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# Influence of Charge and Ligand on the Finite Temperature Behavior of Gold Clusters: A BOMD Study on Au<sub>6</sub> Cluster

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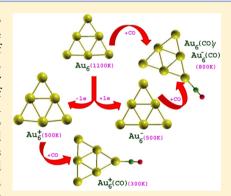
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Supporting Information

ABSTRACT: Conformation and electronic charge on a gold cluster are known to determine its catalytic property. However, little is known on the finite temperature behavior of various gold cluster conformations. Much less is known on the role of charge or a ligand in stabilizing a conformation at finite temperatures. In this work, we have carried out relativistic density functional theory (DFT) based molecular dynamical simulations on neutral and charged Au<sub>6</sub> clusters with an aim of understanding the stability of ground state conformations as a function of charge on the cluster. Our simulations reveal that cationic and anionic Au<sub>6</sub> clusters undergo conformational transitions at 500 K where as neutral Au<sub>6</sub> cluster retains its ground state conformation up to a temperature of 1100 K. In order to look into the factors leading to the stabilization of neutral Au<sub>6</sub> cluster (or destabilization of cationic and anionic Au<sub>6</sub> clusters), structural and electronic properties are analyzed. Factors such as charge redistribution within the atoms and composition of molecular orbitals are



seen to contribute towards stronger Au-Au bonds in Au<sub>6</sub><sup>0</sup> thereby stabilizing it considerably. Following the analysis, simulations are also extended to neutral, cationic, and anionic  $Au_6-CO_n$  (n=1,2) complexes. In the case of CO chemisorbed  $Au_6$  clusters, neutral and negatively charged ground state conformations are stable up to nearly 800 K, while the positively charged Au<sub>6</sub> ground state conformation collapses at room temperature. This work, in short demonstrates how charge or even a ligand can be used to moderate the physical properties of a gold cluster.

#### I. INTRODUCTION

Atomic clusters of gold attract a great deal of interest on account of their catalytic, 1-3 electronic, 4,5 magnetic, 6,7 and optical properties. 8,9 The catalytic activity is found to be particularly high in gold clusters with 3 to 55 atoms, also called quantum dots. The extraordinary catalytic activity in this size range is attributed to the quantum confinement of electrons. Hence, presently, there is an impetus for synthesizing small neutral and charged gold clusters in gas phase. 10 Various conformations of Au clusters with 3-20 atoms are now clearly understood owing to the concerted experimental and theoretical studies. 11-17 In one such interesting study, 15 unique configurations were synthesized in gold anionic clusters, for example, hollow cage conformations for Au<sub>16</sub> to Au<sub>18</sub> and a tetrahedral pyramid type conformation for Au<sub>19</sub> and Au<sub>20</sub> In another exciting report, Gruene et al. 16 elucidated the structures of gas phase neutral Au<sub>7</sub>, Au<sub>19</sub> (truncated tetrahedron), and Au<sub>20</sub> (tetrahedron) clusters using vibrational spectroscopy and density functional based theoretical methods.

The precise knowledge of structures in this size range has motivated several research groups to evaluate their catalytic activity by studying the oxidation of environmentally harmful molecules such as CO.18-26 These studies reveal a strong dependence of catalytic activity on various factors such as size of the cluster, shape of the cluster, charge on the atomic cluster, support for atomic cluster, mode of synthesis of cluster, and structural fluxionality. For example, recently, Hu et al.<sup>27</sup> have reported that the barrier for oxidation of CO is considerably low for Au<sub>55</sub> as compared to its neutral and positive counterparts. Similarly, in another joint experimental and theoretical work, it is shown that the number of CO molecules that can chemisorb on a gold cluster depends critically on the shape and type of active sites available within a cluster.<sup>28</sup> However, in spite of several attempts by researchers, the dominant factor or even the precise role played by any of the above-mentioned factors in modifying a chemical property is still eluding. What is now clearly understood is that adding or removing even a single electron from a cluster in this size range reorients its catalytic activity dramatically.

Apart from the high rate of activity, a catalytic material is required to be thermally stable at reasonably high operating temperatures. The catalytic applications on large sized  $Au_n$  (n > 1

Received: July 17, 2013 Revised: September 13, 2013 Published: September 16, 2013 200) clusters are generally carried out at subambient (200 K)<sup>1</sup> temperatures, though there are few reports of catalytic reactions on supported nanoclusters between 400 to 1000  $\rm K^{29-32}$  Even in this context, small gold clusters with 3-20 atoms have better advantage as catalytic materials as compared to larger Au nanoparticles due to their higher particle stability.33-35 The clusters in this size rage reportedly do not suffer from sintering or aggregation effects around room temperature, which are otherwise seen in larger Au nanoparticles. However, there is a possibility of the geometry undergoing some structural transitions at finite temperatures as demonstrated by joint photoelectron spectroscopy (PES) and density functional theory (DFT) based studies on Au<sub>7</sub>, <sup>15</sup> Au<sub>10</sub>, and Au<sub>12</sub> clusters. <sup>36,37</sup> Similar conclusions are also drawn on cationic and anionic  $Au_n$  ( $n \le 9$ ) clusters from ion mobility studies at room temperature.<sup>38</sup> In spite of these few attempts, the exact temperatures up to which  $Au_n$  (n = 3-20) clusters retain their geometry or oscillate between a few important catalytic conformations (structural fluctuations) is still largely unknown.

