

# Water-Promoted O<sub>2</sub> Dissociation on Small-Sized Anionic Gold Clusters

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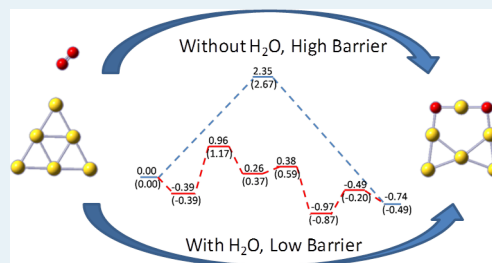
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**S** Supporting Information

**ABSTRACT:** Although thermodynamically O<sub>2</sub> favors dissociative adsorption over molecular adsorption on small-sized anionic gold clusters (except Au<sub>2</sub><sup>−</sup>), O<sub>2</sub> dissociation is unlikely to proceed under ambient conditions because of the high activation energy barrier (>2.0 eV). Here, we present a systematic theoretical study of reaction pathways for the O<sub>2</sub> dissociation on small-sized anionic gold nanoclusters Au<sub>n</sub><sup>−</sup> (*n* = 1–6) with and without involvement of a water molecule. The density functional theory calculations indicate that the activation barriers from the molecular adsorption state of O<sub>2</sub> to dissociative adsorption can be significantly lowered with the involvement of a H<sub>2</sub>O molecule. Once the O<sub>2</sub> dissociates on small-size gold clusters, atomic oxygen is readily available for other reactions, such as the CO oxidation, on the surface of gold clusters. This theoretical study supports previous experimental evidence that H<sub>2</sub>O can be used to activate O<sub>2</sub>, which suggests an alternative way to exploit catalytic capability of gold clusters for oxidation applications.

**KEYWORDS:** O<sub>2</sub> dissociation, small-sized anionic Au clusters, water promotion, nanocatalysts



## INTRODUCTION

Small-sized gold clusters have attracted wide attentions because of their extraordinary catalytic activities in chemical reactions.<sup>1–20</sup> In 1987, Haruta et al. discovered that gold nanoparticles can be active catalysts for CO oxidation much below room temperature.<sup>1</sup> Thereafter, a vast class of oxygen-atom transfer reactions has been shown to be enhanced by nanogold catalysts under ambient conditions of temperature and humidity.<sup>2–5</sup> These reactions include the full or partial combustion of noxious gas, water-gas shift, and styrene epoxidation, among others;<sup>12–20</sup> however, detailed reaction mechanisms for the oxidation are still not completely understood because of the lack of structural information of O<sub>2</sub> on gold nanoclusters.

Early investigation by Cox et al. showed that even-sized Au<sub>n</sub><sup>−</sup> clusters up to *n* = 20 give rise to measurable adsorption of O<sub>2</sub> based on helium flow-reactor method.<sup>21,22</sup> Ervin and co-workers investigated reaction kinetics on the three smallest even-sized gold anion clusters (Au<sub>2</sub><sup>−</sup>, Au<sub>4</sub><sup>−</sup> and Au<sub>6</sub><sup>−</sup>) with O<sub>2</sub> and estimated the adsorption energy by collision-induced dissociation methods.<sup>23</sup> Whetten and co-workers studied O<sub>2</sub> adsorption on Au<sub>n</sub><sup>−</sup> clusters for *n* = 2–22. Their experiments demonstrated that odd-sized gold anion clusters are inert to the O<sub>2</sub> adsorption and that even-sized gold anion clusters can adsorb a single O<sub>2</sub> molecule.<sup>24,25</sup> Wang and co-workers performed a joint experimental photoelectron spectroscopy (PES) and density functional theory (DFT) calculation study of O<sub>2</sub> adsorptions on the smallest even-sized anionic Au<sub>n</sub><sup>−</sup> clusters. They demonstrated molecularly chemisorption of O<sub>2</sub>

on Au<sub>n</sub><sup>−</sup> (*n* = 2, 4, 6) and physisorption of O<sub>2</sub> on Au<sub>n</sub><sup>−</sup> (*n* = 1, 3, 5).<sup>26</sup> A recent joint experimental PES and theoretical study shows that a superoxo (nonbridging) to peroxo (bridging) transition for the O<sub>2</sub> chemisorption on anionic gold clusters occurs at Au<sub>8</sub><sup>−</sup>.<sup>27</sup> This observation is also reported by Woodham et al. on the basis of a joint vibrational spectroscopy/DFT study.<sup>28</sup>

Numerous theoretical studies have also been devoted to the explanation of previous experimental observations. Mills, Gordon, and Metiu examined O<sub>2</sub> binding on small-sized Au<sub>n</sub> and Au<sub>n</sub><sup>−</sup> clusters and found O<sub>2</sub> binds more strongly to clusters with an odd number of electrons than with an even number.<sup>29</sup> Ding et al. tested a hybrid DFT method to compare with experimental results and found their calculation results are consistent with measured adsorption of O<sub>2</sub> on anionic, cationic and neutral Au<sub>n</sub> (*n* = 1–6).<sup>30</sup> Molina and Hammer studied O<sub>2</sub> adsorption on Au<sub>n</sub> (*n* = 1–11) with and without a support.<sup>31</sup> Barton and Podkolzin employed a model system to show sensitivity of O<sub>2</sub> adsorption to the clusters' size.<sup>32</sup> Yoon et al. studied both molecular and dissociative adsorption of O<sub>2</sub> on anionic gold clusters Au<sub>n</sub><sup>−</sup> (*n* < 8).<sup>33</sup> They suggested that molecular adsorption is more favorable for *n* ≤ 3, whereas dissociative adsorption is energetically more favorable for larger clusters. They also showed that the dissociation would encounter a very high barrier. Wang and Gong investigated

Received: June 14, 2012

Revised: September 10, 2012

Published: October 23, 2012

O<sub>2</sub> chemisorption on Au<sub>32</sub>, and they found the oxygen dissociation is more favorable than molecular adsorption.<sup>34</sup> Barrio et al. used Au<sub>14</sub>, Au<sub>25</sub>, and Au<sub>29</sub> as model systems to demonstrate the importance of cluster geometry to the O<sub>2</sub> adsorption energies.<sup>35</sup> Lyalin and Takersugu investigated the relative stability between molecular and dissociative adsorption of O<sub>2</sub> on odd-size Au<sub>*n*</sub> (*n* = 1, 3, 5, 7, 9) using a DFT method. They found that molecular adsorption of O<sub>2</sub> is more favorable for two-dimensional (2D) Au<sub>*n*</sub> (*n* = 1, 3, 5, 7), whereas dissociative adsorption is more favorable for 3D Au<sub>*n*</sub> clusters (*n* = 9).<sup>36</sup>

