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Electronic Spectra and Configuration Interaction of  $\text{Tm}^{3+}$  in  $\text{TmCl}_6^{3-}$ Michèle D. Faucher,<sup>†</sup> Peter A. Tanner,<sup>\*,‡</sup> and Chris S. K. Mak<sup>‡</sup>

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Low-temperature electronic absorption and emission data are reported for  $\text{Tm}^{3+}$  at the octahedral site in crystals of  $\text{Cs}_2\text{NaTmCl}_6$ . Thirty-seven crystal field levels (total degeneracy 88) of the  $f^{12}$  configuration (total degeneracy 91) have been assigned, and in several cases the levels are split due to electron–phonon coupling interactions. The fitting of the energy levels, using the conventional  $f^{12}$  analysis with 12 variable parameters, gives a mean deviation of  $53.3\text{ cm}^{-1}$ . This is reduced to  $9.3\text{ cm}^{-1}$  by including the  $4f^{12}np^6/4f^{13}np^5$  configuration interaction, using 16 variable parameters. The results indicate a tendency for the early members in the series of  $\text{Ln}^{3+}$  ions to interact with the p-electron, and the later members with the p-hole, configurations, following the redox properties of the ions. The interacting configuration is charge-transfer ( $n = 3$ ) rather than metal ion ( $n = 5$ ), and the mixing of ligand wave functions with those of the metal ion may be responsible for the unusually strong electron–phonon coupling identified for several electronic states of  $\text{Tm}^{3+}$  in  $\text{TmCl}_6^{3-}$ .

## Introduction

The energy levels of lanthanide ions in the crystalline hexachloroelpasolite system<sup>1</sup> are simpler than for  $\text{Ln}^{3+}$  diluted into other crystals because the octahedral site symmetry gives rise to high degeneracies of electronic levels. Several systematic energy level parametrizations have been carried out for the entire series of lanthanide ions with general overall success, but with some notable discrepancies.<sup>2–4</sup> Although the  $SL$ -term-dependence of the crystal field parameters has been recognized for some time, attempts to explain “anomalous” multiplet splittings using two-electron operators have not proved to be conclusive.<sup>5,6</sup> More recent parametrizations have utilized larger datasets, including energy levels deduced from two-photon spectroscopy. Evidence was reported for electron correlation induced by the crystal field,<sup>7</sup> yet until recently the comparisons of experimental versus calculated energy level listings have been those from calculations involving one-electron crystal field operators.<sup>8–12</sup> A recent paper by Thorne et al.<sup>13</sup> shows that improvement can be obtained by adding the spin-correlated crystal field to the normal crystal field. Some discrepancies remain, however, and a detailed account of correlation crystal field analysis for  $\text{Cs}_2\text{NaTbBr}_6$  is given in ref 14. From our previous studies, we have found that the inclusion of  $4fmp^1$  configuration interaction into the parametrization of the  $4f^2$  energy level scheme decreases the mean deviation of the fit using the single configuration  $4f^2$  alone by a factor of 2.9 (i.e., from  $32.7$  to  $11.6\text{ cm}^{-1}$ ).<sup>15a</sup> The interaction is most marked for the  $^1G_4$  and  $^1D_2$  multiplets of  $\text{Pr}^{3+}$ , since large crystal field off-diagonal matrix elements between these terms and certain singlet terms of the  $4fmp^1$  configuration perturb the crystal field levels of these  $4f^2$  multiplet terms. Using the same method, for another elpasolite compound  $\text{Cs}_2\text{NaErCl}_6$ , the mean deviation for 75 levels (with a total degeneracy of 130) was reduced from  $21.4$  to  $10.5\text{ cm}^{-1}$ .<sup>15b</sup>

The objective of the present study is to see if the interaction with excited configurations is equally important for the  $f^{12}$  ion  $\text{Tm}^{3+}$ . Crude point charge calculations predict a decrease in crystalline field across the lanthanide series.<sup>2</sup> In the cubic elpasolites  $\text{Cs}_2\text{NaLnCl}_6$ , the lattice parameters are 1091 and 1069 pm for  $\text{Ln} = \text{Pr}$  and  $\text{Ln} = \text{Tm}$ , respectively,<sup>16</sup> so that the  $\text{Tm}-\text{Cl}$  distance is shorter. However the radial integrals  $\langle r^k \rangle$  are smaller for  $\text{Ln} = \text{Tm}$  so that overall the crystal field experienced by  $\text{Tm}^{3+}$  is weaker. Slater parameters are expected to scale linearly with atomic number, so that the effects of higher metal ion configurations may be less important in perturbing  $4f^{12}$  levels than  $4f^2$  levels. However, the nature of the interacting configuration  $mp^M$  has not been clear in previous cases because its energy seems to be anomalously low for metal-centered configurations.<sup>15a,b,17</sup> Evidence from the energy level datafit of  $\text{Er}^{3+}$  strongly suggests that the equiparity interacting configuration is a charge-transfer configuration.<sup>15b</sup>

Several previous studies have been concerned with the electronic spectra of  $\text{Tm}^{3+}$  in octahedral symmetry. The absorption and magnetic circular dichroism spectra were reported by Schwartz et al.,<sup>18</sup> who assigned 12 crystal field levels. Subsequent reports of the absorption and emission spectra<sup>19,20</sup> were not in agreement and remained unresolved.<sup>21</sup> An alternative relativistic calculation approach was equally unsatisfactory.<sup>22</sup> One of the problematic terms was the electronic ground state,  $^3H_6$ , where an apparent discrepancy between experiment and calculation of ca.  $100\text{ cm}^{-1}$  existed for the  $\Gamma_2$  ( $A_{2g}$ ) crystal field level. (Since all of the electronic levels of the  $f^{12}$  configuration are of even parity, we omit the crystal field level irrep label  $g$  throughout). Amberger et al.<sup>23</sup> had previously commented on the unusual behavior in the electronic Raman spectrum of  $\text{Cs}_2\text{NaTmCl}_6$ , and this was subsequently reinvestigated by Tanner et al.<sup>24</sup> Two energy levels (at 108 and  $148\text{ cm}^{-1}$  at 10 K) were found to be derived from the  $^3H_6\Gamma_5$  ( $T_{2g}$ ) crystal field level, through the electron–phonon coupling interaction of the vibronic level  $^3H_6\Gamma_1 + \nu_5(\tau_{2g})$  and the electronic level  $^3H_6\Gamma_5$ . From the observation of a hot electronic Raman transition, the  $\Gamma_2$  ( $A_{2g}$ ) level was reassigned near the

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calculated energy.<sup>24</sup> A closer agreement with calculation was then found when the estimated unperturbed  $^3\text{H}_6\text{A}_5$  energy was utilized in the energy level parametrization.<sup>4</sup> Further evidence for electron–phonon coupling of the  $^3\text{H}_6\text{A}_5$  level has recently been put forward from a high pressure study.<sup>25</sup> However, these phenomena were not considered in the two-photon study of the energy levels between 27 000 and 36 000  $\text{cm}^{-1}$ ,<sup>13</sup> where the  $^3\text{H}_6\text{A}_5$  level was assigned at 148  $\text{cm}^{-1}$ . Assignments were not forthcoming for some spectral features, which led to some differences in the proposed energy level scheme from that in the present study. From the  $^3\text{P}_2$  emission spectrum of  $\text{TmCl}_6^{3-}$ ,<sup>26</sup> an apparent “doubling” of a certain crystal field level (in this case, in the excited  $^3\text{H}_4$  term of  $\text{Tm}^{3+}$ ) has also been found. Again, the perturbation arises from the coupling of an electronic level with an even-parity vibronic level, and the estimated unperturbed energy level lies much closer to the calculated position.

After a brief review of experimental details and the requisite structural and vibrational data, the results from the reinvestigation and extension of the emission and absorption spectral data are analyzed. A critical comparison with results from two-photon spectra is then made. Finally, the energy level dataset is compared with the results of calculations using the  $4f^{12}$  model alone and with those involving interaction with excited configurations. We have also made investigations of the electronic spectra of  $\text{Cs}_2\text{LiTmCl}_6$  and  $\text{Cs}_2\text{LiYCl}_6\text{:Tm}$ . The vibrational behaviors of these systems differ from that of  $\text{Cs}_2\text{NaTmCl}_6$ , leading to differences in the vibronic sidebands of the electronic spectra. The results served to confirm the assignments of the electronic energy levels, but we do not present them since the spectra were generally not of such high quality.

### Experimental, Structural, and Vibrational Data

From inelastic neutron scattering measurements, Knudsen et al.<sup>27</sup> found that  $\text{Cs}_2\text{NaTmCl}_6$  retains the cubic  $Fm\bar{3}m$  structure between room temperature and 10 K. Evidence for a small tetragonal distortion in  $\text{Cs}_2\text{NaTmCl}_6$  was reported<sup>28</sup> from enhanced  $^{169}\text{Tm}$  nuclear magnetic resonance measurements at 4.2 K. This distortion evidently results in the splitting of the  $^3\text{H}_6\Gamma_4$  level, assigned at 58  $\text{cm}^{-1}$ ,<sup>28</sup> by 1 or 2  $\text{cm}^{-1}$ . Our measurements were carried out at 10 K or above, so that the cubic structure is preserved.

$\text{Cs}_2\text{NaTmCl}_6$  was prepared as polycrystalline material by Morss method E,<sup>1,19</sup> but HCl gas was passed over the powders of  $\text{Cs}_2\text{LiTmCl}_6$ ,  $\text{Cs}_2\text{LiYCl}_6\text{:Tm}$  at 420 °C for 3 days prior to passage through the Bridgman furnace. (Unpublished) absorption spectra were recorded some years ago, between 1992 and 1995, using a Biorad FTS-60A spectrometer between 300 and 10 K, at a resolution of 2  $\text{cm}^{-1}$  in the region from 4000 to 39 000  $\text{cm}^{-1}$ , using quartz–halogen, xenon, and deuterium lamps. In 2001, the region above 25 000  $\text{cm}^{-1}$  was reinvestigated using deuterium and xenon lamp sources, an Acton 0.5 m monochromator with an 1800  $\text{g mm}^{-1}$  grating blazed at 250 nm, and a back-illuminated SpectruMM CCD detector. The latter spectra were not background corrected. The sample was housed in an Oxford Instruments closed cycle cryostat, with base temperature 10 K. The spectral energies were converted to vacuum wavenumbers. The absolute calibrations in the ultraviolet region were found to vary by ca.  $\pm 5 \text{ cm}^{-1}$  for our spectra recorded at different times, presumably due to the refractive index assumption when converting to vacuum wavenumbers. Otherwise, the electronic level energies deduced from absorption spectra are generally accurate to  $\pm 2 \text{ cm}^{-1}$  when zero phonon lines are directly observed, or  $< \pm 4 \text{ cm}^{-1}$  when inferred from vibronic structure.