In this framework, in one of our recent works,<sup>39</sup> we have noted that Au<sub>6</sub> gas phase conformation remains remarkably stable up to 1100 K. The gold hexamer is a special cluster, 40 with all the three charged states (neutral, anionic and cationic) possessing a  $D_{3h}$  planar triangular structure. In its neutral state, the cluster has the largest highest occupied molecular orbital-tolowest unoccupied molecular orbital (HOMO-LUMO) energy gap (2.13 eV) (more than that of Au<sub>2</sub> cluster which has a gap of 1.97 eV) in the size range of 2-20 atoms and is reportedly aromatic. Another interesting aspect of Au<sub>6</sub> cluster is its unique CO<sup>41</sup> adsorption property. Most of the gold cluster-CO complexes experience a lowering of electron binding energies as compared to the bare gold cluster. In contrast, adsorption of up to 3 CO molecules on Au<sub>6</sub> cluster do not modify the electron binding energies of the complex significantly as compared to the parent Au<sub>6</sub> structure. However, it is its negative counterpart (Au<sub>6</sub>) that is catalytically very active with an ability to chemisorb up to 6 CO molecules at a given time. 42 Hence, in this work, we attempt to address questions such as (a) Up to what temperature is Au<sub>6</sub> geometry stable? (b) How does the charge modify the finite temperature behavior of a gold cluster? (c) Does the chemisorption of CO molecule further modify its finite temperature behavior? (d) How do charge-structurestability-catalytic activity correlate? To answer the above questions, we study the finite temperature behavior of Au<sub>6</sub> and Au<sub>6</sub> clusters and compare it with the behavior of previously studied neutral Au<sub>6</sub>. We also extend our study to Au<sub>6</sub>–CO<sub>n</sub>(n =1,2) complexes in mono positive, mono negative, and neutral states. The study reveals how ligand chemisorption can play a pivotal role in raising or decreasing the stability temperature of a ground state conformation as compared to its free state. To the best of our understanding, a study attempting to evaluate the role of charge and ligand on the finite temperature behavior or stability of a gold cluster (or rather understanding the charge-structure-catalytic activity-stability pyramid) is so far unavailable in the literature.

#### II. COMPUTATIONAL DETAILS

All calculations are performed in the framework of Auxiliary Density Functional Theory (ADFT),<sup>43</sup> using a linear combination of Gaussian orbitals as implemented in *deMon2k* code.<sup>44</sup> About 10 conformations are generated for Au<sub>6</sub><sup>-</sup> and Au<sub>6</sub><sup>+</sup> clusters. All the conformations are optimized using the Perdew–Burke–Ernzerhof (PBE) exchange and correlation

functional<sup>45</sup> with 1997 Stuttgart-Dresden Relativistic Effective Core Potential's (RECP)'s 46° as the basis set for the valence electrons. 5s, 5p, 5d, and 6s electrons are considered to constitute the valence electrons. We note that these ECP's are now documented for accurate prediction of structure as well as spectroscopic properties of Au clusters. 47–49 No additional polarization functions are added. The GEN-A2 auxiliary functions are used to fit the charge density. 50 The convergence of the geometries is based on gradient and displacement criteria with a threshold value of  $10^{-5}$  and the criteria for convergence of SCF cycles is set to  $10^{-9}$ . Following the geometry optimization, harmonic vibrational frequencies are computed for various conformations. All the frequencies were found to be positive for the 10 optimized conformations of Au<sub>6</sub> and Au<sub>6</sub>, thereby, indicating them to be a local minima. Analysis of the geometry optimizations reveal that the lowest energy conformation for both  $Au_6^-$  and  $Au_6^+$  is a  $D_{3h}$  planar triangular structure. This is consistent with the earlier reported ground state geometry for these clusters.  $^{40,41}$  Au<sub>6</sub>-CO<sub>n</sub> (n = 1,2) complexes are generated by attaching CO molecules to the cap atoms in Au<sub>6</sub> ground state geometries of all the three states. The geometries of the complexes are optimized using the above-mentioned functional and basis set for Au atoms. The DZVP basis set is used to describe the C and O atoms.

The finite temperature simulations are carried out for lowest energy conformations/optimized complexes using Born—Oppenheimer Molecular Dynamics (BOMD) between 200 to 2000 K. At each temperature, the cluster is equilibrated for a time period of 10 ps followed by a simulation time of 30 ps. The temperature of the cluster is maintained using the Berendsen's thermostat ( $\tau=0.5$  ps) in an NVT ensemble. The nuclear positions are updated using the velocity Verlet algorithm with a time step of 1 fs. We hold the total angular momentum of the cluster to zero, thereby suppressing the cluster rotation.

The atomic positions and bond length fluctuations in the trajectories of Au $_6$  clusters and Au $_6$ –CO complexes are analyzed using traditional parameters such as  $\delta_{\rm rms}$  and mean squared ionic displacement (MSD).

 $\delta_{
m rms}$  is defined as

$$\delta_{\text{rms}} = \frac{2}{N(N-1)} \sum_{i < j} \frac{\sqrt{\langle R_{ij}^2 \rangle_t - \langle R_{ij} \rangle_t^2}}{\langle R_{ij} \rangle_t} \tag{1}$$

where N is the number of particles in the system,  $R_{ij}$  is the distance between the ith and jth particle in the system and  $<...>_t$  denotes a time average over the entire trajectory. The MSD of an individual atom is defined as

$$\left\langle r_i^2(t) \right\rangle = \frac{1}{NM} \sum_{m=1}^M \sum_{l=1}^N \left[ R_i(t_{0m} + t) - R_i(t_{0m}) \right]^2$$
 (2)

where N is the number of atoms in the system and  $R_i(t_{0m})$  is the instantaneous position of atom i at  $t_0$  and  $R_i(t_{0m}+t)$  is the corresponding position of atom i after a time interval t. Thus, we average over M different time origins  $t_{0m}$  spanning the entire trajectory. The interval between the consecutive  $t_{0m}$  for the average is taken to be about 0.0125 ps. The MSD indicates the displacement of atoms in the cluster as a function of time. When the cluster remains in its ground state conformation (i.e., all atoms perform oscillatory motion about fixed positions) the MSD is negligible for individual atoms. On the other hand, when the cluster hops through various conformations, atoms

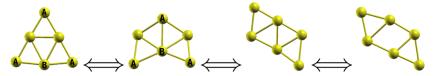


Figure 1. Structural changes observed in Au<sub>6</sub> between 500 to 800 K.

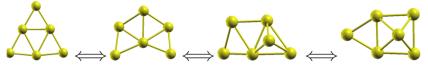


Figure 2. Structural changes observed in Au<sub>6</sub><sup>+</sup> at 500 K.

diffuse through the cluster and the MSD begins to raise. At very high temperatures, when the cluster resembles more like a liquid droplet and does not remain in any conformation for more than 10 fs, the MSD's reach a saturated value of the order of the square of the cluster radius.

