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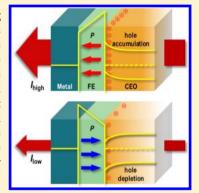


Tunneling Electroresistance Induced by Interfacial Phase Transitions in Ultrathin Oxide Heterostructures

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Supporting Information

ABSTRACT: The ferroelectric (FE) control of electronic transport is one of the emerging technologies in oxide heterostructures. Many previous studies in FE tunnel junctions (FTJs) exploited solely the differences in the electrostatic potential across the FTJs that are induced by changes in the FE polarization direction. Here, we show that in practice the junction current ratios between the two polarization states can be further enhanced by the electrostatic modification in the correlated electron oxide electrodes, and that FTJs with nanometer thin layers can effectively produce a considerably large electroresistance ratio at room temperature. To understand these surprising results, we employed an additional control parameter, which is related to the crossing of electronic and magnetic phase boundaries of the correlated electron oxide. The FE-induced phase modulation at the heterointerface ultimately results in an enhanced electroresistance effect. Our study highlights that the strong coupling between degrees of freedom across heterointerfaces could yield versatile and novel applications in oxide electronics.



KEYWORDS: Nanoscale ferroelectric junctions, correlated electron oxides, interfacial phase transition, tunneling electroresistance, pulsed laser epitaxy

n quantum electronics, an ultrathin insulating layer sandwiched by two metallic electrodes serves as a tunnel junction (TJ), where electrons pass through the barrier by the quantum tunneling process. Depending on the selection of electrode materials, a wide range of TJs can be designed and utilized for various purposes. For example, semiconductor tunnel diodes are used for amplifiers and frequency converters, 1 magnetic TJs for magnetic detectors in hard disks,² and superconducting TJs utilizing the Josephson effect for magnetometer applications.³ Recently, an upsurge of interest has focused on electrically induced large resistance changes by TJs with ferroelectric (FE) insulating barriers, displaying the socalled tunneling electroresistance (TER) effect (see Figure 1a).4,5 The spontaneous polarization of the FE barrier is predicted to affect the tunneling behavior in FE tunnel junctions (FTJs) through the interface effect,6 inverse piezoelectric response, 7,8 and modification of the band structure of the FE barrier, 9 resulting in a bistable state for nonvolatile switching. Correlation between the FE polarization direction and the tunneling conductance due to the change of electrostatic potential across the FE layer has also been observed in FE oxide heterostructures. 4,10-14 This FE fieldinduced modulation of the TER can be particularly interesting when the FE layer is combined with correlated electron oxides (CEOs) as the metallic electrodes. Up to now, however, the role of the electrode (the CEO layer in the heterostructure) has often been ignored or underestimated in explaining the TER effect. While an electronic phase modification in the electrode by a neighboring FE layer has been theoretically predicted in seminal work by Tsymbal and collaborators, 4,15 there have been only few experimental efforts to attest those predictions. 16,17 This is largely due to the absence of a direct FE control on both the electronic and magnetic states of the CEO layer, even though electric-field controlled modifications of the magnetism or magnetic-field dependent resistivity changes have been observed in oxide heterostructures. 18 Since the modification of

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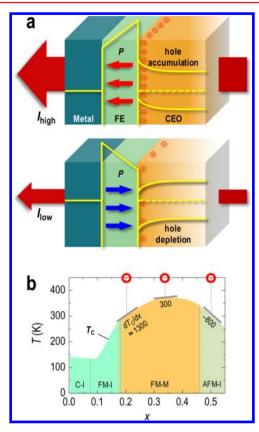


Figure 1. Interfacial phase modulation by FE. (a) Schematic representation of Metal/FE/CEO TJs. Energy band diagrams of FTJs at zero external bias are also drawn for two polarization directions. The carrier population is controlled by the direction of the polarization, which yields either hole accumulated (top) or depleted (bottom) state in the CEO layer. (b) Phase diagram of bulk LSMO varying x. ¹⁹ Near x = 0.20, T_c changes the most with $x (dT_c/dx \approx 1300)$, near x = 0.50 the change is less drastic $(dT_c/dx \approx -800)$, and near x = 0.33 it is the smallest $(dT_c/dx \approx 300)$.

the CEO state should naturally accompany drastic changes in the physical properties of the heterostructures, this approach encompasses great potential for novel device applications, which could effectively utilize CEO phase modifications by controlling the FE polarization (Figure 1a).

