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Doping Controls Plasmonics, Electrical Conductivity, and CarrierMediated Magnetic Coupling in Fe and Sn Codoped In₂O₃ Nanocrystals: Local Structure Is the Key

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- 9 Supporting Information

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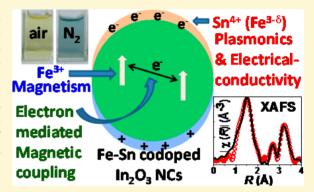
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ABSTRACT: Multifunctional Fe–Sn codoped In_2O_3 colloidal nanocrystals simultaneously exhibiting localized surface plasmon resonance band, high electrical conductivity, and charge mediated magnetic coupling have been developed. Interactions between Sn and Fe dopant ions have been found critical to control all these properties. Sn doping slowly releases free electrons in the colloidal nanocrystals, after reduction of active complex between Sn⁴⁺ and interstitial O^{2-} . Unexpectedly, Fe codoping reduces the free electron concentration. Our X-ray absorption fine structure spectroscopy (XAFS) results show that Fe³⁺ and Sn⁴⁺ substitutes In³⁺ in the In₂O₃ lattice for all Fe-doped In₂O₃ NCs and Sn-doped In₂O₃ NCs. Interestingly, for Fe–Sn codoped NCs, a smaller fraction of Fe³⁺ gets reduced to Fe²⁺ by consuming free electrons produced by Sn



doping. Therefore, Fe doping can manipulate free electron concentration in Fe-Sn codoped In₂O₃ nanocrystals, controlling both plasmonic band and electrical conductivity. Free electrons, on the other hand, facilitate magnetic coupling between distant Fe³⁺ ions. Such charge mediated magnetic coupling is useful for spin-based applications.

26 INTRODUCTION

27 There is only one recent report of Fe-Sn codoped In₂O₃ 28 nanocrystals (NCs) exhibiting the combination of localized 29 surface plasmon resonance (LSPR) in near to mid infrared 30 region and weak ferromagnetism at room temperature. Sn 31 doping provides free electrons for LSPR and Fe doping 32 provides magnetic spin. In this paper, we control the interaction 33 between two kinds of dopants in Fe-Sn codoped In₂O₃ 34 nanocrystals, via probing the atomic bonding and ion valence 35 of dopant ions. Such interactions essentially control properties 36 like LSPR, free carrier mediated magnetism, and electrical 37 conductivity. To the best of our knowledge, our Fe and Sn 38 codoped In₂O₃ NCs are the only system reported so far, that 39 can simultaneously exhibit tunable LSPR, high electrical 40 conductivity (>1 S/cm), and charge controlled magnetic 41 coupling at room temperature, along with solution process-42 ability and optical transparency in visible range.

The coexistence of near-infrared (NIR) LSPR and room temperature ferromagnetism was achieved by making heterostructured NCs such as Fe_3O_4/Au or $Fe_3O_4/Cu_{2-x}S$ core/shell NCs; however, significant interaction has not been observed between the free electrons exhibiting LSPR and magnetic spins. A doped system, like Fe–Sn codoped In_2O_3 NCs, with homogeneity in composition throughout the NC can offer

a better possibility of such interactions. Manipulation of 50 interactions between the free electrons and magnetic spin can 51 lead to spin-based information processing devices from 52 solution-processed NCs. Also, there is a possibility to derive 53 fundamentally new magneto-optic properties via interactions of 54 plasmonic and magnetic responses.

In Fe–Sn codoped In₂O₃ NCs, Sn⁴⁺ provides free electron in 56 the conduction band of In₂O₃ NCs, which in turn leads to 57 LSPR and electrical conductivity. ^{7–11} Fe³⁺ doping on the other 58 hand leads to magnetic properties owing to five unpaired 59 electrons in high spin states. ¹² Fe³⁺ doping alone is not known 60 to influence LSPR; however, when codoped with Sn in In₂O₃ 61 NCs, both LSPR and electrical conductivity of our NCs change 62 systematically with Fe concentration. Such an observation is 63 not intuitive. On the other hand, Sn doping influences the 64 magnetic properties of Fe–Sn codoped In₂O₃ NCs, which is 65 again not obvious. The scenario becomes even more 66 complicated for nanocrystal samples, because the dopant ions 67 can reside in different environments, namely, in lattice 68 substituted bulk, or bound to the NC surface, or even in the 69

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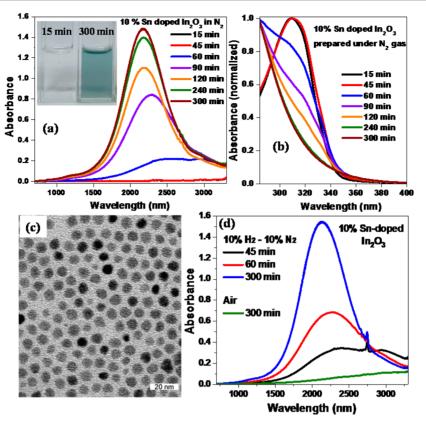


Figure 1. Variation of (a) the LSPR band and (b) excitonic transition with time of reaction for colloidal 10% Sn-doped In_2O_3 NCs carried under N_2 atmosphere. (c) TEM image of 10% Sn-doped In_2O_3 NCs carried under N_2 atmosphere isolated after 60 min. (d) Comparison of LSPR data of 10% Sn-doped In_2O_3 NCs prepared under air (oxidizing conditions) and under mixture of 10% H_2 –90% N_2 gas (reducing atmosphere).