#### **III. RESULTS AND DISCUSSION**

A. Effect of Finite Temperature on the Behavior of Bare Au Clusters. We begin the discussion on the finite temperature behavior of the Au<sub>6</sub>, Au<sub>6</sub>, and Au<sub>6</sub> clusters with an analysis of the ionic motion. Analysis of ionic motion of Au<sub>6</sub> and Au<sub>6</sub> reveals that the atoms in both the clusters vibrate about their equilibrium positions in the ground state geometry up to a temperature of 400 K. Au<sub>6</sub> undergoes structural transitions between 500 to 800 K. In this temperature range, the cluster transits between various two-dimensional (2D) conformations as seen in Figure 1 before returning back to the ground state. The first three-dimensional (3D) conformation appears around 900 K, and above this temperature the cluster resembles a liquid droplet. Although Au<sub>6</sub><sup>+</sup> also undergoes structural transitions around the same temperature (500 K) as  $Au_6^-$ , these structural transitions in  $Au_6^+$  involve both 2D and 3D conformations as shown in the Figure 2. In contrast to the motion of atoms in anionic and cationic Au<sub>6</sub> clusters, the atoms in the neutral cluster vibrate about their mean positions in the ground state geometry up to a temperature of 1100 K.39 The neutral cluster undergoes structural transformations only above 1100 K before transforming into a liquid droplet around 1600 K. Thus, the Au<sub>6</sub><sup>+</sup> and Au<sub>6</sub><sup>-</sup> clusters retain their ground state conformation for much lower temperatures as compared to their neutral counterpart.

This difference in ionic motion of charged gold clusters with respect to that of neutral one is seen clearly from  $\delta_{\rm rms}$  (Figure 3) and MSD plots (Figure 4). The  $\delta_{rms}$  for neutral Au<sub>6</sub> is around 0.05 up to a temperature of 1000 K. Around 1200 and 1400 K, the value reaches to 0.08 and 0.1, respectively, which indicates a significant displacement of atoms around 1200 K onward. Beyond 1600 K, the  $\delta_{\rm rms}$  increases rapidly and reaches a value 0.23 around 2000 K. For both Au<sub>6</sub><sup>+</sup> and Au<sub>6</sub><sup>-</sup>, the value of  $\delta_{\rm rms}$  around 400 K is 0.04 but jumps to a value of Au<sub>6</sub> 0.14 and 0.12 around 500 K, respectively. This manifests the onset of structural transformations in these clusters. Above 800 K, the  $\delta_{\rm rms}$  touches a value close to 0.3 as shown in the Figure 3. This contrasting behavior of structural changes in Au<sub>6</sub><sup>-</sup> and Au<sub>6</sub><sup>+</sup> with respect to Au<sub>6</sub> is somewhat weakly seen in MSD plots (see Figure 4). When the atoms vibrate about their equilibrium positions, i.e., around 200 K the MSD plot is similar for all the three clusters. At 600 K, the MSD reaches a value of 1.2 for

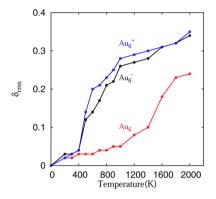


Figure 3. Bond length uctuations ( $\delta_{rms}$ ) as a function of temperature in  $Au_6$ ,  $Au_6^-$ , and  $Au_6^+$ .

 $Au_6^+$ , where as for  $Au_6$  and  $Au_6^-$  it is around 0.1 and 0.3, respectively. The high value of MSD for  $Au_6^+$  at 600 K is indicative of the presence of 3D conformations for this cluster around this temperature. Around 1000 K, the MSD for the cationic and anionic clusters saturate to a value of 2.3, while that of  $Au_6$  is still very low (0.2). Around 1600 K, the MSD's for all the three clusters look somewhat similar (figure not shown).

The ground state geometry of Au<sub>6</sub> being a triangular one, we can attempt to evaluate its reorientation into another conformation by monitoring any of the following distances: (a) distance between a cap atom (called 'A' in Figure 1) and the inner triangular atom (called 'B' in Figure 1), viz., distance 'AB' and/or (b) distance between two cap atoms, viz., distance 'AA' as a function of temperature. The collapse of the triangular structure is characterized by a dramatic increase or decrease in either of these bond distances or both. Shown in Figure 5 are the A-A and A-B interatomic distances for Au<sub>6</sub> cluster at 400 and 600 K. At 600 K, both these inter atomic distances undergo a dramatic shift owing to the structural changes from the triangular structure to the next conformation shown in Figure 1. In contrast, it can be seen from Figure 5 that A-B inter atomic distances in Au<sub>6</sub> at 1000 K fluctuate around the same average value as that of 400 K albeit with higher amplitude. Similar observation is also noted for A-A inter atomic distances of Au<sub>6</sub> cluster between 400 and 1000 K (Figure not shown).

Thus, the analysis of ionic motion,  $\delta_{\rm rms}$ , MSD's and critical inter atomic distances clearly demonstrate that among the three clusters, the neutral Au<sub>6</sub> remains in its ground state conformation up to 1100 K while its charged counterparts do not remain in the ground state conformation beyond 400 K.

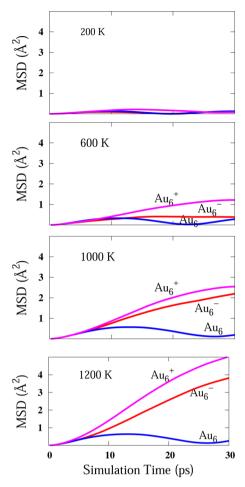
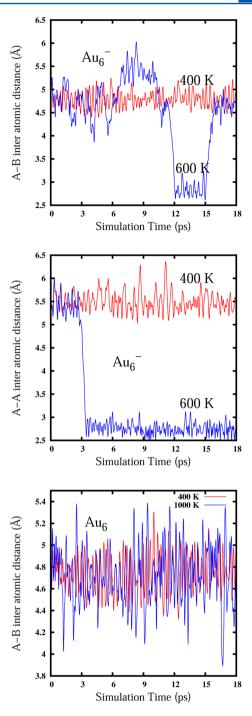


Figure 4. MSD of atoms in  ${\rm Au_6}$ ,  ${\rm Au_6^-}$ , and  ${\rm Au_6^+}$  clusters between 200 to 1200 K.

