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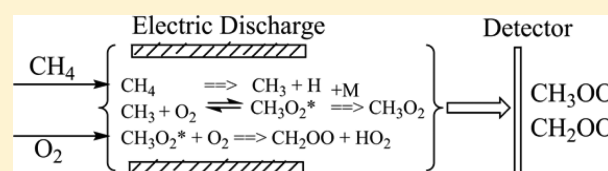
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Relatively Selective Production of the Simplest Criegee Intermediate in a CH<sub>4</sub>/O<sub>2</sub> Electric Discharge: Kinetic Analysis of a Plausible MechanismThanh Lam Nguyen,<sup>†</sup> Michael C. McCarthy,<sup>‡</sup> and John F. Stanton<sup>\*,†</sup><sup>†</sup>Department of Chemistry, The University of Texas at Austin, Mail Stop A5300, Austin, Texas 78712-0165, United States<sup>‡</sup>Harvard-Smithsonian Center for Astrophysics, 60 Garden Street, Cambridge, Massachusetts 02138, United States

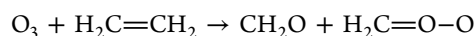
## S Supporting Information

**ABSTRACT:** High -accuracy coupled cluster methods in combination with microcanonical semiclassical transition state theory are used to investigate a plausible formation mechanism of the simplest Criegee intermediate in a CH<sub>4</sub>/O<sub>2</sub> discharge experiment. Our results suggest that the Criegee intermediate is produced in a three-step process: (i) production of methyl radical by cleavage of a C–H bond of CH<sub>4</sub>; (ii) association of methyl radical with molecular oxygen to form a vibrationally excited methyl peroxy, which is in a rapid microequilibrium with the reactants; and finally, (iii) H-abstraction of CH<sub>3</sub>OO by O<sub>2</sub>, which results in the formation of cool CH<sub>2</sub>OO, which has insufficient internal energy to rearrange to dioxirane.



## ■ INTRODUCTION

Long celebrated in the subject of organic chemistry has been the so-called Criegee intermediate (carbonyl oxide), a compound formed during the ozonolysis of alkenes, e.g.,



which was first postulated in 1949.<sup>1,2</sup> Although the existence of the Criegee intermediate has been confirmed through indirect (trapping) methods,<sup>3,4</sup> direct observation of this seemingly elusive species did not occur until quite recently.<sup>5–7</sup> In 2008, the simplest Criegee species H<sub>2</sub>C=O–O was observed with tunable synchrotron photoionization mass spectroscopy by Taatjes and co-workers.<sup>5,6</sup> These workers produced the intermediate by photolysis of CH<sub>2</sub>I<sub>2</sub> in the presence of molecular oxygen, conditions that apparently serve to generate H<sub>2</sub>C=O–O with reasonable efficiency. Subsequent spectroscopic studies using the same basic production method occurred soon thereafter, and now include studies using microwave and infrared spectroscopies<sup>7,8</sup> and electronic spectroscopy.<sup>9</sup>

Recently, McCarthy et al.<sup>10</sup> succeeded in generating H<sub>2</sub>C=O–O in an electrical discharge, starting from two simple precursors: methane and molecular oxygen. Unlike production of this molecule from methylene iodide, both of these compounds are common in the atmosphere, and the generation of the Criegee intermediate in this experiment suggests that it might be formed in the vicinity of atmospheric lightning strikes, which occur approximately  $1.4 \times 10^9$  times annually.<sup>11,12</sup> Surprisingly, the production of H<sub>2</sub>C=O–O in the CH<sub>4</sub>/O<sub>2</sub> discharge appears to be fairly selective: although this molecule is the least stable isomer of CH<sub>2</sub>O<sub>2</sub>, very little evidence for the overwhelmingly more stable formic acid is found in the

microwave spectrum. In addition, both the cyclic compound dioxirane and dihydroxycarbene—both of these isomers having known microwave spectra—were conspicuously absent in the same discharge.

For these reasons, H<sub>2</sub>C=O–O production in the methane/oxygen discharge warrants further study to better understand the underlying formation mechanism. Since an electronic discharge is a complicated environment, a standard kinetics analysis of the reaction system is not possible, and any results of such a study must remain largely qualitative and somewhat speculative in nature. In this short paper, we document a plausible scenario by which relatively clean generation of stabilized and relatively cold H<sub>2</sub>C=O–O might be achieved in a CH<sub>4</sub>/O<sub>2</sub> discharge. An exploratory microcanonical analysis of the chemical kinetics is also considered, and suggests that relatively selective generation of H<sub>2</sub>C=O–O is indeed possible at energies typically accessible in a discharge experiment.

