

Harnessing Chemical Raman Enhancement for Understanding Organic Adsorbate Binding on Metal Surfaces

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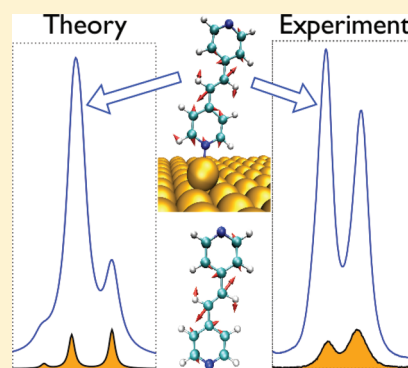
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S Supporting Information

ABSTRACT: Surface-enhanced Raman spectroscopy (SERS) is a known approach for detecting trace amounts of molecular species. Whereas SERS measurements have focused on enhancing the signal for sensing trace amounts of a chemical moiety, understanding how the substrate alters molecular Raman spectra can enable optical probing of analyte binding chemistry. Here we examine binding of *trans*-1,2-bis(4-pyridyl) ethylene (BPE) to Au surfaces and understand variations in experimental data that arise from differences in how the molecule binds to the substrate. Monitoring differences in the SERS as a function of incubation time, a period of several hours in our case, reveals that the number of BPE molecules that chemically binds with the Au substrate increases with time. In addition, we introduce a direct method of accessing relative chemical enhancement from experiments that is in quantitative agreement with theory. The ability to probe optically specific details of metal/molecule interfaces opens up possibilities for using SERS in chemical analysis.

SECTION: Spectroscopy, Photochemistry, and Excited States



Whereas the Raman scattering from a typical single molecule is many orders of magnitude below detection limits, cross sections can be deliberately enhanced by factors greater than 10^8 for molecules deposited near metal nanostructures or on rough metal substrates by conversion of incident light into surface plasmons.^{1–6} This effect is known as surface-enhanced Raman spectroscopy (SERS). While the majority of the enhancement in SERS originates with the large local electromagnetic field and optical density of states experienced by the adsorbate molecules associated with the roughened surface, chemical interactions between the adsorbate molecules and the metal substrate can also alter the Raman signal and contribute to the effect frequently referred to as “chemical enhancement” (CE).^{4–7} CE can result in pronounced changes in relative peak intensities,⁸ significantly different from gas- or solution-phase spectra, which are directly connected with the local chemical environment of the reporting molecules.

Recent theoretical studies^{9–16} have led to new quantitative insight into how metal–molecule binding influences SERS data, in large part rationalizing CE in terms of the interfacial electronic structure energy level alignment between frontier molecular orbital energy and the metal Fermi energy, which sets the scale of the overall mode-independent multiplicative factor,¹⁷ and the mode-specific degree to which a particular vibrational mode alters the interfacial energy level alignment.⁸

In this Article, we use chemical contributions to SERS, computed with a parameter-free theory and measured experimentally, to understand how *trans*-1,2-bis(4-pyridyl) ethylene (BPE), a frequently used model system in SERS studies, binds to a rough Au substrate. Utilizing incubation time-dependent variations in our SERS data for BPE, we are able to extract relative CE from experimental data. Comparing directly to DFT calculations, we explain controversial spectral variations in past experimental data for BPE in the literature. We further introduce a new experimental analysis to account for the unknown numbers of bound and unbound molecules, allowing quantitative correspondence with the theory. We find that the ratio of two peak intensities in BPE’s SERS spectra are extremely sensitive to whether BPE is chemically bound to Au or not; moreover, if bound, then peak intensities can provide quantitative information on how BPE is bound. From a comparison of calculated binding geometries of BPE on Au to experiment, we find a clear trend suggesting that on rough Au surfaces BPE binds to Au atoms with higher nearest neighbor coordination. With a quantitative understanding of non-resonant contributions to CE from first-principles calculations, we can extract detailed information about surface chemistry.

