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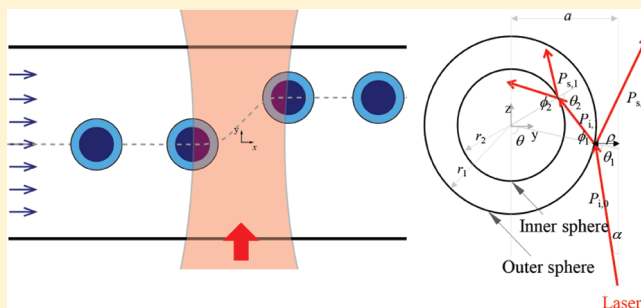
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Behavior of Double Emulsions in a Cross-Type Optical Separation System

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ABSTRACT: The behavior of double emulsions in a cross-type optical particle separation system was studied for different combinations of refractive indices and different inner and outer layer radii. The radii and refractive indices of the double emulsions were easily adjusted by taking advantage of the coflowing geometry of a cross-type optical particle separation device. An analytical expression of the optical forces on a pair of concentric spheres was derived using the photon stream method in the ray optics regime. The predicted trajectories of the double emulsions by the optical force agreed well with the experimental data. This work has potential uses in cell separation by morphometry, drug delivery vehicle, and emulsion-based biomedical applications.



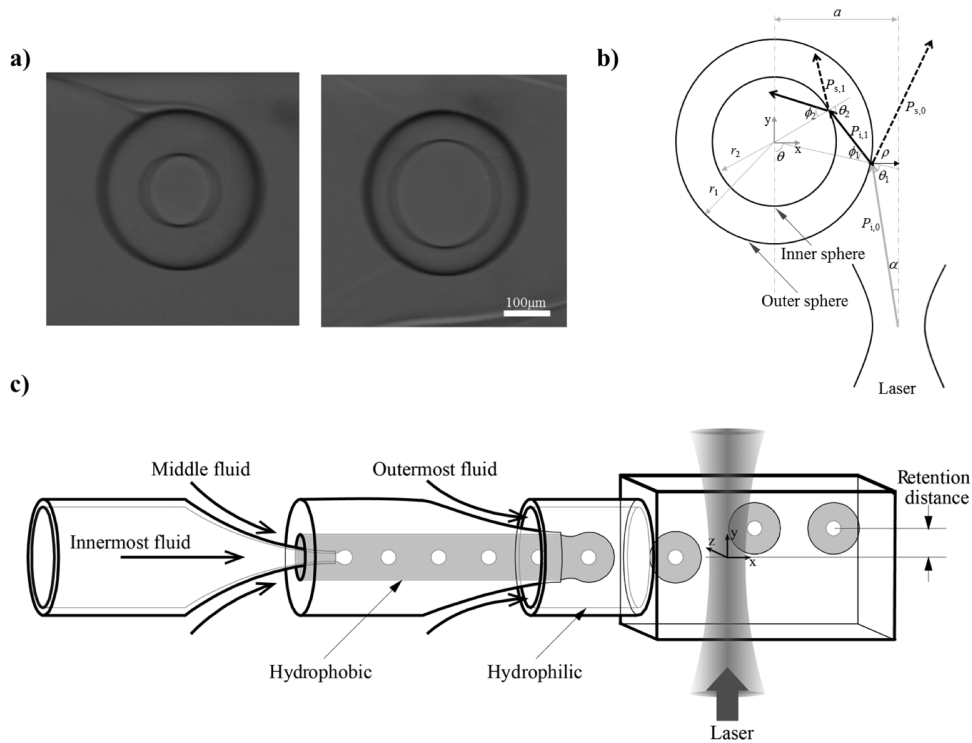


Figure 2. (a) Experimentally generated double emulsions. (b) Schematic diagram of the optical ray stream path. (c) Schematic diagram of the coflowing double emulsion generation device. The scale bar indicates 100 μm.

67 and relative sizes of the inner and outer emulsions were found
68 to affect the optical force, and these effects were examined
69 closely as a function of the retention distance. The analytical
70 predictions qualitatively agreed well with the experimental
71 measurements. The present results can be applied to the sorting
72 and detection of cells by their morphological difference and
73 encapsulated drug delivery vehicles by their optical property
74 distribution of inner and outer layers.