Although dissociative adsorption of O<sub>2</sub> is energetically more favorable than the molecular adsorption, little experimental evidence of dissociative adsorption of O<sub>2</sub> has been reported, largely because of the high dissociation barrier.<sup>33,37,38</sup> Nevertheless, several recent experiments on gold-cluster-catalyzed CO oxidation indicate that the presence of water molecules may promote O<sub>2</sub> dissociation, which will facilitate the CO oxidation.<sup>39</sup> Boccuzzi and Chiorino suggested that the dissociation of O<sub>2</sub> might be needed to observe the oxidation reaction. Haruta et al. proposed possible reaction steps involving water molecules, on the basis of their experimental observation of the moisture effect on CO oxidation.<sup>40,41</sup> Bongiorno and Landman showed theoretical evidence of enhancement of the catalytic activity with the presence of water on either free or supported gold clusters.<sup>42</sup> The experiments by Mullins and co-workers demonstrated the direct involvement of water in CO oxidation, where the OH is a possible reaction intermediate.<sup>43</sup> Wallace et al. studied oxygen adsorption on hydrated gold cluster anions.<sup>44</sup> Their results indicated that the binding of an OH group will enhance the reactivity toward molecular oxygen on odd-sized anionic gold clusters, but lower the reactivity on even-sized ones. Okumura et al. performed DFT calculations to examine the coadsorption of H<sub>2</sub>O and O<sub>2</sub> on neutral and anionic Au<sub>10</sub> clusters. They found that the negatively charged Au<sub>10</sub><sup>−</sup> cluster can greatly increase the coadsorption.<sup>45</sup> Zhang et al. explored theoretically the pathway for the CO oxidation on the Au(111) surface in the presence of water.<sup>46</sup> Lee et al. performed a combined experimental and theoretical study to show that the water vapor can facilitate the selectivity of propane epoxidation on immobilized Au<sub>6–10</sub> clusters.<sup>47</sup>

Although extensive work has been done regarding O<sub>2</sub> adsorption on Au clusters and related dissociation of O<sub>2</sub> in the presence of water, a systematic study of water-promoted O<sub>2</sub> dissociation on gold clusters is still lacking. In this article, we present a comprehensive theoretical study of O<sub>2</sub> dissociation on small-sized anionic gold clusters (Au<sub>*n*</sub><sup>−</sup>, *n* = 1–6). We show calculation results that the high O<sub>2</sub> dissociation barrier can be greatly lowered with the presence of a water molecule nearby. Therefore, this study provides additional insights into oxidation mechanism with gold nanocatalysts.

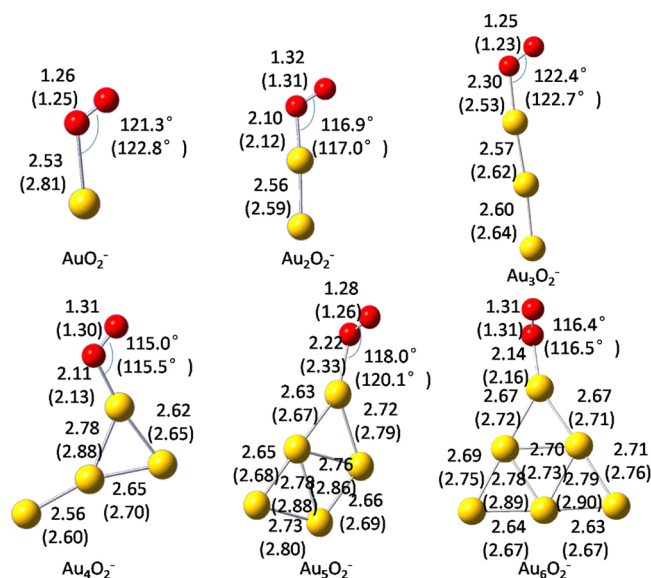
## COMPUTATION METHODS

All calculations are based on methods of DFT. Specifically, two hybrid functionals (i.e. the Becke's three parameter hybrid functional with the Lee–Yang–Parr correlation (B3LYP) functional<sup>48,49</sup>) and the Tao–Perdew–Staroverov–Scuseria (TPSSH) functional are employed.<sup>50</sup> The B3LYP functional can provide reliable O<sub>2</sub> adsorption energies consistent with the experiments,<sup>30</sup> and the TPSSH functional has been proven to be one of the best functionals to evaluate relative stabilities among isomers of gold cluster.<sup>51</sup> The Stuttgart/Dresden effective core

potential valence basis<sup>52,53</sup> augmented with two sets of *f* functions (exponents = 1.425, 0.468) and one set of *g* function (exponent = 1.147) is adopted for Au, and the 6-311+G(d,p) basis sets are used for O and H. The basis-set superposition error (BSSE) is corrected using the counterpoise method. The doublet spin state is considered as the most favorable for even-sized anionic Au clusters binding with O<sub>2</sub>, whereas both the singlet and triplet spin states are considered for the odd-sized anionic Au clusters binding with O<sub>2</sub>. All energies in the reaction pathways and corresponding geometries for the singlet and triplet states of odd-sized anionic gold clusters are given in the Supporting Information (Figure S2–S4). The transition-state structures are searched by using the synchronous transit-guided quasi-Newton method developed by Schlegel and co-workers.<sup>54,55</sup> All cluster structures are fully optimized, and their local stabilities are confirmed from vibrational frequency calculation. The transition states entail only one imaginary vibrational frequency. The zero-point energies are included in the reaction pathway. All the calculations are carried out using the Gaussian 09 software package.<sup>56</sup>

## RESULTS AND DISCUSSIONS

**Molecular Adsorption of O<sub>2</sub>.** Optimized geometries of Au<sub>*n*</sub>O<sub>2</sub><sup>−</sup> (*n* = 1–6) are plotted in Figure 1, where O<sub>2</sub> is in



**Figure 1.** Optimized lowest-energy geometries of Au<sub>*n*</sub>O<sub>2</sub><sup>−</sup> (*n* = 1–6) based on the TPSSH (B3LYP in parentheses) level of theory. All the lengths marked are in angstroms.