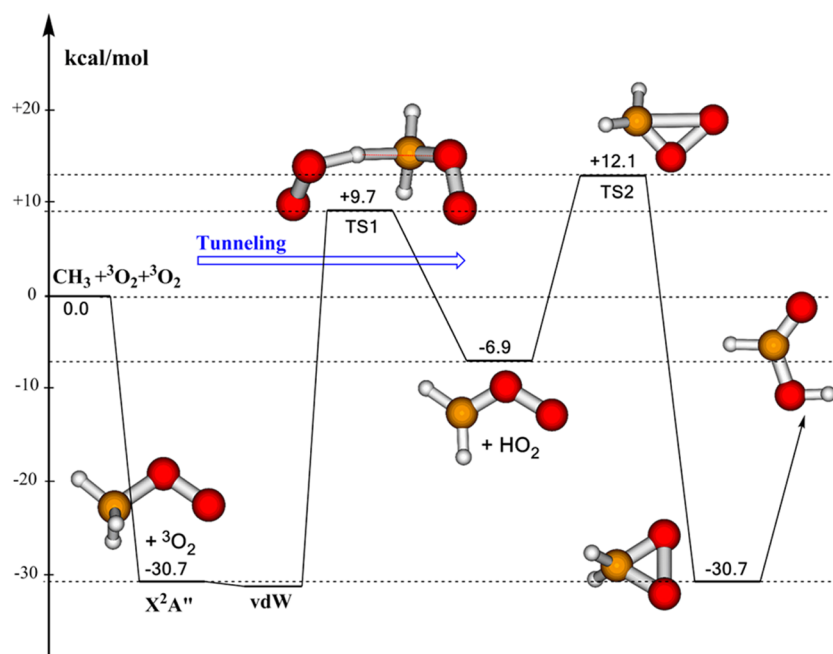
## ■ QUANTUM CHEMICAL CALCULATION

For small chemical species, which have three (or less than three) heavy atoms as displayed in Figure 1, the HEAT-345(Q) protocol is a practical and highly accurate means for determining energies.<sup>13–15</sup> It is well established that this level of theory can provide an accurate relative energy, within 0.25 kcal/mol (1 kJ/mol), for stationary points whose electronic

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**Figure 1.** Schematic reaction energy profile for forming the simplest Criegee intermediate in the  $\text{CH}_4/\text{O}_2$  electric discharge experiment, constructed using the mHEAT-345(Q) method.

wave functions are dominated by a single determinant Hartree–Fock reference function.<sup>13–15</sup> However, for molecules such as TS1 in Figure 1—which has five heavy atoms and is an open-shell species—HEAT-345(Q) calculations are very demanding and other schemes become desirable alternatives. Therefore, a less accurate method that is a modification of the original HEAT-345(Q) protocol, designated here as mHEAT-345(Q), will be applied in this work. In this simplified protocol, stationary points on the lowest-lying doublet electronic state potential energy surface for reaction of  $\text{CH}_3$  and  $\text{O}_2$  (as presented in Figure 1) to produce the  $\text{H}_2\text{C}=\text{O}-\text{O}$  molecule are optimized using coupled-cluster with single, double, and noniterative triple excitations (CCSD(T)) in combination with the atomic natural orbital basis set of Taylor and Almlöf<sup>16,17</sup> designated as ANO1.<sup>18</sup> Harmonic frequency analysis is subsequently done to characterize stationary points located: all 3N–6 real frequencies for a minimum and only one imaginary frequency for a transition structure (TS). Anharmonic force fields are also computed using the same CCSD(T) method, but with a smaller basis set (ANO0)<sup>18</sup> to obtain anharmonic constants. The zero-point vibrational energy (ZPE) is then calculated with second-order vibrational perturbation theory (VPT2)<sup>19</sup> using the harmonic CCSD(T)/ANO1 and anharmonic CCSD(T)/ANO0 force fields. The frozen-core approximation is used in all such calculations. A complete documentation of mHEAT-345(Q) is as follows:

- i. Molecular geometries are optimized with CCSD(T)/ANO1 level of theory in the frozen-core (FC) approximation.
- ii. ZPEs are computed using second-order vibrational perturbation theory (VPT2). The FC–CCSD(T)/ANO1 harmonic and FC–CCSD(T)/ANO0 anharmonic force fields are used.
- iii. SCF-HF energies are calculated using the correlation consistent basis sets cc-pVXZ (with  $X = 3(\text{T})$ ,  $4(\text{Q})$ , and  $5$ ).<sup>20</sup> These three energies are then extrapolated to the

complete basis set limit in order to obtain the SCF-HF energy.<sup>21</sup>

$$E_{\text{HF}}^X = E_{\text{HF}}^\infty + a \exp(-bX)$$

- iv. CCSD(T) correlation energies are calculated using the basis sets cc-pVXZ (with  $X = 4(\text{Q})$  and  $5$ ). The frozen-core (FC) CCSD(T) correlation energy extrapolated to the complete basis set limit is then obtained.<sup>22</sup>

$$\Delta E_{\text{CCSD(T)}}^X = \Delta E_{\text{CCSD(T)}}^\infty + aX^{-3}$$

- v. Core–valence correction energies are calculated as the difference in energy between (all electron) AE–CCSD(T)/cc-pCVTZ and FC–CCSD(T)/cc-pVTZ levels of theory.

$$\Delta E_{\text{Core}} = \Delta E_{\text{cc-pCVTZ}} - \Delta E_{\text{cc-pVTZ}}$$

- vi. Triple excitation effects that are not included in the CCSD(T) treatment of correlation are estimated as the difference in energy between FC–CCSDT/cc-pVTZ and FC–CCSD(T)/cc-pVTZ levels of theory.

$$\Delta E_{\text{T-(T)}} = \Delta E_{\text{CCSDT}} - \Delta E_{\text{CCSD(T)}}$$

- vii. Noniterative quadruple excitation corrections are obtained as a difference in energy between FC–CCSDT(Q)/cc-pVDZ and FC–CCSDT/cc-pVDZ levels of theory.

$$\Delta E_{(\text{Q})-\text{T}} = \Delta E_{\text{CCSDT(Q)}} - \Delta E_{\text{CCSDT}}$$

- viii. The diagonal Born–Oppenheimer correction (DBOC) is calculated using SCF-HF/aug-cc-pVTZ level of theory. RHF and ROHF methods are used for closed- and open-shell systems, respectively.

