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# Comparative theoretical study of the electronic structures and electronic spectra of Fe<sup>2+</sup>-, Fe<sup>+3</sup>-porphyrin and free base porphyrin

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Accepted 30 June 2000

#### Abstract

The Intermediate Neglect of Differential Overlap quantum chemical procedure, with configuration interaction, as implemented in the ZINDO program, was employed for a theoretical calculation of  $Fe^{2+}$ -porphyrin,  $Fe^{3+}$ -porphyrin and free base porphyrin. The ground states for the first two species were found to be, at the HF level, a triplet and a quadruplet, respectively. The geometries, electronic charge distribution and energy levels, as well as theoretical UV/Vis spectra, for the three species are shown. The calculated wavelengths were good agreement with the experimental values of the electronic spectra of  $Fe^{2+}$ -porphyrin,  $Fe^{3+}$ -porphyrin and free base porphyrin. © 2001 Elsevier Science B.V. All rights reserved.

Keywords: Porphyrin; Iron II; Iron III; zindo; Electronic spectra; Electronic structure

#### 1. Introduction

One of the most important processes in living beings is the transport of oxygen by cells of the circulatory system and storage functions of cellular metabolism, for which hemoproteins have a essential role [1]. Hemoproteins include hemoglobin, cytochromes, catalase and peroxidase. The prosthetic group of hemoglobin is iron II porphyrin (Fe<sup>2+</sup>-Porph), where oxygen is attached during transport. Also, porphyrins find application in medicine, as in photodynamic cancer therapy [2,3], skin diseases and viral and bacterial infections [4]. Also, the number of technological applications of synthetic porphyrins is

expanding, like Langmuir–Blodgget films [5], photoreduction of gases [6], development of photochemical systems for solar energy conversion [7,8], and new materials as metal-porphyrin sponges [9]. Various oxo bridged metalloporphyrin dimers and trimers have been used and studied as a new class of compounds known as "molecular metals" [10–12].

Porphyrins possess a macrocyclic  $\pi$  system with a transition metal weakly attached [13]. They exhibit two characteristic electronic absorption bands, the Q band close to 600 nm and an intense ( $\epsilon > 10^5$ ) band, called B or Soret, in the region of 380 nm. In free base porphyrin (Porph) there are two Q bands in 500–600 nm, actually both with a small vibrational component. The preferred geometry for Porph would be  $D_{2h}$  [14], with the H atoms linked to opposite nitrogen atoms (Fig. 1a). Other bands of higher energy are known as N, L and M [15,16]. When a metal atom is attached to the macrocycle, the symmetry rises to  $D_{4h}$  [17] (Fig. 1b); for such systems there appears

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Fig. 1. Free base porphyrin (a) and iron porphyrin (b), with  $\alpha$  and  $\beta$  positions indicated.

solely one Q band, while the B band remains the same or experiences minor red shifts. The kind of atomic metal has little influence on the absorption spectra, but does influence other chemical and structural properties [18].

The first theoretical studies on the electronic properties of porphyrins were attempted through the free electron model by Kuhn [19], Simpson [20] and Platt [21]. The first molecular orbital approach was the Hückel calculation by Longuet-Higgins et al. [22]. Later, Gouterman proposed the "four orbital model" for the spectra of porphyrins [23]. Many other calculations were to come, as the Pariser-Parr-Pople calculation of Gouterman et al. [24,25], followed by Extended Hückel [26], CNDO/2 [27] and ab initio calculations [28-30, X1]. We may add a few other calculations at various levels of sophistication [31–35]. Finally, we mention the studies of various authors introducing correlation at the semi-empirical level [36–41]. Recently the INDO/Configuration Interaction (CI) method was used by Li et al. in studies on the electronic spectra of tetraphenylporphyrine and its derivatives [42].

Multiconfigurational second-order pertubation (CASPT2) calculations have been performed to determine the main features of the electronic spectrum of the free base porphyrin by Merchan et al. [43] and most recently by Serrano-Andrés et al. [44]. Recent theoretical studies of the electronic ground state of iron (II) porphyrin has been investigated with multi-reference MØller–Plesset pertubation theory (MRMP), with complet active space self-consistent field (CASSCF) [45–48], in special in the work of Choe et al. [49,50].

