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1 Marked Improvement in Photoinduced Cell Death by a New Tris- 2 heteroleptic Complex with Dual Action: Singlet Oxygen Sensitization 3 and Ligand Dissociation

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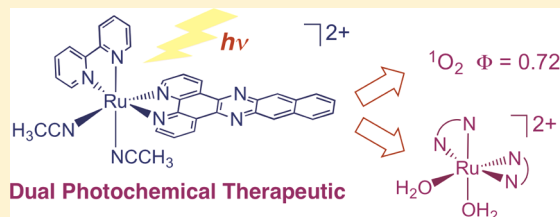
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9  Supporting Information

10 **ABSTRACT:** The new tris-heteroleptic complex $[\text{Ru}(\text{bpy})(\text{dppn})(\text{CH}_3\text{CN})_2]^{2+}$ (**3**, bpy = 2,2'-bipyridine, dppn = benzo[*i*]dipyrido[3,2-
11 *a*;2',3'-*c*]phenazine) was synthesized and characterized in an effort to
12 generate a molecule capable of both singlet oxygen ($^1\text{O}_2$) production
13 and ligand exchange upon irradiation. Such dual reactivity has the
14 potential to be useful for increasing the efficacy of photochemistry
15 drugs by acting via two different mechanisms simultaneously. The
16 photochemical properties and photoinduced cytotoxicity of **3** were
17 compared to those of $[\text{Ru}(\text{bpy})_2(\text{dppn})]^{2+}$ (**1**) and $[\text{Ru}(\text{bpy})_2(\text{CH}_3\text{CN})_2]^{2+}$ (**2**), since **1** sensitizes the production of $^1\text{O}_2$ and **2**
18 undergoes ligand exchange of the monodentate CH_3CN ligands with solvent when irradiated. The quantum yield of $^1\text{O}_2$
19 production was measured to be 0.72(2) for **3** in methanol, which is slightly lower than that of **1**, $\Phi = 0.88(2)$, in the same solvent
20 ($\lambda_{\text{irr}} = 460 \text{ nm}$). Complex **3** also undergoes photoinduced ligand exchange when irradiated in H_2O ($\lambda_{\text{irr}} = 400 \text{ nm}$), but with a
21 low quantum efficiency ($<1\%$). These results are explained by the low-lying ligand-centered $^3\pi\pi^*$ excited state of **3** localized on
22 the dppn ligand, thus decreasing the relative population of the higher energy ^3dd state; the latter is associated with ligand
23 dissociation. Cytotoxicity data with HeLa cells reveal that complex **3** exhibits a greater photocytotoxicity index, 1110, than does
24 either **1** and **2**, indicating that the dual-action complex is more photoactive toward cells in spite of its low ligand exchange
25 quantum yield.
26



27 ■ INTRODUCTION

28 Due to the drawbacks of many conventional chemotherapeutic
29 treatments, including poor selectivity for tumor tissue and drug
30 resistance, a wide variety of new drugs have been developed
31 with varying levels of success.^{1–7} Many of these treatments rely
32 on either direct damage to DNA or disruption of the redox
33 homeostasis of the tumor cell.^{1–9} One approach to circumvent
34 the drawbacks of the common current anticancer therapies is to
35 develop new strategies whereby an external source can be used
36 to activate the drug. The use of light for drug activation,
37 photochemistry (PCT), is invoked to induce cell death
38 only upon irradiation, which can be operative via a number of
39 mechanisms, including redox reactions, damage to biological
40 targets, or the production of a reactive species. An important
41 consideration for a successful PCT agent is for the molecule to
42 be nontoxic in the dark, such that it is only activated through
43 the absorption of light. PCT provides low systemic toxicity, low
44 levels of invasiveness, and increased selectivity, and in some
45 cases it is superior to conventional cancer therapies.^{10–13}

46 Research in the area of PCT that has demonstrated
47 promising results to date includes molecules that photosensitize
48 the production of singlet oxygen compounds ($^1\text{O}_2$, commonly
49 known as photodynamic therapy agents) that release drugs

when irradiated, and transition metal complexes that covalently
bind to DNA when photolyzed.^{14–19} Although compounds
approved for PCT and those currently undergoing clinical trials
are almost all organic molecules that produce $^1\text{O}_2$ upon
irradiation,¹⁴ inorganic complexes that possess ligands with
extended π -systems and long excited-state lifetimes have been
shown to sensitize $^1\text{O}_2$ with significantly greater efficiency than
those currently in use;^{20–23} these species include $[\text{Ru}(\text{bpy})_2(\text{dppn})]^{2+}$ (**1**; bpy = 2,2'-bipyridine, dppn = benzo[*i*]dipyrido-
[3,2-*a*;2',3'-*c*]phenazine), whose structure is schematically
depicted in Figure 1.²⁴ Upon irradiation with visible light,
complex **1** produces $^1\text{O}_2$ with quantum yield $\Phi = 0.88$ from a
long-lived dppn $^3\pi\pi^*$ excited state and efficiently photocleaves
DNA, but it is not reactive toward the DNA duplexes in the
dark. Moreover, complexes with extended π -systems have been
shown to exhibit strong intercalative binding to DNA.^{25–27}

