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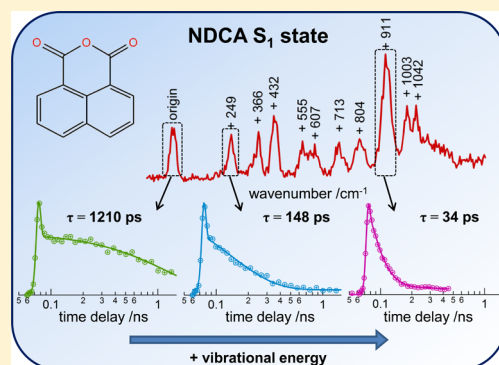
## Time-Resolved Study of 1,8-Naphthalic Anhydride and 1,4,5,8-Naphthalene-tetracarboxylic Dianhydride

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## S Supporting Information

**ABSTRACT:** We investigate the excited electronic states of 1,8-naphthalic anhydride (NDCA) and 1,4,5,8-naphthalene-tetracarboxylic dianhydride (NTCDA) by time- and frequency-resolved electronic spectroscopy in the gas phase using picosecond lasers and by femtosecond time-resolved transient absorption in cyclohexane. The experiments are accompanied by calculations that yield the energy of the excited singlet and triplet states as well as by surface hopping dynamics simulations and calculations of spin-orbit couplings that give insight into the photochemistry. The origin of the  $A^1A_1 \leftarrow X^1A_1 (\pi\pi^*)$  transition in isolated NDCA was found at  $30\,260\text{ cm}^{-1}$ , and several low-lying vibrational bands were observed. The lifetime drops sharply from 1.2 ns at the origin to around 30 ps at an excess energy of  $800\text{ cm}^{-1}$ . Both internal conversion (IC) and intersystem crossing (ISC) are possible deactivation pathways as found in coupled electron–nuclear dynamics simulations. In cyclohexane solution, two time constants were observed. Deactivation of the initially excited state by ISC seems to dominate as supported by computations. For NTCDA we observed a gas phase lifetime of 16 ps upon excitation at 351 nm.



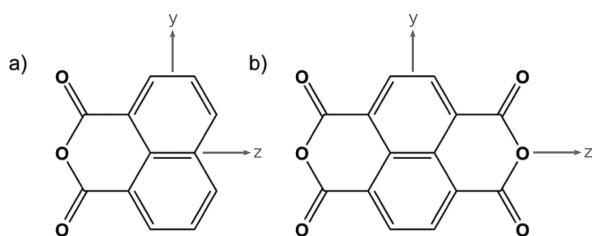
## ■ INTRODUCTION

In this work we discuss the excited state dynamics of isolated 1,8-naphthalic anhydride (NDCA) and 1,4,5,8-naphthalene-tetracarboxylic dianhydride (NTCDA) in a free jet and in solution. The experiments are supported by density functional theory (DFT) calculations that provide the energy of the excited singlet and triplet states, as well as by surface hopping simulations of the coupled electron–nuclear dynamics.

The planar NTCDA molecule, which is depicted on the right-hand side of Scheme 1, exhibits interesting optoelectronic properties. Films of it are, e.g., used in organic n-type semiconductors, thin film transistors, and organic solar cells.<sup>1,2</sup> This has motivated detailed investigations of the chemistry and physics of thin NTCDA films deposited on well-defined surfaces, using techniques such as X-ray absorption and

photoemission spectroscopy.<sup>3–6</sup> Complementary to that, there is considerable interest in theory to describe the absorption and emission properties by computational methods.<sup>7–9</sup> Moreover, theoretical simulations are mandatory to achieve a molecular-level understanding of dynamical processes such as electronic and vibrational relaxation after photoexcitation. In this context, an important aspect that has to be addressed is the possible competition between internal conversion (IC) and intersystem crossing (ISC). Nevertheless, such computations also need to be accompanied by experiments that can provide benchmark data. For this purpose, investigations of isolated molecules are best suited since in this way any disturbing influence of the environment can be excluded. The corresponding experiments, however, are still scarce because it is difficult to generate a sufficient vapor pressure due to the high melting point of NTCDA. Only recently, the ionization energy of isolated NTCDA was measured to be 9.66 eV.<sup>10,11</sup> However, the high density of low-lying electronic states possibly complicates data interpretation. Therefore, we have additionally studied NDCA, depicted on the left-hand side of Scheme 1, which has a simpler structure but contains similar functionalities. Because of its lower melting point, a sufficient vapor pressure for gas phase experiments can be easily established. To gain insight into the electronic structure and dynamics of both molecules, we apply

**Scheme 1. Chemical Structure of (a) NDCA ( $C_{2v}$  Point Group) and (b) NTCDA ( $D_{2h}$  Point Group)<sup>a</sup>**



<sup>a</sup>The coordinate axes used for determining the symmetry are denoted in grey. The  $x$  axis lies perpendicular to the molecular plane.

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time- and frequency-resolved photoionization spectroscopy using a picosecond laser system. Thus, the approach in this work is similar to previous studies.<sup>12–14</sup> To include the competition between IC and ISC, spin–orbit coupling matrix elements between excited electronic states were explicitly calculated and incorporated in the dynamics simulations.

So far, no studies on isolated NDCA were available. Experiments in solution are also rare because the molecule hydrolyzes in aqueous environment as well as in protic solvents.<sup>15</sup> In a previous solution phase study a fluorescence lifetime  $\tau_{\text{rad}} = 1.3$  ns was found for NDCA in benzene.<sup>16</sup> Notwithstanding the lack of data for the isolated NDCA molecule, its derivatives are of considerable interest from an applicational point of view since they can be used as dyes, in liquid crystal displays, or in organic light-emitting diodes.<sup>17–19</sup>

## ■ EXPERIMENTAL SECTION

The molecules NDCA ( $m/z = 198$ ) and NTCDA ( $m/z = 268$ ) were obtained commercially from Sigma-Aldrich and were used without further purification. A melting point  $T_{\text{mp}}$  between 267 and 269 °C is given for NDCA, while  $T_{\text{mp}} > 300$  °C for NTCDA. For the gas phase measurements the samples were thus placed in an oven attached to a pulsed solenoid valve. For NDCA, oven temperatures of 230 °C were sufficient and argon was used as carrier gas to obtain a cooled molecular beam. To reach an adequately high vapor pressure for NTCDA, the measurements were carried out in a pulsed effusive beam while heating the sample up to 260–280 °C. The molecules were expanded into a differentially pumped vacuum chamber through a 1.0 mm diameter nozzle. After a distance of 2–3 cm the pulsed free jet passed a skimmer with a diameter of 2.0 mm and entered the experimental chamber with a pressure of  $5.0\text{--}8.5 \times 10^{-7}$  mbar. The detailed setup of the apparatus has been described in a previous publication.<sup>20</sup>