70 interstitial sites.¹³ Clearly, a microscopic elucidation of atomic 1 bonding and ion valence is the key to understand the origin of 2 various properties and, thereby, controlling the properties for a 3 desired application. Size of our NCs (6.5 nm) is too small to 74 measure single-crystal X-ray diffraction (XRD). Furthermore, 75 powder XRD peak also broadens up for NCs; therefore, 76 quantitative estimation of lattice doping using Vegard's law 77 becomes difficult. We employed synchrotron based extended X-78 ray absorption fine structure (EXAFS) and X-ray absorption 79 near edge structure (XANES) spectroscopy to study such local 80 coordination and ionic charge. 14-17 Importantly, EXAFS can 81 also probe any noncrystalline impurity that may be present in 82 the sample, which cannot be probed by XRD.

Our results show that Sn and Fe are lattice substituted for all 4 doped NC samples. The slow reduction of active complex of 85 Sn⁴⁺-interstitial oxygen generates free electrons in the 6 conduction band of In₂O₃ host NC. Fe remains in 3+ oxidation 87 state for all Fe-doped In₂O₃ NCs; however, for Sn–Fe codoped 88 samples, a smaller fraction of Fe³⁺ gets reduced to Fe²⁺ by 89 consuming free electrons. Consequently, Fe doping can 90 manipulate free carrier concentration, thereby, influencing 91 both LSPR and electrical conductivity. Electron paramagnetic 92 resonance (EPR) data show the existence of charge mediated 93 magnetic coupling in Fe–Sn codoped NCs, where free 94 electrons arising from Sn⁴⁺ can mediate magnetic coupling 95 between distant Fe ions.

96 EXPERIMENTAL SECTION

97 Colloidal Sn and Fe codoped In_2O_3 NCs were synthesized following 98 our previous report. Stoichiometric amounts of In, Fe, and Sn 99 precursors were taken to get desired composition of NCs. For

example, in order to prepare 10% Sn-10% Fe codoped In₂O₃ NCs, 100 0.24 mmol of indium(III) acetylacetonate, 0.03 mmol of iron(III) 101 acetylacetonate, and 0.03 mmol of tin(IV) bis(acetylacetonate) 102 dichloride were mixed with 10 mL of oleylamine in a 50 mL three- 103 necked round-bottom flask. First the reaction mixture was subjected to 104 N₂ atmosphere and vacuum alternatively for 30 min at room 105 temperature followed by degassing at high vacuum for 30 min at 106 100 °C. The temperature of the reaction mixture was gradually 107 increased to 220 °C under N2 gas atmosphere and kept undisturbed 108 for 5 h. The solution was cooled to room temperature, and then an 109 adequate amount (~30 mL) of methanol was added as a nonsolvent to 110 precipitate NCs followed by centrifugation at 5000 rpm for 5 min. The 111 obtained NCs were redispersed in toluene and were precipitated again 112 using methanol; the washing process was repeated twice. A detailed 113 elemental analysis showed that precursor concentrations of dopant is 114 the same as dopant concentrations in the final NC within experimental 115 errors; therefore, the precursor concentration has been mentioned 116 through the manuscript for all NCs. The high level of doping obtained 117 in our NCs might result from prebonded In-O, Fe-O, and Sn-O 118 moieties in precursors. 18,19 We have also carried out reactions in an 119 environment other than N2 gas, such as in air, and a mixture of gases 120 with 10% H₂ and 90% N₂.

UV—visible-NIR absorption spectra of NCs dispersed in tetra- 122 chloroethylene was measured using both PerkinElmer Lambda-950 123 UV/vis spectrometer and Lambda-45 UV/vis spectrometer. Powder 124 XRD patterns were recorded by employing a Bruker D8 Advanced X- 125 ray diffractometer using Cu K α radiation (1.54 Å). Transmission 126 Electron Microscopy (TEM) images were obtained using a JEOL JEM 127 2100F microscope operated at 200 kV. Energy Dispersive X-ray 128 Analysis (EDAX) of the NCs were done using Zeiss Ultra Plus 129 scanning electron microscopy Instrument. X-band EPR spectra was 130 determined on a Bruker-ER073 spectrometer equipped with an EMX 131 microX source. Four probe dc electrical conductivity measurements 132 were performed on NC pellets using a Keithley Four-Probe 133

134 Conductivity Instrument (Model 6220/6221 Current Source and 135 Model 2182A nanovoltameter). Here we made point contacts between 136 the tip of the probe and the surface of circular pellets. The probes were 137 collinear, equally spaced (2.0 mm), and each having a diameter of 0.54 138 mm. These pellets were annealed at 200 °C inside the glovebox for 1 h 139 removing residual solvents but without changing the crystallite size. 140 The conductivity, $\sigma = 1/\rho$, where ρ is resistivity and is given by the 141 equation $\rho = 2\pi s(V/I)/f(w/s)$, where V, I, and s are voltage, current, 142 and spacing between electrodes, respectively, and f(w/s) is a function 143 width of the pellet (w) and s. The uncertainty in f(w/s) might result 144 in some error in the calculated value of conductivity; however, any 145 such possible inaccuracy does not influence the present study.

XANES and EXAFS data were measured at 10ID undulator 147 beamline at Advanced Photon Source in Argonne National 148 Laboratory. The typical beam size was 500 μ m \times 500 μ m. Fe K 149 edge data were measured in fluorescence mode using an ion chamber 150 with Stern Heald geometry.²² The energy calibration was done with Fe 151 foil at the first inflection point of 7111 eV, and data for samples were 152 aligned with reference Fe foil placed between transmission and 153 reference icon chamber. Rhodium coated harmonic rejection mirror 154 was used for eliminating higher energy X-rays. Well-known self-155 absorption error was ensured negligible with the comparison with the 156 transmission data because of heavy elements (In and Sn) in the samples. Standard Fe₂O₃ and FeO were measured with transmission geometry. For In K edge (27940 eV) and Sn K edge (29200 eV) 159 measurements were also performed with transmission geometry. Pt 160 coated harmonic rejection mirror was used. For Fe, In, and Sn K edge 161 measurements, incident monitor ion chamber was filled with gas 162 optimized for 5% absorption and transmission ion chamber was 20% 163 absorption at respective energies, ensuring the linearity of the detector sets. The EXAFS signals $\chi(k)$, with k being a photoelectron 165 wavenumber, were extracted in a conventional way using the 166 ATHENA program. 23 k^2 weight $\chi(k)$ were Fourier transformed to 167 real space $\chi(R)$, and coordination numbers, bond lengths, and bond 168 lengths distribution (Debye-Waller factor) parameters were obtained 169 by fitting to a model constructed using ab initio calculation code 170 FEFF6 using the ARTEMIS program.²³ The EXAFS spectra were fit in 171 real space $\chi(R)$ including two coordination shells.