TABLE 1: Vibrational Data for  $\text{Cs}_2\text{NaTmCl}_6$  at 10–20 K

normal mode of $\text{TmCl}_6^{3-}$ <sup>a</sup>	unit cell group mode <sup>a,b</sup>	Raman spectrum ( $\text{cm}^{-1}$ )	components in vibronic spectra ( $\text{cm}^{-1}$ )
$\nu_1$ , $\alpha_{1g}$ , Tm–Cl sym str	$S_1$	296	
$\nu_2$ , $\epsilon_g$ , Tm–Cl sym str	$S_2$	237	
	$S_3$ $\tau_{1g}$ rot.		
	$S_5$ $\text{Cs}^+$ str	47	47
$\nu_3$ , $\tau_{1u}$ , Tm–Cl asym str	$S_6$		245, 260, 288
$\nu_4$ , $\tau_{1u}$ , Cl–Tm–Cl b	$S_7$		111, 133
$\nu_5$ , $\tau_{2g}$ , Cl–Tm–Cl b	$S_4$	130 <sup>c</sup>	
	$S_8$ $\text{Na}^+$ str		182
	$S_9$ $\text{Cs}^+$ transl		60, 68
$\nu_6$ , $\tau_{2u}$ , Cl–Tm–Cl b	$S_{10}$		78, 88

<sup>a</sup> Key: sym, symmetric; str, stretch; asym, antisymmetric; b, bend; rot., rotatory mode; trans, translatory mode. <sup>b</sup> Reference 29. <sup>c</sup> At 300 K.

Low-temperature emission spectra of  $\text{Cs}_2\text{NaTmCl}_6$  were recorded at the University of Hong Kong and the equipment setup has previously been described.<sup>26</sup>

Vibrational data for  $\text{Cs}_2\text{NaTmCl}_6$  are available for the gerade modes from low-temperature Raman spectra,<sup>24</sup> and for the ungerade modes from the vibronic sidebands of the optical spectra at low temperature.<sup>19</sup> The latter spectra show dispersion and transverse-longitudinal mode splittings so that multiple structure is observed for each internal moiety mode. Table 1 summarizes this and includes the energies of the unit cell group modes due to  $\text{Na}^+$  and  $\text{Cs}^+$  motions also. Also presented are the moiety-mode and unit cell group notations for the vibrations which are employed in this work. The derived energy levels of  $\text{Cs}_2\text{NaTmCl}_6$  are listed in the expt column in Table 2, and the rationale for assignments is now discussed.

### Results and Discussion

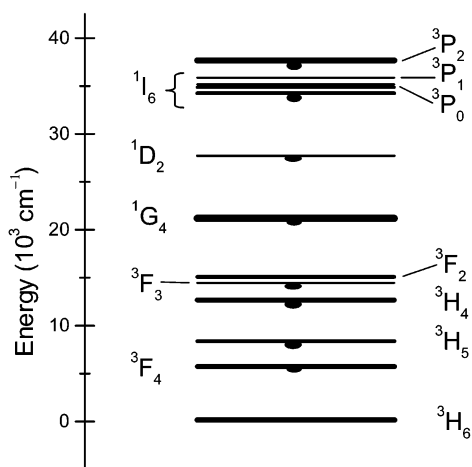
The electronic ground state of  $\text{Tm}^{3+}$  in  $\text{Cs}_2\text{NaTmCl}_6$  is  $^3\text{H}_6\Gamma_1$ . An overview of the energy levels of this system is provided in Figure 1. The one-photon absorption spectra from this initial state are characterized by electric-hexadecapole ( $\Gamma_1 - \Gamma_1$ ), electric-quadrupole ( $\Gamma_1 - \Gamma_3$  or  $\Gamma_1 - \Gamma_5$ ), or magnetic-dipole allowed ( $\Gamma_1 - \Gamma_4$ ) zero phonon lines, with associated vibronic sidebands. The hexadecapole and electric-quadrupole electronic origins are generally indistinguishable from very weak, sharp, coincident electric-dipole allowed bands arising from  $\text{Tm}^{3+}$  ions situated at defect sites. Accordingly, the oscillator strengths of the  $^3\text{H}_6\Gamma_1 \rightarrow ^3\text{F}_4\Gamma_5$ ,  $^3\text{H}_4\Gamma_5\Gamma_3$ ,  $^1\text{G}_4\Gamma_5$  electric-quadrupole allowed transitions were all measured to be at least 1 order of magnitude greater than the calculated oscillator strengths.<sup>30</sup> The magnetic dipole (MD) zero phonon lines are intense in some cases, particularly for the  $\Delta J = 1$ ,  $\Delta L = 1$ ,  $\Delta S = 0$  transition:  $^3\text{H}_6 \rightarrow ^3\text{H}_5$ . Calculated magnetic dipole (MD) oscillator strengths for the eight  $^3\text{H}_6\Gamma_1 \rightarrow \Gamma_4$  transitions were in reasonable agreement with experiment, except that the calculated values for two most intense transitions (to terminal  $\Gamma_4$  levels of  $^3\text{H}_5$  and  $^1\text{I}_6$ ) were several times greater than experimental values, and this was attributed to saturation effects, also observed previously in the spectra of  $\text{Yb}^{3+}$ .<sup>31</sup> The MD oscillator strength of  $^3\text{H}_6\Gamma_1 \rightarrow ^3\text{P}_1\Gamma_4$  was calculated (using the eigenvectors from the  $f^{12}$  calculation) about 2 orders weaker than that measured from the assigned feature. However, the calculated value increased by a factor of 20 when the  $f^{13}\text{np}^5$  eigenvectors were employed, showing the sensitivity of this oscillator strength to the eigenvector composition.

The selection rules operative for the vibronic sidebands produce unique structures for  $\Gamma_1 \rightarrow \Gamma_1$  transitions (where only

**TABLE 2: Experimental and Calculated Energy Levels of  $\text{Tm}^{3+}$  in  $\text{Cs}_2\text{NaTmCl}_6$ <sup>a,b</sup>**

$2S+1L_J$	IR	expt	calc 1: $4f^{12}$	expt – calc	calc 2: SCCF	expt – calc	calc 3: $4f^{12}np^6 + 4f^{13}np^5$	expt – calc
$^3\text{H}_6$	$\Gamma_1$	0	–96	96	–7	7	–10	10
	$\Gamma_4$	56	–13	69	50	6	50	6
	$a\Gamma_5$	123 <sup>c</sup>	82	41	117	6	118	5
	$\Gamma_2$	261	278	–17	243	18	256	5
	$b\Gamma_5$	370	439	–69	371	–1	376	–6
	$\Gamma_3$	394	473	–79	396	–2	401	–7
$^3\text{F}_4$	$\Gamma_5$	5547	5486	61	5498	49	5552	–5
	$\Gamma_3$	5814	5817	–3	5838	–24	5818	–4
	$\Gamma_4$	5859	5888	–29	5891	–32	5865	–6
	$\Gamma_1$	5938	5980	–42	5958	–20	5934	4
$^3\text{H}_5$	$a\Gamma_4$	8240	8201	39	8251	–11	8237	3
	$\Gamma_3$	8270	8244	26	8285	–15	8270	0
	$\Gamma_5$	8437	8464	–27	8435	2	8441	–4
	$b\Gamma_4$	8533	8594	–61	8534	–1	8541	–8
$^3\text{H}_4$	$\Gamma_5$	12 538	12 497	41	12 526	12	12 546	–8
	$\Gamma_3$	12 607	12 642	–35	12 650	–43	12 636	–29
	$\Gamma_4$	12 766 <sup>d</sup>	12 758	8	12 750	16	12 737	29
	$\Gamma_1$	12 880	12 930	–50	12 889	–9	12 882	–2
$^3\text{F}_3$	$\Gamma_2$	14 470	14 360		14 377		14 418	
	$\Gamma_4$	14 428	14 447	–19	14 437	–9	14 427	1
	$\Gamma_5$	14 454	14 431	23	14 436	18	14 450	4
$^3\text{F}_2$	$\Gamma_3$	14 956	14 939	17	14 940	16	14 946	10
	$\Gamma_5$	15 130	15 132	–2	15 131	–1	15 132	–2
	$\Gamma_1$	20 852	20 832	20	20 812	40	20 854	–2
$^1\text{G}_4$	$\Gamma_3$	21 357	21 328	29	21 356	1	21 360	–3
	$\Gamma_4$	21 426	21 416	10	21 431	–5	21 419	7
	$\Gamma_1$	21 507	21 520	–13	21 516	–9	21 503	4
	$\Gamma_5$	27 653	27 638	15	27 705	–52	27 651	2
$^1\text{D}_2$	$\Gamma_3$	27 702	27 707	–5	27 636	66	27 707	–5
	$\Gamma_1$	34 117	34 234	–117	34 133	–16	34 122	–5
	$a\Gamma_5$	34 158	34 276	–118	34 184	–26	34 164	–6
$^1\text{I}_6$	$\Gamma_2$		34 424		34 369		34 328	
	$b\Gamma_5$	34 822	34 805	17	34 836	–14	34 805	17
	$\Gamma_1$	34 846	34 869	–23	34 856	–10	34 846	0
	$\Gamma_4$	34 983	34 931	52	34 995	–12	34 975	8
$^3\text{P}_0$	$\Gamma_1$	35 196 <sup>e</sup>	35 042	154	35 133	63	35 203	–7
	$\Gamma_4$	35 884	35 890	–6	35 866	18	35 889	–5
$^3\text{P}_1$	$\Gamma_3$	37 462	37 478	–16	37 484	–22	37 451	11
$^3\text{P}_2$	$\Gamma_5$	37 854	37 842	12	37 857	–3	37 864	–10
$^1\text{S}_0$	$\Gamma_1$		71 591		71 718		75 585	

<sup>a</sup> The parameters of calculations 1–3 are listed in Table 3. <sup>b</sup> IR irreducible representation. Calculated unperturbed energies from observed levels at (in  $\text{cm}^{-1}$ ). <sup>c</sup> 108, 148. <sup>d</sup> 12 693, 12 838. <sup>e</sup> 35 207, 35 184.