Incidentally, 1100 K is very close to the melting temperature of bulk gold (1337 K).

Usually in clusters the surface-to-volume ratio is higher as compared to that of bulk. This is traditionally expected to bring down the temperature up to which the solid cluster is stable (as compared to that of bulk). However, this traditional expectation is seen to be inconsistent in case of some unique sized  $\mathrm{Na}$ ,  $^{51}$   $\mathrm{Ga}$ ,  $^{52}$  and  $\mathrm{Al}$ . Later studies revealed that the structural and electronic properties contribute considerably towards these variations. In the case of gold, among most of the small sized neutral clusters, only  $\mathrm{Au}_4$ ,  $\mathrm{Au}_6$ , and  $\mathrm{Au}_{10}$  have been found to remain in their ground state beyond 500 K.  $\mathrm{Au}_6$  is the most stable one of these three (1100 K as compared to 800 of  $\mathrm{Au}_4$  and  $\mathrm{Au}_{10}$ ). This stability is lost upon adding or removing an electron to  $\mathrm{Au}_6$ .

In order to bring out the factors stabilizing the neutral  $Au_6$  conformation (or factors destabilizing the charged  $Au_6$  conformations), we analyze their structural and electronic properties. Figure 6 shows the detailed structural properties of  $Au_6$  in all the three states. The six atoms in each conformation can be classified into two types depending on their distinct chemical environment, viz., (a) 'B' atoms forming an inner triangle and (b) 'A' atoms capping the edge of each inner triangle. In the neutral cluster, the inner triangle ('B–B–B' triangle) is an equilateral one with an inter atomic distance of 2.84 Å. The outer triangles (ABB) have a 'A–B–B' angle of  $57.5^{\circ}$  and a 'B–A–B' angle of  $64^{\circ}$  (and hence, the outer



**Figure 5.** Fluctuation in the A–B and A–A inter atomic distances (refer to Figure 1) within  $Au_6^-$  and  $Au_6$  clusters at various temperatures.

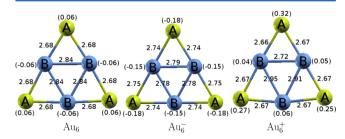


Figure 6. Structural details of Au<sub>6</sub>, Au<sub>6</sub>, and Au<sub>6</sub><sup>+</sup> clusters.

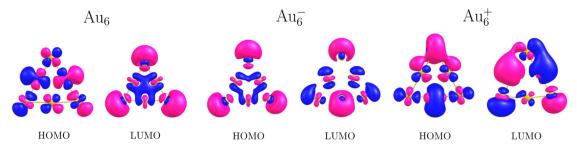


Figure 7. HOMO and LUMO molecular orbitals of  $Au_6$ ,  $Au_6^-$  and  $Au_6^+$  clusters. The red and the blue lobes represent the positive and negative sign of the wave function.

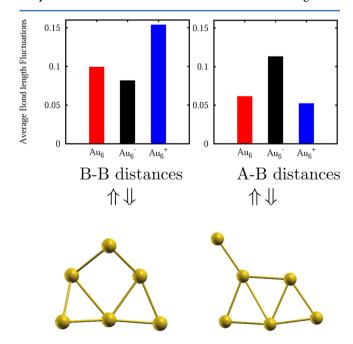
triangles are not equilateral). These structural features reflect in the NBO $^{55,56}$  (natural bond orbital) charge distribution within the cluster. The inner triangle atoms (B) are negatively charged (each atom has -0.06 electrons on it), whereas the cap atoms (A) are positively charged (each having a positive charge of 0.06). Consequently, there is a net transfer of nearly 0.20 electrons from the cap atoms to the inner triangular atoms leading to larger 'B–B' bonds lengths (larger by 0.15 Å) as compared to the 'A–B' interatomic distances.

In the case of Au<sub>6</sub>, the 'B-B' inter atomic distances decrease to a value of 2.78 Å as compared to the neutral one. The 'A-B' inter atomic distances increase to a value of 2.76 Å leading to nearly equivalent 'A-B' and 'B-B' inter atomic distances. However, the entire cluster expands on the whole as compared to the neutral conformation to fit in the extra electron as seen from the values of the area of triangle. The area of the negatively charged cluster is about 13.13 Å as compared to 12.65 Å of neutral cluster. The net charge difference between all the 'A' and all the 'B' atoms in the negatively charged cluster is 0.09 electrons. The 'A' atoms have a negative charge of -0.18, and 'B' atoms have a negative charge of -0.15. Thus, the extra electron is shared by both 'A' and 'B' atoms with the cap atoms having an excess of 0.03 electrons each. However, it is important to note that this excess 0.03 electrons is localized on each atom, unlike in case of neutral cluster where the excess of 0.06 electrons on each inner triangular atom can contribute to a delocalized electron cloud of 0.20 electrons. Owing to this nearly equivalent charge distribution in all the six sites in  $Au_6^-$ , it is able to accommodate up to 6 CO molecules as seen in one of the earlier studies, albeit with structural modifications for the fourth CO molecule.<sup>42</sup> In case of Au<sub>6</sub>, two 'A' atoms lie out of plane with respect to the rest of the atoms in the cluster (resulting in 'A-B-A' angles to be 168.9°) indicating that the cluster is not perfectly planar to start with. The 'B-B' inter atomic distances in this case are 2.95 Å, 2.91 Å, and 2.72 Å, respectively. Thus, the inner triangle in Au<sub>6</sub><sup>+</sup> is no longer equilateral unlike its negative and neutral counterparts. Deviation from the symmetry reflects in the atomic charge distribution where all the cap atoms no longer carry equivalent charges. The two 'A' atoms lying out of plane have an atomic charges of 0.25 and 0.27 as compared to 0.32 on the third 'A' atom. A net positive charge of 0.84 is localized on the cap atoms ('A' atoms), while a net positive charge of 0.15 is on the inner triangle atoms ('B' atoms).