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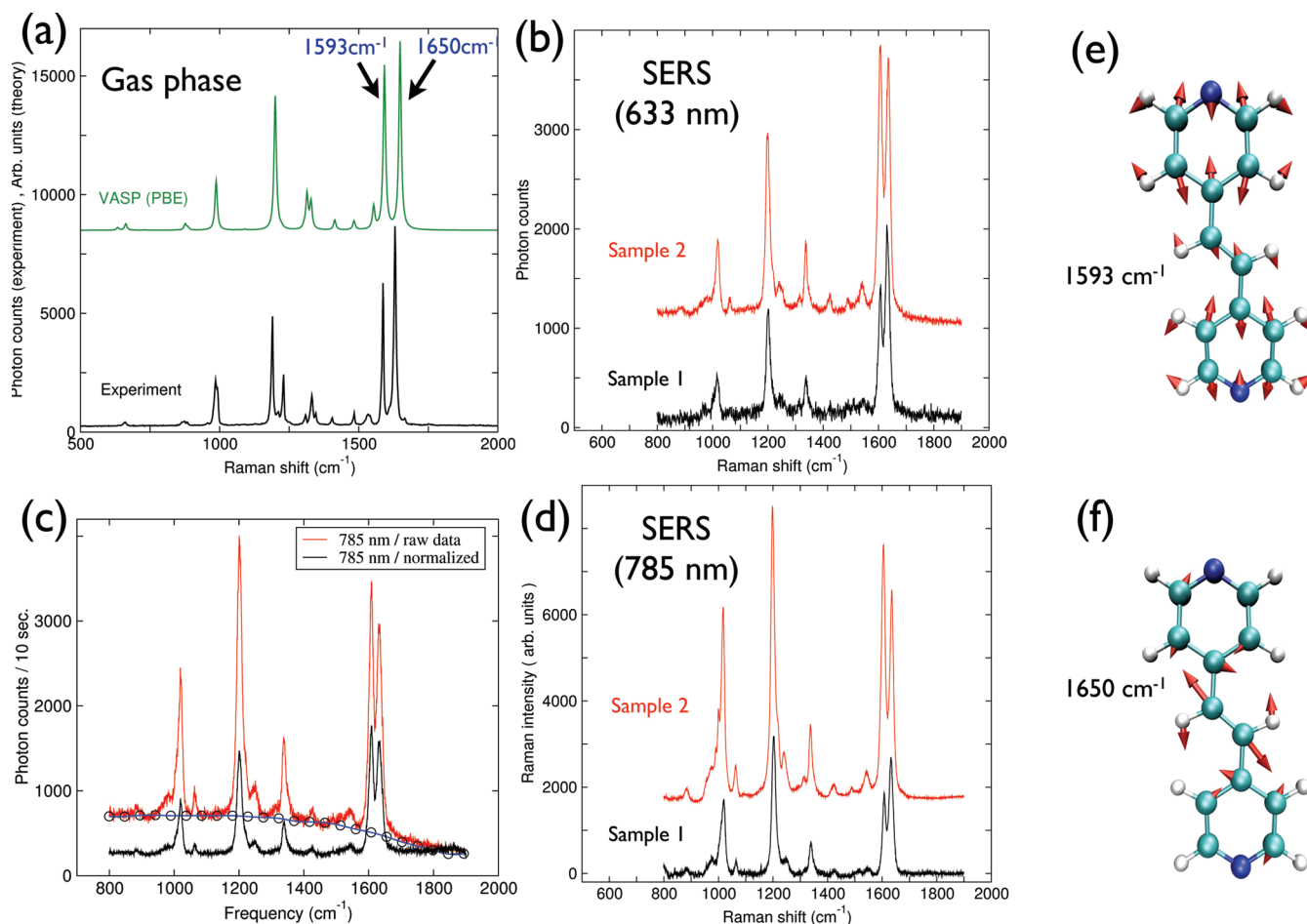


Figure 1. (a) Calculated gas-phase and measured (from purified crystalline powder) Raman spectra of BPE. In Figure S1 of the Supporting Information, we show displacement patterns for the corresponding Raman active modes of BPE. (b) SERS spectra of BPE measured from two different samples (Sample 1 was incubated for 1 h; Sample 2 was incubated for 1 day), using an excitation wavelength 632.8 nm. The two samples show prominent differences in relative intensities of 1593 and 1650 cm^{-1} peaks. (c) Spectra showing the effect of using the fluorescence background as a scaling factor renormalizing the nonuniform EM enhancement (plasmon dispersion correction) in 785 nm data. Blue circles show the plasmonic background of the raw spectrum (shown in red). We divide the red spectrum by the corresponding background profile shown with the blue circles, yielding the black spectrum. The latter becomes similar to the 633 nm spectrum shown in panel b. (d) Same as panel b using 785 nm excitation wavelength. (e,f) Vibrational modes corresponding to the Raman peaks at 1593 and 1650 cm^{-1} .