In addition to intercalation, inorganic complexes are also able
to bind covalently to DNA by attachment of the metal center.
Such metal nucleobase coordination represents a key feature of
the mechanism of action of cisplatin, one of the current leading

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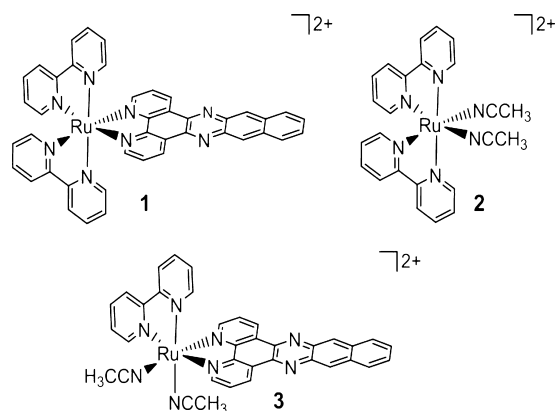


Figure 1. Schematic representation of the molecular structures of 1–3.

70 anticancer drugs.^{8,9} Transition metal complexes with photo-
71 labile ligands are able to covalently bind to DNA in a manner
72 similar to that of cisplatin, but only upon irradiation with visible
73 light. The requirement of the use of photons for their activation
74 results in increased spatiotemporal selectivity toward tumor
75 tissue relative to traditional drugs.^{14,15} Moreover, transition
76 metal complexes that are activated by light have been shown to
77 be less toxic in the dark and to exhibit a greater increase in
78 cytotoxicity upon irradiation than the organic compounds
79 currently approved for PCT.^{16,28–31} One such complex, *cis*-
80 $[\text{Ru}(\text{bpy})_2(\text{CH}_3\text{CN})_2]^{2+}$ (**2**, Figure 1), exhibits a relatively high
81 quantum yield for ligand exchange with water to yield
82 $[\text{Ru}(\text{bpy})_2(\text{H}_2\text{O})_2]^{2+}$ ($\Phi_{400} = 0.21$), a value that is significantly
83 greater than those found with related Ru(II) complexes.^{16,32,33}
84 Ultrafast experiments previously showed that **2** violates
85 Kasha's rule through simultaneous population of both its short-
86 lived ³MLCT state, $\tau = 51$ ps, and the ³LF (ligand-field) states;
87 the latter results in fast ligand exchange in water.²⁸ The high
88 quantum yields for exchange of the nitrile ligands in **2** and its
89 ability to simultaneously populate two different upon excitation
90 through ultrafast intersystem crossing (ISC), together with the
91 efficient sensitization of ¹O₂ by **1**, provide a platform for the
92 possible combination of the two features to generate a new
93 PCT agent that may simultaneously act via two different
94 mechanisms, the production of ¹O₂ and covalent binding to
95 DNA upon irradiation, while remaining inactive in the dark. To
96 this end, the tris-heteroleptic complex $[\text{Ru}(\text{bpy})(\text{dppn})-$
97 $(\text{CH}_3\text{CN})_2]^{2+}$ (**3**) was synthesized, and its photophysical
98 properties and phototoxicity were compared to those of **1**
99 and **2** (Figure 1).

100 ■ EXPERIMENTAL SECTION

101 **Materials.** Standard Schlenk-line techniques (N₂ atmosphere) were
102 used to maintain anaerobic conditions during the preparation of the
103 compounds. The solvents used were of reagent grade quality. Normal
104 butanol (*n*-BuOH, Mallinckrodt), water (ChromAR, Mallinckrodt),
105 and acetonitrile (EMD Chemicals) were used as received. The
106 reagents RuCl₃·3H₂O (Pressure Chemicals), 2,2'-bipyridine (Alfa
107 Aesar), potassium ferrioxalate (Strem Chemicals), 1,3-diphenyl-
108 isobenzofuran (DPBF, Sigma-Aldrich), and NH₄PF₆ (Sigma-Aldrich)
109 were purchased and used without further purification. The compounds
110 $[\text{Ru}(\text{bpy})_2(\text{dppn})][\text{PF}_6]_2$ (**1**),³⁴ *cis*- $[\text{Ru}(\text{bpy})_2(\text{NCCH}_3)_2][\text{PF}_6]_2$
111 (**2**),²⁴ $[(\eta^6\text{-C}_6\text{H}_6)\text{RuCl}(\text{bpy})][\text{Cl}]$,³⁵ $\text{Ru}(\text{bpy})(\text{DMSO})_2\text{Cl}_2$,³⁶ and
112 the dppn ligand³⁷ were prepared according to literature procedures.
113 $[\text{Ru}(\text{bpy})(\text{dppn})(\text{CH}_3\text{CN})_2][\text{PF}_6]_2$ (**3**). **Method 1.** An orange
114 suspension of $[(\eta^6\text{-C}_6\text{H}_6)\text{RuCl}(\text{bpy})][\text{Cl}]$ (201 mg, 0.49 mmol) and
115 dppn (165 mg, 0.50 mmol) in *n*-BuOH (15 mL) was refluxed for 14 h
116 under reduced light conditions. The solvent was then removed under