In the experimental chamber the molecules were ionized by a picosecond laser (Ekspla) with a repetition rate of 10 Hz. A solid state Nd:YLF (neodymium-doped yttrium lithium fluoride) laser with a wavelength of 1053 nm and a pulse width of 8 ps is the central part of the system. The main part of the 351 nm third harmonic (7–8 mJ) was employed to pump an optical parametric generator (OPG) to generate tunable pump pulses, while a small amount (200–300  $\mu\text{J}$ ) was split off and sent over a motorized linear translation stage to be used as the probe pulse. Note that for time-resolved experiments on NTCDA the 351 nm third harmonic was the pump and the OPG output the probe pulse. The OPG output between 280 and 350 nm yielded pulses with a bandwidth of approximately  $20\text{ cm}^{-1}$  and a pulse energy of 30 to 100  $\mu\text{J}$ . The instrument response function (IRF) was determined to be around 5 ps. The pump and probe pulses were overlapped in front of the experimental chamber using a dichroic mirror. For NDCA, unfocused pulses yielded the best signal-to-noise ratio (SNR), while the NTCDA measurements required slightly focused OPG pulses to achieve a sufficient ion signal. Ions were accelerated in a mass spectrometer onto a multichannel plate (MCP) detector. The data were averaged for 100 shots per point for every scan; and six to 12 scans were averaged to receive a good SNR.

For the transient absorption (TA) spectra in solution a Solstice/Topas-C (Newport/Spectra Physics) laser system with a repetition rate of 1 kHz was employed. The NDCA samples were dissolved in high-purity cyclohexane (Sigma-Aldrich, ChromasolvPlus), and the solution was purged with argon for

15 min. For the measurements a 2 mm quartz cuvette equipped with a microstirrer was used. The TA-signal was recorded in a Helios TA spectrometer. The pump pulses (338, 321, and 310 nm) with pulse energies of 300 nJ were generated in an OPG (Light Conversion Topas-C), while the white light probe pulses were generated in a moving CaF<sub>2</sub> crystal. The resulting IRF of the system was found to be approximately 140 fs. A more detailed description of the setup has been reported elsewhere.<sup>21</sup> For evaluation of the recorded TA-maps the data was deconvoluted by a global fitting routine using the program Glotaran.<sup>22</sup>

## ■ COMPUTATIONAL SECTION

The structures of NDCA and NTCDA have been optimized in the framework of density functional theory (DFT) employing the CAM-B3LYP functional<sup>23</sup> combined with the def2-TZVP basis set,<sup>24</sup> and the energy minima have been confirmed by calculating the vibrational frequencies. Subsequently, the vertical electronic absorption spectra of NDCA and NTCDA have been calculated using time-dependent density functional theory (TDDFT) employing the same functional and basis set as stated above. In order to determine the vibronic transitions between the electronic ground state and the two lowest electronically excited states of NDCA, the structures have been also optimized in the excited states, the vibrational frequencies have been determined, and finally the vibrationally resolved absorption spectrum has been calculated following the method of Santoro et al.<sup>25,26</sup> Solvent effects for several spectra have been accounted for using the polarizable continuum model (PCM).<sup>27</sup> All DFT calculations have been performed using the Gaussian 09 program package.<sup>28</sup>

The coupling between singlet and triplet states has been investigated by calculating spin–orbit matrix elements between the singlet and triplet manifolds for selected DFT-optimized geometries of NDCA. The spin–orbit coupling has been calculated using the Molpro program package,<sup>29,30</sup> employing the multireference configuration interaction method (MR-CI)<sup>31–33</sup> with reference configurations taken from a complete active space self-consistent field (CASSCF)<sup>34,35</sup> calculation. For this purpose, the 6-31G basis set<sup>36</sup> has been employed, and the active space consisted of 8 electrons in 5 orbitals, while for the MRCI all single and double excitations from the active orbitals to higher virtual orbitals were taken into account.

The nonradiative relaxation from the first electronically excited singlet state to the ground state has been investigated employing Tully's surface hopping method.<sup>37</sup> For this purpose, initial coordinates and momenta were sampled from a 20 ps long trajectory using the semiempirical OM3 method.<sup>38</sup> The nonadiabatic dynamics was then performed using the OM3 method combined with MR-CI<sup>39,40</sup> in the framework of the MNDO program,<sup>41</sup> and all single and double excitations out of the ground state SCF and the HOMO–LUMO excited configuration were taken into account. The nuclear trajectories were propagated numerically by solving Newton's equations of motion using the velocity Verlet algorithm<sup>42</sup> with a time step of 0.2 fs. The electronic state coefficients needed for determining the hopping probabilities between electronic states have been calculated along each trajectory by numerical integration of the time-dependent Schrödinger equation including the non-adiabatic coupling.

Similarly, also nonadiabatic dynamics simulations within the four lowest triplet states were performed over  $\sim 100$  fs in order

to obtain average values for the nonadiabatic coupling elements in the triplet manifold (see below).

In addition to the nonadiabatic dynamics in the singlet states, the electronic state population dynamics in the full manifold of two singlet and four triplet states has been simulated on a model level. For this purpose, the energies and spin–orbit couplings have been initially assigned the values obtained for the  $S_1$  or  $S_0$  state minimum structures, respectively. The nonadiabatic couplings have been averaged from surface-hopping simulations in the singlet and triplet manifolds as described above, and the time-dependent Schrödinger equation

$$i\hbar\dot{c}_i = E_i c_i - \sum_j (i\hbar D_{ij} - H_{ij}^{\text{SO}}) c_j \quad (1)$$

including the electronic energies  $E_i$ , the nonadiabatic couplings  $D_{ij}$ , and the spin–orbit couplings  $H_{ij}^{\text{SO}}$  has been solved numerically over a time duration of 50 ps. In order to mimic the fluctuations of energies and couplings due to nuclear motion, the initial values have been modified in each step by a random term, which for the energies did not exceed 0.05 eV, while for the couplings a variation of the same size as the initial coupling was enabled.

## RESULTS

The excitation energies and symmetry labels of the lowest singlet and triplet states of the two molecules are summarized in Table 1, and the energetic ordering is illustrated in Figure 1.

**Table 1. Vertical Excitation Energies of the Low-Lying Electronic States of Isolated NDCA and NTCDA at the  $S_0$  Minimum Geometry, Determined by TDDFT Calculations<sup>a</sup>**

NDCA			
singlet states (eV)		triplet states (eV)	
$^1A_1$	4.17 (3.60)	$^3A_1$	2.32 (1.62)
$^1B_2$	4.40 (4.22)	$^3B_2$	3.55 (3.26)
$^1B_1$	4.63 (4.36)	$^3B_2$	3.83 (3.55)
		$^3B_1$	4.21 (3.99)
NTCDA			
singlet states (eV)		triplet states (eV)	
$^1B_{1u}$	3.84	$^3B_{1u}$	2.03
$^1B_{2u}$	4.12	$^3B_{2u}$	3.27
$^1B_{2g}$	4.27	$^3B_{3g}$	3.43
$^1B_{3u}$	4.40	$^3B_{2g}$	3.89
		$^3B_{3u}$	3.99

<sup>a</sup>For NDCA, also the values obtained at the  $S_1$  minimum geometry are given in parentheses. The symmetry assignment corresponds to the coordinate system indicated in Scheme 1.