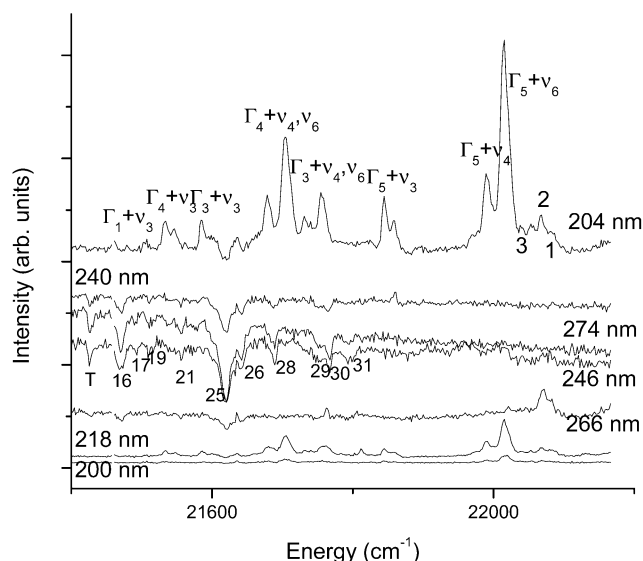
**Figure 1.** Energy levels of  $\text{Tm}^{3+}$  in  $\text{Cs}_2\text{NaTmCl}_6$ . Luminescent levels are identified.

$\tau_{1u}$  modes appear), and  $\Gamma_1 \rightarrow \Gamma_2$  transitions (where only the  $\tau_{2u}$  mode is observed), but otherwise the  $\nu_i$  ( $i = 3, 4, 6$ ) modes are potentially active for  $\Gamma_1 \rightarrow \Gamma_i$  ( $i = 3, 4, 5$ ) transitions (Table 1). Studies of spectra at 30 and 80 K were invaluable in identifying the “hot” bands of electronic transitions.

**Emission Spectra of  $\text{TmCl}_6^{3-}$ .** Figure 1 shows that low-temperature emission has been observed from all of the excited

$SLJ$  terms of  $\text{Tm}^{3+}$  in  $\text{Cs}_2\text{NaYCl}_6 \cdot \text{Tm}$  and/or  $\text{Cs}_2\text{NaTmCl}_6$ ,<sup>19,20,26</sup> except for  $^3\text{F}_2$  and  $^3\text{P}_{0,1}$ , where multiphonon relaxation dominates over the radiative process. However, no previous discussion or interpretation has been given for the emission from  $^1\text{D}_2$ . Since the splitting of the  $^1\text{D}_2$  crystal field levels is calculated to be  $\sim 50 \text{ cm}^{-1}$ , low temperature emission is expected only from the lower ( $\Gamma_5$ ) level. The strongest transition from the  $^1\text{D}_2$  term is to  $^3\text{F}_4$ . Figure 2 shows the region in the 10 K emission spectrum of  $\text{Cs}_2\text{NaTmCl}_6$  between 22 100 and 21 100  $\text{cm}^{-1}$  under various excitation lines. No emission in this region is evident for excitation lines between 240 and 266 nm, but the dips below the baseline correspond to bands in the absorption spectrum of  $\text{Cs}_2\text{NaTmCl}_6$ , which has previously been reported with a much better signal-to-noise ratio.<sup>19a</sup> There is a one-to-one correspondence between the energies and the band numbers marked for the 246 nm excitation in Figure 2, with the energies and band numbers listed in Table 3 of ref 19a. There is one exception, marked T, which is not evident in the absorption spectrum. This dip is coincident with the position of the  $^3\text{H}_6\Gamma_1 \rightarrow ^1\text{G}_4\Gamma_4$  magnetic dipole allowed zero phonon line. In fact, the “absorption spectra” in Figure 2 occur from  $\text{Tm}^{3+}$  ions near the crystal surface, rather than in the bulk as in ref 19a, so that defect sites are then more plentiful and defect site zero phonon band intensities are enhanced by the electric dipole mechanism.





**Figure 2.** 10 K luminescence spectra of  $\text{Cs}_2\text{NaTmCl}_6$  between 21 400 and 22 160  $\text{cm}^{-1}$  under various laser excitation lines. The numbers labeling the dips in the 246 nm excited spectrum are the same as in Table 3 of ref 19a. Peaks in the 204 nm spectrum are labeled according to the terminal  $^3\text{F}_4$  state. The luminescent state is  $^1\text{D}_2\Gamma_5$  in all cases. Refer to the text.

**TABLE 3: Hamiltonian Parameters of  $\text{Tm}^{3+}$  in  $\text{Cs}_2\text{NaTmCl}_6$**

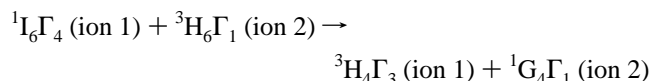
parameter	value ( $\text{cm}^{-1}$ )		
	calc 1 <sup>c</sup>	calc 2 <sup>c</sup>	calc 3 <sup>c</sup>
$F^2$	99 725 (134)	99 738 (67)	101 690 (69)
$F^4$	68 592 (413)	69 625 (215)	71 181 (131)
$F^6$	48 231 (402)	48 533 (202)	50 609 (95)
$\alpha$	19.2 (1.3)	18.0 (0.7)	19.0 (0.4)
$\beta$	-730 (88)	-729 (47)	-675 (24)
$\gamma$	[2716] <sup>a</sup>	[2716]	2128 (46)
$M^0$	[3.38]	[3.38]	4.31(0.5)
$P^2$	[43]	[43]	461(83)
$\zeta_f$	2616 (7)	2616 (2)	2633 (1)
$B_0^4(f, f)$	1787 (85)	2203 (63)	453 (52)
$B_0^6(f, f)$	170 (58)	222 (44)	-119 (16)
$c^4(f, f)$		-0.42	
$c^6(f, f)$		-0.33	
$\Delta E_{\text{avg}}$ (207 403)			[38 500]
$X(I)^b$			0.08 (0.02)
$\zeta_p$ (32 000)			[1000]
$B_0^4(f, p)$			-15 389 (298)
$N^c$	37	37	37
$n^c$	12	14	16
$\delta^c$	53.3	25.0	9.3
$\sigma^c$	64.8	31.7	12.3

<sup>a</sup> Parameters in square brackets were held constant during the final steps of the refinement. Italic numbers between parentheses are theoretical values of the parameters for an atomic metal-centered configuration. <sup>b</sup>  $X$  is a common multiplier of the theoretical  $R^k$  values which are in  $\text{cm}^{-1}$ :  $R^2(f, p, f, p) = 55\,952$ ;  $R^2(f, p, p, f) = 27\,980$ ;  $R^4(f, p, p, f) = 21\,737$ ;  $R^2(f, f, f, p) = -14\,616$ ;  $R^4(f, f, f, p) = -6554$ . <sup>c</sup> calc 1 and calc 2 were performed in  $4f^{12}$ , calc 3 in  $4f^{12}5p^6/4f^{13}5p^5$ .  $N$  is the number of levels,  $n$  the number of parameters.  $\delta$  is the unbarycentered mean deviation, and  $\sigma$  is the standard deviation:  $[\sum_{i=1}^N (E_{i,\text{exp}} - E_{i,\text{calc}})^2 / (N - n)]^{1/2}$ .

The emission spectra in Figure 2 are clearly excited by wavelengths between 200 and 218 nm, i.e., with excitation into the charge-transfer states of  $\text{TmCl}_6^{3-}$ .<sup>32</sup> However, no charge-transfer emission is detected. The highest energy bands 1, 2, and 3 correspond to the  $^3\text{P}_2\Gamma_3 \rightarrow ^3\text{F}_2\Gamma_5 + \nu_3$  (243, 260, 288) bands<sup>26</sup> superimposed upon lattice mode structure of the  $^1\text{D}_2\Gamma_5 \rightarrow ^3\text{F}_4\Gamma_5$  transition. The energies of the  $^3\text{F}_4$  crystal field levels have previously been assigned from absorption<sup>19b</sup> and

emission<sup>19b,c,26</sup> spectra, and this enables comprehensive assignments to be made for the 204 nm excited spectrum as shown in Figure 2. These assignments enable the lowest ( $\Gamma_5$ ) crystal field level of  $^1\text{D}_2$  to be assigned at  $27\,654 \pm 3\text{ cm}^{-1}$ .

We have investigated the emission from  $^1\text{I}_6$  in  $\text{Cs}_2\text{LiYCl}_6$ : Tm, under 199.8 nm excitation and find that it occurs from a level at  $34\,126\text{ cm}^{-1}$ , assigned herein to  $\Gamma_3$ . No emission was observed in our spectra from  $^1\text{I}_6$  in neat  $\text{Cs}_2\text{NaTmCl}_6$ , but it is evident in the 77 K emission spectrum of  $\text{Cs}_2\text{NaTm}_{0.05}\text{Y}_{0.95}\text{Cl}_6$  reported by Thorne et al.<sup>13</sup> The cross-relaxation



is resonant and depopulates  $^1\text{I}_6$  in the neat crystals. Cross-relaxation processes from  $^1\text{G}_4$  and  $^1\text{D}_2$  also occur in neat crystals of  $\text{Cs}_2\text{MTmCl}_6$  ( $M = \text{Li, Na}$ ).<sup>20,33</sup>

The assignments of energy levels below  $^1\text{I}_6$ , and of  $^3\text{P}_2\Gamma_3$ , listed herein are generally confirmed by the emission spectra, and extensive tabulations have previously been given.<sup>19,20</sup> It is noted in particular, however, that the low-temperature emission spectra enable the unambiguous location of the *lowest* crystal field level of each  $SLJ$  term: for example, the  $\Gamma_4$  level of  $^3\text{F}_3$ , discussed later.

**Absorption Spectra of  $\text{Cs}_2\text{NaTmCl}_6$ .** Detailed assignments and listings of bands in certain electronic transitions have previously been given<sup>19</sup> so that we summarize the conclusions here and focus upon differences with previous studies.