reduced pressure to give a dark purple-red solid residue which was
dissolved in CH₂Cl₂ (400 mL) to give a dark red solution. After
filtration, the solution was washed with water several times, and the
resulting dark purple organic layer was dried with anhydrous MgSO₄
and reduced to ca. 10 mL. A dark purple solid (*cis*-RuCl₂(bpy)(dppn))
was obtained upon precipitation with diethyl ether (25 mL). This
intermediate (28 mg, 42.5 μmol) was suspended in 3 mL of MeCN/
H₂O (2:1), and the suspension was heated at 100 °C for 3 h under
reduced light conditions. The resulting dark orange solution was
filtered while hot through a plug of glass wool, and NH₄PF₆ (110 mg)
dissolved in 1 mL of H₂O was added dropwise to the filtrate. The
resulting orange precipitate was collected by filtration, dissolved in 1.5
mL of hot MeCN, and precipitated by slow addition of hot H₂O. After
the mixture was stored in a freezer for 4 h, the orange precipitate was
collected by filtration and washed with H₂O (3 × 3 mL) and diethyl
ether (15 mL). Yield: 24 mg (5%). ¹H NMR (500 MHz, (CD₃)₂CO,
Supporting Information, Figure S1): δ 10.03 (dd, 1H, ³J = 5.5 Hz, ⁴J =
1.0 Hz, H-l), 9.91 (dd, 1H, ³J = 8.0 Hz, ⁴J = 1.0 Hz, H-j), 9.73 (d, 1H,
³J = 5.5 Hz, H-i), 9.60 (dd, 1H, ³J = 8.0 Hz, ⁴J = 1.5 Hz, H-c), 9.19 (s,
1H, H-d or H-i), 9.13 (s, 1H, H-i or H-d), 8.88 (d, 1H, ³J = 8.0 Hz, H-
4), 8.71 (d, 1H, ³J = 8.0 Hz, H-5), 8.50–8.45 (m, 2H, H-3, H-k), 8.41
(m, 2H, H-f; H-g), 8.33 (dd, 1H, ³J = 5.5 Hz, ⁴J = 1.0 Hz, H-a), 8.10–
8.03 (m, 2H, H-2, H-6), 8.01 (d, 1H, ³J = 5.5 Hz, H-8), 7.92 (dd, 1H,
³J = 8.0 Hz, 5.5 Hz, H-b), 7.78 (m, 2H, H-e, H-h), 7.32 (ddd, 1H, ³J =
7.5 Hz, 5.5 Hz, ⁴J = 1.0 Hz, H-7), 2.58 (s, 3H, NCCH₃), 2.41 (s, 3H,
NCCH₃). Anal. Calcd for C₃₆H₂₆F₁₂N₃P₂Ru·0.9 H₂O: C, 44.22; H,
2.87; N, 11.46. Found: C, 44.25; H, 2.92; N, 11.39.

Method 2. Ru(bpy)(DMSO)₂Cl₂ (51 mg, 0.11 mmol) and 1 equiv
of the dppn ligand (35 mg, 0.11 mmol) were suspended in 8 mL of
DMF and heated to reflux for 6 h. The reaction mixture was cooled to
room temperature, and the solvent was removed by rotary
evaporation, resulting in a dark black solid. The solid was suspended
in 50 mL of CH₂Cl₂ and collected by vacuum filtration. The dark solid
(*cis*-RuCl₂(bpy)(dppn)) was subsequently washed with a copious
amount of H₂O and then 30 mL of diethyl ether. This intermediate
(10 mg, 0.015 mmol) was suspended in a 12 mL CH₃CN:H₂O (1:1)
solvent mixture and heated to reflux in the dark for 16 h. While hot,
a saturated aqueous solution of NH₄PF₆ (5 mL) was added to the
resulting orange reaction mixture. Upon cooling, an orange precipitate
formed which was collected by vacuum filtration. The precipitate was
washed with 20 mL of H₂O and 20 mL of diethyl ether. Product
characterization results matched those of Method 1. Yield 4.4 mg
(4%).