In our experiments, SERS substrates consisting of roughened SiGe surfaces coated with 30 nm of Au are incubated in 50 μM BPE solution (Sigma Aldrich W361607) in methanol, then gently rinsed with methanol and dried by nitrogen gas. The 50 μM concentration was determined to be low enough to observe slow (in the range of hours) binding kinetics of BPE. A 1 mM concentration, as used previously,¹⁸ led to much faster (few minutes) binding of BPE and was not suitable for the purpose of this study. Raman (neat solution) and SERS spectra are collected at two wavelengths, 632.8 and 785 nm, using an inverted microscope setup coupled to a spectrometer (Acton SpectraPro 2300i) equipped with a liquid-nitrogen-cooled charge-coupled device (CCD) camera.

In Figure 1, we summarize the results of our measurements. The Raman spectra exhibit several prominent peaks, but two modes have particularly strong intensities at 1593 and 1650 cm^{-1} (Figure 1a), with the 1650 cm^{-1} peak consistently about 10% higher than 1593 cm^{-1} in solution. However, when BPE is deposited on a SERS substrate, we measure varied peak intensities ratios. Figure 1b shows SERS data collected from two different samples (1 and 2), taken at an excitation wavelength of 633 nm. Figure 1d shows data from the same

samples, using a 785 nm excitation wavelength. Independent of excitation wavelengths, samples 1 and 2 show different relative intensities of the two peaks at 1593 and 1650 cm^{-1} . This is consistent with prior variations in experimental data, with some experiments reporting $I(1593) > I(1650)$,^{19–21} whereas others report the opposite.^{22–24} Although direct comparisons between prior experiments can be difficult due to differing solution concentrations and substrate conditions, the only difference between samples 1 and 2 in our experiments is the length of incubation time: 1 h and 1 day for samples 1 and 2, respectively.

We note that the substrate-induced enhancement is not uniform, and if we neglect the frequency dependence of the plasmon resonances, then the peak intensity at 1200 cm^{-1} , for example, is much greater for measurements taken at 785 nm than at 633 nm (Figure 1b,d). Using the fluorescence background to renormalize both spectra, a so-called plasmon dispersion correction,^{25,26} as shown in Figure 1c, brings the 785 and 633 nm spectra in agreement, but because the 1593 and 1650 cm^{-1} peaks are so close in wavenumber, the plasmon dispersion correction does not appreciably change the intensity variations between them: as the EM enhancement does not

vary on the scale of 100 cm^{-1} , it is not responsible for variations between 1593 and 1650 cm^{-1} peaks, both here and elsewhere.^{19–24} We also note that to account for any influence of possible blinking our measurements are averaged over time.

To understand these data, we turn to DFT calculations and focus on CE using the Vienna ab-initio simulations package (VASP) and a generalized gradient approximation.^{27,28} To model BPE on Au(111), we consider binding sites on a periodic Au(111) slab consisting of five atomic layers of Au stacked along $[111]$ with 16 atoms per layer, in a supercell with 30 Å of vacuum. With the Au atoms in the bottom two layers of the slab fixed to their bulk values, the three upper layers are allowed to relax. Whereas the five-layered slab provides a good approximation for the macroscopic properties of Au surface, local atomic scale binding motifs of BPE to Au are not known. Accordingly, we consider several representative binding sites, differing mainly in the nearest-neighbor coordination number of the binding Au atom. For all sites considered, the in-plane slab lattice parameters are kept fixed to the bulk value calculated with PBE.⁸ A $2 \times 2 \times 1$ Monkhorst-Pack k-point mesh is used for calculations involving Au slab; the Γ point is used for finite system calculations. Following prior work, the static nonevanescent component of the Raman tensor is constructed mode-by-mode using a finite-differences approach.⁸