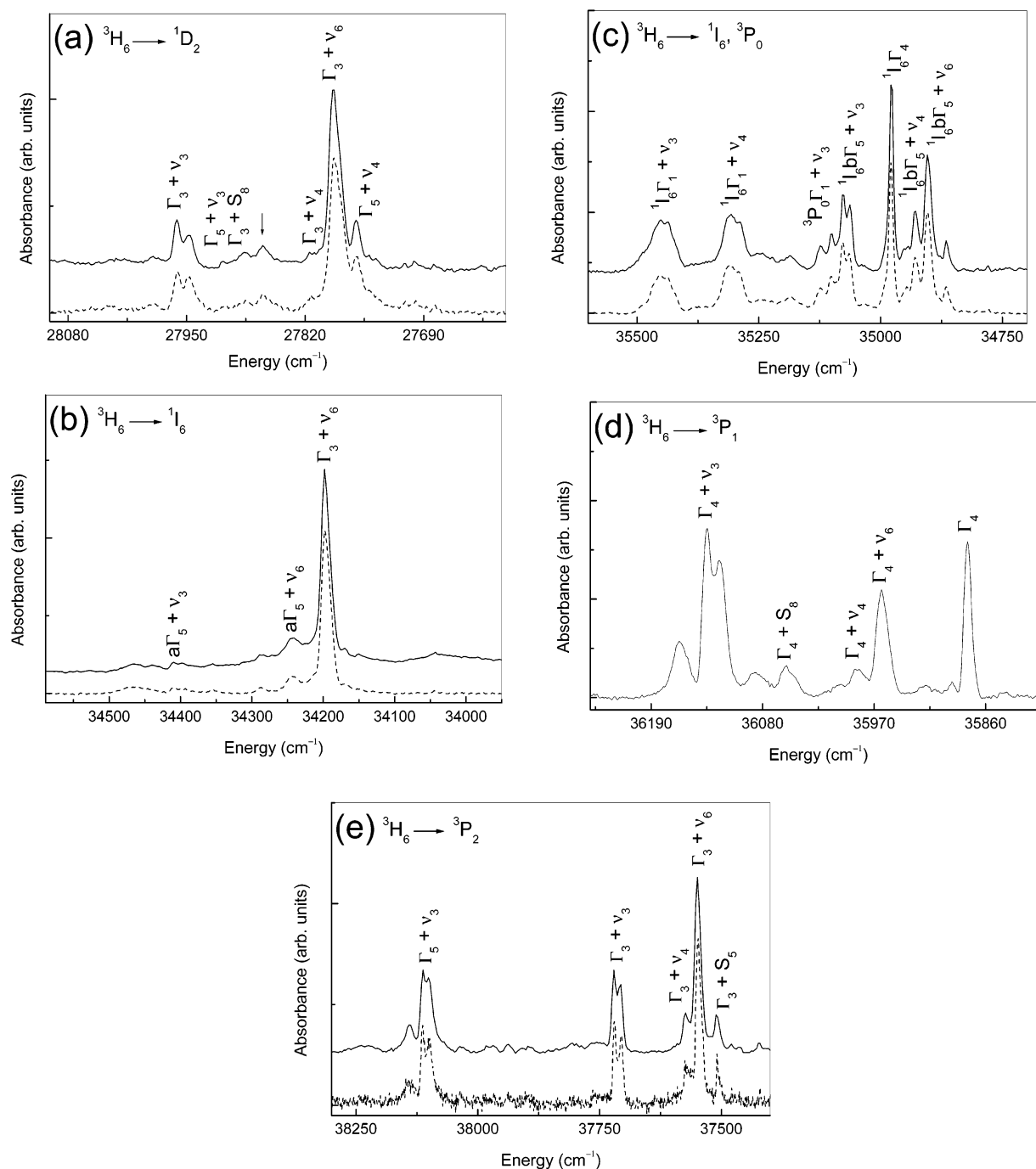
**$^3\text{H}_6$  Term.** The assignment of crystal field levels in the ground-state term has been made from emission spectra<sup>19,26</sup> and electronic Raman spectra.<sup>24,25</sup> Two levels of mixed  $a\Gamma_5$  parentage are located at 108 and  $148\text{ cm}^{-1}$ , and we have taken the unperturbed energy to be  $123\text{ cm}^{-1}$  in Table 2.<sup>24</sup>

**$^3\text{F}_4$  and  $^3\text{H}_5$  Terms.** The previous assignments for the  $^3\text{F}_4$  term were based upon emission measurements and the 20 K absorption spectrum.<sup>19</sup> The  $^3\text{H}_6 \rightarrow ^3\text{F}_4$  transition is mainly vibronic in character, whereas the  $^3\text{H}_6 \rightarrow ^3\text{H}_5$  transition is dominated by the intense  $\Gamma_1 \rightarrow a\Gamma_4$  magnetic dipole zero phonon line. Assignments for the  $^3\text{H}_5$  term have been made from the 20 K absorption spectrum.<sup>33</sup> Line positions have been compared with those in  $\text{Cs}_2\text{ZrCl}_6\text{:Tm}^{3+}$ .<sup>34</sup> The 10 K spectra of both transitions have recently been utilized<sup>35</sup> for comparison with the calculated vibronic intensities. The energy levels in Table 2 are similar to those previously reported.<sup>19</sup>

**$^3\text{H}_4$  Term.** The assignments for the  $^3\text{H}_4$  crystal field levels have been made from absorption and emission spectra.<sup>19</sup> However, the  $\Gamma_4$  level, assigned at  $12\,840\text{ cm}^{-1}$ , is then far from the calculated location,  $12\,735\text{--}12\,760\text{ cm}^{-1}$ .<sup>4</sup> In the  $^3\text{P}_2$  emission spectra terminating upon  $^3\text{H}_4$ , a further level, at  $12\,692\text{ cm}^{-1}$ , was identified from intense vibronic structure.<sup>26</sup> The observation of two  $\Gamma_4$  levels was attributed in an analogous manner to electron-phonon coupling phenomena, just as for the two  $a\Gamma_5$  levels in the electronic ground state. In the  $^3\text{H}_4$  case, the coupling occurs between  $\Gamma_5 + \nu_2 = 12\,538 + 237 = 12\,775\text{ cm}^{-1}$ , and the  $\Gamma_4$  crystal field level.

A reexamination of the 10 K  $^3\text{H}_6 \rightarrow ^3\text{H}_4$  absorption spectrum shows that the  $\nu_i$  ( $i = 3, 4, 6$ ) structure based on the electronic origin at  $12\,692\text{ cm}^{-1}$  is largely overlapped by other structure, but that weak bands (at  $12\,781, 12\,804\text{ cm}^{-1}$ ) which cannot be assigned to other transitions, can be associated with the  $\nu_6$  and  $\nu_4$  structure of this electronic transition.

**$^3\text{F}_2, ^3\text{F}_3, ^1\text{G}_4$  Terms.** The assignments for these terms given here are similar to those previously given,<sup>4</sup> with the additional tentative assignment of the  $^3\text{F}_3\Gamma_2$  level at  $14\,467\text{ cm}^{-1}$ , from



**Figure 3.** (a–e) 10 K absorption spectra of  $\text{Cs}_2\text{NaTmCl}_6$  between 27 000 and 38 000  $\text{cm}^{-1}$ . The assignments of prominent vibronic structure are marked by the terminal crystal field and vibrational levels. The electronic ground state is  $^3\text{H}_6\Gamma_1$ . The spectra were recorded for different crystals using the Biorad FTS (dotted line) and Acton monochromator/CCD (full line).

the  $^3\text{P}_2$  emission spectrum of  $\text{Cs}_2\text{NaTmCl}_6$ . This assignment is consistent with the location of the  $^3\text{H}_6\Gamma_1 \rightarrow ^3\text{F}_3\Gamma_2 + \nu_6$  vibronic origin in the 10 K absorption spectrum at 14 562  $\text{cm}^{-1}$  (i.e., the electronic origin is inferred to be at 14 474  $\text{cm}^{-1}$ ). The mean value for  $^3\text{F}_3\Gamma_2$  (14 470  $\text{cm}^{-1}$ ) is listed in Table 2. The assignments differ from those of Thorne et al.,<sup>13</sup> who interchanged the order of  $^3\text{F}_3\Gamma_4, \Gamma_5$ . From the emission spectrum of  $\text{TmCl}_6^{3-}$ ,<sup>19a</sup> it is certain that  $\Gamma_4$  is the lowest crystal field level of the  $^3\text{F}_3$  term because emission is observed from this level at low temperature.<sup>19a</sup>

**$^1\text{D}_2$  Term.** The 10 K  $^3\text{H}_6\Gamma_1 \rightarrow ^1\text{D}_2$  absorption spectrum is shown in Figure 3a, with the prominent vibronic structure identified. One transition is readily located from the intense  $\nu_6$  and  $\nu_3$  bands, with the electronic origin inferred to be at 27 702

$\text{cm}^{-1}$ . Crystals of  $\text{Cs}_2\text{NaTmCl}_6$  were doped with  $\text{Ce}^{3+}$  to prove that the weaker structure in this region does not correspond to  $\text{Ce}^{3+}$  impurity. Two bands marked in Figure 3a are then assigned to  $\nu_4$  (111  $\text{cm}^{-1}$ ) and  $\nu_3$  (259  $\text{cm}^{-1}$ ) structures of the  $\Gamma_1 \rightarrow \Gamma_5$  transition since the location of the unobserved zero phonon line is inferred to be at 27 652  $\text{cm}^{-1}$ , in agreement with the value from the emission spectrum. Two weak bands then remain unassigned in Figure 3a. One of these is reasonably assigned to  $\Gamma_1 \rightarrow \Gamma_3 + \text{S}_8$  (186), but the vibrational interval of the other band (marked with an arrow in Figure 3a: 214 or 165  $\text{cm}^{-1}$ ) does not correspond to a fundamental mode of  $\text{TmCl}_6^{3-}$ . An analogous medium-weak unassigned feature is observed in the 10 K absorption spectrum of  $\text{Cs}_2\text{NaY}_{0.9}\text{Tm}_{0.1}\text{Cl}_6$ , where the electronic origins  $\Gamma_1 \rightarrow \Gamma_5$  and  $\Gamma_1 \rightarrow \Gamma_3$  are inferred to be at

27 653 and 27 702  $\text{cm}^{-1}$ , respectively. In the two-photon spectrum of ref 13 (see later), a weak band is observed at 130  $\text{cm}^{-1}$  above the  $\Gamma_1 \rightarrow \Gamma_5$  transition, which corresponds to  $\nu_5$ . It is unlikely that the unassigned feature in Figure 3a corresponds to  $\Gamma_1 \rightarrow \Gamma_5 + \nu_6 + \nu_5$  because  $\Gamma_1 \rightarrow \Gamma_5 + \nu_6$  is not observed. In conclusion, no firm assignment can be made for this band.