Instrumentation. ¹H NMR spectra were recorded on a Varian 500
MHz spectrometer. Steady-state absorption spectra were recorded on a
Hewlett-Packard 8453 diode array spectrometer, and emission data
for ¹O₂ experiments were collected on a Horiba Fluoromax-4
spectrometer. Electrochemical measurements were carried out by
using an HCH electrochemical analyzer (model CH 1620A).
Nanosecond transient absorption was carried out using a home-built
instrument previously reported,³⁸ using a frequency-tripled (355 nm)
Spectra Physics GCR-150 Nd:YAG laser (fwhm ~8 ns) as the
excitation source. Femtosecond transient absorption experiments were
carried out using laser and detection systems that were previously
described.³⁹ The sample was excited at 300 nm (1.5 mW at the
sample) by the output of an optical parametric amplifier with a sum
frequency generator and ultraviolet–visible harmonics attachment.
Upon irradiation, samples were kept in motion by use of a Harrick
Scientific flow cell equipped with 1 mm CaF₂ windows (1 mm path
length). A total volume of ~10 mL was required for the flow cell to
operate correctly. The polarization angle between the pump and probe
beams was 54.7° to avoid rotational diffusion effects. Measurement at
each time delay was repeated four times, and the spectra were
corrected for the chirp in the white light probe continuum.⁴⁰ Ligand-
exchange quantum yields and photolysis experiments were performed
using a 150 W Xe short arc lamp (USHIO) in a Miliarc lamp housing
unit (PTI) powered by an LPS-220 power supply (PTI) equipped with
an LPS-221 igniter (PTI). Bandpass filters (Thorlabs, fwhm ~10 nm)
and 3 mm thick long-pass filters (CVI Melles Griot) were used to
attain desired excitation wavelengths.

Methods. ^1H NMR spectral studies were performed in acetone- d_6 ($(\text{CD}_3)_2\text{CO}$), and all chemical shifts (δ) are reported in parts per million (ppm) and internally referenced to the residual acetone peak (2.05 ppm). Emission experiments were measured using a $1 \times 1 \text{ cm}^2$ quartz cuvette. Cyclic voltammetric measurements were performed in CH_3CN (distilled from 3 Å molecular sieves) with 0.1 M tetra- n -butylammonium hexafluorophosphate, $[\text{nBu}_4\text{N}][\text{PF}_6]$, as the supporting electrolyte. The working electrode was a BAS Pt disk electrode, the reference electrode was Ag/AgCl (3 M KCl), and the auxiliary electrode was a Pt wire. The ferrocene/ferrocenium couple occurs at $E_{1/2} = +0.44 \text{ V}$ vs Ag/AgCl under the same experimental conditions. Elemental analyses were performed by Atlantic Microlab Inc. (Norcross, GA). The $^1\text{O}_2$ quantum yields for complex 3 were measured using $[\text{Ru}(\text{bpy})_3]^{2+}$ as the standard ($\Phi = 0.81$ in CH_3OH) and DPBF as a trapping agent, with 460 nm irradiation.⁴¹ The experiment was performed by absorption matching 3 and the standard at the irradiation wavelength (0.01 at 460 nm). The complexes were irradiated at regular time intervals in the presence of DPBF (1.0 μM), and the decrease in emission of DPBF was monitored as a function of time ($\lambda_{\text{ex}} = 405 \text{ nm}$, $\lambda_{\text{em}} = 479 \text{ nm}$). The DPBF emission intensity vs irradiation time was plotted, and the slopes of the standard and 3 were compared to give the $^1\text{O}_2$ quantum yield. Data points were collected for each complex until the slopes became nonlinear. The quantum yields for photoinduced ligand exchange in 2 and 3 were measured at an irradiation wavelength of 400 nm in H_2O using potassium ferrioxalate as the actinometer following an established procedure.⁴² The IC_{50} values were determined using the human cervical adenocarcinoma cell line (HeLa cells, ATCC CCL-2) cultured in Dulbecco's modified eagle medium (DMEM) supplemented with 10% fetal bovine serum (FBS) and 1% penicillin/streptomycin at 37 °C in a humid incubator with 5% CO_2 . Cells were seeded in 48-well plates (1.5×10^4 cells/well) and, after attachment, were exposed to the complexes 1–3 in DMEM/1% FCS during 24 h from 0 to 750 μM . Each well was then washed with phosphate-buffered saline (PBS 1 mM, pH 7.2), and fresh PBS was added to the wells. One plate was then irradiated for 20 min (LED system $466 \pm 20 \text{ nm}$; 6.50 mW/cm^2), while the other was kept in the dark during that time. After irradiation, PBS was replaced with DMEM/1% FCS, and the plates were kept in the incubator for an additional 48 h, at which time the MTT assay was conducted using methods described previously.⁴³ Cellular uptake studies were conducted using 12-well plates (1×10^5 HeLa cells per well). The plates were maintained in DMEM supplemented with 10% FCS and 1% penicillin/streptomycin in an incubator at 37 °C in a humid atmosphere with 5% CO_2 for 18–24 h. After washing with PBS, each well was filled with a 200 μM solution of complex in DMEM/1% FCS and incubated for 24 h in the dark. After that time, 500 μL of the supernatant was removed from each well for quantification, to which 500 μL of 50 mM SDS was added. The spare supernatant from each well was removed and discarded. The remaining cells were washed with PBS, followed by the addition of 500 μL of a 25 mM SDS solution to promote lysis of the cellular membrane. These solutions were used to quantify the ruthenium complex taken up by the cells, determining the absorbance at the wavelength of maximum absorption (Shimadzu UV-2401PC spectrophotometer) using the corresponding molar extinction coefficient in the lysed solutions, A_{lysed} , relative to that of the supernatant, $A_{\text{supernatant}}$, via the equation (% uptake) = $[(A_{\text{lysed}}/2)/(2A_{\text{supernatant}} + A_{\text{lysed}}/2)] \times 100$.⁴³