- ix. Spin–orbit corrections are taken from experiment whenever they are available (however, no molecules studied in this research are in degenerate electronic states, so none exhibits a first-order SO effect, but this is included for the purpose of completing the description).
- x. Scalar relativistic effects including one- and two-electron Darwin, and mass-velocity terms are calculated using SCF-HF/aug-cc-pCVTZ level of theory.

The total energy of mHEAT method can be then expressed in the usual manner:<sup>13</sup>

$$E_{\text{mHEAT}} = E_{\text{HF}}^{\infty} + \Delta E_{\text{CCSD(T)}}^{\infty} + \Delta E_{\text{Core}} + \Delta E_{\text{T-(T)}} + \Delta E_{(\text{Q})-\text{T}} + \Delta E_{\text{REL}} + \Delta E_{\text{ZPE}} + \Delta E_{\text{DBOC}} + \Delta E_{\text{SO}}$$

with the understanding that each contribution is defined as above.

As an initial and simple test of mHEAT, we calculated the binding energy of methylperoxy radical, an important intermediate that is formed by combination of methyl radical with triplet O<sub>2</sub>, using mHEAT and HEAT-345(Q) methods. The results presented in Table 1 show that mHEAT gives a

**Table 1. Contributions of Energies (kcal/mol) (Relative to CH<sub>3</sub> + 2O<sub>2</sub>) of Various Terms to Barrier Height and Binding Energy of CH<sub>3</sub>OO Calculated Using a Modified HEAT Method (See Text)**

term	barrier height	CH <sub>3</sub> OO(X <sup>2</sup> A'') + O <sub>2</sub>
Δ <sub>SCF</sub>	46.80	−15.14
Δ <sub>CCSD(T)</sub>	−36.72	−21.67
Δ <sub>T-(T)</sub>	−2.24	−0.19
Δ <sub>(Q)-T</sub>	−1.44	0.24
Δ <sub>ZPE</sub>	2.75	5.90
Δ <sub>Core</sub>	0.29	0.00
Δ <sub>DBOC</sub>	0.07	−0.02
Δ <sub>scalar</sub>	0.15	0.12
Δ <sub>SO</sub>	0.00	0.00
total	9.66	−30.75

(HEAT: −30.40)<sup>a</sup>

[ATcT: −30.61 ± 0.24]<sup>b</sup>

<sup>a</sup>Obtained with HEAT-345(Q) method. <sup>b</sup>Taken from ATcT.<sup>23–26</sup>

value of 30.7 kcal/mol, which is in excellent agreement with an ATcT value of 30.61 ± 0.24 kcal/mol<sup>23–26</sup> as well as 30.4 kcal/mol obtained with HEAT-345(Q) protocol.<sup>13–15</sup> It is therefore plausible to expect that the mHEAT method, which can be used for medium-sized reaction systems, may provide an energy for stable chemical species that is sufficiently accurate to be suitable for largely qualitative studies such as that reported in this research.

The CFOUR quantum chemistry program<sup>27</sup> was used for all of the calculations reported here except that of the CCSDT(Q) energy, which used the MRCC code,<sup>28</sup> as interfaced with CFOUR.

## CHEMICAL REACTION MECHANISM

In the original study,<sup>10</sup> a mixture of CH<sub>4</sub> and O<sub>2</sub> gases was passed through an electric discharge and the simplest Criegee, CH<sub>2</sub>=O–O, is apparently produced with high selectivity. Here, we propose a possible reaction mechanism (as shown in Figure 1) that leads to formation of “cool” CH<sub>2</sub>=O–O, as is