In addition, the corresponding absorption spectra derived from computed vertical excitation energies and oscillator strengths are shown in Figure 2.

As visible, several excited states are accessible upon near-UV excitation. The lowest vertical excitation energies were determined to be 4.17 eV (297.3 nm) for NDCA and 3.84 eV (323.1 nm) for NTCDA. Since NDCA is of  $C_{2v}$  symmetry, transitions into the  $A\ ^1A_1$ ,  $B\ ^1B_2$ , and  $C\ ^1B_1$  states are dipole-allowed. The  $A\ ^1A_1 \leftarrow X\ ^1A_1$  transition has  $\pi^* \leftarrow \pi$  character, and consequently, a high oscillator strength of  $f_e = 0.20$  has been computed.

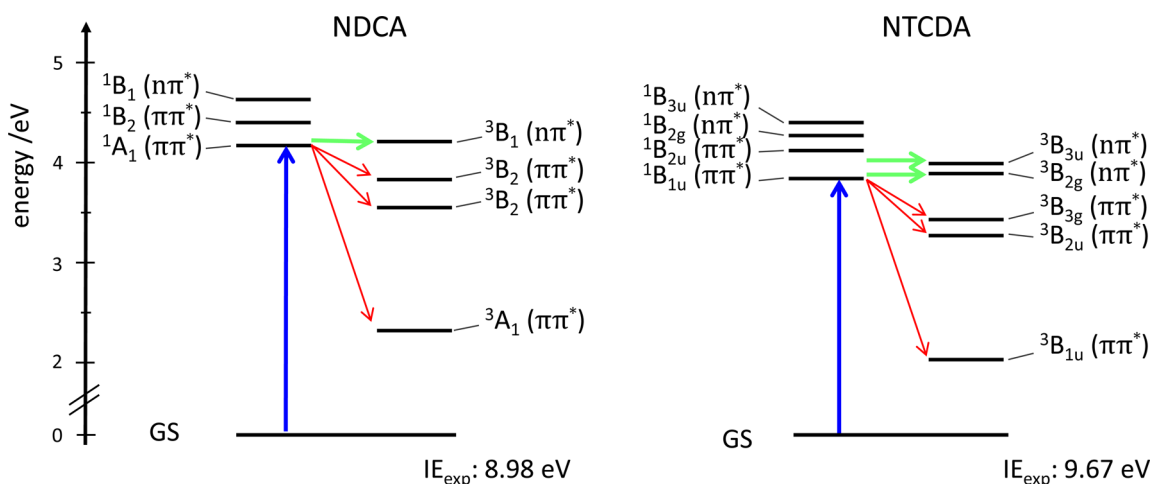
For NTCDA, which is of  $D_{2h}$  symmetry, a  $^1B_{1u}$  ( $\pi\pi^*$ ) state was computed to be the first excited singlet state. Again, a high oscillator strength of  $f_e = 0.31$  has been found. The vertical excitation energies differ significantly (on the order of 0.5 eV) from those reported for selected transitions in recent work.<sup>43</sup> As visible in Figure 1 both molecules possess numerous low-lying triplet states. Since  $n\pi^*$  triplet states with only a small energy gap  $\Delta E_{S,T_n}$  exist, efficient intersystem crossing (ISC) is allowed in both molecules according to the El-Sayed rules,<sup>44</sup> as highlighted by green arrows in Figure 1. In contrast, El-Sayed-forbidden ISC transitions are marked in red. On the basis of the computed excited state energies for both NDCA and NTCDA, an excited state deactivation via internal conversion (IC) or ISC should be possible.

**1. Gas Phase Studies on NDCA.** The ionization energy (IE) of NDCA in the gas phase was determined to be 8.98 eV by photoelectron spectroscopy.<sup>11</sup> No experimental data are available on the excited states of isolated NDCA, but UV/vis spectra in a number of different solvents yielded the first absorption bands between 338 and 350 nm.<sup>16</sup> Thus, after excitation of the NDCA  $S_1$  state a two-photon ionization step is required for ionization. The  $[1 + 2']$  resonance-enhanced multiphoton ionization (REMPI-) spectrum of NDCA is depicted in Figure 3. It was obtained upon tuning the OPG output and using the 351 nm third harmonic for ionization around the zero in time. The first band appears at 30 260  $\text{cm}^{-1}$  (330.5 nm) and is assigned as the origin of the  $A\ ^1A_1 \leftarrow X\ ^1A_1$  transition. No additional transition has been found upon scanning 1250  $\text{cm}^{-1}$  further to the red. To higher energies, a number of distinct vibronic bands are observed.

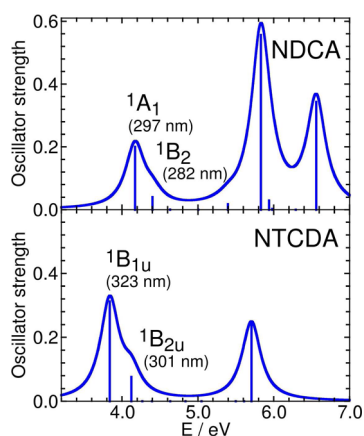
For excitation wavenumbers above approximately 31 000  $\text{cm}^{-1}$  a broad background signal increases, but structure is still visible. The assignment of the first band at 30 260  $\text{cm}^{-1}$  (3.752 eV) to the origin of the  $A \leftarrow X$  transition is in excellent agreement with TDDFT-calculations predicting an excitation energy of 3.777 eV for the  $A\ (S_1)\ (\nu' = 0) \leftarrow X\ (S_0)\ (\nu'' = 0)$  transition. Note that the experimental value is accurate to within  $\pm 10\ \text{cm}^{-1}$ , due to the bandwidth of the picosecond laser. Moreover, since the lowest vibronic excitation energy to the second excited electronic state has been calculated at 4.200 eV (33 870  $\text{cm}^{-1}$ ), it can be ascertained that the observed vibrational structure entirely stems from vibronic transitions into the first excited state. Most of the vibronic bands visible in the spectrum can be assigned to totally symmetric in-plane bending modes of the NDCA ring system, often with considerable ring breathing character. In some cases several transitions with similar wavenumbers are possible carriers. An exception is the comparably intense band at +249  $\text{cm}^{-1}$ , because no totally symmetric band was computed in this wavenumber region. Possible assignments are an overtone of a  $b_1$  out-of-plane mode or a  $b_2$  fundamental. For the former assignment, the relatively large intensity is unusual, while the second would require vibronic coupling with a  $B_2$  electronic state, for example, the  $S_2$  state. However, since according to the computations the +249  $\text{cm}^{-1}$  band is energetically well separated from the origin of the  $S_2 \leftarrow S_0$  vibronic manifold, such a coupling is also expected to be small. The relative positions of the observed bands and a comparison to vibronic transitions calculated to lie nearby are given in Table 2.