RESULTS AND DISCUSSION

Electronic Absorption Spectroscopy and Electrochemistry. The steady-state electronic absorption spectra of 1–3 in CH_3CN are provided in Figure 2a. The absorption spectrum of 1 exhibits dppn-based $^1\pi\pi^*$ transitions with maxima at 387 nm ($9900 \text{ M}^{-1} \text{ cm}^{-1}$) and 411 nm ($13\,400 \text{ M}^{-1} \text{ cm}^{-1}$) that are similar to those of the free dppn ligand in CHCl_3 observed at 390 nm ($9400 \text{ M}^{-1} \text{ cm}^{-1}$) and 414 nm ($12\,500 \text{ M}^{-1} \text{ cm}^{-1}$). These ligand-centered transitions are slightly blue-

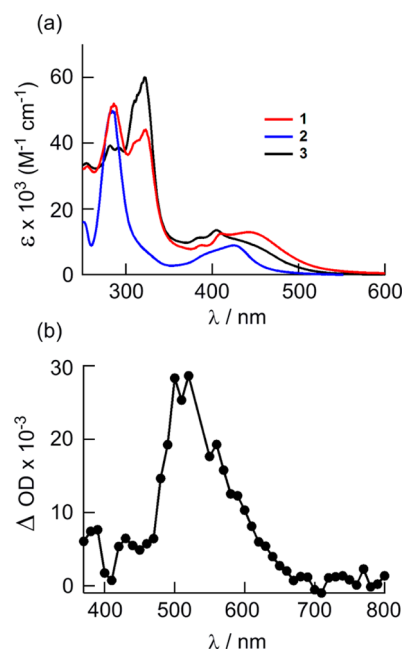


Figure 2. (a) Electronic absorption spectra of complexes 1–3 in CH_3CN . (b) Transient absorption spectrum of 3 in CH_3CN collected 0.2 μs after the excitation pulse ($\lambda_{\text{exc}} = 355 \text{ nm}$, fwhm $\sim 8 \text{ ns}$).

shifted and more intense in 3, with maxima at 382 nm ($11\,100 \text{ M}^{-1} \text{ cm}^{-1}$) and 405 nm ($13\,500 \text{ M}^{-1} \text{ cm}^{-1}$). The typical $^1\text{MLCT}$ bands arising from $\text{Ru}(\text{d}\pi) \rightarrow \text{L}(\pi^*)$ transitions are prominent in 1 and 3, centered at 444 nm ($13\,500 \text{ M}^{-1} \text{ cm}^{-1}$) and 430 nm ($11\,000 \text{ M}^{-1} \text{ cm}^{-1}$), respectively, and blue-shifted with maximum at 425 nm ($8900 \text{ M}^{-1} \text{ cm}^{-1}$) in 2.

Cyclic voltammetric measurements reveal that 2 and 3 exhibit a reversible metal-based oxidation event at $E_{1/2}([\text{Ru}]^{3+/2+}) = +1.74$ and $+1.69 \text{ V}$ vs NHE, respectively, both of which are more positive than the respective redox events in $[\text{Ru}(\text{bpy})_3]^{2+}$, $+1.54 \text{ V}$ vs NHE, and 1, $+1.58 \text{ V}$ vs NHE (Supporting Information, Figures S2 and S3, respectively).²⁰ This cathodic shift is ascribed to the greater π -backbonding afforded by the acetonitrile ligands in 2 and 3. Both complexes exhibit quasi-reversible redox events at negative potentials which involve reduction of the polypyridyl ligands. Compound 3 shows a characteristic dppn ligand-based reduction at $E_{1/2}([\text{Ru}]^{2+/+}) = -0.46 \text{ V}$ vs NHE, which occurs at less negative potentials than the bpy reduction in 1, $E_{1/2}([\text{Ru}]^{2+/+}) = -1.14 \text{ V}$ vs NHE, as has been noted in the literature for other Ru-dppn compounds.^{20,44}