RESULTS AND DISCUSSION

Slow Generation of Free Electrons in Colloidal Sn-174 Doped In₂O₃ NCs. Figure 1a and 1b show the UV-visible-175 NIR absorption data of Sn-doped In₂O₃ NCs prepared under 176 N₂ atmosphere at different reaction times. The absorption edge in the UV region (Figure 1b) corresponds to the optical gap of the semiconductor, and the NIR absorption (Figure 1a) is due 179 to the LSPR band of free electrons. Clearly, the LSPR band is 180 absent for reaction time up to 45 min. The LSPR band appears 181 at 60 min and then shifts toward a shorter wavelength along 182 with an increasing absorbance as the reaction proceeds. 183 Correspondingly, the tail of the LSPR band absorbs red-light, 184 imparting the complementary blue color to the reaction 185 mixture after 60 min, and the color became more intense 186 with increasing reaction time (inset of Figure 1). According to the Drude model, the LSPR band is expected to shift toward shorter wavelength and become more intense (higher absorbance) with an increase in free electron density.²⁴ Therefore, it can be concluded that the free electrons start 191 appearing slowly during the course of reaction. The influence of this slow release of free electrons is also observed in the optical gap of NCs in the UV region (Figure 1b). As the reaction time 194 increases, the sharp excitonic feature bleaches because the 195 generated free electrons screen the Coulomb attraction 196 between electron—hole pair. 25,26 Also, the generation of free 197 electrons in the conduction band typically widens the optical 198 gap of Sn-doped In₂O₃ NCs because of the Burstein-Moss

effect,^{7,24} and such a widening of the optical gap is also 199 observed (Figure 1b) with a longer reaction time after 60 min. 200

What is the mechanism of this slow generation of free 201 electrons in our NCs? In order to address this question, let us 202 first discuss the mechanism of free electron generation in bulk 203 Sn-doped $\rm In_2O_3$. One oxygen vacancy can give rise to two 204 electrons following eq 1^{27} 205

$$O_O^x \leftrightarrow 2e' + V_{\ddot{O}} + \frac{1}{2}O_2$$
 (1) 206

where O_O^x represents a neutral oxygen on an oxygen site; $V_{\ddot{O}}$ 207 represents a vacancy with charge of +2 on an oxygen site, and e' 208 represents an electron with charge -1. However, the amount of 209 oxygen vacancies is typically low (<0.5%). So far, colloidal 210 synthesis of In_2O_3 NCs has not lead to the formation of enough 211 free electrons from oxygen vacancies, and therefore the LSPR 212 band has not been observed for In_2O_3 NCs.

On the other hand, each $\mathrm{Sn^{4+}}$ provides one free electron in 214 the conduction band of bulk Sn-doped $\mathrm{In_2O_3}$ with the doping 215 level up to 5–10% following eq 2²⁸

$$(O_i'' 2Sn_{In})^x \leftrightarrow 2Sn_{In} + 2e' + \frac{1}{2}O_2$$
 (2) 217

where Sn_{In} represents a positively charged Sn on an In site 218 (basically substitution of In^{3+} with Sn^{4+} leads to 1+ charge in 219 the crystal), and O_i'' represents an interstitial oxygen with -2 220 charge. Typically, one interstitial oxygen is accompanied by two 221 Sn^{4+} ions substituting two In^{3+} ions, maintaining the charge 222 neutrality, which subsequently can get reduced following eq 2. 223 At higher levels of Sn doping, the neutral $(O_i''2Sn_{In})^x$ centers 224 can undergo clustering forming a more stable product which 225 does not get reduced following eq 2 and, therefore, decreases 226 the effective free electron concentration. 29

In our NCs, the slow generation of free electron can be 228 because of multiple reasons such as (i) slow rate of formation of 229 nanocrystals or (ii) even though the host NC is formed 230 instantaneously, the rate of Sn doping can be slower, or (iii) 231 slower reduction of active Sn dopant following eq 2. Figure S1 232 of the Supporting Information (SI) shows similar XRD patterns 233 for all NCs throughout the synthesis starting from 15 to 300 234 min, which matches with the cubic bixbyite structure of In₂O₃ 235 (JCPDS no. 88-2160, space group Ia3). The TEM image in 236 Figure 1c shows the average diameter NCs size is 6.5 nm after 237 60 min of reaction, and the size remains similar even after 300 238 min (Figure S2a in the SI). So, growth of host NCs is already 239 over by 60 min of reaction, and the release of free electrons 240 after 60 min is not due to slow NC growth. EDAX data exhibit 241 a similar Sn content of 8.1 and 9.4% for samples obtained after 242 60 and 300 min of reactions. Similarly, ICP-OES (inductively 243 coupled plasma-optical emission spectroscopy) 9.3 and 10.1% 244 Sn for samples obtained after 60 and 300 min of reactions. It is 245 to be noted that such elemental analysis cannot distinguish 246 between dopant ions on the surface of NCs and lattice 247 substituted dopants at the core of NCs. However, Milliron et 248 al.11 recently demonstrated that the surface bound Sn dopants 249 exhibit narrower LSPR spectrum compared to Sn doped in the 250 core of the In₂O₃ NC lattice. Figure 1a does not show any 251 broadening of the LSPR peak with increasing reaction time, 252 therefore suggesting that the diffusion of dopant ions from 253 surface toward the core of NCs is not a plausible reason for the 254 emergence of the LSPR band with reaction time. Therefore, 255 slower or inhomogeneous Sn incorporation is also not the 256 cause of slow release of free electrons.