required in light of the absence of other CH<sub>2</sub>O<sub>2</sub> isomers, particularly the dioxirane molecule, which is separated from the less stable H<sub>2</sub>C=O–O by a barrier of only 19.0 kcal/mol. The first step in this mechanism is production of methyl radicals from CH<sub>4</sub> either by breaking a C–H bond or hydrogen abstraction by “hot” O<sub>2</sub>, processes that almost certainly take place in the discharge. Once methyl radical is formed, it can rapidly combine with O<sub>2</sub>, which is present in excess relative to CH<sub>3</sub>, to form vibrationally excited methylperoxy radical (CH<sub>3</sub>OO). This species has an excess internal energy of (at least) 30.7 kcal/mol, which is the C–O bond energy calculated, as mentioned in the last section with mHEAT-345(Q) (30.4 with HEAT, see Table 1). Further dissociation of “hot” nascent methylperoxy radicals is not favored because this process requires additional energy still (at least 58 kcal/mol).<sup>29</sup> Instead, CH<sub>3</sub>OO—which is observed as one of the discharge products—will rapidly redissociate to CH<sub>3</sub> plus O<sub>2</sub> as soon as it is formed, therefore resulting in rapid establishment of the microcanonical equilibrium CH<sub>3</sub> + O<sub>2</sub> ⇌ CH<sub>3</sub>OO\* (here, CH<sub>3</sub>OO\* with an asterisk represents “hot” CH<sub>3</sub>OO species that are in vibrationally excited states). “Hot” methylperoxy radical could lose some of its internal energy by collision with a third body (e.g., O<sub>2</sub>, CH<sub>4</sub> or—more likely as it is present in great excess of either oxygen or methane—the inert buffer gas in the experiment). Detection of CH<sub>3</sub>OO indicates that some fraction of these energetic species are collisionally stabilized on the time scale of the expansion, owing to the large number of collisions.<sup>10</sup>

Competing with both dissociation and collisional relaxation, however, is the reaction of hot CH<sub>3</sub>OO\* with another O<sub>2</sub> molecule, which can promptly extract a hydrogen atom to form CH<sub>2</sub>=O–O plus HO<sub>2</sub>. This reaction path takes place via TS1 and faces a substantial barrier, calculated to be 40.4 kcal/mol (which is 9.7 kcal/mol above the asymptotic energy of the initial reactants, CH<sub>3</sub> + 2O<sub>2</sub>). Contributions of various terms to the calculated barrier height are displayed in Table 1. As can be seen in Table 1, the simple (SCF-HF) method gives a typically horrendous estimate of the barrier height, which is reduced by 36.7 kcal/mol at the CCSD(T) level. The next most important contribution is the ZPE with a contribution of +2.8 kcal/mol. Both triple and quadruple excitations also play important roles and have the same sign, acting together to reduce the barrier by 3.7 kcal/mol. Other contributions are small. It is important to note that this barrier is calculated based on the unrestricted HF reference (as is always the case with HEAT-based calculations), whose wave function in this case has a high spin-contamination of about ⟨S<sup>2</sup>⟩ = 1.76. This problem is due to interference of quartet (and higher spin multiplicity) electronic states that obviously arise from the interaction of a triplet electronic state of O<sub>2</sub> with a doublet electronic state of CH<sub>3</sub>OO. When the restricted open-shell HF reference is used, the calculated barrier is reduced by 1.0 to 39.4 kcal/mol. The fact that the high-level coupled-cluster calculation of the barrier height is relatively insensitive to the quite serious spin-contamination of the UHF reference is due to the presence of the (exponential) single excitation operator in the coupled cluster wave function, which tends to ameliorate effects due to orbital choice and is well-known.<sup>30</sup> Adopting an average of these two values, we obtain a barrier of 39.9 kcal/mol (or 9.2 kcal/mol above CH<sub>3</sub> + 2O<sub>2</sub>) with an estimated error bar of about ±2 kcal/mol, a value that will be used in the following section in a chemical kinetic analysis.



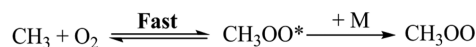
Although the barrier for the H-abstraction step is quite high, TS1 is only about 9 to 10 kcal/mol above the asymptotic energy level of the reactants. Consequently, the formation of the Criegee intermediate by the H-abstraction path via TS1 is certainly possible in the discharge, given the likely presence of vibrationally excited  $\text{CH}_3^*$  and  $\text{O}_2^*$ . Thus, a portion of this required energy can be donated to nascent  $\text{CH}_3\text{OO}^*$  that already has an internal energy of 30.7 kcal/mol. This process will lead to enhanced formation of  $\text{CH}_2=\text{O}-\text{O}$ . At this point, there are four possible outcomes, all subject to the initial internal energy of the  $\text{CH}_3\text{OO}^* + \text{O}_2$  reaction system: (1) Criegee formation cannot occur (by any mechanism) if the internal energy of  $\text{CH}_3\text{OO}^*$  and  $\text{O}_2$  is below that of the Criegee minimum; (2) if the internal energy of  $\text{CH}_3\text{OO}^*$  and  $\text{O}_2$  is between that of Criegee and TS1, nascent Criegee can be formed by quantum mechanical tunneling through TS1; (3) if the internal energy of  $\text{CH}_3\text{OO}^*$  and  $\text{O}_2$  is slightly higher than TS1, nascent Criegee when formed may be cool because a part of the total internal energy may be lost to translational degrees of freedom and to  $\text{HO}_2$  after passing TS1; and finally, (4) Criegee produced will be highly vibrationally excited if the internal energy of the reactants is much higher than TS1. Hot Criegee with sufficient internal energy can then ring-close via TS2 (overcoming a barrier of 19.0 kcal/mol) leading to dioxirane, which will then quickly isomerize to formic acid and subsequently give various products such as  $\text{H}_2 + \text{CO}_2$ ,  $\text{H}_2\text{O} + \text{CO}$ ,  $\text{HO} + \text{HCO}$ , and  $\text{H} + \text{HOCO}$ . A similar reaction mechanism starting with hot Criegee produced by  $\text{O}_3 + \text{C}_2\text{H}_4$  reaction was reported in literature earlier,<sup>31–33</sup> and is an ongoing project in our laboratories. In the discharge experiment, however, at least some Criegee must be formed that is relatively “cold”. Some fraction of hot Criegee could conceivably be stabilized by collisions with the bath gas in the nozzle source, but this outcome seems unlikely given the apparent absence of other much more stable products such as formic acid.