Excited-State Properties. Nanosecond transient absorption spectra ($\lambda_{\text{exc}} = 355 \text{ nm}$, fwhm $\sim 8 \text{ ns}$) measured in deaerated CH_3CN reveal a strong absorption band at $\sim 540 \text{ nm}$ for 3 with $\tau = 20 \mu\text{s}$, shown in Figure 2b. Similar features are observed for 1 under the same experimental conditions and the free dppn ligand in CHCl_3 , with $\tau = 33 \mu\text{s}$ and $\tau = 18 \mu\text{s}$, respectively, and are assigned as the $^3\pi\pi^*$ excited state on the dppn ligand.²⁰ Therefore, the lowest energy excited state in 3 is the $^3\pi\pi^*$ state centered on the dppn ligand. In contrast, 2 exhibits a very short $^3\text{MLCT}$ lifetime of 51 ps at room temperature in CH_3CN owing to the competing ligand dissociation process and thermal depopulation of the $^3\text{MLCT}$ state through the ^3LF state(s), expected to lie at a slightly higher energy.²⁴ The different spectral profile and short lifetime

of the $^3\text{MLCT}$ state of **2** further support that the excited state of **3** is the low-lying dppn $^3\pi\pi^*$ state.²⁴

As previously reported, the $^3\text{MLCT}$ states of **1** and **2** are populated within the ~ 300 fs laser pulse (310 and 385 nm), as expected from the known fast ISC rates typical of Ru(II) complexes, and are vibrationally cooled within ~ 1 ps.²⁰ A point of interest is that the population of both the $^3\text{MLCT}$ and dppn-centered $^3\pi\pi^*$ states is observed in **1** and **3** within the excitation with an ultrafast laser pulse (~ 300 fs, 300–355 nm). Previously reported ultrafast transient absorption spectra of **1** in CH_3CN are consistent with the formation of a vibrationally cooled dppn $^3\pi\pi^*$ state with $\tau \approx 2$ ps.²⁰ In that case, the population of the $^3\text{MLCT}$ state is observed at $t < 5$ ps but is relatively small, and it is not clear whether the $^3\text{MLCT}$ state decays back to the ground state or to the dppn $^3\pi\pi^*$ state. Figure 3a shows the

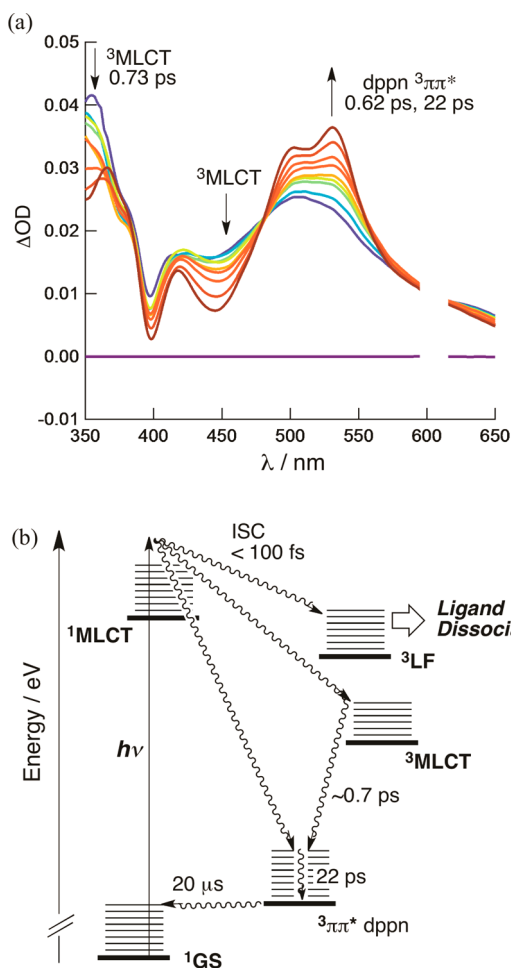


Figure 3. (a) Transient absorption spectra of **3** in CH_3CN collected at 0.1, 0.5, 1, 2, 3, 5, 10, 20, and 40 ps following the excitation pulse ($\lambda_{\text{exc}} = 300$ nm, fwhm ~ 300 fs). (b) Jablonski diagram for the excited-state dynamics of **3** in CH_3CN .

presence of a significantly greater relative population of the $^3\text{MLCT}$ state in **3** as compared to **1** ($\lambda_{\text{exc}} = 300$ nm, fwhm ~ 300 fs), evident at 350–365 nm and in the 430–470 nm range. The sharp ground-state absorption features of the $^1\pi\pi^*$ transitions of the dppn at ~ 400 nm are superimposed as bleach signals on the positive transient absorption spectrum, which resemble the spectra reported for **1** (Figure 3a).

The $^3\text{MLCT}$ signal at 365 nm can be fitted to a monoexponential decay with $\tau = 720$ fs, while the rise time of the $^3\pi\pi^*$ peak at 540 nm follows a biexponential growth, with $\tau_1 = 630$ fs and $\tau_2 = 22$ ps (Figure 3). Given the similarity of the fast time constant, the growth of the signal at 540 nm at early times is believed to arise from internal conversion (IC) from the $^3\text{MLCT}$ to the $^3\pi\pi^*$ state. The sharpening of the 540 nm signal occurs with a time constant of 22 ps, attributed to vibrational cooling. The excited-state dynamics of **3** in CH_3CN are schematically depicted in the Jablonski diagram shown in Figure 3b. Ligand dissociation likely proceeds through direct population of the ^3LF (ligand field) states from the Franck–Condon state (Figure 3b) but is not observed under the present experimental conditions because of the low quantum yield for this process.