molecular form upon binding with the gold clusters. All the anionic Au clusters adopt the 2D structures because of their higher stabilities with respect to the 3D structures, as discussed elsewhere.<sup>8,57</sup> It is worth noting that there are several competing isomers for Au<sub>4</sub><sup>−</sup>–Au<sub>6</sub><sup>−</sup> (see Figure S1), and the structures adopted here were considered as model structures, as in previous studies of the O<sub>2</sub> adsorption (see Table 1) and catalysis.<sup>25,30,58</sup> In Table 1, the adsorption energies calculated on the basis of TPSSH and B3LYP functionals are listed to compare with previous theoretical and experimental results. One can see that (1) TPSSH calculations predict greater adsorption energies and shorter bond lengths than B3LYP calculations. (2) The results from B3LYP calculations are consistent with those by Ding et al.,<sup>30</sup> although much larger

Table 1. Calculated Binding Energies (eV) of Molecular O<sub>2</sub> on Au<sub>n</sub><sup>−</sup> Anionic Clusters (n = 1–6)

	this work				B3LYP <sup>c</sup>	PW91 <sup>c</sup>	PW91	exp <sup>f</sup>
	TPSSH <sup>a</sup>	B3LYP <sup>a</sup>	TPSSH <sup>b</sup>	B3LYP <sup>b</sup>				
AuO <sub>2</sub> <sup>−</sup>	−0.37	−0.30	−0.41	−0.31	−0.22	−0.44	−0.50 <sup>d</sup>	
Au <sub>2</sub> O <sub>2</sub> <sup>−</sup>	−1.25	−1.07	−1.56	−1.41	−0.95	−1.24	−1.40 <sup>d</sup>	−1.01 ± 0.14
Au <sub>3</sub> O <sub>2</sub> <sup>−</sup>	−0.22	−0.09	−0.22	−0.07	−0.04	−0.33	−0.37 <sup>d</sup>	
Au <sub>4</sub> O <sub>2</sub> <sup>−</sup>	−1.03	−0.82	−1.27	−1.12	−0.72	−1.03	−1.19 <sup>d</sup>	−0.91 ± 0.14
Au <sub>5</sub> O <sub>2</sub> <sup>−</sup>	−0.38	−0.17	−0.48	−0.23	−0.07	−0.44	−0.61 <sup>e</sup>	
Au <sub>6</sub> O <sub>2</sub> <sup>−</sup>	−1.05	−0.89	−1.35	−1.24	−0.78	−1.01	−1.06 <sup>e</sup>	~−0.81

<sup>a</sup>SDD+2f1g for Au, 6-311+G(d) for O; without BSSE corrections. <sup>b</sup>SDD+2f1g for Au, 6-311+G(d) for O; with BSSE corrections. <sup>c</sup>Ref 30, LANL2DZ for Au, 6-311+G(3df) for O. <sup>d</sup>Ref 27, plane-wave basis. <sup>e</sup>Ref 33, plane-wave basis. <sup>f</sup>Ref 26. Experimental values are given here.

basis sets are used here. This means that the interaction between O<sub>2</sub> and anionic Au clusters are not very sensitive to the basis sets selected. (3) O<sub>2</sub> can be strongly adsorbed on even-sized Au anionic clusters, but very weakly adsorbed on odd-sized Au anion clusters, consistent with previous experimental measurements.<sup>21,22,25</sup> (4) O<sub>2</sub> is located within the same plane as planar Au<sub>n</sub><sup>−</sup> (n = 2–4) clusters, but located out of the plane of the planar Au<sub>5</sub><sup>−</sup> and Au<sub>6</sub><sup>−</sup> clusters, consistent with Yoon's results.<sup>33</sup> (5) The O–O bond length in even-sized anionic Au clusters (Au<sub>2</sub>O<sub>2</sub><sup>−</sup>, 1.32 Å; Au<sub>4</sub>O<sub>2</sub><sup>−</sup>, 1.31 Å; Au<sub>6</sub>O<sub>2</sub><sup>−</sup>, 1.31 Å) is longer than that in odd-sized Au clusters (AuO<sub>2</sub><sup>−</sup>, 1.26 Å; Au<sub>3</sub>O<sub>2</sub><sup>−</sup>, 1.25 Å; Au<sub>5</sub>O<sub>2</sub><sup>−</sup>, 1.28 Å). (6) The binding energies with BSSE corrections are greater than those without corrections, especially for the even-sized anionic Au clusters. This is due to obvious electron transfer from anionic Au clusters to O<sub>2</sub> (see below). As a result, the ground state of O<sub>2</sub> is no longer in the triplet state. In addition, we computed the binding energies with larger basis sets (Supporting Information Table S1) and found the results show little change.

The charge transfer from the Au cluster to O<sub>2</sub> molecule has been considered as a major factor that correlates with the adsorption strength. The Mulliken charge analysis gives rise to a notable odd–even oscillation for the electron transfer; that is, the even-sized Au anionic clusters transfer more electrons to the O<sub>2</sub> molecule (Au<sub>2</sub>O<sub>2</sub><sup>−</sup>, 0.47e; Au<sub>4</sub>O<sub>2</sub><sup>−</sup>, 0.40e; Au<sub>6</sub>O<sub>2</sub><sup>−</sup>, 0.39e) than odd-sized Au anionic clusters (AuO<sub>2</sub><sup>−</sup>, 0.28e; Au<sub>3</sub>O<sub>2</sub><sup>−</sup>, 0.11e; Au<sub>5</sub>O<sub>2</sub><sup>−</sup>, 0.24e). This result is consistent with a previous one based on natural population analysis (NPA).<sup>59</sup> Note also that more electron transfer can lead to a longer Au–O bond length, which explains the odd–even oscillation of the Au–O bond-length.