It should be noted that excited states of  $\text{O}_2$  may be present in the discharge. The excited singlet  $^1\Delta$  state of  $\text{O}_2$  lies 22.6 kcal/mol<sup>34</sup> above ground state triplet  $\text{O}_2$ . The association of singlet  $\text{O}_2$  with  $\text{CH}_3$ , however, will correlate to the first excited state  $^2\text{A}'$  of  $\text{CH}_3\text{OO}$  (not shown in Figure 1, see Table S1), which is about 21 kcal/mol above the ground state  $\text{X}^2\text{A}''$  of  $\text{CH}_3\text{OO}$ . Moreover, the first excited state  $^2\text{A}'$  of  $\text{CH}_3\text{OO}$  does not correlate to the products  $\text{CH}_2=\text{O}-\text{O} + \text{HO}_2$ . Nevertheless, it could be that  $\text{CH}_3\text{OO}(^2\text{A}')$  can undergo internal conversion to the ground state, which would likely result in vibrationally hot  $\text{CH}_3\text{OO}^*$  in the electronic ground state. As a result, “hot” Criegee will then be produced and will consequently not survive long enough for detection.

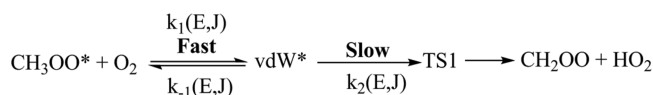
## CHEMICAL KINETICS ANALYSIS

To investigate the plausibility of the above-mentioned reaction mechanism (see Figure 1), we carried out calculations of the microcanonical rate constant as a function of internal energy for the  $\text{CH}_3\text{OO}^* + \text{O}_2 \xrightleftharpoons{\text{fast}} \text{vdW}^* \xrightarrow{\text{slow}} \text{CH}_2=\text{O}-\text{O} + \text{HO}_2$  reaction path in the overall reaction scheme,  $\text{CH}_3 + 2\text{O}_2 \xrightleftharpoons{\text{fast}} \text{CH}_3\text{OO}^* + \text{O}_2 \xrightleftharpoons{\text{fast}} \text{vdW}^* \xrightarrow{\text{slow}} \text{TS1} \rightarrow \text{CH}_2=\text{O}-\text{O} + \text{HO}_2$ , using the microcanonical version of semiclassical transition state theory (SCTST)<sup>35–39</sup> (also see Schemes 1 and 2). It should be mentioned that only the portion of  $\text{CH}_3\text{OO}^*$  in

### Scheme 1. Fast Equilibrium between $\text{CH}_3 + \text{O}_2$ and $\text{CH}_3\text{OO}^*$



### Scheme 2. Formation of Criegee from the $\text{CH}_3\text{OO}^* + \text{O}_2$ Reaction



vibrationally excited states can react with  $\text{O}_2$ , whereas the fraction that is collisionally stabilized is chemically inactive.

According to Scheme 2, the microcanonical rate constant,  $k(E, J)$ , for the formation of Criegee from the H-abstraction reaction of vibrationally excited  $\text{CH}_3\text{OO}^*$  by  $\text{O}_2$  is calculated as eq 1:

$$k(E, J) = K_C(E, J) \times k_2(E, J) \quad (1)$$

$$K_C(E, J) = \frac{k_1(E, J)}{k_{-1}(E, J)} = \frac{\rho(E, J)_{\text{vdW}}}{\rho(E, J)_{\text{Re}}} \quad (2)$$

$$k_2(E, J) = \frac{\sigma}{h} \times \frac{G(E, J)_{\text{TS1}}}{\rho(E, J)_{\text{vdW}}} \quad (3)$$

where  $K_C$  is the microcanonical equilibrium constant.  $k_2$  is the microcanonical rate constant for the  $\text{vdW}^* \rightarrow \text{CH}_2=\text{O}-\text{O} + \text{HO}_2$  step, which is calculated using SCTST. In this regard, SCTST is like RRKM theory,<sup>36</sup> but it includes fully coupled anharmonic vibrations (treated with VPT2) and implicitly includes effects of multidimensional quantum tunneling effects in the sum and density of states. Substituting eqs 2 and 3 into 1, the microcanonical rate constant for the Criegee formation can finally be expressed as eq 4:

$$k(E, J) = \frac{\sigma}{h} \times \frac{G(E, J)_{\text{TS1}}}{\rho(E, J)_{\text{Re}}} \quad (4)$$