**$^1\text{I}_6$ ,  $^3\text{P}_0$ , and  $^3\text{P}_1$  Terms.** The transitions to  $^1\text{I}_6$  crystal field levels overlap those to  $^3\text{P}_0$  and  $^3\text{P}_1$ , in the spectral region between 33 000 and 36 200  $\text{cm}^{-1}$ , and these constitute the most problematic levels to assign. We present a detailed interpretation of the bands in this region, and make extensive use of the spectral changes that occur from 10 to 60 K. Previously, two magnetic dipole transitions were assigned to prominent spectral features,<sup>4</sup> but one of these,  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_4$ , is reassigned herein.

Figure 3b–d show this spectral region in three parts. At lowest energy, the intense band near 34 205  $\text{cm}^{-1}$  was previously assigned to  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_4$ , but the observation of a hot band at 173  $\text{cm}^{-1}$  to low energy at 30 K, makes the assignment to a  $\nu_6$  vibronic origin more likely. The electronic origin is thus inferred to be at 34 117 at 10 K. Energy level calculations show that the lowest energy transition to  $^1\text{I}_6$  is  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_3$ . At 30 K, the  $\Gamma_4 \rightarrow ^1\text{I}_6\Gamma_3$  hot band is observed as a sharp, weak feature at 54  $\text{cm}^{-1}$  to low energy of the inferred position of the  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_3$  electronic origin. However, besides lattice modes, no further structure is associated with the  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_3$  transition. The medium intensity band at 42  $\text{cm}^{-1}$  to higher energy of  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_3 + \nu_6$  is then assigned to the  $\nu_6$  vibronic origin of the next-highest  $^1\text{I}_6$  transition,  $\Gamma_1 \rightarrow ^1\text{I}_6\text{a}\Gamma_5$ , and the corresponding  $\nu_3$  structure is clearly located to higher energy. A broad band near 34 470  $\text{cm}^{-1}$  is tentatively associated with the next-highest transition,  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_2 + \nu_6$ , so that the corresponding electronic origin is inferred to be at 34 384  $\text{cm}^{-1}$ . The observation of a 30 K hot band at 34 587  $\text{cm}^{-1}$ , assigned to  $\Gamma_4 \rightarrow ^1\text{I}_6\Gamma_2 + \nu_3$ , is consistent with this.

The next group of bands, Figure 3d, is readily assigned to the vibronic structure of an electronic origin, which is observed with medium intensity. This transition is assigned to  $\Gamma_1 \rightarrow ^3\text{P}_1\Gamma_4$  on the basis of the magnetic dipole intensity of the zero phonon line and the energy level calculation.

A further three  $^1\text{I}_6$  levels and one  $^3\text{P}_0$  level then remain unassigned. The lowest energy bands in Figure 3c are readily assigned to the  $\nu_3$ ,  $\nu_4$ , and  $\nu_6$  vibronic structure of an electronic origin inferred to be at 34 823  $\text{cm}^{-1}$ . The excited state is  $^1\text{I}_6\text{b}\Gamma_5$ , because (i) the  $\nu_6$  vibronic structure is prominent (i.e., not  $\Gamma_1$ ), (ii) the zero phonon line is not observed (i.e., not  $\Gamma_4$ ), and (iii) the location is consistent with our energy level calculation. The  $\Gamma_4 \rightarrow ^1\text{I}_6\text{b}\Gamma_5$  and  $\Gamma_4 \rightarrow ^1\text{I}_6\text{b}\Gamma_5 + \nu_6$  transitions are prominent above ca. 20 K. A further electronic origin can be assigned to the intense band at 34 983  $\text{cm}^{-1}$ , which, on the basis of the magnetic dipole intensity calculation corresponds to  $\Gamma_1 \rightarrow ^3\text{P}_1\Gamma_4$ . The vibronic structure based upon this electronic origin is very weak. However, above 20 K, a hot band near 35 180  $\text{cm}^{-1}$  is assigned to the  $\Gamma_4 \rightarrow ^3\text{P}_1\Gamma_4 + \nu_3$  transition. The  $\Gamma_1 \rightarrow ^3\text{P}_0\Gamma_1$   $\tau_{1u}$  vibronic sideband is also expected in this spectral region. Unassigned bands near 35 108 and 35 130  $\text{cm}^{-1}$  are thus assigned to the 260 and 288  $\text{cm}^{-1}$  components of  $\nu_3$ , but the remaining structure is obscured by other transitions. The  $^3\text{P}_0\Gamma_1$  electronic state is thus located at 34 846  $\text{cm}^{-1}$ . At 30 K, two hot bands in this region remain unaccounted for, but they can then be assigned to the  $\Gamma_4 \rightarrow ^3\text{P}_0\Gamma_1$  and  $\Gamma_4 \rightarrow ^3\text{P}_0\Gamma_1 + \nu_6$  transitions.

The highest energy bands in Figure 3c, at 35 495, 35 472  $\text{cm}^{-1}$  correspond to the 288  $\text{cm}^{-1}$  components of  $\nu_3$ , so that the

corresponding electronic origins are then located at 35 207 and 35 184  $\text{cm}^{-1}$ . Further structure can then be assigned to the other components of  $\nu_3$ , as well as to the  $\nu_4$  vibronic origins, based upon the two electronic origins. The highest energy transition in this region is calculated to be  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_1$ , which cannot be split. By symmetry considerations, the ‘splitting’ of  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_1$  is not due to a resonance with  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_4 + \nu_2$ , but could possibly be due to the resonance with  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_4 + 2\nu_4$ .

**$^3\text{P}_2$  Term.** The absorption spectrum of  $\text{Cs}_2\text{NaTmCl}_6$  between 37 400 and 38 300  $\text{cm}^{-1}$  is shown in Figure 3e. The bands are readily assigned to vibronic structure of the  $\Gamma_1 \rightarrow ^3\text{P}_2\Gamma_3$ ,  $\Gamma_5$  transitions.

### Comparison with Two-Photon Excitation Spectra of $\text{Cs}_2\text{NaYCl}_6\text{:Tm}^{3+}$

The energy level assignments herein differ in some respects from those given in the two-photon spectral study of Thorne et al.<sup>13</sup> The major difference is that these authors did not take into account the electron–phonon coupling in the electronic ground state of  $\text{TmCl}_6^{3-}$ , which leads to the “doubling” of the  $\text{a}\Gamma_5$  crystal field levels at  $\text{a}\Gamma_5(1)$  108 and  $\text{a}\Gamma_5(2)$  148  $\text{cm}^{-1}$ . Thus, the unassigned band in the  $^3\text{H}_6\Gamma_1 \rightarrow ^3\text{P}_1\Gamma_4$  transition (at ca. 35 795  $\text{cm}^{-1}$ ) corresponds to  $^3\text{H}_6\text{a}\Gamma_5(1) \rightarrow ^3\text{P}_1\Gamma_4$ . By coincidence, the hot  $\text{a}\Gamma_5(1) \rightarrow ^1\text{D}_2$  transitions overlap with other hot band structure. However, two very weak bands in the  $^3\text{H}_6\Gamma_1 \rightarrow ^1\text{D}_2$  transition, near 27 696 and 27 786  $\text{cm}^{-1}$  at 4 K, were unassigned. The first is presumably a resonance of  $\Gamma_1 \rightarrow \Gamma_5 + \text{S}_5(\tau_{2g})$  with  $\Gamma_1 \rightarrow \Gamma_3$ , whereas the second corresponds to  $\Gamma_1 \rightarrow \Gamma_5 + \nu_5(\tau_{2g})$  with the derived energy 130  $\text{cm}^{-1}$ .

The  $^3\text{H}_6\Gamma_1 \rightarrow ^1\text{I}_6\text{a}\Gamma_5$  transition was assigned at 34 167  $\text{cm}^{-1}$  in ref 13, and there are hot bands at 56, 108, and 148  $\text{cm}^{-1}$  to low energy. The  $^1\text{I}_6\Gamma_2$  level was not assigned, but was associated with two hot bands at  $\sim 34\,349$ ,  $34\,403$   $\text{cm}^{-1}$ . Alternative assignments for these hot bands are to the first members of the  $\nu_1$  progression on the hot transitions  $\Gamma_4 \rightarrow ^1\text{I}_6\text{a}\Gamma_5$  (34 059  $\text{cm}^{-1}$ ) and  $\text{a}\Gamma_5(1) \rightarrow ^1\text{I}_6\text{a}\Gamma_5$  (34 111  $\text{cm}^{-1}$ ). It is uncertain whether there is also a peak near 34 224  $\text{cm}^{-1}$ . We are unable to give a clear identification of the  $^1\text{I}_6\Gamma_2$  level from the combination of the one and two-photon data.

A major difference in the energy level assignments of Thorne et al.<sup>13</sup> and the present study concerns the  $^1\text{I}_6\Gamma_1$  level. This level was assigned to one (of two) very weak bands at 35 084  $\text{cm}^{-1}$  in ref 13. On the basis of this assignment in the two-photon excitation spectra, we comment that although the  $\Gamma_4 \rightarrow ^1\text{I}_6\Gamma_1$  hot transition is unobserved (as expected) therein, the  $\text{a}\Gamma_5(2) \rightarrow ^1\text{I}_6\Gamma_1$  hot transition is coincident with the intense  $\Gamma_4 \rightarrow ^1\text{I}_6\Gamma_4$  transition and  $\text{a}\Gamma_5(1) \rightarrow ^1\text{I}_6\Gamma_1$  is not evident. The evidence for the assignment in ref 13 of  $\Gamma_1 \rightarrow ^1\text{I}_6\Gamma_1$  is thus weak, and in fact, the two very weak, broad bands observed ( $\sim 35\,067$  and  $35\,090$   $\text{cm}^{-1}$ ) more likely correspond to  $\Gamma_1 \rightarrow ^1\text{I}_6\text{b}\Gamma_5 + \nu_2$ ,  $\Gamma_1 \rightarrow ^3\text{P}_0\Gamma_1 + \nu_2$ , where the derived vibrational energy is 236  $\text{cm}^{-1}$ . The alternative assignment proposed herein is supported by the two (unassigned) medium intensity bands at ca. 35 192, 35 214  $\text{cm}^{-1}$  in ref 13. As expected, no hot  $\Gamma_4 \rightarrow ^1\text{I}_6\Gamma_1$  transition is apparent, but two other hot bands could correspond to  $\text{a}\Gamma_5(1)$ ,  $\text{a}\Gamma_5(2) \rightarrow ^1\text{I}_6\Gamma_1$ .