Crescent attention has been given to Fe<sup>3+</sup>-Porph, due to its importance in a large number of hemoproteins [51]. The configuration 3  $(d_{xz}-d_{yz})^3$  has been accepted for the ground state [52]. Guillemot and Simonneaux [53] believe in the unusual 3  $(d_{xy})^3$  ( $d_{xz}-d_{yz}$ )<sup>4</sup> configuration for the ground state and Goff and Shimomura [54], through NMR studies, claim a quadruplet ground state. The mayor role of Fe III is in aggregates, in which Fe II is inadequate to form extra axial bonds and maintain neutrality [55].

One of the more interesting features about porphyrinics compounds is how small variations on the basic structure of the tetrapyrrolic macrocycle, leads to wide diversity of biochemical functions. We have initiated the study of complexes of transition with macrocycles as phthalocianine, porphyrin, tetraazoporphyrin, both at the theoretical and the experimental levels, focussing various aspects, such as gas detecting properties [56], electronic spectra [57] and adduct formation [12]. At this time we wish to present a comparative theoretical study of Fe<sup>2+</sup>-Porph and Fe<sup>3+</sup>-Porph at the semiempirical level, including correlation effects for the electronic spectra. The model utilized in the present work does not include axial ligands and equatorial substituents. The effects of the substitution of some groups like meso-tetrakis(p-sulfo-natophenyl) and meso-tetrakis(4-N-methyl-pyrydiniumyl) is being discussed elsewhere [58].

#### 2. Theoretical methodology

The calculations were performed at the Intermediate Neglect of Differential Overlap (INDO) [59,60] level by means of the ZINDO program. This program has shown useful for the calculations of properties of molecules containing first-row transition-metal complexes earlier [61–63].

The INDO approximation was introduced by Pople and collaborators [64–66] employing a parametrization for the first and second row atoms presented by Del Bene and Jaffé [67] for the calculation of molecular spectra.

Later, Bacon and Zerner [68–70] added parameters for the first row transition metals and the effect of electron correlation through CI. In this parametrization the main feature is the single  $\zeta$  Slater type orbital

Molecule	Total charge	Multiplicity	Electronic configuration	E <sub>HF</sub> (eV)	E <sub>Cl</sub> (eV)
Fe <sup>2+</sup> -Porph	0	1	$3(d_z^2)^1 3(d_{x2-y2})^0 3(d_{xy})^1 3(d_{xz}-d_{yz})^4$	-4760.91	-4760.94
Fe <sup>2+</sup> -Porph	0	3	$3(d_{xz}^{-1}d_{yz})$ $3(d_z^2)^1 3(d_{x_2-y_2})^0 3(d_{xy})^1$ $3(d_{xz}^{-1}d_{yz})^4$	-4762.22	-4762.70
Fe <sup>2+</sup> -Porph	0	5	$3(d_z^2)^1 3(d_{x2-y2})^1 3(d_{xy})^1$	-4760.65	-4760.83
Fe <sup>3+</sup> -Porph	+1	2	$3(d_{xz}-d_{yz})^{3}$ $3(d_{z}^{2})^{0} 3(d_{x2-y2})^{0} 3(d_{xy})^{1}$	-4773.85	-4773.85
Fe <sup>3+</sup> -Porph	+1	4	$3(d_{xz}-d_{yz})^{4}$ $3(d_{z}^{2})^{1} 3(d_{x2-y2})^{0} 3(d_{xy})^{1}$ $3(d_{xz}-d_{yz})^{3}$	-4775.41	-4775.50

Table 1
Total charge, multiplicities, electronic configurations and SCF and CI total energies for Fe-porphirins in various states

(STO) set with exponents calculated by Zerner [40]. The efficacy of CI for the porphyrinic systems in this study is clear in the calculation of one center exchange integrals necessary for the accurate separation of terms within a given configuration [71]. These integrals increase the interaction between states arising from  $\pi \Rightarrow \pi^*$  and  $\sigma \Rightarrow \sigma^*$  transitions and often reduce the calculated values of transition energies and oscillator strengths, thus providing reasonable absorption spectra for rather large molecules [72].

The ZINDO version utilized was the 3.5, using graphical interface software Cerius<sup>2</sup> 3.8, developed by Molecular Simulation Inc. The calculations were carried on a Silicon Graphics ORIGIN 2000 platform, with two R-10000 processors and graphical interface hardware Silicon Graphics O<sup>2</sup>.