To verify the third possibility, i.e., slow reduction of active Sn 2.58 259 dopant following eq 2, we carried out the colloidal synthesis with different oxygen partial pressure: in air, under N2 gas, and under reducing (90% N₂ and 10% H₂) gas. Such a change in the reaction environment neither changes the NC size (TEM images in Figure S2 of SI) nor the extent of Sn doping (\sim 10%) obtained from EDAX and ICP-OES. Figure 1d shows that the LSPR band is almost absent for Sn-doped In₂O₃ NCs when the reaction is carried out in air. Consequently, the color of the NC 266 prepared in air is also not blue (inset to Figure S2b in the SI). On the other hand, with reducing conditions (90% N₂ and 10% H₂), the LSPR band appears in about 45 min (Figure 1d) of reaction time, which is quicker compared to 60 min required to observe LSPR for reactions carried out under N₂ (Figure 1a). Evidently, a lower partial pressure of oxygen during the synthesis favors the reduction of active Sn dopant following eq 2. The slow release of free carriers during colloidal synthesis is 275 because of the slow reduction process shown in eq 2. It is to be 276 noted that such reduction in bulk Sn-doped In₂O₃ also takes many hours at an elevated temperature.²

Effect of Fe Codoping on Free Carrier Concentration. Figure 2 (and Figure S3 in the SI) shows how the LSPR peak energy and peak absorbance can be controlled by controlling the doping concentrations of Sn and Fe codoped In_2O_3 NCs. Both In_2O_3 and Fe-doped In_2O_3 NCs do not exhibit any LSPR and are not mentioned in Figure 2. For samples with 0% Fe and

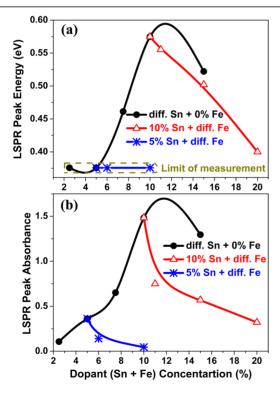


Figure 2. Plots of LSPR (a) peak energy and (b) peak absorbance for Sn—Fe codoped NCs with different concentrations. Black circles show the effect of Sn doping without having any Fe. Red open triangles show the effect of Fe doping in NCs having a constant 10% Sn, and blue stars show the effect of Fe doping in NCs having a constant 5% Sn. Colored lines are just guides for eyes. Codoped samples with 5% Sn and a varying amount of Fe do not show a clear LSPR peak within our measurement limit of <3300 nm (0.376 eV), and the LSPR absorbance at 3300 nm has been plotted (blue stars) in part b, for such samples.

different concentrations of Sn (black solid circle), both LSPR 284 peak energy and absorbance increase systematically with 285 increasing Sn content up to 10%, qualitatively following the 286 Drude model. However, both LSPR peak energy and 287 absorbance decrease with a further increase in Sn concentration 288 beyond 10%. This is a commonly observed phenomenon and is 289 attributed to trapping of free electrons around Sn⁴⁺ because of 290 clustering of $(O_i'' 2Sn \cdot_{In})^x$ centers at a high level of doping, as 291 discussed in the previous subsection. 8,24 For samples with 10% 292 Sn and different amounts of Fe (red, open triangle), both LSPR 293 peak energy and absorbance decreases with increasing Fe 294 content, a phenomenon also observed in our prior report, ref 1. 295 At a lower level of total doping ($\leq 10\%$), for example, samples 296 with 5% Sn and different Fe content, the LSPR absorbance 297 decreases sharply with increasing Fe content (Figure 2b). 298 Clearly, for all doping concentrations of Fe-Sn codoped NCs, 299 an increase in Fe content systematically decreases both LSPR 300 absorbance and peak energy. Therefore, the mechanism by 301 which Fe doping influences the LSPR band is probably different 302 from the electron trapping mechanism around Sn centers 303 observed only with higher levels (>10%) of doping in Sn-doped 304 In₂O₃ NCs.

The size and shape of Sn doped and Sn—Fe codoped NCs 306 are similar. Capping ligands and solvent are the same for all 307 samples. Furthermore, all samples were dilute having similar 308 concentration, and, therefore, any influence of inter-NC 309 distance on the LSPR is not expected. Also, dielectric constant 310 of NCs is not expected to change much by a small amount of 311 Fe doping, for example, 1% Fe codoped with 10% Sn shows 312 significant influence on the LSPR band, which cannot be 313 explained by the change of dielectric constant. Therefore, none 314 of the above factors can explain the observed changes in LSPR 315 Therefore, a probable decrease in free electron concentration 316 with increasing Fe dopant concentration can explain the 317 observed LSPR properties.

Apart from LSPR, free electrons also control the electrical $_{319}$ conductivity. Figure 3 shows four-probe electrical conductivity $_{320}$ $_{63}$ measurements on ~ 1 mm thick pellets of Fe and Sn codoped $_{321}$ $\rm In_2O_3$ NCs. Linear voltage (V) vs current (I) plots are observed $_{322}$ for all the samples. Interestingly, slope of the plots, which $_{323}$ signify resistance following the Ohm's law, increases with $_{324}$ increasing Fe content. The calculated conductivity values $_{325}$ obtained from these samples decreases systematically from $_{35}$ $_{326}$

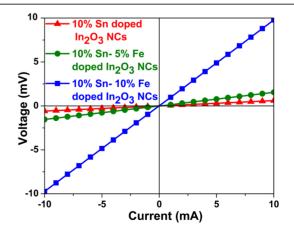


Figure 3. Voltage vs current plots for doped NC samples after making pellets. Symbols are experimental data, and lines are just a guide to the eye.