**O<sub>2</sub> Splitting on Au<sub>n</sub><sup>−</sup> Anionic Clusters.** AuO<sub>2</sub><sup>−</sup>. Dioxygen adsorption on Au<sup>−</sup> anion has been discussed in a recent joint experimental PES and ab initio study,<sup>37,38</sup> but the reaction pathway has not been reported in the literature. In Figure 2, we present a reaction pathway of O<sub>2</sub> splitting, with and without involvement of a water molecule. In the DFT calculation, both TPSSH and B3LYP calculation results indicate that the AuO<sub>2</sub><sup>−</sup> dioxide isomer and O<sub>2</sub> physisorbed isomer Au<sup>−</sup>(O<sub>2</sub>) are in triplet states, and the former is lower in energy than the latter (TPSSH, −0.85 eV; B3LYP, −0.48 eV), consistent with coupled-cluster CCSD(T) calculation.<sup>37,38</sup> In addition, we compute the transition state connecting the two isomers. A very high activation barrier (TPSSH, 2.96 eV; B3LYP, 3.11 eV) is found, indicating the O<sub>2</sub> splitting cannot occur at ambient temperature. This conclusion is consistent with recent experimental results in that the AuO<sub>2</sub><sup>−</sup> dioxide isomer can be produced only under high-temperature conditions, whereas the physisorbed Au<sup>−</sup>(O<sub>2</sub>) complex can be observed at low temperature. It should be noted that the transition state (TS)

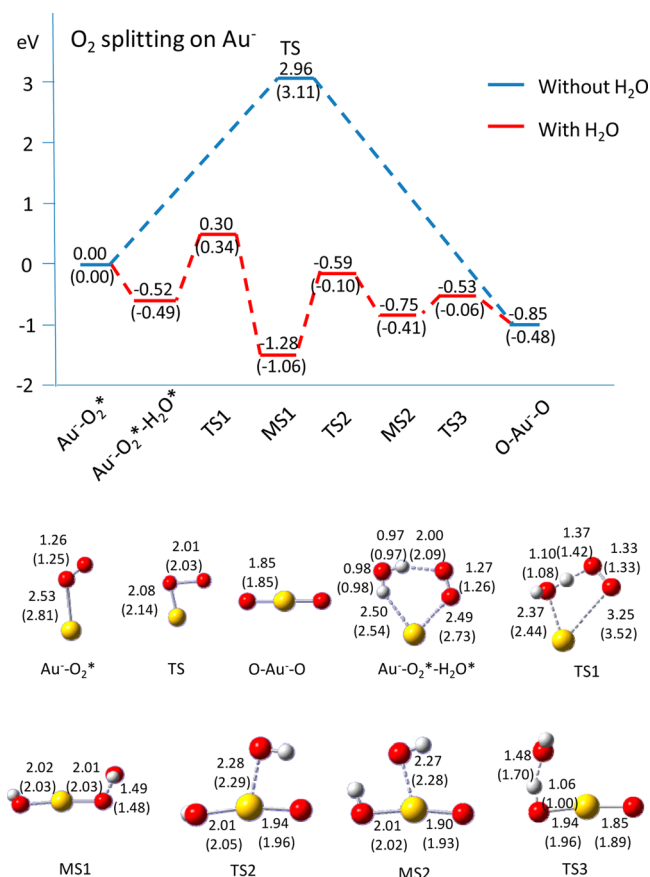


Figure 2. Calculated reaction pathway from the Au<sup>−</sup>(O<sub>2</sub>) complex to dissociative O–Au<sup>−</sup>–O dioxide species using the TPSSH level of theory (B3LYP results in parentheses). The unit of bond length is the angstrom; an asterisk indicates the binding state.

is a triplet state, and the singlet state is slightly higher in energy (0.11 eV higher in TPSSH calculation).

Furthermore, we show that when a water molecule is involved in the O<sub>2</sub> splitting, the activation barriers are significantly lowered. Previous experiments have shown that an extended and clean gold surface appears to be hydrophilic.<sup>60</sup> Theoretical calculations also indicate water can be adsorbed on a free or on a supported Au cluster with adsorption energy ranging from 0.2 to 0.6 eV.<sup>37,38</sup> Our recent CCSD(T) calculations showed that H<sub>2</sub>O and Au<sup>−</sup> can form a stable complex with a binding energy of 0.55 eV.<sup>38</sup> Here, the TPSSH calculations give a binding energy of 0.60 eV between H<sub>2</sub>O and Au<sup>−</sup>, close to the CCSD(T) result. If Au<sup>−</sup> already adsorbs an O<sub>2</sub> molecule to form an Au<sup>−</sup>(O<sub>2</sub>) complex, the binding energy between H<sub>2</sub>O and the Au<sup>−</sup>(O<sub>2</sub>) complex is 0.52 eV (TPSSH



calculation), smaller than the binding energy between  $\text{H}_2\text{O}$  and  $\text{Au}^-$ . Thus, little cooperativity is observed for the coadsorption of  $\text{O}_2$  and  $\text{H}_2\text{O}$  on  $\text{Au}^-$  on basis of our DFT calculations.

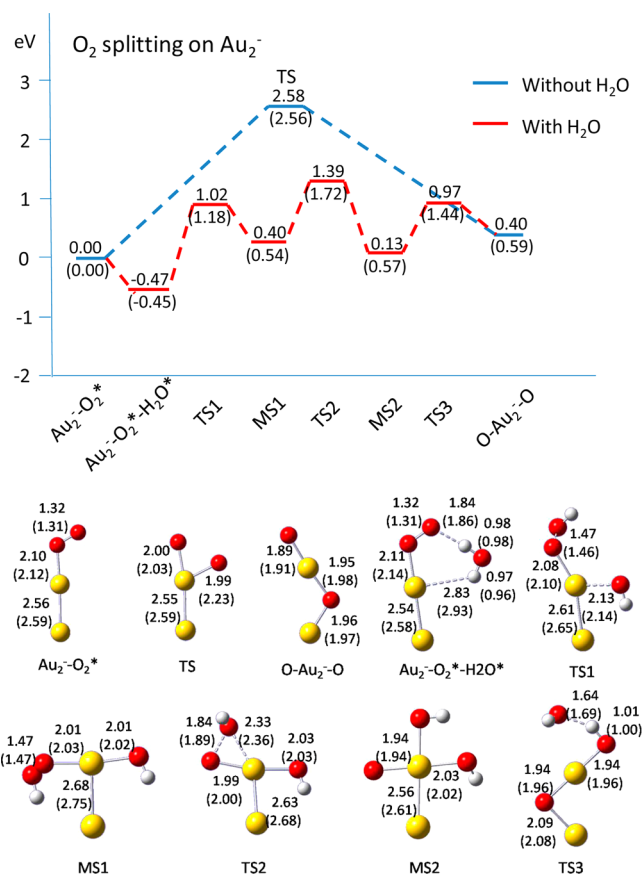
In the second step for the  $\text{O}_2$  splitting,  $\text{O}_2$  captures one H atom from  $\text{H}_2\text{O}$ , for which the activation barrier is 0.82 eV (TPSSh), and the intermediate species include an OH group and a hydroperoxyl-like ( $\text{HO}_2$ ) group (MS1), as predicted by Landman and co-workers.<sup>42</sup> In the third step, the  $\text{HO}_2$  group can further split into an OH group and O atom, arriving at the second intermediate state (MS2). The activation barrier of this step is 0.69 eV (TPSSh). After the formation of two OH groups, one H atom can easily migrate from one group to another to form a  $\text{H}_2\text{O}$  molecule and an  $\text{AuO}_2^-$  dioxide species via crossing an activation barrier of 0.22 eV (TPSSh). A comparison of the reaction pathway for  $\text{O}_2$  splitting with and without water clearly demonstrates that the water molecule can significantly lower the activation barrier and promote the reaction. The total activation energy for  $\text{O}_2$ -splitting with water is relatively low (TPSSh, 0.30 eV; B3LYP, 0.34 eV), implying that  $\text{O}_2$  splitting could proceed at ambient conditions with the involvement of  $\text{H}_2\text{O}$ . Note that the first intermediate state, MS1 ( $\text{HO}_2$ ), is actually lower in energy than the dioxide state (Figure 2) and the activation barrier from MS1 to MS2 ( $\text{HO}_2 \rightarrow \text{O} + \text{OH}$ ) is 0.69 eV. If the reductant (CO or pyrene) was introduced into the system, there would be competing reactions between reductant and  $\text{HO}_2$ , which might explain the production of  $\cdot\text{OH}/\cdot\text{OOH}$  which was believed to promote the partial oxidation on gold.<sup>47,61</sup>