 ${\rm La_{1-x}Sr_xMnO_3}$ (LSMO) is a hole-doped CEO and an excellent model system to explore the above-described effects because its electronic and magnetic phases are highly susceptible to a small change in doping (Figure 1b).¹⁹

Therefore, a strongly coupled phase modulation could significantly influence the TER magnitude. An ultrathin LSMO was chosen as the CEO layer to maximize the effect and to convincingly confirm the expected effects by investigating both its electronic and magnetic properties. We note that while LSMO thin films have been frequently used as bottom electrodes in FTJs in some previous studies their contribution to the junction transport has never been fully appreciated. 10,20,21 In this work, we systematically investigate the coupled phase modulation in the oxide heterostructures by varying the nominal composition x in LSMO (x = 0.20, 0.33and 0.50). PbZr_{0.2}Ti_{0.8}O₃ (PZT) has been chosen as the FE layer owing to its large remnant polarization (typically, our highly polar PZT film has a remnant polarization, $P_r = \sim 80 \,\mu\text{C}/$ cm², without thickness dependent variations.).²² It is also worth noting that a large polarization is indispensable to strengthening the coupling across the heterointerface without the dielectric breakdown typically found in non-FE-containing field effect devices. 23 Schematics of heterostructures and corresponding energy band diagrams are shown in Figure 1a to illustrate the main ideas. When the FE polarization is upward, the carriers (holes) in LSMO become accumulated due to the spontaneous electric field at the interface. Conversely, when the polarization is downward, a hole-depleted state is realized. In addition to the different shape of the PZT band due to the polarization reversal, the hole depleted/accumulatedstates yield a substantial change in the in-plane and out-of-plane transport properties in FTJs. Even more importantly, the hole depletion/accumulation could trigger the crossing of a phase boundary of LSMO (Figure 1b), providing us with another degree of freedom which could largely amplify or even reverse the TER effect.

The impact of the FE polarization on the electronic and magnetic state of the LSMO layer could be clearly observed from the in-plane transport and magnetic properties of our heterostructures, as summarized in Figure 2. Since it was impossible to switch the as-grown polarization on the macroscopic scale necessary for the in-plane measurements, we compared the results of various LSMO ultrathin films with (upward polarization) and without (no polarization) a PZT capping layer. As previously reported, the spatial extent of the ample FE polarization effect is limited to only a few nanometers. Therefore, we focused on heterostructures with an ultrathin LSMO layer (5 nm). The polarization direction of the as-grown PZT was upward, so the LSMO film with PZT capping layer should have a hole accumulation state. This

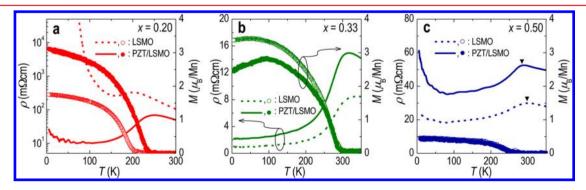


Figure 2. FE control of in-plane transport and magnetic properties. In-plane resistivity (ρ) (lines) and magnetization (M) (symbols) as a function of T. Results for ultrathin LSMO with compositions (a) x = 0.20, (b) 0.33, and (c) 0.50 are shown with and without PZT capping layers. Note that (a) is reproduced from ref 24.

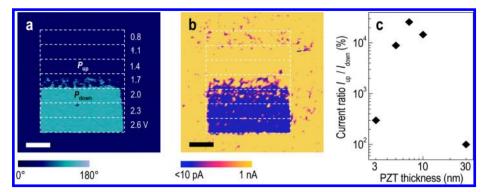


Figure 3. Polarization direction dependent tunneling. (a) Voltage-dependent PFM phase image of a PZT/LSMO (x = 0.20) heterostructure at room temperature. The thicknesses of PZT and LSMO are 10 and 5 nm, respectively. (b) CAFM map for the area corresponding to (a). Upward polarization results in higher current. In (a,b), the scale bars correspond to 300 nm. (c) Current ratio between $P_{\rm up}$ and $P_{\rm down}$ states as a function of the PZT thickness.