Figure 7 shows the frontier molecular orbitals of  $Au_6$ ,  $Au_6^-$ , and  $Au_6^+$  clusters. The HOMO of neutral  $Au_6$  cluster shows s-d hybridization with 37% s and 63% d character On the other hand, the HOMO's of anionic and cationic  $Au_6$  clusters are predominantly s (71%) and d type (78%), respectively. Thus, the s-d hybridization is some what more higher in the neutral

cluster as compared to the negative one. The s–d hybridization is least in cationic cluster among the three. The HOMO LUMO gap of the  $Au_6$  cluster is 2.13 eV, whereas for the  $Au_6^-$  and  $Au_6^+$  clusters it is 0.34 and 0.14 eV, respectively. Also interestingly,  $Au_6^-$  with relatively greater s–d hybridization (and HOMO–LUMO energy gap) with respect to  $Au_6^+$  undergoes a 2d–3d structural transition only around 900 K as compared to the cationic one at 500 K. In some of the reports recently, <sup>38,57</sup> it is proposed that enhanced s–d hybridization (which is due to relativistic effects) is responsible for higher stabilization of planar gold clusters. Thus, we note that (i) enhanced s–d hybridization, (ii) higher HOMO–LUMO energy gap, and (iii) the presence of a negatively charged inner triangle atoms bonded to positively charged caps jointly contribute to the higher stability of  $Au_6^0$  as compared to  $Au_6^-$  and  $Au_6^+$ .

Finally, in order to have a complete picture of stabilizing/ destabilizing factors in each cluster, we elaborate on the movements of individual atoms within the cluster. The  $\delta_{\rm rms}$  for 'A–B'(cap-inner triangular bond length fluctuations) and 'B–B' (inner triangular-inner triangular bond length fluctuations) bond lengths for all the three clusters at 400 K are shown in Figure 8. The reason for choosing 400 K is that at this temperature all the three clusters oscillate around their ground

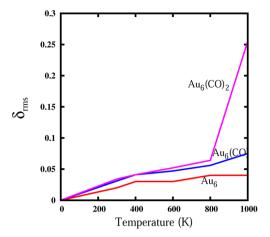


**Figure 8.** Averaged bond length uctuations in 'A–B' and 'B–B' atom pairs in  $Au_6$ ,  $Au_6^-$  and  $Au_6^+$  clusters. Also given below are the conformations seen in  $Au_6^+$  and  $Au_6^-$ respectively at 500 K before the ground state transitsinto another conformation.

state. An analysis of the individual atom movements at this temperature is expected to bring about an understanding of the atoms that catalyze the structural modifications in each cluster. Figure 8 shows that the 'A-B' bond distances fluctuations are larger in Au<sub>6</sub>. In other words, the cap atoms have higher atomic displacements in the negatively charged cluster as compared to the neutral and positive clusters. On the other hand, in the case of Au<sub>6</sub>, it is the inner triangular atoms that move considerably. This is consistent with the type of conformations that we note in the ionic motions around 500 K. Around 500 K, it is the cap atoms in Au<sub>6</sub> that get displaced first leading to other type of conformations. On the other hand, in the case of Au<sub>6</sub>, it is the inner triangular atomic motions that catalyze the transition into other high energy conformations. In contrast, Au<sub>6</sub>, with higher s-d hybridization, has low 'A-B' and 'B-B' bond length fluctuations. The absence of any catalyzing atomic movements allows the cluster to retain its conformation up to to much higher temperatures as compared to its charged counterparts.

B. Effect of Finite Temperature on CO Adsorbed Au Clusters. Chemical reaction of gold clusters with CO ligand is one of the most studied issues by both experimental and theoretical methods. Hence, there is a considerable amount of understanding available on the electronic and structural properties of CO adsorbed gold clusters. 41,42,58,59 However, very little is known on the influence of CO adsorption towards the finite temperature behavior of gold clusters. Hence, we complete this study by addressing the finite temperature behavior of CO molecule adsorbed Au<sub>6</sub> clusters. For this purpose, one and two CO molecules are adsorbed respectively on neutral, cationic and anionic Au<sub>6</sub> clusters and finite temperature simulations carried out on the complexes as mentioned earlier. Our study reveals that CO ligated neutral Au<sub>6</sub> clusters remain stable up to 800 K as compared to the 1100 K of bare (nonligated) cluster. Until 800 K, the only structural changes observed in  $Au_6-CO_n$  (n=1,2) complexes are the rotation of Au-Au-C-O bonds between 0 and 180 degrees. The fact that the complexes retains their original conformation up to this temperature is demonstrated using average bond length fluctuations in Figure 9. Around 1000 K, both the complexes undergo structural transitions to 3D conformations. The conformational change is driven by the motion of the CO bonded Au atom moving out of the plane of other 5 Au atoms along with the CO ligand. In the case of the Au<sub>6</sub>-CO<sub>2</sub> complex, only one of the CO bonded Au atom moves out of the plane of the rest the complex. The CO molecule/s remains bonded to the same Au atom up to a temperature of 1400 K, beyond which it dissociates itself from the Au cluster. In summary, the neutral Au<sub>6</sub> CO ligated conformations are about 300 K less stable than the bare Au<sub>6</sub> conformation. On the other hand, the ligated anionic D3h conformations remain stable up to 800 K as compared to 500 K in bare anionic cluster. Thus, the stability of ligated neutral clusters decreases by 300 K while that of ligated anionic clusters increases by 300 K. The ligated cationic Au<sub>6</sub> conformations do not retain their D<sub>3h</sub> conformation even at 300 K by undergoing structural transitions into other 2D and 3D structures. Factors contributing to the modification of the finite temperature behavior of Au<sub>6</sub> clusters when complexed with CO molecule/s are elaborated below.