$\text{Au}_2\text{O}_2^-$ . As shown in Scheme 1, the linear dioxide isomer  $2\text{c}^{51}$  is 0.83 eV higher in energy (TPSSh calculation) than the

**Scheme 1. Binding Energy of Three Low-Energy  $\text{Au}_2\text{O}_2^-$  Isomers**

	<b>2a</b>	<b>2b</b>	<b>2c</b>
TPSSh	-1.25 eV	-0.85 eV	-0.42 eV
B3LYP	-1.07 eV	-0.48 eV	0.01 eV
Spin	1/2	1/2	3/2

molecular  $\text{O}_2$  binding structure **2a** and also 0.43 eV higher than another  $\text{Au}_2\text{O}_2^-$  dioxide isomer **2b**. In Figure 3, we present a reaction pathway for the  $\text{O}_2$  splitting on  $\text{Au}_2^-$  anionic cluster. Since the dioxide species of  $\text{Au}_2\text{O}_2^-$  is less stable than the  $\text{Au}_2^-(\text{O}_2)$  complex,  $\text{Au}_2\text{O}_2^-$  favors the molecular binding state. Moreover, the high activation barrier (TPSSh, 2.58 eV; B3LYP, 2.56 eV) would prevent  $\text{O}_2$  from splitting directly on the  $\text{Au}_2^-$  anion at ambient conditions. When an  $\text{H}_2\text{O}$  molecule is introduced into the system, a  $\text{Au}_2^-(\text{O}_2)$  complex could cross a relatively low activation barrier (TPSSh, 1.39 eV; B3LYP, 1.72 eV) to form  $\text{Au}_2\text{O}_2^-$  dioxide at higher temperatures. However, there are some differences in the reaction pathway for the  $\text{O}_2$  splitting on  $\text{Au}_2^-$  and on  $\text{Au}^-$ : (1) There is a slight energy enhancement ( $-0.02$  eV) in the coadsorption of  $\text{O}_2$  and  $\text{H}_2\text{O}$  on  $\text{Au}_2^-$ , whereas there is none for  $\text{Au}^-$ . (2) The  $\text{Au}_2^- - \text{O}_2 - \text{H}_2\text{O}$  complex is more stable than the MS1 state (with  $\text{HO}_2$ ), as opposed to the less stable than MS1 state on  $\text{Au}^-$ . (3) Although the activation barrier for  $\text{Au}_2^-(\text{O}_2)$  is 1.39 eV, much higher

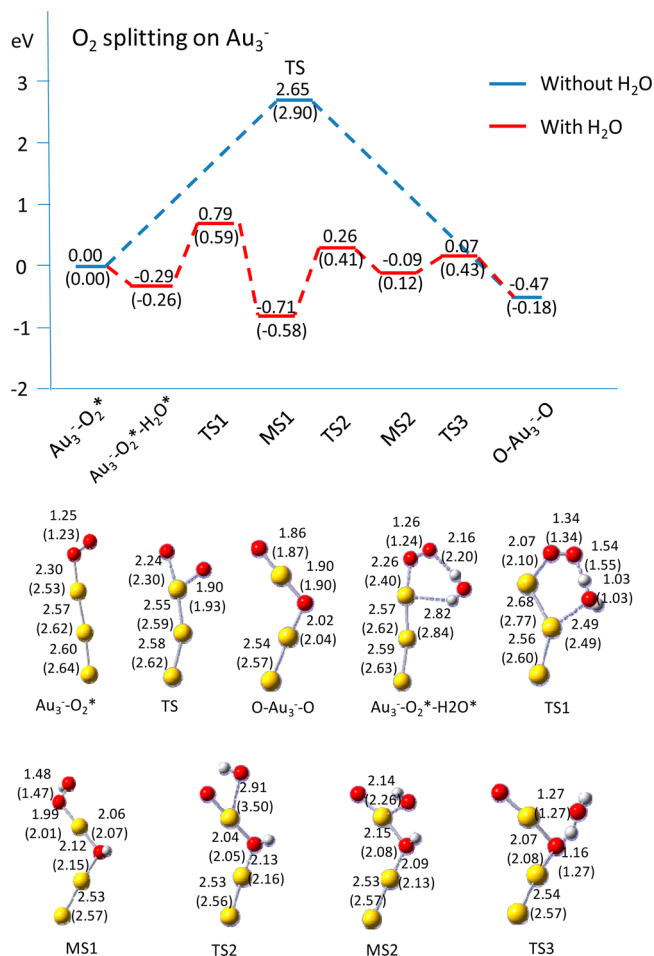


**Figure 3.** Calculated reaction pathway from the  $\text{Au}_2^-(\text{O}_2)$  complex to dissociative  $\text{O}-\text{Au}_2-\text{O}$  using the TPSSh level of theory (B3LYP results in parentheses). The unit of bond length is the angstrom; an asterisk means on the binding state.

than that for  $\text{Au}^-(\text{O}_2)$  (0.30 eV), the relatively high preadsorption energy of  $\text{O}_2$  on  $\text{Au}_2^-$  might lower the dissociation barrier for the entire reaction.