75 ■ THEORY

76 The theoretical calculations using a ray optics model relied on a
77 reference frame in which concentric spheres flowed in a square
78 duct with a channel mean flow velocity U , and a laser of
79 wavelength λ was illuminated vertically onto the sphere with
80 power P and a beam waist radius of ω_0 . The ratio of the outer
81 to inner radii was r_2/r_1 , and each layer had a different refractive
82 index relative to that of the outermost fluid n_0 . In the present
83 study, the photon stream method^{19,20} was used to formulate an
84 analytical expression for the optical force acting on a pair of
85 concentric spheres. To evaluate the optical force on the sphere,
86 we must determine the change in the momentum of the
87 photons. As shown in Figure 2b, the scattered momentum of
88 the photons \mathbf{p}_s can be expressed with the incident momentum
89 of the photons \mathbf{p}_i and a factor of conversion Q ⁹

$$\mathbf{p}_s = Q\mathbf{p}_i \quad (1)$$

90 The optical force exerted on a sphere can be expressed from
91 eq 1 and Newton's second and third laws

$$d\mathbf{F} = -\frac{\Delta\mathbf{p}}{\Delta t} dA = -\frac{(\mathbf{p}_s - \mathbf{p}_i)}{\Delta t} dA = \frac{\mathbf{p}_i(1 - Q)}{\Delta t} dA \quad (2)$$

92 where dA is an infinitesimal area normal to the beam direction
93 and Δt is time. Since it is a loosely focused case, the incident

ray can be assumed parallel to the beam axis. Therefore, the
conversion factor Q ²¹ is

$$Q = Q' \exp(i\alpha) = \frac{Q_N}{Q_D}$$

$$Q_N = (1 - 2R_1)(1 - 2R_2) \exp[-i(\theta_1 + \theta + 2\theta_2 - 2\varphi_1 - 2\varphi_2)] - R_1R_2 \exp[-i(\theta_1 + \theta - 2\varphi_2)] - R_2(1 - 2R_1) \exp[-i(\theta_1 + \theta + 2\theta_2 - 2\varphi_1)] - R_1 \exp[-i(\theta_1 + \theta)]$$

$$Q_D = 1 - R_1R_2 \exp[-i2(\theta_2 - \varphi_1)] + R_2 \exp[i2\varphi_2] + R_1(1 - 2R_2) \exp[-i2(\theta_2 - \varphi_1 - \varphi_2)] \quad (3)$$

The force can be split into the real and imaginary parts, and
they are equivalent to the z and y axis force components. An
infinitesimal optical force exerted by a single photon stream is
given by

$$dF_{x-y} = \frac{N}{\Delta t} \frac{h}{\lambda} [(\cos \alpha - \text{Re}\{Q\})\mathbf{y} - (\sin \alpha - \text{Im}\{Q\}) \cos \phi \mathbf{x}] r_1^2 \sin \theta \cos \theta_1 d\theta d\phi \quad (4)$$

where N is the number of photons transported through the ray,
 h is Planck's constant, λ is the wavelength of the light, and z and
 r are the axial and radial unit vectors, respectively. By
integrating the relations over the integration bounds that
determine the trajectory of the photon stream impinging on the
interface between the inner (θ_i) and outer (θ_m) spheres