In the next step we investigated the excited state dynamics by  $[1 + 2']$  ps-time-resolved photoionization, again using the 351 nm third harmonic of the Nd:YLF laser for the two-photon

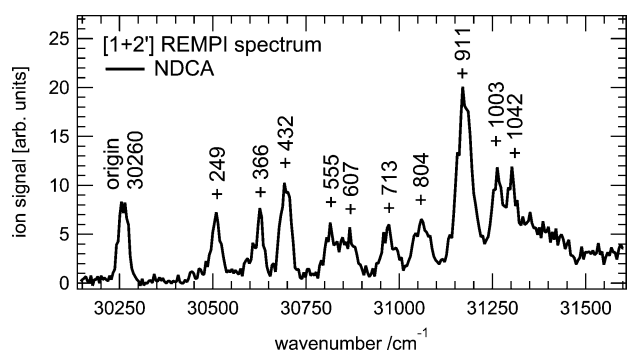




**Figure 1.** Electronic states of NDCA and NTCDA determined by TDDFT calculations. The green arrows highlight El-Sayed-allowed ISC deactivation pathways, while transitions marked in red are El-Sayed-forbidden.



**Figure 2.** Calculated vertical electronic absorption spectra of NDCA and NTCDA obtained using TDDFT with the CAM-B3LYP functional and def2-TZVP basis set. The spectra have been broadened by a Lorentzian with a width of 0.3 eV.



**Figure 3.**  $[1 + 2']$  REMPI spectrum of isolated NDCA at the time zero, corresponding to the  $S_1 \leftarrow S_0$  transition. For ionization a 351 nm pulse was employed. The peak at  $30\,260\text{ cm}^{-1}$  is assigned to be the band origin.

ionization step. The first ten vibronic bands, all visible in Figure 3, and in addition a higher band at  $+2222\text{ cm}^{-1}$  were excited and their lifetimes  $\tau$  were probed. In Figure 4 four selected decay-traces at different excitation wavelengths are shown. The decay was fitted by a single exponential function. In addition a contribution described by the instrument function was present

around  $t_0$ , possibly due to higher order processes. For the  $S_1$  origin a lifetime in the nanosecond (ns-) regime was found (top trace of Figure 4). However,  $\tau$  decreases quickly upon excitation and reaches values of some 10 ps for higher  $S_1$  vibronic states.

At higher excitation energies an offset is visible at long delay times. The signal rises within 5 ps, given by the IRF. It is remarkable that for the origin of the  $S_1$  state the lifetime is found to be in the ns-regime (1.21 ns), while for the next transition ( $+249\text{ cm}^{-1}$ ) it drops sharply to nearly a tenth of this value (134 ps). Within the next few vibronic bands a further decrease of the time constant is observed, until the lifetimes reach an almost constant value of 27 to 30 ps for the higher vibronic bands. Figure 5 depicts the time constants on a logarithmic scale compared with the REMPI spectrum.

In addition to the NDCA monomer signal at  $m/z = 198$  two smaller peaks appeared in the photoionization mass spectrum (cf. Figure S1). These signals at  $m/z = 154$  and  $m/z = 126$  are fragments of NDCA due to loss of  $\text{CO}_2$  and  $\text{CO}_2 + \text{CO}$ , respectively. The peaks show the same time dependence as the parent ion and thus originate from dissociative photoionization.

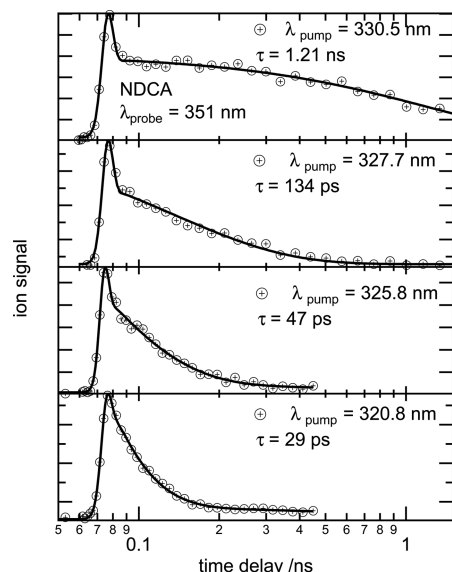
**2. Transient Absorption Studies on NDCA.** We additionally investigated the NDCA photophysics in cyclohexane (CH) by transient absorption (TA) spectroscopy. For nonpolar solvents only a small shift of the excited state energies compared to the isolated molecule, and a similar dynamics are expected. Such studies therefore aid in assigning the deactivation pathways in NDCA. In the UV/vis absorption spectrum three bands appear with maxima at 338, 321, and 310 nm due to vibronic structure (cf. Figure S2). Therefore, the  $S_1$  origin in CH is slightly shifted to the red. At each of the three bands, TA measurements were conducted and similar dynamics was found.

In Figure 6 the transient spectra of NDCA recorded at an excitation wavelength of 321 nm are plotted at selected time delays to illustrate the evolution of the TA signal. The black line in Figure 6 represents a spectrum where the white light probe pulse arrived before the excitation pulse. Immediately after excitation a broad band at 492 nm appears together with a smaller band at 385 nm (red line). Over a period of several hundred ps, the signal decreases and the maximum shifts to the blue. After roughly 200 ps the band maximum has moved to 470 nm, from thereon the change in signal is small and the

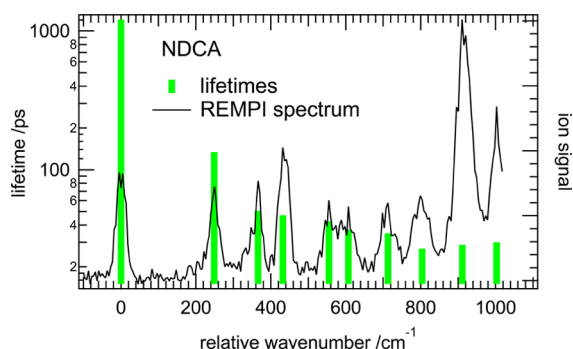
**Table 2. Positions of Vibronic Bands in the REMPI-Spectrum of Isolated NDCA Compared to Calculated Vibronic Transitions from the Lowest Vibrational State of  $S_0$  into the  $S_1$  State**

exc. wavelength (nm)	rel. position ( $\text{cm}^{-1}$ )	computed vibronic transition ( $\text{cm}^{-1}$ )	possible $S_1$ vibrational state	gas phase lifetime (ps)
330.5	0 (origin)	328.4 nm (origin)	0–0 transition	$1.21 \times 10^3$
327.7	+249	+274/+285	$(10 b_1)^2/19 b_2$	134
326.5	+366	+361	$20 a_1$	50
325.8	+432	+440/+454	$19 a_1/18 a_1$	47
324.5	+555	+580	$17 a_1$	43
323.9	+607	+667 <sup>a</sup>	$16 a_1^a$	37
322.8	+713	+667 <sup>a</sup>	$16 a_1^a$	35
321.9	+804	+822	$15 a_1$	27
320.8	+911	+961/+908/+894	$14 a_1/(18 a_1)^2/(18 a_1)^1(19 a_1)^1$	29
319.8	+1003			30
307.9	+2222			22

<sup>a</sup>In the computations the  $16 a_1$  appears with significant intensity, but lies in between the bands at 607 and 713  $\text{cm}^{-1}$ .