The particular Hamiltonian used in the geometry optimization routine was ZINDO/1 [64], with restrict open-shell Hartree–Fock approach (ROHF) for the molecule with doublet, triplet, quadruplet and quintuplet ground states. The restrict Hartree–Fock approach (RHF) was used for singlet ground states. The calculations routine for all molecules in this work, included geometry optimization by Broyden–Fletcher–Goldfarb–Shanno (BFGS) hessian update procedure with convergence criterion of 10<sup>-8</sup> (normal convergence tolerance) in gradient components and 150 SCF cycles for each possible spin state. These parameters showed adequate for most ZINDO calculations.

Since the ZINDO program has not the  $D_{4h}$  group implemented, the actual calculations for the iron compounds were performed under  $D_{2h}$  symmetry. The porphyrin macrocycle is placed in the xy plane.

The oxidation states for iron were +2 and +3. Porph has closed shell singlet ground state with 114 electrons. Fe<sup>2+</sup>-Porph with 120 electrons, has two and four unpaired electrons in a triplet and quintuplet ground states, respectively. Fe<sup>3+</sup>-Porph, with 119 electrons, has one and three unpaired electrons in doublet and quadruplet ground states, respectively.

For the CI routine, the hamiltonian used was ZINDO/S [68], with the same multiplicities and approaches as in the optimization routine. Configuration interaction was limited to ten-occupied and ten-unoccupied orbitals. The CI method used for higher than singlet state multiplicities was the Rummer CI. Symmetry was included in CI and only single excitations were utilized. The theoretical spectra were plotted in a lorentzian fit, with width peak shape equal to  $1000~{\rm cm}^{-1}$ .

Only the states  $^{1}E_{g}$ ,  $^{3}A_{2g}$  and  $^{5}E_{g}$  for Fe $^{+2}$ -Porph were calculated, with occupation of d orbitals obtained directly from SCF-HF. Due the limitation of the ZINDO program, it was not possible to impose other reference states besides those calculated at the SCF-HF level. This same approach was adopted in the calculation of the multiplicities of Fe $^{3+}$ -Porph.

# 3. Results and discussion

# 3.1. Electronic structure

SCF ( $E_{\rm HF}$ ) and CI ( $E_{\rm CI}$ ) energy values are compared in Table 1. The ground state depression after CI is small and of the order of  $10^{-2}$  cm<sup>-1</sup>. However, qualitatively these little differences indicate the existence

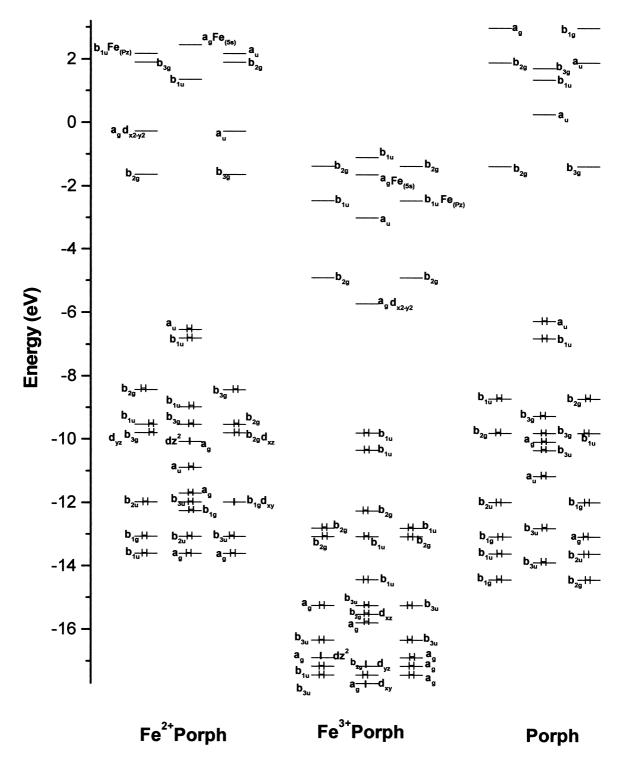


Fig. 2. Energy level diagram for the three species under study at the Hartree-Fock level.

of several spin states in close proximity, which is well known in the literature [13,15,17,40]. On the basis of the Hartree–Fock energies, the ground state for  ${\rm Fe}^{2+}$ -Porph appears to be a triplet state,  ${}^3{\rm A}_{2g}$ . By the same criterion, the ground state of  ${\rm Fe}^{3+}$ -Porph is taken to be of quadruplet multiplicity.