327 S/cm for 10% Sn doped In₂O₂ NCs to 14 S/cm and 2 S/cm for 328 10% Sn-5% Fe and 10% Sn-10% Fe codoped NCs, 329 respectively. A similar decrease in electrical conductivity was 330 also observed (not shown) for 5% Sn-5% Fe codoped In₂O₃ 331 NCs compared to 5% Sn doped In₂O₃ NCs. The decrease in 332 electrical conductivity with Fe doping for all doping 333 concentrations can again be explained by a probable decrease 334 in free electron concentration with Fe-doping; however, 335 scattering of electrons by Fe dopants can also influence the 336 electrical conductivity. Concentration of free electron was 337 calculated from the corresponding LSPR band following ref 30, 338 and the carrier mobility can be calculated using the equation μ_e 339 = $1/\rho e N_{ont}$, where μ_e refers to electron mobility, ρ represents 340 the resistivity of the sample, e is the electronic charge, and $N_{\rm opt}$ is carrier concentration. Table S1 in the SI shows both the 342 carrier concentration and carrier mobility decreases with Fe 343 codoping. We note here that the conductivity of these NCs 344 increases with increasing temperature (data not shown) suggesting nonmetallic behavior, unlike bulk Sn-doped In₂O₃. ³¹

The observed decrease in free electron concentration with Fe 347 doping cannot be explained by a simple substitution of In³⁺ with isovalent Fe³⁺. So, why does Fe doping reduce free 349 electron concentration? One possibility could be Fe doping 350 resists Sn to be incorporated in the In₂O₃ and therefore lower Sn concentration leading to lower free carrier concentration. However, elemental analysis using ICP-OES and EDAX shows 353 no influence of Fe doping on the concentration of Sn in the codoped sample. Also, no impurity peak was observed in XRD 355 data of Fe-Sn codoped In₂O₃ NCs. Another possible reason 356 for reduction of free electron concentration with Fe doping 357 could be that the Sn concentration remains the same producing 358 an equal number of free electrons both in the presence and 359 absence of Fe, but Fe³⁺ takes up some of those free electrons 360 converting to Fe²⁺. In fact, prior studies on Fe-doped In₂O₃ 361 bulk samples have indicated when the sample is treated under 362 reducing conditions, Fe³⁺can get reduced by consuming the 363 electron obtained from oxygen vacancies as suggested by eq 364 1.32 For our NCs, both Fe-doped In₂O₃ and Fe-Sn codoped 365 In₂O₃, reaction conditions (oxygen partial pressure) remain the 366 same. However, Sn doping can provide more free electrons 367 following eq 2 to facilitate the reduction of Fe³⁺.

X-ray Absorption Fine Structure Spectroscopy (XAFS) 369 Explains Why Fe Manipulates Free Electron Concen-370 tration in Fe-Sn Codoped In₂O₃ NCs. We employed 371 synchrotron based XAFS for Sn, Fe and In K edge to obtain 372 information around Fe, In, and Sn separately, and then by combining information from each edges, we elucidated the overall local structure of the NC. Figure S4 in the SI compares normalized absorption coefficient at the near edge (XANES) region of the Fe K edge of 5% and 10% Fe doped In₂O₃ NCs with those of reference samples: bulk FeO and bulk α -Fe₂O₃. In XANES, there are several features that originated from the electronic transition from the core level (1s) to empty electronic levels near the Fermi level, which is largely influenced by their local coordination geometries and oxidation 382 states of Fe ions. Pre-edge peak at 7113 eV (1s \rightarrow 3d), shoulder 383 (1s \rightarrow 4s), and crest of the edge (1s \rightarrow 4p) are marked in 384 Figure 4 showing the representative Fe K edge of Fe-doped and 385 Fe-Sn codoped $\rm In_2O_3~NCs.^{33-36}$ Both the 5% and 10% Fe 386 doped NC samples exhibit edge crest positions similar to α -387 Fe₂O₃, suggesting the oxidation state of Fe is 3+ in Fe-doped 388 In₂O₃ NCs. However, the spectral features of Fe doped NCs 389 are different from that of α -Fe₂O₃ suggesting a different

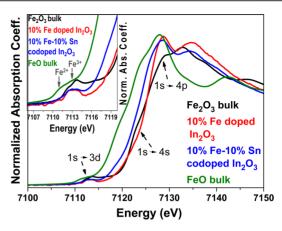


Figure 4. Fe K edge XANES data for different Fe–Sn codoped $\rm In_2O_3$ NCs. Data from NCs were compared with known reference samples. Bulk Fe₂O₃ and bulk FeO exhibit +3 and +2 oxidation states for Fe. Inset compares the pre-edge data for different samples after magnifying a narrow spectral region shown in the mainframe.

coordination environment around Fe^{3+} ions in NCs owing to 390 lattice doping. 391