would shift the LSMO phase to the increased doping side, that is, to the right in Figure 1b. The temperature dependent resistivity, $\rho(T)$, curves in Figure 2a show that x = 0.20 LSMO indeed exhibits a remarkable polarization-induced insulator-tometal transition. In the case of the PZT/LSMO heterostructure, the drastically reduced $\rho(T)$ value, the increased ferromagnetic (FM) Curie temperature (T_c) , and the increased magnetization coherently indicate the modification of electronic and magnetic states of LSMO from an FM insulator to an FM metal by the FE polarization. Note that the strong FE field effect control, yielding here, for example, a 100 000 fold change in resistivity at 50 K, is solely related with the polarization of our PZT film.²⁴ Such a huge change in resistivity by FE polarization is among the largest ever reported. 16 On the other hand, Figure 2c shows results for x = 0.50 LSMO displaying an opposite trend to that of x = 0.20 LSMO: $\rho(T)$ now increases with the FE field-induced doping. While it was rather difficult to determine T_c from the suppressed temperature-dependent magnetization M(T) curves due to the antiferromagnetic (AFM) phase of the highly doped LSMO, the T_c estimation from the peak in the $\rho(T)$ curves (denoted as triangles) shows a slight decrease in T_c with the PZT layer on top of the LSMO layer. Note that the suppressed changes in T_c compared to those of x = 0.20 LSMO might be due to the reduced slope value of the α -dependent T_c curve, as shown in Figure 1b: the slope near x = 0.50 ($dT_c/dx \approx -800$) is only about 60% of the value near x = 0.20 ($dT_c/dx \approx 1300$). On the basis of the results for x = 0.20 and 0.50 LSMO, it can be further expected that x = 0.33 LSMO should display less pronounced changes than the other compositions in the electronic and magnetic properties by the FE field-induced doping. Indeed, as shown in Figure 2b, the changes in $\rho(T)$ due to the PZT capping are weaker when compared to the heterostructures with x = 0.20 and 0.50 LSMO. The overall changes in the in-plane physical properties clearly indicate that the FE field doping is an efficient way to controlling the phase of the CEO layer, ¹⁶ which could substantially influence on the TER effect in the oxide heterostructure, as discussed later.

Figure 3 shows the polarization direction-dependent tunnel currents, or TERs in a PZT/LSMO (x = 0.20) heterostructure. Figure 3a shows a piezoresponse force microscopy (PFM) phase image of the sample at room temperature, which was poled with an incrementally increasing dc bias. The gradual change in the applied voltage used in switching the polarization direction shows that the coercive voltage is \sim 2 V. A conducting atomic force microscopy (CAFM) image for the corresponding

area is shown in Figure 3b. The current map was collected at a tip bias voltage of -1.5 V. A distinct contrast has been observed in the current map, where the upward FE polarization of PZT leads to a significantly higher current. While a portion of the CAFM image includes noisy current spots due to instrumental limitations (see Supporting Information for more detail), the clear correlation between the PFM phase and CAFM images serves as a convincing proof that the FE polarization plays an important role in determining the tunneling current through the heterostructures. 10,11

In addition, the overall tunneling behavior depends on the thickness of the ferroelectric layer. 25 At the thin limit, direct quantum tunneling can be expected, while Fowler-Nordheim tunneling governs the out-of-plane transport for samples with thicker PZT layers. More detailed discussions on the tunneling behavior can be found in Supporting Information. The current ratio in our heterostructure (x = 0.20) between the upward and downward FE polarizations was obtained as a function of the PZT thickness, as shown in Figure 3c. It exhibited a peak around 7 nm of PZT. This behavior implies that there is an optimal thickness of the FE layer for the largest TER effect. When the thickness of the FE layer was too thin, the tunneling current was very large even for downward polarization, so the contrast seemed to be diminished. Also, as the PZT thickness approaches the critical thickness of ferroelectricity, the polarization decreases due to the enlarged effect of the depolarization field. 26,27 Because an increased polarization should result in a larger TER effect, 28 the decreased TER effect for thinner PZT might also be attributed to weakened ferroelectricity and increased leakage currents. On the other hand, when the PZT thickness is larger than ~10 nm, tunneling through the PZT layer would become extremely difficult, which again decreases the TER ratio. This reduction is caused by the exponential decrease of the tunneling probability as the thickness of the barrier layer increases. Note that the TER ratio for our PZT/LSMO heterostructure with the optimal PZT thickness (7 nm) is at least 30 000%. The actual value could be larger but due to instrumental limitations we calculated the ratio with the measurable minimum current level of 10 pA. While an accurate, apples-to-apples comparison of our TER with previously reported values is almost impossible due to the use of different combinations of FE and contact materials, testing method, sample thickness, bias voltage, and so forth, the TER ratio from our samples with correlated LSMO electrodes seems to be at least 1 order of magnitude larger than that from an almost identical PZT

