnothing/dt$ . We found that the transformation rate at 40 °C gradually increased and reached maximum at around 15 min, and then gradually slowed down with the decomposition of P<sub>63</sub> C<sub>60</sub> MCs (Fig. 2b). We have also observed the crystal structure change at 70 °C to investigate the temperature effect in the thermal-induced phase transformation. The phase transformation of C<sub>60</sub> MCs at high temperature was faster than that at low temperature. In roughly 30 min all C<sub>60</sub> MCs changed from P<sub>63</sub> to fcc. As a reference, ultrasonication treatment was also performed to induce phase transformation by use of the bundle-shaped C<sub>60</sub> MCs as starting material. The ultrasonication-induced phase transformation was finished within 10 min, which mainly due to the strong collapsing force generated by ultrasonication for decomposition of C<sub>60</sub> MCs [29,30]. On the other hand, in comparison of ultrasonication-induced phase transformation using C<sub>60</sub> MCs having bundle shape in this study and rod shape in previous study [28], the bundle-shaped C<sub>60</sub> MCs are much easily damaged and transformed to other phase, which allows the bundle-shaped C<sub>60</sub> MCs a suitable material for the mechanism research of the thermal-induced phase transformation in solvent medium.

Compare to ultrasonication irradiation, thermal treatment was considered a much milder process that allows us to observe more details of phase transformation and further discuss the microscopic mechanism. Fig. 3 shows high-resolution SEM images of C<sub>60</sub> MCs at different stage of the phase transformation. Before the phase transformation, bundle-shaped C<sub>60</sub> MCs had a beautiful morphology. The surface of crystal was very smooth and perfect. When thermal treatment in ethanol, the surface of all bundle-shaped C<sub>60</sub> MCs became rough (Fig. 3b), which indicated that C<sub>60</sub> molecules on the surface were decomposed from the crystal accompanied with the ligand exchange that incorporated m-xylene in P<sub>63</sub> structure was exchanged by ethanol molecules. However, the solubility of C<sub>60</sub> in ethanol was very poor so that ethanol-solvated C<sub>60</sub> molecules nucleated and grew to a new phase of C<sub>60</sub> MCs in order to optimize the energy. We found that a new crystal nucleus always connected to an old crystal which has been badly damaged. We supposed that C<sub>60</sub> MCs having more defects were much easier to be damaged or decomposed than those having less defects. The concentration of decomposed C<sub>60</sub> molecules thus was high at around those badly damaged crystals, where was easy to form the new nuclear. The growth of a new phase crystal accompanied with the decomposition of old crystal during the phase transformation (Fig. 3c). Our result showed that all old crystals were decomposed and contributed to the formation of new crystals at the same time during the phase transformation, which suggested that it was not a kind of crystal-to-crystal phase transformation that happened by heating in vacuum or in atmosphere [2,23,24], but was a kind of phase transformation that underwent decomposition-recrystallization process assisted by the exchange of incorporated solvent in a selected solvent medium. At the end of the phase transformation (Fig. 3d), a new kind of solvated C<sub>60</sub> MCs having new crystal structure and new shape was formed, corresponding to the given solvent medium.

Based on the experimental observation, we suggest a general mechanism for the thermal-induced shape transformation of C<sub>60</sub> MCs. As shown in Fig. 4, two rod-like rectangular