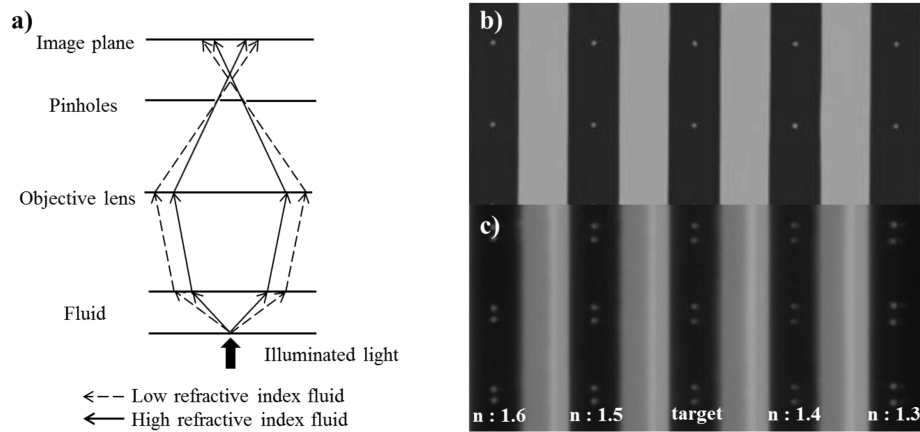


Figure 3. (a) Schematics of the microimage defocusing refractometer. (b) Image spots are on the focal plane. (c) Image spots are out of the focal plane.

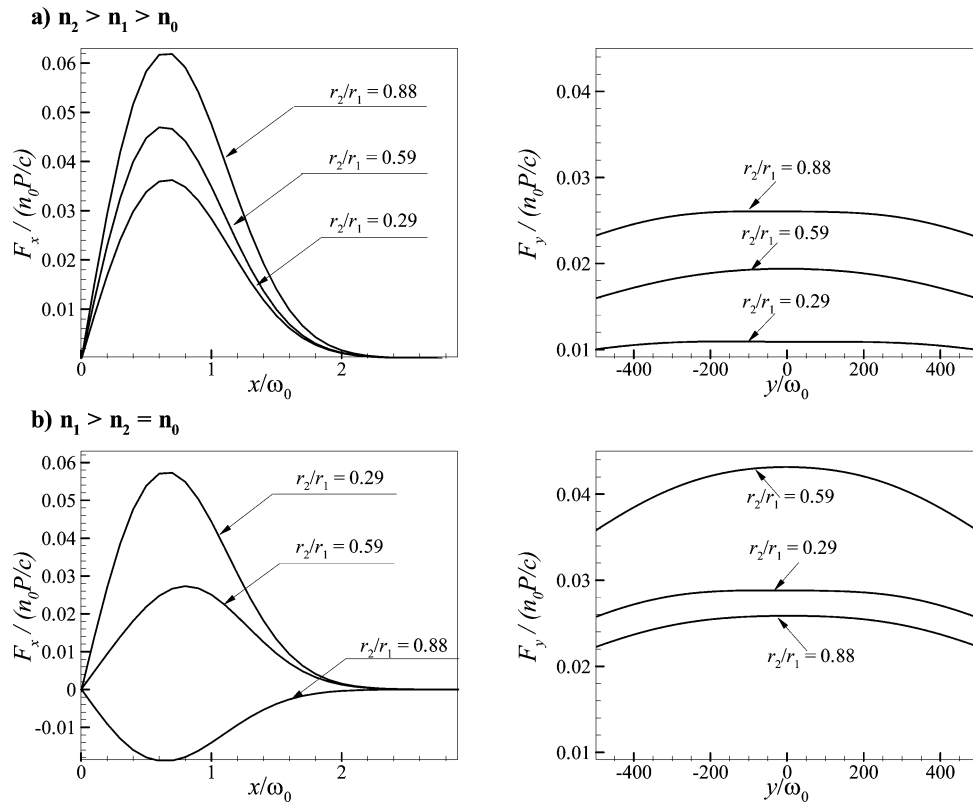


Figure 4. (a) Radial and axial force distributions for $n_2 > n_1 > n_0$ (Case 1: $r_1/\omega_0 = 0.85$, $\lambda/\omega_0 = 5.32 \times 10^{-3}$, $n_1/n_0 = 1.056$, and $n_2/n_0 = 1.106$). (b) Radial and axial force distributions for $n_1 > n_2 = n_0$ (Case 2: $r_1/\omega_0 = 0.85$, $\lambda/\omega_0 = 5.32 \times 10^{-3}$, $n_1/n_0 = 1.106$, and $n_2/n_0 = 1$).

$$\theta_h = \sin^{-1}\left(\frac{n_1}{n_0} \frac{r_2}{r_1}\right) - \alpha \quad \theta_m = \frac{\pi}{2} - \alpha \quad (5)$$