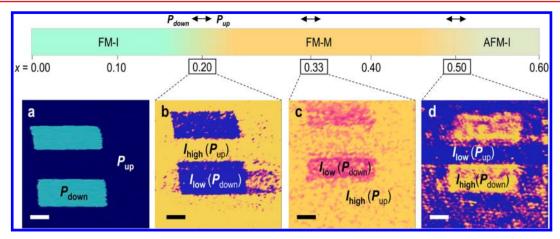


Figure 4. Tunneling current modulation at various phase boundaries. (a) PFM phase and (b-d) CAFM images of the PZT/LSMO heterostructures for compositions (b) x = 0.20, (c) 0.33, and (d) 0.50 at room temperature. The FE polarization induced phase transition in LSMO is indicated by arrows. The scale bars on the images correspond to 300 nm. See Figure 3 for data scale bars.

sample with our FTJs but with highly conducting electrodes (Pt, SrRuO₃). ¹² Consistent with our observation, we could also find a similar trend from related literature. The overall TER ratio from samples with CEO electrodes 10,13,14,21,29 is significantly larger than that from samples with non-CEO electrodes. 11,12,30

As observed from the in-plane transport in Figure 2a, the modified phase of LSMO due to the FE polarization largely amplifies the electroresistance effect. Therefore, we believe that the LSMO CEO layer could play a more crucial role than the PZT FE layer for the electroresistance effect in the heterostructures. To examine this idea, we varied x in our LSMO layer deposited prior to the PZT layer (10 nm in thickness). Figure 4 shows CAFM images for x = 0.20, 0.33, and 0.50 in LSMO, taken at room temperature. A typical PFM phase image representative for all PZT/LSMO heterostructures confirmed the good ferroelectricity of PZT as shown in Figure 4a. It consistently displayed both positively and negatively poled domains with a clear contrast, regardless of x. On the other hand, the resultant TER effect observed from the CAFM image was strikingly different. For the x = 0.20 heterostructure, as already shown in Figure 2, the upward (downward) polarization resulted in a significantly high (low) tunneling current. Surprisingly, for the x = 0.50 heterostructure, this TER trend was completely reversed. As shown in Figure 4d, for x = 00.50, the upward (downward) polarization resulted in a low (high) tunneling current, with a similarly distinct contrast in the current level as in the x = 0.20 heterostructure. On the other hand, the x = 0.33 heterostructure showed similar trends as the x = 0.20 heterostructure, but the contrast between the current levels for the upward and downward polarizations was substantially suppressed.

In these regards, it is worthwhile mentioning again that the FE polarization in the PZT layer induces a hole-accumulated or -depleted state in LSMO near the interface. These changes in the hole carrier density near the interface could drastically alter the electronic and magnetic states of LSMO, especially when x is near a phase boundary. The distinctly different phase of LSMO would of course change the boundary condition at PZT/LSMO interface dramatically, influencing the tunneling current that we observe in the CAFM measurement. Note also that the x = 0.20 and 0.50 LSMO heterostructures are in the vicinity of different phase boundaries. In fact, x = 0.20 and 0.50 transit, respectively, from insulating and metallic phases to

metallic and insulating phases by hole accumulation. Because the metallic (insulating) phase of LSMO would increase (decrease) the tunneling probability across the heterostructure, the opposite trend in the CAFM can be easily understood by taking into account the polarization-induced modulation of electronic states in LSMO. Furthermore, the x = 0.33 LSMO is in the middle of the metallic phase which manifests that the FE field effect on the LSMO layer is weakened. Note that this suppressed contrast is in agreement with what most previous reports have observed with highly conducting electrodes. ^{11,12}