Figure 2a shows calculated Raman spectra of an isolated BPE molecule and BPE adsorbed at four different binding sites. In all cases, BPE prefers to bind to undercoordinated gold atoms, and the highest binding energy is observed for the least coordinated binding site (adatom), with the binding energy monotonically decreasing for more coordinated geometries. As expected from

prior work,⁸ upon binding to Au, the BPE Raman spectra are altered in both relative peak heights and overall intensity. For the strongest Raman peak at 1593 cm^{-1} , calculated intensities are enhanced over isolated BPE by factors 13.4, 40.4, 152.6, and 252.6 for the flat surface, pentamer, trimer, and adatom sites, respectively. Similarly, for the 1650 cm^{-1} peak, the enhancements are 6.1, 14.8, 77.1, and 100.2. Evidently, independent of the binding site, the 1650 cm^{-1} mode is enhanced less than the 1593 cm^{-1} mode. As in previous works, the contributions to the Raman intensity can be rationalized with a two-state model, relating the changes in Raman spectra on binding to energy level alignment and enhancement of electron–phonon coupling contributions from the interface.^{8,9,17} In the case of BPE, the lowest unoccupied molecular orbital (LUMO) is the frontier state closest to the metal Fermi level, E_F , (see Figure S2 of the Supporting Information), and the overall enhancement of Raman signal becomes stronger for smaller $E_F - E_{\text{LUMO}}$. Of all binding sites considered, the adatom has the largest binding energy, smallest $E_F - E_{\text{LUMO}}$ difference (see Figure S2 of the Supporting Information), and largest Raman intensity enhancement. The flat surface binding site exhibits the least coupling between the molecule and the surface and results in the least enhancement. A detailed picture rationalizing our DFT calculations in terms of the two-state model is given in Figure S2 of the Supporting Information.

In all cases, our calculations show that binding inverts the intensity ratio of the 1593 and 1650 cm^{-1} peaks. The 1593 cm^{-1} vibrational mode clearly exhibits a stronger chemical coupling to the metal. In the language of the two-state model, the 1593 cm^{-1} mode has a larger deformation potential,⁸ and it is enhanced more than the 1650 cm^{-1} mode. Therefore, the relative strength of these peaks depends on whether the molecule is bound or not. From this observation, we conclude that the experimental variations in the $1593/1650$ intensity ratio depend on the fraction of BPE molecules bound to the substrate.

On the basis of the above discussion, the $1593/1650$ intensity ratio should vary with incubation time. New samples are prepared using the same Au-coated SiGe substrates, as described above and shown in Figure 3a. Substrates are incubated in the same container with BPE solution and taken for measurements one at a time, starting at only a few minutes of incubation and continuing for 2 days. The 1593 and 1650 cm^{-1} peaks in Figure 3b show a gradual change of their relative intensities; see also Figure 3c. Our calculations (described above) suggest that the portion of chemically bound molecules contributing to the total SERS signal grows as the incubation time increases. The calculations also suggest that the peak inversion is a robust signature of binding and does not depend significantly on the details of the binding site. The slow binding that we observe suggests that BPE molecules may initially compete with various impurities for binding sites; additionally, van der Waals interactions could initially favor physisorption over direct chemical binding to the substrate.

The measurements at different incubation times provide a new, direct method of extracting the CE contribution to SERS spectra. Assuming that the differences in intensity ratio between the short and long incubation times are due to CE, if we take the difference between spectra at different incubation times, then we can extract the CE from the data. Figure 3d shows two SERS spectra, measured after 1 min and 16 h of incubation, respectively, and the difference between the two spectra. Five measurements are taken at both times, yielding 25 combina-