where  $\sigma$  is the reaction path degeneracy (equal to 4/3 here; the rotational symmetry for  $\text{O}_2$  is 2, TS1 has a mirror image, and the electronic degeneracy contribution is 1/3).  $h$  is Planck's constant.  $G(E, J)_{\text{TS1}}$  is the sum of quantum states for TS1 at each pair of energy ( $E$ ) and angular momentum ( $J$ ).  $\rho(E, J)_{\text{Re}}$  is the density of states of the reactants  $\text{CH}_3\text{OO}$  and  $\text{O}_2$ ;  $\rho(E, J)_{\text{Re}}$  is calculated as a convolution of the density of states for  $\text{CH}_3\text{OO}$  and  $\text{O}_2$  by assuming that  $\text{CH}_3\text{OO}$  and  $\text{O}_2$  can exchange internal energies freely. Therefore,  $\rho(E, J)_{\text{Re}}$  can be expressed as

$$\rho(E, J)_{\text{Re}} = \int_0^E \rho(E_X, J)_{\text{CH}_3\text{OO}} \times \rho(E - E_X, J)_{\text{O}_2} dE_X \quad (5)$$

The SCTST code from the freely available MultiWell program suite<sup>38–40</sup> is used to compute the sum of vibrational states for TS1, while the ADENSUM code<sup>41</sup> is used to obtain the density of vibrational states for  $\text{CH}_3\text{OO}$  and  $\text{O}_2$ . These calculations are performed using a grain size of  $10 \text{ cm}^{-1}$  and a ceiling energy of  $5 \times 10^4 \text{ cm}^{-1}$ . The barrier height and rovibrational parameters obtained from the high-level quantum chemical calculations in the previous section are used as input data and can be found in the Supporting Information.

Note that microcanonical rate constants  $k(E, J)$  calculated using eq 4 include all vibrational, rotational, and electronic

degrees of freedom, but not translational degrees of freedom, so they have units of inverse of second (1/s). The translational degrees of freedom are assumed to be separable and can then be included in computing thermal rate constants in eq 6:

$$k(T) = \frac{Q(T)_{\text{trans}}^{\text{TS}}}{Q(T)_{\text{trans}}^{\text{O}_2} \times Q(T)_{\text{trans}}^{\text{CH}_3\text{OO}^*}} \times \sum_{J=0}^{\infty} \int_0^{\infty} k(E, J) \times F(E, J) dE \quad (6)$$

where  $Q(T)_{\text{trans}}$  is the translational partition function.  $F(E, J)$  is the internal energy and angular momentum distribution function of the initial reactants,  $\text{CH}_3\text{OO}^* + \text{O}_2$ .  $F(E, J)$  can be constructed using a two-dimensional master equation technique if reaction conditions of temperature and pressure are known a priori. Unfortunately, this is not the case in the discharge experiment. In the thermalized equilibrium condition,  $F(E, J)$  is computed using the Boltzmann thermal distribution:

$$F(E, J) = \frac{(2J + 1)\rho_{\text{Re}}(E, J) \exp\left(-\frac{E}{RT}\right)}{\sum_{J=0}^{\infty} \int_{E=0}^{\infty} (2J + 1)\rho_{\text{Re}}(E, J) \exp\left(-\frac{E}{RT}\right) dE} \quad (7)$$

Substituting eqs 4 and 7 into eq 6, we obtain

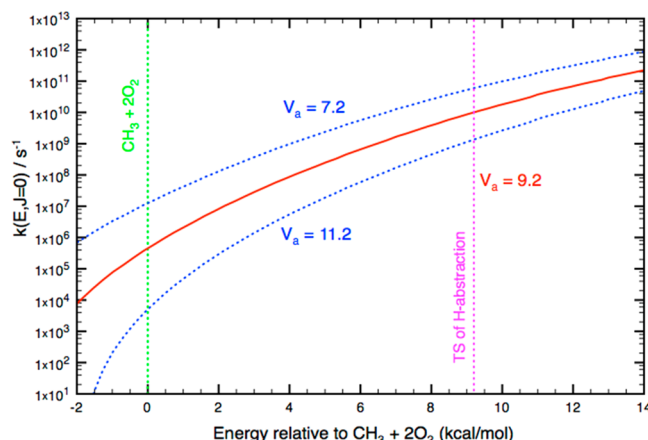
$$k(T) = \frac{\sigma}{h} \times \frac{Q(T)_{\text{trans}}^{\text{TS}}}{Q(T)_{\text{trans}}^{\text{O}_2} \times Q(T)_{\text{trans}}^{\text{CH}_3\text{OO}^*}} \times \frac{1}{Q(T)_{\text{rv}}^{\text{Re}}} \times \sum_{J=0}^{\infty} (2J + 1) \int_0^{\infty} G(E, J)_{\text{TS1}} \exp\left(-\frac{E}{RT}\right) dE \quad (8)$$

where  $Q(T)_{\text{rv}}^{\text{Re}}$  is the rovibrational partition function of the reactants and is given by

$$Q(T)_{\text{rv}}^{\text{Re}} = \sum_{J=0}^{\infty} \int_{E=0}^{\infty} (2J + 1)\rho_{\text{Re}}(E, J) \exp\left(-\frac{E}{RT}\right) dE \quad (9)$$

The thermal rate constant in eq 8 has the same form as obtained in transition state theory and has units of  $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ . However, the discharge experiment is a highly nonequilibrium system, so we feel it would be inappropriate to base our analysis on thermal rate constants. Rather, we use the microcanonical formalism that is energy-dependent and perhaps can be used more meaningfully in the present context.