106 Then, the axial and radial forces F_y and F_x can be derived as

$$F_y = \frac{n_0}{c} r_1^2 \int_0^{2\pi} \left[\int_{\theta_h}^{\theta_m} I(\rho, y) [\cos \alpha - \text{Re}\{Q_h\}] \sin \theta \cos \theta_1 d\theta + \int_0^{\theta_h} I(\rho, y) [\cos \alpha - \text{Re}\{Q\}] \sin \theta d\theta \cos \theta_1 \right] d\phi \quad (6)$$

$$F_x = -\frac{n_0}{c} r_1^2 \int_0^{2\pi} \left[\int_{\theta_h}^{\theta_m} I(\rho, y) [\sin \alpha - \text{Im}\{Q_h\}] I(\rho, y) [\sin \alpha - \text{Im}\{Q_h\}] \sin \theta \cos \theta_1 d\theta + \int_0^{\theta_h} I(\rho, y) [\sin \alpha - \text{Im}\{Q\}] \sin \theta d\theta \cos \theta_1 \right] \cos \phi d\phi \quad (7)$$

EXPERIMENTAL SECTION

As shown in Figure 2c, the system we studied included three layers of 108 glass microcapillaries that formed a coflowing geometry,^{18,19} which 109 generated a water–oil–water double emulsion. A square glass tube (1 110

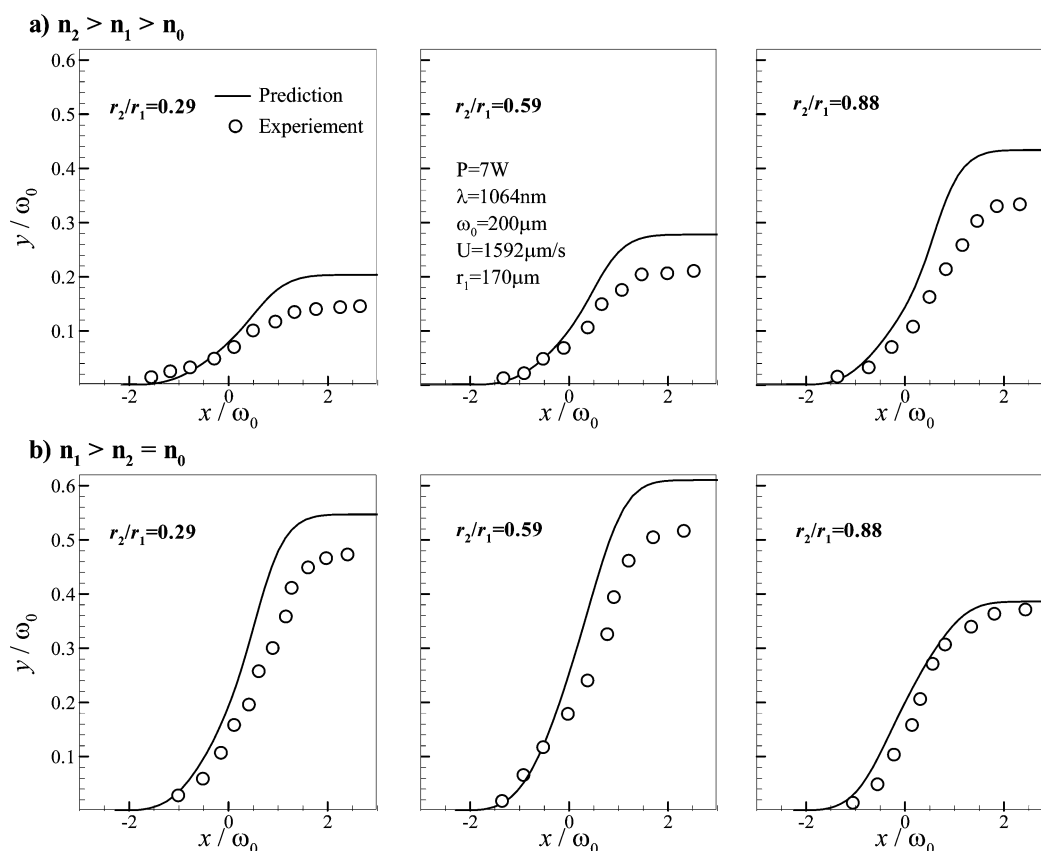


Figure 5. Trajectories for the double emulsions for (a) $n_2 > n_1 > n_0$ and (b) $n_1 > n_2 = n_0$. The laser wavelength was $\lambda = 1064$ nm; the beam waist radius was $\omega_0 = 200$ μm .