$\text{Au}_3\text{O}_2^-$ . The reaction pathway of  $\text{O}_2$  splitting on  $\text{Au}_3^-$  is very similar to that on  $\text{Au}_2^-$  and  $\text{Au}^-$ , as shown in Figure 4. In Scheme 2, the  $\text{Au}_3\text{O}_2^-$  dioxide isomer **3b** is more stable by 0.85 eV (TPSSh calculation) than the dissociative isomer **3c**.<sup>51</sup> Thus, **3b** is used as the final state in the calculation of the reaction pathway. Furthermore, **3b** is lower in energy than the  $\text{Au}_3^-(\text{O}_2)$  complex **3a**, which means the dioxide species is thermodynamically more stable. The binding of  $\text{H}_2\text{O}$  on  $\text{Au}_3\text{O}_2^-$  (TPSSh,  $-0.29$  eV, B3LYP,  $-0.26$  eV) is weaker than that on  $\text{Au}_2\text{O}_2^-$  (TPSSh,  $-0.47$  eV, TPSSh,  $-0.45$  eV) and  $\text{AuO}_2^-$  (TPSSh,  $-0.52$  eV, B3LYP,  $-0.49$  eV), but the participation of  $\text{H}_2\text{O}$  in the reaction can also significantly lower the activation barrier for the  $\text{O}_2$  splitting on  $\text{Au}_3^-$ . Indeed, the activation barrier is reduced from 2.65 eV with no water to 0.79 eV with water (TPSSh calculation). The actual dissociation barrier might be even lower because of some contribution from the favorable  $\text{O}_2$  binding. In addition, the  $\text{HO}_2$  intermediate (MS1) is the most stable along the reaction path, similar to the case with  $\text{Au}^-$  anion.

$\text{Au}_4\text{O}_2^-$ . The reaction pathway for the  $\text{O}_2$  splitting from the  $\text{Au}_4^-(\text{O}_2)$  complex to dissociative  $\text{O}-\text{Au}_4-\text{O}$  is shown in Figure 5. The activation barrier is 2.57 eV without  $\text{H}_2\text{O}$  and 1.05 eV with  $\text{H}_2\text{O}$  on the basis of the TPSSh calculation. Although the reaction pathway is very similar to that for  $\text{Au}^- - \text{Au}_3^-$  anions, there exists an obvious difference. The reaction

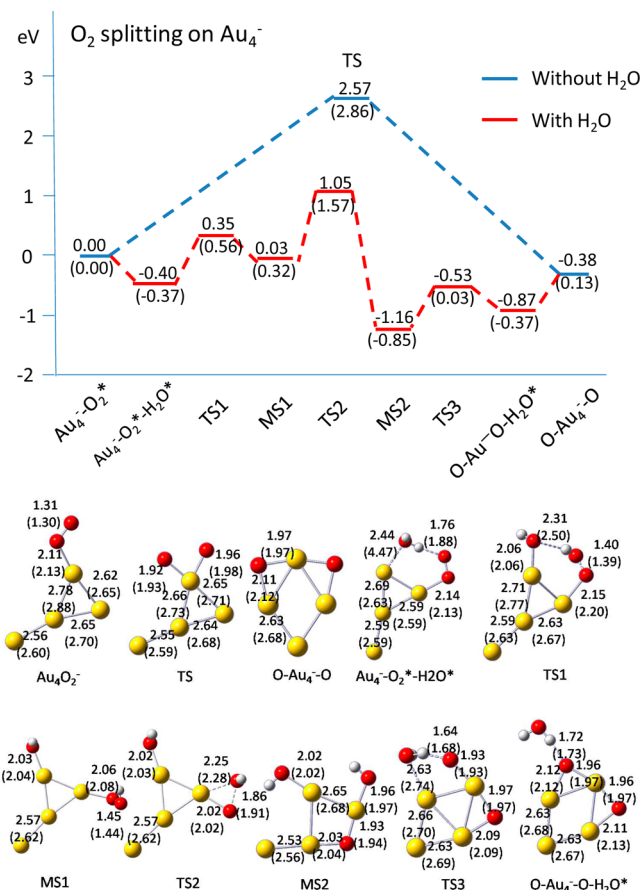


**Figure 4.** Calculated reaction pathway from the Au<sub>3</sub><sup>-</sup>(O<sub>2</sub>) complex to dissociative O-Au<sub>3</sub>-O using the TPSSh level of theory (B3LYP results in parentheses). The unit of bond length is the angstrom; an asterisk means on the binding state.

#### Scheme 2. Binding Energy of Three Low-Energy Au<sub>3</sub>O<sub>2</sub><sup>-</sup> Isomers

	3a	3b	3c
TPSSh	-0.22 eV	-0.69 eV	0.16 eV
B3LYP	-0.09 eV	-0.27 eV	0.52 eV
Spin	1	1	0

step from the HO<sub>2</sub> state (MS1 in Figure 5) to the dihydroxyl group state (MS2 in Figure 5) is exothermic for Au<sub>4</sub><sup>-</sup> but endothermic for Au<sup>-</sup>-Au<sub>3</sub><sup>-</sup>. The difference in energy change is likely due to a distinct geometric change of Au<sub>4</sub><sup>-</sup> (fluxional) during the reaction process, that is, the transformation of the dihydroxyl group state to the most stable state (MS2) in the reaction pathway for Au<sub>4</sub><sup>-</sup>. In addition, comparing to the final dioxide states O-Au<sub>n</sub>-O, the most stable intermediate state (MS2, the dihydroxyl group state for Au<sub>4</sub><sup>-</sup>) entails the largest energy difference for Au<sub>4</sub><sup>-</sup> (TPSSh: -0.78 eV), as opposed to