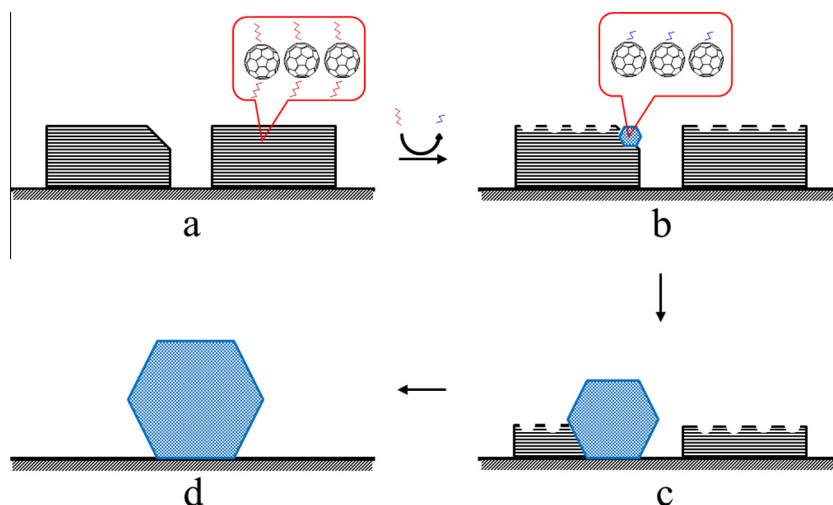


**Fig. 3 – High-resolution SEM images of phase transformation from C<sub>60</sub> bundle shape to disc shape at different stage of (a) before, (b) at the beginning, (c) at the late period, and (d) at the end of transformation.**

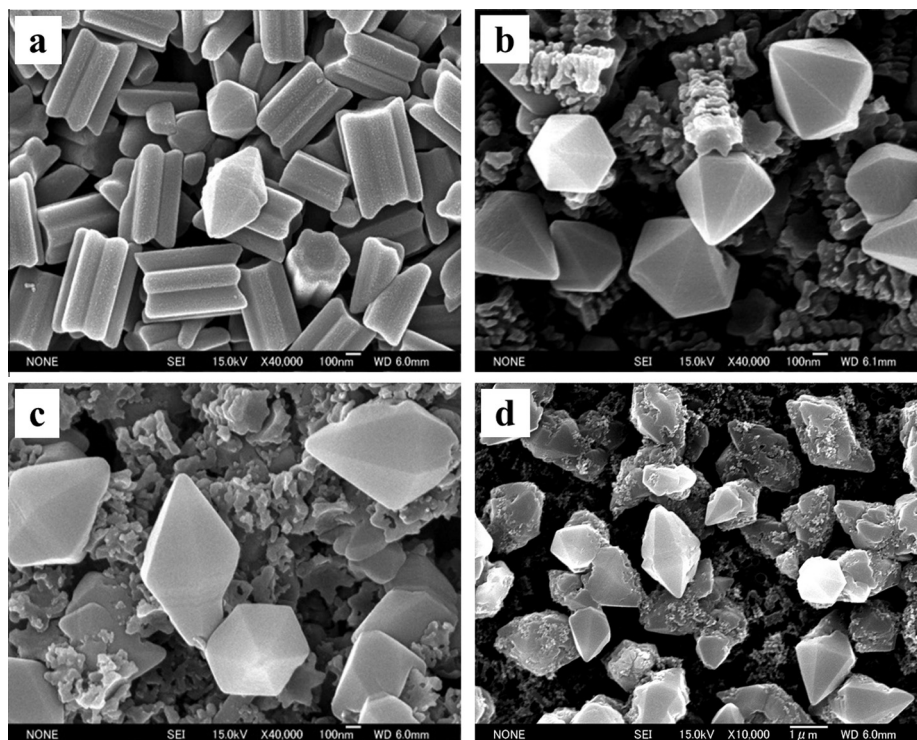
grids presented the bundle-shaped C<sub>60</sub> MCs used in this study. The grid on the left represented C<sub>60</sub> MCs having many defects. After thermal treatment in ethanol, both C<sub>60</sub> MCs began to decompose as a result of solvated C<sub>60</sub> molecules on the surface exchanged the incorporated solvent ligands. In such case, C<sub>60</sub> MC having many defects was superior to serve as an active site for nucleation of new crystal. The new nucleus grew with the heating time, since the exhausted C<sub>60</sub> molecules were continuously supplied by the decomposition of old C<sub>60</sub> MCs. Finally, the decomposition of old phase of C<sub>60</sub> MCs completely underwent accompanied with the formation of a new phase of C<sub>60</sub> MCs. This mechanism mainly

composed of the decomposition of old phase and recrystallization of new phase, which also had been observed in the ultrasonication-induced phase transformation of C<sub>60</sub> MCs [28]. Considering that ultrasonication treatment mainly accelerates the phase transformation but not affects the transformation process, the decomposition-recrystallization mechanism is speculated as a general rule for the phase transformation of solvated C<sub>60</sub> MCs in solvent medium.