111 mm \times 1 mm) provided a dynamic observation area in the cross-type
 112 optical particle separation system. Because these two components were
 113 fabricated on a single device, we generated a double emulsion and
 114 simultaneously observed the double emulsion behavior in the presence
 115 of optical forces. The glass microcapillaries were fabricated using the
 116 conventional heating and pulling method (inner radius 10–180 μm).
 117 Octadecyl trichlorosilane (Sigma Aldrich) treatment was performed
 118 on the inner surface of the middle capillary to prevent the water
 119 medium from coating the glass surface.¹⁹ Since middle capillary was
 120 treated as a hydrophobic surface, the innermost aqueous medium was
 121 emulsified in the middle oleic phase fluid under coaxial flow. The oleic
 122 phase emulsion was subsequently emulsified in the outer aqueous
 123 fluid. The innermost aqueous medium was encapsulated by an oleic
 124 phase droplet at the tip of the middle capillary as the oleic phase
 125 emulsions were generated. Each capillary was connected to a pulsation
 126 free multichannel syringe pump (Nemesys, Cetoni GmbH) for
 127 adjusting the volumetric flow rate of each fluid flow. The net fluid
 128 flow rate was about 5700 $\mu\text{L}/\text{h}$ and the emulsion velocity in the
 129 microchannel was about 1592 $\mu\text{m}/\text{s}$. The outer radius of an emulsion
 130 was about 150 μm and the inner radius of an emulsion varied over the
 131 range 40–130 μm as a function of the inner and middle fluid flow
 132 rates. The aqueous solution of 2 wt % polyvinyl alcohol (Sigma
 133 Aldrich) was used for the outermost fluid. Ethoxylated trimethylol-
 134 propane triacrylate (Sigma Aldrich, $n = 1.470$) and polydimethylsilox-
 135 ane oil (Sigma Aldrich, $n = 1.405$) were used as the middle oil phase of
 136 the water/oil/water double emulsion. CaCl_2 was added to aqueous
 137 solution to adjust the refractive index at the inner aqueous phase.
 138 We measured the refractive index of a 6 M CaCl_2 solution ($n =$
 139 1.469) using the defocused microrefractometer method.²² A schematic
 140 diagram of the refractometer is shown in Figure 3a. Since the refractive
 141 indexes of each reference fluid are different, the refractive angle at the
 142 interface of fluid and glass varies with the refractive index of the fluid.
 143 When a spot is located on the focal plane, whether two pinhole
 144 apertures are in front of the image plane or not, a single spot image

appears at the image plane in Figure 3b. In the case of a spot moving
 away from the focal plane, the spot image is separated as two blurred
 spot images at the image plane in Figure 3c. The distances between
 each spot image are determined by the refractive index of the fluid.
 The device simply consists of five silicon-etched microfluidic channels
 and 3 μm patterned spots on their bottom glass surfaces, and each
 channel is filled with reference fluids and target fluid. Since the spot
 images for all fluids are captured in the same image frame, the
 refractive index of target fluid can be obtained by simple curve fitting
 from a single step measurement. In this device, four authorized
 refractive index fluids $n = 1300, 1400, 1500$, and 1600 (Cargille
 Laboratories) were used as reference fluids.

To prevent absorption of 1064 nm light by the water media, heavy
 water (D_2O) was used as the aqueous phase.²³ The refractive index
 and diameter of each emulsion layer were adjusted to generate a model
 for cell-nucleus morphometry systems (Case 1: $n_1 > n_2 > n_0$) using a
 water/oil/water double emulsion or a hollow sphere (Case 2: $n_1 > n_2 =$
 n_0). The laser beam waist radius was adjusted using a spherical lens
 and was illuminated vertically in the direction of the fluid flow. Since
 the vertical alignment between the incident angle of light and the
 sidewall of the square capillary was crucial, the device was aligned
 using a custom-made 5-axis stage for alignment. Since the double
 emulsions passed the light within a very short time and the optical
 scattering force was perpendicular to the gravitational force, the
 influence of the gravitational force on the vertical displacement was
 negligible.