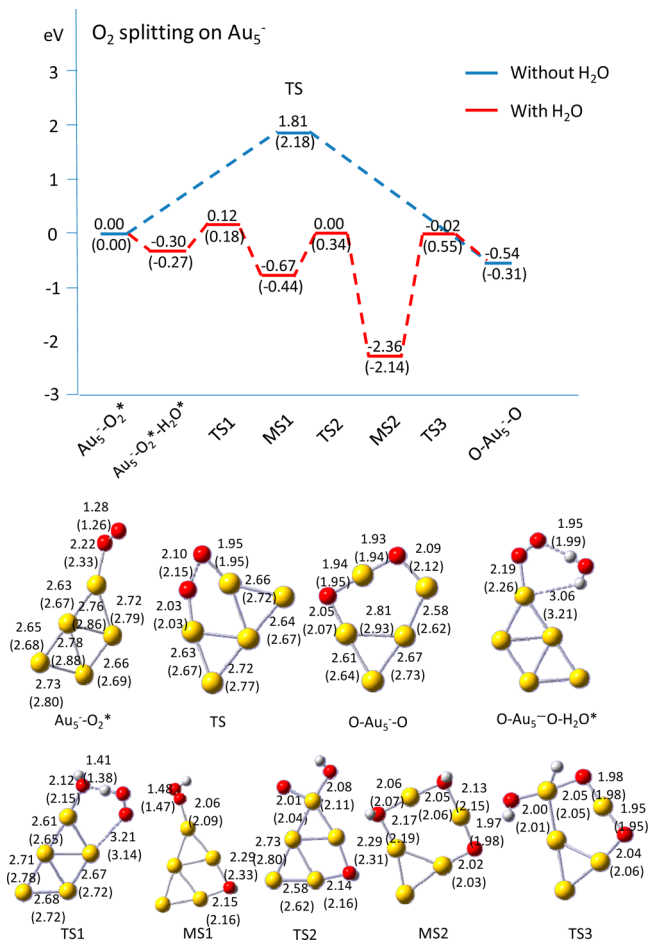


**Figure 5.** Calculated reaction pathway from the Au<sub>4</sub><sup>-</sup>(O<sub>2</sub>) complex to dissociative O-Au<sub>4</sub>-O using the TPSSh level of theory (B3LYP results in parentheses). The unit of bond length is the angstrom; an asterisk indicates the binding state.

smaller energy differences for Au<sup>-</sup> to Au<sub>3</sub><sup>-</sup> (TPSSh: -0.43 eV (Au<sup>-</sup>), -0.27 eV (Au<sub>2</sub><sup>-</sup>), -0.24 eV (Au<sub>3</sub><sup>-</sup>)).

Au<sub>5</sub>O<sub>2</sub><sup>-</sup>. Figure 6 displays the reaction pathway for the O<sub>2</sub> splitting from Au<sub>5</sub><sup>-</sup>(O<sub>2</sub>) complex to dissociative O-Au<sub>5</sub>-O. The dioxide isomer O-Au<sub>5</sub>-O is -0.54 eV (TPSSh result) with respect to the O<sub>2</sub> physisorbed isomer Au<sub>5</sub><sup>-</sup>(O<sub>2</sub>). The activation barrier is 0.12 eV (TPSSh calculation) with H<sub>2</sub>O, greatly lower than that without H<sub>2</sub>O (TPSSh: 1.81 eV). The significant distinction of this reaction pathway is the extraordinary stability of the dihydroxyl state (MS2), which is 2.36 eV lower in energy than the Au<sub>5</sub><sup>-</sup>(O<sub>2</sub>) complex. This stable intermediate indicates it is very likely to carry out partial oxidation channel if the reductant is introduced into the system.

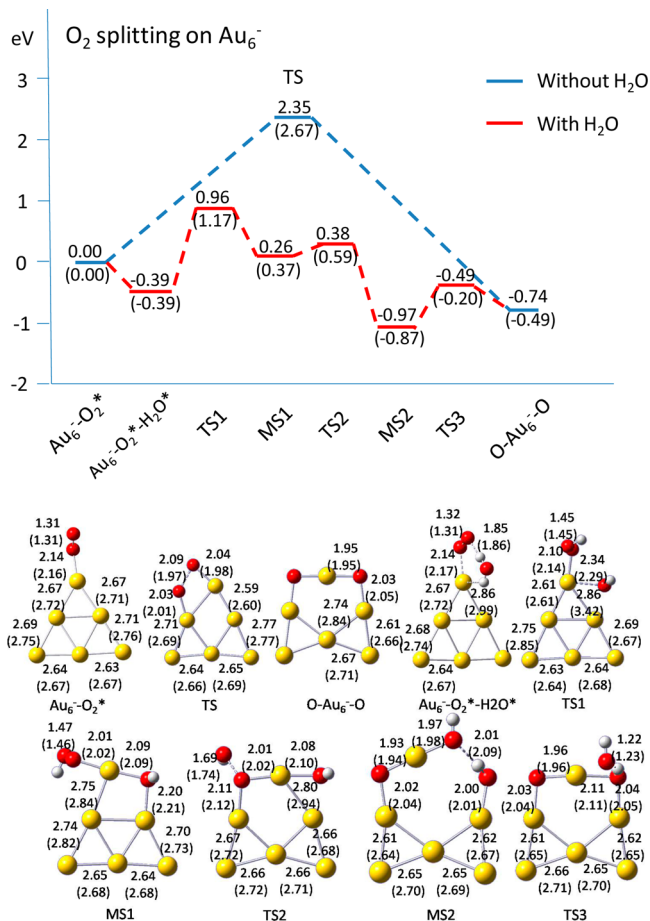
Au<sub>6</sub>O<sub>2</sub><sup>-</sup>. The global minimum of Au<sub>6</sub><sup>-</sup> is a planar triangle structure.<sup>57</sup> Our TPSSh calculation suggests that the dissociative state O-Au<sub>6</sub>-O is 0.74 eV lower in energy than the molecular adsorption state Au<sub>6</sub><sup>-</sup>(O<sub>2</sub>), consistent with previous calculations.<sup>33</sup> In Figure 7, we present the reaction pathway for the O<sub>2</sub> splitting from the Au<sub>6</sub><sup>-</sup>(O<sub>2</sub>) complex to the dissociative O-Au<sub>6</sub>-O. The activation barrier is 2.35 eV (TPSSh calculation), consistent with a previous calculation.<sup>33</sup> With the involvement of H<sub>2</sub>O, the activation barrier is lowered significantly (TPSSh, 0.96 eV; B3LYP, 1.17 eV). Again, the O<sub>2</sub> splitting on Au<sub>6</sub><sup>-</sup> might be even lower because of some contribution from the favorable O<sub>2</sub> binding, suggesting that this reaction is viable at ambient conditions.