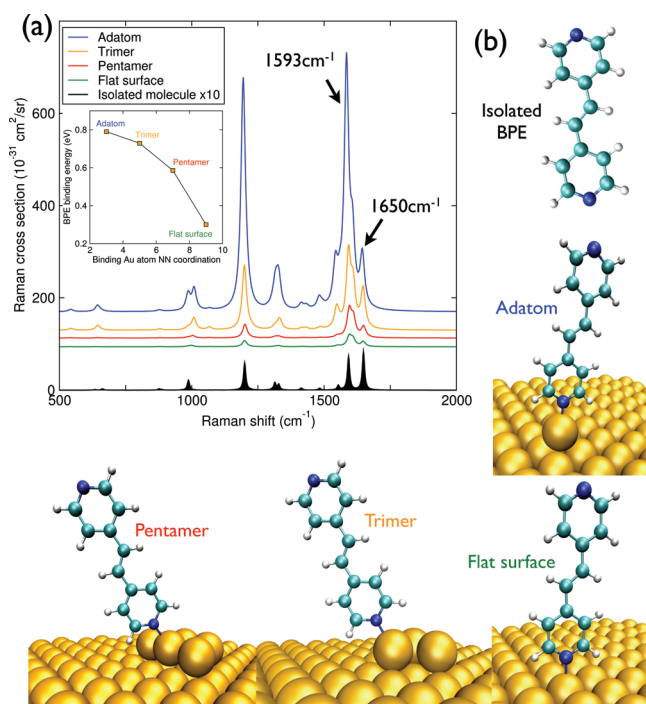


Figure 2. (a) Calculated Raman spectra for isolated BPE (bottom spectrum) and BPE adsorbed on four different binding sites: adatom, trimer, pentamer, flat surface. (b) Fully relaxed binding geometries for BPE on (111) gold surface. The binding geometries differ in the nearest neighbor coordination numbers for binding Au atom. Panel a and the inset in it show that the binding energies as well as the overall Raman intensity depend on the binding site coordination number.