RESULTS AND DISCUSSION

Figure 4 shows the normalized optical force distributions for
 both cases during the cross-type particle separation. As is true
 for most biological cells, the inner of the concentric spheres had
 a larger refractive index than the outer sphere. The refractive
 index of the cell nucleus is usually higher than that of the cell

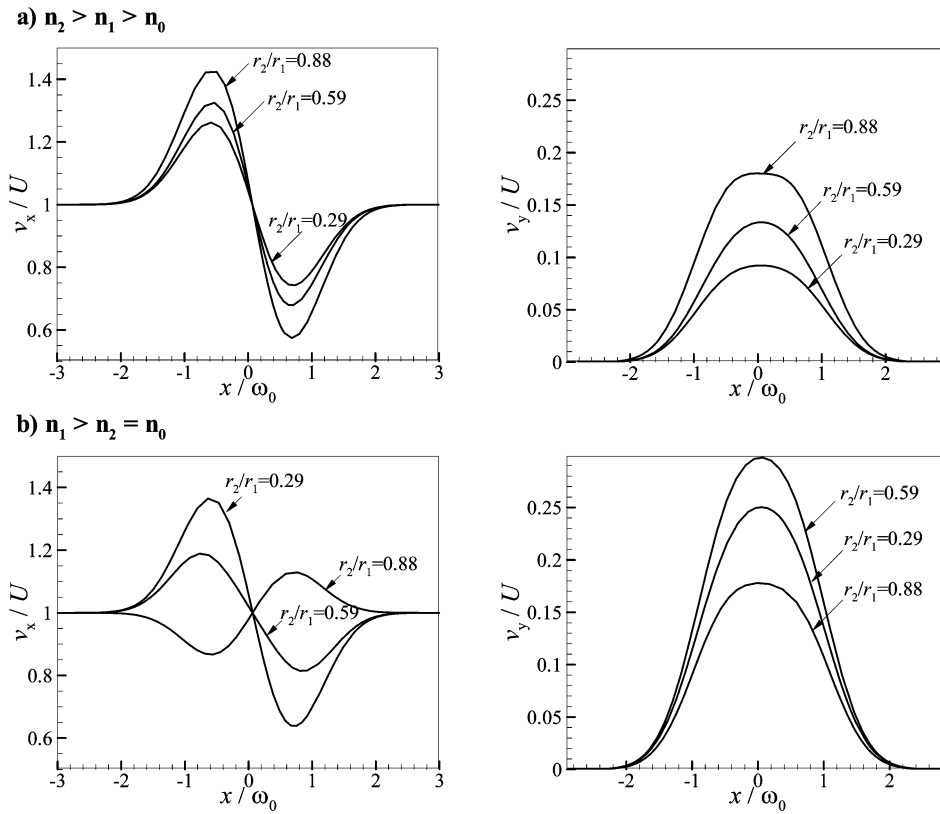


Figure 6. Variations in the velocity of the double emulsions for (a) $n_2 > n_1 > n_0$ and (b) $n_1 > n_2 = n_0$.

cytoplasm.^{21,24} For Case 1, the calculations were performed for three ratios of inner to outer sphere radii, $r_2/r_1 = 0.29, 0.59$, and 0.88 in Figure 4a. The axial and radial forces both increased as the inner sphere radius increased. A sphere in which the refractive index of the inner sphere was higher than that of the outer sphere yielded more refracted trajectories for photons that passed through the inner sphere. This meant that more momentum was transferred to the emulsion.