### Energy Level Calculations

In their recent study,<sup>13</sup> Thorne et al. have presented an analysis of the electronic energy level structure of  $\text{Tm}^{3+}$  in  $\text{Cs}_2\text{NaYCl}_6$ . A crystal field analysis was performed including 37 experimental energy levels. The inadequacy of the standard Hamiltonian to reproduce the experimental energies in a satisfying way was emphasized. The mean experimental/



calculated deviation amounted to 55 cm<sup>-1</sup> when all the levels were included in the calculation, to 36 cm<sup>-1</sup> when the triplets were fitted separately, and to 22 cm<sup>-1</sup> when only the singlets were involved. The fitted one-electron crystal field parameter  $B_0^4$  was >60% larger for the singlets (2396 cm<sup>-1</sup>) than for the triplets (1483 cm<sup>-1</sup>). The <sup>1</sup>I<sub>6</sub> levels were the most badly fitted, but were rather well simulated by a linear expansion of the first-order multiplet splitting. The use of SCCF parameters with a negative  $c^k$  coefficient produced a similar effect by providing a stronger crystal field for the singlets than for the triplets. Utilizing 14 parameters, the standard deviation decreased down to 28.2 cm<sup>-1</sup>. The values of the energy levels of Cs<sub>2</sub>NaYCl<sub>6</sub>:Tm lower than 27 000 cm<sup>-1</sup> utilized by Thorne et al. originated from earlier work on Cs<sub>2</sub>NaTmCl<sub>6</sub>.

In this work, as mentioned above, some changes were made both in the energies and in the ordering of levels. Besides minor calibration differences, altogether five energy levels in the present dataset are significantly different from those in ref 13. Since the assignments of the <sup>1</sup>I<sub>6</sub>, <sup>3</sup>F<sub>3</sub>Γ<sub>2</sub> levels are not secure, we have omitted these levels from our fits.

The 4f<sup>12</sup> calculation on the basis of the 91 basis states of Tm<sup>3+</sup> was first performed with the standard Hamiltonian including the usual interactions:<sup>36,37</sup> the electrostatic two-electron repulsion (parameters:  $F^2$ ,  $F^4$ ,  $F^6$ ); the free-ion interconfiguration interaction (parameters:  $\alpha$ ,  $\beta$ ,  $\gamma$ ); the magnetic interaction (parameters:  $M^k$ , with  $M^2 = 0.56M^0$  and  $M^4 = 0.38M^0$ ); the spin-orbit interaction (parameter:  $\zeta_p$ ); the electrostatically correlated spin-orbit interaction (parameters  $P^k$ , with  $P^4 = 0.75P^2$  and  $P^6 = 0.50P^4$ ); and the crystal field interaction (parameters:  $B_0^4(f, f)$  and  $B_0^6(f, f)$ , with  $B_4^4(f, f) = \pm(5/14)^{1/2}B_0^4(f, f)$  and  $B_4^6(f, f) = \mp(7/2)^{1/2}B_0^6(f, f)$  for the octahedral symmetry site). Using these 12 parameters, the mean deviation between experimental and calculated datasets was 53.3 cm<sup>-1</sup>, being a similar value to that obtained by Thorne et al. The calculated energy levels are listed in Table 2 (calc 1), together with the experimental energies and the corresponding irreps. In Table 3 the parameters of the calculation are reported, as well as the corresponding mean and standard deviation. The (<sup>1</sup>D<sub>2</sub> + <sup>3</sup>P<sub>2</sub>) and (<sup>3</sup>P<sub>2</sub> + <sup>1</sup>D<sub>2</sub>) levels are surprisingly well fitted compared with the other levels.

We then applied to our dataset the model utilized in ref 13. It consists of modifying selectively the reduced matrix elements of U<sup>4</sup> and U<sup>6</sup> for triplet states. Actually, these are multiplied by (1 +  $c^k$ ), and the fitted values of  $c^4$  and  $c^6$  are equal to -0.42 and -0.33, close to the values obtained by Thorne et al. and listed in Table 2 of their paper.<sup>13</sup> The mean deviation decreases to 25.0 cm<sup>-1</sup>, thus reducing the initial value by more than 2. However, the (<sup>1</sup>D<sub>2</sub> + <sup>3</sup>P<sub>2</sub>) levels at 27 653 cm<sup>-1</sup> (Γ<sub>5</sub>) and 27 702 cm<sup>-1</sup> (Γ<sub>3</sub>) are fitted rather worse since the calculated Γ<sub>3</sub>(E<sub>g</sub>) (27 636 cm<sup>-1</sup>) and Γ<sub>5</sub>(T<sub>2g</sub>) (27 705 cm<sup>-1</sup>) levels are inverted, in contradiction with the conclusions of the two-photon experiments and with the listing in Table 1 of ref 13.

Our goal is to obtain even better results by using a different method. This is achieved by utilizing full configuration interaction. We are not alluding to all possible interactions with all possible configurations but to a complete interaction with a few (preferably one) neighboring excited configurations. In a previous study,<sup>38</sup> we have shown that the crystal field analysis of Tm<sup>3+</sup> (4f<sup>12</sup>) datasets was improved when performing the fit in the enlarged basis 4f<sup>12</sup>5p<sup>6</sup> + 4f<sup>13</sup>5p<sup>5</sup>. The interacting configuration results from the gain of an electron by the 4f orbital and the loss of one electron by the complete 5p<sup>6</sup> orbital. For LuPO<sub>4</sub>, YPO<sub>4</sub>, and LaOBr hosts the improvement (decrease of the mean deviation) amounts to 25, 17, and 40%, respectively. The

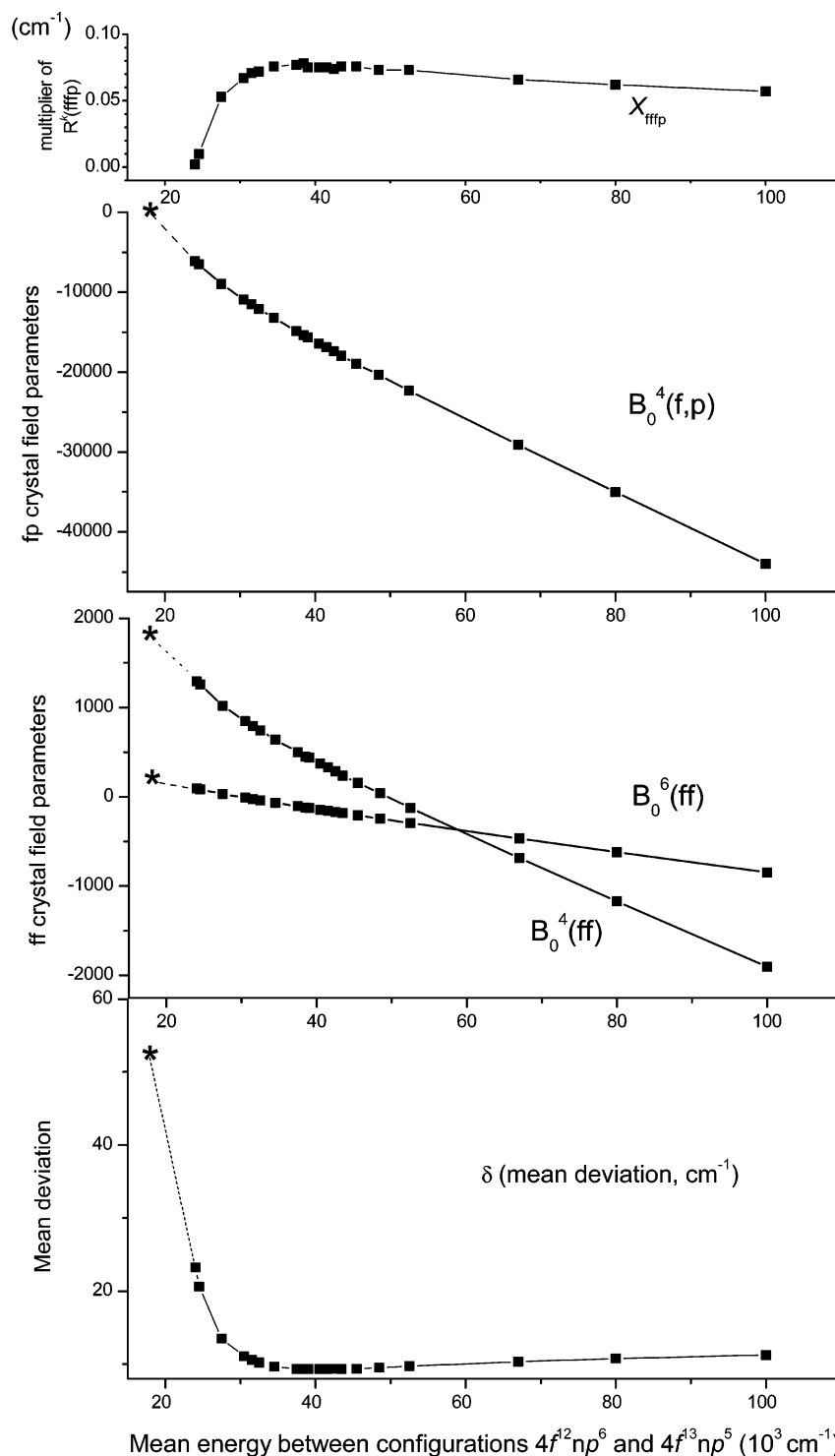
improvement seems to be related to the relative strength of the fourth-order crystal field.