Figure 10 shows the atomic nomenclature used for CO adsorbed complexes. The CO ligated cap atoms are named 'A1', and the unligated cap atoms are called 'A'. Similarly, the inner triangular atoms bonded to 'A1' are called 'B1'. Figure 10 also



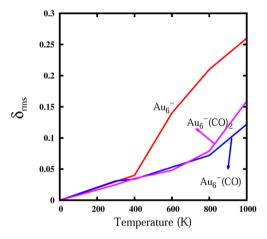
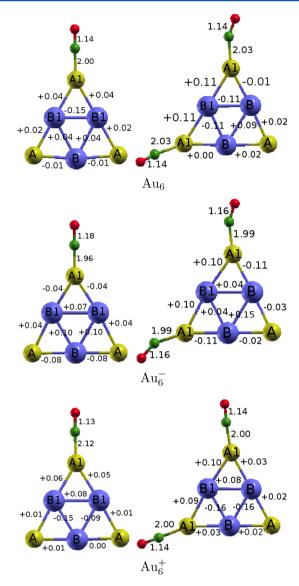


Figure 9. Bond length uctuations  $(\delta_{rms})$  as a function of temperature in Au<sub>6</sub>-(CO)<sub>n</sub> and Au<sub>6</sub>-(CO)<sub>n</sub> complexes where n = 0, 1, 2.

gives the differential bond lengths in all the three clusters with respect to the bare clusters, which shows that upon CO molecule adsorption, specific pockets of cluster undergo major structural modifications. In the case of neutral  $\mathrm{Au_6-CO_n}$  complexes, the overall structural modification that can be seen is shrinking of bond lengths between inner triangular atoms and the elongation of outer triangular bond lengths. A similar trend is also noted in  $\mathrm{Au_6^+(CO)_n}$  complexes. In the case of  $\mathrm{Au_6^-(CO)_n}$  complexes, the outer triangle is seen to shrink while the inner triangle is seen to increase in size. Significant CO bond elongations are seen in the  $\mathrm{Au_6^-(CO)_n}$  complexes (the bare CO bond distance is 1.14~Å), indicating a strong  $\mathrm{Au_6^-}$  cluster—CO molecule interaction.

Analysis of MOs for all the complexes reveals that in the case of a neutral cluster, the HOMO orbital is not affected while the LUMO orbital is modified as compared to the bare cluster (see Supporting Information). This leads to a reduction of the HOMO–LUMO energy gap in both the CO adsorbed  $Au_6^0$  clusters (energy gap is 1.77 and 1.34 for CO = 1 and 2, respectively). In the case of charged complexes, HOMO and LUMO orbitals are both modified significantly. As a consequence, the HOMO–LUMO energy gap in negatively charged complexes increases to 0.46 and 0.52, respectively. The energy gap in the  $Au_6^+(CO)_n$  complexes is 0.12 and 0.10, respectively. Most importantly, the contribution of 6S and 5D orbitals towards the HOMO and LUMO do not change



**Figure 10.** Differential structural parameters for  $Au_6$ - $(CO)_n$ ,  $Au_6^ (CO)_n$  and  $Au_6^+$   $(CO)_n$  complexes where n = 1, 2 with respect to  $Au_6$ ,  $Au_6^-$ , and  $Au_6^+$  clusters, respectively.

significantly as compared to the bare cluster in all the three cases.

The cluster-ligand interaction and the resulting stability (destability) of gold cluster is understood by evaluating the atomic charge distribution within all the complexes. Table 1 shows the atomic charge distribution within neutral, cationic, and anionic (Au<sub>6</sub>-CO<sub>n</sub> (n = 0,1,2)) complexes. It is seen that in the case of neutral and positively charged clusters, the CO molecule acts as a reducing agent and looses some electrons to the cluster. In the case of neutral clusters, the gold cluster gains 0.10 and 0.14 e, respectively, upon adsorption of one and two CO molecule, s which is also seen from the increase in the C-O stretching frequency to 2168 cm<sup>-1</sup> (stretching frequency for free CO is 2133 cm<sup>-1</sup>). In the positively charged cluster, the gold cluster gains 0.21 and 0.39 e, respectively. The interacting cap atoms ('A1' atoms) in both these cases are most positively charged. These cap atoms attempt to move out of the plane in an attempt to share the electrons distributed over rest of the cluster. Hence, the CO ligated neutral and positively charged Au<sub>6</sub> clusters are less stable as compared to their bare

Table 1. Atomic Charges for  $Au_6(CO)_n$  (n = 0,1,2) Complexes (cf. Figure 3 and Figure 7) As Obtained by Natural Bond Orbital Analysis