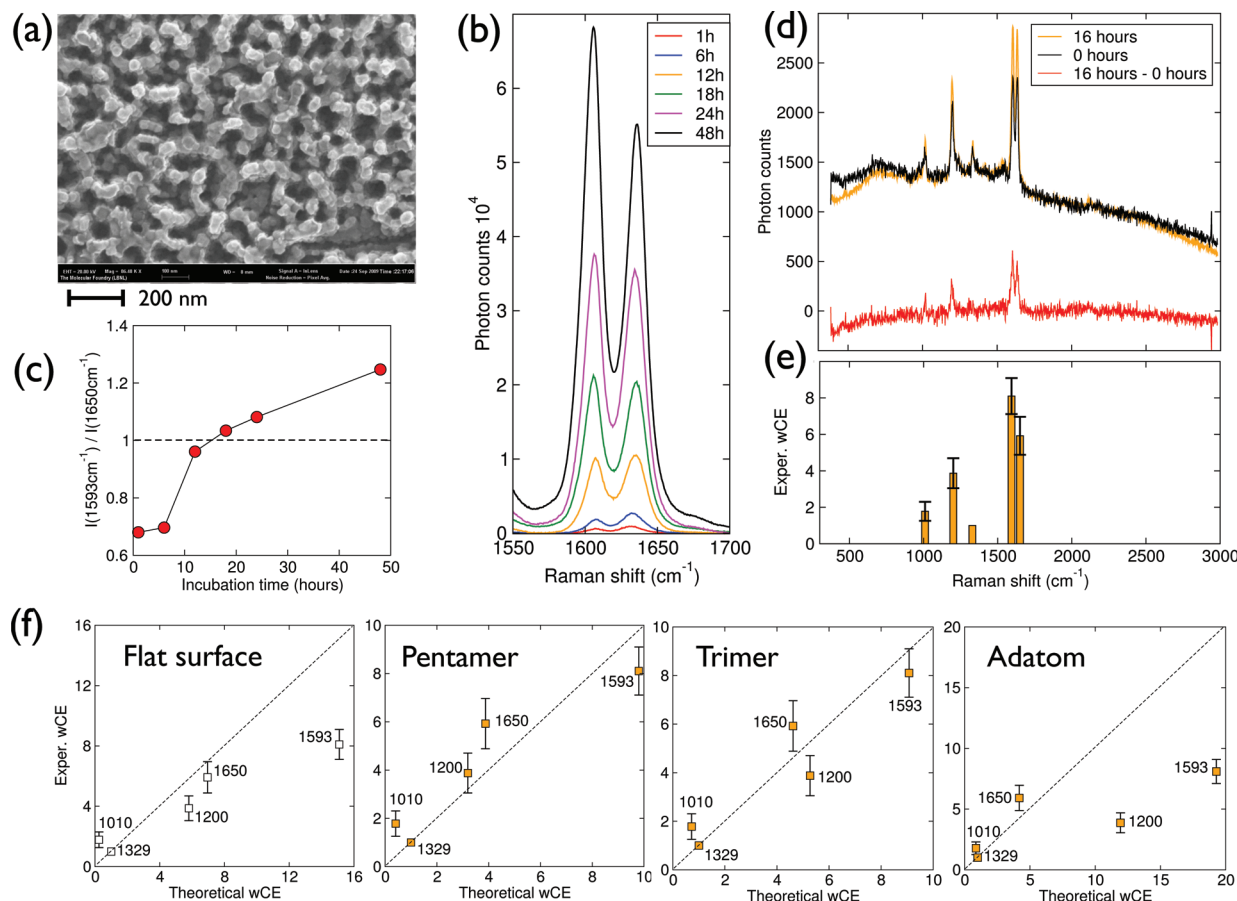


Figure 3. (a) SERS active SiGe substrate covered with Au used for measurements in this work. (b,c) Variation of intensities of the 1593 and 1650 cm^{-1} peaks in SERS measurements of BPE as a function of substrates incubation time in BPE solution. (d) Two SERS spectra measured after 0 and 16 h of incubation. By subtracting the two spectra, we extract the changes that are due to the chemical coupling, CE contribution. (e) By taking a sampling from several measurements, we estimate the standard error, and obtain averaged over all measurements CE effect. (f) Experimental difference from panel e compared directly with its theoretical counterpart. Both the binding Au atom coordination number and the theory-experiment agreement increase going from the “adatom” toward the “flat surface” geometry.

tions of 0 and 16 h measurements. This sampling provides a measure of the standard error for the data shown in Figure 3e. The enhancement between factors of 2 and 8 is normalized by the least enhanced peak at 1326 cm^{-1} , following previous work.⁸ We note that the estimated enhancements are only relative, not absolute values, which is due to the uncertainty in the absolute enhancement of our reference mode at 1326 cm^{-1} . If the reference mode had $\text{CE} = 1$, then we could report absolute values of CE.

Because of the low concentration of BPE in solution, all molecules are on top of or very close to the surface and experience essentially equivalent EM enhancements. We partition the Raman cross section for a vibrational mode n of a bound molecule as $\sigma_{\text{adsorbed}}^n = \sigma_{\text{solution}}^n + \sigma_{\text{interface}}^n$ where σ_{solution} is the Raman cross section of an isolated gas-phase molecule, and $\sigma_{\text{interface}}$ is the contribution from the molecule–metal binding. The CE for vibrational mode n is then defined as

$$\text{CE}^n = \frac{\sigma_{\text{adsorbed}}^n}{\sigma_{\text{solution}}^n} \quad (1)$$

Assuming all molecules are bound, we have previously shown that it is possible to extract CE from the experiment.⁸ In that case, for N_T molecules that bind close to hot spots, that is, experience the EM enhancement, the cross section will be $\Omega^n = EM\{N_T\sigma_{\text{adsorbed}}^n\}$, where we suppress experimental setup factors,

like intensity and polarization, for the purpose of this analysis. EM is a constant after the plasmon dispersion correction,^{25,26} as shown in Figure 1e. Similarly, for the solution Raman measurements $\tilde{\Omega}^n = N_{\text{solution}}\sigma_{\text{solution}}^n$, where N_{solution} is unknown. The total enhancement in this case can be expressed as

$$\frac{\Omega^n}{\tilde{\Omega}^n} = \frac{EM\{N_T\sigma_{\text{adsorbed}}^n\}}{N_{\text{solution}}\sigma_{\text{solution}}^n} = \frac{N_T}{N_{\text{solution}}}EM \cdot \text{CE}^n \quad (2)$$

where $(N_T/N_{\text{solution}})$ is unknown. Normalizing eq 2 with another vibrational mode t removes $(N_T/N_{\text{solution}})$, resulting in a relative CE. From the analysis of the deformation (shown in SI, Figure S2), some vibrational modes have negligible CE, that is, $\text{CE}^t \approx 1$. If $\text{CE}^t = 1$ the ratio with mode t leads us to the CE

$$\frac{\Omega^n}{\tilde{\Omega}^n} / \frac{\Omega^t}{\tilde{\Omega}^t} = \text{CE}^n \quad (3)$$