Some of the effects described here have been further verified by theoretical calculations. A microscopic model Hamiltonian was employed to simulate the depletion/accumulation of holes on the CEO side of the structure. More specifically, the Hamiltonian contains the standard two-orbital double-exchange (DE) term supplemented by an electrostatic potential originating from the surface charge of PZT that is expected to induce a redistribution of e_{g} electrons in LSMO.³¹ The FE polarization of PZT was modeled via a surface charge (±0.8 electrons/unit cell) attached to the LSMO interface, a reasonable and widely accepted procedure.³¹ Afterward, the e_{α} profile and screening potential in LSMO were calculated selfconsistently by diagonalizing the DE Hamiltonian and solving the Poisson equation for the electrostatic field.³¹ The localized spins in the DE model were assumed to be FM for x = 0.20 and 0.33, and A-type AFM (A-AFM) for x = 0.50, or paramagnetic (PM), that is, disordered for all the values of x studied here. Note that the results for the change in carrier populations were found to be quite similar leading us to conclude that the observed hole redistribution is dominated by electrostatics. As shown in Figure 5 and discussed previously, the screening effect on the LSMO layer is mostly restricted to just a few layers from the interface.²⁴ Although the screening length depends on the LSMO effective dielectric constant used, the qualitative tendencies are unambiguous: when the FE polarization points away from the LSMO layer, the interfacial e_g density is prominently decreased (i.e., holes are accumulated). In contrast, the interfacial hole density is suppressed when the FE polarization points toward the LSMO layer. The FE modulation of the e_{g} density near the interface is expected to modify the interfacial physical properties of LSMO significantly, in accord with well-established Mn-oxide theoretical phase diagrams and also with a recent prediction.³² This interfacial phase transition will induce drastic changes in both the in-plane

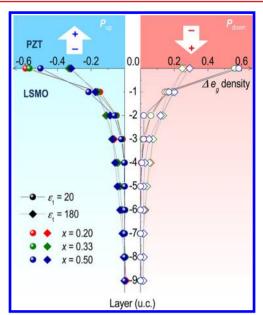


Figure 5. FE field control of interfacial charges. The theoretical depth profile showing the changes in the e_g electronic density for upward (Q=-0.8) and downward (Q=0.8) polarizations for compositions $x=0.20,\ 0.33,\$ and 0.50. The spin configurations in the DE model were selected as FM for x=0.20 and 0.33, and A-AFM for x=0.50. Two different dielectric constants ($e_1=20$ and 180) have been used to compare the degree of FE control. These idealized calculations show that the electronic density modifications in the first and second layers can indeed be very large, compatible with the experimental results. Our theoretical results define an upper bound of what could be achieved experimentally, since effects such as lattice reconstructions at the interfaces have not been incorporated. In the Supporting Information, results for PM spin configurations are presented as well, showing that the amount of hole doping does not change much with the spin configuration.

conductance and the out-of-plane tunnel current. 15 In fact, considering the x = 0.50 heterostructure as an example and using proper superexchange coupling, our calculation shows that the interfacial LSMO layers may become FM instead of the original A-AFM ground state order.³² The possible interfacial FM to A-AFM phase transition in the x = 0.20 heterostructure will give rise to the opposite effect. Note that our calculations were performed for the ground state, namely at zerotemperature. Nevertheless, the results are overall in good agreement with the experimental observations. Moreover, since the amount of hole doping is similar for FM, A-AFM, and PM spin configurations, our results are useful to understand the large TER ratio of the FE/CEO junctions (see Supporting Information for the PM result). The substantial modifications in the e_{σ} electronic density observed in our simplified model system indicate that the local phase transitions in LSMO near the interface can indeed be potentially dramatic, and this effect could be utilized for amplified electroresistance or other electronic devices that require large ON/OFF ratios.

Our combined experimental and theoretical observations robustly indicate that the modulated phase in LSMO is the key factor to control the TER, but the following additional details should also be considered for a deeper understanding of the system. First, the thickness of the CEO layer should be carefully controlled, in addition to the PZT thickness, as it significantly influences the current ratio. As the LSMO thickness approaches the ultrathin limit, LSMO tends to exhibit the behavior of a film

with a smaller doping. 33,34 Since our LSMO ultrathin films are only 5 nm thick, they could behave as less-than-nominally doped films. (Note that the thickness dependence could vary with the doping level x in LSMO) Although it would be rather difficult to quantify this thickness influence, the effect would simply shift the LSMO layer to a lower doping value in the vicinity of the phase boundaries of x = 0.20 and 0.50 LSMO. Thus, this is not a serious problem. Second, the depletion width for the carrier depleted state for the downward PZT polarization should be considered as well. This would be especially important for future quantitative analyses, as the depletion width could change for different electronic phases of LSMO. Since the depletion width would directly affect the tunneling probability across the heterostructure, it is an important parameter to be considered. Third, due to the phase changes in the LSMO layer, the PZT layer can be inversely affected. For example, drastic modifications in the boundary condition could alter the depolarization field, which could directly affect the FE polarization. Finally, intrinsic phase separation tendencies in the manganite layers could also be an issue to consider in real materials.