$\begin{array}{c ccccccccccccccccccccccccccccccccccc$				
atom 'A' $0.06$ $-0.013$ $-0.055$ atom 'B1' $ -0.049$ $-0.003$ atom 'B' $-0.06$ $-0.043$ $-0.101$ carbon $ 0.458$ $0.439$ oxygen $ -0.359$ $-0.369$ charge on CO $ 0.099$ $0.140$ Atom         Au <sub>6</sub> Au <sub>6</sub> -(CO) <sub>1</sub> Au <sub>6</sub> -(CO) <sub>2</sub> atom 'A1' $ -0.059$ $-0.030$ atom 'A2' $-0.18$ $-0.171$ $-0.193$ atom 'B1' $ -0.194$ $-0.171$ atom 'B2' $-0.15$ $-0.122$ $-0.194$ carbon $ 0.357$ $0.350$ oxygen $ -0.449$ $-0.445$ charge on CO $ -0.092$ $-0.190$ Atom 'A1' $ -0.092$ $-0.190$ Atom 'A1' $ -0.195$ $0.177$ atom 'A1' $ 0.195$ $0.177$	Atom	$Au_6$	$Au_6-(CO)_1$	$Au_6-(CO)_2$
atom 'B1'         - $-0.049$ $-0.003$ atom 'B' $-0.06$ $-0.043$ $-0.101$ carbon         - $0.458$ $0.439$ oxygen         - $-0.359$ $-0.369$ charge on CO         - $0.099$ $0.140$ Atom $Au_6^-$ (CO) $_1$ $Au_6^-$ (CO) $_2$ atom 'A1'         - $-0.059$ $-0.030$ atom 'B1'         - $-0.194$ $-0.171$ atom 'B1'         - $-0.194$ $-0.171$ atom 'B' $-0.15$ $-0.122$ $-0.194$ carbon         - $0.357$ $0.350$ oxygen         - $-0.449$ $-0.445$ charge on CO         - $-0.092$ $-0.190$ Atom 'A1'         - $-0.092$ $-0.190$ atom 'A1'         - $0.195$ $0.177$ atom 'A1'         - $0.195$ $0.177$ atom 'A1'         - $0.195$ $0.177$ atom 'B1'         -	atom 'A1'	_	0.068	0.061
atom 'B' $-0.06$ $-0.043$ $-0.101$ carbon $ 0.458$ $0.439$ oxygen $ -0.359$ $-0.369$ charge on CO $ 0.099$ $0.140$ Atom $Au_6^ Au_6^-$ (CO) <sub>1</sub> $Au_6^-$ (CO) <sub>2</sub> atom 'A1' $ -0.059$ $-0.030$ atom 'B1' $ -0.194$ $-0.171$ atom 'B1' $ -0.194$ $-0.171$ atom 'B' $-0.15$ $-0.122$ $-0.194$ carbon $ 0.357$ $0.350$ oxygen $ -0.449$ $-0.445$ charge on CO $ -0.092$ $-0.190$ Atom $Au_6^+$ $Au_6^+$ —(CO) <sub>1</sub> $Au_6^+$ —(CO) <sub>2</sub> atom 'A1' $ 0.195$ $0.177$ atom 'A1' $ 0.195$ $0.177$ atom 'A1' $ 0.195$ $0.177$ atom 'B1' $ 0.064$ $0.044$	atom 'A'	0.06	-0.013	-0.055
carbon         - $0.458$ $0.439$ oxygen         - $-0.359$ $-0.369$ charge on CO         - $0.099$ $0.140$ Atom $Au_6^ Au_6^-$ (CO) <sub>1</sub> $Au_6^-$ (CO) <sub>2</sub> atom 'A1'         - $-0.059$ $-0.030$ atom 'A2' $-0.18$ $-0.171$ $-0.193$ atom 'B1'         - $-0.194$ $-0.171$ atom 'B' $-0.15$ $-0.122$ $-0.194$ carbon         - $0.357$ $0.350$ oxygen         - $-0.449$ $-0.445$ charge on CO         - $-0.092$ $-0.190$ Atom 'A1'         - $-0.092$ $-0.190$ atom 'A1'         - $0.195$ $0.177$ atom 'A1'         - $0.195$ $0.177$ atom 'A1'         - $0.195$ $0.177$ atom 'B1'         - $0.064$ $0.044$ atom 'B1'         - $0.064$ $0.044$ atom 'B1' <td< td=""><td>atom 'B1'</td><td>_</td><td>-0.049</td><td>-0.003</td></td<>	atom 'B1'	_	-0.049	-0.003
oxygen         - $-0.359$ $-0.369$ charge on CO         - $0.099$ $0.140$ Atom $Au_6^ Au_6^-$ (CO) <sub>1</sub> $Au_6^-$ (CO) <sub>2</sub> atom 'A1'         - $-0.059$ $-0.30$ atom 'A2' $-0.18$ $-0.171$ $-0.193$ atom 'B1'         - $-0.194$ $-0.171$ atom 'B' $-0.15$ $-0.122$ $-0.194$ carbon         - $0.357$ $0.350$ oxygen         - $-0.449$ $-0.445$ charge on CO         - $-0.092$ $-0.190$ Atom $Au_6^+$ $Au_6^+$ (CO) <sub>1</sub> $Au_6^+$ (CO) <sub>2</sub> atom 'A1'         - $0.195$ $0.177$ atom 'A2' $0.32$ , $0.25$ , $0.27$ $0.192$ $0.106$ atom 'B1'         - $0.064$ $0.044$ atom 'B2' $0.06$ , $0.05$ , $0.04$ $0.086$ $0.055$ carbon         - $0.495$ $0.490$ oxygen         - $-0.288$ $-0.296$	atom 'B'	-0.06	-0.043	-0.101
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	carbon	_	0.458	0.439
Atom $Au_6^ Au_6^-$ (CO) <sub>1</sub> $Au_6^-$ (CO) <sub>2</sub> atom 'A1'         -         -0.059         -0.030           atom 'A2'         -0.18         -0.171         -0.193           atom 'B1'         -         -0.194         -0.171           atom 'B2'         -0.15         -0.122         -0.194           carbon         -         0.357         0.350           oxygen         -         -0.449         -0.445           charge on CO         -         -0.092         -0.190           Atom $Au_6^+$ $Au_6^+$ -(CO) <sub>1</sub> $Au_6^+$ -(CO) <sub>2</sub> atom 'A1'         -         0.195         0.177           atom 'A2'         0.32, 0.25, 0.27         0.192         0.106           atom 'B1'         -         0.064         0.044           atom 'B2'         0.06, 0.05, 0.04         0.086         0.055           carbon         -         0.495         0.490           oxygen         -         -0.288         -0.296	oxygen	_	-0.359	-0.369
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	charge on CO	_	0.099	0.140
atom 'A' $-0.18$ $-0.171$ $-0.193$ atom 'B1' $ -0.194$ $-0.171$ atom 'B' $-0.15$ $-0.122$ $-0.194$ carbon $ 0.357$ $0.350$ oxygen $ -0.449$ $-0.445$ charge on CO $ -0.092$ $-0.190$ Atom $Au_6^+$ $Au_6^+$ $-(CO)_1$ $Au_6^+$ $-(CO)_2$ atom 'A1' $ 0.195$ $0.177$ atom 'A2' $0.32$ , $0.25$ , $0.27$ $0.192$ $0.106$ atom 'B1' $ 0.064$ $0.044$ atom 'B' $0.06$ , $0.05$ , $0.04$ $0.086$ $0.055$ carbon $ 0.495$ $0.490$ oxygen $ -0.288$ $-0.296$	Atom	$Au_6^-$	$Au_6^(CO)_1$	$Au_6^(CO)_2$
atom 'B1'       - $-0.194$ $-0.171$ atom 'B' $-0.15$ $-0.122$ $-0.194$ carbon       - $0.357$ $0.350$ oxygen       - $-0.449$ $-0.445$ charge on CO       - $-0.092$ $-0.190$ Atom $Au_6^+$ $Au_6^+$ $-(CO)_1$ $Au_6^+$ $-(CO)_2$ atom 'A1'       - $0.195$ $0.177$ atom 'A' $0.32$ , $0.25$ , $0.27$ $0.192$ $0.106$ atom 'B1'       - $0.064$ $0.044$ atom 'B' $0.06$ , $0.05$ , $0.04$ $0.086$ $0.055$ carbon       - $0.495$ $0.490$ oxygen       - $-0.288$ $-0.296$	atom 'A1'	_	-0.059	-0.030
atom 'B' $-0.15$ $-0.122$ $-0.194$ carbon       - $0.357$ $0.350$ oxygen       - $-0.449$ $-0.445$ charge on CO       - $-0.092$ $-0.190$ Atom $Au_6^+$ $Au_6^+$ $-(CO)_1$ $Au_6^+$ $-(CO)_2$ atom 'A1'       - $0.195$ $0.177$ atom 'A' $0.32$ , $0.25$ , $0.27$ $0.192$ $0.106$ atom 'B1'       - $0.064$ $0.044$ atom 'B' $0.06$ , $0.05$ , $0.04$ $0.086$ $0.055$ carbon       - $0.495$ $0.490$ oxygen       - $-0.288$ $-0.296$	atom 'A'	-0.18	-0.171	-0.193
carbon         - $0.357$ $0.350$ oxygen         - $-0.449$ $-0.445$ charge on CO         - $-0.092$ $-0.190$ Atom $Au_6^+$ $Au_6^+$ -(CO) <sub>1</sub> $Au_6^+$ -(CO) <sub>2</sub> atom 'A1'         - $0.195$ $0.177$ atom 'A' $0.32$ , $0.25$ , $0.27$ $0.192$ $0.106$ atom 'B1'         - $0.064$ $0.044$ atom 'B' $0.06$ , $0.05$ , $0.04$ $0.086$ $0.055$ carbon         - $0.495$ $0.490$ oxygen         - $-0.288$ $-0.296$	atom 'B1'	_	-0.194	-0.171
oxygen         - $-0.449$ $-0.445$ charge on CO         - $-0.092$ $-0.190$ Atom $Au_6^+$ $Au_6^+$ -(CO) <sub>1</sub> $Au_6^+$ -(CO) <sub>2</sub> atom 'A1'         -         0.195         0.177           atom 'A'         0.32, 0.25, 0.27         0.192         0.106           atom 'B1'         -         0.064         0.044           atom 'B'         0.06, 0.05, 0.04         0.086         0.055           carbon         -         0.495         0.490           oxygen         -         -0.288         -0.296	atom 'B'	-0.15	-0.122	-0.194
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	carbon	_	0.357	0.350
Atom $Au_6^+$ $Au_6^+$ — $(CO)_1$ $Au_6^+$ — $(CO)_2$ atom 'A1'         —         0.195         0.177           atom 'A'         0.32, 0.25, 0.27         0.192         0.106           atom 'B1'         —         0.064         0.044           atom 'B'         0.06, 0.05, 0.04         0.086         0.055           carbon         —         0.495         0.490           oxygen         —         -0.288         -0.296	oxygen	_	-0.449	-0.445
atom 'A1'     —     0.195     0.177       atom 'A'     0.32, 0.25, 0.27     0.192     0.106       atom 'B1'     —     0.064     0.044       atom 'B'     0.06, 0.05, 0.04     0.086     0.055       carbon     —     0.495     0.490       oxygen     —     -0.288     -0.296	charge on CO	-	-0.092	-0.190
atom 'A' 0.32, 0.25, 0.27 0.192 0.106 atom 'B1' - 0.064 0.044 atom 'B' 0.06, 0.05, 0.04 0.086 0.055 carbon - 0.495 0.490 oxygen 0.288 -0.296	Atom	$Au_6^+$	$Au_6^+$ – $(CO)_1$	$Au_6^+-(CO)_2$
atom 'B1' - 0.064 0.044 atom 'B' 0.06, 0.05, 0.04 0.086 0.055 carbon - 0.495 0.490 oxygen 0.288 -0.296	atom 'A1'	_	0.195	0.177
atom 'B' 0.06, 0.05, 0.04 0.086 0.055 carbon – 0.495 0.490 oxygen – -0.288 -0.296	atom 'A'	0.32, 0.25, 0.27	0.192	0.106
carbon – 0.495 0.490 oxygen – -0.288 -0.296	atom 'B1'	_	0.064	0.044
oxygen – -0.288 -0.296	atom 'B'	0.06, 0.05, 0.04	0.086	0.055
78	carbon	_	0.495	0.490
charge on CO - 0.210 0.388	oxygen	_	-0.288	-0.296
	charge on CO	_	0.210	0.388