The crest of the edge shifted toward lower energy when Sn is 392 codoped with Fe, as shown in Figure 4. For 10% Sn-10% Fe 393 codoped In₂O₂ NCs with equal amounts of Sn and Fe, the shift 394 of the main crest is small (about 0.3 eV) compared to 10% Fe 395 doped In₂O₃ NCs, but the edge of the codoped sample 396 broadens with more contribution from lower energy side. Such 397 lower energy contribution suggests reduction of some of the 398 Fe³⁺ ions to Fe²⁺ (or Fe^{3- δ}) in the presence of Sn in the 399 codoped sample.³⁶ A similar partial reduction of Fe³⁺ to Fe²⁺ 400 was also observed in Fe-Cu codoped bulk In₂O₃ NCs when 401 prepared under a low O₂ partial pressure. We note here that the 402 increase in lattice disorder can also broaden the XANES 403 spectrum;³⁷ however, signatures of such lattice disorder 404 (broadening) are not observed in Sn (Figure S5 in the SI) 405 and In edges (not shown) obtained from the same Fe-Sn 406 codoped NCs. Compared to FeO, XANES of 10% Sn-10% Fe 407 codoped In₂O₃ NCs is at a significantly higher energy 408 suggesting the majority of Fe in the codoped sample is still 409 in the Fe³⁺ state, while a smaller fraction has got reduced to 410 Fe²⁺ (or Fe^{3-δ}). The pre-edge position (inset to Figure 4a) of 411 the codoped sample also remains at 7113 eV suggesting the 412 dominance of Fe³⁺ ions in the codoped NCs.³⁶ XANES data 413 (not shown) for the 5% Fe-10% Sn codoped sample also 414 suggest a similar partial reduction of Fe³⁺ ions. The partial 415 reduction of Fe³⁺ even in the presence of excess Sn suggests 416 that a fraction of Fe³⁺ is somehow easily reducible. The exact 417 origin of this preferential reduction of a fraction of Fe³⁺ is not 418 understood presently, but probably surface Fe ions are 419 behaving differently compared to Fe ions in the core. We 420 note here that the observed change in Fe XANES with Sn 421 codoping again suggests that both Fe and Sn are part of the 422 same crystal, i.e., codoped in In₂O₃ NCs, rather than causing 423 unwanted phase segregation.

Visual inspection of the representative Fe-edge EXAFS data 425 in Figure 5 shows that the spectrum for Fe doped and Fe-Sn 426 f5 codoped NCs are similar and very different from both α -Fe₂O₃ 427 and FeO reference samples. Here $k^2\chi(k)$ and their Fourier 428 transform between k=2-13 Å⁻¹ to real space data $|\chi(R)|$ are 429 shown in panels (a), and (b), respectively, along with the best 430 fit results. The fit was done for $\chi(R)$ between R=1-4 Å, 431

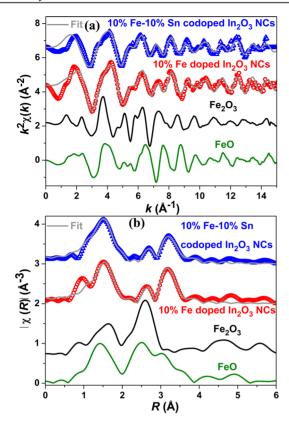


Figure 5. Fe K edge EXAFS data for different Fe–Sn codoped In_2O_3 NCs. Comparison of (a) k^2 weighted $\chi(k)$ data and (b) magnitude of Fourier transformed data in R-space of different Fe–Sn codoped NCs with reference samples of bulk Fe_2O_3 and FeO. Data were shifted vertically for a better representation.

432 including Fe–O, and Fe–In/Sn correlations. For all the NC 433 samples and reference samples, the first nearest neighbor is Fe–434 O; however, the difference mainly lies in the second and farther 435 nearest neighbor seen at R > 2 Å shown in Figure 5b. Note that 436 the peak of the Fourier amplitude appears at a shorter distance 437 than the actual bond lengths due to the backscattering phase 438 shift of photoelectrons. For, all iron oxide compounds, the 439 second nearest neighbor is Fe–Fe, but for doped NCs, the 440 second nearest neighbor can be Fe–Fe, Fe–In, and Fe–Sn (for 441 Fe–Sn codoped In_2O_3 NCs). However, the Fe–Sn correlation 442 cannot be distinguished from Fe–In correlations, since Sn is 443 located immediately after In in the periodic table and, therefore, 444 exhibits very similar backscattering functions.

The best fit parameters (Table 1) show the first neighbor around Fe is oxygen for the doped NCs and fit with 5 to 6 to 647 coordination numbers with the Fe–O bond lengths of 2.04 to 648 2.05 Å for 10% Fe and 10% Fe–10% Sn codoped NCs, 649 respectively. The first Fe–O bond of doped NCs shows higher 640 intensity than Fe₂O₃ standards, indicating the bond length 641 distribution (Debye–Waller factor) is smaller for our doped 642 NCs suggesting a more symmetric FeO₆ octahedron, consistent 643 with the weaker pre-edge for doped NCs in the XANES results 644 (inset to Figure 4). The second neighbor of doped NCs can be 6455 fitted with two different Fe–In distances at 3.32 and 3.79 Å. 6456 Coordination numbers are somewhat smaller than expected for 6457 NC and are often observed in NCs because of the large surface 6458 to volume ratio. 15

Figure S7 in the SI shows EXAFS data for In K edge for 460 representative samples. The In K edge EXAFS remains

Table 1. Fe K Edge EXAFS Fit Results^a

		10% Fe	10% Fe 10% Sn
Fe-O	N	6.1(9)	5.0(8)
	R (Å)	2.04(1)	2.05(1)
	$\sigma^2 ({ m \AA}^2)$	0.010(3)	0.008(3)
Fe-In(Sn)	N	3(1)	4(1)
	R (Å)	3.30(1)	3.31(1)
	$\sigma^2 ({ m \AA}^2)$	0.005(2)	0.002(3)
Fe-In(Sn)	N	3(2)	2(1)
	R (Å)	3.76(2)	3.77(2)
	$\sigma^2 \ ({ m \AA}^2)$	0.007(4)	0.003(6)

"The fit was performed in R space, $\chi(R)$ where $k^2\chi(k)$ was Fourier transformed for $k=[2,13~{\rm \AA}^{-1}]$. The fit range $R=[1,4~{\rm \AA}]$ includes the first neighbor Fe–O and Fe–In/Sn. Including the Fe–Fe bond does not improve the fit quality indicating the Fe–Fe correlation is small. N=00 coordination number, R=00 bond length, $\sigma^2=0$ 0 mean square displacement of the distance between the atoms. The photoelectron threshold (ΔE) is used as a variable for the fit. The error bar is written in brackets in the last digit of the fit results.