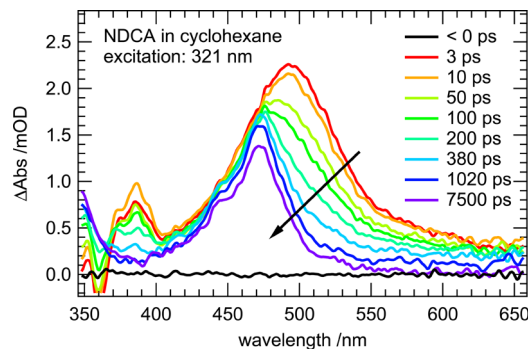


**Figure 4.** Selected time-delay traces of isolated NDCA. The pump wavelengths are indicated in the figure, for the probe a wavelength of 351 nm was employed. While for the origin band at 330.5 nm (top trace) a ns-time constant was found, for slightly higher excitation energies the lifetimes dropped sharply, eventually reaching a constant level of roughly 20–30 ps (bottom trace). In the two lower traces a small offset is visible at long time delays.



**Figure 5.** Summary of the lifetimes measured for the various vibronic state of NDCA (green columns). The low-energy part of the MPI-spectrum is also shown in the graph (solid line).

band has considerable intensity even after 7.5 ns. The smaller band centered at 385 nm disappears on the order of several

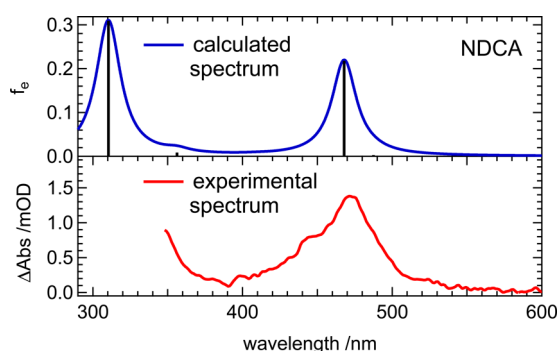


**Figure 6.** Transient absorption spectra of NDCA in cyclohexane for selected time delays recorded at 321 nm excitation.

hundred ps. Simultaneously with the decrease of the 492 nm band, the edge of a band at 350 nm grows in, which is not visible at short delay times.

From a global fit to the spectra two time constants can be extracted. For the short time process, associated with the blue shift of the major band and the disappearance of the 385 nm band, a time constant  $\tau_1$  of  $\tau_1 = 193$  ps was extracted. In addition there is a long-lived contribution. The time constant  $\tau_2$  of this long-living state can only be estimated to be at least 30 ns or more. These data hint at a two-step process, deactivation of the photoexcited state with a time constant  $\tau_1$  and subsequent formation of a long-lived state. The global fits of the TA spectra recorded at 338 and 310 nm yielded similar dynamics and are not further discussed. From the appearance of the absorption band around 470 nm, which is not present in the static absorption spectrum of NDCA, it can be inferred that the molecule has not returned to the singlet ground state on the nanosecond time scale. A comparison with a calculated absorption spectrum of the  $T_1$  triplet state as depicted in Figure 7 shows full agreement with the experimental data. Thus, we can assign the observed decay constant  $\tau_1$  in cyclohexane to an ISC process.

**3. Nonadiabatic Dynamics Simulations and Spin–Orbit Coupling.** To gain additional molecular-level information on the interplay of different dynamical processes in photoexcited NDCA, further theoretical investigations have been performed. First, in order to determine the time scales of internal conversion (IC) within the singlet manifold, surface-hopping molecular dynamics simulations have been carried out as described in the Computational Section. For NDCA in the gas phase, IC from the first excited to the ground electronic



**Figure 7.** Comparison of the computed triplet absorption spectrum of NDCA obtained using TDDFT (CAM-B3LYP, def2-TZVP basis set, and PCM for solvent cyclohexane) with the experimental transient absorption spectrum measured 7.5 ns after initial excitation (cf. Figure 6).

state has been found to occur with a strongly temperature-dependent rate. For a low temperature of 30 K, corresponding to the conditions approximately present in the gas phase experiments, depopulation of the first excited singlet state is slow on the time scale of the simulation, with a ground state population of  $\sim 10\%$  after 20 ps, while for higher temperatures this value increases significantly (cf. Figure S4). The relaxation mechanism involves a conical intersection between the  $S_1$  and  $S_0$  states, through which efficient population transfer can take place.

Besides the dynamic processes involving singlet states only, also ISC processes and subsequent relaxation within the triplet manifold may be significant. Therefore, we calculated the spin–orbit coupling matrix elements between the singlet  $S_0$  and  $S_1$  and the four lowest-lying triplet states for selected nuclear geometries of NDCA, as presented in Table 3. As already

**Table 3.** Spin–Orbit Coupling Matrix Elements of NDCA for the DFT-Optimized Geometries of the  $S_0$  and  $S_1$  States; the Absolute Values in  $\text{cm}^{-1}$  Are Given

state	$S_0$ ( $^1A_1$ )		$S_1$ ( $^1A_1 \pi\pi^*$ )	
	$S_0$	$S_1$	$S_0$	$S_1$
$T_1$ ( $^3A_1 \pi\pi^*$ )	0.0	0.0	0.0	0.0
$T_2$ ( $^3B_2 \pi\pi^*$ )	$2.9 \times 10^{-2}$	$2.5 \times 10^{-2}$	$6.4 \times 10^{-2}$	$3.9 \times 10^{-2}$
$T_3$ ( $^3B_2 \pi\pi^*$ )	$4 \times 10^{-3}$	$5 \times 10^{-3}$	$5.4 \times 10^{-2}$	$7.2 \times 10^{-2}$
$T_4$ ( $^3B_1 n\pi^*$ )	45.9	42.7	10.3	11.8

alluded to above, in particular the spin–orbit couplings between  $n\pi^*$  and  $\pi\pi^*$  states can become quite substantial in organic molecules. In fact, we computed values around  $10 \text{ cm}^{-1}$  for the coupling between the  $\pi\pi^*$   $S_1$  and the close-lying  $n\pi^*$   $T_4$  state and about  $45 \text{ cm}^{-1}$  between  $S_0$  and  $T_4$ , while between all pairs of  $\pi\pi^*$  states the coupling is almost negligible.