counterparts. On the other hand, in the case of a negatively charged cluster, each CO molecule gains about 0.091 e. Interestingly, in this case, the interacting Au atom looses more than 0.1 electrons. Thus, analysis of charge and HOMO orbitals of  $\mathrm{Au}_6^-(\mathrm{CO})_n$  (n=1,2) (see Supporting Information) indicates that there is charge transfer from cluster to the anti bonding orbital of CO molecule, which is further reflected from the decrease in the C–O stretching frequency (1955 cm<sup>-1</sup>) as compared to the free CO. This charge transfer stabilizes the negatively charged cluster. As a consequence, the A–B interatomic bond length fluctuations (cf. Figure 8 and the Supporting Information) that catalyze the transition from the ground state geometry to the next high energy conformation is reduced considerably, thereby stabilizing the anionic clusters by an additional 300 K.

#### IV. CONCLUSIONS

In conclusion, our BOMD simulations shed light on the effect of charge and ligand on the finite temperature behavior of the  $\mathrm{Au}_6$  cluster. The present study reflects how adding or removing an electron from a cluster has contrasting effect on its thermodynamic stability coupled with the structural and electronic properties. The finite temperature behavior indicates that neutral  $\mathrm{Au}_6$  is stable up to much higher temperature, 1100 K, compared to the cationic and anionic  $\mathrm{Au}_6$  clusters (500 K each). Further, ligating the cluster with a small probe such as CO can also moderate the finite temperature behavior. Thus, the present study demonstrates that charge or ligand can be used for fine-tuning not only the chemical but also physical properties of gold clusters, there by affecting their potential use in applications at various temperatures.

#### ASSOCIATED CONTENT

### **S** Supporting Information

Figures showing the frontier molecular orbitals of  $\operatorname{Au}_6(\operatorname{CO})_n$  and  $\operatorname{Au}_6(\operatorname{CO})_n$  where n=1 and average bond length fluctuations in  $\operatorname{Au}_6(\operatorname{CO})_n$  complexes where n=0, 2. This material is available free of charge via the Internet at http://pubs.acs.org.

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#### Notes

The authors declare no competing financial interest.

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