In conclusion, we have demonstrated that TER in ultrathin FE/CEO (PZT/LSMO) heterostructures is determined by phase transitions in the interfacial state of LSMO induced by the FE polarization. This coupled phase modulation was confirmed using LSMO layers with compositions near the phase boundaries. The largest TER ratio was obtained for LSMO x=0.20, reaching a ratio >30 000%. This implies that the polarization induced phase transitions in the CEO layer play the most important role in determining the value of the TER. Our effort not only provides a comprehensive understanding of the electroresistance behavior in strongly coupled systems but also contributes to the exploration of nanoscale highly sensitive nonvolatile electronics, in which two different tunneling resistances define the logic states by the influence of the FE polarization.

Methods. All samples were in situ grown by pulsed laser epitaxy on atomically smooth TiO_2 -terminated $SrTiO_3$ substrates at 625 °C in 100 mTorr of oxygen. The growth at the high pressure oxygen partial pressure ensures the quality of our ultrathin heterostructures with chemically abrupt interfaces, ³⁵ as confirmed by Z-contrast scanning transmission electron microscopy (Supporting Information, Figure S6). A KrF excimer laser (λ = 248 nm) with a laser fluence of ~1 J/cm² was used for ablating sintered PZT and LSMO targets. Details on the growth condition can be found elsewhere.²²

Nanoscale polarization and local conductance were mapped by PFM and CAFM, respectively. A clear FE response of hysteretic piezoresponse and switching behavior was observed in an as-grown FE film with an upward polarization direction. $\rho(T)$ curves were recorded by a 14 T physical property measurement system (PPMS, Quantum Design Inc.). Ohmic indium contacts were ultrasonically soldered to the samples' corners in Van der Pauw geometry and then gold wires were bonded to the contacts. M(T) curves at 200 Oe were recorded using a 7 T superconducting quantum interference device (SQUID, Quantum Design Inc.) magnetometer. The structural quality of the heterostructures was investigated by X-ray diffraction and scanning transmission electron microscopy. For the latter, we used the state-of-the-art JEOL ARM 200 CF, which was equipped with two aberration correctors (CEOS) and a cold field-emission gun, routinely achieving a spatial

resolution of 0.8 Å. The range of collection angle of 68–280 mrad was used for high-angle annular dark-field imaging.

The model calculations were done using a $4 \times 4 \times 12$ lattice with twisted boundary conditions (TBC) in plane, and open boundary conditions (OBC) perpendicular to the film. While the surface termination of LSMO may modify the size of the effective polarization at the PZT/LSMO interface as seen in a similar system, ³⁶ a mixed surface termination (50%) of LSMO was used for the calculations to avoid the termination effect on the ferroelectric switching. The TBC, with a 6×6 k-mesh, can reduce finite size effects. ³⁷ Several dielectric constants from 20 to 180 were used and all gave qualitatively similar results, although the screening length depends on the dielectric constant value. With regards to the $t_{2\mathfrak{g}}$ spins, an FM background for x = 0.20 and 0.33 and an A-AFM background for x = 0.50were adopted to calculate the electronic distribution (Figure 5).31 To account for the interfacial phase transitions, the system energies were compared between the original spin t_{2g} backgrounds and those obtained by switching the background to the competing state, as done in refs 31 and 32. For example, in the x = 0.50 case with FE polarization pointing to the LSMO four interfacial LSMO layers become FM when using J_{AFM} = $0.1t_0$, where J_{AFM} is the superexchange coefficient and t_0 is the DE energy unit (\sim 0.5 eV). Note that, in Figure 5, the changes in the hole density can be very large particularly for the first layer in our idealized calculation. However, our results should be considered only an upper bound on the density modifications that can be achieved by the influence of the FE component. In particular, issues such as the lattice distortions in the vicinity of the interface have not been considered in our effort. For more details the reader should consult ref 31.

ASSOCIATED CONTENT

S Supporting Information

Additional information on tunneling through PZT/LSMO heterostructures, influence of magnetic ordering on polarization-coupled interfacial carrier modulation, TER ratio reversal in capacitor geometry, and STEM investigation. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes

The authors declare no competing financial interest.

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