The above result for Fe<sup>2+</sup>-Porph is in agreement with the conclusions of Zerner et al. [13] who find the lower energy state to be  ${}^3A_{2g}$  with configuration  $3(d_z^2)^2 3(d_{xy})^2 3(d_{xz}-d_{yz})^2$ . Hence, Zerner et al. suggest that the correct ground state is  ${}^{3}E_{g}$ , which has higher energy than the <sup>3</sup>A<sub>2g</sub>, but by only 240 cm<sup>-1</sup>. NMR results obtained by Goff et al. [73] are in agreement with the  ${}^{3}A_{2\sigma}$  state found in this work. This result reach only the reference states found at the HF level, under the INDO approximation, thus do not exclude the possibility of the existence of other states with lower energy. Indeed, different ground states for Fe<sup>2+</sup>-Porph have been found by experimental and theoretical chemists:  ${}^{3}A_{2g}$  [73–75],  ${}^{3}E_{g}$  [76–78],  ${}^{5}E_{g}$ [79], <sup>5</sup>A<sub>1g</sub> [80,81]. Some experimental studies indicate that the ground-spin multiplicity is a triplet state [73,75,81].

The almost negligible difference between the

Zerner's configuration and the one we have found is due to vibronic distortions in the  $D_{4h}$  symmetry, since it was used a lower symmetry. It is possible that the electronic configuration of the ground state is a mixture of Zerner's configuration and the reported in Table 4. For Fe<sup>3+</sup>-Porph, the configuration found by us,  $3(d_z^2)^2 3(d_{xy})^2 3(d_{xz}-d_{yz})^3$ ,  $^4A_{2g}$ , is in agreement with the considerations of Walker and Shimomura [44,45].

The energy level diagram for the Hartree–Fock calculation is shown in Fig. 2 for the three species under study. Only Porph has a closed shell configuration. All the last ten occupied orbitals have  $\pi$  bonding character, and the first ten unoccupied orbitals have  $\pi$  anti-bonding character. The Fe<sup>3+</sup>-Porph levels are pushed down due to the +1.0 total charge.

Metal orbital contributions for Fe<sup>2+</sup>-Porph appear in HOMO-2, -3, -8 and -9. In HOMO-2 and -3, with symmetries  $b_{3g}$  and  $b_{2g}$ , the  $d_{xz}$  and  $d_{yz}$  orbitals participate with coefficients 0.60. The same metallic orbitals have a weight of 0.78 in HOMO-8 and -9, also with symmetries  $b_{2g}$  and  $b_{3g}$ . In  $D_{4h}$  symmetry these orbitals originate the  $e_g$  degenerate orbitals. HOMO-10 is single occupied,  $a_g$  ( $a_{1g}$  in  $D_{4h}$  symmetry), being

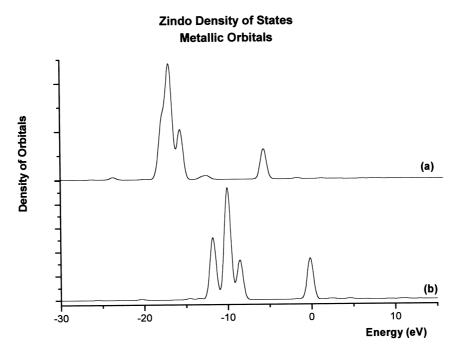


Fig. 3. Density of metallic d orbitals for (a) Fe<sup>3+</sup>-Porph and (b) Fe<sup>2+</sup>-Porph.

Table 2 Interatomic distances (Å) optimized by zindo rogram. M = H,  $Fe^{2+}$ ,  $Fe^{3+}$ 

Atoms pairs	Interatomic distances			
	Porph <sup>a</sup>	Fe <sup>2+</sup> -Porf	Fe <sup>3+</sup> -Porf	
M-plane	0.00	0.00	0.00	
M-N	1.06/1.06	2.09	2.01	
$N-C_{pirr}$	1.37/1.38	1.38	1.39	
$C_{\beta}$ – $C_{\beta}$	1.35/1.37	1.37	1.36	
$C_{pirr}-C_{\alpha}$	1.38/1.39	1.43	1.40	
$C_{pirr}-C_{\beta}$	1.42/1.44	1.44	1.44	
C <sub>B</sub> -H	1.09	1.09	1.09	
$C_{\alpha}$ -H	1.10	1.12	1.10	

<sup>&</sup>lt;sup>a</sup> The duplicate results for Porph are due to the existence of two H atoms instead of Fe.