The size of the spin–orbit couplings should be compared to that of the nonadiabatic couplings for the competing IC processes. For the relaxation from  $S_1$  to  $S_0$ , our surface-hopping simulations provided average dynamical couplings on the order of  $4 \text{ cm}^{-1}$  at 30 K up to  $9 \text{ cm}^{-1}$  at 300 K. However, in all cases, close to conical intersections the nonadiabatic coupling sharply rises, up to values of typically  $1000\text{--}5000 \text{ cm}^{-1}$ . Therefore, the observed decrease in the excited state lifetime with increased temperature/internal vibrational energy can be mainly attributed to a faster approach to the conical intersections, where the nonadiabatic coupling is large and electronic

transitions occur, while the average couplings for nuclear arrangements remote from these points are only slightly changed. These latter couplings are of the same order of magnitude as the spin–orbit couplings for the El-Sayed-allowed ISC transition  $T_4 (n\pi^*) \leftarrow S_1 (\pi\pi^*)$ , which represents the competing pathway to the  $S_0 \leftarrow S_1$  IC process. However, far away from  $S_1/S_0$  conical intersections, the energy gap between  $S_1$  and  $T_4$  is much smaller than that between  $S_1$  and  $S_0$  (in fact,  $S_1$  and  $T_4$  may even become near-degenerate); according to the energy gap law, ISC transitions may thus be more efficient than IC in the singlet manifold, at least unless an  $S_1/S_0$  conical intersection is reached.

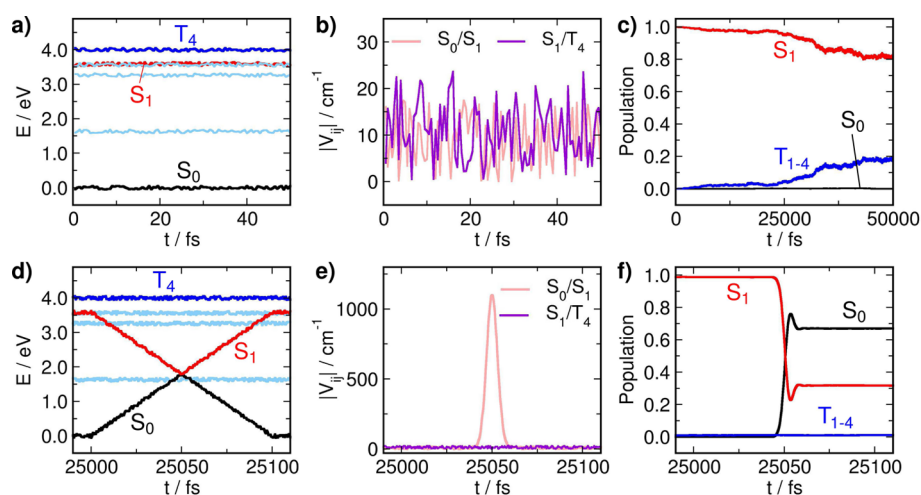
This reasoning can be further supported by model calculations of the electronic state population dynamics. For this purpose, we selected the two lowest singlet and the four lowest triplet states and numerically solved the time-dependent Schrödinger eq 1 in this manifold, including nonadiabatic and spin–orbit couplings, as described in the computational section. To account for the relaxation of the molecule in the  $S_1$  state after initial excitation, the numerical values for the electronic energies obtained at the minimum energy structure in the  $S_1$  state, as given in parentheses in Table 1, were used. In addition, the spin–orbit couplings from Table 3 and the averaged nonadiabatic couplings between  $S_1$  and  $S_0$  as well as between the triplet states as obtained from surface-hopping dynamics were employed. The numerical values are provided in Table 4.

**Table 4.** Average Nonadiabatic Coupling Matrix Elements of NDCA Obtained from Surface-Hopping Dynamics Simulations in the Singlet and Triplet Manifolds, Respectively

pair of states	coupling ( $\text{cm}^{-1}$ )
$S_0/S_1$	9
$T_1/T_{2,3,4}$	22
$T_2/T_3$	650
$T_2/T_4$	220
$T_3/T_4$	650

In order to account for effects of nuclear dynamics on energies and couplings, we allowed these quantities to vary stochastically about their initial values, in this way mimicking the fluctuations caused by nuclear motion in a very simplified way. The resulting temporal behavior of energies and couplings is illustrated in Figure 8a,b. Notice that without these variations, the couplings would be constant, thus only leading to Rabi-type oscillations of the electronic state coefficients without residual population transfer.

Our simulations showed that on a time scale of approximately 50 ps, almost 20% of the population is transferred from the initially populated  $S_1$  to the triplet manifold via the  $n\pi^*$ -type  $T_4$  state, as shown in Figure 8c. If, instead, the model is constructed using the energies and couplings obtained for the  $S_0$  geometry, which implies a reduction of the  $S_1/T_4$  energy gap from 0.4 eV to about 0.04 eV (cf. Table 1 for energies and Table 3 for spin–orbit couplings), the population transfer is, according to the energy gap law, even more pronounced and reaches more than 20% within 50 ps (cf. Figure S5, Supporting Information). The  $S_0$  population remains negligible in both cases. This means that, if no conical intersection of  $S_1$  with the ground state is reached, triplet state



**Figure 8.** Model calculations of electronic relaxation dynamics in NDCA involving singlet and triplet states. Upper panels: (a) Electronic energies of the  $S_0$  (black) and  $S_1$  states (red), and the triplet states  $T_4$  (blue) and  $T_1$ – $T_3$  (light blue). (b) Nonadiabatic coupling between  $S_0$  and  $S_1$  (light red), as well as spin–orbit coupling between  $S_1$  and  $T_4$  (violet). The energies and couplings are modeled to randomly fluctuate around the values obtained at the  $S_1$  state minimum geometry and behave in the entire simulation similarly to the time period presented here. (c) Resulting population dynamics obtained for a simulation time of 50 ps. Lower panels (d–f): Results of a model calculation similar to the upper panels, but including the effect of a conical intersection between  $S_0$  and  $S_1$ . For this purpose, around an arbitrarily selected time  $t = 25\,000$  fs, an electronic degeneracy as well as an increased  $S_0/S_1$  nonadiabatic coupling are introduced. The degeneracy is constructed by closing and restoring the energy gap  $\Delta E = E_{S_1} - E_{S_0}$  as a linear function of time within 100 fs (cf. panel d). The nonadiabatic coupling is modeled by a Gaussian function as  $D_{S_1/S_0} = D_{\max} \exp(-(4 \ln 2 / w^2) \Delta E^2)$ , with  $w = 0.51$  eV and  $D_{\max} = 1097$   $\text{cm}^{-1}$ .

populations up to 20% may be obtained within the simulation time of 50 ps.

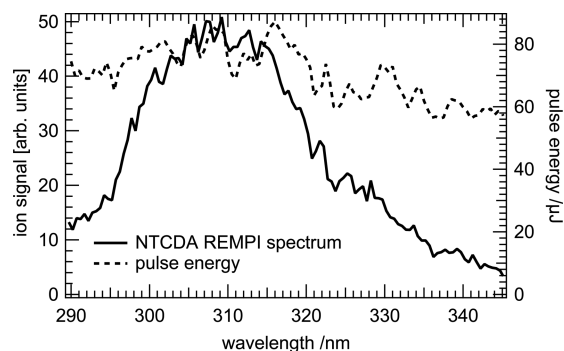
The effect of conical intersections can also be added to the model. For this purpose, the  $S_1/S_0$  energy gap is gradually closed during the simulation (cf. Figure 8d), and at the same time the nonadiabatic  $S_1/S_0$  coupling is increased up to a value of approximately  $1000$   $\text{cm}^{-1}$  when the energetic degeneracy of the two states is reached, as shown in Figure 8e. Subsequently, the energy gap is increased again, and the nonadiabatic coupling is reduced back to the average value determined for configurations away from conical intersections. For such a case, the simulations show pronounced population transfer to the  $S_0$  state up to 70% (cf. Figure 8f).