Microcanonical rate constants,  $k(E, J = 0)$ , for the  $\text{CH}_3\text{OO} + \text{O}_2 \rightarrow \text{CH}_2=\text{O}-\text{O} + \text{HO}_2$  reaction step are calculated as a function of internal energy relative to the asymptotic energy level of the initial reactants,  $\text{CH}_3 + 2\text{O}_2$ . The calculated results plotted in Figure 2 show that  $k(E, J = 0)$  increases sharply when energy increases, for example from about  $4 \times 10^5 \text{ s}^{-1}$  at the asymptotic level to ca.  $1 \times 10^{10} \text{ s}^{-1}$  at TS1. Significantly, even at 2 kcal/mol below the asymptotic level, the reaction rate still remains appreciable, about  $10^4 \text{ s}^{-1}$ . Note that this regime is classically forbidden, thus quantum mechanical tunneling controls the reaction rate. Nascent Criegee, once formed, *must* be cold in this regime and can be detected. It should be mentioned that the pressure in the supersonic expansion (after passing through the discharge nozzle) is very low, so the collision frequency of energized  $\text{CH}_3\text{OO}$  with the buffer gas is estimated to be on the order of  $10 \text{ s}^{-1}$ , which obviously cannot compete with the formation rate of Criegee. Of course, warmer Criegee could also be stabilized by collisions in the discharge nozzle prior to the ultimately collision-free conditions in the supersonic expansion. However, in the discharge experiments<sup>10</sup>



**Figure 2.** Microcanonical rate constant for forming the simplest Criegee intermediate in the  $\text{CH}_4/\text{O}_2$  electric discharge experiment.

we do not see evidence for vibrationally hot  $\text{CH}_3\text{OO}$ , which will be required to yield vibrationally hot Criegee.

In computing  $k(E, J)$ , all vibrations were assumed to be coupled and anharmonic vibrational constants were computed using second-order vibration perturbation theory (VPT2). It is well-known that the VPT2 approach works well for small amplitude motions, but can fail for large amplitude motions such as hindered internal rotations in a molecule. In the H-abstraction transition structure TS1, there are two low vibrational frequencies that are 38 and  $99 \text{ cm}^{-1}$ . The former corresponds to the torsional motion of the  $\text{OO}-$  and  $\text{OOC}-$  groups around the  $\text{C}-\text{O}$  axis in TS1, while the latter is a complex bending motion. Both these vibrations have small anharmonic vibration constants (see the Supporting Information), thus they couple rather weakly with the remaining vibrations. Therefore, it is a good approximation to assume that they can be separated from the other vibrations. Here, the latter motion ( $\omega = 99 \text{ cm}^{-1}$ ) is kept as a coupled vibration as before, while we separated the former vibration ( $38 \text{ cm}^{-1}$ ) from the remaining vibrations and treated it approximately as a 1D-hindered internal rotor. This rotor has a reduced moment of inertia of  $20.604 \text{ amu} \cdot \text{\AA}^2$  and a torsional barrier height of  $1775 \text{ cm}^{-1}$ . However, the way that this very low-frequency mode is treated ultimately has only small effects on the kinetics. Such a separation causes an increase of the barrier by only  $3 \text{ cm}^{-1}$ . The cumulative reaction probability via TS1 is then recomputed and used to compare with the previously calculated results where it was assumed all vibrations are coupled. In the energy range in consideration, the difference between two models is found to be a factor of about 2 (see Figure S4 in the Supporting Information). Such a difference is much smaller than that caused by a possible error from the calculated barrier (see below).

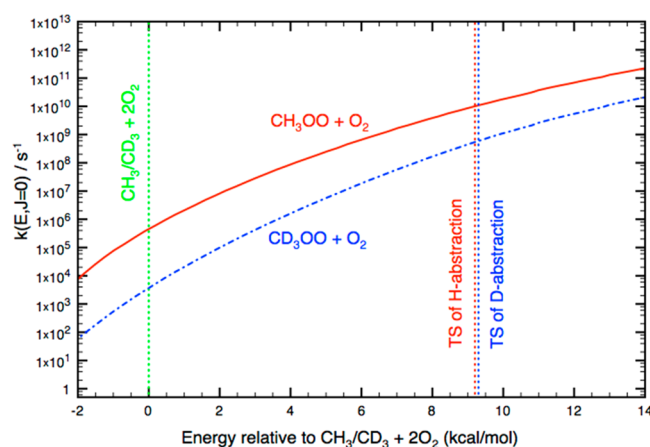
It should be emphasized that tunneling through the barrier mainly produces cool Criegee. So, the required energy for this process is close to the energy level at TS1. In this energy region, the harmonic model is a good approximation, even when it is applied to a hindered internal rotor.