The same process is attempted in the present case for Cs<sub>2</sub>NaTmCl<sub>6</sub>, for which 37 energy levels out of 40 have been experimentally determined. The interactions within f<sup>N</sup> (or p<sup>M</sup>) can be calculated in 4f<sup>14-N</sup> (or p<sup>6-M</sup>) provided the signs of the one particle parameters are changed. The interactions within 4f<sup>12</sup>np<sup>6</sup> + 4f<sup>13</sup>np<sup>5</sup> are formally the same as in the complementary system 4f<sup>2</sup>mp<sup>0</sup> + 4f<sup>1</sup>mp<sup>1</sup> which involves 91 + 84 = 175 levels. This is just the interaction matrix which was considered earlier for Pr<sup>3+</sup>.<sup>13</sup> The 4f<sup>12</sup>np<sup>6</sup> configuration contains levels with the same labels and the same degeneracies as 4f<sup>2</sup>mp<sup>0</sup>, (<sup>1</sup>S<sub>0</sub>, <sup>1</sup>D<sub>2</sub>, <sup>1</sup>G<sub>4</sub>, <sup>3</sup>P<sub>0,1,2</sub>, <sup>3</sup>F<sub>2,3,4</sub>, <sup>3</sup>H<sub>4,5,6</sub>) and the same can be stated for the 4f<sup>13</sup>np<sup>5</sup> configuration with respect to 4f<sup>1</sup>mp<sup>1</sup>, which contains <sup>1</sup>D<sub>2</sub>, <sup>1</sup>F<sub>3</sub>, <sup>1</sup>G<sub>4</sub>, <sup>3</sup>D<sub>1,2,3</sub>, <sup>3</sup>F<sub>2,3,4</sub>, and <sup>3</sup>G<sub>3,4,5</sub>. Although the earlier study<sup>38</sup> was performed with the assumption that the interacting configuration was 4f<sup>13</sup>5p<sup>5</sup>, more recent evidence from the energy level fit of Cs<sub>2</sub>NaErCl<sub>6</sub><sup>15b</sup> indicates that the configuration interaction involves contributions from the ligand, rather than from the metal ion, p-orbitals. The present work reinforces this important distinction.

The additional interactions which are to be taken into account within the larger matrix 4f<sup>12</sup>np<sup>6</sup> + 4f<sup>13</sup>np<sup>5</sup> are: (i) the gap  $\Delta E_{\text{avg}} = E'_{\text{avg}} - E_{\text{avg}}$ , which determines the distance between the two configurations; (ii) the interconfiguration interaction (parameters:  $R^k(4f, l_2, l_3, l_4)$ ,  $k = 0, 2, 4$ , with  $l_2, l_3, l_4 = 4f$  or np); (iii) the spin-orbit interaction (parameter:  $\zeta_p$ ); and (iv) the crystal field interaction (parameter:  $B_0^4(f, p)$ ). The starting values were those for the metal ion 4f<sup>12</sup>5p<sup>6</sup> + 4f<sup>13</sup>5p<sup>5</sup> systems. Theoretical parameter values calculated with Cowan's program RCN31<sup>39</sup> are (in cm<sup>-1</sup>):  $\Delta E_{\text{avg}} = 207\,403$ ;  $\zeta_p = 32\,000$ ;  $R^2(f, p, f, p) = 55\,952$  (direct);  $R^2(f, p, p, f) = 27\,980$ ,  $R^4(f, p, p, f) = 21\,737$  (exchange);  $R^2(f, f, f, p) = -14\,616$  and  $R^4(f, f, f, p) = -6554$  (hybrid integrals). To keep the number of additional parameters as low as possible, a unique parameter  $X$  is included to scale the  $R^k$  integrals. This makes a total of 16 parameters, i.e., four more than in the 4f<sup>12</sup> analysis and two more than in the SCCF calculation of Thorne et al. We shall comment on this point later. Taking into account the earlier studies, the starting value of  $B_0^4(f, p)$  was set equal to 10 times  $B_0^4(f, f)$ .<sup>38</sup>

When utilizing the theoretical values for the gap, the  $R^k$  integrals ( $X = 1$ ) and  $\zeta_p = 32\,000$  cm<sup>-1</sup>, no improvement of the fit could be achieved, whatever the value of  $B_0^4(f, p)$ . The lowest mean deviation was 57 cm<sup>-1</sup>, i.e., slightly worse than in the 4f<sup>12</sup> analysis, with a fitted value of  $B_0^4(f, p) = 22\,000$  cm<sup>-1</sup>. The 4f<sup>13</sup>5p<sup>5</sup> configuration extends approximately from  $2 \times 10^5$  up to  $2.7 \times 10^5$  cm<sup>-1</sup>. However, if  $X$  and  $\zeta_p$  are allowed to vary freely, both parameters decrease dramatically as well as the mean deviation. Actually, by fixing  $\zeta_p$  equal to 1000 cm<sup>-1</sup> and letting  $X$  vary from 0.08 to 0.06, the dataset can be fitted with a mean deviation  $\delta$  lower than 11 cm<sup>-1</sup> for  $31\,500 < \Delta E_{\text{avg}} < 10^5$  cm<sup>-1</sup>. Beyond  $\Delta E_{\text{avg}} = 10^5$  cm<sup>-1</sup>,  $\delta$  still increases moderately (13 cm<sup>-1</sup> at 207 000 cm<sup>-1</sup>) but  $B_0^4(f, p)$  reaches enormous values (-90 000 cm<sup>-1</sup>). Figure 4 shows the variations of  $\delta$ ,  $B_0^4(f, f)$ ,  $B_0^6(f, f)$ ,  $B_0^4(f, p)$ , and  $X$  as a function of  $\Delta E_{\text{avg}}$ . The approximate value of the 4f<sup>12</sup> barycenter is equal to 17 800 cm<sup>-1</sup>. We note that the signs of  $B_0^4(f, f)$  and  $B_0^6(f, f)$  change for  $\Delta E_{\text{avg}}$  approximately equal to 60 000 cm<sup>-1</sup>. The smallest mean deviation is equal to 9.3 cm<sup>-1</sup> and is obtained for  $\Delta E_{\text{avg}} = 38\,500$  cm<sup>-1</sup>. In this case, the whole 4f<sup>13</sup>np<sup>5</sup> configuration is inserted between the <sup>3</sup>P<sub>2</sub> and <sup>1</sup>S<sub>0</sub> levels of 4f<sup>12</sup>: between 54 000 and 66 000 cm<sup>-1</sup>. The calculated energy levels are listed (calc 3) in Table 3. As already mentioned above, the best fit obtained





**Figure 4.** Plots of the various model parameters and mean energy deviation against the mean energy between the  $4f^{12}np^6$  and  $4f^{13}np^5$  configurations ( $10^3 \text{ cm}^{-1}$ ).

with the aid of the SCCF model on the same dataset produced a standard deviation equal to  $25.0 \text{ cm}^{-1}$ .

Table 4 lists the mean deviations within each  $SLJ$  level in calc 1, 2, and 3. In calc 1, the numbers between parentheses represent the barycentered deviations when they are very different from the nonbarycentered ones. This occurs mainly for  $^1G_4$  and  $^1I_6$  which are globally shifted: ( $-15$  and  $+20 \text{ cm}^{-1}$ , respectively). The largest deviations occur for  $^3H_4$  and  $^1I_6$  ( $65$  and  $92 \text{ cm}^{-1}$ , respectively). Surprisingly, as noted above, ( $^1D_2 + ^3P_2$ ) and ( $^3P_2 + ^1D_2$ ) are rather well fitted. In calc 2 (SCCF) all the deviations have decreased except for ( $^1D_2 + ^3P_2$ ), where

the  $\Gamma_3$  and  $\Gamma_5$  levels are now inverted. Finally, in calc 3, all the deviations have further decreased except for  $^3H_4$  which remains bad from calc 1 to 3.

When the terms of  $4f^{13}np^5$  are selectively withdrawn in turn from the interaction matrix, the fit worsens, the mean deviation rising each time up to  $30\text{--}40 \text{ cm}^{-1}$ . If  $X$  and  $\zeta_p$  values are set equal to zero, the mean deviation increases up to  $16 \text{ cm}^{-1}$ .

Coming back to the best CI calculation (calc 3 in Table 3), all of the final  $4f^{12}$  wave functions contain some admixtures with  $4f^{13}np^5$  states. Those concerning the  $^3H_6$ ,  $^1D_2$ ,  $^1I_6$ ,  $^3P_0$ ,  $^3P_1$ , and  $^3P_2$  wave functions are reported in Table 5. The admixture

**TABLE 4: Mean Deviation  $\delta$  within the SLJ Levels<sup>a</sup>**

<sup>25+1</sup> L <sub>J</sub>	BC	$\delta$ (cm <sup>-1</sup> )		
		4f <sup>12</sup> calc 1	4f <sup>12</sup> SCCF calc 2	4f <sup>12</sup> np <sup>6</sup> + 4f <sup>13</sup> np <sup>5</sup> calc 3
<sup>3</sup> H <sub>6</sub>	207	65	7	6
<sup>3</sup> F <sub>4</sub>	5754	42	36	5
<sup>3</sup> H <sub>5</sub>	8379	42	9 (6)	5
<sup>3</sup> H <sub>4</sub>	12 667	34	24	22
<sup>3</sup> F <sub>3</sub> , <sup>3</sup> F <sub>2</sub> <sup>b</sup>	14 441, 15 060	17	12	5
<sup>1</sup> G <sub>4</sub>	21 228	19 (12)	24 (21)	5
<sup>1</sup> D <sub>2</sub> + <sup>3</sup> P <sub>2</sub>	27 673	12 (10)	58	4
<sup>3</sup> P <sub>0</sub>	34 846	23	10	0
<sup>1</sup> I <sub>6</sub> <sup>b</sup>	34 610	92 (90)	25	10
<sup>3</sup> P <sub>2</sub> + <sup>1</sup> D <sub>2</sub>	37 697	14	14 (9)	11