These model calculations show that both IC and ISC may be the dominant relaxation processes, depending on whether a conical intersection between the singlet states  $S_1$  and  $S_0$  is reached or not. From the full coupled electron–nuclear nonadiabatic dynamics simulations in the singlet manifold described at the beginning of this section, the height of the energy barrier that needs to be overcome in the  $S_1$  state in order to reach a conical intersection can be estimated to about 0.7–0.9 eV. The kinetic energy of trajectories thermalized at 30 K amounts to about 0.07 eV and is thus on average too low to get over the barrier, hence the long lifetime in the excited state. At 300 K, by contrast, the average kinetic energy is about 0.74 eV, and thus, the barrier can be overcome (cf. also Figure S4).

**4. Gas Phase Studies of NTCDA.** The NTCDA time-of-flight mass spectrum was observed in a one-color REMPI measurement with focused picosecond laser pulses, cf. Figure S1. For the ionization energy of isolated NTCDA, values of 9.67<sup>11</sup> and 9.66 eV<sup>10</sup> have been reported. Thus, similar to NDCA, the photoionization of isolated NTCDA requires three photons in the 260–385 nm region. In the time-of-flight mass spectrum of NTCDA ( $m/z = 268$ ) a characteristic fragment appears at  $m/z = 224$ , corresponding to loss of a  $\text{CO}_2$  unit by dissociative photoionization. Photoionization mass spectra

using 118 nm radiation (Nd:YAG ninth harmonic produced in Xe) yielded the same mass spectrum with similar intensities.

Figure 9 shows the one-color [1 + 2] REMPI spectrum of NTCDA recorded between 290 and 345 nm with the



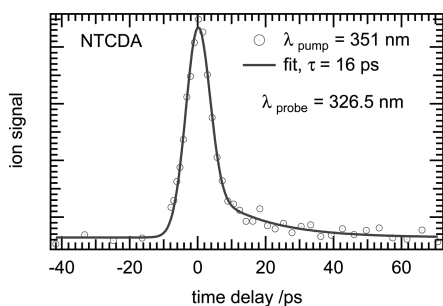
**Figure 9.** One-color REMPI spectrum of NTCDA as well as the corresponding pulse energy of the excitation wavelengths.

picosecond-laser system. On the basis of the computations shown in Figure 1, this corresponds to excitation into one of the two lowest-lying singlet states ( $S_1$  or  $S_2$ ). Starting from 345 nm the signal increases quickly and reaches a maximum at 309 nm. The band is broad and unstructured. Beyond 345 nm the pulse energy of the OPG drops sharply but is fairly constant over the depicted energy range. We were not able to record a decay trace in this wavelength region. In agreement with the broad spectrum we assume that the dynamics of NTCDA in this wavelength region is dominated by an ultrafast deactivation process with a subpicosecond time constant.

The computed vertical excitation energy to the  $A^1B_{1u}$  ( $S_1$ ) state is 3.84 eV (323.1 nm). Since the transition between the vibrational ground states of the two electronic states will be somewhat lower in energy (cf. the red-shift of  $\sim 0.4$  eV in the



case of NDCA), we employed the 351 nm (3.53 eV) third harmonic of the Nd:YLF laser for excitation to pump the  $S_1$  state. In the next step we recorded a time-delay trace by ps-time-resolved  $[1 + 2']$  photoionization. For ionization, the OPG output at 326.5 nm was slightly focused to obtain a good signal/noise ratio. In Figure 10 the resulting delay scan of



**Figure 10.** Time-delay trace of isolated NTCDA at an excitation wavelength of 351 nm. A monoexponential decay of 16 ps was found.

NTCDA is depicted. The data points were fitted with a monoexponential function, which was convoluted with the IRF. Twelve separate scans were averaged and yielded a time constant of 16 ps. To exclude that the dynamics is caused by a two-photon excitation to a higher electronic state we varied the pulse power of the pump pulse at the zero in time and observed a linear dependence of the signal on the laser power. Accordingly the time constant of 16 ps is assigned to the deactivation process of the NTCDA  $S_1$  state.

## DISCUSSION

The results shown in the preceding sections provide considerable information on the nonradiative processes in NDCA. Two competing deactivation pathways are possible for the  $S_1$  state of both molecules, internal conversion (IC) to the electronic ground state and intersystem crossing (ISC) to a triplet state. The calculations of the nonadiabatic relaxation within the singlet manifold reveal a possible deactivation to the ground state via a conical intersection. This process is computed to take place on a time scale of several tens of picoseconds, with a strong dependence on the temperature/internal vibrational energy of the molecule. Although the computed time scales are systematically lower than the experimentally obtained decay rates presented in Table 2, they show a qualitatively similar decrease with increasing internal vibrational energy. However, as indicated in Figure 1, also several triplets lie close to or below the first excited singlet state  $S_1$ . In particular, the energy gap between the  $S_1$  and the  $n\pi^*$ -type triplet state  $T_4$  only amounts to 0.04 eV. According to the energy gap law for radiationless transitions,<sup>45,46,47</sup> this should favor ISC transitions according to

$$k_{\text{ISC}} = k_0 e^{-\Delta E/kT} \quad (2)$$

Moreover, the computations described above indicate that considerable spin–orbit coupling is present between singlet and triplet states of  $\pi\pi^*$  and  $n\pi^*$  character, respectively, according to the El-Sayed rules.<sup>44</sup> The corresponding transition  $T_4 (n\pi^*) \leftarrow S_1 (\pi\pi^*)$  is indicated in Figure 1 by a green arrow. Taking into account the same order of magnitude of the spin–orbit couplings and the average nonadiabatic couplings between  $S_1$  and  $S_0$  for geometries far off conical intersections (cf. Section 3), it is likely that ISC can compete with IC unless a  $S_1/S_0$

conical intersection is reached, thus enabling population transfer to the triplet manifold.