For Case 2, the refractive index ratio was adjusted to $n_1/n_0 = 1.106$, $n_2/n_0 = 1$, which included an outer sphere with a high refractive index and an inner sphere and outer fluid with lower identical refractive indices. As shown in Figure 4b, the optical force distributions differed from those of Case 1. Total internal reflection could explain this unexpected force distribution. Since the refractive index of the inner sphere was smaller than that of the outer sphere, total internal reflection occurred at the interface of the inner sphere. From Figure 2b, the critical angle at the interface between the inner and outer spheres was defined by $\sin \theta_{2,\text{crit}} = n_2/n_1$, which is equivalent to $\sin \theta_{1,\text{crit}} = r_2/r_1$. When the total internal reflection occurred, the photons were totally reflected backward at the interface of the inner sphere so that the axial force increased. As the relative size of the inner sphere decreased, total internal reflection occurred at smaller values of θ_1 , and more photons underwent total internal reflection. However, the relative size of the inner sphere increased, and total internal reflection occurred at larger values of θ_1 such that fewer photons underwent total internal reflection. For $r_2/r_1 = 0.88$, the lower refractive index of the inner sphere compared to the outer sphere and the effects of total internal reflection were relatively small because of the large size ratio. The radial force distribution of the laser beam repelled the sphere away from the center axis of the laser beam to a region near the laser beam waist. In the radial force

distribution, when the photons underwent total internal reflection, the sphere was pushed away from the beam axis. This pushing effect increased as the relative size of the inner sphere increased, since the critical angle increased as the relative size of the inner sphere increased. As shown in Figure 4b, the pushing effect was dominant at $r_2/r_1 = 0.88$ and the radial force acted in an outward direction from the laser beam axis.

Trajectories of the emulsion in the cross-type particle separation system are experimentally observed and plotted in Figure 5. The predicted trajectories are also shown for comparison. The equations describing the dynamics of double emulsions subject to cross-type optical particle separation can be expressed as¹⁰

$$m_p \frac{d\mathbf{r}}{dt} - 6\pi\mu r_p (U - V_p) = \mathbf{F} \quad \mathbf{U} = U\hat{\mathbf{x}} \\ \mathbf{V}_p = v_p\hat{\mathbf{x}} + w_p\hat{\mathbf{y}} \quad \mathbf{F} = F_y\hat{\mathbf{y}} + F_x\hat{\mathbf{x}} \quad (8)$$

where m_p is the particle mass, \mathbf{r} is the particle position vector, μ is the dynamic viscosity of the fluid, \mathbf{F} is the optical force vector from eqs 6 and 7, and \mathbf{U} and \mathbf{V}_p are the fluid and particle velocity vectors, respectively. As shown in Figure 5, the experimental data were qualitatively in good agreement with the predicted data, although the experimental values were slightly lower than the predicted values. This small discrepancy was attributed to the fact that both the inner and outer emulsions consisted of only liquid, and their behaviors differed from that of a solid concentric sphere. If two liquids had relatively high mutual solubility or low mutual solubility but were generated a long time before, the diffusion of two liquids made a graded refractive index interface and the optical force distribution at the graded refractive index interface had a

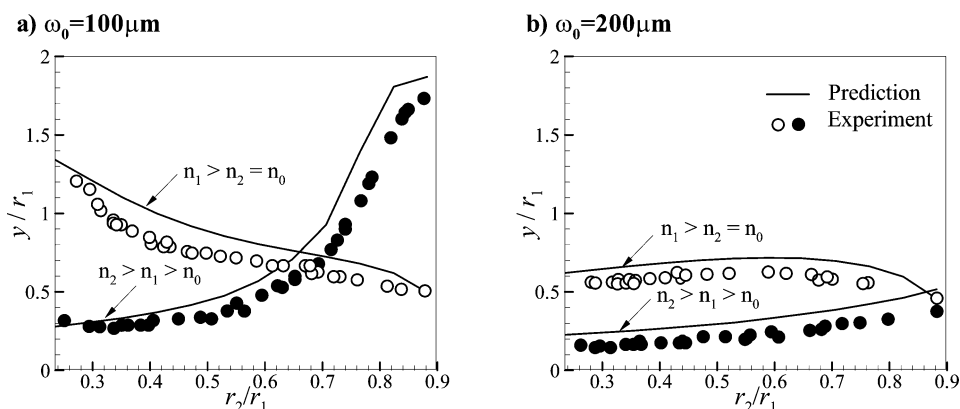


Figure 7. Effect of the retention distance on the ratio of the radii (r_2/r_1) for (a) $\omega_0 = 100 \mu\text{m}$ and (b) $\omega_0 = 200 \mu\text{m}$.