<sup>a</sup> The values between parentheses refer to barycentered (BC) levels.<sup>b</sup> Incomplete terms.**TABLE 5: Percentage Admixture of 4f<sup>13</sup>np<sup>5</sup> States into 4f<sup>12</sup>**

level	irrep	energy	4f <sup>13</sup> np <sup>5</sup> levels							
			<sup>1</sup> D <sub>2</sub>	<sup>1</sup> F <sub>3</sub>	<sup>1</sup> G <sub>4</sub>	<sup>3</sup> D <sub>3</sub>	<sup>3</sup> F <sub>4</sub>	<sup>3</sup> G <sub>3</sub>	<sup>3</sup> G <sub>4</sub>	<sup>3</sup> G <sub>5</sub>
<sup>3</sup> H <sub>6</sub>	$\Gamma_1$	0					0.7			
	$\Gamma_4$	56					0.4			
	a $\Gamma_5$	123				0.2				0.3
	$\Gamma_2$	261				0.4				
	b $\Gamma_5$	370								0.1
<sup>1</sup> D <sub>2</sub>	$\Gamma_3$	394					0.2			
	$\Gamma_5$	27 702		0.3	0.8			0.5		
	$\Gamma_3$	27 781			1			0.4		
<sup>1</sup> I <sub>6</sub>	$\Gamma_3$	34 117	3.9							
	a $\Gamma_5$	34 158	3.3	0.7	0.1					
	$\Gamma_2$	(34 384)		3.8						
	b $\Gamma_5$	34 822	0.4	1.7						
	$\Gamma_4$	34 983		1.1	0.5					
<sup>3</sup> P <sub>0</sub>	$\Gamma_1$	35 196			0.4					
	$\Gamma_4$	34 846			1		0.3	2		
<sup>3</sup> P <sub>1</sub>	$\Gamma_4$	35 884					0.2	0.8	0.1	2.5
	$\Gamma_3$	37 462			1.4				1	0.8
<sup>3</sup> P <sub>2</sub>	$\Gamma_5$	37 854			0.4				0.4	1.5

is small for the lower levels, such as <sup>3</sup>H<sub>6</sub>, but even so, the effect on the energy levels is quite important. The admixture of singlet 4f<sup>13</sup>np<sup>5</sup> states into the triplet 4f<sup>12</sup> wave functions (for instance, 1% <sup>1</sup>G<sub>4</sub> into <sup>3</sup>P<sub>0</sub>) is due to a <sup>1</sup>G<sub>4</sub> – <sup>3</sup>G<sub>4</sub> spin–orbit interaction in 4f<sup>13</sup>np<sup>5</sup> followed by a <sup>3</sup>P<sub>0</sub> – <sup>3</sup>G<sub>4</sub> interaction in 4f<sup>12</sup>.

The SLJ wave functions of 4f<sup>13</sup>np<sup>5</sup> are heavily mixed together. One difference which can be observed between the theoretical structure of 4f<sup>13</sup>5p<sup>5</sup> (calculated with  $\Delta E_{\text{avg}} = 207\,403\text{ cm}^{-1}$ ,  $\zeta_p = 32\,000\text{ cm}^{-1}$ , and  $X = 1$ ) and the final structure given by calc 3 is that in the former case, the <sup>1</sup>D<sub>2</sub> levels are completely rejected at the top of the configuration while in the second case, large components are present in low-lying levels, close to 4f<sup>12</sup>.

We have still not firmly concluded about the nature of the interacting configuration. Considering the optimum value of  $\zeta_p$  (its order of magnitude is 1000 instead of 32 000 cm<sup>-1</sup>, but it is not possible to proceed to a real fitting) and  $X$  ( $\approx 0.08$  instead of 1), it seems actually impossible for the interacting configuration to be 4f<sup>13</sup>5p<sup>5</sup> as was assumed in ref 38. As remarked in ref 15b, the p electrons involved in the process do not necessarily belong to the metal ion but might come from the neighboring chloride ions of the first coordination shell. It was indeed shown that the 3p wave function is partially projected on the central ion as a p function. This hypothesis is consistent with the present results as well as those obtained in ref 15b on Cs<sub>2</sub>NaErCl<sub>6</sub>. From now on, we shall refer to 4f<sup>13</sup>np<sup>5</sup> rather than an excited molecular orbital than an excited atomic configuration. The unconventional feature stands in the fact that we deal with it as if it were a central ion configuration.

Actually, the ultraviolet absorption spectrum of the sample shows a little hump, at the limit ( $\sim 200\text{--}210\text{ nm}$ , i.e.,  $47\,600\text{--}50\,000\text{ cm}^{-1}$ ) of the instrument, which corresponds to a void zone in calc 3. However, we have seen that the curve representing  $\delta$ , the mean deviation of the fitting, is quite flat for large variations of the gap. For  $\Delta E_{\text{avg}} = 31\,500\text{ cm}^{-1}$ ,  $\delta$  only increases up to  $10.6\text{ cm}^{-1}$  and the excited configuration extends from  $46\,840$  up to  $58\,529\text{ cm}^{-1}$  which is more compatible with the experiment. Then the structure of the excited configuration is as follows. Due to the large cubic crystal field parameter, it is grossly divided into two parts, comprising 48 and 36 levels. In the lower part, we find successively: between  $46\,840$  and  $47\,400\text{ cm}^{-1}$ , 27 levels grouping essentially <sup>1</sup>F<sub>3</sub>, <sup>3</sup>F<sub>4</sub>, and <sup>3</sup>G<sub>5</sub>; at  $48\,300\text{ cm}^{-1}$ , five combinations of <sup>1</sup>D<sub>2</sub> and <sup>3</sup>D<sub>2</sub> levels; and between  $48\,500$  and  $49\,200\text{ cm}^{-1}$ , <sup>3</sup>D<sub>3</sub> and <sup>3</sup>G<sub>4</sub> (16 levels). In the upper part, above a  $6000\text{ cm}^{-1}$  gap, come <sup>1</sup>G<sub>4</sub>, <sup>3</sup>G<sub>4</sub>, <sup>1</sup>D<sub>2</sub>, <sup>3</sup>D<sub>2</sub>, <sup>3</sup>D<sub>1</sub>, <sup>3</sup>F<sub>2</sub>, <sup>1</sup>F<sub>3</sub>, <sup>3</sup>F<sub>3</sub> (24 levels) between  $55\,800$  and  $57\,200\text{ cm}^{-1}$ . Seven <sup>3</sup>G<sub>3</sub> levels stand isolated at  $57\,800\text{ cm}^{-1}$ ; a last bunch of five <sup>1</sup>D<sub>2</sub> and <sup>3</sup>D<sub>2</sub> levels at  $58\,500\text{ cm}^{-1}$  are at the top of the configuration.

## Conclusions

From new electronic absorption and emission data, a revised energy level scheme for Cs<sub>2</sub>NaTmCl<sub>6</sub> has been derived. The major discrepancies from previous studies have been resolved in terms of the interactions between pure electronic levels and gerade vibronic levels. The resulting dataset is poorly fitted when the calculation only comprises the 91-degenerate 4f<sup>12</sup> levels, but is vastly improved when interactions with the 4f<sup>13</sup>np<sup>5</sup> configuration are included. It is impossible to assert that the perturbation of the 4f<sup>12</sup> configuration is exercised by the 4f<sup>13</sup>5p<sup>5</sup> configuration since none of the parameters characterizing this configuration display the proper values. The spin–orbit coupling constant  $\zeta_p$  has to be fixed to 1000 instead of  $32\,000\text{ cm}^{-1}$ , and  $X$  to 0.08 instead of 1. Both values are more than 1 order of magnitude smaller than those ascribed to a 4f/5p interaction. Now if the hole which has been assumed in the 5p<sup>6</sup> orbital of 4f<sup>12</sup>5p<sup>6</sup>, is rather a hole in the 3p<sup>6</sup> orbital of a chloride ligand, then the lowering of the spin–orbit coupling constant is more understandable since the spin–orbit coupling constant of a p electron in 3p<sup>6</sup> is about  $500\text{ cm}^{-1}$ . Moreover, the interconfiguration parameters  $R^k(4f, 4f, 4f, 3p)$  are lower than  $R^k(4f, 4f, 4f, 5p)$  since the origin of one wave function is displaced on a ligand's site. Such an integral calculated at a  $2.3\text{ \AA}$  distance is of the order of  $10^{-2}$  atomic units, i.e.,  $1000\text{ cm}^{-1}$ . Besides, our experimental laser excitation line data in Figure 2 indicate that the 4f<sup>13</sup>np<sup>5</sup> configuration lies in the region between 200 and 218 nm ( $50\,000\text{--}45\,870\text{ cm}^{-1}$ ) and a value  $\sim 46\,800\text{ cm}^{-1}$  has been reported from absorption spectral data.<sup>40</sup> Ionova et al.<sup>32</sup> have assigned charge-transfer transitions in TmCl<sub>6</sub><sup>3-</sup> close to 210 nm ( $48\,000\text{ cm}^{-1}$ ). We therefore assume that the perturbation of the 4f<sup>12</sup> configuration is actually exercised by a 4f<sup>13</sup>3p<sup>5</sup> configuration (and not 4f<sup>13</sup>5p<sup>5</sup>), in which a ligand electron has been promoted into the 4f orbital. Indeed, with this new hypothesis, the fitted values of the three parameters:  $\Delta E_{\text{avg}}$ ,  $X$ , and  $\zeta_p$  are close to the theoretical values. We do not expect the CT transition to be observed strong since it is electronically electric-dipole forbidden, and probably vibronic in nature, due to progression-forming modes arising from the bond length change.

Several authors have pointed out that the electron–phonon coupling strength is greatest for the early (Ce<sup>3+</sup>, Pr<sup>3+</sup>) and late (Tm<sup>3+</sup>, Yb<sup>3+</sup>) members of the lanthanide series.<sup>41–43</sup> The coupling strength is greatest when the metal ion wave functions

are most sensitive to movements of the ligand nuclei. This will happen when ligand-based states are mixed into the metal ion wave functions. We have found that this occurs most for the ions that are most easily oxidized ( $\text{Ce}^{3+}$ ,  $\text{Pr}^{3+}$ ) or reduced ( $\text{Tm}^{3+}$ ,  $\text{Yb}^{3+}$ ). Also, other members of the lanthanide series have more extended  $f^N$  configurations and intraconfigurational mixing is more important than interconfigurational mixing. The trend in electron–phonon coupling strengths along the series thus parallels the trends in mixing of charge-transfer configurations into lanthanide ion configurations. The mixing of more extended ligand p orbitals may also play a role in energy transfer phenomena such as exchange interactions.

In a recent paper, Judd and Lo<sup>44</sup> constructed an effective two-electron operator  $V_2$  to simulate the effect of p-electron admixtures in  $f^N$  configurations. In cubic environments, this depends on just two parameters.  $V_2$  is able to reproduce the trends obtained by a complete diagonalization. The authors point out that for the  $4f^8$  configuration the contribution of  $f \leftrightarrow f'$  (spin-correlated crystal field) interaction should be included as well.

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