almost pure  $d_z^2$  (0.98). The other unpaired electron is in HOMO-13,  $b_{1g}$ , ( $a_{2g}$  in  $D_{4h}$  symmetry), a pure  $d_{xy}$  (0.99). The HOMO-1 has non-bonding character with contribution of carbons and nitrogenous atoms. Others occupied frontier orbitals, as HOMO, HOMO-4, -5, and -6, have a pronounced  $\pi$  bonding character. From LUMO to LUMO + 7, with exception of the LUMO + 2 that has metallic character, all have a pronounced  $\pi$  anti-bonding character. The LUMO + 2 is almost pure  $d_{x^2}$ – $y^2$  (0.87), with weak contribution of the non-bonding nitrogen orbitals ( ${}^n\sigma_s$ ).

For Fe<sup>3+</sup>-Porph, the first unpaired electron is in HOMO-17,  $a_g$ ,  $(a_{1g}$ , in  $D_{4h}$  symmetry), with pronounced weight of 0.96 from the  $d_z^2$  orbital. The

Table 3 Net atomic charges for Porph,  ${\rm Fe^{2^+}}\text{-Porph}$  and  ${\rm Fe^{3^+}}\text{-Porph}$ .  ${\rm M=H}$ ,  ${\rm Fe^{2^+}}$ ,  ${\rm Fe^{3^+}}$ 

Atom	Net charges				
	Porph <sup>a</sup>	Fe <sup>2+</sup> -Porf	Fe <sup>3+</sup> -Porf		
M	0.27/0.27	0.73	1.10		
N	-0.44/-0.29	-0.43	-0.42		
$C_{\alpha}$	-0.04/-0.04	-0.06	-0.04		
$C_{\beta}$	-0.07/-0.09	-0.07	-0.04		
$C_{pirr}$	0.14/0.10	0.13	0.13		
$\dot{H_{\alpha}}$	0.07	0.05	0.09		
$H_{\beta}$	0.06	0.07	0.08		

<sup>&</sup>lt;sup>a</sup> The duplicate results for Porph are due to the existence of two H atoms instead of Fe.

other two unpaired electrons are also located in pure metallic orbitals: one in HOMO-19 (0.92  $d_{yz}$  and 0.30  $d_{xz}$ ) and the other in HOMO-24 (0.98  $d_{xy}$ ). All frontier orbitals from HOMO to HOMO-7 have  $\pi$  bonding character. The first virtual orbital is  $\sigma_{s,p}^*$  with 0.74 from the metallic orbital  $d_x^2 - \frac{1}{y}$ . This orbital, in symmetry  $D_{4h}$ , is pushed upwards after CI, being replaced by a  $\pi$  orbital. From LUMO + 1 to LUMO + 4, the orbitals have a pronounced  $\pi$  anti-bonding character. The LUMO + 5 is a predominantly metallic orbital, with the strong contribution (0.93) of the  $4p_z$ .

The shapes of the HOMO and HOMO-1 in Fe $^{+2}$ -Porph are nearly the same as those of the corresponding orbitals in Porph, and the LUMO and LUMO + 1 turns out to be degenerate. The difference in monoelectronic energies HOMO–LUMO is slightly greater in Fe $^{2+}$ -Porph and Porph (4.9 eV) than in Fe $^{3+}$ -Porph (4.1 eV).

The density of d states in the frontier region is poor (Fig. 3). The greatest densities are localized between -15 and -20 eV for Fe<sup>3+</sup>-Porph, and -9.0 and -12 eV for Fe<sup>2+</sup>-Porph. Therefore, there is no significant metal contributions for the frontier region, which has mainly  $\pi$  character, except as already seen, the moderate contribution for LUMO + 2 in Fe<sup>2+</sup>Porph and LUMO in Fe<sup>3+</sup>-Porph.

# 3.2. Geometry, charge distribution and bond indexes

Geometry optimization with the ZINDO program shows all three compounds under study to be planar (see Table 2). As Fe<sup>3+</sup>-Porph possesses a charge larger than Fe<sup>2+</sup>-Porph, the attraction for the N atom is enhanced, thus shortening the distance of 2.09–2.01 Å, which leads to a slight increase of the distance between the nitrogenous and the pyrrolic carbon atoms. Since ab initio and ZINDO do not properly converge in this situation, it is interesting the comparison between these approaches. In this case, for Fe<sup>2+</sup>-Porph neutralized with chlorine, Zwaans et al. [82] found a Fe–N distance of 2.049 Å in a ab initio calculation. Experimentally, for Fe<sup>2+</sup>-Porph stabilized with pyridine, Grinstaff et al. found a Fe–N value of 1.96 Å [83].