different distribution against the sharp interface. However, the mutual solubility of the present study was very low. For Case 1, the retention distance of the double emulsion increased as r_2/r_1 increased in Figure 5a. Because both the gradient and scattering forces on the double emulsions increased in Case 1, as shown in Figure 4a, the retention distance also increased. As mentioned earlier, because the inner emulsion had a larger refractive index, the transferred photon momentum was increased as the size of the inner emulsion increased, as shown in Figure 4a. The different behavior of the emulsion is shown in Figure 5b. For Case 2, the refractive index ratio (n_2/n_1) was smaller than 1; the transferred photon momentum decreased as the inner emulsion radius increased. However, total internal reflection and the angle between the ray and the beam increased the retention distance of $r_2/r_1 = 0.59$ compared to $r_2/r_1 = 0.29$, and the smallest retention distance was $r_2/r_1 = 0.88$.

Numerical simulations permit the decomposition of the double emulsion behavior into each directional velocity component to estimate the dynamics for each direction.¹⁰

Figure 6 shows the velocity components of each case. For Case 1, the velocity distributions in the y and z directions changed significantly as the ratio of the inner to outer emulsion increased. Figure 6a plots the acceleration and deceleration of the emulsion as it passed through the center axis of the laser beam due to each component of the optical force, as shown in Figure 4a. Figure 6b shows different behavior, that is, the gradient force distribution of $r_2/r_1 = 0.59$ shown in Figure 4b was smaller than that corresponding to $r_2/r_1 = 0.29$, and the y -directional velocity distribution was small. For $r_2/r_1 = 0.88$, the radial velocity displayed the opposite behavior as a result of the gradient force distribution, as mentioned earlier.

The effects of the laser beam waist radius on the behavior were investigated by calculating and experimentally measuring the retention distance of a double emulsion for two cases. In the first case, the laser beam waist (ω_0) was larger than the radius of the emulsion (r_1). In the second case, ω_0 was comparable to or smaller than the radius of the emulsion. The retention distance as a function of the ratio of the inner to outer radii is plotted in Figure 7. The experimental measurements agreed well with the theoretical predictions. For Case 1 ($\omega_0 = 100 \mu\text{m}$) shown in Figure 7a, the retention distance increased as the inner radius increased, and it decreased as the inner radius increased for Case 2. In contrast, a different trend was observed for $\omega_0 = 200 \mu\text{m}$, as shown in Figure 7b. The retention distance in Case 1 displayed a similar directional

change with increasing inner emulsion radius for both beam waist radii, but the retention distance of $\omega_0 = 100 \mu\text{m}$ was larger than that corresponding to $\omega_0 = 200 \mu\text{m}$. As mentioned earlier, the inner emulsion impinging condition (θ_h) increased as the beam waist radius (ω_0) decreased. This meant that more photons could impinge on the inner emulsion surface as the beam waist radius decreased. Additionally, a larger gradient force for smaller beam waist radii lengthened the trapping time for the double emulsion, consistent with the nonlinear behavior of cross-type optical particle separation systems described in our previous study.²⁵ The retention distances for Case 2, in which total internal reflection occurred at the interface of the inner emulsion, followed a different trend for each beam waist radius. This could be explained in terms of the inner emulsion impinging conditions θ_h . In contrast with Case 1, the lower refractive index of the inner emulsion resulted in total internal reflection at the interface between the inner and outer emulsions.

CONCLUSIONS

We experimentally investigated the behavior of the double emulsions in a cross-type optical separation system based on the trajectories and the final retention distance. The behavior of the double emulsion was predicted using the photon stream method and particle dynamic equations. We confirmed that the behavior of the double emulsion in the cross-type optical particle separation system agreed well with the predicted results. The effects of the optical force on the continuous separation of the double emulsion depended on the size of the inner emulsion layer and the refractive index ratio between the inner and outer emulsions. We additionally found that total internal reflection at the interface of the inner emulsion produced complex behavior for water/oil/water double emulsions or hollow spheres. The observed and predicted behaviors of the double emulsions in the presence of optical forces have important implications for cell manipulation, cell-nucleus morphometric models, and emulsion-based drug delivery vehicles.

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Notes

The authors declare no competing financial interest.

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