essentially unaltered for all NC samples. Near edge data (not 461 shown) establish that In is in 3+ oxidation state for all NC 462 samples, as expected. The obtained In–O bond length is 2.17 463 Å, and two different In–In (or In–Fe or In–Sn) distances are 464 3.37 and 3.85 Å (Table S2 in the SI). The similarity in the 465 hsecond nearest neighbor data for both Fe-edge and In-edge 466 confirms that that Fe is doped in the In₂O₃ NC lattice. For Fe- 467 doped and Fe–Sn doped In₂O₃ NCs, the obtained nearest 468 neighbor Fe–O bond length (2.05 Å) is similar to that in Fe₂O₃ 469 (R=1.98 and 2.08 Å) but significantly shorter than In–O bond 470 lengths (2.17 Å). This is expected from the larger ionic radius 471 of In³⁺ compared to Fe³⁺, and such differences fade out as we 472 move to second and farther neighbors. ¹⁷

Sn K-edge XANES and EXAFS data of representative 474 samples are shown in Figures S5 and S6 in the SI. Sn near 475 edge data of all NC samples are similar to that of SnO₂ 476 signifying the oxidation state of Sn is 4+ in all NC samples. The 477 Sn–O bond lengths are 2.05, 2.09, and 2.07 Å for SnO₂, 10% 478 Sn doped In₂O₃ NCs, and 10% Sn–10% Fe codoped In₂O₃ 479 NCs, along with a similar coordination number of 6 (Table S3 480 of the SI). These observations are consistent with prior studies 481 on Sn doped In₂O₃. 38 $k^2\chi(k)$ spectra (Figure S7a of the SI) for 482 SnO₂ and doped NCs are different above k=10 Å⁻¹. This 483 indicates that the correlations from the second nearest neighbor 484 (in the present case Sn or In) of doped NCs is different from 485 that of SnO₂ excluding the possibility of segregation of separate 486 SnO₂.

These XAFS results (Figure 4and 5 and Figures S5–S7 in the 488 SI), along with optical properties, XRD patterns, and TEM data 489 suggest the lattice substitution of ${\rm In^{3+}}$ ions with both ${\rm Fe^{3+}}$ and 490 ${\rm Sn^{4+}}$ ions, without forming any detectable impurity phase 491 segregation. Interestingly, in Sn–Fe codoped NCs, a smaller 492 fraction of the Fe³⁺ ion gets reduced to Fe²⁺ (Fe^{3-\delta}), whereas 493 neither ${\rm In^{3+}}$ nor ${\rm Sn^{4+}}$ changes its oxidation state. Therefore, the 494 only possible way that a fraction of Fe³⁺ ions gets reduced is via 495 consuming free electrons obtained from eq 2 via oxidation of 496 interstitial ${\rm O^{2-}}$ to ${\rm O_2}$ gas. Therefore, the free electron 497 concentration reduces in Fe–Sn codoped NCs compared to 498 only Sn-doped NCs, which causes red-shift in the LSPR band 499 (Figure 2) and decreases the electrical conductivity (Figure 3) 500 after incorporating Fe.

Charge Controlled Magnetic Coupling. We will now 502 investigate the role of free electrons (arising from Sn) in 503

504 mediating coupling between electron spins of distant Fe 505 dopants. Figure 6 shows EPR spectra of different Fe-doped and

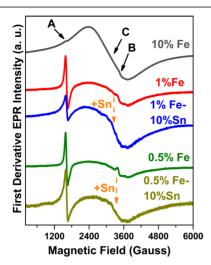


Figure 6. EPR spectra measured at 300 K for Fe–Sn codoped $\rm In_2O_3$ NCs with different dopant concentrations.

506 Fe–Sn codoped In₂O₃ NCs. The spectra for 10% Fe-doped 507 In₂O₃ NCs is similar to spectra observed in previous 508 literature. The derivative spectrum is governed by a broad 509 signal C (as indicated in Figure 6) with g ~2.2 and has been 510 attributed to ferromagnetic resonance (FMR) because of the 511 coupling between electron spins of Fe³⁺ ions. Also, there are 512 two other weak derivative EPR signals indicated by arrows A 513 and B, on top of the large background of signal C, as shown in 514 Figure 6. All three EPR signals are because of Fe³⁺ ions. Signal 515 A and signal B have been assigned to isolated Fe³⁺ with 516 different crystal fields. Signal B with $g \sim 2.0$ is to Fe³⁺ in the 517 octahedral cationic site of the In₂O₃ lattice, whereas signal A 518 with $g \sim 4.3$ corresponds to a lower symmetry rhombic site 519 (possibly distorted octahedral). 12,39

Our major interest is to study how Sn doping (addition of 521 free electrons) can influence the EPR spectra. Heavily codoped 522 samples such as 10% Sn-10% Fe doped NCs shows EPR spectra (not shown) similar to that of 10% Fe doped NCs, because Fe³⁺ ions are located close enough to interact with each 525 other in both samples, even in the absence of free electrons. 526 Therefore, in order to observe any noticeable change due to Sn 527 doping, we chose 0.5% and 1% Fe doping, where the Fe³⁺ ions are expected to be at a far distance in such NCs. As expected, Figure 6 shows that the EPR contribution from coupled Fe³⁺ 530 ions (broad signal C) decreases significantly with decreasing Fe content from 10% to 1% to 0.5% Fe-doped In₂O₃ NCs, thereby, making signals A and B (corresponding to isolate Fe³⁺ ions) more prominent. Now, if we compare the EPR spectra after addition of Sn, as shown by the orange arrows in Figure 6, clearly, 1% Fe-10% Sn codoped NCs exhibit more contribution from coupled Fe3+ ions compared to 1% Fe 537 doped NCs. Particularly, peak B becomes invisible under the background of the broad signal, after Sn doping. Similarly, 0.5% 539 Fe-10% Sn codoped NCs exhibit more contribution from 540 coupled Fe³⁺ ions compared to 0.5% Fe doped NCs. This enhancement of coupling between distant Fe³⁺ ions just by Sn⁴⁺ 542 doping suggests the free electron mediated magnetic 543 interactions in our Sn and Fe codoped NCs. Figure S8 in the 544 SI shows a small increase in magnetic moment for 1% Fe-10% 545 Sn doped In₂O₃ NCs compared to 1% Fe doped In₂O₃ NCs.