It is important to perform a sensitivity analysis to evaluate the accuracy expected of the calculated microcanonical rate constants. There are a number of factors that can affect the calculated  $k(E, J = 0)$  values, which include barrier height, magnitude of the imaginary (barrier) frequency, rovibrational parameters, anharmonic constants, and hindered internal rotor

effects. Of these, the barrier height with a likely error bar of about  $\pm 2$  kcal/mol for TS1 is almost certain the dominant one. In contrast, other parameters are expected to have much smaller effects (e.g., less than a factor of 2). To proceed with the sensitivity analysis, we shifted the position of TS1 up and down by 2 kcal/mol and then recomputed  $k(E, J)$ , the results of which are also displayed in Figure 2. As expected, the rate constant increases or decreases corresponding to the drop or increase of the barrier. The change of rate becomes very important at low energies: for example, changing the activation energy alters  $k(E)$  by 2 orders of magnitude at the energy level of  $\text{CH}_3 + \text{O}_2$ , but only by about a factor of 10 at the energy of the TS. Overall, within the estimated error bar of 2 kcal/mol for the calculated barrier, the microcanonical tunneling formation rates for cool Criegee still remain fast, even at the asymptotic level (see Figure 2).

So, we conclude that tunneling can plausibly lead to formation of cold Criegee, which does not have a sufficient energy to proceed to dioxirane (or to formic acid). This cold Criegee would be trapped in a well (that has a barrier height of about 19 kcal/mol) and becomes detectable as observed in our discharge experiment. The lifetime of Criegee at room temperature is estimated using SCTST to be a couple of seconds. For these reasons, we cannot rule out that a very small fraction of hot Criegee could be stabilized in the discharge nozzle source by collisions with the buffer gas.

To study the possible role of quantum mechanical tunneling in the formation of cold Criegee, we replaced  $\text{CH}_4$  by its deuterated counterpart  $\text{CD}_4$  and repeated the  $\text{CD}_4/\text{O}_2$  discharge experiment under nearly identical conditions. Surprisingly, cold  $\text{CD}_2\text{OO}$  Criegee is also produced and detected. For this reason, we computed microcanonical rate constants as a function of internal energy relative to the asymptotic level of  $\text{CD}_3$  plus  $\text{O}_2$  and the  $k(E)$  results are plotted in Figure 3. In addition, the formation rate of  $\text{CH}_2\text{OO}$



**Figure 3.** Microcanonical rate constants for forming the simplest Criegee intermediates in the  $\text{CH}_4$  and  $\text{CD}_4/\text{O}_2$  electric discharge experiments.

is also included for a direct comparison. Figure 3 shows that—like the formation rate of the normal isotopic species—the rate for  $\text{CD}_2\text{OO}$  increases significantly when energy increases. However, the  $\text{CD}_2\text{OO}$  rate is much slower than  $\text{CH}_2\text{OO}$  rate (by one to 2 orders of magnitude) depending on internal energy due to inhibition of tunneling by the higher mass of the deuterium atom. For example, the kinetic isotopic effect

$\text{KIE}(\text{CH}_2\text{OO}/\text{CD}_2\text{OO})$  is about 10 at TS, but becomes about 100 at the lower-energy asymptotic level of the initial reactants. Although the formation rate of  $\text{CD}_2\text{OO}$  is much slower than  $\text{CH}_2\text{OO}$ , it is still fast enough (from  $4 \times 10^3$  to  $6 \times 10^8 \text{ s}^{-1}$ ) that cold Criegee can be produced and detected at these energies. Consequently, quantum mechanical tunneling also appears to be a viable formation route even for  $\text{CD}_2\text{OO}$ .

Our recent experimental results<sup>10</sup> showed a relative correlation between the formation of  $\text{CH}_2\text{OO}$  Criegee intermediate and the presence of  $\text{CH}_3\text{OO}$ . The formation of Criegee from either the self-reaction of  $\text{CH}_3\text{OO}$  radicals or the reaction of  $\text{CH}_3\text{OO}$  with  $\text{HO}_2$  is excluded, according to both experimental and theoretical results reported for these reactions earlier.<sup>42–45</sup> In addition, we investigated possible alternative mechanism and associated kinetics for reactions of H atom with  $\text{CH}_3\text{OO}$  (see Figure S1) and of OH radical with  $\text{CH}_3\text{OO}$  (see Figure S2a,b). We found that the formation fraction of Criegee intermediate is negligible in these reaction channels (see the Supporting Information). Furthermore, there is no evidence from both experiment<sup>46–50</sup> and theory<sup>51</sup> for the formation of cool Criegee from the reaction of triplet  $\text{CH}_2$  with triplet  $\text{O}_2$ . The association of  $\text{CH}_2$  with  $\text{O}_2$  leads to the formation of vibrationally excited adduct,  $\text{CH}_2\text{OO}^*$ , which has an internal energy of ca. 67 kcal/mol.<sup>23–26</sup> Hot  $\text{CH}_2\text{OO}^*$  will rapidly isomerize to dioxirane after overcoming a barrier of 19 kcal/mol. So, it cannot survive long enough for detection.

## ■ ASSOCIATED CONTENT

### Supporting Information

Optimized geometries, rovibrational parameters, anharmonic constants, thermal rate constants, and energies for various species in the reaction of  $2\text{O}_2$  with  $\text{CH}_3/\text{CD}_3$  are given. In addition, the reaction mechanisms of  $\text{H} + \text{CH}_3\text{OO}$  and  $\text{HO} + \text{CH}_3\text{OO}$  are presented. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

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