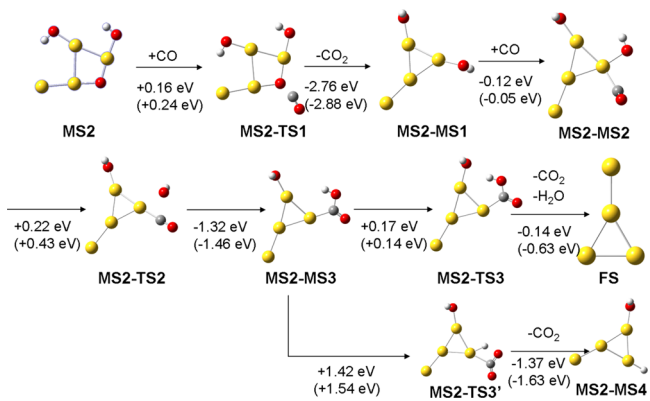
**Figure 6.** Calculated reaction pathway from the  $\text{Au}_5^-(\text{O}_2)$  complex to dissociative  $\text{O}-\text{Au}_5^--\text{O}$  using the TPSSh functional (B3LYP results in parentheses). The unit of bond length is the angstrom; an asterisk indicates attached.

**Chemistry Behind  $\text{O}_2$  Splitting with  $\text{H}_2\text{O}$ .** The bond energy of  $\text{O}-\text{O}$  and  $\text{O}=\text{O}$  is 1.52 and 5.16 eV,<sup>62</sup> respectively. Hence, direct splitting of the  $\text{O}=\text{O}$  double bond would require considerably more energy than the splitting of the  $\text{O}-\text{O}$  bond. When  $\text{H}_2\text{O}$  is introduced in the  $\text{O}_2$  splitting reaction, H could easily migrate from  $\text{H}_2\text{O}$  to  $\text{O}_2$  with the assistance of gold clusters to transform the  $\text{O}=\text{O}$  double bond to an  $\text{O}-\text{O}$  single bond. As a result, the subsequent step to split a  $\text{O}-\text{O}$  single bond would be much easier than to split an  $\text{O}=\text{O}$  double bond. This process has been shown above.

Furthermore, if one considers introduction of reductant (e.g., CO) into the reaction, the reductant CO would react with either the atomic O or the hydroxyl group to form  $\text{CO}_2$  and  $\text{H}_2\text{O}$  directly. Figure 8 displays an example in which CO is introduced into the  $\text{O}_2$ -splitting reaction on  $\text{Au}_4^-$ . As shown in Figure 5, MS2 is the most stable intermediate with one atomic O and 2 OH attaching to the  $\text{Au}_4^-$  cluster. When a CO attacks the atomic O atom, it yields a  $\text{CO}_2$  molecule with a low activation barrier (TPSSh, 0.16 eV; B3LYP, 0.24 eV). Next, the second CO attacks an OH group to form a carboxyl group (MS2-MS3), for which the activation energy barrier is also low (TPSSh, 0.22 eV; B3LYP, 0.43 eV). The carboxyl group can react with the remaining OH group to release another  $\text{CO}_2$  and one  $\text{H}_2\text{O}$ . The activation energy barrier (TPSSh, 0.17 eV; B3LYP, 0.14 eV) of this step is very low, as well. An alternative competing reaction pathway is that the carboxyl group may



**Figure 7.** Calculated reaction pathway from the  $\text{Au}_6^-(\text{O}_2)$  complex to dissociative  $\text{O}-\text{Au}_6^--\text{O}$  using the TPSSh level of theory (B3LYP results in parentheses). The unit of bond length is the angstrom; an asterisk indicates the binding state.



**Figure 8.** Calculated reaction pathways with a CO introduced in the MS2 stage (see Figure 5) and the MS2-MS1 stage for the  $\text{O}_2$  splitting with  $\text{H}_2\text{O}$  on  $\text{Au}_4^-$ , using the TPSSh level of theory (B3LYP results in parentheses).

split into H and  $\text{CO}_2$ , but the associated activation energy barrier is very high (TPSSh, 1.42 eV; B3LYP, 1.54 eV) and, thus, is unlikely to occur.

It should be noted that two possible roles for  $\text{H}_2\text{O}$  in CO oxidation have been proposed in previous experimental studies: (1) activation of oxygen and (2) decomposition of carbonate.<sup>40,63</sup> Here, our calculations support the notion of



activation of oxygen.<sup>63</sup> Our calculation also suggests that the OH group in the reaction intermediate can react with the carbonate group directly to form CO<sub>2</sub> and H<sub>2</sub>O, consistent with a previous experimental study.<sup>64</sup>

## CONCLUSION

We present a systematic theoretical study of O<sub>2</sub> dissociation on small-sized anionic gold clusters (Au<sub>n</sub><sup>−</sup>, *n* = 1–6). In most cases, the dissociative dioxide species are more stable than the O<sub>2</sub> molecular adsorption species on small-sized gold anionic clusters Au<sub>n</sub><sup>−</sup> (except *n* = 2). However, the more stable dissociative dioxide species cannot be realized at room temperature because of the very high activation barrier (>2.0 eV) for the O<sub>2</sub> splitting. In practice, a more viable way to achieve O<sub>2</sub> dissociation on small-sized anionic Au clusters is to involve water as a promoter. With the involvement of a H<sub>2</sub>O molecule, the O<sub>2</sub> dissociation barriers are significantly lowered. The activation barrier is reduced to 1.0 eV or lower, indicating that the reactions can proceed at room temperature. Once O<sub>2</sub> is dissociated, atomic oxygen is readily available for other reactions, such as the CO oxidation, on the surface of gold nanoclusters. This study thus points out an alternative way to exploit the catalytic capability of gold clusters for oxidation applications.

## ASSOCIATED CONTENT

### Supporting Information

Complete reference S6, O<sub>2</sub> binding energies with large basis sets, the complete reaction pathways with singlet and triplet states for Au<sup>−</sup>, Au<sub>3</sub><sup>−</sup> and Au<sub>5</sub><sup>−</sup>. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

## ACKNOWLEDGMENTS

Y.G. is supported by the startup funding from Shanghai Institute of Applied Physics, Chinese Academy of Sciences (Y290011011), and National Natural Science Foundation of China (21273268). X.C.Z. is supported by grants from the NSF (EPS-1010674) and ARL (W911NF1020099) and by the University of Nebraska's Holland Computing Center. Y.G. is grateful for the support from the Supercomputing Center of Chinese Academy of Sciences in Beijing, Shanghai Supercomputer Center, and National Supercomputing Center Shenzhen.

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