This enhancement is rather small than the expected increase in 546 magnetic moment via carrier mediated magnetic coupling in 547 the codoped sample. Similarly, we did not observe a significant 548 increase in EPR intensity for the codoped sample. While the 549 broadening of the EPR signal establishes the electron mediated 550 magnetic coupling in codoped NCs, the decrease in magnetic 551 spin via reduction of a fraction of Fe³⁺ to Fe²⁺ probably opposes 552 the enhancement of EPR intensity that is expected from free 553 electron mediated magnetic coupling. On the other hand, Fe²⁺ 554 possesses integral spin exhibiting larger zero-field splitting 555 compared to energy at the X-band and also short spin-lattice 556 relaxation times, making it EPR inactive within our 557 experimental conditions. 40,41 We have also not observed any 558 typical contribution from Fe²⁺ ion in the magnetic data of 559 codoped samples. Similar results were also observed in prior 560 reports of semiconductor nanocrystals doped with both Fe³⁺ 561 and $Fe^{2+40,42}$ probably because of both the smaller amount of s62 Fe²⁺ and the smaller magnetic spin per Fe²⁺ compared to Fe³⁺ 563 ions.

There are different mechanisms of magnetic coupling in the s68 literature for different dilute magnetic semiconductors. 43,44 s66 Radovanovic et al. 45 recently demonstrated the charge transfer s67 mechanism in Mn doped In_2O_3 nanocrystals; however, our Fe – s68 Sn codoped In_2O_3 NCs do not exhibit signatures of charge- s69 transfer ferromagnetism. The difference in magnetic interaction s70 may simply stem from the different nature of the samples. Coey s71 et al. 43 suggested magnetic interaction between dopant ions via s72 localized electrons arising from defects. Our NCs exhibiting a s73 strong LSPR band and high electrical conductivity suggest the s74 presence of delocalized free electrons in high concentration. S75 Therefore, free electron mediated magnetic coupling between s76 distant Fe $^{3+}$ ion is a more probable mechanism in Sn—Fe s77 codoped NCs.

A similar charge mediated magnetism was previously 579 observed in a magnetically doped semiconductor, for example, 580 in Mn²⁺ doped ZnO NCs, but it was a transient excited state 581 phenomenon, where a photogenerated charge carrier was 582 trapped in the excited state under completely inert conditions.⁶ 583 There are other reports, where codoping or charge carrier has 584 been found to influence magnetism; 40,46-48 however, electrical 585 conductivity was poor. A higher electrical conductivity of our 586 Fe-Sn codoped NCs along with charge-controlled magnetism 587 is suitable for exhibiting electrical control over magnetism. 588 Apart from dilute magnetic semiconductor type doped NCs, 589 heterostructured NCs such as FePt/PbS magnetic-core/semi- 590 conductor-shell also exhibit interesting magneto-electric 591 properties. 49 To the best of our knowledge, our Fe-Sn 592 codoped In₂O₃ NC is the only stable system that can exhibit 593 strong LSPR band, good electrical conductivity, and charge 594 controlled magnetism, along with optical transparency in the 595 visible region. 596

CONCLUSIONS

We establish the interactions between Fe and Sn dopants, 598 which essentially controls the major properties exhibited by the 599 colloidal Fe–Sn codoped $\rm In_2O_3$ NCs with 6.5 nm average 600 diameter. Lowering of oxygen partial pressure favors the 601 generation of free electrons by shifting equilibrium of eq 2 602 toward the right-hand side. For example, even with a similar 603 extent of Sn doping, colloidal NCs prepared in air do not show 604 a LSPR band, while NCs prepared under $\rm N_2$ or mixture of gases 605 (90% $\rm N_2$ and 10% $\rm H_2$) show a strong LSPR band. In the case of 606 Fe–Sn codoped NCs, a smaller fraction of Fe $^{3+}$ ion gets 607

608 reduced to Fe²⁺ by consuming some free electrons and, 609 therefore, the extent of Fe doping can tune both LSPR band 610 and electrical conductivity. On the other hand, Fe³⁺ ions are 611 magnetic dopants with 5 unpaired d-electrons. Interestingly, 612 free electrons obtained from Sn doping have been found to 613 mediate magnetic coupling among distant Fe³⁺ ions via free 614 electron-Fe³⁺ exchange coupling. Such carrier mediated 615 magnetic coupling is useful for spin based applications. Our 616 present study establishes 10% Fe-10% Sn codoped NCs as a 617 unique multifunctional material that can simultaneously exhibit 618 a LSPR band, high electrical conductivity (2 S/cm), and charge 619 controlled magnetic coupling, in addition to colloidal stability 620 and visible light transparency. Interesting magneto-optic and 621 magneto-electric properties are expected to stem from such 622 interactions between Sn⁴⁺ and Fe³⁺ dopants.

623 ASSOCIATED CONTENT

624 Supporting Information

625 Powder XRD, LSPR absorption, TEM, XANES EXAFS, and 626 magnetic data. This material is available free of charge via the 627 Internet at http://pubs.acs.org.

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633 Notes

634 The authors declare no competing financial interest.

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