The difference in the relative initial populations of the $^3\text{MLCT}$ and $^3\pi\pi^*$ states in **1** and **3** can be explained by higher energy $^1\text{MLCT}$ and $^3\text{MLCT}$ states in **3** as compared to **1**, while the $^3\pi\pi^*$ state in both complexes is expected to remain constant. The greater $^1\text{MLCT}$ – $^3\pi\pi^*$ energy gap in **3** results in a slower ISC $^1\text{MLCT} \rightarrow ^3\pi\pi^*$ rate than in **1**, while the $^1\text{MLCT}$ – $^3\text{MLCT}$ rate constant is expected to be similar in the two compounds. The slower $^1\text{MLCT} \rightarrow ^3\pi\pi^*$ rate results in a greater relative population of the $^3\text{MLCT}$ vs $^3\pi\pi^*$ state in **3** versus **1**.

Photosensitization of $^1\text{O}_2$ and Photoinduced Ligand Exchange.

The changes in the electronic absorption spectrum of **3** in H_2O as a function of irradiation time are shown in Figure 4. A red shift is observed in the spectrum at early times,

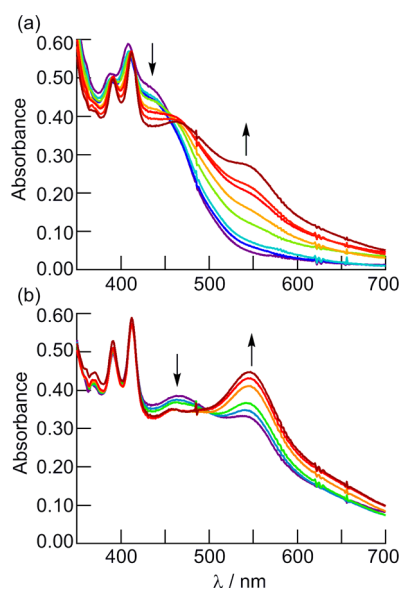


Figure 4. Changes in the electronic absorption spectrum of **3** ($20 \mu\text{M}$) in H_2O as a function of irradiation time, collected at (a) 0, 1, 2, 5, 10, 15, 20, and 30 min and (b) 40, 50, 60, 100, 120, and 210 min ($\lambda_{\text{irr}} = 400$ nm).

with the appearance of new features with maxima at ~ 470 and ~ 540 nm (Figure 4). Over a longer photolysis period, the ~ 470 nm peak begins to decrease in intensity, with concomitant growth of a band with a maximum at 547 nm. Overall, a final shift in the MLCT absorption maximum from 430 to 547 nm is observed; the latter is consistent with the formation of the product $[\text{Ru}(\text{bpy})(\text{dppn})(\text{H}_2\text{O})_2]^{2+}$. This shift in energy (4737

Table 1. Toxicity Data in the Dark and upon Irradiation for 1–3

complex	RA ^a	IC ₅₀ ^{dark} /μM ^b	IC ₅₀ ^{irr} /μM ^b	PI ^c	PI _{cor} ^d
1	1	110 ± 28	0.39 ± 0.06	282 ± 69	282 ± 69
2	0.17	244 ± 23	223 ± 94	1.1 ± 0.4	6.4 ± 2.3
3	0.64	334 ± 74	0.47 ± 0.02	711 ± 132	1110 ± 206

^aMolar absorptivity relative to that of 1 at the irradiation wavelength for phototoxicity studies (466 nm). ^bIC₅₀ represents the concentration required to attain 50% cell death; IC₅₀^{irr} value determined by irradiating the cell culture with a 466 ± 20 nm LED for 20 min and then incubating for 48 h; errors determined from two or three experimental trials. ^cPhototoxicity index: PI = IC₅₀^{dark}/IC₅₀^{irr}. ^dCorrected PI value: PI_{cor} = PI/RA.

cm⁻¹) upon forming the bis-aqua species is similar to that in 2 (3121 cm⁻¹) and other related Ru(II) complexes, in which two CH₃CN ligands are replaced by two water molecules.^{24,45} No changes in the electronic absorption spectrum of 3 are observed when the complex is stored in the dark in water under similar experimental conditions (Supporting Information, Figures S5 and S6).