Calculated net charges, from Mulliken population analysis, are shown in Table 3, with distinct values for carbons  $\alpha$ ,  $\beta$  and pyrrolic. The duplicated values for Porph are originated by the presence of two H atoms

Table 4 Interatomic bond indexes for Porph,  $Fe^{2+}$ -Porph and  $Fe^{3+}$ -Porph.  $M=H,\,Fe^{2+},\,Fe^{3+}$ 

Linkage	Bond indexes		
	Porph <sup>a</sup>	Fe <sup>2+</sup> -Porf	Fe <sup>3+</sup> -Porf
M-N	0.88/0.88	0.71	0.82
$N-C_{pirr}$	1.25/1.17	1.22	1.18
$C_{\beta}-C_{\beta}$	1.70/1.40	1.64	1.62
$C_{pirr}-C_{\alpha}$	1.42/1.19	1.35	1.38
$C_{pirr}^{'}-C_{\beta}$	1.13/1.39	1.19	1.20
$C_{\beta}-H$	0.97	0.97	0.97
$C_{\alpha}^{r}$ -H	0.98	0.98	0.98

<sup>&</sup>lt;sup>a</sup> The duplicate results for Porph are due to the existence of two H atoms instead of Fe.

in the center of the cycle. There is charge transfer from the two  $H_C$  to the two corresponding nitrogen atoms, which have their charges reduced to 0.27. Also, charge transfer is apparent for atoms close to the metal. It is perceptible the charge transfer from the macrocycle to the metal, specially to the nitrogenous, which change from -0.44/-0.29 (in Porph) to -0.43/-0.42, in Fe<sup>2+</sup>-Porph and Fe<sup>3+</sup>-Porph, respectively. The most distant atoms from the metallic center present only a light fluctuation in their charges. The total charges for Porph, Fe<sup>2+</sup>-Porph and Fe<sup>3+</sup>-Porph are 0.0, 0.0 and 1.0, respectively.

For bond indexes (Table 4) one finds similarity for the three structures. Values show the resonant

Table 5 Principal electronic transitions for Porph, Fe<sup>2+</sup>-Porph and Fe<sup>3+</sup>-Porph