Deactivation by ISC is supported by the solution phase data, which reveal a long-lived state with an absorption spectrum that can be assigned to the triplet state based on the computations, as discussed above. Most likely, ISC in solution proceeds via the El-Sayed allowed  $T_4 (n\pi^*) \leftarrow S_1 (\pi\pi^*)$  pathway within roughly 200 ps. The  $T_4$  state subsequently deactivates quickly to the  $T_1$  state with a time constant that is too fast to be observed in our experiments. Time constant  $\tau_2$  then represents the lifetime of the  $T_1$  state. Note that phosphorescence in NDCA solutions has been reported before with  $\mu\text{s}$ -lifetimes,<sup>48</sup> and the TA spectrum after 7.5 ns looks similar to the phosphorescence bands in methanol,<sup>48</sup> supporting this interpretation. The offset observed in the gas phase experiments might correspond to this long-lived triplet state as well. The 200 ps time constant  $\tau_1$  agrees well with the lifetimes of low-lying vibronic states in the gas phase spectrum. It should be kept in mind that vibrationally excited states in solution cool quickly by energy transfer to solvent molecules,<sup>47</sup> thus a comparison to the low-lying states of the isolated molecule is adequate.

However, while for the solution phase deactivation via ISC appears to be evident from the measured transient absorption spectra, the situation is less clear for the gas phase experiments. The rate constants  $\tau_1$  obtained in the experiment might well be due to both IC and ISC processes. Although at first glance the time constants seem to be rather short for ISC, there have been reports on fast ISC for numerous carbonyl compounds. In benzophenone, for example, a time constant  $\tau_{\text{ISC}}$  of 5 ps was found for  $T_2 (\pi\pi^*) \leftarrow S_1 (n\pi^*)$ .<sup>49</sup> For 1-nitronaphthalene, the molecule with the fastest known  $S_1$  deactivation by ISC,  $\tau_{\text{ISC}}$  was determined to be less than 100 fs.<sup>50</sup> In the enol form of isolated cytosine, IC and ISC were found in a computational analysis to compete in the  $S_1$  deactivation with lifetimes of a few ps,<sup>51</sup> and the computed spin–orbit couplings assumed a comparable magnitude as in the calculations presented here. Thus, a deactivation by ISC on the 10–100 ps scale is not unusual.

In principle, molecules both in the excited singlet as well as in the triplet states can be ionized by the applied ionization scheme: The use of two photons of 351 nm yields an energy of about 7.1 eV, while the computed adiabatic ionization energy from the  $T_1$  state to the cation ground amounts to 6.4 eV. For the  $S_1$  state, a value of only 5.0 eV is obtained. Although both pathways are present, the determination of a branching ratio requires, e.g., photoelectron spectroscopy as a probe method. A setup to conduct such experiments is currently under construction.

Interestingly, for excitation at the  $S_1$  origin of the isolated molecule, a much longer lifetime of 1.2 ns was found. The fast decrease of  $\tau_1$  with vibrational excitation points at a competition of deactivation channels around the  $S_1$  origin that depends sensitively on the energy. The computations show a deactivation time that decreases strongly with temperature, possibly because of a barrier along the way to the crossing point. At higher temperatures there is enough internal energy in the molecule to overcome this barrier, while excitation to the  $S_1$  origin does not seem to provide enough energy to overcome the barrier. Thus, the approach to the conical intersections is hindered, coupling to the ground state remains weak, and deactivation by IC is slow. Once additional vibrational excitation is placed in the molecule, the crossing point can be accessed and return to the ground state proceeds much faster.

However, because of the presence of large spin–orbit coupling between  $n\pi^*$  and  $\pi\pi^*$  states already at the initial stage of the dynamics, part of the excited state population undergoes ISC to the triplet manifold. There the population resides on a much longer time scale, giving rise to the observed long-time offset in the gas phase time-delay traces. However, in cyclohexane solution  $\tau_1$  is always on the 100–200 ps scale. Although the influence of cyclohexane on molecular potential energy surfaces is generally small, it enables efficient dissipation of vibrational energy, such that a strong dependence of the relaxation time constants on the initial vibrational excitation is not observed. Moreover, this thermalization seems to sufficiently slow down the approach to conical intersections between the  $S_0$  and  $S_1$  states such that ISC to the triplet manifold becomes a major deactivation channel. This eventually leads to observation of the triplet absorption for delay times up to several ns (cf. Figures 6 and 7).

For NTCDA a similar situation is evident. Several triplet states lie close to the  $S_1$  and a small energy gap is predicted by the computations for the photoexcited  $S_1(\pi\pi^*)$  and the triplet states of  $n\pi^*$  character,  $T_3$  and  $T_4$ . Hence a competition of deactivation pathways via ISC and IC is likely for isolated NTCDA as well.

## CONCLUSION

The dynamics of the  $S_1$  state of NDCA has been investigated by both theory and experiment. The origin of the  $A^1A_1 \leftarrow X^1A_1$  ( $\pi\pi^*$ ) transition is found at  $30\,260\text{ cm}^{-1}$  (3.752 eV), in very good agreement with the computational value of 3.777 eV. Several low-lying vibronic bands were observed that could be assigned to in-plane bending modes based on the computations. For the origin band a lifetime of 1.21 ns was found by time-resolved photoionization, but the lifetime decreases quickly with excess energy and reaches around 30 ps at  $+800\text{ cm}^{-1}$ . Two deactivation channels were identified by coupled electron–nuclear dynamics simulations, internal conversion (IC) through a conical intersection to the  $S_0$  electronic ground state, and intersystem crossing (ISC) to the almost degenerate  $T_4$  ( $n\pi^*$ ) state, which is allowed by the El-Sayed rules. Both processes will compete in the excited state deactivation. In order to access the conical intersection with  $S_0$ , an energy barrier has to be passed, which requires excess energy. This might explain the fairly sharp drop in lifetime with vibrational excitation in the  $S_1$  state.

In cyclohexane solution only ISC occurring on a time scale of roughly 200 ps was experimentally found. The transient absorption spectrum recorded at long delay times resembles closely the computed absorption spectrum of the lowest triplet state. The deactivation within the triplet manifold is presumably rapid and cannot be time-resolved in the experiment. The lifetime of  $T_1$  is long ( $\tau_2 \geq 30\text{ ns}$ ) on the time scale of the experiment. Although cyclohexane is generally thought to have only a small impact on the potential energy surface of a molecule, it seems that the access to the conical intersection is hindered in solution. While IC and ISC compete in the gas phase, ISC dominates in cyclohexane.

For NTCDA we measured a lifetime of 16 ps at an excitation wavelength of 351 nm, which is close to the computed origin of the  $S_1$  ( $\pi\pi^*$ ) state. Again several triplet states are lower or equal in energy, and ISC to two of them is allowed by the El-Sayed rules.

In conclusion this work provides insight into the photo-physics of NDCA, a molecule of importance in optoelectronics.

Challenges for a correct description are the number of low-lying electronic states and the competition between IC and ISC. Both have been fully addressed. Employing a combination of surface-hopping simulations in the singlet states and electronic population dynamics in the full manifold of singlet and triplet states at a model level has allowed us to determine approximate branching ratios between internal conversion and intersystem crossing that are used to interpret the results of time-resolved experiments. For NTCDA, deactivation pathways similar to those found in NDCA are assumed.

## ASSOCIATED CONTENT

### Supporting Information

Photoionization mass spectra, static absorption spectra of NDCA and NTCDA, time-dependent populations of singlet and triplet states, and full references. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

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