We note that this approach is insufficient for BPE, where only a fraction of molecules are bound to the gold surface at any given time. In this case, the SERS cross section can be expressed as $\Omega^n = EM\{N_B\sigma_{\text{adsorbed}}^n + N_{\text{UB}}\sigma_{\text{solution}}^n\}$, with N_B and N_{UB} presenting the number of bound and unbound molecules, respectively. Using eq 1 and $N_{\text{UB}} = N_T - N_B$, we can rewrite $\Omega^n = EM\sigma_{\text{solution}}^n\{N_B\text{CE}^n + N_T - N_B\}$. We assume that N_T is the same for all samples, which is supported by an observation that

the SERS peak of the least chemically enhanced mode at 1326 cm^{-1} does not depend on the incubation time. If we also assume that samples with the shortest incubation time have no bound molecules, the corresponding “unbound” cross section can be written as $\tilde{\Omega}^n = EM\sigma_{\text{solution}}^n \cdot N_{\text{T}}$. Subtracting spectra from samples with shorter and longer incubation time, as shown in Figure 3d, removes the total number of molecules, N_{T} , from the problem, $\Omega^n - \tilde{\Omega}^n = EM\sigma_{\text{solution}}^n \cdot N_{\text{B}}(CE^n - 1)$. Normalizing this difference by the least enhanced mode t , we obtain the ratio

$$wCE^n = \frac{\Omega^n - \tilde{\Omega}^n}{\Omega^t - \tilde{\Omega}^t} = \frac{\sigma_{\text{solution}}^n(CE^n - 1)}{\sigma_{\text{solution}}^t(CE^t - 1)} \quad (4)$$

where we introduced wCE^n as a “weighted CE” for mode n , whereby we have removed the effect of unbound molecules. It can be further simplified to $((CE^n - 1)/(CE^t - 1))$, as $\sigma_{\text{solution}}^n$ and $\sigma_{\text{solution}}^t$ are known, but for the purpose of this work, wCE can be directly evaluated from our DFT data, leading to a straightforward comparison of the experiment and theory. The experimental values of wCE are plotted in Figure 3e.

Figure 3f compares wCE values extracted from the experiment against the wCE s calculated for different binding sites. Interestingly, agreement with experiment is best for binding sites with equilibrium BPE geometries somewhat tilted relative to the surface normal. Tilting, along with CE, can also affect relative intensities in SERS spectra, although, in our case, to a significantly lesser extent than binding (see Figure S7 in the Supporting Information). We note that whereas the adatom site has the largest binding energy for BPE, on a rough Au surface, adatoms inevitably aggregate to form structures like steps and islands due to additional energy gains associated with higher coordination (see Supporting Information for direct calculation). Flat surfaces, on the other hand, result in a very low binding energy for BPE. Therefore, the probability of BPE binding sites is determined by both binding energy and availability at given temperature. Our comparison to experiments (Figure 3f) indicates that BPE on rough SERS substrates mostly binds to gold atoms, that are more coordinated than adatoms, such as edges of islands or steps, with molecules exhibiting a modest $30\text{--}40^\circ$ tilt with respect to the surface normal. However, independent of the BPE tilt angle, the 1593 and 1650 cm^{-1} peak reversal is a robust signature of chemical binding.

In summary, we have used chemical contributions in SERS to probe surface chemistry in detail for a specific analyte, BPE. First-principles calculations of static Raman intensities capture a significant part of the relative “CE”, which is confirmed by the agreement with the experimental data, and by rationalizing DFT calculations with a simple two-state model, where we discuss CE in terms of interfacial electronic structure and electron–phonon coupling. Using our understanding of relative CE, we have explained discrepancies in reported SERS data for BPE in terms of the relative number of bound molecules and their variation from experiment to experiment. Our work paves the way for more elaborate uses of SERS for probing surface chemistry, ranging from surface catalysis to single molecule junctions.

■ ASSOCIATED CONTENT

Supporting Information

Additional data that is used to support the analysis of this work. This material is available free of charge via the Internet at <http://pubs.acs.org/>.

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Notes

The authors declare no competing financial interest.

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