The quantum yield for the first ligand exchange, Φ_{ex} for 3 in H₂O to form *cis*-[Ru(bpy)(dppn)(CH₃CN)(H₂O)]²⁺ was measured to be 0.002(3) with λ_{irr} = 400 nm, a value that is 2 orders of magnitude lower than that measured for 2 to form [Ru(bpy)₂(CH₃CN)(H₂O)]²⁺, Φ_{ex} = 0.21, under similar irradiation conditions.^{16c} Population of the dissociative ³LF state(s) with Ru–CH₃CN(σ* character), either directly from the ¹MLCT state or due to thermal population from the ³MLCT state, is required for ligand dissociation to take place (Figure 3b).^{46–49} The low-lying ³ππ* state in 3, which is not present in 2, results in fast ³MLCT–³LF IC (τ ≈ 0.7 ps), such that thermal population of the higher-lying ³LF state from the ³MLCT does not favorably compete with IC. In addition, ISC from the ¹MLCT state in 3 is partitioned between the three available triplet states, ³LF, ³MLCT, and ³ππ* (Figure 3b), instead of only two states in 2, ³LF and ³MLCT. The presence of an additional low-lying ³ππ* state reduces the population of the ³LF state and, therefore, the quantum yield of ligand dissociation.

The long lifetime of the ³ππ* excited state of 3 is expected to result in the sensitization of ¹O₂. The quantum yield for the generation of ¹O₂, Φ_Δ, by 3 was measured to be 0.72(2) (λ_{irr} = 460 nm) using 1,3-diphenylisobenzofuran (DPBF) as a trapping agent and [Ru(bpy)₃]²⁺ as a standard (Φ_Δ = 0.81) in methanol (Supporting Information, Figure S4). This value is slightly lower than that previously reported for 1, Φ_Δ = 0.88(2) in the same solvent,²⁰ which may be due to the competing photoinduced ligand-exchange process.

Cytotoxicity. Table 1 lists cytotoxicity and phototoxicity data for 1–3 toward HeLa cancer cells, the relative molar absorptivity (RA) of each complex at the irradiation wavelength (466 nm), and the phototoxicity index (PI). It is evident from Table 1 that 3 is the least toxic complex when incubated in the dark for 48 h, with half-maximal inhibitory concentration, IC₅₀^{dark}, of 334 μM, followed by 2 (IC₅₀^{dark} = 244 μM) and then 1 (IC₅₀^{dark} = 110 μM). It should be noted that the phototoxicity enhancement of 2 toward HeLa cells under the present experimental conditions is modest (Table 1). A similar result was published recently using the PC3 cell line for the same complex.⁵⁰ In contrast, both 1 and 3 exhibit enhanced cytotoxicities upon irradiation with visible light (466 ± 20 nm), followed by incubation for 48 h in the dark, resulting in IC₅₀^{irr} values of 390 and 470 nM, respectively. Although the photocytotoxicity of 3 is slightly lower than that of 1, the important factor in PCT is the relative toxicity when the complex is kept in the dark versus when it is irradiated, given by

PI = IC₅₀^{dark}/IC₅₀^{irr}. The PI value for 3 is 2.5-fold greater than that for 1 and represents the effective PCT activity of the complex.⁵¹ The PI values for complexes 1 and 3 are 282 and 711, respectively, but 1 exhibits a greater absorption of the excitation wavelength, which is reported as the RA value in Table 1 (RA = relative molar extinction coefficient at 466 nm). It should be noted that the percent cellular uptake values of 1 and 3 were measured to be 5 ± 2% and 6 ± 2%, respectively, while that for 1 was 0.76 ± 0.03%. Given the similarity in hydrophobicity, overall charge, size, shape, and molecular structures of 1 and 3, the fact that their cellular uptake is nearly identical is expected and does not account for the difference in PI values measured for the complexes. The PI values corrected for difference in absorption at 466 nm, PI_{cor}, result in even greater phototoxicity of 3 relative to that of 1. This result is unexpected, since 1 is able to generate ¹O₂ in greater yields than 3, but complex 3 may be able to induce DNA cross-links, or it may bind to proteins or other biomolecules in the cell following photoinduced ligand exchange. This additional mode of action to ¹O₂ production may result in the enhanced phototoxicity of 3, with PI_{cor} = 1110 ± 206.

CONCLUSIONS

In order to circumvent the drawbacks of current chemotherapeutic treatments and improve upon current PCT agents, complex 3 was synthesized and characterized to function as a multimodal PCT complex capable of producing ¹O₂ and to undergo ligand exchange to potentially covalently bind DNA and other biomolecules upon irradiation. The photophysical properties of the new complex were compared to those of 1 and 2, which have been established to undergo efficient ¹O₂ production and ligand exchange when irradiated, respectively. Under analogous conditions, complex 3 produces ¹O₂ slightly less efficiently than 1, and photoinduced ligand exchange occurs in 3 to a much lesser extent than in 2. It appears, however, that 3 may be a more useful PCT agent since its corrected phototoxicity index, PI_{cor}, is nearly 3 times greater than that of 1. Future work includes designing complexes that improve upon the dual efficiency of ¹O₂ production and ligand exchange, as well as an investigation aimed at gaining further understanding of the mechanism of cell death.

ASSOCIATED CONTENT

Supporting Information

¹H NMR data, cyclic voltammetry, singlet oxygen quantum yield data, complete photolysis data, and dark stability. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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453 The authors declare no competing financial interest.

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