According to this decomposition-recrystallization mechanism, an intermediate procedure that C<sub>60</sub> molecules dissociated into medium assisted by solvent molecules was a key step, which was the main difference to the previous



**Fig. 4 – Mechanism of thermal-induced phase transformation of C<sub>60</sub> MCs from bundle shape to disc shape.**



**Fig. 5 – SEM images of thermal-induced phase transformation from C<sub>60</sub> bundle rod shape to bipyramid shape after heated at 40 °C for (a) 10 min, (b) 30 min, (c) 60 min, and (d) 120 min.**

mechanism of crystal-to-crystal phase transition. We measured the mass spectroscopy of the solvent during C<sub>60</sub> MCs phase transformation from bundle rod shape to disc shape after heated at 40 °C for 30 min. A peak was detected in the solvent at  $m/z = 720.89$ , which was assigned to ionized C<sub>60</sub> molecules (Supporting information, Part 4). The mass spectroscopy gave clear evidence that C<sub>60</sub> molecule dissociated into solvent medium during the phase transformation, which was in good agreement to the decomposition-recrystallization mechanism.

In order to verify the mechanism, another thermal-induced phase transformation was performed. The bundle-shaped C<sub>60</sub> MCs thin film on membrane filter was immersed into a CS<sub>2</sub>/ethanol (1:10) mixed solution at 40 °C for thermal-induced phase transformation. Fig. 5 shows that the bundle-shaped C<sub>60</sub> MCs changed slowly to bipyramid shape having hcp crystal structure (Supporting information, Part 3). This transformation process was well agreed to the decomposition-recrystallization mechanism. On the other hand, if the phase transformation was induced by ultrasonication, the resulting C<sub>60</sub> was not bipyramid shape but belt shape with a faster transformation rate. Both bipyramid shape and belt shape of C<sub>60</sub> MCs were also directly prepared by the reprecipitation method in CS<sub>2</sub> (good solvent)/ethanol (poor solvent) system with small (for bipyramid) or large (for belt) injecting amount [7,33], which suggested that the concentration depletion at the growing zone determined the shape of bipyramid or belt. In the phase transformation of C<sub>60</sub> MCs, thermal treatment contributed to a milder surrounding and slower decomposition rate than ultrasonication. Therefore, the low

concentration depletion of C<sub>60</sub> molecules in the thermal-induced phase transformation resulted in the bipyramid-shaped C<sub>60</sub> MCs. The results of phase transformation were also agreed to the nanocrystals growth in the reprecipitation.

#### 4. Conclusions

A thermal-induced phase transformation of solvated C<sub>60</sub> MCs has been demonstrated from an as-grown bundle shape to a disc shape. By utilizing the mild thermal treatment, the details of the phase transformation in the solvent medium is observed for the first time. A mechanism is suggested as a general rule for the phase transformation of solvated C<sub>60</sub> MCs assisted by exchange of incorporated solvents, which can be demonstrated as a decomposition-recrystallization process. C<sub>60</sub> MCs prepared from solution approaches are always crystal solvates. The incorporated solvent molecules act as ligands to C<sub>60</sub> and affect the crystal structure and the shape of solvated C<sub>60</sub> MCs. The phase transformation of C<sub>60</sub> MCs is a common phenomenon when the incorporated solvent exchanges to another solvent internal the solvated C<sub>60</sub> MCs [33]. Therefore, C<sub>60</sub> is a perfect quantum dot with a regular spherical shape and it is a suitable model material to study the crystallization and phase transformation of other organic and inorganic materials. Our study is not only helpful for understanding the mechanism of crystallization and phase transformation of solvated crystals, but also suggests a novel approach to synthesize shape-tailored low-dimensional nanomaterials.



## Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.carbon.2013.07.087>.

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