Molecule	Principal electronic transitions				
	Origin	Character	Energy (cm <sup>-1</sup> )	Oscillator strengths	
Porph	HOMO → LUMO	$\pi \rightarrow \pi^*$	13837.2	0.0242	
	$HOMO \rightarrow LUMO + 1$	$\pi\!\to\pi^*$	16 696.8	0.0294	
	$HOMO - 1 \rightarrow LUMO$	$\pi \to \pi^*$	27495.4	1.7263	
	$HOMO - 1 \rightarrow LUMO + 1$	$\pi\!\to\pi^*$	28565.8	2.4735	
	$HOMO - 3 \rightarrow LUMO$	$\pi {\rightarrow} \pi^*$	33598.5	1.6793	
	$HOMO - 3 \rightarrow LUMO + 1$	$\pi {\rightarrow} \pi^*$	35674.2	0.3972	
	$HOMO - 5 \rightarrow LUMO$	$\pi \to \pi^*$	38997.2	0.3663	
	$HOMO - 5 \rightarrow LUMO + 1$	$\pi \to \pi^*$	40818.6	0.3021	
	$HOMO \rightarrow LUMO + 6$	$\pi \to \pi^*$	44789.4	0.2594	
	$HOMO - 3 \rightarrow LUMO + 3$	$\pi \! \to \! \pi^*$	54588.5	0.1899	
	$HOMO - 6 \rightarrow LUMO + 2$	$\pi \to \pi^*$	57336.1	0.2834	
	$HOMO - 7 \rightarrow LUMO + 2$	$\pi \to \pi^*$	57634.9	0.3832	
	$HOMO - 6 \rightarrow LUMO + 3$	$\pi \to \pi^*$	58918.2	0.2007	
	$HOMO - 3 \rightarrow LUMO + 6$	$\pi \to \pi^*$	60388.8	0.3605	
	$HOMO - 7 \rightarrow LUMO + 3$	$\pi \! \to \! \pi^*$	62508.4	0.2267	
	$HOMO - 5 \rightarrow LUMO + 5$	$\pi \to \pi^*$	65654.0	0.2826	
	$HOMO - 3 \rightarrow LUMO + 9$	$\pi \to \pi^*$	70350.5	0.2345	
Fe <sup>2+</sup> -Porph	$HOMO \rightarrow LUMO$	$\pi {\rightarrow} \pi^*$	15544.7	0.0282	
	$HOMO \rightarrow LUMO + 1$	$\pi\!\to\!\pi^*$	15572.2	0.0253	
	$HOMO - 1 \rightarrow LUMO$	$n_{(\mathrm{C,N})} \rightarrow \pi^*$	28777.5	2.6524	
	$HOMO - 1 \rightarrow LUMO + 1$	$n_{(\mathrm{C,N})} \rightarrow \pi^*$	28786.8	2.6434	
	$HOMO - 4 \rightarrow LUMO + 2$	$\pi \to d_{xy}^2 ({}^n \sigma_s)$	42185.8	0.0011	
	$HOMO - 10 \rightarrow LUMO + 7$	$d_z^2 \rightarrow \pi^*$	49566.6	0.0504	
Fe <sup>3+</sup> -Porph	$HOMO \rightarrow LUMO + 1$	$\pi {\rightarrow} \pi^*$	15513.9	0.0255	
	$HOMO \rightarrow LUMO + 2$	$\pi {\rightarrow} \pi^*$	19436.2	0.0085	
	$HOMO - 1 \rightarrow LUMO + 1$	$\pi \mathbin{\rightarrow} \pi^*$	28718.0	0.8451	
	$HOMO - 1 \rightarrow LUMO + 2$	$\pi \mathbin{\rightarrow} \pi^*$	29208.3	1.2573	
	$HOMO - 2 \rightarrow LUMO + 3$	$\pi \to \pi^*$	52491.4	0.0568	
	$HOMO - 5 \rightarrow LUMO + 3$	$\pi \to \pi^*$	53122.3	0.0155	
	$HOMO - 7 \rightarrow LUMO + 3$	$\pi \! \to \! \pi^*$	55591.6	0.0060	

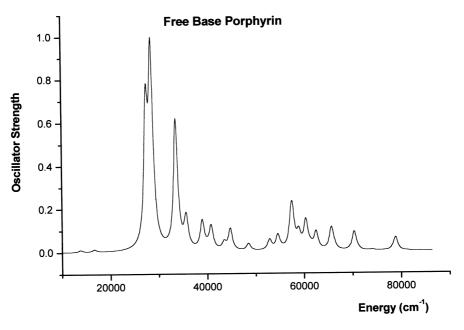


Fig. 4. Free base porphyrin UV/Vis-Zindo spectrum.

character of the macrocycle and the larger electron density is found between the  $\beta$  carbon atoms.

# 3.3. Electronic spectra

The principal electronic transitions for the three

species were calculated at the ZINDO/CI level and are shown in Table 5. The Porph structure presents high number of calculated electronic transitions. The transitions at 13 800 and 16 600 cm<sup>-1</sup> clearly Q bands. The two transitions around the 28 000 cm<sup>-1</sup> region, with high oscillator strength, are characteristic

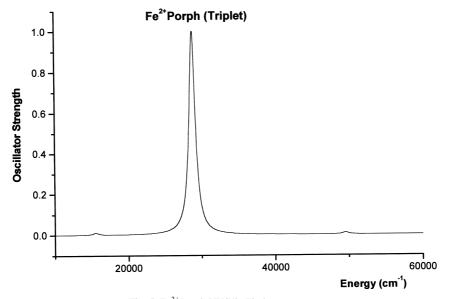


Fig. 5. Fe<sup>2+</sup>Porph UV/Vis-Zindo spectrum.

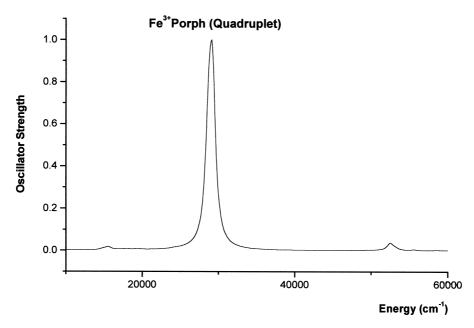


Fig. 6. Fe<sup>3+</sup>Porph UV/Vis-Zindo spectrum.

of the Soret band. In both electronic transition bands, the two last occupied and two first unoccupied frontier orbitals are the responsible for the transitions, and these are  $\pi \to \pi^*$  transitions polarized in the macrocycle plane. The calculated transition between 33 000 and 35 000 cm<sup>-1</sup> are characteristic of the N band [15]. The transition at 44 790 cm<sup>-1</sup> is characteristic of the M band and the intermediate transitions (38 000–40 000 cm<sup>-1</sup>) are out of the energetic range of the M band, but are usually related to the L band [15,84]. Other higher energy bands were encountered and are plotted in the theoretical spectrum (Fig. 4).

For Fe<sup>2+</sup>-Porph, transitions between HOMO-2/

HOMO-3 and LUMO were not observed (Fig. 5), due to the presence of the metal in these orbitals. The d orbitals only participate in more high energetic transitions than Q and B bands. Edwards et al. [13] found d-d transitions at lower energies than those of the Q and B bands, at values 19 200 cm<sup>-1</sup> and other red shifted. Nevertheless, in this case the geometry used was  $D_{4h}$  and the Fe-N distance is 0.1 Å lower than the calculated in our work. For Fe<sup>3+</sup>-Porph, the unique d frontier orbital (LUMO) does not participate in the transitions. Such as in the Fe<sup>2+</sup>Porph, the presence of bands is smaller than the verified for Porph (Fig. 6).

Table 6 Transition energies for the Q and B bands for Porph,  $Fe^{2+}$ Porph and  $Fe^{3+}$ Porph

Molecule	Q Band (cm <sup>-1</sup> )		B Band (cm <sup>-1</sup> )	
	Experimental	Calculated	Experimental	Calculated
Porph Fe-Porph <sup>2+</sup> Fe-Porph <sup>3+</sup>	15 000/16 600 [83] <sup>a</sup> 16 300 [88] 15 800 [15] <sup>b</sup>	13 800/16 700 15 500 15 500	24 800 [84] 23 600 [88] 24 700 [83] <sup>b</sup>	28 500 28 700 29 200

<sup>&</sup>lt;sup>a</sup> Porph presents two characteristic O bands.

b The experimental results for Fe<sup>3+</sup>-Porph are influenced by the presence of the oxy and halogen groups for Q and B bands, respectively.

Various experimental spectra are available, although the molecular structures studied are derivatives of Fe-porphyrin. Such molecules have counterions and substituents, which shift slightly the bands towards the red or the blue [15,40,82]. Among the main substituents one finds four phenyl groups in the  $\beta$  positions [53,84] or four methyl groups in the  $\alpha$  positions [85]. As counterions, chlorine, bromine and pyridine are the most frequent [52].

The experimental values used for the comparison in Table 6 are close to the mean of most experimental values. The results obtained for the Q bands are very satisfactory.

As for the B bands, Zerner and collaborators [13,86,87] have discussed that INDO/CI, while giving an accurate value for the Q band, produces the Soret band some  $4000-5000~{\rm cm}^{-1}$  above the experimental value. In this sense, the values calculated for the Soret band in this work are reasonable. For both Fe<sup>2+</sup>-Porph and Fe<sup>3+</sup>-Porph the Q and B bands are of the  $\pi \to \pi^*$  type and occur from HOMO and HOMO-1 to LUMO orbitals. This demonstrates that the presence of the metal atom affects weakly the electronic transition bands.

#### 4. Conclusions

From our quantum mechanical INDO/CI comparative study, by means of the ZINDO program, for Porph, Fe<sup>2+</sup>-Porph and Fe<sup>3+</sup>-Porph, the following conclusions can be drawn.

The geometries, electronic structures and electronic spectra are adequately described through the INDO/CI calculation.

At the Hartree–Fock level of calculation, the predicted ground states for  $\text{Fe}^{2+}$ -Porph and  $\text{Fe}^{3+}$ -Porph are  $^2\text{A}_{2g}$  and  $^4\text{A}_{2g}$ , respectively. Qualitatively, the CI calculation indicates several spin states close to the former, suggesting the possibility of state mixture.

The presence of the iron atom produces only minor modifications of the properties that were studied. The calculated electronic spectra for Fe<sup>2+</sup>Porph and Fe<sup>3+</sup>Porph are similar.

# Acknowledgements

We are grateful for financial support from CNPq,

FINEP, FAPESP and CAPES-PICDT — Universidade do Amazonas (Brazilian Agencies).

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